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Telephone: (41-22) 338 91 11

Facsimile: (41-22) 740 14 35

E-mail: wipo.mail@wipo.int

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NEWSLETTER

July 2000 No. 07/2000

THE PATENT LAW TREATY IS ADOPTED

The Patent Law Treaty (PLT) was adopted on 1 June 2000 at a Diplomatic Conference which took place under the auspices of WIPO from 11 May to 2 June 2000 in Geneva. The PLT will enter into force three months after it has been ratified by ten States. It seeks to streamline, on a worldwide basis, formal patent procedures relating to national and regional patent applications and the maintenance of patents. It also aims to reduce the cost of patent protection and to make the patenting process more userfriendly and widely accessible.

The PLT achieves a major goal of international simplification in that formal requirements for PCT international applications will be incorporated into national and regional laws of PLT Contracting Parties. Thus, under the PLT, many formal requirements and procedures for national and regional patent applications, and those for PCT international applications, will be aligned.

The PLT further provides, in particular, for simple filing date requirements, standardized Forms, simplified procedures before the Offices, means for avoiding unintentional loss of rights and basic principles for electronic filing.

For further information on the implications of the PLT for users of the patent system and for granting authorities, reference is made to the briefing note which can be found at the following address on WIPO's Internet site:

http://www.wipo.int/eng/pressrel/2000/p219a.htm

PCT PUBLICATIONS

Orders for the publications mentioned below should be addressed to the Marketing and Distribution Section at WIPO.

fax: (41–22) 740 18 12 e-mail: publications.mail@wipo.int electronic bookshop: www.wipo.int/ebookshop mailing address: see address opposite

The PCT and Regulations in English

The International Bureau has published a new edition of the English text of the PCT and Regulations, as in force from 1 January 2000. The new edition contains the amendments which were made to PCT Rule 4.10 during the PCT Union Assembly in September 1999, as well as the latest version of the PCT Schedule of Fees, both of which entered into force on 1 January 2000.

[continued on page 2]

INSIDE THIS ISSUE

[continued from cover page]

Although those amendments were included as an insert in the December 1999 issue of the *PCT Newsletter* (No. 12/1999), you may wish to order the new edition of the PCT and Regulations. The price of the publication is 21.60 Swiss francs or 18 US dollars by regular mail, and 25.20 Swiss francs or 21 US dollars by priority mail. Orders should indicate the language required and refer to WIPO Publication No. 274. A new edition of the French text of the PCT and Regulations, as in force from 1 January 2000, will be available shortly.

Note, however, that a number of amendments to the PCT Regulations will enter into force in March 2001, and a further new edition of the PCT and Regulations, containing those amendments, is scheduled to be published early in 2001.

PCT Gazette special issue: General information on Contracting States, National and Regional Offices and International Authorities

Consolidated general information relating to PCT Contracting States, national and regional

PCT MATERIALS ON INTERNET

(http://www.wipo.int)

PCT Gazette

PCT Information Line

PCT Newsletter (Nos. 01/1997-07/2000)

Practical advice published in the *PCT Newsletter* between March 1994 and December 1996

PCT Applicant's Guide

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Basic Facts about the PCT

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About the Treaty

Text of the Patent Cooperation Treaty

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PCT Receiving Office Guidelines

PCT International Search Guidelines

PCT International Preliminary Examination Guidelines

Direct filing of PCT applications with the International Bureau as PCT receiving Office

PCT forms
PCT Informal Consultation Meeting on Electronic

Filing (11–14 July 2000) PCT Assembly documents: 1997, 1998,

1999, 2000

PCT Committee for Administrative and Legal Matters documents: 1999

PCT INFORMATION LINE

Telephone: (41–22) 338 83 38 Fax: (41–22) 338 83 39 E-mail: pct.infoline@wipo.int Offices and International Authorities under the PCT (that is, the information which is published in Annexes A to E, L, and in the National Chapter Summaries of the *PCT Applicant's Guide*) will be published in a special issue of the *PCT Gazette* on 13 July 2000 (No. S-02/2000 (E) and (F)). It replaces the previous special issue containing consolidated general information, published on 6 January 2000 (No. S-01/2000 (E) and (F)).

Subscribers to the *PCT Gazette* automatically receive special issues. If you are not a subscriber to the *PCT Gazette*, but would like to obtain that special issue, it can be ordered at a price of 21.60 Swiss francs or 18 US dollars by regular mail, and 25.20 Swiss francs or 21 US dollars by priority mail.

Note that the special issue is also available in PDF format, free of charge, as part of the *PCT Gazette* on WIPO's Internet site.

PCT pamphlets

It is recalled that, in addition to the possibility of printing out the contents of a published international application (PCT pamphlet) from the PCT Gazette part of WIPO's Internet site via a link to the European Patent Office's esp@cenet database, it is also possible to request WIPO's Marketing and Distribution Section to send you PCT pamphlets by fax. A new automated system has just been installed at WIPO which speeds up the processing of such requests. The cost for such a service is 4 Swiss francs or 3 US dollars per page. Note that it is also possible to receive PCT pamphlets by mail at the cost of 14.40 Swiss francs or 12 US dollars by regular mail and 16.80 Swiss francs or 14 US dollars by priority mail.

