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Continued Growth in PCT Filings in 2005

Over 134,000 PCT applications were filed in 2005, representing an increase of 9.4% over 2004. These and the following figures are only **estimates** as the International Bureau continues to receive, throughout the first half of 2006, PCT applications which were filed with national and regional Offices in 2005.

Applicants from the United States of America filed the largest number of applications (33.6% of all applications), followed by Japan (18.8%), Germany (11.8%), France (4.1%) and the United Kingdom (3.8%). Applicants from the member States of the European Patent Convention, when grouped together, filed 34.6% of all international applications. There was exceptional growth in filings from North East Asia, in particular from Japan, the Republic of Korea and China, which held, respectively, second, sixth and tenth positions, and between them accounted for 24.1% of all PCT applications.

PCT applications from developing countries increased by 20% compared to 2004, and represented 6.7% of all applications filed. The top developing countries, with the estimated number of filings, were as follows:

Republic of Korea	4,747
China	2,452
India	648
Singapore	438
South Africa	336
Brazil	283
Mexico	136

With more Offices starting to accept the filing of PCT applications in electronic form in 2005, the number of applications filed electronically increased by 107%, and

filings on electronic media exceeded paper filings.

The top filers in 2005, together with the estimated number of filings, were as follows:

Philips Electronics N.V. (NL)	2,492
Matsushita (JP)	2,021
Siemens (DE)	1,402
Nokia (FI)	898
Bosch (DE)	843
Intel (US)	691
BASF (DE)	656
3M (US)	603
Motorola (US)	580
DaimlerChrysler (DE)	567

For further information, see Press Release 436 on the WIPO web site at:

www.wipo.int/edocs/prdocs/en/2006/wipo_pr_2006_436.html

Final figures will be available later in the year.

Incompatibility of Certain Modified PCT Rules with National Laws

EP European Patent Office

In October 2005, the PCT Assembly adopted, among others, new

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www.wipo.int/pct/en

**Selection of
PCT Resources on the Internet
(www.wipo.int/pct/en/applicants.html)**

About the PCT:

- PCT Information Service
- *Protecting your inventions abroad: FAQs about the PCT*
- Yearly Review of the PCT
- Gallery of PCT notable inventions and inventors
- Collection of PCT user strategies
- PCT statistics

Legal Information:

- Treaty, Regulations, Administrative Instructions and Guidelines for Authorities and Offices
- PCT legal text index
- *The History of the PCT Regulations*
- Records of the Washington Diplomatic Conference on the PCT
- Useful tables: reservations and incompatibilities; types of protection; time limits for entering national/regional phase; powers of attorney waivers; access to the IPER; regional patents; States party to PCT/Paris/WTO
- PCT Contracting States
- ISA and IPEA agreements

Filing:

- Electronic filing (PCT-SAFE)
- Direct filing at WIPO
- Fees and warning
- *PCT Applicant's Guide*
- Forms
- *PCT Newsletter*

Patent Data:

- *PCT Gazette* (electronic Gazette and weekly issues)
- PCT online file inspection
- Electronic data products

PCT meetings, training and documents:

- Seminars and presentations
- Meetings and documents
- PCT Reform

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PCT Rules 26*bis*.3, 49*ter*.1 and 49*ter*.2 of the PCT Regulations concerning the restoration of right of priority by a receiving or designated Office, as the case may be, which will enter into force on **1 April 2007**.

New Rule 26*bis*.3(j) states: "If, on October 5, 2005, paragraphs (a) to (i) are not compatible with the national law applied by the receiving Office, those paragraphs shall not apply in respect of that Office for as long as they continue not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by April 5, 2006...."

New Rule 49*ter*.1(g) states: "If, on October 5, 2005, paragraphs (a) to (d) are not compatible with the national law applied by the designated Office, those paragraphs shall not apply in respect of that Office for as long as they continue not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by April 5, 2006...."

New Rule 49*ter*.2(h) states: "If, on October 5, 2005, paragraphs (a) to (g) are not compatible with the national law applied by the designated Office, those paragraphs shall not apply in respect of that Office for as long as they continue not to be compatible with that law,

PCT General Mailing List

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www.wipo.int/pct/en/applicants.html

PCT Information Service

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Fax: +41 22 338 83 39

E-mail: pct.infoline@wipo.int

Reminder

The national Offices of the following States do not yet apply the 30-month time limit for entering the national phase under Chapter I as fixed in PCT Article 22(1) (as modified with effect from 1 April 2002). Note, however, that in respect of the **regional** designation of all those States, the time limit under PCT Article 22(3) of 31 months applies.

CH Switzerland

LU Luxembourg

SE Sweden

TZ United Republic of Tanzania

UG Uganda

A list of time limits applicable for each designated/elected Office for entering the national phase under Chapters I and II of the PCT is available at:

www.wipo.int/pct/en/access/legal_text.htm

provided that the said Office informs the International Bureau accordingly by April 5, 2006....”

The European Patent Office, in its capacity as receiving Office and designated Office, has notified the International Bureau of such incompatibility in relation to all three rules.

Text of the Amendments to the PCT Regulations

It is recalled that a number of amendments to the PCT Regulations were approved by the PCT Assembly during its thirty-fourth (15th ordinary) session, which was held in Geneva from 26 September to 5 October 2005, and that documents which were prepared for the PCT Assembly, as well as the report of the session are available on the PCT web site, at:

www.wipo.int/meetings/en/topic.jsp?group_id=135

The text of the amendments, which will enter into force at two different times (on 1 April 2006 for the first set of amendments and on 1 April 2007 for the second set of amendments), is reproduced in document PCT/A/34/6 (the Report of the Assembly). Document PCT/A/34/2 Rev. is a useful document as it contains underlining and striking-through to show what amendments have been made. The text of the amendments to the PCT Regulations will also be reproduced in Section IV of *PCT Gazette* No. 08/2006, dated 23 February 2006, which will be available on the PCT web site at:

www.wipo.int/pct/en/gazette/weekissu.htm

For further information on those amendments, see *PCT Newsletter* No. 10/2005, cover page.

