



C.PCT 893
- 21.1

December 11, 2002

Madam,
Sir,

Following consultation under Rule 89.2(b) of the Regulations under the Patent Cooperation Treaty (PCT) with interested Offices and Authorities, the Administrative Instructions under the PCT and Parts I, II and III of Annex A to the Administrative Instructions under the PCT are modified with effect from January 1, 2003. The modifications are as proposed by Circulars C.PCT 880 (dated November 6, 2002) and C.PCT 882 (dated November 20, 2002), except where further changes have been made as a result of consultations, as indicated below (editorial and minor drafting changes are not mentioned).

Modified text of the Administrative Instructions under the PCT

In addition to the changes proposed by Circulars C.PCT 880 and 882, modifications have been made to Section 405.

The modified text of the PCT Administrative Instructions is set out in document PCT/AI/1 Rev.1 Add.6 (dated December 10, 2002), enclosed herewith; it will also be published in the *PCT Gazette* No. 52/2002 on December 27, 2002. It will also be mounted on WIPO's Internet site.

Modified, new and deleted forms relating to receiving Offices, International Searching Authorities and the International Bureau

The consolidated list of all forms affected is as follows:

- PCT/RO/106 (cover sheet and Annex A) (modified as proposed by Circulars C. PCT 880 and 882, and following consultation (English only))
- PCT/RO/117 (modified as proposed by Circular C. PCT 880)
- PCT/RO/118 (modified as proposed by Circular C. PCT 880)

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- PCT/RO/120 (modification in the heading of the form, which was not proposed by Circulars C. PCT 880 and 882)
- PCT/RO/157 (new form as proposed by Circular C. PCT 880 and following consultation)
- PCT/ISA/207 (deleted, as proposed by Circular C. PCT 880)
- PCT/ISA/208 (deleted, as proposed by Circular C. PCT 880)
- PCT/ISA/219 (modified as proposed by Circular C. PCT 880)
- PCT/ISA/222 (deleted, as proposed by Circular C. PCT 880)
- PCT/IB/313 (cover sheet and Annex A) (modified as proposed by Circulars C. PCT 880 and 882 and following consultation (English only))

In addition, the following two forms have been modified to take into account the amendment to the Schedule of Fees annexed to the PCT Regulations, which entered into force on October 17, 2002, relating to the reduction of the international fee where the international application is filed in electronic form:

- PCT/RO/102 (modifications relating to the heading on the cover sheet and to the Annex, Box R)
- PCT/RO/133 (modifications relating to the heading on the cover sheet and to the Annex, Box R).

Availability of Modified Forms

Addenda to Part I (Forms Relating to the Receiving Office), Part II (Forms Relating to the International Searching Authority) and Part III (Forms Relating to the International Bureau) of the PCT Forms (Annex A to the Administrative Instructions Under the PCT), which contains the modified or new forms mentioned above, applicable as from January 1, 2003, are submitted herewith.

Offices and Authorities which use languages other than English and French are requested to prepare the forms needed by them in such other languages with due regard to the provisions of Section 102 of the Administrative Instructions.

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The modified and new forms should be used from January 1, 2003. Where an Office or Authority is not in a position to use all of them from that date, the old forms, to the extent that they are still applicable or, where required, with additions or corrections, may still be used for a transitional period.

Yours sincerely,



Francis Gurry
Assistant Director General

Enclosures: Document PCT/AI/1 Rev.1 Add.6

Addenda to Parts I, II and III of Annex A to the Administrative
Instructions Under the PCT

in the following language(s):

English French

WIPO



PCT/AI/1 Rev.1 Add.6
ORIGINAL: English
DATE: December 10, 2002

E

WORLD INTELLECTUAL PROPERTY ORGANIZATION
GENEVA

PATENT COOPERATION TREATY (PCT)

ADMINISTRATIVE INSTRUCTIONS UNDER THE PATENT COOPERATION TREATY: MODIFICATIONS

with effect from January 1, 2003

1. This document contains the text of modifications, with effect from January 1, 2003, as detailed below, of the Administrative Instructions Under the Patent Cooperation Treaty (PCT) as in force from December 12, 2002 (see documents PCT/AI/1 Rev.1 dated August 23, 2001, PCT/AI/1 Rev.1 Add.1 dated October 26, 2001, PCT/AI/1 Rev.1 Add.2 dated December 20, 2001, PCT/AI/1 Rev.1 Add.3 dated September 2, 2002, PCT/AI/1 Rev.1 Add.4 dated October 14, 2002, and PCT/AI/1 Rev.1 Add.5 dated November 25, 2002). The modifications, which are promulgated after consultation with the interested Offices and Authorities pursuant to Rule 89.2(a) of the Regulations under the PCT, involve the modification of Sections 102, 103, 104, 305*bis*, 308, 311, 332, 405, 506 and Annex D, consequential on the amendment of the Regulations under the PCT, as in force from January 1, 2003.

2. The text of the present modifications will be published in *PCT Gazette* No. 52/2002 on December 27, 2002.

MODIFICATIONS OF THE ADMINISTRATIVE INSTRUCTIONS

(with effect from January 1, 2003)

Section 102 Use of the Forms

(a) Subject to paragraphs (b) to (i) and Sections 103 and 114, the International Authorities shall use, or require the use of, the mandatory Forms specified below:

(i) [No change]

(ii) Forms for use by the receiving Offices:

PCT/RO/103	PCT/RO/111	PCT/RO/123	PCT/RO/150
PCT/RO/104	PCT/RO/112	PCT/RO/126	PCT/RO/151
PCT/RO/105	PCT/RO/113	PCT/RO/133	PCT/RO/152
PCT/RO/106	PCT/RO/115	PCT/RO/136	PCT/RO/153
PCT/RO/107	PCT/RO/116	PCT/RO/139	PCT/RO/154
PCT/RO/109	PCT/RO/117	PCT/RO/143	PCT/RO/155
PCT/RO/110	PCT/RO/118	PCT/RO/147	PCT/RO/156
			PCT/RO/157

(iii) to (v) [No change]

(b) to (i) [No change]

Section 103 Languages of the Forms Used by International Authorities

(a) The language of the Forms used by any receiving Office shall be the same as the language in which the international application is filed, provided that:

(i) where the international application is to be published in the language of a translation required under Rule 12.3(a) or 12.4(a), the receiving Office shall use the Forms in such language;

(ii) the receiving Office may, in its communications to the applicant, use the Forms in any other language being one of its official languages.