PCT APPLICANT'S GUIDE UPDATE SHEETS

The next half-yearly set of update sheets for the *PCT Applicant's Guide* (dated July 2000) is under preparation. Pink provisional sheets which have so far been included in this year's issues of the *PCT Newsletter*, and which have been inserted in the *PCT Applicant's Guide* by its users, should be removed from the *Guide* and replaced with the July 2000 update sheets when they are received.

The July 2000 update sheets for the *PCT Applicant's Guide* will also be incorporated shortly in the *PCT Applicant's Guide* on WIPO's Internet site.

WORLD TRADE ORGANIZATION

Georgia becomes Member

On 11 April 2000, Georgia (country code: GE), which is already a member State of

the PCT and of the Paris Convention, became a Member of the World Trade Organization (WTO). This updates the table of Members of the WTO which was published in *PCT Newsletter* No. 12/1999.

PARIS CONVENTION [corrigendum]

Please note that the country code for Bhutan, which will become bound by the Paris Convention on 4 August 2000, is BT and not BH, as was indicated in *PCT Newsletter* No. 06/2000.

PCT INFORMATION UPDATE

Note that the following changes are also reflected in the July 2000 update sheets for the PCT Applicant's Guide

AM Armenia (fees)

The amount of the following national fee, payable to the Armenian Patent Office as designated and elected Office, has changed, and the following modifications with regard to exemptions, reductions or refunds of the national fee have been made:

for patent:

substantive exam-

ination fee: [no change]

additional fee for each independent claim in

excess of one: USD 280

exemptions, reductions or refunds of the national fee:

national fees (except the substantive examination fee) are reduced by 75% if the applicant is a physical person or a legal entity with less than 25 employees, and by 50% for legal entities with 25 to 100 employees. The substantive examination fee for patents is reduced by 30% where an international search or an international preliminary examination report has been established

(Updating of *PCT Applicant's Guide*, Vol. II/A, National Chapter, Summary (AM))

AU Australia (requirements concerning nucleotide and/or amino acid sequence listings)

As from 1 October 2000, there will be a change in the requirements of the Australian Patent Office as International Searching Authority concerning the presentation of sequence listings under PCT Rule 13*ter.*1, as follows:

requirements concerning nucleotide and/or amino acid sequence listing:

computer readable form required

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (AU))

AZ Azerbaijan (fees)

The amounts of the following fees, payable to the Azerbaijan Patent Office as receiving Office, have changed:

transmittal fee: see Table I(a) fee for priority document:

equivalent in AZM of USD 15 plus mailing costs

The amount of the following national fee, payable to that Office as designated and elected Office, has changed:

filing fee: USD 150

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (AZ), and Vol. II/A, National Chapter, Summary (AZ))

BG Bulgaria (fees; special requirements)

The amounts of the following national fees, payable to the Bulgarian Patent Office as designated and elected Office, have changed:

for patent:

•		
examination fee: - for one invention: - for a group of two	BGL	200
inventions:	BGL	300
 for each subsequent invention in excess of two: 	BGL	80
claims fee for each claim in excess of ten:	BGL	16
for utility model:		
examination fee: – for one invention: – for a group of two	BGL	100
inventions: - for each subsequent invention in excess	BGL	200
of two:	BGL	50
claims fee for each claim in excess of ten:	BGL	8

That Office has notified additional special requirements under PCT Rule 51 bis for entry into the national phase before it as designated and elected Office, as follows:

- instrument of assignment of the priority right if the applicants are not identical
- instrument of assignment of the international application if the applicant has changed after the international filing date

(Updating of *PCT Applicant's Guide*, Vol. II/A, National Chapter, Summary (BG))

DZ Algeria (fees)

The amounts of the following fees payable to the Algerian National Institute of Industrial Property as receiving Office, have been established:

basic fee, supplement per sheet over 30, designation fee: see Table I(a)

Note that information about Algeria as a Contracting State, information on the Algerian National Institute of Industrial Property as receiving Office and a summary of requirements of that Office as designated and elected Office for entry into the national phase in Algeria will be included in the July update sheets for the *PCT Applicant's Guide*, Vol. I/A, Annex B1 (DZ), Vol. I/B, Annex C (DZ), and Vol. II/A, National Chapter, Summary (DZ).

EP European Patent Office (means of telecommunication)

The European Patent Office has discontinued the use of its teleprinter and has notified changes relating to the filing of documents by means of telecommunication, as follows:

does the Office accept the filing of documents by means of telecommunication? yes, by fax

which kinds of documents may be so transmitted?

all kinds of documents with the exception of authorizations and priority documents

must the original of the document be furnished in all cases?

filing or withdrawal of the international application: yes, preferably simultaneously, but in any event no later than one month from the date of the invitation by the EPO

other documents: only upon invitation by the EPO

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B2 (EP))

ID Indonesia (Internet address)

The Directorate General of Intellectual Property of Indonesia has notified its Internet address, as follows:

Internet: http://www.dgip.go.id

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex B1 (ID))

IN India (e-mail address)