Electronic Filing and Processing of PCT Applications

Reproduced on pages 5 and 6 are updated versions of the two tables (last published in *PCT Newsletter* No. 02/2004) listing, respectively:

- the receiving Offices which have notified the International Bureau that they are prepared to accept the filing of international applications in electronic form, and
- the receiving Offices which have notified the International Bureau that they are prepared to accept the filing of requests in PCT-EASY format.

A table giving information on the requirements of the Offices which accept the filing of interna-

tional applications in electronic form is available at:

www.wipo.int/pct-safe/en/support/notifications/pdf/efiling_notifications_en_28_11_05.pdf

PCT Information Update

BE Belgium (telephone and fax numbers)

The telephone and fax numbers of the Intellectual Property Office (Belgium) have changed. The numbers to be used are now as follows:

telephone: (32-2) 277 51 11

fax: (32-2) 277 52 62

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (BE))

IT Italy (language of filing)

The Italian Patent and Trademark Office in its capacity as receiving Office has notified a change in the reference to the national law which is given in its requirements concerning the language of filing of international applications. It should now refer to “Article 198(1) of Decree-Law No. 30 of 10 February 2005.”

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (IT))

US United States of America (filing of PCT-EASY requests together with PCT-EASY physical media; requirements concerning agent)

For the purposes of filing, under Section 102bis(a) of the Administrative Instructions, PCT-EASY requests together with PCT-EASY physical media, the United States Patent and Trademark Office as receiving Office has been prepared to receive, since 1 January 2006, the following PCT-EASY physical media: CD-R and DVD-R, in addition to 3.5 inch diskettes.

With relation to the information concerning those who can act as agents before the Office as receiving Office and as designated (or elected) Office, the list of registered patent attorneys and agents can now only be obtained on the Internet, at:

www.uspto.gov/web/offices/dcom/olia/oed/roster/index.html

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (US) and Vol. II/D, National Chapter, Summary (US))

Search fee (Japan Patent Office)

As from 15 March 2006, there will be a change in the equivalent amount payable in KRW for

an international search carried out by the Japan Patent Office, as indicated in Table I(b). (Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (JP))

New/Updated PCT Resources on the Internet

(www.wipo.int/pct/en/applicants.html)

PCT legal assistance to States and IP Offices and promotional activities

A new feature, dealing with PCT legal assistance to States and IP Offices and promotional activities, has been added to the "Services for PCT Offices and member States" part of the PCT web site (www.wipo.int/pct/en/offices.html). It includes a link to a document entitled "PCT Outreach to Developing Countries, Least-Developed Countries and Certain Countries in Europe and Asia" as well as links to resources that are particularly useful for PCT Contracting States and patent Offices, such as PCT legal texts and seminar materials. This feature is available at:

www.wipo.int/pct/en/support/office.html

Closing dates of intellectual property Offices

A table detailing closing dates in 2006 of certain intellectual property Offices in PCT Contracting States is available on the PCT web site in English at:

www.wipo.int/pct/en/filing/closingdates.htm

Archives detailing closing dates back to 2002 are also available from this page.

PCT Gallery of Notable Inventions and Inventors

The following additions have been made to the gallery of notable inventions and inventors (www.wipo.int/pct/en/inventions/):

- BurrPlug™
- Enviro Loo
- Sports Fabric

Suggestions for additions to the PCT gallery should be sent to the following e-mail address: pct.infoline@wipo.int

Seminar materials

Seminar materials covering all aspects of the PCT procedure have been updated in English, French, German, Japanese and Spanish, and are available at:

www.wipo.int/pct/en/seminar/

Filled-in samples of Request Forms

Filled-in samples of the October 2005 version of the Request Form in English, French, German and Spanish are available at:

www.wipo.int/pct/en/forms/

Regulations under the PCT in Spanish

The Regulations under the PCT, as in force from 1 April 2005, is now available in Spanish at:

www.wipo.int/pct/es/texts/pdf/pct_regs2005.pdf

It is recalled that the texts in English, French, German and Russian are also available at, respectively:

www.wipo.int/pct/en/texts/pdf/pct_regs.pdf
www.wipo.int/pct/fr/texts/pdf/pct_regs.pdf
www.wipo.int/pct/de/texts/pdf/pct_regs.pdf
www.wipo.int/ru/pct/texts/pdf/pct_regs.pdf

Changes to the Publication Schedule

Publication of 27 April 2006

Due to the fact that Friday, 14 April and Monday, 17 April 2006 will be non-working days at WIPO, the completion of technical preparations for the publication of *PCT Gazette* No. 17/2006 (dated 27 April 2006) and the corresponding published PCT applications to be published on the same date, will take place earlier than usual, on Tuesday, 11 April 2006, instead of on Wednesday, 12 April 2006, the day on which technical preparations would normally have been completed. This means that any changes which should be taken into account for the purposes of international application should be received by the International Bureau by midnight on Monday, 10 April 2006.

Note that the publication date itself will not be affected – it falls on the usual day, Thursday (27 April 2006).

Practical Advice

Maintaining the US designation where a US national application has already been filed

Q: Last year I filed a national application in the United States of America. I now wish to file an international application for that same invention, however, since I will be continuing with the US national application, I do not think that I will be proceeding into the US national phase in respect of the international application. Should I maintain the designation of US or withdraw it?

A: It is recalled that with the automatic designation, under PCT Rule 4.9(a), of all PCT Contracting States for all kinds of protection possible, it is no longer possible to exclude any designations from the Request Form (with the exceptions of Germany, the Republic of Korea and the Russian Federation, and as from 1 April 2006, Japan – if the international application claims the priority of an earlier national application having effect in any of those States (see *PCT Newsletter* No. 01/2006, cover page)). Therefore, if you do not wish to proceed with your international application in any particular State, you have the option of maintaining the designation of that State and simply not taking any action to enter the national phase within the time limit under PCT Article 22 or 39(1), or of withdrawing the designation in the international phase.

