



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

December 19, 2007

Mr. Francis Gurry
Deputy Director General
IT Division
World Intellectual Property Organization
34, chemin des Colombettes
1211 Geneva 20
SWITZERLAND

Re: SCIT C. 2644- Questionnaire on codes used for internal purposes or individual use

Dear Mr. Gurry:

In response to SCIT C. 2644, the United States Patent and Trademark Office is responding to the survey on practices regarding codes used for internal purposes or individual use. Please see attached spreadsheet for responses to the questionnaire.

Should you have any questions, please contact me at [cherie.kazenske @uspto.gov](mailto:cherie.kazenske@uspto.gov).

Sincerely,

Cheryl E. Kazenske

Cheryl E. Kazenske
International Intellectual Property Program Manager
Office of International Relations
United States Patent and Trademark Office

Attachment

QUESTIONNAIRE

on codes used for internal purposes or individual use

PATENTS							
Code	Source	Related WIPO Standard	Meaning/Use	External exposure	Publications/ Fora	Date range	Comments
01	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US utility and plant patent application numbers, e.g, 01-123456	Yes	- US patent documents and related databases	1915/01/01 to 1934/12/31	Both series code and serial number are needed to uniquely identify a US patent application
02	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US utility and plant patent application numbers, e.g, 02-123456	Yes	- US patent documents and related databases	1935/01/01 to 1947/12/31	Both series code and serial number are needed to uniquely identify a US patent application
03	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US utility and plant patent application numbers, e.g, 03-123456	Yes	- US patent documents and related databases	1948/01/01 to 1959/12/31	Both series code and serial number are needed to uniquely identify a US patent application
04	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US utility and plant patent application numbers, e.g, 04-123456	Yes	- US patent documents and related databases	1960/01/01 to 1969/12/31	Both series code and serial number are needed to uniquely identify a US patent application
05	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US utility and plant patent application numbers, e.g, 05-123456	Yes	- US patent documents and related databases	1970/01/01 to 1978/12/31	Both series code and serial number are needed to uniquely identify a US patent application
06	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US utility and plant patent application numbers, e.g, 06-123456	Yes	- US patent documents and related databases	1979/01/01 to 1986/12/31	Both series code and serial number are needed to uniquely identify a US patent application
07	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US utility and plant patent application numbers, e.g, 07-123456	Yes	- US patent documents and related databases	1987/01/01 to 1992/12/31	Both series code and serial number are needed to uniquely identify a US patent application
08	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US utility and plant patent application numbers, e.g, 08-123456	Yes	- US patent documents and related databases	1993/01/01 to 1997/12/29	Both series code and serial number are needed to uniquely identify a US patent application
09	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US utility and plant patent application numbers, e.g, 09-123456	Yes	- US patent documents and related databases	1997/12/30 to 2001/12/04	Both series code and serial number are needed to uniquely identify a US patent application

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Code	Source	Related WIPO Standard	Meaning/Use	External exposure	Publications/ Fora	Date range	Comments
10	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US utility and plant patent application numbers, e.g, 10-123456	Yes	- US patent documents and related databases	2001-12-05 to 2004/12	Both series code and serial number are needed to uniquely identify a US patent application
11	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US utility and plant patent application numbers, e.g, 11-123456	Yes	- US patent documents and related databases	2004/12 to present	Both series code and serial number are needed to uniquely identify a US patent application
29	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US design patent application numbers, e.g, 29 - 123456	Yes	- US patent documents and related databases	1992-10-01 to present	Both series code and serial number are needed to uniquely identify a US patent application
60	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US provisional patent application numbers, e.g, 60-123456	Yes	- US patent documents and related databases	1995-06-08 to present	Both series code and serial number are needed to uniquely identify a US patent application
90	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US Ex Parte Reexamination patent application numbers, e.g, 90-123456	Yes	- US patent documents and related databases	1981-07-01 to present	Both series code and serial number are needed to uniquely identify a US patent application
95	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US Inter Partes Reexamination patent application numbers, e.g, 95-123456	Yes	- US patent documents and related databases	2001-02-01 to present	Both series code and serial number are needed to uniquely identify a US patent application
AA	USPTO	ST.3	Used as an ISO 3166-2 country subdivision code for US Armed Forces in Americas, excluding Canada	Yes	- US patent documents and related databases	1999-03-01 to present	ST.3 or ISO 3166-1 code is US
AE	USPTO	ST.3	Used as an ISO 3166-2 country subdivision code for US Armed Forces in Europe, the Middle East, Africa and Canada	Yes	- US patent documents and related databases	1999-03-01 to present	ST.3 or ISO 3166-1 code is US
AP	USPTO	ST.3	Used as an ISO 3166-2 country subdivision code for US Armed Forces in the Pacific	Yes	- US patent documents and related databases	1999-03-01 to present	ST.3 or ISO 3166-1 code is US

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TRADEMARKS							
Code	Source	Related WIPO Standard	Meaning/Use	External exposure	Publications/ Fora	Date range	Comments
70	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US trademark application numbers, e.g., 70-123456	Yes	-US trademark documents and related databases	1881 to 1905/03/31	Both series code and serial number are needed to uniquely identify a US trademark application
71	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US trademark application numbers, e.g., 71-123456	Yes	-US trademark documents and related databases	1905/04/01 to 1955/12/31	Both series code and serial number are needed to uniquely identify a US trademark application
72	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US trademark application numbers, e.g., 72-123456	Yes	-US trademark documents and related databases	1956/01/01 to 1973/08/31	Both series code and serial number are needed to uniquely identify a US trademark application
73	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US trademark application numbers, e.g., 73-123456	Yes	-US trademark documents and related databases	1973/09/01 to 1989/11/15	Both series code and serial number are needed to uniquely identify a US trademark application
74	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US trademark application numbers, e.g., 74-123456	Yes	-US trademark documents and related databases	1989/11/16 to 1995/09/30	Both series code and serial number are needed to uniquely identify a US trademark application
75	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US trademark application numbers, e.g., 75-123456	Yes	-US trademark documents and related databases	1995/10/01 to approximately 2000/03/20	Both series code and serial number are needed to uniquely identify a US trademark application
76	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US trademark application numbers for paper filings, e.g., 76-123456	Yes	-US trademark documents and related databases	2000/03/20 to present	Both series code and serial number are needed to uniquely identify a US trademark application
77	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US trademark application numbers for Internet filings, e.g., 77-123456	Yes	-US trademark documents and related databases	2006/09/14 to present	Both series code and serial number are needed to uniquely identify a US trademark application
78	USPTO	ST.10/C, ST.13	2-digit series code followed by a 6-digit serial number form US trademark application numbers for Internet filings, e.g., 78-123456	Yes	-US trademark documents and related databases	2000/03/20 to 2006/09/13	Both series code and serial number are needed to uniquely identify a US trademark application