The Patent Office of India has notified the location and mailing addresses, telephone and fax numbers, and in some cases the e-mail addresses, of its Calcutta Office and of its

branch offices in New Delhi, Chennai and Mumbai, as follows:

location and mailing address:

Nizam Palace (5th-7th floor), 234/4 Acharya Jagadish Bose Road, Calcutta 700 020

Unit No. 401 to 405, 3rd floor, Municipal Market Building, Saraswati Marg, Karol Bagh, New Delhi 110 005 C-Wing, Rajaji Bhavan, Besant Nagar, Chennai 600 002

Todi Estates, 3rd floor, Sun Mill Compound, Lower Parel (West), Mumbai 400 013

telephone:

Calcutta: (91-33) 247 44 01,

247 44 02, 247 44 03

New Delhi: (91-11) 578 25 32,

576 62 04

Chennai: (91-44) 490 14 96,

490 14 95, 490 36 86

Mumbai: (91–22) 492 40 58,

492 50 92, 496 13 70

fax:

Calcutta: (91-33) 247 38 51,

240 13 53

New Delhi: (91-11) 571 62 09 Chennai: (91-44) 490 14 92 Mumbai: (91-22) 495 06 22

e-mail:

Calcutta: patentin@vsnl.com Chennai: chpatent@tn.nic.in

It is recalled that information on the functions of the above-mentioned offices was published in the January issue of the *PCT Newsletter* (No. 01/2000).

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (IN))

JP Japan (fees)

As from 1 August 2000, there will be a change in the equivalent amounts of the following fees payable to the Japanese Patent Office as receiving Office, as well as a change in the equivalent amount of the PCT-EASY fee reduction:

basic fee, supplement per sheet over 30, designation fee and PCT-EASY fee reduction: see Table I(a) and footnotes

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (JP))

MN Mongolia (Internet address)

The Mongolian Intellectual Property Office has notified its Internet address, as follows:

Internet: http://www.mongol.net/ipom (Updating of *PCT Applicant's Guide*, Vol. I/B, Annex B1 (MN))

Search fee and other fees relating to the international search (Swedish Patent Office, United States Patent and Trademark Office)

As from 1 August 2000, there will be a change in the equivalent amount payable in NZD for an international search carried out by the United States Patent and Trademark Office, as well as changes in the amount payable in SEK and in the corresponding equivalent amounts in FIM, ISK, NOK and USD for an international search carried out by the Swedish Patent Office, as indicated in Table I(b). From that date, there will also be a change in the amount of the additional search fee for an international search carried out by the Swedish Patent Office, as indicated below:

additional search fee: SEK 8,220

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (SE) and (US))

Handling fee (Japanese Patent Office)

As from 1 August 2000, there will be a change in the equivalent amount of the handling fee, payable in JPY to the Japanese Patent Office as International Preliminary Examining Authority, as indicated in Table II.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex E (JP))

PRACTICAL ADVICE

The effect of a designation of Switzerland and Liechtenstein in an international application

Q: I have just received Form PCT/IB/301 (Notification of Receipt of Record Copy) from the International Bureau, and noticed that although I designated both Switzerland and Liechtenstein, Switzerland is listed along with the other States, but Liechtenstein is not listed. Why is Liechtenstein not included on the form? Also, is it possible to designate those two States separately?

A: Under a Treaty between the Swiss Confederation and the Principality of Liechtenstein on Patent Protection, which entered into force in 1980, Switzerland (CH) and Liechtenstein (LI) form a unified territory of protection for the purposes of patent law, and the Swiss Federal Intellectual Property Institute performs administrative tasks related thereto. Information on the Patent Treaty between Switzerland and Liechtenstein was published in Section IV of PCT Gazette No. 09/1980. Under that Treaty, the designation of CH and LI (or either of them without the other) in an international application (whether for the purposes of a patent granted by the Swiss Federal Intellectual Property Institute or for the purposes of a European patent) has the effect of a designation for the purposes of a single patent granted with reference to and having effect for both CH and LI. The same applies in relation to the election of CH and LI. It is therefore not possible to designate those States separately.

Furthermore, the Swiss Federal Intellectual Property Institute acts as receiving Office (in addition to the European Patent Office and the International Bureau) for international applications filed by applicants who are nationals and/or residents of LI, and is also the competent designated (or elected) Office if CH and LI are designated (or elected). Since only that Office is the competent designated (or elected) Office for both States, it is only CH that is mentioned in the list of designated Offices which appears on Form PCT/IB/301.

Only one designation fee is payable for the designation of both States, and only one set of national fees is payable in the national phase. As far as the national phase is concerned, the types of protection available in LI, the provisions of the law of LI concerning international-type search, and provisional protection in LI after international publication are the same as for CH. A patent granted by the Swiss Federal Intellectual Property Institute has the same effect in both Contracting States and may only be granted, transferred or annulled, or may only lapse, in respect of the whole territory of protection.

Note that, in addition, if the applicant is not resident in CH or LI, an agent is required to act before the Swiss Federal Intellectual Property Institute as designated (or elected) Office—this can be any natural or legal person resident in CH or any person on the list of persons authorized to act as patent agents kept at the Office for Public Economy of Liechtenstein.

