
Questions about you (being the person completing the survey): Section 1

Section 1: Your details:

Name:	Geoff Court
Industrial Property Office (IPO):	United Kingdom
Your function within the IPO:	Head of PD Admin Support Services
Email address:	
Date of completion of survey:	30th May 2008
Other comments if applicable: e.g., alternate e-mail address	This report is compiled in conjunction with Brendan Churchill, Senior Classification and Documentation Manager.

Questions about citation references provided by your IPO: Sections 2-5

Section 2: Citation reference availability: Citation references relevant to a patent document can be suggested or decided to be included by people including Applicants, Examiners, and interested third parties. A citation reference may occur in a patent description, a search report or other location associated with a patent document.

2. Does your IPO publish citation references associated with your IPO's patent documents? In the context of this survey "citation reference" refers to the identification of (part of) a document, not the text or other information contained within the cited document.

Yes No

Search Report published with citations as identified by the technical examiner.

Please comment if necessary

3. Citation references are: **Select one**

Available to the public Availability is limited Not available

If limited, please comment on how the availability is limited? e.g., limited to type of person trying to view the citation reference (e.g., applicants only) or limited to the part of the life cycle in which the citation reference was included (e.g., references suggested during opposition are unavailable)

Only available upon 'A' Publication

Section 2: Citation reference availability (continued)

4. Select the location(s) within the patent documents published by your IPO where citation references can be found:

- Search report Patent description Other patent document location Bibliographic data

Please comment on any of
the above mentioned
locations, if appropriate

5. If citations are part of the bibliographic front page information, are citation references included in INID code (56)?

for INID codes see <http://www.wipo.int/export/sites/www/standards/en/pdf/03-09-01.pdf#INID>

- Yes No Sometimes

6. Select the location(s) outside the patent document where citation references can be found:

- Summary information (Internet) End of document (Internet) Other location:

Please specify if "Other location"

GB Publications are available on Esp@cenet with hyper-linked citations where appropriate.

7. Are hyperlinks included for Internet locations? Yes No Not applicable

Please comment if necessary

8. Does your IPO give information about a patent document that cites the specific patent document the user is looking at (i.e., a forwards citation)? Yes No

9. Are hyperlinks back to the citing document provided? Yes No

Please comment if necessary

Section 2: Citation reference availability (continued)

10. Does your Office follow the recommendations set out in WIPO Standard ST.14 for the identification of citation references?
see <http://www.wipo.int/export/sites/www/standards/en/pdf/03-14-01.pdf>

Yes No Partially

Comment, if
necessary

...whenever possible.

11. Does your IPO provide indications, to the general public, informing about who suggested or decided on including the citation references? e.g., suggested by applicant, included by examiner. Such indications can be helpful for deciding how relevant a citation reference may be. As an example, the front page bibliographic data (in image format) of US 7,353,465 B1 indicates under INID code (56), by the use of an asterisk *, that the references were cited by an examiner.

Yes No

12. If available, where can indications of who created a citation reference be found by the general public?
select as many items as are applicable.

- Bibliographic data on patent document End of patent document
 Search report Other

please comment if
necessary, and if
"Other", please
specify where an
indication can be
found

Comment for question 11. all UKIPO citations are only made by our technical examiners.

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Section 3: Search Report Information

13. Does your IPO publish International Search Reports (ISR) under the PCT? Yes No

14. Does your IPO publish IPO-specific national/regional search reports (non PCT)? Yes No

15. For the remainder of the questions in section 3 (if you answered "Yes" to either 13 or 14) please indicate what search reports your answers are based on:

ISR National / regional Both ISR and non-ISR Other

Please specify if "Other"

16. Do you have quality control on citation references entered on search reports? Yes No

Please
comment if
necessary:

All citations are raised by technical examiners considering the application. They have clear internal guidelines in our "Manual of Patent Practice", coupled with sampling of cases for quality checking.

17. Are relevance indicators stated?: e.g., X, Y (see paragraph 14 of WIPO Standard ST.14) Yes No

18. Do your citation references include online URLs? Yes No Sometimes

19. Are unique document identifiers used?: e.g., DOI Yes No

20. If applicable, which unique document identifiers are used?

Digital Object Identifier (DOI) ISBN and ISSN

Other: If "Other" please specify:

21. Please comment on any major differences between the provision of ISR and other search report citation references

We do not consider there are any major differences between ISR and UK-IPO search reports

Section 4: Centralizing/Tagging Citation References

e.g., suitable for a database containing data elements identifying the location of the cited text/documents

22. Do you (intend to) add tags to identify citation references?

(e.g., see http://www.wipo.int/export/sites/www/scit/en/taskfrce/citation_practices/docs/st36-ice-citations.pdf)

Yes No Not now, but we intend to in specify when

23. Does your IPO have a centralized database containing citation reference identification data elements?

Yes No Not now, but we intend to in specify when

24. If possible, please specify any structural elements on which the database is founded

The database "OPTICS" (which is not a relational database) stores and outputs our INID code 56 citation data within our Bibliographical down-loads e.g., ST.36 xml, INID code (56)

25. Are citation references from your IPO searchable by the general public?

i.e., can one search the text of the data elements identifying the text/document cited

Yes No

If "Yes", please specify the location where the references are searchable e.g., [http://www.?](http://www.?.)

26. Is the information in the database technically and legally exchangeable e.g., with other IPOs? Yes No

27. Please add any further comments you may have about any of the questions in section 4 above:

We currently supply the bibliographical data elements of our published patent applications to various customers (including EPO for Esp@cenet/EPOQUE etc). This data includes the INID code 56 citation reference data but not necessarily the complete search report info which is present on the search report image file (ST.33 format).

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Questions about your IPO's published documents that may be cited (within a citation reference): Sections 5 to 7

Section 5: Location of published patent documents which can be considered to be an (or the) authentic source

28. Which medium and format represents the (or an) authentic legal rendition (authoritative source) of your IPO's published patent documents? A legal version is, e.g., a publicly available document that could be consulted in court proceedings.

Medium: e.g., Internet or paper

Paper - we also publish electronically using a publication server (see link below)

29. Please advise how to locate the medium you have selected: (e.g., specify the title of a DVD and an Internet address for ordering the DVD)

Ask the UK-IPO for a copy (<http://www.ipo.gov.uk/patent/p-manage/p-useenforce/p-useenforce-copies.htm>)

30. Regarding the medium indicated above, is there one or more (file) formats that should be looked at (in preference to other formats)?

Yes No

31. If yes, please select all formats that should be considered in preference to other formats

.pdf (Adobe)

.html

.tif image

Paper

Other

Please comment if "Other"

32. Where else can your published patent documents be found? Select as many as are applicable

Internet

Main Internet address: <http://www.ipo.gov.uk/patent/p-find/p-find-publication.htm>

Other known Internet addresses:

separate each address with a semi-colon ;

http://gb.espacenet.com/search97cgi/s97_cgi.exe?Action=FormGen&Template=gb/EN/home.hts

If available, please advise how to find or subscribe to each available source (e.g. P.O. Box or internet address)

CD/DVD

Title / location:

GB ESPACE

FTP

Title / Location:

For purchase from UK-IPO

Paper

Title / Location:

British Library

Web services
(bulk data download)

Title / Location:

see Esp@cenet

Commercial providers

Specify, if desired

Other

Please specify:

Section 6: Availability of location identifiers (for citing later within a search report) occurring within some or all of your IPO's authentic legal published documents (stated in the first part of section 5 above); e.g., a location identifier may be a page, paragraph, or an image number. Answer this question according to your current publication procedures.

33. Which, if any, page-based identifiers do your published patent documents contain: tick all that apply

Page numbers Column numbers Line numbers

Other Please specify:

34. Which, if any, identifiers of non-textual elements occur within the description part of your published patent document:
tick all that apply

Image numbers *within the description* include comment if appropriate

Chemical formulae numbers include comment if appropriate

Tables include comment if appropriate

Gene sequences include comment if appropriate

Mathematical formulae include comment if appropriate

Computer programs include comment if appropriate

Other please specify

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CITATION PRACTICES OF INDUSTRIAL PROPERTY OFFICES SURVEY

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Section 7: Paragraph number details *complete this section if your published patent documents include paragraph numbers*

35. Paragraph numbers are typically created by:

Applicant / Inventor

Your IPO *e.g., examiners*

An external publisher

Other:

Please specify

36. Paragraph numbers are recreated: *tick all that apply*

During the publication process

When numbered paragraphs are amended

Other process

Please specify

37. Describe any special treatment you give to long paragraphs:

i.e., longer than 300 words

38. Describe how you treat numbered paragraphs that are subsequently amended:

particularly when one paragraph is replaced by many, or one or more paragraphs are deleted

39. If necessary, please provide additional comment on any part of the questions or answers given above in this section.

The UK-IPO does not require paragraph numbering but where an applicant provides them they form part of the published document. Page and image numbers are required by UK law. Applicants are able to include line, paragraph, etc number if they choose. For the majority of applications where chemical formulae, table, etc are included they are provided with identifiers.

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General questions about Citation Practices: Sections 8-9

Section 8: Examples of citation references published by your IPO, if this information is available.

40. If possible, please provide a patent (application) number and a publicly available Internet address that contains one or more typical examples of patent and non-patent literature citation references.

GB2442146 - (GB 0722209.4)

Specify application number and kind code, e.g., *WO 2005/110961 A1*

<http://www.ipo.gov.uk/patent/p-find/p-find-publication-getPDF.pdf?PatentNo=GB2442146&DocType=A&JournalNumber=6201>

Specify Internet address and any instructions helpful to find patent (application) number, e.g., <http://www.wipo.int/pctdb/en/ia.jsp?IA=IB2005001265&REF=RSS>

Front page bilio and Search report at the end of the document

Specify where in the document the citation references can be found, e.g., *page 1, lines 19 and 25, search report at end of document*

Section 9: Additional comments about citation practices

41. If applicable, please make any further comments, e.g., you may wish to comment on difficult aspects of citation practices for your IPO:

e.g., users are confused about the part of a document a citation reference was referring to

e.g., citation references do not refer to the authentic rendering of a patent document

e.g., it is not clear how or whether to state a url as part of a citation reference

Thank you for your participation in this survey. **Please press the "Submit by Email" button below or print the survey and return the printed to one of the following addresses:**

Email: scit.mail@wipo.int

Fax: +41 22 338 7210

Post: Standards and Documentation Section, World Intellectual Property Organization,
PO Box 18, CH-1211 Geneva 20, Switzerland

Submit by Email