

AGREEMENT REGARDING PFR ST.36/2009/006

Agreement regarding PFR ST.36/2009/006 was reached by the ST.36 Task Force on February 22, 2010 as proposed in PFR ST.36/2009/006 **Rev.1** on February 11, 2010.

PFR ST.36/2009/006 **Rev.1** and the initial proposal submitted by the WO/IB Office on November 5, 2009 are reproduced as Annexes to this Agreement.

[Annexes follow]

ANNEX 1

PROPOSAL FOR REVISION (PFR) OF WIPO XML STANDARD ST.36

1. Identifier: PFR ST.36/2009/006 (Rev.1)

2. Procedure for handling the PFR: Fast-Track Procedure by the ST.36 Task Force

3. Submitted by: the International Bureau

4. Submitted on: February 11, 2010

5. Subject of the PFR:

Fee Sheet Updates

6. WIPO Standards & Items impacted

- Annex A (xx-patent-document.dtd)
 - Annex C (International Common Elements: ICEs): "fee-sheet" and "payment-mode" elements
 - Other: Annex F of the PCT Administrative Instructions
 - pkgheader.dtd, fee-sheet.dtd, fee-sheet-chapter2.dtd
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7. Reasons for Presenting the PFR

The PFR is submitted to reflect the fee sheet updates to allow a payment contact e-mail address to be specified and to add credit card to the list of payment modes. This PFR is related to the PFC/09/007.

8. Detailed Description of the PFR

Proposal 1: modification of the fee-sheet element

Detailed Reason

Adding this element will allow applicants to specify a payment contact for cases where the contact point for payment processing is not the main contact point email for the application.

This is proposed to enable a change in PCT-SAFE to ensure that sensitive client information, in particular credit card details, is not captured in PCT-SAFE.

Instead, the filer will receive an e-mail with payment instructions; the dedicated e-mail address allows this to be directed, if necessary, to a person responsible for the payment other than the person making the filing.

PCT-SAFE will use the main contact point e-mail, when available to populate a default value for this element.

NOTE the e-mail element is already defined in the ICE, and the email-purpose attribute list is specified using a different type of quality. Thus the only new element proposed is payment-contact (optional reflecting the business rule).

Proposed Solution

Change From:

```
<!ELEMENT fee-sheet ((file-reference-id? , applicant-name , prescribed-fees
, amount-grand-total+ , deposit-account? , dtext*) | doc-page+)>
<!ATTLIST fee-sheet lang          CDATA #REQUIRED
                    dtd-version   CDATA #IMPLIED
                    file           CDATA #IMPLIED
                    produced-by    (applicant |RO |ISA |IPEA |IB |
DO |EO |national-office |
                                regional-office ) #REQUIRED
                    date-produced CDATA #IMPLIED
                    ro            CDATA #REQUIRED
                    status        CDATA #IMPLIED >
```

To:

```
<!ELEMENT fee-sheet ((file-reference-id? , applicant-name , prescribed-fees
, amount-grand-total+ , deposit-account? , dtext*, payment-contact?) | doc-
page+)>
<!ATTLIST fee-sheet lang          CDATA #REQUIRED
                    dtd-version   CDATA #IMPLIED
                    file           CDATA #IMPLIED
                    produced-by    (applicant | RO |ISA |IPEA |IB
|DO |EO | national-office |
                                regional-office ) #REQUIRED
                    date-produced CDATA #IMPLIED
                    ro            CDATA #REQUIRED
                    status        CDATA #IMPLIED >
```

Add:

```
<!--payment-contact element for use as container for an
email that can be used as the contact point for payment
processing
-->
<!ELEMENT payment-contact (%name_group;, email)>
```

Proposal 2: modification of the payment-mode element

Detailed Reason:

Correct omission of this credit-card payment mode possibility

Proposed Solution:

Change From:

```
<!--
Mode of payment
-->
<!ELEMENT payment-mode (charge | postal-order | cash | coupons
| cheque | bank-draft | stamps | other | later-payment)>
```

To:

```
<!--
Mode of payment
-->
<!ELEMENT payment-mode (charge | postal-order | cash | coupons
| cheque | bank-draft | stamps | other | later-payment |
credit-card)>
```

9. Appendix: Proposed Modifications to the ST.36 ICEs

Element Name	Description	Uses	Used By	In DTD	INID Code (ST.9)
fee-sheet	<!ELEMENT fee-sheet ((file-reference-id? , applicant-name , prescribed-fees , amount-grand-total+ , deposit-account? , dtext* , payment-contact?) doc-page+)> <!ATTLIST fee-sheet CDATA:#REQUIRED dtd-version CDATA #IMPLIED file CDATA #IMPLIED produced-by (applicant RO ISA PEA IB DO EO national-office regional-office) #REQUIRED date-produced CDATA #IMPLIED ro CDATA #REQUIRED status CDATA #IMPLIED >	file-reference-id, applicant-name, prescribed-fees, amount-grand-total, deposit-account, dtext, doc-page, payment-contact			
payment-contact	element for use as container for an email that can be used as the contact point for payment processing <!ELEMENT payment-contact (%name_group;, email)>	email	fee-sheet	fee-sheet	
email	Email address <!ELEMENT email (#PCDATA)> <!ATTLIST email email-purpose (informal general advance main) #IMPLIED >		addressbook, authorized-officer, payment-contact	request, application-body, declarations, power-of-attorney, table-external, ex-officio-correction, demand, search-report, iprp, amendment-request, wo-bibliographic-data, wo-published-application, xx-patent-document, fee-sheet	
payment-mode	The method of payment of the fees due <!ELEMENT payment-mode (charge postal-order cash coupons cheque bank-draft stamps other later-payment credit-card)>	charge, postal-order, cash, coupons, cheque, bank-draft, stamps, other, later-payment, credit-card	fee-sheet-chapter2, pdoc-fee, international-fee, search-fee, transmittal-fee, handling-fee, preliminary-examin-fee, earlier-search-document-fee	fee-sheet, fee-sheet-chapter2	
credit-card	Credit-card used as payment method <!ELEMENT credit-card EMPTY> <!ATTLIST credit-card number CDATA #REQUIRED expires CDATA #REQUIRED name CDATA #IMPLIED postal-code CDATA #IMPLIED >		payment , payment-mode	pkgheader , fee-sheet, fee-sheet-chapter2	

ANNEX 2

INITIAL PFR

1. Identifier: PFR ST.36/2009/006

2. Procedure for handling the PFR: Fast-Track Procedure by the ST.36 Task Force

3. Submitted by: the International Bureau

4. Submitted on: November 5, 2009

5. Subject of the PFR:

Fee Sheet Updates

6. WIPO Standards & Items impacted

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 - Annex C (International Common Elements: ICEs): "fee-sheet" and "payment-mode" elements
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Detailed Reason

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This is proposed to enable a change in PCT-SAFE to ensure that sensitive client information, in particular credit card details, is not captured in PCT-SAFE.

Instead, the filer will receive an e-mail with payment instructions; the dedicated e-mail address allows this to be directed, if necessary, to a person responsible for the payment other than the person making the filing.

PCT-SAFE will use the main contact point e-mail, when available to populate a default value for this element.

NOTE the e-mail element is already defined in the ICE, and the email-purpose attribute list is specified using a different type of quality. Thus the only new element proposed is payment-contact (optional reflecting the business rule).

Proposed Solution

Change From:

```
<!ELEMENT fee-sheet ((file-reference-id? , applicant-name , prescribed-fees
, amount-grand-total+ , deposit-account? , dtext*) | doc-page+)>
<!ATTLIST fee-sheet lang          CDATA #REQUIRED
                    dtd-version   CDATA #IMPLIED
                    file          CDATA #IMPLIED
                    produced-by    (applicant |RO |ISA |IPEA |IB |
DO |EO |national-office |
                                regional-office ) #REQUIRED
                    date-produced CDATA #IMPLIED
                    ro           CDATA #REQUIRED
                    status        CDATA #IMPLIED >
```

To:

```
<!ELEMENT fee-sheet ((file-reference-id? , applicant-name , prescribed-fees
, amount-grand-total+ , deposit-account? , dtext*, payment-contact?) | doc-
page+)>
<!ATTLIST fee-sheet lang          CDATA #REQUIRED
                    dtd-version   CDATA #IMPLIED
                    file          CDATA #IMPLIED
                    produced-by    (applicant | RO |ISA |IPEA |IB
|DO |EO | national-office |
                                regional-office ) #REQUIRED
                    date-produced CDATA #IMPLIED
                    ro           CDATA #REQUIRED
                    status        CDATA #IMPLIED >
```

Add:

```
<!--payment-contact element for use as container for an email that can be used as the
contact point for payment processing
```

```
-->
```

```
<!ELEMENT payment-contact email >
```

Proposal 2: modification of the request element

Detailed Reason:

Correct omission of this credit-card payment mode possibility Proposed Solution:

Change From:

```
<!--
Mode of payment
-->
<!ELEMENT payment-mode (charge | postal-order | cash | coupons | cheque |
bank-draft | stamps | other | later-payment)>
```

To:

```
<!--
Mode of payment
-->
<!ELEMENT payment-mode (charge | postal-order | cash | coupons |
cheque | bank-draft | stamps | other | later-payment| credit-card)>
```

Add:

```
<!--
Credit-card used as mode of payment
-->
<!ELEMENT credit-card EMPTY>
```

9. Appendix: Proposed Modifications to the ST.36 ICEs

Element Name	Description	Uses	Used By	In DTD	INID Code (ST.9)
fee-sheet		file-reference-id, applicant-name, prescribed-fees, amount-grand-total, deposit-account, dtext, doc-page, payment-contact			
payment-contact	element for use as container for an email that can be used as the contact point for payment processing	email	fee-sheet	fee-sheet.dtd	
email	Email address <!ELEMENT email (#PCDATA)> <!ATTLIST email email-purpose (informal general advance main) #IMPLIED >		addressbook, authorized-officer, fee-sheet	request, application-body, declarations, power-of-attorney, table-external, ex-officio-correction, demand, search-report, iprp, amendment-request, wo-bibliographic-data, wo-published-application, xx-patent-document, fee-sheet	
payment-mode	The method of payment of the fees due	charge, postal-order, cash, coupons, cheque, bank-draft, stamps, other, later-payment, credit-card	fee-sheet-chapter2, pdoc-fee, international-fee, search-fee, transmittal-fee, handling-fee, preliminary-examin-fee, earlier-search-document-fee	fee-sheet, fee-sheet-chapter2	
credit-card	Credit-card used as payment method		payment, payment-mode	pkgheader, fee-sheet, fee-sheet-chapter2	