PCT-EASY UPDATE

Release of PCT-EASY version 2.91

The July 2000 version of the PCT-EASY software (version 2.91 build 0001) is now available. In addition to the latest PCT updates, the new version includes new Export/Import functionality. This will facilitate EASY data exchange between EASY systems, provide the possibility for creating a backup of essential PCT-EASY data and enable the transfer of PCT-EASY

Address Book data to/from external programs, such as MS Outlook and MS Excel. For further information on how to obtain version 2.91 of the software or for further details on the Export/Import functionality please consult the PCT-EASY website (http://pcteasy.wipo.int) or contact the PCT-EASY Help Desk:

e-mail: pcteasy.help@wipo.int tel: (41-22) 338 95 23 fax: (41-22) 338 80 40

PCT SEMINAR CALENDAR										
Dates and Location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers							
19-20 July 2000 Bridgetown (BB)	English	WIPO National Seminar on the PCT WIPO speakers: Mr. Kramer and Mr. Regis	Corporate Affairs and Intellectual Property Office (Mrs. Maureen Crane-Scott) Tel: (1–246) 436 48 18 Fax: (1–246) 437 30 72 E-mail: caipo@caribsurf.com							
25-26 July 2000 Belize City (BZ)	English	WIPO National Seminar on the PCT WIPO speakers: Mr. Kramer and Mr. Regis	Attorney-General's Ministry (Mr. Elson Kaseke) Tel: (501-8) 231 95 Fax: (501-8) 231 98 E-mail: access@btl.net							
25-27 July 2000 Dar es Salaam (TZ)	English	WIPO Sub-Regional Seminar on the Patent Cooperation Treaty (PCT) WIPO speakers: Mr. Smith, Mr. Nyagua and Mr. Reischle	Business Registrations and Licensing Agency (BRELA) (Mr. Esteriano Emmanuel Mahingila) Tel: (255–51) 18 00 48 Fax: (255–51) 18 03 71 E-mail: usajili@intafrica.com							
9–11 August 2000 Washington, D.C. (US)		Advanced PCT seminar for patent attorneys WIPO speaker: Mr. Maassel Other speaker: Mr. Reed (The Procter & Gamble Company)	Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99							
13-15 August 2000 Washington, D.C. (US)	English	Basic PCT seminar for patent attorneys WIPO speaker: Mr. Maassel Other speaker: Mr. Reed (The Procter & Gamble Company)	Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99							
21–22 August 2000 English Seattle (US)		Advanced PCT seminar WIPO speakers: Mr. Kramer and Mr. Maassel	American Intellectual Property Law Association (AIPLA) (Ms. Jackie Carbé) Tel: (1–703) 415 03 73 or (1–703) 415 07 80 Fax: (1–703) 415 07 86							
24-25 August 2000 Chicago (US)	English	Advanced PCT seminar WIPO speakers: Mr. Kramer and Mr. Maassel	American Intellectual Property Law Association (AIPLA) (Ms. Jackie Carbé) Tel: (1–703) 415 03 73 or (1–703) 415 07 80 Fax: (1–703) 415 07 86							

[continued on next page]

	PCT SEMINAR CALENDAR [continued]									
Dates and Location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers							
28-29 August 2000 Atlanta (US)	English	Advanced PCT seminar WIPO speakers: Mr. Kramer and Mr. Maassel	American Intellectual Property Law Association (AIPLA) (Ms. Jackie Carbé) Tel: (1–703) 415 03 73 or (1–703) 415 07 80 Fax: (1–703) 415 07 86							
24-25 August 2000 Lake Chiemsee (DE)	German	Basic PCT seminar for patent administrators WIPO speakers: Mrs. Coeckelbergs and Mr. Reischle	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management @Forum-Institut.de							
12–13 September 2000 Banska Bystrica (SK)	English	Advanced PCT seminar for patent attorneys and patent administrators WIPO speakers: Mrs. Coeckelbergs and Mr. Kramer	Industrial Property Office of the Slovak Republic (Dr. Vladimir Bansky or Ms. Ludmila Hladka) Tel: (421–88) 413 25 30 Fax: (421–88) 413 25 66 E-mail: vbansky@indprop.gov.sk or Ihladka@indprop.gov.sk							
31 October – 1 November 2000 Helsinki (FI)	English	Advanced PCT seminar for patent attorneys WIPO speakers: Mr. Baron and Ms. Eriksson	National Board of Patents and Registration (Ms. Mirja Kuismanen) Tel: (358–9) 69 39 52 36 Fax: (358–9) 69 39 53 22 E-mail: Mirja.Kuismanen@prh.fi							
6-7 November 2000 English Chicago (US)		Advanced PCT seminar for patent administrators WIPO speakers: Mrs. Coeckelbergs and Mr. Maassel	The John Marshall Law School Department of Event Management Tel: (1-312) 987 14 20 Fax: (1-312) 427 71 28 E-mail: Events@jmls.edu							
8-9 November 2000 Chicago (US)	English	Advanced PCT seminar for patent administrators WIPO speakers: As above	As above							
28-29 November 2000 Munich (DE)	German	Basic PCT seminar for patent administrators WIPO speakers: Mrs. Coeckelbergs and Mr. Reischle	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de							
29-30 November 2000 Paris (FR)	French	Basic seminar on PCT procedures for patent administrators WIPO speaker: Mr. Baron	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33–1) 53 04 55 76 or (Mr. Jean-Pierre Cardon) Tel: (33–1) 53 04 52 70 Fax: (33–1) 42 93 63 52							
6-7 December 2000 London (GB)	English	Advanced PCT seminar for patent administrators WIPO speakers: Mrs. Coeckelbergs and Mr. Reischle	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk							
8 December 2000 London (GB)	English	PCT workshop for patent administrators WIPO speakers: Mrs. Coeckelbergs and Mr. Reischle	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk							