(b) to (d) [No change]

Section 104 Language of Correspondence in Cases Not Covered by Rule 92.2

(a) The language of any letter from the applicant to the receiving Office shall be the same as the language of the international application to which such letter relates, provided that, where the international application is to be published in the language of a translation required under Rule 12.3(a) or 12.4(a), any letter shall be in such language. However, the receiving Office may expressly authorize the use of any other language.

(b) [No change]

Section 305bis Preparation, Identification and Transmittal of the Copies of the Translation of the International Application

(a) Where a translation of the international application is furnished under Rule 12.3, the receiving Office shall:

(i) be responsible for the prompt preparation of any additional copies required where the translation is furnished in less than the number of copies required for the purposes of this paragraph, and shall have the right to fix a fee for performing that task and to collect such fee from the applicant;

(ii) to (iv) [No change]

(b) [No change]

(c) Where a translation of the international application is furnished under Rule 12.4, the receiving Office shall:

(i) be responsible for the prompt preparation of any additional copies required where the translation is furnished in less than the number of copies required for the purposes of this paragraph, and shall have the right to fix a fee for performing that task and to collect such fee from the applicant;

(ii) mark the words “RECORD COPY – TRANSLATION (RULE 12.4)” in the upper left-hand corner of the first page of the original copy of the translation and transmit that copy to the International Bureau; and

(iii) mark the words “HOME COPY – TRANSLATION (RULE 12.4)” in the same space on the other such copy of the translation, and keep that copy in its files.

Section 308

Marking of the Sheets of the International Application and of the Translation Thereof

The receiving Office shall indelibly mark the international application number referred to in Section 307 in the upper right-hand corner of each sheet of each copy of the purported international application and of any translation of the international application furnished under Rule 12.3 or 12.4.

Section 311

Renumbering in the Case of Deletion, Substitution or Addition of Sheets of the International Application and of the Translation Thereof

(a) to (c) [No change]

(d) Paragraphs (a) to (c) shall apply *mutatis mutandis* to any translation of the international application furnished under Rule 12.3 or 12.4.

Section 332

Notification of Languages Accepted by the receiving Office under Rules 12.1(a) and (c) and 12.4(a)

(a) Each receiving Office shall notify the International Bureau of the language or languages which, having regard to Rule 12.1(b), it is prepared to accept under Rule 12.1(a) for the filing of international applications.

(b) Each receiving Office shall notify the International Bureau of any change to the information notified under paragraphs (a), (d) and (e). If the change means that

(i) the receiving Office is no longer prepared to accept the filing of international applications in a language that it had previously notified the International Bureau that it was prepared to accept; or

(ii) the receiving Office is no longer prepared to accept the translation of international applications into a language of publication that it had previously notified the International Bureau that it was prepared to accept; or

(iii) the receiving Office is no longer prepared to accept the filing of requests in a language that it had previously notified the International Bureau that it was prepared to accept,

the effective date of such change shall be two months after the date of publication of the notification of the change in the Gazette pursuant to Section 405 or such later date as may be determined by the receiving Office.

(c) Nothing in paragraph (a), (b), (d) or (e) prevents any receiving Office from accepting, in a particular case,

(i) the filing of an international application in a language that it has not notified the International Bureau that it is prepared to accept; or

(ii) the translation of an international application into a language of publication that it has not notified the International Bureau that it is prepared to accept; or

(iii) the filing of a request in a language that it has not notified the International Bureau that it is prepared to accept.

(d) Each receiving Office concerned shall notify the International Bureau of the language or languages which it is prepared to accept under Rule 12.4(a) for the translation of international applications into a language of publication.

(e) Each receiving Office shall notify the International Bureau of the language or languages which it is prepared to accept under Rule 12.1(c) for the filing of requests.

Section 405

Publication of Notifications of Languages

Accepted by the receiving Office under Rules 12.1(a) and (c) and 12.4(a)

The International Bureau shall promptly publish in the Gazette any notification under Section 332(a), (b), (d) or (e).

Section 506

[Deleted]

ANNEX D

INFORMATION FROM PAMPHLET FRONT PAGE TO BE INCLUDED IN THE GAZETTE UNDER RULE 86.1(a)(i)

The following information shall be extracted from the front page of the pamphlet of each published international application and shall, in accordance with Rule 86.1(a)(i), appear in the corresponding entry of the Gazette:

1. as to the international publication:

1.1 the international publication number

1.2 the date of the international publication

1.3 an indication whether the following items were published in the pamphlet:

1.31 international search report

1.32 declaration under Article 17(2)

1.33 claims amended under Article 19(1)

1.34 statement under Article 19(1)

1.35 *[Deleted]*

1.36 request for rectification under the third sentence of Rule 91.1(f)

1.37 information concerning a priority claim which was considered not to have been made, published upon request made under Rule 26bis.2(c)

1.4 and 1.5 [No change]

2. to 8. [No change]

[End of document]

PCT FORMS

(Annex A to the Administrative Instructions under the PCT)

ADDENDUM TO PART I

Forms Relating to the Receiving Office

This addendum contains forms which have been modified
and are applicable as from January 2003

LIST OF FORMS RELATING TO THE RECEIVING OFFICE
(applicable as from January 2003)

<i>Form Number</i>	<i>Title of Form</i>	<i>Provision pursuant to which Form is issued</i>
PCT/RO/102	NOTIFICATION CONCERNING PAYMENT OF PRESCRIBED FEES	PCT Rules 14, 15 and 16 and Administrative Instructions, Sections 102 <i>bis</i> (c), 304(a) and (b), 323(b), 707(b) and 803
PCT/RO/106	INVITATION TO CORRECT DEFECTS IN THE INTERNATIONAL APPLICATION	PCT Articles 3(4)(i) and 14(1) and Rule 26
PCT/RO/117	NOTIFICATION THAT INTERNATIONAL APPLICATION CONSIDERED TO BE WITHDRAWN	PCT Article 14(1) or (3) and Rules 12.3(d) or 12.4(d), 29.1 or 92.4(g)(i)
PCT/RO/118	NOTIFICATION CONCERNING DOCUMENTS TRANSMITTED	
PCT/RO/120	INVITATION TO PAY FEE FOR PREPARATION OF COPIES	PCT Rule 21.1(c) and Administrative Instructions, Section 305 <i>bis</i> (a) and (c)
PCT/RO/133	INVITATION TO PAY PRESCRIBED FEES TOGETHER WITH LATE PAYMENT FEE	PCT Rule 16 <i>bis</i>
PCT/RO/157	INVITATION TO FURNISH TRANSLATION OF INTERNATIONAL APPLICATION AND TO PAY, WHERE APPLICABLE, LATE FURNISHING FEE	PCT Rule 12.4(c) and (e)

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION CONCERNING PAYMENT
OF PRESCRIBED FEES

(PCT Rules 14, 15 and 16 and Administrative
Instructions, Sections 102bis(c), 304(a) and (b),
323(b), 707(b) and 803)

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference

PAYMENT DUE
see item 3 for time limits

International application No.

