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To: "scit.mail@wipo.int" <scit.mail@wipo.int>
Date: 6/20/03 10:28AM
Subject: C.SCIT 2580

Dear Mr Roach,

Attached to this mail please find the questionnaire concerning the appendix to WIPO Standard ST.10/C giving the information with respect to the numbering system of the German Patent and Trademark Office. In order to reduce the space needed for the entry the reference to the DE numbering system used until 1994 was deleted as in paragraph 7 of the Standard it is referred to numbers "currently used".

I would like to apologize for the late submission. In case of questions please do not hesitate to contact me.

Best regards,

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<< C.2580a DE response.doc >>

CC: "Angel Lopez Solanas (E-Mail)" <angel.lopezsolanas@wipo.int>

QUESTIONNAIRE CONCERNING THE APPENDIX TO WIPO STANDARD ST.10/C

INTRODUCTION

1. With regard to the Tables in the Appendix, as pointed out in the project brief (see Annex to document SCIT/7/5), the following problems currently exist:

(a) *Not all the member States of the Paris Convention are covered*

According to the survey conducted by the Task Force (see the Appendix 2 of the SCIT/SDWG/2/6), only 65 industrial property offices (IPOs) are listed on the current Tables of the Appendix to WIPO Standard ST.10/C although there are about 160 members of the Paris Convention. In addition, at least 44 IPOs are not listed or not appropriately listed on the Tables while their numbering systems are known. The numbering systems of approximately 50 other members of the Paris Convention are unknown.

(b) *Some numbering systems on the Tables need to be revised or updated*

There may be quite a few IPOs that have not revised or updated their presentations on the Tables. In particular, there could be changes in the numbering systems of certain countries after the year 2000.

(c) *Information on utility model application numbering system is insufficient*

As a result of the above-mentioned survey, 13 IPOs (marked with a triangle on the document) might not have reported their utility model application numbering systems. In addition, another 23 IPOs (marked with a triangle and a question mark on the document) may have utility model application systems although their numbering systems have not yet been confirmed.

(d) *Information on numbering systems of IPOs with different (regional) receiving offices is insufficient*

Some countries may receive applications from several receiving regional offices but have not established a uniform system for assigning application numbers among the different receiving offices.

2. Unless “recommended presentation in abbreviated format as a priority number” of an IPO is known, each IPO that subsequently receives an application from the IPO might inconsistently cite the priority number data based on its own judgement. This causes a significant problem when preparing patent family data. Therefore, more IPO entries in the Appendix and/or updated information are extremely important.

QUESTIONNAIRE

Information for your industrial property Office is currently provided in the Tables of the Appendix to WIPO Standard ST.10/C. You are requested to check the accuracy of the information contained in the Tables. The enclosed questionnaire will help you to provide any necessary changes or additions to the entry for your Office.

Note:

When checking the accuracy and replying to the questions, it should be noted in particular that:

- if your Office registers utility models they should be represented as a separate entity in the table;
- if the application number consists of different components, the meaning of each component should be explained in the fifth column "Remarks;" (*see the explanation of "MI" in the "Remarks" of "ITALY."* *If your Office receives applications from several receiving regional offices but has not established a uniform system for assigning application numbers among the different receiving offices, this information will be very important.*)
- it is important that the number provided in the fourth column "Recommended Presentation in Abbreviated Form as a Priority Application Number" reflect the format of the priority number as used by your applicants in subsequent foreign filings, and in the exchange of your data with other offices and in any communications with your applicants.

Please answer the following questions:

Question 1: Does your Office need to revise or update the information contained on the Tables? (If “yes,” please go on to questions 2 and 3.)

- Yes
No

Question 2: What is the reason the entry(s) for your Office need(s) to be revised or updated?

(a) Insufficient updating of the information (e.g., the latest numbers are not presented.)

- ~~Yes~~ ~~No~~

(b) No entry concerning utility models

- ~~Yes~~ ~~No~~

(c) Insufficient information on receiving (regional) offices

- ~~Yes~~ ~~No~~

(d) Other (please specify the reason)

~~New Numbering system from January 2004~~

Question 3: Which Table does your Office need to amend?