PCT FEE TABLES

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The total amount of the basic fee and designation fee may be reduced by CHF 200 under certain circumstances where the request is prepared using the PCT-EASY software; see footnote 2 for details. A 75% reduction in the basic fee, the supplement per sheet over 30, the designation fee and the handling fee, as well as the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States; see footnote 3 for details. (Note that if both the CHF 200 reduction and the 75% reduction are applicable, the 75% reduction is calculated *after* the CHF 200 reduction.) The key to the abbreviations used and the footnotes to the Fee Tables follow Table II.

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 July 2000, unless otherwise indicated)

RO	Transmittal fee ¹			ic fee ^{1,2,3} HF 650)	Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4,5} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁶	
AL	ALL	9,000	CHF	650	15	140	n a	EP	
AM	AMD	32,000	USD	427	10	92	132	EP RU	
AP	USD	50	USD	427	10	92	132	AT EP SE	
	(or eq in local	currency)							
AT	ATS	700	ATS	5,627.96	123.84	1,210.91	1,733.80	EP	
AU	AUD	100	AUD	665	15	144	205	AU	
AZ	AZM eq USD plus mailing c		USD	427	10	92	n a	EP RU	
ВА	DEM	50	DEM	799.93	17.60	172.11	246.43	EP	
BE	BEF	1,500	BEF	16,499	363	3,550	5,083	EP	
BG	BGL	60	BGL	eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU	
BR	BRR 236		BRR	eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP SE US	
BY	BYR eq USD	70	USD	427	10	92	n a	EP RU	
CA	CAD	200	CAD	630	15	136	194	EP	
СН	CHF	100	CHF	650	15	140	200	EP	
CN	CNY	500	CNY	eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	CN	
CR	USD	150	USD	427	10	92	132	EP ES	
CU	USD (or CUF	eq) 200	USD (or CUP eq) 427		10	92	n a	AT EP ES RU	
CY	CYP	75	CYP	235	5	50	n a	EP	
CZ	CZK	1,500	CZK	eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP	
DE	DEM	175	DEM	799.93	17.60	172.11	246.43	EP	
DK	DKK	1,500	DKK	3,060	70	660	940	EP SE	
DZ	DZD [/]	_	CHF	650	15	140	n a	EP	
EA	RUR eq USD	50	USD	427	10	92	132	EP RU	
EE	EEK	1,800	EEK	eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP	
EP ⁸	EUR	102	EUR	409	9	88	126	EP	
ES	ESP	10,040	ESP	68,052	1,497	14,642	20,965	EP ES	
FI	FIM	800	FIM	2,431.80	53.51	523.22	749.16	EP SE	
FR	FRF	400	FRF	2,682.86	59.04	577.24	826.51	EP	
	or EUR	60.98	or EUR	409	9	88	126		
GB	GBP	55	GBP	264	6	56	81	EP	
GE	GEL	10	USD	427	10	92	n a	EP RU	
GH	GHC ⁹	2,500 or 5,000	USD	427	10	92	n a	AT AU CN EP SE	

[continued on next page]

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued] (as at 1 July 2000, unless otherwise indicated)