International filing date/Date of receipt
(day/month/year)

Priority date (day/month/year)

Applicant

1. The applicant is hereby notified that this receiving Office has received:

the payment of all the prescribed fees, and **an overpayment**, which will be refunded in due course.

no or insufficient payment of the prescribed fees and the applicant is hereby **invited to pay the balance due**, as summarized under item 2, within the time limit(s) indicated under item 3.

2. **Fees and payment calculation:**

_____	-	_____	=	_____
Total fees payable		Amount paid		Balance

The details of the calculation are given in the Annex.

3. **Time limit(s) for payment and amount(s) payable (Rules 14.1, 15.4 and 16.1(f)):**

within ONE MONTH from the date of receipt of the international application (**for the transmittal fee** (if any), **the search fee, the basic fee and the designation fee**). The amount payable for each fee is the amount applicable on the date of receipt of the international application.

within ONE YEAR from the priority date (**only for the designation fee** and only if this time limit expires later than the above time limit).
 — If the designation fee is paid within one month from the date of receipt of the international application, the amount payable is the amount applicable on that date of receipt.
 — If the designation fee is paid within one year from the priority date but later than one month from the date of receipt of the international application, the amount payable is the amount applicable on the date of payment. The receiving Office should be consulted for the applicable amount.

within 16 MONTHS from the priority date (only for the fee for priority document). The applicant's attention is drawn to the fact that the request made by the applicant under Rule 17.1(b) will be considered not to have been made unless the fee is paid within that time limit.

4. Additional observations (if necessary):

The search copy will not be transmitted to the International Searching Authority until the search fee is paid (therefore the start of the international search will be delayed) (Rule 23.1(a) and (b)).

Name and mailing address of the receiving Office

Facsimile No.

Authorized officer

Telephone No.

**ANNEX TO FORM PCT/RO/102
CALCULATION OF THE PRESCRIBED FEES**

International application No. _____

T Transmittal Fee

Prescribed amount: _____ **T**

Amount paid: - _____

Balance: = _____

- correct amount
- overpayment
- balance due

S Search Fee

Prescribed amount: _____ **S**

Amount paid: - _____

Balance: = _____

- correct amount
- overpayment
- balance due

I International Fee

B Basic Fee

Fixed amount for first 30 sheets: _____ **b1**

_____ x _____ = _____ **b2**

Number of sheets Fee per sheet
in excess of 30

Additional component: 400 x _____ = _____ **b3**

Fee per sheet

Prescribed amount (b1 + b2 + b3) = _____ **B**

D Designation Fee

Amount of designation fee: _____

Number of designation fees payable (maximum 5): x _____

Prescribed amount = _____ **D**

R Reduction where PCT-EASY software is used or where the international application is filed in electronic form (*See the PCT Applicant's Guide, Volume I, General Part, for details on the availability of this reduction*): - _____ **R**

Sub-total (B+D-R): = _____ **B+D-R**

Prescribed total amount (*The amount to be entered at I is the sub-total entered at (B+D-R), except where the applicant is (or all applicants are) entitled to a reduction of 75%, in which case the amount to be entered at I is 25% of the sub-total (B+D-R); certain applicants from certain States are entitled to a reduction of 75% of the international fee; see Notes to the Fee Calculation Sheet as annexed to the Request Form, PCT/RO/101, for details*): = _____ **I**

Amount paid: - _____

Balance: = _____

- correct amount
- overpayment
- balance due

P Fee for priority document

Prescribed amount: _____ **P**

Amount paid: - _____

Balance: = _____

- correct amount
- overpayment
- balance due

Additional observations (*if necessary*):

The amount paid for the designation fee covers the following designations: _____

Other (*specify*): _____

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO CORRECT DEFECTS IN
THE INTERNATIONAL APPLICATION

(PCT Articles 3(4)(i) and 14(1) and Rule 26)

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference

REPLY DUE within _____ months/days from
the above date of mailing

International application No.

International filing date
(day/month/year)

Applicant

1. The applicant is hereby **invited**, within the time limit indicated above, to correct, **in the international application as filed**, the defects specified on the attached:
 - Annex A
 - Annex B1 (*text matter of the international application as filed*)
 - Annex C1 (*drawings of the international application as filed*)

2. The applicant is hereby **invited**, within the time limit indicated above, to correct, **in the translation of the international application** furnished under Rule 12.3 or 12.4, the defects specified on the attached:
 - Annex A
 - Annex B2 (*text matter of the translation of the international application*)
 - Annex C2 (*drawings of the translation of the international application*)

Additional observations (if necessary):

HOW TO CORRECT THE DEFECTS?

Correction must be submitted by filing a replacement sheet embodying the correction and a letter accompanying the replacement sheet, which shall draw attention to the difference between the replaced sheet and the replacement sheet. A correction may be stated in a letter only if it is of such a nature that it can be transferred from the letter to the record copy without adversely affecting the clarity and direct reproducibility of the sheet onto which the correction is to be transferred (Rule 26.4).

ATTENTION

Failure to correct the defects will result in the international application being considered withdrawn by this receiving Office (see Rule 26.5 for further details).

A copy of this invitation and any attachments has been sent to the International Bureau
 and the International Searching Authority

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

The receiving Office has found the following defects in the international application as filed:

1. As to **signature*** of the international application (Rules 4.15 and 90.4), the request:
- a. is not signed
 - b. is not signed by all the applicants
 - c. is not accompanied by the statement referred to in the check list in Box No. VIII of the request explaining the lack of the signature of an applicant for the designation of the United States of America
 - d. is signed by what appears to be an agent/common representative but:
 - the international application is not accompanied by a power of attorney appointing him
 - the power of attorney accompanying the international application was not signed by all the applicants
 - e. other (*specify*):

* All applicants must sign, including inventors if they are also applicants (e.g. where the United States of America is designated).