The safest option is probably to maintain the US designation, as in this way, you will be keeping your options open in case you change your mind and decide to enter the national phase in the US after all. Also, if any earlier filed US application has already been granted, maintaining the US designation will give you the benefit of a co-pending US case until the expiration of 30 months, leaving you with more options. Withdrawals of designations should only be made when you are absolutely sure that you do not wish to enter the national phase in that State as, once you have withdrawn a designation, the effect of the international application in that State will cease (see PCT Article 24(1)(i)) and you would not normally be able to enter the national phase in that State (certain exceptions may exist under the national law of the State concerned).

Maintaining the designation is also the easiest option as you will not have the extra paperwork of sending a notice of withdrawal and furnishing the signatures of the applicants.

It is important to be aware that if you do later decide to enter the national phase in the US with the international application, the inventors must have been indicated as applicants upon filing the international application. If you do include the inventors as applicants, note that under PCT Rule 26.2bis(a), the signature of one applicant is sufficient – it is only in the case of any kind of withdrawal under PCT Rule 90bis that the signature of all applicants would be required (see PCT Rule 90bis.5).

Apart from the cases of Germany, Japan (as from 1 April 2006), the Republic of Korea and the Russian Federation, the safest and easiest option is therefore to maintain a designation rather than withdraw it, and in the case of the US designation, to include the inventor(s) as applicants in case you should decide to enter the US national phase.

Further information about the issues arising from the designation system is included in the following “practical advice” articles:

- The non-designation of certain States in the new Request form, applicable as from 1 January 2004 – *PCT Newsletter* No. 11/2003;
- Signature requirements for the withdrawal of the US designation – *PCT Newsletter* No. 01/2004;
- The procedure for withdrawing designations – *PCT Newsletter* No. 02/2004;
- Automatic designation of the US: the consequences of the applicant/inventor being a resident and national of a non-PCT Contracting State – *PCT Newsletter* No. 03/2004;
- Automatic designation of the US: indication of the inventors as “inventors only” where there is no intention to enter the US national phase – *PCT Newsletter* No. 04/2004;
- Automatic designation of all PCT Contracting States: alternative to withdrawing a designation – *PCT Newsletter* No. 05/2004.

Receiving Offices prepared to accept the filing of international applications in fully electronic form

(status on 1 February 2006)

AU	Australian Patent Office	IB	International Bureau of WIPO
DK	Danish Patent and Trademark Office	JP	Japan Patent Office
EP	European Patent Office (EPO)	KR	Korean Intellectual Property Office
ES	Spanish Patent and Trademark Office	NL	Netherlands Industrial Property Office
FI	National Board of Patents and Registration of Finland	PL	Polish Patent Office (<i>from 1 March 2006</i>)
FR	National Institute of Industrial Property (France)	SE	Swedish Patent and Registration Office
GB	The Patent Office (United Kingdom)	SK	Industrial Property Office (Slovakia)
			(Total: 14 Offices)

**Receiving Offices prepared to accept the filing of
international applications
containing requests in PCT-EASY format
(status on 1 February 2006)**

AM	Intellectual Property Agency (Armenia)	KG	Kyrgyz Intellectual Property Office
AP	African Regional Intellectual Property Organization (ARIPO)	KP	Invention Office of the Democratic People's Republic of Korea
AT	Austrian Patent Office	KR	Korean Intellectual Property Office
AU	Australian Patent Office	KZ	Ministry of Justice of the Republic of Kazakhstan, Committee of Intellectual Property Rights, National Institute of Intellectual Property (NIIP Republic of Kazakhstan)
BA	Institute for Standards, Metrology and Intellectual Property of Bosnia and Herzegovina	LT	Lithuanian Patent Office
BE	Intellectual Property Office (Belgium)	LV	Latvian Patent Office
BG	Bulgarian Patent Office	MD	State Agency on Intellectual Property (Republic of Moldova)
BR	National Institute of Industrial Property (Brazil)	MK	State Office of Industrial Property (The former Yugoslav Republic of Macedonia)
BZ	Belize Intellectual Property Office	MN	Intellectual Property Office of Mongolia
CA	Canadian Intellectual Property Office	MW	Ministry of Justice, Department of the Registrar General (Malawi)
CH	Swiss Federal Intellectual Property Institute	MX	Mexican Institute of Industrial Property
CN	State Intellectual Property Office of the People's Republic of China	NI	Registry of Intellectual Property (Nicaragua)
CO	Superintendence of Industry and Commerce (Colombia)	NL	Netherlands Industrial Property Office
CR	Registry of Intellectual Property (Costa Rica)	NO	Norwegian Patent Office
CU	Cuban Industrial Property Office	NZ	Intellectual Property Office of New Zealand
CZ	Industrial Property Office (Czech Republic)	PG	Intellectual Property Office of Papua New Guinea
DE	German Patent and Trade Mark Office	PH	Intellectual Property Office (Philippines)
DK	Danish Patent and Trademark Office	PT	National Institute of Industrial Property (Portugal)
DZ	Algerian National Institute of Industrial Property	RO	State Office for Inventions and Trademarks (Romania)
EA	Eurasian Patent Office (EAPO)	RU	Federal Service for Intellectual Property, Patents and Trademarks (Russian Federation)
EC	Ecuadorian Institute of Intellectual Property	SE	Swedish Patent and Registration Office
EE	Estonian Patent Office	SG	Intellectual Property Office of Singapore
EG	Egyptian Patent Office	SI	Slovenian Intellectual Property Office
EP	European Patent Office (EPO)	SK	Industrial Property Office (Slovakia)
ES	Spanish Patent and Trademark Office	SY	Directorate of Commercial and Industrial Property (Syrian Arab Republic)
FI	National Board of Patents and Registration of Finland	TM	Patent Department, Ministry of Economy and Finance of Turkmenistan
FR	National Institute of Industrial Property (France)	TR	Turkish Patent Institute
GB	The Patent Office (United Kingdom)	TT	Intellectual Property Office (Trinidad and Tobago)
GE	Georgian Intellectual Property Office	US	United States Patent and Trademark Office
GR	Industrial Property Organization (Greece)	UZ	State Patent Office of Uzbekistan
HR	Croatian Intellectual Property Office	YU	Intellectual Property Office (Serbia and Montenegro)
HU	Hungarian Patent Office	ZA	Companies and Intellectual Property Registration Office (South Africa)
IB	International Bureau of WIPO	ZW	Zimbabwe Patent Office
ID	Directorate General of Intellectual Property (Indonesia)		
IE	Patents Office (Ireland)		
IL	Israel Patent Office		
IN	Patent Office (India)		
IS	Icelandic Patent Office		
JP	Japan Patent Office		
KE	Kenya Industrial Property Institute		