RO	Transmittal fee ¹		Basic fee ^{1,2,3} (CHF 650)		Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4,5} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁶	
- CD	CDD	20 500	CDD	127,000		20,000	42,000	-FD	
GR	GRD HRK	39,500	GRD	136,000	3,000	29,000	42,000	EP EP	
HR HU	HUF ¹⁰	200 10,000	HRK HUF	eq C HF 650 eq CHF 650	eq CHF 15 eq CHF 15	eq CHF 140 eq CHF 140	eq CHF 200 eq CHF 200	EP RU	
IB	CHF ³		CHF		eq CHF 15	'			
IB	or USD ³	300 200	or USD	650 427	10	140 92	200 132	See footnote 11	
ID	IDR	500,000	IDR	eq CHF 650	eg CHF 15	eq CHF 140	eq CHF 200	AU EP RU	
IE	IEP	60	IEP	322.11	7.09	69.31	99.23	EP	
	or EUR	76.18	or EUR	409	9	88	126		
IL	ILS	437	USD	427	10	92	132	EP US	
IN	INR	5,000	USD	427	10	92	132	AT AU CN EP SE US	
	(filing by inc								
IS	ISK	1,500) 5,500	ISK	30,800	700	6,600	n a	EP SE	
IT	ITL	60,000	ITL	791,934	17,426	170,392	n a n a	EP 3E	
JP	JPY	18,000	JPY	46,000	1,100	9,900	14,200	EP JP	
JP	JPT	16,000	(from 1.	,	(940)	(8,800)	(12,500)	Lr' Jr	
KE	USD (or KE	ES equiv)30	USD	427	10	92	n a	AT AU CN EP SE	
KG	KGS eq USD 100		USD	427	10	92	132	EP RU	
KP	KPW eq CHF 50		KPW	eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT RU	
KR	KRW	45,000	KRW	eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT AU KR	
KZ	KZT [/]	_	USD	427	10	92	132	EP RU	
LR	USD	45	USD	427	10	92	n a	AT AU CN EP SE	
LS	LSM ⁷	_	LSM	eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP	
LT	LTL	320	USD	427	10	92	n a	EP RU	
LU	LUF/BEF	800	LUF/BI	E F 16,499	363	3,550	n a	EP	
LV	LVL	47.20	USD	427	10	92	132	EP RU	
MA		None	CHF	650	15	140	n a	AT EP RU SE	
MC	FRF	320	FRF	2,682.86	59.04	577.24	n a	EP	
MD	MDL ⁷	180	USD	427	10	92	132	EP RU	
MK	MKD	2,750	MKD	eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP	
MN		None	CHF	650	15	140	200	EP RU	
MW	MWK	2,000	MWK	18,400	400	4,000	5,700	EP	
MX	MXP ³ eq U	I SD 200	MXP	eq CHF 650	eq CHF 15	eq CHF 140	n a	EP ES SE US	
NL	NLG	110	NLG	901.32	19.83	193.93	277.67	EP	
NO	NOK	500	NOK	3,380	80	730	1,040	EP SE	
NZ	NZD	180	NZD	822	19	178	n a	AU EP US	
PL	PLZ	280	PLZ	eq CHF 650	eq CHF 15	eq CHF 140	n a	EP	
PT	PTE	4,650	PTE	81,997.10	1,804.30	17,642.40	25,260.70	EP	
RO	ROL	300,000	CHF	650	15	140	200	AT EP RU	
RU	RUR	294	USD	427	10	92	132	EP RU	

[continued on next page]

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued] (as at 1 July 2000, unless otherwise indicated)

RO	Transmittal fee ¹		Basic fee ^{1,2,3} (CHF 650)		Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4,5} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁶
SD	SDP	50	SDP	eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
SE	SEK	1,000	SEK	3,540	80	760	1,090	EP SE
SG	SGD	135	SGD	727	17	156	224	AT AU EP
SI	SIT	22,000	SIT	eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
SK	SKK	1,600	SKK	eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
TJ	TJR^7	-	USD	427	10	92	n a	EP RU
TM	USD^7	-	USD	427	10	92	132	EP RU
TR	CHF	100	CHF	650	15	140	200	AT AU CN EP RU
TT	TTD	750	USD	427	10	92	132	AT EP SE US
UA	UAH	255	USD	427	10	92	n a	EP RU
US	USD	240	USD	427	10	92	132	EP US
UZ	USD^7	-	USD	427	10	92	132	EP RU
VN	VND eq USD	150	VND	eq CHF 650	eq CHF 15	eq CHF 140	n a	AT AU EP RU SE
YU	YUD	600	CHF	650	15	140	200	EP
ZA	ZAR	500	ZAR	2,620	60	560	800	AT AU EP US
zw	ZWD	1,000	ZWD	eq USD 427	eq USD 10	eq USD 92	eq USD 132	AT AU CN EP RU

Table I(b) — SEARCH FEES (as at 1 July 2000, unless otherwise indicated)

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ISA						Search	fee ¹					
AT	ATS	2,200	CHF	250	SGD	284	USD	170	ZAR	1,022		
AU	AUD	800	CHF	780	NZD	990	SGD	880	USD	510	ZAR	3,150
CN	CNY	800	CHF	150	USD	100						
EP ¹²	EUR	945	СҮР	558	FRF	6,198.79	ITL	1,829,775	NLG	2,082.51	SEK	8,220
	ATS	13,003.4	8 DEM	1,848.26	GBP	605	JPY	95,500	NOK	7,800	SGD	1,560
	BEF	38,121	DKK	7,090	GRD	314,700	LUF	38,121	NZD	1,900	USD	925
	CAD	1,353	ESP	157,235	IEP	744.25	MWK	42,500	PTE	189,455.50	ZAR	6,040
	CHF	1,530	FIM	5,618.71	ISK	66,000		,		·		·
ES	ESP	157,235	CHF	1,500	EUR	945	USD	990				
JP	JPY	72,000	CHF	1,087	USD	720						
KR	KRW	150,000	CHF	173	USD	110						
RU ¹³	USD	300	CHF	460								
SE	SEK* USD*	8,510 990	CHF	1,530	DKK	7,090	FIM*	5,618	ISK*	75,000	NOK*	7,900
	*(from	1.8.00:	SEK 8,220 FI		FIM	5,618.71	ISK	66,000	NOK	7,800	USD	925)
US	USD	700	450 ¹⁴	CHF	1,064	684 ¹⁴	NZD*	1,350	870 ¹⁴	ZAR	4,560	2,930 ¹⁴
	*(from	1.8.00:		NZD	1,527	980 ¹⁴)						

Table II — PRELIMINARY EXAMINATION FEES

(as at 1 July 2000, unless otherwise indicated)

IPEA		Preli	minary	y examinat	ion fe	e ¹⁵	Handling fee ^{3,15} (CHF 233)					
AT	ATS	2,200					ATS	2,022.76				
AU	AUD	450					AUD	238				
CN	CNY	800					CNY e	eq CHF 233				
EP ^{T2}	EUR ATS BEF CHF CYP DEM	1,533 21,094.54 61,841 2,480 904 2,998.29	DKK ESP FIM FRF GBP GRD	11,500 255,070 9,114.80 10,055.82 981 510,500	IEP ITL LUF NLG PTE SEK	1,207.34 2,968,302 61,841 3,378.29 307,338.90 13,340	EUR ATS BEF CHF CYP DEM	147 2,022.76 5,930 233 84 287.51	DKK ESP FIM FRF GBP GRD	1,100 24,459 874.02 964.26 94 49,000	IEP ITL LUF NLG PTE SEK	115.77 284,632 5,930 323.95 29,470.90 1,270
JP	JPY	28,000					JPY	16,500	(from	1.8.00:	JPY	14,600)
KR	KRW	150,000					KRW	eq CHF 233				
RU ¹³	USD	200 ¹⁶	300 ¹⁷				USD	153				
SE	SEK	4,200					SEK	1,270				
US	USD	490	750 ¹⁸				USD	153			•	

Key to abbreviations used in fee tables:

eq	equivalent of	BRR	Brazilian real	GBP	Pound sterling	KRW	KR won	ROL	Romanian leu
ΙΡĖ	A International Preliminar	y BYR	Belarussian rouble	GEL	Georgian Iari	KZT	Kazakh tenge	RUR	Russian rouble
	Examining Authority	CAD	Canadian dollar	GHC	Ghanaian cedi	LSM	Lesotho loti	SDP	Sudanese pound
ISA	International Searching	g CHF	Swiss franc	GRD	Greek drachma	LTL	Lithuanian litas	SEK	Swedish krona
	Authority	CNY	Yuan renminbi	HRK	Croatian kuna	LUF	Luxembourg franc	SGD	Singapore dollar
n a	not applicable	CUP	Cuban convertible peso	HUF	Hungarian forint	LVL	Latvian lat	SIT	Slovenian tolar
RO	receiving Office	CYP	Cyprus pound	IDR	Indonesian rupiah	MAD	Moroccan dirham	SKK	Slovak koruna
		CZK	Czech koruna	IEP	Irish pound	MDL	Moldovan leu	TJR	Tajik rouble
Cui	rencies:	DEM	Deutsche mark	ILS	New İsrael shekel	MKD	Macedonian denar	TTD	Trinidad and Tobago
ALI	. Albanian lek	DKK	Danish krone	INR	Indian rupee	MWK	Malawian kwacha		dollar
AM	D Armenian dram	DZD	Algerian dinar	ISK	Icelandic krona	MXP	Mexican peso	UAH	Ukrainian hryvnia
AT:	S Austrian schilling	EEK	Estonian kroon	ITL	Italian lira	NLG	Netherlands guilder	USD	US dollar
ΑU	O Australian dollar	ESP	Spanish peseta	JPY	Japanese yen	NOK	Norwegian krone	VND	Vietnamese dong
ΑZI	Azerbaijani manat	EUR	Euro	KES	Kenyan shilling	NZD	New Zealand dollar	YUD	Yugoslavian dinar
BEF	Belgian franc	FIM	Finnish markka	KGS	Kyrgyz som	PLZ	Polish zloty	ZAR	South African rand
BG	_ Bulgarian lev	FRF	French franc	KPW	KP won	PTE	Portuguese escudo	ZWD	Zimbabwe dollar

Footnotes to fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The total amount of the basic fee and designation fee is reduced by 200 Swiss francs where: (a) the request is presented as a computer printout prepared using the PCT-EASY software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the international application is filed with a receiving Office which is prepared to accept the filing of international applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- 3 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Algeria, Armenia, Azerbaijan, Belarus, Belize, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Dominica, Estonia, Gabon, Gambia, Georgia, Ghana, Grenada, Guinea, Guinea-Bissau, Hungary, India, Indonesia, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Morocco, Mozambique, Niger, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia, Senegal, Sierra Leone, Slovakia, South Africa, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Turkmenistan, Uganda, Ukraine, United Republic of Tanzania, Uzbekistan, Viet Nam, Yugoslavia or Zimbabwe. For further details, see *PCT Newsletter* No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996,
- 4 The maximum number of designation fees payable is eight.
 5 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee due (taking into account any applicable 75%). reduction: see footnote 3)
- 6 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 7 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 8 The amounts of the transmittal fee in currencies other than EUR are as follows: ATS 1,403.55; BEF 4,115; CHF 170; CYP 60; DEM 199.49; DKK 770; ESP 16,971; FIM 606.46; FRF 669.08; GBP 65; GRD 34,000; IEP 80.33; ITL 197,500; LUF 4,115; NLG 224.78; PTE 20,449.20; SEK 890. The amounts in those currencies of the basic fee, supplement per sheet over 30 and designation fee are the same as those indicated for the receiving Offices of the respective States, that is RO/AT, BE, CH, etc.
- 9 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.