2. As to indications concerning the **applicant**, the request (Rules 4.4 and 4.5):

- a. does not properly indicate the applicant's name (*specify*):

- b. does not indicate the applicant's address
- c. does not properly indicate the applicant's address (*specify*):

- d. does not indicate the applicant's nationality
- e. does not indicate the applicant's residence
- f. other (*specify*):

3. As to the **language** of certain elements of the international application, other than the description and claims (Rules 12.1(c) and 26.3ter(a) and (c)):

- a. the **request** is not in a language of publication accepted by this receiving Office; (the) language(s) accepted by this receiving Office is/are:

- b. the **text matter of the drawings** is not in the language in which the international application is to be published, which is:

- c. the **abstract** is not in the language in which the international application is to be published, which is:

4. The **title** of the invention:

- a. is not indicated in Box No. I of the request (Rule 4.1(a))
- b. is not indicated at the top of the first sheet of the description (Rule 5.1(a))
- c. as appearing in Box No. I of the request is not identical with the title heading the description (Rule 5.1(a))

5. As to the **abstract** (Rule 8):

- the international application does not contain an abstract

ANNEX B1 TO FORM PCT/RO/106

International application No.

This receiving Office has found that, with regard to the presentation of the **text matter of the international application as filed**, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

1. reasonably uniform international publication (Rules 11 and 26.3(a)(i)) (*defects to be specified*):

	Request	Description	Claims	Abstract
a. <input type="checkbox"/> the sheets do not admit of direct reproduction	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. <input type="checkbox"/> the element does not commence on a new sheet	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. <input type="checkbox"/> sheets are not free from creases, cracks, folds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. <input type="checkbox"/> sheets are not used in the upright position	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. <input type="checkbox"/> one side of the sheets is not left unused	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. <input type="checkbox"/> the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. <input type="checkbox"/> the sheets are not connected as prescribed (Rule 11.4(b))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h. <input type="checkbox"/> sheets are not A4 size (29.7cm x 21cm)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i. <input type="checkbox"/> the minimum margins on the sheets are not as prescribed (top: 2cm; left side: 2.5cm; right side: 2cm; bottom: 2cm)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
j. <input type="checkbox"/> the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
k. <input type="checkbox"/> the file reference number exceeds the maximum of 12 characters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
l. <input type="checkbox"/> the sheets of the description, claims and abstract are not numbered in consecutive Arabic numerals		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
m. <input type="checkbox"/> the sheet numbers are not centered at the top or bottom of the sheets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
n. <input type="checkbox"/> the sheet numbers are in the margin (see i. above for the size of the margins)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
o. <input type="checkbox"/> the text matter is not typed or printed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
p. <input type="checkbox"/> the typing on the sheets is not 1½-spaced		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
q. <input type="checkbox"/> the characters in the text matter on the sheets are less than 0.21 cm high in capital letters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
r. <input type="checkbox"/> the text matter on the sheets is not in dark, indelible color	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
s. <input type="checkbox"/> the element contains drawings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
t. <input type="checkbox"/> the sheets contain alterations/overwritings/interlineations/too many erasures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
u. <input type="checkbox"/> the sheets contain photocopy marks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2. satisfactory reproduction (Rules 11 and 26.3(b)(i))

Further observations (if necessary):

ANNEX B2 TO FORM PCT/RO/106

International application No.

This receiving Office has found that, with regard to the presentation of the **text matter of the translation of the international application**, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

1. reasonably uniform international publication (Rules 11 and 26.3b(ii)) (*defects to be specified*):

	Request	Description	Claims	Abstract
a. <input type="checkbox"/> the sheets do not admit of direct reproduction	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. <input type="checkbox"/> the element does not commence on a new sheet	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. <input type="checkbox"/> sheets are not free from creases, cracks, folds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. <input type="checkbox"/> sheets are not used in the upright position	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. <input type="checkbox"/> one side of the sheets is not left unused	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. <input type="checkbox"/> the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. <input type="checkbox"/> the sheets are not connected as prescribed (Rule 11.4(b))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h. <input type="checkbox"/> sheets are not A4 size (29.7cm x 21cm)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i. <input type="checkbox"/> the minimum margins on the sheets are not as prescribed (top: 2cm; left side: 2.5cm; right side: 2cm; bottom: 2cm)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
j. <input type="checkbox"/> the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
k. <input type="checkbox"/> the file reference number exceeds the maximum of 12 characters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
l. <input type="checkbox"/> the sheets of the description, claims and abstract are not numbered in consecutive Arabic numerals		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
m. <input type="checkbox"/> the sheet numbers are not centered at the top or bottom of the sheets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
n. <input type="checkbox"/> the sheet numbers are in the margin (see i. above for the size of the margins)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
o. <input type="checkbox"/> the text matter is not typed or printed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
p. <input type="checkbox"/> the typing on the sheets is not 1½-spaced		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
q. <input type="checkbox"/> the characters in the text matter on the sheets are less than 0.21 cm high in capital letters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
r. <input type="checkbox"/> the text matter on the sheets is not in dark, indelible color	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
s. <input type="checkbox"/> the element contains drawings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
t. <input type="checkbox"/> the sheets contain alterations/overwritings/interlineations/too many erasures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
u. <input type="checkbox"/> the sheets contain photocopy marks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2. satisfactory reproduction (Rules 11 and 26.3(a)(ii))

Further observations (if necessary):

ANNEX C1 TO FORM PCT/RO/106

This receiving Office has found that, with regard to the presentation of the **drawings of the international application as filed**, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

1. reasonably uniform international publication (Rules 11 and 26.3(a)(i)) (*defects to be specified*):

Sheets containing drawings:

- a. the sheets do not admit of direct reproduction
- b. the sheets are not free from creases, cracks, folds
- c. one side of the sheets is not left unused
- d. the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable
- e. the drawings do not commence on a new sheet
- f. the sheets are not connected as prescribed (Rule 11.4(b))
- g. the sheets are not A4 size (29.7cm x 21cm)
- h. the minimum margins on the sheets are not as prescribed (top: 2.5cm; left side: 2.5cm; right side: 1.5cm; bottom: 1cm)
- i. the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets
- j. the file reference number exceeds the maximum of 12 characters
- k. the sheets are not free from frames around usable or used surfaces
- l. the sheets are not numbered in consecutive Arabic numerals (e.g. 1/3, 2/3, 3/3)
- m. the sheet numbers are not centered at the top or bottom of the sheets
- n. the sheet numbers are in the margin (see h. above for the size of the margins)
- o. the sheets contain alterations/overwritings/interlineations/too many erasures
- p. the sheets contain photocopy marks

Drawings (Rule 11.13):

- a. do not admit of direct reproduction
- b. contain unnecessary text matter
- c. contain words so placed as to prevent translation without interference with lines thereof
- d. are not executed in durable black color; the lines are not uniformly thick and well-defined
- e. contain cross-sections not properly hatched
- f. would not be properly distinguishable in reduced reproduction
- g. contain scales not represented graphically
- h. contain numbers, letters and reference lines lacking simplicity and clarity
- i. contain lines drafted without the aid of drafting instruments
- j. contain disproportionate elements of a figure not necessary for clarity
- k. contain numbers and letters of height less than 0.32 cm
- l. contain letters not conforming to the Latin, and where customary, Greek alphabets
- m. contain figures on two or more sheets which form a single complete figure but which are not able to be assembled without concealing parts thereof
- n. contain figures which are not properly arranged and clearly separated
- o. contain different figures not numbered in consecutive Arabic numerals
- p. contain different figures not numbered independently of the numbering of the sheets
- q. are not restricted to reference signs mentioned in the description
- r. do not contain reference signs that are mentioned in the description
- s. contain the same feature denoted by different reference signs
- t. are not arranged in an upright position, clearly separated from one another
- u. are not presented sideways with the top of the figures at the left side of the sheets

2. satisfactory reproduction (Rules 11 and 26.3(b)(i))

Further observations (if necessary):

This receiving Office has found that, with regard to the **drawings of the translation** of the international application, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

1. reasonably uniform international publication (Rules 11 and 26.3(b)(ii)) (*defects to be specified*):

Sheets containing drawings:

- a. the sheets do not admit of direct reproduction
- b. the sheets are not free from creases, cracks, folds
- c. one side of the sheets is not left unused
- d. the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable
- e. the drawings do not commence on a new sheet
- f. the sheets are not connected as prescribed (Rule 11.4(b))
- g. the sheets are not A4 size (29.7cm x 21cm)
- h. the minimum margins on the sheets are not as prescribed (top: 2.5cm; left side: 2.5cm; right side: 1.5cm; bottom: 1cm)
- i. the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets
- j. the file reference number exceeds the maximum of 12 characters
- k. the sheets are not free from frames around usable or used surfaces
- l. the sheets are not numbered in consecutive Arabic numerals (e.g. 1/3, 2/3, 3/3)
- m. the sheet numbers are not centered at the top or bottom of the sheets
- n. the sheet numbers are in the margin (see h. above for the size of the margins)
- o. the sheets contain alterations/overwritings/interlineations/too many erasures
- p. the sheets contain photocopy marks

Drawings (Rule 11.13):

- a. do not admit of direct reproduction
- b. contain unnecessary text matter
- c. contain words so placed as to prevent translation without interference with lines thereof
- d. are not executed in durable black color; the lines are not uniformly thick and well-defined
- e. contain cross-sections not properly hatched
- f. would not be properly distinguishable in reduced reproduction
- g. contain scales not represented graphically
- h. contain numbers, letters and reference lines lacking simplicity and clarity
- i. contain lines drafted without the aid of drafting instruments
- j. contain disproportionate elements of a figure not necessary for clarity
- k. contain numbers and letters of height less than 0.32 cm
- l. contain letters not conforming to the Latin, and where customary, Greek alphabets
- m. contain figures on two or more sheets which form a single complete figure but which are not able to be assembled without concealing parts thereof
- n. contain figures which are not properly arranged and clearly separated
- o. contain different figures not numbered in consecutive Arabic numerals
- p. contain different figures not numbered independently of the numbering of the sheets
- q. are not restricted to reference signs mentioned in the description
- r. do not contain reference signs that are mentioned in the description
- s. contain the same feature denoted by different reference signs
- t. are not arranged in an upright position, clearly separated from one another
- u. are not presented sideways with the top of the figures at the left side of the sheets

2. satisfactory reproduction (Rules 11 and 26.3(a)(ii))

Further observations (if necessary):

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION THAT INTERNATIONAL
APPLICATION CONSIDERED
TO BE WITHDRAWN

(PCT Article 14(1) or (3) and Rules 12.3(d) or 12.4(d),
29.1 or 92.4(g)(i))

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference

IMPORTANT NOTIFICATION

International application No.

International filing date <i>(day/month/year)</i>
--

Applicant

The applicant is hereby notified that **the international application is declared by this receiving Office to be considered withdrawn** for the reason indicated below:

1. **Failure to correct certain defects:** An invitation (Form PCT/RO/106) to correct defects in the international application was mailed by this receiving Office on _____

However: no corrections, in response to that invitation, have been received within the prescribed time limit
 applicant's corrections as submitted do not properly correct the defects noted in that invitation

2. **Failure to furnish the required translation of the international application and/or to pay the late furnishing fee:** An invitation (Form PCT/RO/150 or Form PCT/RO/157) to furnish the required translation of the international application and to pay, where applicable, the late furnishing fee was mailed by this receiving Office on _____

However, within the time limit referred to in that invitation:
 the required translation was not furnished the required late furnishing fee was not paid

3. **Failure to pay prescribed fees:** An invitation (Form PCT/RO/133) to pay the prescribed fees was mailed by this receiving Office on _____

However, within the time limit referred to in that invitation:
 no fees have been paid
 the following fees have not been paid or the amounts paid are not sufficient to cover:
 the transmittal fee the search fee the late payment fee
 the basic fee at least one designation fee

4. **Failure to furnish the original of the international application:** An invitation (Form PCT/RO/141) to furnish the original of the international application (transmitted earlier by facsimile machine/teleprinter/etc.) was mailed by this receiving Office on _____

However, the original was not furnished within the time limit fixed in that invitation.

5. A copy of this notification has been sent to the International Bureau and to the International Searching Authority.

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

To:

PCT

NOTIFICATION CONCERNING DOCUMENTS TRANSMITTED

Date of mailing (day/month/year)

The receiving Office transmits herewith the following documents:

(number)

- 1. record copies (Article 12(1))
2. search copies (Article 12(1))
3. translations of international applications (Rule 12.3 or 12.4)
4. copies of purported international applications (Rule 20.7(iv))
5. record copies and corrections not already transmitted in respect of the international applications which have been considered withdrawn (Rule 29.1(a)(i))
6. (copies of the) letters of corrections or rectifications (Administrative Instructions, Section 325(b) and (c))
7. (copies of) replacement sheets (Administrative Instructions, Section 325(b) and (c))
8. (copies of) later submitted sheets (Administrative Instructions, Section 309(b)(iii), (c)(ii))
9. (copies of) later submitted drawings (Administrative Instructions, Section 310(c)(iii), (d)(ii))
10. other documents (specify):

The Annex contains a list identifying each document transmitted by the type of document it is, the corresponding international application number and, if necessary, other information.

This notification is sent to the addressee in its capacity as:

- the International Searching Authority
the International Bureau

Name and mailing address of the receiving Office, Authorized officer, Facsimile No., Telephone No.

ANNEX TO FORM PCT/RO/118

Type of document	International application No.	Other information

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO PAY FEE FOR
PREPARATION OF COPIES

(PCT Rule 21.1(c) and Administrative Instructions,
Section 305*bis*(a) and (c))

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference

PAYMENT DUE within ONE MONTH from the above date of mailing
--

International application No.

International filing date <i>(day/month/year)</i>
--

Applicant

The applicant is hereby **invited**, within the time limit indicated above, **to pay to this receiving Office** the following amount:

for the preparation of additional copies of

- the international application
- the translation of the international application

as detailed below:

Number of copies required by this receiving Office	:	_____
Number of copies filed by the applicant	:	_____
Number of additional copies prepared by this receiving Office	:	_____

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO PAY PRESCRIBED FEES TOGETHER WITH LATE PAYMENT FEE

(PCT Rule 16bis)

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference

PAYMENT DUE within ONE MONTH from the above date of mailing

International application No.

International filing date/Date of receipt <i>(day/month/year)</i>

Applicant

<p>1. This receiving Office has found that the prescribed fees (i.e., transmittal fee, search fee, basic fee and/or designation fee) have not been paid (in full), within the time limits prescribed under Rules 14, 15 and 16. See below for details of the calculation.</p> <p>2. The applicant is hereby invited, within the time limit indicated above, to pay the following total amount (see the Annex for details):</p> <div style="text-align: center; margin: 10px 0;"> <table style="width: 100%; border: none;"> <tr> <td style="border: none;">_____</td> <td style="border: none; text-align: center;">+</td> <td style="border: none;">_____</td> <td style="border: none; text-align: center;">=</td> <td style="border: none;">_____</td> </tr> <tr> <td style="border: none; text-align: center;">Total unpaid fees</td> <td style="border: none;"></td> <td style="border: none; text-align: center;">Late payment fee</td> <td style="border: none;"></td> <td style="border: none; text-align: center;">Total amount due</td> </tr> </table> </div> <p>3. Failure to pay the total amount due within the time limit indicated above may result in the international application or designation(s) being considered withdrawn by this receiving Office.</p> <p style="margin-left: 20px;">The applicant's attention is drawn to Rules 16bis.1(c) and 29.</p> <p>4. Additional observations <i>(if any)</i>:</p> <p><input type="checkbox"/> the amount of _____ was received by this receiving Office after the expiration of the applicable time limit(s); the total amount due indicated above is correspondingly reduced</p> <p><input type="checkbox"/> other observations:</p> <p>5. A copy of this invitation is being sent to the International Bureau.</p>	_____	+	_____	=	_____	Total unpaid fees		Late payment fee		Total amount due
_____	+	_____	=	_____						
Total unpaid fees		Late payment fee		Total amount due						

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO FURNISH TRANSLATION OF
INTERNATIONAL APPLICATION AND TO PAY,
WHERE APPLICABLE, LATE FURNISHING FEE

(PCT Rule 12.4(c) and (e))

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference

TIME LIMIT
see item 2 below

International application No.

International filing date/Date of receipt
(day/month/year)

Applicant

1. The applicant is hereby notified that this receiving Office has **not yet received the required translation of the international application** into a language of publication accepted by this receiving Office that is, into the (or one of the) following language(s):

2. The applicant is hereby **invited**:

a. to furnish the required translation within 14 months from the priority date

b. in the event that the required translation is not furnished within the time limit referred to in item 2.a, to furnish the required translation within 16 months from the priority date

together with a late furnishing fee of _____

3. **Failure to furnish** the required translation and/or, where applicable, to pay the required late furnishing fee within the applicable time limit referred to in item 2.b will result in the international application being considered withdrawn and so declared by the receiving Office. **However**, any translation and any payment received by the receiving Office before that Office makes the declaration under the previous sentence and before the expiration of 17 months from the priority date will be considered to have been received before the expiration of that time limit.

A copy of this invitation is being sent to the International Bureau

Name and mailing address of the receiving Office

Facsimile No.

Authorized officer

Telephone No.

PCT FORMS

(Annex A to the Administrative Instructions under the PCT)

ADDENDUM TO PART II

Forms Relating to the International Searching Authority

This addendum contains forms which have been modified
and are applicable as from January 2003

**LIST OF FORMS RELATING TO THE
INTERNATIONAL SEARCHING AUTHORITY
(applicable as from January 2003)**

<i>Form Number</i>	<i>Title of Form</i>	<i>Provision pursuant to which Form is issued</i>
PCT/ISA/207	<i>[Deleted]</i>	
PCT/ISA/208	<i>[Deleted]</i>	
PCT/ISA/219	NOTIFICATION CONCERNING DOCUMENTS TRANSMITTED	
PCT/ISA/222	<i>[Deleted]</i>	

PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

PCT

NOTIFICATION CONCERNING
DOCUMENTS TRANSMITTED

To:

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20
Switzerland

Date of mailing
(day/month/year)

The International Searching Authority transmits herewith the following documents:

(number)

1. _____ copies of international search reports (Rule 44.1)
2. _____ copies of declarations of non-establishment of international search reports (Rule 44.1)
3. _____ letters of rectifications (Administrative Instructions, Section 511(a)(v))
4. _____ replacement sheets (Administrative Instructions, Section 511(a)(v))
5. _____ other documents (*specify*):

The Annex contains a list identifying each document transmitted by the type of document it is, the corresponding international application number and, if necessary, other information.

Name and mailing address of the ISA/

Authorized officer

Facsimile No.

Telephone No.

ANNEX TO FORM PCT/ISA/219

Type of document	International application No.	Other information

PCT FORMS

(Annex A to the Administrative Instructions under the PCT)

ADDENDUM TO PART III

Forms Relating to the International Bureau

This addendum contains forms which have been modified
and are applicable as from January 2003

**LIST OF FORMS RELATING TO THE
INTERNATIONAL BUREAU
(applicable as from January 2003)**

<i>Form Number</i>	<i>Title of Form</i>	<i>Provision pursuant to which Form is issued</i>
PCT/IB/313	NOTIFICATION OF DEFECTS IN THE INTERNATIONAL APPLICATION	PCT Articles 3(4)(i) and 14(1) and Rule 28.1

PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF DEFECTS IN THE INTERNATIONAL APPLICATION

(PCT Articles 3(4)(i) and 14(1) and Rule 28.1)

To:

in its capacity as receiving Office

Date of mailing (day/month/year)	International filing date (day/month/year)
International application No.	Applicant

1. The International Bureau hereby calls the attention of the receiving Office to the defects **in the international application as filed**, which are specified on the attached:
- Annex A
 - Annex B1 (*text matter of the international application as filed*)
 - Annex C1 (*drawings of the international application as filed*)
2. The International Bureau hereby calls the attention of the receiving Office to the defects **in the translation of the international application** furnished under Rule 12.3 or 12.4, which are specified on the attached:
- Annex A
 - Annex B2 (*text matter of the translation of the international application*)
 - Annex C2 (*drawings of the translation of the international application*)

Additional observations (*if necessary*):

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer
Facsimile No. +41 22 740 14 35	Telephone No. +41 22 338 91 11

The International Bureau has found the following defects in the international application as filed:

1. As to **signature*** of the international application (Rules 4.15 and 90.4), the request:
- a. is not signed
 - b. is not signed by all the applicants
 - c. is not accompanied by the statement referred to in the check list in Box No. VIII of the request explaining the lack of the signature of an applicant for the designation of the United States of America
 - d. is signed by what appears to be an agent/common representative but:
 - the international application is not accompanied by a power of attorney appointing him
 - the power of attorney accompanying the international application was not signed by all the applicants
 - e. other (*specify*):

* All applicants must sign, including inventors if they are also applicants (e.g. where the United States of America is designated).

2. As to indications concerning the **applicant**, the request (Rules 4.4 and 4.5):

- a. does not properly indicate the applicant's name (*specify*):

- b. does not indicate the applicant's address
- c. does not properly indicate the applicant's address (*specify*):

- d. does not indicate the applicant's nationality
- e. does not indicate the applicant's residence
- f. other (*specify*):

3. As to the **language** of certain elements of the international application, other than the description and claims (Rules 12.1(c) and 26.3ter(a) and (c)):

- a. the **request** is not in a language of publication accepted by the receiving Office; (the) language(s) accepted by this receiving Office is/are:

- b. the **text matter of the drawings** is not in the language in which the international application is to be published, which is:

- c. the **abstract** is not in the language in which the international application is to be published, which is:

4. The **title** of the invention:

- a. is not indicated in Box No. I of the request (Rule 4.1(a))
- b. is not indicated at the top of the first sheet of the description (Rule 5.1(a))
- c. as appearing in Box No. I of the request is not identical with the title heading the description (Rule 5.1(a))

The International Bureau has found that, with regard to the presentation of the **text matter of the international application as filed**, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

1. reasonably uniform international publication (Rules 11 and 26.3(a)(i)) (*defects to be specified*):

	Request	Description	Claims	Abstract
a. <input type="checkbox"/> the sheets do not admit of direct reproduction	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. <input type="checkbox"/> the element does not commence on a new sheet	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. <input type="checkbox"/> sheets are not free from creases, cracks, folds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. <input type="checkbox"/> sheets are not used in the upright position	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. <input type="checkbox"/> one side of the sheets is not left unused	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. <input type="checkbox"/> the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. <input type="checkbox"/> the sheets are not connected as prescribed (Rule 11.4(b))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h. <input type="checkbox"/> sheets are not A4 size (29.7cm x 21cm)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i. <input type="checkbox"/> the minimum margins on the sheets are not as prescribed (top: 2cm; left side: 2.5cm; right side: 2cm; bottom: 2cm)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
j. <input type="checkbox"/> the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
k. <input type="checkbox"/> the file reference number exceeds the maximum of 12 characters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
l. <input type="checkbox"/> the sheets of the description, claims and abstract are not numbered in consecutive Arabic numerals		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
m. <input type="checkbox"/> the sheet numbers are not centered at the top or bottom of the sheets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
n. <input type="checkbox"/> the sheet numbers are in the margin (see i. above for the size of the margins)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
o. <input type="checkbox"/> the text matter is not typed or printed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
p. <input type="checkbox"/> the typing on the sheets is not 1½-spaced		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
q. <input type="checkbox"/> the characters in the text matter on the sheets are less than 0.21 cm high in capital letters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
r. <input type="checkbox"/> the text matter on the sheets is not in dark, indelible color	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
s. <input type="checkbox"/> the element contains drawings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
t. <input type="checkbox"/> the sheets contain alterations/overwritings/interlineations/too many erasures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
u. <input type="checkbox"/> the sheets contain photocopy marks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2. satisfactory reproduction (Rules 11 and 26.3(b)(i))

Further observations (*if necessary*):

ANNEX B2 TO FORM PCT/IB/313

International application No.

The International Bureau has found that, with regard to the presentation of the **text matter of the translation of the international application**, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

1. reasonably uniform international publication (Rules 11 and 26.3b(ii)) (*defects to be specified*):

	Request	Description	Claims	Abstract
a. <input type="checkbox"/> the sheets do not admit of direct reproduction	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. <input type="checkbox"/> the element does not commence on a new sheet	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. <input type="checkbox"/> sheets are not free from creases, cracks, folds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. <input type="checkbox"/> sheets are not used in the upright position	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. <input type="checkbox"/> one side of the sheets is not left unused	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. <input type="checkbox"/> the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. <input type="checkbox"/> the sheets are not connected as prescribed (Rule 11.4(b))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h. <input type="checkbox"/> sheets are not A4 size (29.7cm x 21cm)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i. <input type="checkbox"/> the minimum margins on the sheets are not as prescribed (top: 2cm; left side: 2.5cm; right side: 2cm; bottom: 2cm)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
j. <input type="checkbox"/> the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
k. <input type="checkbox"/> the file reference number exceeds the maximum of 12 characters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
l. <input type="checkbox"/> the sheets of the description, claims and abstract are not numbered in consecutive Arabic numerals		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
m. <input type="checkbox"/> the sheet numbers are not centered at the top or bottom of the sheets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
n. <input type="checkbox"/> the sheet numbers are in the margin (see i. above for the size of the margins)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
o. <input type="checkbox"/> the text matter is not typed or printed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
p. <input type="checkbox"/> the typing on the sheets is not 1½-spaced		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
q. <input type="checkbox"/> the characters in the text matter on the sheets are less than 0.21 cm high in capital letters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
r. <input type="checkbox"/> the text matter on the sheets is not in dark, indelible color	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
s. <input type="checkbox"/> the element contains drawings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
t. <input type="checkbox"/> the sheets contain alterations/overwritings/interlineations/too many erasures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
u. <input type="checkbox"/> the sheets contain photocopy marks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2. satisfactory reproduction (Rules 11 and 26.3(a)(ii))

Further observations (*if necessary*):

The International Bureau has found that, with regard to the presentation of the **drawings of the international application as filed**, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

1. reasonably uniform international publication (Rules 11 and 26.3(a)(i)) (*defects to be specified*):

Sheets containing drawings:

- a. the sheets do not admit of direct reproduction
- b. the sheets are not free from creases, cracks, folds
- c. one side of the sheets is not left unused
- d. the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable
- e. the drawings do not commence on a new sheet
- f. the sheets are not connected as prescribed (Rule 11.4(b))
- g. the sheets are not A4 size (29.7cm x 21cm)
- h. the minimum margins on the sheets are not as prescribed (top: 2.5cm; left side: 2.5cm; right side: 1.5cm; bottom: 1cm)
- i. the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets
- j. the file reference number exceeds the maximum of 12 characters
- k. the sheets are not free from frames around usable or used surfaces
- l. the sheets are not numbered in consecutive Arabic numerals (e.g. 1/3, 2/3, 3/3)
- m. the sheet numbers are not centered at the top or bottom of the sheets
- n. the sheet numbers are in the margin (see h. above for the size of the margins)
- o. the sheets contain alterations/overwritings/interlineations/too many erasures
- p. the sheets contain photocopy marks

Drawings (Rule 11.13):

- a. do not admit of direct reproduction
- b. contain unnecessary text matter
- c. contain words so placed as to prevent translation without interference with lines thereof
- d. are not executed in durable black color; the lines are not uniformly thick and well-defined
- e. contain cross-sections not properly hatched
- f. would not be properly distinguishable in reduced reproduction
- g. contain scales not represented graphically
- h. contain numbers, letters and reference lines lacking simplicity and clarity
- i. contain lines drafted without the aid of drafting instruments
- j. contain disproportionate elements of a figure not necessary for clarity
- k. contain numbers and letters of height less than 0.32 cm
- l. contain letters not conforming to the Latin, and where customary, Greek alphabets
- m. contain figures on two or more sheets which form a single complete figure but which are not able to be assembled without concealing parts thereof
- n. contain figures which are not properly arranged and clearly separated
- o. contain different figures not numbered in consecutive Arabic numerals
- p. contain different figures not numbered independently of the numbering of the sheets
- q. are not restricted to reference signs mentioned in the description
- r. do not contain reference signs that are mentioned in the description
- s. contain the same feature denoted by different reference signs
- t. are not arranged in an upright position, clearly separated from one another
- u. are not presented sideways with the top of the figures at the left side of the sheets

2. satisfactory reproduction (Rules 11 and 26.3(b)(i))

Further observations (*if necessary*):

ANNEX C2 TO FORM PCT/IB/313

The International Bureau has found that, with regard to the **drawings of the translation of the international application**, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

1. reasonably uniform international publication (Rules 11 and 26.3(b)(ii)) (*defects to be specified*):

Sheets containing drawings:

- a. the sheets do not admit of direct reproduction
- b. the sheets are not free from creases, cracks, folds
- c. one side of the sheets is not left unused
- d. the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable
- e. the drawings do not commence on a new sheet
- f. the sheets are not connected as prescribed (Rule 11.4(b))
- g. the sheets are not A4 size (29.7cm x 21cm)
- h. the minimum margins on the sheets are not as prescribed (top: 2.5cm; left side: 2.5cm; right side: 1.5cm; bottom: 1cm)
- i. the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets
- j. the file reference number exceeds the maximum of 12 characters
- k. the sheets are not free from frames around usable or used surfaces
- l. the sheets are not numbered in consecutive Arabic numerals (e.g. 1/3, 2/3, 3/3)
- m. the sheet numbers are not centered at the top or bottom of the sheets
- n. the sheet numbers are in the margin (see h. above for the size of the margins)
- o. the sheets contain alterations/overwritings/interlineations/too many erasures
- p. the sheets contain photocopy marks

Drawings (Rule 11.13):

- a. do not admit of direct reproduction
- b. contain unnecessary text matter
- c. contain words so placed as to prevent translation without interference with lines thereof
- d. are not executed in durable black color; the lines are not uniformly thick and well-defined
- e. contain cross-sections not properly hatched
- f. would not be properly distinguishable in reduced reproduction
- g. contain scales not represented graphically
- h. contain numbers, letters and reference lines lacking simplicity and clarity
- i. contain lines drafted without the aid of drafting instruments
- j. contain disproportionate elements of a figure not necessary for clarity
- k. contain numbers and letters of height less than 0.32 cm
- l. contain letters not conforming to the Latin, and where customary, Greek alphabets
- m. contain figures on two or more sheets which form a single complete figure but which are not able to be assembled without concealing parts thereof
- n. contain figures which are not properly arranged and clearly separated
- o. contain different figures not numbered in consecutive Arabic numerals
- p. contain different figures not numbered independently of the numbering of the sheets
- q. are not restricted to reference signs mentioned in the description
- r. do not contain reference signs that are mentioned in the description
- s. contain the same feature denoted by different reference signs
- t. are not arranged in an upright position, clearly separated from one another
- u. are not presented sideways with the top of the figures at the left side of the sheets

2. satisfactory reproduction (Rules 11 and 26.3(a)(ii))

Further observations (*if necessary*):