(a) Table 1 only (if “yes,” please go on to question 4.)

- Yes No

(b) Table 2 only (if “yes,” please go on to question 5.)

- ~~Yes~~ ~~No~~

(c) Both of them (if “yes,” please go on to questions 4 and 5.)

- ~~Yes~~ ~~No~~

Question 4: Indicate your amended information on the Table 1, below.

Table 1: Countries or Organizations Having Annual Series of Application Numbers

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
DE GERMANY				Old numbering system:
	P-24-50-490.3-34 (old numbering system)	24-50-490	24-50-490.3	P = letter code indicating a patent application number. The first two numerals represent the year of filing reduced by 50. The first digit after the full stop is a check digit. The two digits following the dash indicate the examination division in charge.
	P-28-60-001.3 (old numbering system)	28-60-001		The numerals 1 to 5 in the third position of the number indicate a national application. Used until the end of 1994. The numerals 6 to 8 in the third position indicate a patent granted by the EPO. Used for patents which applications had been filed for with the EPO until the end of 1988.
	P-33-90-001.9-25 (old numbering system)	33-90-001		The numeral 9 in the third position indicates a PCT application. Used until the end of 1994.
	G-94-00-001.8 (old numbering system)	94-00-001	94-00-001-U	G = letter code indicating utility model applications. The first two numerals represent the year of filing. Used until the end of 1994.
				New numbering system.
	195 00 002.1	195 00 002	195 00 002.1	The numerals in the <u>second 2nd</u> and <u>third-3rd</u> positions indicate the year of filing. The numerals in the first position indicate the industrial property rights: 1 = national patent applications, PCT applications <u>with Germany as a designated state in the national phase</u> and applications for SPCs (used <u>since from 1995 to 2003</u>). <u>The range 75004 to 79999 each year is reserved for SPCs. The</u>

	295 00 001.5	295 00 001	295 00 001 U	<p>numerals 8 and 9 in the fourth position indicate PCT applications.</p> <p>2 = Utility model applications and topographies (used since from 1995 to 2003) The range 00001 to 75000 each year is reserved for utility models, the range 75001 to 79999 relates to topographies, the range 80001 to 99999 to PCT applications.</p>
	589 00 001.2	589 00 001		5 = Patents granted by the EPO, filed in German (used for applications filed with the EPO from 1989)
	689 00 001.4	689 00 001		6 = Patents granted by the EPO, filed in English or French (used for applications filed with the EPO from 1989)
				The last digit on position 9 after the dot is a check digit.
				<u>New numbering system</u> (from 2004):
	<u>10 2004 000 001.7</u>	<u>10 2004 000 001</u>	<u>10 2004 000 001</u>	<u>Pos. 1-2: type of IP right</u> <u>10 = DE patent appl.</u>
	<u>20 2004 000 001.3</u>	<u>20 2004 000 001</u>	<u>20 2004 000 001 U</u>	<u>11 = PCT patent appl. In the national phase</u>
	<u>50 2004 000 001.4</u>	<u>50 2004 000 001</u>		<u>12 = SPC appl.</u> <u>20 = Utility models</u> <u>21 = Utility models resulting from PCT appl.</u>
	<u>60 2004 000 001.9</u>	<u>60 2004 000 001</u>		<u>22 = topographies</u> <u>50 = Patents granted by EPO, filed in German</u> <u>60 = Patents granted by EPO, filed in English or French</u>
				<u>Pos. 3-6: year of filing</u> <u>Pos. 7-12: serial number</u> <u>Pos. 13: check digit</u>

* Please copy and paste your entry on the sheet at first, and then amend the information by showing “strikethrough” for deletion (e.g., ~~The first two digits show~~) and “underline” for addition (e.g., New numbering system was).

Question 5: Indicate your amended information on the Table 2, below.

Table 2: Countries or Organizations Having Other Than Annual Numbering Systems

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks

* Please copy and paste your entry on the Table at first, and then amend the information by showing “~~strikethrough~~” for deletion (e.g., ~~The first two digits show~~) and “underline” for addition (e.g., New numbering system was).