(Total: 73 Offices)

PCT Seminar Calendar			
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
11 February 2006 St. Paul, MN (US)	English	“William Mitchell’s Intellectual Property Symposium: The Patent Cooperation Treaty and the International Patent System” WIPO speakers: Mr. Erstling and Ms. Boutillon	William Mitchell College of Law and Fredrickson & Byron (Mrs. Meg Daniel) Tel: (1–651) 290 64 25 Internet: www.wmitchell.edu/lectures/pct+symposium
13–14 February 2006 Copenhagen (DK)	English	PCT seminar WIPO speaker: Mr. Reischle Other speaker: Mr. Wolff (European Patent Office)	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de
2–3 March 2006 San Francisco (US)	English	Basic PCT seminar WIPO speakers: Mr. Reischle; second speaker to be announced	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1–415) 289 74 71 Fax: (1–415) 331 60 68 E-mail: IPI@IPISeminars.com Internet: www.ipiseminars.com
6–7 March 2006 Chicago (US)	English	Basic PCT training session WIPO speakers: Mr. Reischle; second speaker to be announced	The John Marshall Law School Department of Event Management Tel: (1–312) 987 14 20 Fax: (1–312) 427 71 28 E-mail: Events@jmls.edu
8–9 March 2006 Ecully (FR)	French	PCT presentation for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier (Mrs. Audi) Tel: (33–4) 78 33 07 08 Fax: (33–4) 78 33 58 96
13 March 2006 Cape Town (ZA)	English	PCT conference WIPO speakers: Ms. Boutillon and Mrs. Pii	University of Cape Town (Ms. Lee-Ann Tong) Tel: (27–21) 650 56 12 E-mail: Ltong@LAW.uct.ac.za
14 March 2006 Cape Town (ZA)	English	PCT conference WIPO speakers: Ms. Boutillon and Mrs. Pii	University of Western Cape (Ms. Riekie Wandrag) Tel: (27–21) 959 32 94 or (27–82) 202 32 02 E-mail: mwandrag@uwc.ac.za
17 March 2006 Potchefstroom (ZA)	English	PCT conference WIPO speakers: Ms. Boutillon and Mrs. Pii	University of North West (Prof. Andries van der Merwe) Tel: (27–18) 299 19 30 E-mail: HNRAPVDM@puknet.puk.ac.za
20 March 2006 Durban (ZA)	English	PCT conference WIPO speakers: Ms. Boutillon and Mrs. Pii	University of Kwazulu-Natal (Mr. Christopher Schembri) Tel: (27–31) 260 23 01 E-mail: schembr@ukzn.ac.za
27 March 2006 Paris (FR)	French	PCT presentation for patent attorneys WIPO speaker: Ms. Boutillon	Fondation Nationale pour le Droit de l’Entreprise (FNDE) (Mrs. Heuzé) Tel: (33–1) 42 66 18 19 Fax: (33–1) 42 66 17 37 E-mail: pheuze@fnde.asso.fr
21–22 April 2006 Concord, New Hampshire (US)	English	Comprehensive PCT seminar WIPO speaker: to be announced	Franklin Pierce Law Center (Ms. Carol Ruh) Tel: (1–603) 228 15 41, ext. 1108 Fax: (1–603) 224 33 42 E-mail: cruh@piercelaw.edu Internet: www.piercelaw.edu/pct
26 April 2006 London (GB)	English	PCT-SAFE workshop WIPO speaker: Ms. Featherby	Management Forum Ltd Tel: (44–1483) 73 00 71 Fax: (44–1483) 73 00 08 E-mail: josephine.leak@management-forum.co.uk Internet: www.management-forum.co.uk

PCT Fee Tables

(amounts on 1 February 2006, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100 under certain circumstances where the request is prepared using the PCT-EASY features of the PCT-SAFE software or by CHF 200 or 300 where the international application is filed in electronic form, as prescribed; see footnotes 2 and 5 for details. A 75% reduction in the international filing fee (including the fee per sheet over 30) and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 3 and 10. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 75% reduction are applicable, the 75% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

Key to abbreviations used in fee tables:

eq	equivalent of –	BGL	Bulgarian lev	GEL	Georgian lari	LTL	Lithuanian litas	SEK	Swedish krona
IPEA	International Preliminary Examining Authority	BRR	Brazilian real	GHC	Ghanaian cedi	LVL	Latvian lat	SGD	Singapore dollar
ISA	International Searching Authority	BYR	Belarussian rouble	HRK	Croatian kuna	MAD	Moroccan dirham	SIT	Slovenian tolar
n a	not applicable	BZD	Belize dollar	HUF	Hungarian forint	MDL	Moldovan leu	SKK	Slovak koruna
RO	receiving Office	CAD	Canadian dollar	IDR	Indonesian rupiah	MKD	Macedonian denar	TJS	Tajik somoni
		CHF	Swiss franc	ILS	New Israel shekel	MWK	Malawian kwacha	TND	Tunisian dinar
		CNY	Yuan renminbi	INR	Indian rupee	MXP	Mexican peso	TTD	Trinidad and Tobago dollar
		COP	Colombian peso	ISK	Icelandic krona	NOK	Norwegian krone	UAH	Ukrainian hryvnia
		CUC	Cuban convertible peso	JPY	Japanese yen	NZD	New Zealand dollar	USD	US dollar
AED	United Arab Emirates dirham	CYP	Cyprus pound	KES	Kenyan shilling	PGK	Kina	VND	Vietnamese dong
ALL	Albanian lek	CZK	Czech koruna	KGS	Kyrgyz som	PHP	Philippine peso	XAF	CFA franc BEAC
AMD	Armenian dram	DKK	Danish krone	KPW	KP won	PLZ	Polish zloty	YUD	Yugoslavian dinar
AUD	Australian dollar	DZD	Algerian dinar	KRW	KR won	ROL	Romanian leu	ZAR	South African rand
AZM	Azerbaijani manat	EEK	Estonian kroon	KZT	Kazakh tenge	RUR	Russian rouble	ZWD	Zimbabwe dollar
BAM	Convertible mark	EUR	Euro	LSM	Lesotho loti	SDP	Sudanese pound		
		GBP	Pound sterling						

Table I(a) — Transmittal and international filing fees

(amounts on 1 February 2006, unless otherwise indicated)

RO	Transmittal fee ¹	International filing fee ^{1, 2, 3} (CHF 1,400)	Fee per sheet over 30 ^{1, 2, 3, 4} (CHF 15)	PCT-EASY reduction ^{2, 5} (CHF 100)	Competent ISA(s) ⁶
AG	Information not yet available				
AL	ALL 9,000	CHF 1,400	15	n a	EP
AM	AMD 32,000	USD 1,086	12	78	EP RU
AP	USD 50 (or eq in local currency)	USD 1,086	12	78	AT EP SE
AT	EUR 50	EUR 900	10	64	EP
AU	AUD 100	AUD 1,435	15	103	AU
AZ	AZM 55,000	USD 1,086	12	n a	EP RU
BA	BAM 50	EUR 900	10	64	EP
BE	EUR 40	EUR 900	10	64	EP
BG	BGL 60	BGL eq CHF 1,400	eq CHF 15	eq CHF 100	EP RU
BR	BRR 236	BRR eq CHF 1,400	eq CHF 15	eq CHF 100	AT EP SE US
BW	Information not yet available				
BY	BYR eq USD 70	USD 1,086	12	n a	EP RU
BZ	BZD 220	USD 1,086	12	78	EP
CA	CAD 300	CAD 1,273	14	91	CA
CH	CHF 100	CHF 1,400	15	100	EP
CN	CNY 500	CNY eq CHF 1,400	eq CHF 15	eq CHF 100	CN
CO	COP ⁷ —	COP eq USD 1,086	eq USD 12	eq USD 78	AT, EP, ES, RU
CR	USD 250	USD 1,086	12	78	EP ES
CU	CUC 200	CUC 1,086	12	78	AT EP ES RU
CY	CYP 75	CYP 516	6	n a	EP
CZ	CZK 1,500	CZK eq CHF 1,400	eq CHF 15	eq CHF 100	EP
DE	EUR 90	EUR 900	10	64	EP
DK	DKK 1,500	DKK 6,710	70	480	EP SE
DM	Information not yet available				
DZ	DZD None	CHF 1,400	15	100	AT EP
EA	RUR eq USD 50	USD 1,086	12	78	EP RU
EC	USD ⁷ —	USD 1,086	12	78	EP ES
EE	EEK 1,800	EEK eq CHF 1,400	eq CHF 15	eq CHF 100	EP
EG	USD 100	USD 1,086	12	78	AT EP US

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Table I(a) — Transmittal and international filing fees [continued]
(amounts on 1 February 2006, unless otherwise indicated)

RO	Transmittal fee ¹	International filing fee ^{1, 2, 3} (CHF 1,400)	Fee per sheet over 30 ^{1, 2, 3, 4} (CHF 15)	PCT-EASY reduction ^{2, 5} (CHF 100)	Competent ISA(s) ⁶
EP ⁵	EUR 100	EUR 900	10	64	EP
ES ⁵	EUR 65.27	EUR 900	10	64	EP ES
FI ⁵	EUR 135	EUR 900	10	64	EP FI SE
FR ⁵	EUR 60	EUR 900	10	64	EP
GB ⁵	GBP 55	GBP 611	7	44	EP
GD	Information not yet available				
GE	GEL ⁸ 10	USD 1,086	12	78	EP RU
GH	GHC ⁹ 2,500 or 5,000	USD 1,086	12	n a	AT AU CN EP SE
GR	EUR 115	EUR 900	10	64	EP
HR	HRK 200	HRK eq CHF 1,400	eq CHF 15	eq CHF 100	EP
HU	HUF 10,700	HUF eq CHF 1,400	eq CHF 15	eq CHF 100	EP RU
IB ⁵	CHF ¹⁰ 100 or EUR ¹⁰ 64 or USD ¹⁰ 79	CHF 1,400 or EUR 900 or USD 1,086	15 10 12	100 64 78	See footnote 11
ID	IDR 500,000	IDR eq CHF 1,400	eq CHF 15	eq CHF 100	AU EP KR RU
IE	EUR 76	EUR 900	10	64	EP
IL	ILS 480	USD 1,086	12	78	EP US
IN	INR 8,000 (filing by indiv: 2,000)	USD 1,086	12	78	AT AU CN EP SE US
IS	ISK 6,500	ISK 68,000	700	4,900	EP SE
IT	EUR 30.99	EUR 900	10	n a	EP
JP ⁵	JPY 13,000	JPY 121,800	1,300	8,700	EP JP
KE	USD (or KES equiv) 250 plus cost of mailing	USD 1,086	12	78	AT AU CN EP SE
KG	KGS eq USD 100	USD 1,086	12	78	EP RU
KM	Information not yet available				
KP	KPW eq CHF 50	KPW eq CHF 1,400	eq CHF 15	eq CHF 100	AT RU
KR ⁵	KRW 45,000	KRW 1,123,000	12,000	80,000	AT AU JP ¹² KR
KZ	KZT 7,360	USD 1,086	12	78	EP RU
LR	USD 45	USD 1,086	12	N a	AT AU CN EP SE
LS	LSM ⁷ —	LSM eq CHF 1,400	eq CHF 15	n a	AT EP
LT	LTL 320	EUR 900	10	64	EP RU
LU	EUR 19	EUR 900	10	n a	EP
LV	LVL 47.20	EUR 900	10	64	EP RU
MA	None	CHF 1,400	15	n a	AT EP RU SE
MC	EUR 49 ¹³	EUR 900	10	n a	EP
MD	MDL 180	USD 1,086	12	78	EP RU
MK	MKD 2,700	MKD eq CHF 1,400	eq CHF 15	eq CHF 100	EP
MN	None	CHF 1,400	15	100	EP RU
MW	MWK 6,000	MWK 134,700	1,400	9,600	EP
MX	MXP ³ eq USD 200	MXP eq CHF 1,400	eq CHF 15	eq CHF 100	EP ES SE US
NI	USD 200	USD 1,086	12	78	EP ES
NL ⁵	EUR 50	EUR 900	10	64	EP
NO	NOK 550	NOK 7,030	80	500	EP SE
NZ	NZD 180 ¹⁴	NZD 1,584	17	113	AU EP KR US
OA	XAF ⁷ —	XAF eq CHF 1,400	eq CHF 15	n a	AT EP RU SE
OM	Information not yet available				
PG	PGK 250	USD 1,086	12	78	AU
PH	PHP 3,500	USD 1,086	12	78	AU EP JP KR US
PL	PLZ 300	PLZ eq CHF 1,400	eq CHF 15	n a	EP

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Table I(a) — Transmittal and international filing fees [continued]
(amounts on 1 February 2006, unless otherwise indicated)

RO	Transmittal fee ¹	International filing fee ^{1, 2, 3} (CHF 1,400)	Fee per sheet over 30 ^{1, 2, 3, 4} (CHF 15)	PCT-EASY reduction ^{2, 5} (CHF 100)	Competent ISA(s) ⁶
PT	EUR 31.73	EUR 900	10	64	EP
RO	ROL 300,000	CHF 1,400	15	100	AT EP RU
RU	RUR 294	USD 1,086	12	78	EP RU
SC	Information not yet available				
SD	SDP 50	SDP eq CHF 1,400	eq CHF 15	n a	EP
SE	SEK 1,200	SEK 8,450	90	600	EP SE
SG	SGD 150	SGD 1,834	20	131	AT AU EP
SI	SIT 22,000	SIT eq CHF 1,400	eq CHF 15	eq CHF 100	EP
SK	SKK 2,000	SKK eq CHF 1,400	eq CHF 15	eq CHF 100	EP
SM	EUR 50	EUR 900	10	N a	EP
SY	USD ⁷ —	USD 1,086	12	78	AT EP RU
TJ	TJS ⁷ —	USD 1,086	12	n a	EP RU
TM	USD ⁷ —	USD 1,086	12	78	EP RU
TN	TND ⁷ —	CHF 1,400	15	n a	EP
TR	CHF 100	CHF 1,400	15	100	EP
TT	TTD 750	USD 1,086	12	78	AT EP SE US
UA	UAH 255	USD (or eq UAH) 1,086	12	N a	EP RU
US	USD 300	USD 1,086	12	78	EP US
UZ	USD ⁷ —	USD 1,086	12	78	EP RU
VC	Information not yet available				
VN	VND eq USD 150	VND eq CHF 1,400	eq CHF 15	n a	AT AU EP KR RU SE
YU	YUD 3,000	YUD eq CHF 1,400	eq CHF 15	eq CHF 100	EP
ZA	ZAR 500	ZAR 6,910	70	490	AT AU EP US
ZM	Information not yet available				
ZW	ZWD 6,000	ZWD eq USD 1,086	eq USD 12	eq USD 78	AT AU CN EP RU

Table I(b) — Search fees
(amounts on 1 February 2006, unless otherwise indicated)

ISA	Search fee ¹										
AT	EUR 200	CHF 311	KRW 250,000	SGD 410	USD 241	ZAR 1,540					
AU	AUD 1,200	CHF 1,171	EUR 752	KRW 939,000	NZD 1,324	SGD 1,530					
	USD 908	ZAR 5,780									
CA	CAD 1,600	CHF 1,759	EUR 1,131	USD 1,365							
CN	CNY 2,100	CHF 335	EUR 215	USD 260							
EP ¹⁵	EUR 1,550	CHF 2,412	CYP 888	DKK 11,560	GBP 1,052	ISK 117,000					
	JPY 209,800	MWK 232,000	NOK 12,110	NZD 2,728	SEK 14,560	SGD 3,160					
	USD 1,871	ZAR 11,910									
ES ¹⁵	EUR 1,550	CHF 2,412	USD 1,871								
FI	EUR 1,550	CHF 2,412	USD 1,871								
JP	JPY 97,000	CHF 1,115	EUR 717	KRW* 895,000	USD** 865						
	* (from 15.3.06: KRW 841,000)										
	** (from 1.3.06: USD 810)										
KR	KRW 225,000	CHF 280	EUR 180	NZD 317	USD 218						
RU ¹⁶	USD 300	CHF 387	EUR 248								
SE	SEK 14,560	CHF 2,412	DKK 11,560	EUR 1,550	ISK 117,000	NOK 12,110					
	USD 1,871										
US	USD 1,000	300 ¹⁷	CHF 1,289	387 ¹⁷	EUR 828	248 ¹⁷	NZD 1,460	440 ¹⁷			
	ZAR 6,360	1,910 ¹⁷									

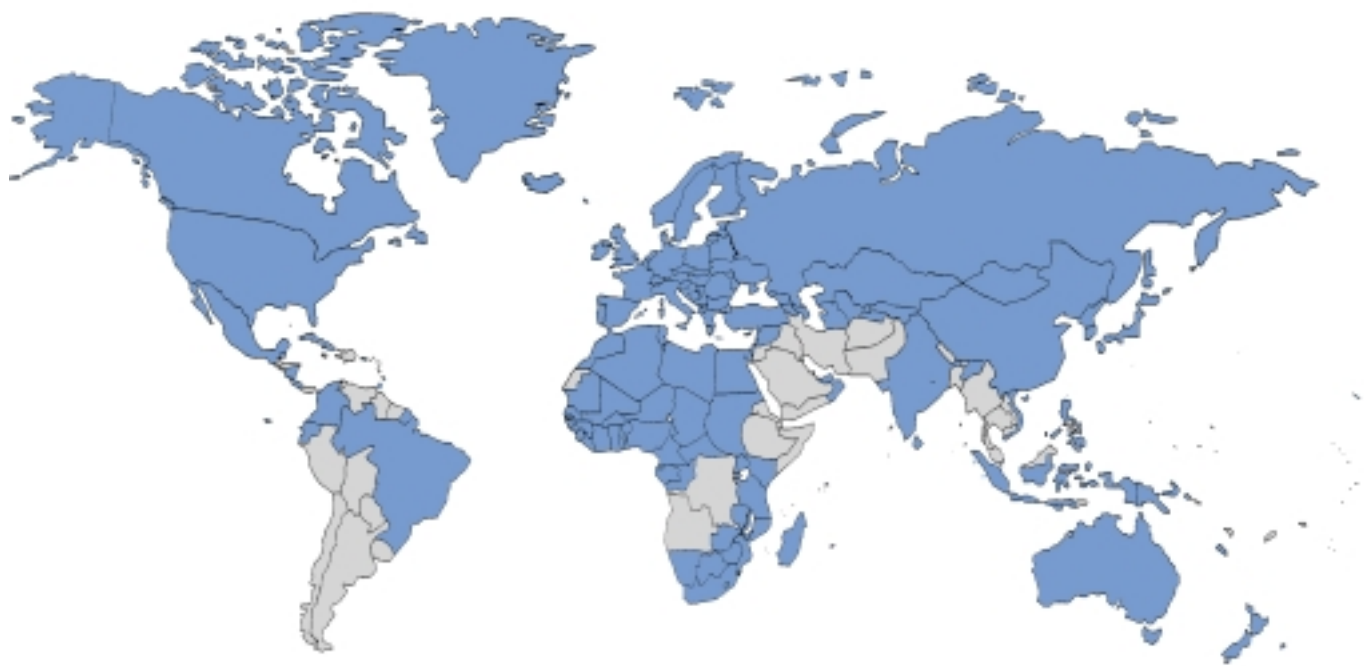
Table II — Preliminary examination fees
(amounts on 1 February 2006, unless otherwise indicated)

IPEA	Preliminary examination fee ¹⁸		Handling fee ^{3, 18} (CHF 200)		
AT	EUR	200	EUR	129	
AU	AUD	550	1,000 ¹⁹	AUD	205
CA	CAD	800		CAD	182
CN	CNY	1,500		CNY eq CHF	200
EP ¹⁵	EUR	1,530		EUR	129
ES	EUR	523.29		EUR	129
FI	EUR	550		EUR	129
JP	JPY	36,000		JPY	17,400
KR	KRW	225,000		KRW	160,000
RU ¹⁶	USD	200 ²⁰	300 ²¹	USD	155
SE	SEK	5,000		SEK	1,210
US	USD	600	750 ²²	USD	155

Footnotes to fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The international filing fee is reduced by CHF 100 where: (a) the request is presented as a computer print-out prepared using the PCT-EASY features of the PCT-SAFE software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the PCT application is filed with a receiving Office which is prepared to accept the filing of PCT applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- 3 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT website at: www.wipo.int/pct/en/fees/fee_reduction.pdf
- 4 Where the PCT application as filed contains a sequence listing part in computer readable form only, or both in that form and on paper, under Section 801 of the Administrative Instructions under the PCT, and where that application is filed with a receiving Office which is prepared to accept such filings, a fixed component of 400 times the fee per sheet over 30 is payable for the sequence listing part, irrespective of the actual length of that part (see Section 803(ii) of the *Administrative Instructions*).
- 5 Where the international application is filed in electronic form in accordance with and to the extent provided for in Part 7 and Annex F of the Administrative Instructions and where the international application is filed with a receiving Office which has notified the International Bureau under Section 710 of the Administrative Instructions that it is prepared to receive international applications in electronic form, the international filing fee is reduced by CHF 200 if the text of the description, claims and abstract is not in character coded format, and by CHF 300 if the text of the description, claims and abstract is in character coded format. Only the following receiving Offices have sent such a notification so far: RO/EP, DK, ES, FI, FR, GB, IB, JP, KR and NL. The equivalent amounts of those reductions in the relevant currencies are as follows: **CHF 200=AUD 205, DKK 960, EUR 129, GBP 87, SEK 1,210, USD 155; CHF 300=AUD 308, DKK 1,440, EUR 193, GBP 131, JPY 26,100, KRW 241,000, SEK 1,810, USD 233.**
- 6 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 7 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 8 This fee is reduced by 80% where the applicant is a natural person.
- 9 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 10 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT website at: www.wipo.int/pct/en/fees/fee_reduction.pdf
- 11 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Vol. I/B, Annex C (IB).
- 12 The Japan Patent Office is competent only for international applications in Japanese.
- 13 Plus EUR 1 for the preparation of additional copies, for each page and each copy.
- 14 Plus 12.5% GST (Goods and Services Tax) for New Zealand residents.
- 15 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State not party to the European Patent Convention, which fulfils the requirements for the corresponding reduction of certain PCT fees as specified in Item 4(a) of the Schedule of Fees annexed to the PCT Regulations, and in accordance with the decision of the EPO's Administrative Council of 11 October 2000, the text of which was included in PCT Newsletter No. 11/2000. The States concerned are those indicated in part 1 of the table on the PCT website at: www.wipo.int/pct/en/fees/fee_reduction.pdf, with the exception of Bulgaria, the Czech Republic, Estonia, Hungary, Lithuania, Poland, Romania, Slovakia and Turkey.
- 16 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 17 Payable when a corresponding prior US national application has been filed under 35 USC 111(a), ((i) prior to 8 December 2004, the basic filing fee under 37 CFR 1.16(a) has been paid, or (ii) on or after 8 December 2004, the basic filing fee under 37 CFR 1.16(a), the search fee under 37 CFR 1.16(k) and the examination fee under 37 CFR 1.16(o) have been paid) and the prior US national application is identified by the application number if known, or if the application number is not known, by the filing date, title and name of applicant (and preferably by the application docket number), in the international application or accompanying the papers at the time of filing the international application.
- 18 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 19 Payable when the international search report was not issued by the Australian Patent Office.
- 20 Payable when the international search report was established by the Russian Patent Office.
- 21 In all cases where footnote 20 does not apply.
- 22 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C (US)).