 10 A supplement of HUF 500 is also payable for each claim in excess of 10.
- The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also PCT Applicant's Guide, Vol. I/B, Annex C (IB).
- 12 Nationals (natural or legal persons) of States which fulfill the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations (see also footnote 3) may be eligible for reductions in the search and preliminary examination fees. For details, including the amount of the fee reduction and applicable conditions, see *PCT Applicant's Guide*, Vol. I/B, Annexes D (EP) and E (EP). The list of countries concerned is indicated in footnote 3.
- 13 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 14 Payable when a corresponding prior US national application has been filed and the basic filing fee for that US application has been paid.
- 15 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 16 Payable when the international search report was established by the Russian Patent Office.
- 17 In all cases where footnote 16 does not apply.
- 18 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C.)

PCT CONTRACTING STATES AND TWO-LETTER CODES (108 on 1 July 2000)



ΑE	United Arab	CM	Cameroon (OA) ²	HU	Hungary	MD	Republic of	SK	Slovakia
	Emirates	CN	China	ID	Indonesia		Moldova (EA)	SL	Sierra Leone (AP)
AG	Antigua and	CR	Costa Rica	ΙE	Ireland (EP)2	MG	Madagascar	SN	Senegal (OA) ²
	Barbuda	CU	Cuba	IL	Israel	MK	The former	SZ	Swaziland (AP) ²
AL	Albania ¹	CY	Cyprus (EP) ²	IN	India		Yugoslav Republic	TD	Chad (OA) ²
ΑM	Armenia (EA)	CZ	Czech Republic	IS	Iceland		of Macedonia ¹		Togo (OA) ²
AT	Austria (EP)	DE	Germany (EP)	ΙT	Italy (EP)2	ML	Mali (OA) ²		Tajikistan (EA)
ΑU	Australia	DK	Denmark (EP)	JΡ	Japan	MN	Mongolia		Turkmenistan (EA)
ΑZ	Azerbaijan (EA)	DM	Dominica	ΚE	Kenya (AP)	MR	Mauritania (OA) ²		Turkey
BA	Bosnia and	DΖ	Algeria	KG	Kyrgyzstan (EA)	ΜW	/ Malawi (AP)	TT	Trinidad and
	Herzegovina	Œ	Estonia	ΚP	Democratic	MX	Mexico		Tobago
BB	Barbados	ES	Spain (EP)		People's Republic	ΜZ	Mozambique (AP)	ΤZ	United Republic of
BE	Belgium (EP) ²	FI	Finland (EP)		of Korea	NE	Niger (OA) ²		Tanzania (AP)
BF	Burkina Faso (OA) ²	FR	France (EP) ²	KR	Republic of Korea	NL	Netherlands (EP) ²	_	Ukraine
BG	Bulgaria	GΑ	Gabon (OA) ²	ΚZ	Kazakhstan (EA)	NO	Norway		Uganda (AP)
BJ	Benin (OA) ²	GB	United	LC	Saint Lucia	ΝZ	New Zealand	US	United States of
BR	Brazil		Kingdom (EP)	LI	Liechtenstein (EP)	PL	Poland		America
BY	Belarus (EA)	GD	Grenada	LK	Sri Lanka	PΤ	Portugal (EP)		Uzbekistan
ΒZ	Belize	GE	Georgia	LR	Liberia	RO	Romania ¹		Viet Nam
CA	Canada	GH	Ghana (AP)	LS	Lesotho (AP)	RU	Russian Federation	YU	Yugoslavia
CF	Central African	GM	Gambia (AP)	LT	Lithuania ¹		(EA)		South Africa
	Republic (OA) ²	GN	Guinea (OA) ²	LU	Luxembourg (EP)	SD	Sudan (AP)	ZW	Zimbabwe (AP)
CG	Congo (OA) ²	GR	Greece (EP) ²	LV	Latvia ¹	SE	Sweden (EP)		
CH	Switzerland (EP)	GW	Guinea-Bissau (OA) ²	ΜA	Morocco	SG	Singapore		
CI	Côte d'Ivoire (OA) ²	HR	Croatia	MC	Monaco (EP) ²	SI	Slovenia ¹		

- 1 Extension of European patent possible.
- 2 May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but was not yet bound by the PCT on the date of issue of the latest version of the request form. Where any State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate, for the purposes of a national patent, any State which is bound by the PCT on the date on which the international application is filed but which is not listed in the request form, he must add it in Box No. V of the request form and mark the corresponding check-box.

Applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401), updated versions of which are normally issued twice yearly. The latest versions are dated July 2000. The forms are reproduced in Annexes X and Y, respectively, in Vol. I/B of the PCT Applicant's Guide (July 2000 update), and can be printed from the WIPO Internet site, in PDF format, at: http://www.wipo.int/eng/pct/forms/index.htm, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities.