

## **AGREEMENT REGARDING PFR ST.36/2008/008**

Agreement regarding PFR ST.36/2008/008 was reached by the ST.36 Task Force on August 8, 2008, as proposed by the WO/IB Office on July 11, 2008.

The PFR ST.36/2008/008 submitted by the WO/IB Office is reproduced as an Annex to this Agreement.

[Annex follows]

**ANNEX**

**PROPOSAL FOR REVISION (PFR) OF WIPO STANDARD ST.36**

<b>Identifier:</b> <i>(to be completed by the IB)</i>	<b>PFR ST.36/2008/008</b>
<b>Procedure for handling this proposal:</b> <i>(to be completed by the IB)</i>	Fast-Track Procedure by the ST.36 Task Force

<b>Submitted by:</b> <i>(Office)</i>	WO/IB	<b>Date Submitted</b>	July 11, 2008
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<b>Subject</b>	
<i>(i.e., brief indication of the changes to be considered)</i>	Revisions of element “description” in ICEs (Annex C) and addition of elements to ICEs related to the Common Application format (CAF)

<b>WIPO Standard &amp; Items impacted</b>	
<i>(e.g., main body of the Standard, Appendices / Annexes to the Standard, DTD/Schema, Element/Type Name, etc)</i>	WIPO Standard ST.36 Items impacted: - Annex A : xx-patent-document.dtd - Annex C : ICEs (related-documents) <ul style="list-style-type: none"> <li>o element “description” (used by Annex F DTDs application-body, amendment-request, wo-published-application)</li> </ul>

<b>Detailed description</b> <i>(i.e., proposed solution)</i>	
<i>(If necessary, please attach further explanation sheet, e.g., a part of ICE table to be changed if a proposal impacts ST.36 ICEs, comments to be added to DTD/Schema if a proposal is related to elements/type, etc.)</i>	<p><b>Change:</b>                  &lt;!ELEMENT description (doc-page+   (invention-title? , (technicalfield   background-art   disclosure   description-of-drawings   best-mode   mode-for-invention   industrial-applicability   sequence-list-text   (heading* , p+)++)&gt;&gt;</p> <p><b>To:</b>                  &lt;!ELEMENT description (doc-page+   (invention-title? , (technicalfield   background-art   disclosure   <b>summary-of-invention</b>   description-of-drawings   <b>description-of-embodiments</b>   best-mode   mode-for-invention   industrial-applicability   <b>reference-signs-list</b>   <b>reference-to-deposited-biological-material</b>   sequence-list-text   <b>citation-list</b>   (heading* , p+)++)&gt;&gt;</p> <p>=====</p> <p><b>Add:</b>                  &lt;!ELEMENT summary-of-invention (tech-problem   tech-solution   advantageous-effects   (heading* , p*)++)&gt;                  &lt;ATTLIST summary-of-invention id ID #IMPLIED &gt;</p> <p>=====</p>

Detailed description (i.e., proposed solution)	
	<p><b>Add:</b>                      &lt;!ELEMENT description-of-embodiments (embodiments-example   (heading* , p*)+)+&gt;                      &lt;!ATTLIST description-of-embodiments id ID #IMPLIED &gt;                      &lt;!ELEMENT embodiments-example (heading* , p+)+&gt;                      &lt;!ATTLIST embodiments-example id ID #IMPLIED                      ex-num CDATA #IMPLIED &gt;</p> <p>=====</p> <p><b>Add:</b>                      &lt;!ELEMENT reference-signs-list (heading* , p+)+&gt;                      &lt;!ATTLIST reference-signs-list id ID #IMPLIED &gt;</p> <p>=====</p> <p><b>Add:</b>                      &lt;!ELEMENT reference-to-deposited-biological-material (heading* , p+)+&gt;                      &lt;!ATTLIST reference-to-deposited-biological-material id ID #IMPLIED &gt;</p> <p>=====</p> <p><b>Add:</b>                      &lt;!ELEMENT citation-list (patent-literature   non-patent-literature   (heading* , p*)+)+&gt;                      &lt;!ATTLIST citation-list id ID #IMPLIED &gt;                      &lt;!ELEMENT patent-literature (heading* , p+)+&gt;                      &lt;!ATTLIST patent-literature id ID #IMPLIED &gt;                      &lt;!ELEMENT non-patent-literature (heading* , p+)+&gt;                      &lt;!ATTLIST non-patent-literature id ID #IMPLIED &gt;</p>
Reasons	
<p><i>(e.g., clear indication of the problem, how the need was determined, objectives of the revision, expected benefits, etc.)</i></p>	<p>Correspondence to CAF (Common Application Format), which has been adopted by EPO, JPO and USPTO with effect from 1 January 2009.</p> <p><a href="http://www.trilateral.net/news/20071130/index.php">http://www.trilateral.net/news/20071130/index.php</a></p> <p>The system is in compliance with the PCT formal requirements and changes to the PCT Administrative Instructions are expected to be adopted shortly to more specifically refer to some of its features.</p> <p>The corresponding PFC has previously passed through the Annex F consultative process and the change has been adopted.</p> <p>[<a href="http://www.wipo.int/export/sites/www/efiling_standard/en/pfc_already_processed/pfc-08-008.pdf">http://www.wipo.int/export/sites/www/efiling_standard/en/pfc_already_processed/pfc-08-008.pdf</a>]</p>

[Appendix follows]

Annex  
APPENDIX

Proposal for Revision of ICEs

Element Name	Description	Uses	Used By	In DTD	INID Code (ST9)	ST32	Category	Entry(E) / Revision Date	Remarks (Deprecated Date, PFR no., etc.)
description	<p>Description: The description must disclose the invention in a manner sufficiently clear and complete for it to be carried out by a person skilled in the art (Rule 5). Recommended id = 'desc'</p> <p>&lt;!ELEMENT description (doc-page+   (invention-title? , (technicalfield   background-art   disclosure   <b>summary-of-invention</b>   description-of-drawings   <b>description-of-embodiments</b>, best-mode, mode-for-invention, industrial-applicability, <b>reference-signs-list</b>, <b>reference-to-deposited-biological-material</b>   sequence-list-text   <b>citation-list</b>   (heading* , p+)+)+))&gt;</p>	<p>doc-page, invention-title, technical-field, background-art, disclosure, <b>summary-of-invention</b>, description-of-drawings, <b>description-of-embodiments</b>, best-mode, mode-for-invention, industrial-applicability, <b>reference-signs-list</b>, <b>reference-to-deposited-biological-material</b>, sequence-list-text, <b>citation-list</b>, heading, p</p>	<p>xx-patent-document, amend-body, application-body</p>	<p>application-body, amendment-request, wo-published-application, xx-patent-document</p>			Document Structure		

Element Name	Description	Uses	Used By	In DTD	INID Code (ST9)	ST32	Category	Entry(E) / Revision Date	Remarks (Deprecated Date, PFR no., etc.)
summary-of-invention	Part of the description, the CAF heading for summary of invention, holds a summary of the technical problem and solution with its advantages  <!ELEMENT summary-of-invention (tech-problem   tech-solution   advantageous-effects   (heading* , p*)+)> <!ATTLIST summary-of-invention id ID #IMPLIED >	tech-problem, tech-solution, advantageous-effects, heading, p	description	application-body, amendment-request, wo-published-application, xx-patent-document			Document Structure		
description-of-embodiments	Part of the description, the CAF heading for the section describing the embodiments of the application  <!ELEMENT description-of-embodiments (embodiments-example   (heading* , p*)+)> <!ATTLIST description-of-embodiments id ID #IMPLIED >	embodiments-example, heading, p	description	application-body, amendment-request, wo-published-application, xx-patent-document			Document Structure		
embodiments-example	Part of the description, containing an example of an embodiment of the application  <!ELEMENT embodiments-example (heading* , p+)> <!ATTLIST embodiments-example id ID #IMPLIED ex-num CDATA #IMPLIED >	heading, p	description-of-embodiments	application-body, amendment-request, wo-published-application, xx-patent-document			Document Structure		

Element Name	Description	Uses	Used By	In DTD	INID Code (ST9)	ST32	Category	Entry(E) / Revision Date	Remarks (Deprecated Date, PFR no., etc.)
reference-signs-list	<p>Part of the description, the CAF heading for the section describing the Reference signs. Reference signs not mentioned in the description shall not appear in the drawings, and vice-versa.</p> <p>Any Reference Signs List shall be a single list which covers the description, drawings and claims.</p> <p>&lt;!ELEMENT reference-signs-list (heading* , p+)+&gt; &lt;!ATTLIST reference-signs-list id ID #IMPLIED &gt;</p>	heading, p	description	application-body, amendment-request, wo-published-application, xx-patent-document			Document Structure		
reference-to-deposited-biological-material	<p>Part of the description, the CAF heading for the section holding a reference that describes the deposit of biological material</p> <p>&lt;!ELEMENT reference-to-deposited-biological-material (heading* , p+)+&gt; &lt;!ATTLIST reference-to-deposited-biological-material id ID #IMPLIED &gt;</p>	heading, p	description	application-body, amendment-request, wo-published-application, xx-patent-document			Document Structure		

Element Name	Description	Uses	Used By	In DTD	INID Code (ST9)	ST32	Category	Entry(E) / Revision Date	Remarks (Deprecated Date, PFR no., etc.)
<b>citation-list</b>	<p>Part of the description, the CAF heading for the Citation of Prior Art in the Application shall preferably follow the PCT Rule 5 and WIPO Standard ST.14.</p> <p>&lt;!ELEMENT citation-list (patent-literature   non-patent-literature   (heading* , p*)+)&gt; &lt;!ATTLIST citation-list id ID #IMPLIED &gt;</p>	<p>patent-literature, non-patent-literature, heading, p</p>	<p>description</p>	<p>application-body, amendment-request, wo-published-application, xx-patent-document</p>			<p>Document Structure</p>		
<b>patent-literature</b>	<p>Part of the citation-list, references to patents</p> <p>&lt;!ELEMENT patent-literature (heading* , p+)&gt; &lt;!ATTLIST patent-literature id ID #IMPLIED &gt;</p>	<p>heading, p</p>	<p>citation-list</p>	<p>application-body, amendment-request, wo-published-application, xx-patent-document</p>			<p>Document Structure</p>		
<b>non-patent-literature</b>	<p>Part of the citation-list, references to documents that are not patents, technical journals and databases, textbooks, etc</p> <p>&lt;!ELEMENT non-patent-literature (heading* , p+)&gt; &lt;!ATTLIST non-patent-literature id ID #IMPLIED &gt;</p>	<p>heading, p</p>	<p>citation-list</p>	<p>application-body, amendment-request, wo-published-application, xx-patent-document</p>			<p>Document Structure</p>		

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