PCT Contracting States and Two-letter Codes (128 on 1 February 2006)



AE United Arab Emirates	CR Costa Rica	IN India	MK The former Yugoslav Republic of Macedonia ¹	SL Sierra Leone (AP)
AG Antigua and Barbuda	CU Cuba	IS Iceland (EP)	ML Mali (OA) ²	SM San Marino
AL Albania ¹	CY Cyprus (EP) ²	IT Italy (EP) ²	MN Mongolia	SN Senegal (OA) ²
AM Armenia (EA)	CZ Czech Republic (EP)	JP Japan	MR Mauritania (OA) ²	SY Syrian Arab Republic
AT Austria (EP)	DE Germany (EP)	KE Kenya (AP)	MW Malawi (AP)	SZ Swaziland (AP) ²
AU Australia	DK Denmark (EP)	KG Kyrgyzstan (EA)	MX Mexico	TD Chad (OA) ²
AZ Azerbaijan (EA)	DM Dominica	KM Comoros	MZ Mozambique (AP)	TG Togo (OA) ²
BA Bosnia and Herzegovina ¹	DZ Algeria	KN Saint Kitts and Nevis	NA Namibia (AP)	TJ Tajikistan (EA)
BB Barbados	EC Ecuador	KP Democratic People's Republic of Korea	NE Niger (OA) ²	TM Turkmenistan (EA)
BE Belgium (EP) ²	EE Estonia (EP)	KR Republic of Korea	NG Nigeria	TN Tunisia
BF Burkina Faso (OA) ²	EG Egypt	KZ Kazakhstan (EA)	NI Nicaragua	TR Turkey (EP)
BG Bulgaria (EP)	ES Spain (EP)	LC Saint Lucia	NL Netherlands (EP) ²	TT Trinidad and Tobago
BJ Benin (OA) ²	FI Finland (EP)	LI Liechtenstein (EP)	NO Norway	TZ United Republic of Tanzania (AP)
BR Brazil	FR France (EP) ²	LK Sri Lanka	NZ New Zealand	UA Ukraine
BW Botswana (AP)	GA Gabon (OA) ²	LR Liberia	OM Oman	UG Uganda (AP)
BY Belarus (EA)	GB United Kingdom (EP)	LS Lesotho (AP)	PG Papua New Guinea	US United States of America
BZ Belize	GD Grenada	LT Lithuania (EP)	PH Philippines	UZ Uzbekistan
CA Canada	GE Georgia	LU Luxembourg (EP)	PL Poland (EP)	VC Saint Vincent and the Grenadines
CF Central African Republic (OA) ²	GH Ghana (AP)	LV Latvia (EP)	PT Portugal (EP)	VN Viet Nam
CG Congo (OA) ²	GM Gambia (AP)	LY Libyan Arab Jamahiriya	RO Romania (EP)	YU Serbia and Montenegro ¹
CH Switzerland (EP)	GN Guinea (OA) ²	MA Morocco	RU Russian Federation (EA)	ZA South Africa
CI Côte d'Ivoire (OA) ²	GQ Equatorial Guinea (OA) ²	MC Monaco (EP) ²	SC Seychelles	ZM Zambia (AP)
CM Cameroon (OA) ²	GR Greece (EP) ²	MD Republic of Moldova (EA)	SD Sudan (AP)	ZW Zimbabwe (AP)
CN China	GW Guinea-Bissau (OA) ²	MG Madagascar	SE Sweden (EP)	
CO Colombia	HR Croatia ¹		SG Singapore	
	HU Hungary (EP)		SI Slovenia (EP) ²	
	ID Indonesia		SK Slovakia (EP)	
	IE Ireland (EP) ²			
	IL Israel			

¹ Extension of European patent possible.

² May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated October 2005 and April 2005, respectively) or, if filing the request using the PCT-EASY features of the PCT-SAFE software, the latest version of that software (which is available at: www.wipo.int/pct-safe). The request and demand forms are reproduced in Annexes X and Y, respectively, in Vol. I/B of the *PCT Applicant's Guide*, and can be printed from the PCT website, in editable PDF format, at: www.wipo.int/pct/en/forms/, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities.