

# USE OF IDENTIFIERS FOR APPLICANTS BY IPOs

Response ID:14; zejm Data

## 1. Country Code page

1. Please enter the two-letter country code corresponding to your Office or Organization.

ES

## 2. Question page

2. 1. What are the perceived advantages of using Applicant Identifiers in your Office? Please mark all that apply:  
***For purposes of this survey, applicant identifiers refers to standardized names or numeric codes which belong to a single legal entity. See question 6a for examples.***

1a. advantages for the Office itself:

Effective management of applicant information

Easy to change applicant's information in all relevant records simultaneously

3. 1b. Advantages for Applicants and Patent Information Users:

Accurate statistics on patent applicants and owners

Eliminating confusion and inconsistency by unifying multiple versions of an applicant name into a single, standardized name

4. 2a. Does your Office publish (or intend to publish) the identifier(s) you use (or plan to use) assigned to the applicant?  
***For purposes of this survey, applicant identifiers refers to standardized names or numeric codes which belong to a single legal entity. See question 6a for examples.***

No

Comments:

2b. If yes, how:

5. 3. Does your Office include (or plan to include) the identifiers in the set of data for the exchange of patent information with other IP Offices?

***For purposes of this survey, applicant identifiers refers to standardized names or numeric codes which belong to a single legal entity. See question 6a for examples.***

No

Comments: Podría ser la situación ideal, pero de momento lo vemos como algo lejano.

6. 4. Which approach to assigning identifiers does your Office use (or plan to use)?

***For purposes of this survey, applicant identifiers refers to standardized names or numeric codes which belong to a single legal entity. See question 6a for examples.***

Other. Please specify:: Se usarán identificadores numéricos incrementales

7. 5. How does your Office ensure that an applicant has only one identifier?

***For purposes of this survey, applicant identifiers refers to standardized names or numeric codes which belong to a single legal entity. See question 6a for examples.***

In case of national applicants,: Número de identificación fiscal

---

8. 6a. What information does your Office request in order to determine identifiers for national applicants?

*For purposes of this survey, applicant identifiers refers to standardized names or numeric codes which belong to a single legal entity. See question 6a for examples.*

Tax number

E-mail address

**Comments:** Si bien el correo electrónico no siempre es un campo obligatorio, solo cuando se elija medio de notificación electrónico

---

9. 6b. What information does your Office request in order to determine identifiers for foreign applicants?

*For purposes of this survey, applicant identifiers refers to standardized names or numeric codes which belong to a single legal entity. See question 6a for examples.*

---

10. 7a. Does your Office consider that a Global Identifier (GID) would be a desirable solution for applicant name standardization?

Yes

**Comments:**

---

11. 7b. If yes, could you suggest how the GID should be established and maintained?

Aunque desde la OEPM consideramos que sería una iniciativa de gran utilidad, por su gran calado debería ser abordada por un organismo supranacional. Deberían tenerse en cuenta multitud de temas tales como

- El modo de generación de los identificadores (siglas, números,..)
- La inclusión o no de los mismos en el intercambio de datos establecido actualmente
- El modo de actualización de los nuevos titulares (quien da de alta...)

A día de hoy nos parece un momento demasiado temprano para tener una idea más detallada respecto al modo de establecer un GID.

---

12. 7c. In case a GID is established, will your Office use both the GID and a national identifier at the same time, or will your Office use the GID instead of a national identifier?

Ambos

---

## 13. PART B - NO IDENTIFIER

**8a.** If your Office does not use or does not intend to use identifiers for applicants, please explain why:

*For purposes of this survey, applicant identifiers refers to standardized names or numeric codes which belong to a single legal entity. See question 6a for examples.*

---

14. 8b. Please describe any alternative approach to the use of identifiers that your office is using or contemplates using, including how that approach deals with issues of name ambiguity (misspellings, multiple spellings, different character sets, etc):

*For purposes of this survey, applicant identifiers refers to standardized names or numeric codes which belong to a single legal entity. See question 6a for examples.*

---

15. 9. Please explain any drawbacks or legal complications your Office may have related to using identifiers:  
*For purposes of this survey, applicant identifiers refers to standardized names or numeric codes which belong to a single legal entity. See question 6a for examples.*

16. 10. Which of the following options would you consider for investigation in your Office?

*[Note \* Normalization – correction of “trivial” errors (which leave the possibility of multiple name variants for one applicant)*

*\*\* Standardization – using one name variant for an applicant (which might not be the ultimate owner, as IP rights can be registered in the name of a subsidiary when the beneficiary is the parent company)]*

Please mark each option that you choose with (L: Low), (M: Medium) or (H: High) depending on the priority attributed by your office.

	L: Low	M: Medium	H: High
Use of identifiers			X
Normalized* names	X		
Use of “dictionaries” of patentee names by patent information	X		
Use of standardized** names designated by applicants	X		

Other. Please specify:

17. 11. What is your Office’s desired outcome from the Name Standardization Task Force (set of recommendations, public database, etc.)? Please explain:

Una base de datos común para los distintos organismos de Propiedad Industrial y, tal vez, para los actores involucrados en la tramitación de títulos de propiedad industrial (agentes, abogados PI,...)

18. 12. Where should the standardization effort be focused? For example, on internal systems in IPOs or for externally-held IP databases?

No nos queda claro el alcance de la pregunta. En la OEPM nuestro objetivo es conseguir una base de datos propia de alta calidad basada en identificadores únicos. Consideramos que la situación ideal sería que una iniciativa de este tipo se impulsara a nivel global (internacional).

Respecto a las bases de datos externas, si se están considerando las puestas a disposición del público por otros proveedores, nos parece que también es interesante, si bien la prioridad la centraríamos en las bases de datos propias de las OPIs.

19. 12a. Does your Office use (or plan to use) a computer algorithm for the normalization or standardization of applicant names?

Yes

Comments:

20. 12b. If yes, please briefly describe the algorithm.

*A detailed description is not necessary. If the algorithm has a commonly recognized name, that may be sufficient. If not, a few words or sentences describing the general approach of the algorithm are*

**enough. If multiple algorithms are used in combination, please briefly explain each one.**

**Example: “The algorithm removes non-latin characters and repeated whitespace, then uses a dictionary to replace known abbreviations with a standard format, such as “L.L.C.” and “LLC.” being replaced with “LLC”.**

El algoritmo elimina signos de puntuación, espacios blancos repetidos y sustituye abreviaturas. Utiliza funciones de aproximación.

---

**21. 13. If Offices use different approaches to managing applicant names, should the standardization effort harmonize the different approaches for the purpose of the international exchange of patent information?**

Sí, sería deseable.