PATENT COOPERATION TREATY



Published by: The WORLD INTELLECTUAL PROPERTY ORGANIZATION 1211 Geneva 20 Switzerland

Telephone: (41-22) 338 91 11 Facsimile: (41-22) 740 14 35 E-mail: wipo.mail@wipo.int Internet: www.wipo.int WIPO Publication

No. 115 (E) ISSN 1020-072 X

2000 Subscriptions

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CHF 13.20 or USD 9.60

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October 2000

REDUCTIONS IN PCT FEES

The Assembly of the PCT Union held its twenty-ninth (17th Extraordinary) session in Geneva during the period from 26 September to 3 October 2000, as part of the meetings of the Assemblies of the Member States of WIPO, and made the following decisions concerning reductions in PCT fees payable for the benefit of the International Bureau.

Reduction in the maximum number of designation fees payable

The Assembly decided to amend the Schedule of Fees (annexed to the Regulations under the PCT) to decrease, with effect from 1 January 2001, the maximum number of designation fees payable, from eight to six. It is recalled that only last January, there was a decrease in the maximum number of designation fees payable from ten to eight, in addition to a decrease in the amount of the designation fee from 150 Swiss francs to 140 Swiss francs.

By the end of August 2000, the maximum number of payable designation fees were paid in respect of 67% of all PCT applications filed. Paying the maximum amount while designating all PCT Contracting States allows applicants to keep all possible options open until the end of the international phase before deciding whether, and in which designated and/or elected States, to proceed with the application into the national/regional phase.

Note that the maximum number of designation fees payable relates only to express designations made under PCT Rule 4.9(a), and not to precautionary designations being later confirmed under PCT Rule 4.9(c).

The new reduction in the maximum number of designation fees payable will have the effect that no designation fee will be due for any designation made under PCT Rule 4.9(a) in excess of six; this means that the maximum amount payable will be 840 Swiss francs (instead of 1,120 Swiss francs, which is the current maximum amount payable). This will cover all possible designations that can be made under PCT

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and Vol. II/C, National
Chapter, Summary (US)
Chapter, Summary (00)



NEWSLETTER

[continued from cover page]

Rule 4.9(a). For applicants who already benefit from either the 75% reduction in the international fee for PCT applications filed by natural persons from certain States or the reduction of the international fee for using the PCT-EASY software (see items 4 and 5 in the Schedule of Fees), the fee reduction will reduce even further the overall cost of obtaining patent protection using the PCT.

The reduced maximum number of designation fees payable (six) will be applicable:

(1) for all international applications received on or after 1 January 2001;

(2) for international applications received before 1 January 2001 where the following requirements are met:

(a) the second sentence of PCT Rule 15.4(c) applies (that is, the time limit

PCT MATERIALS ON INTERNET

(http://www.wipo.int/pct/en/index.html) PCT InfoLine and contacts Filing PCT applications Basic Facts about the PCT PCT forms Direct filing of PCT applications with the International Bureau as PCT receiving Office PCT Applicant's Guide Practical advice published in the PCT Newsletter between March 1994 and December 1996 PCT-EASY PCT legal texts About the Treaty Text of the Patent Cooperation Treaty, Regulations and Administrative Instructions PCT Receiving Office Guidelines PCT International Search Guidelines PCT International Preliminary Examination Guidelines Ratification situation PCT Gazette PCT News PCT Newsletter (Nos. 01/1997-10/2000) PCT press releases/updates The PCT in 1999 PCT seminars PCT meetings PCT Assembly documents: 2000, 1999, 1998, 1997 PCT Informal Consultation Meeting on Electronic Filing (11-14 July 2000) PCT Committee for Administrative and Legal Matters documents: 1999 Ordering PCT publications * * *

PCT INFORMATION LINE

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under PCT Rule 15.4(b)(i) applies (one year from the priority date) and the designation fees are paid before the expiration of that time limit but later than one month from the date of receipt of the international application); and

(b) the date of payment of the fees is on or after 1 January 2001.

The amended Schedule of Fees, as in force from 1 January 2001, will be included as an insert in an upcoming issue of the *PCT Newsletter*. When the request form (PCT/RO/101) is next updated (with effect from January 2001), the fee calculation sheet and accompanying notes (which are annexed to the request) will take into account the new maximum number of designation fees payable.

Reduction in the transmittal fee payable to the International Bureau as receiving Office, and waiver of that fee in certain circumstances

It was announced at the Assembly that the Director General of WIPO has decided to decrease, with effect from 1 January 2001, the transmittal fee charged by the International Bureau acting as receiving Office from 300 Swiss francs to 100 Swiss francs. Furthermore, the Director General has decided that applicants who presently qualify for a 75% reduction in the international fee will, as from 1 January 2001, not pay any transmittal fee when filing with the International Bureau as receiving Office. (It is recalled that the 75% reduction in the international fee applies to applicants who are natural persons and who are nationals and residents of States whose per capita national income is below 3,000 US dollars, as provided under item 5 of the Schedule of Fees.)

PROPOSED REFORM OF THE PCT

The Assembly of the PCT Union discussed proposals for reform of the PCT, made by the United States of America and contained in the Annex to document PCT/A/29/3, which is available on the Internet at the following address:

http://wipo.int/eng/document/govbody/ wo_pct/index_29.htm

and decided:

"(i) that a special body would be set up to consider, as a first step, proposals for reform of the PCT that would pursue the same objectives as those defined under the title "The First Stage of Reform" of the Annex to document PCT/A/29/3. These proposals may be those contained in the Annex and/or in any other submission made to the International Bureau, if possible, before the end of January 2001;

- "(ii) that the special body would consist of Member States, the International Searching and Preliminary Examining Authorities and observers, in particular, intergovernmental and nongovernmental organizations, including the European Commission;
- "(iii) that the Director General would designate the members of the special body in consultation with Member States, taking due consideration of the need for geographical balance;
- "(iv) that the special body would report to the September 2001 session of the Assembly of the PCT Union, which would also include its recommendations to that Assembly for proposals to be considered as part of the first step;
- "(v) that the special body would proceed with diligence in completing the first step and in addressing other key issues, subject to the same procedure as that agreed for the first step."

NEW LOOK OF THE PCT HOME PAGE ON THE INTERNET

PCT home page in general

WIPO has introduced a new look to its Internet site, which you are invited to explore. To access the PCT home page from the main WIPO Internet site, click on "Activities & Services," then on "Patent Cooperation Treaty (PCT) System." However, the PCT home page also has its own Internet addresses, which are, for the English, French and Spanish versions of the home page, respectively:

http://www.wipo.int/pct/en/index.html http://www.ompi.int/pct/fr/index.html http://www.ompi.int/pct/es/index.html

If you use these new addresses you will have direct, and therefore quicker, access to the PCT home page, especially if you create a bookmark to them. You may find it useful to refer to the box on page 2 of this issue of the *PCT Newsletter* to help you find the various PCT materials.

Note that the Internet version of the *PCT Newsletter* can be accessed by clicking on "PCT News."

Revised version of the *PCT Applicant's Guide* on the Internet

The English version of the PCT Applicant's Guide on the Internet, accessible from "Filing PCT Applications" or via the box at the bottom of the PCT home page, has been revised. The main text parts of the Guide "Introduction to the International Phase" and "Introduction to the National Phase" are available in PDF format and are searchable by clicking on the binoculars symbol in the Adobe tool bar. The search engine works best on one word or paragraph number searches. To assist users further, the Index to the International Phase has also been included in PDF format. The section entitled "National phase entry requirements", accessible from the Table of Annexes, now includes scanned images of all available national/ regional Offices' forms.

The French version of the *PCT Applicant's Guide* on the Internet will be revised shortly.

PCT INFORMATION UPDATE

Certain fees payable in US dollars (various Offices)

As from 15 November 2000, there will be a change in the equivalent amounts, in USD, of the basic fee, the supplement per sheet over 30, the designation fee and the handling fee, payable to all receiving Offices or International Preliminary Examining Authorities which accept payments in US dollars. There will also be a change in the amount in USD of the reduction of the international fee where the PCT-EASY software is used. The new amounts are indicated in Tables I(a) and II.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (AM, AP, AZ, BY, CR, CU, EA, GE, GH, IB, IL, IN, KE, KG, KZ, LR, LT, LV, MD, RU, TJ, TM, TT, UA, US, UZ, ZW) and E (RU and US))

BZ Belize (general information)

Information about Belize as a Contracting State, information on the Intellectual

Property Office of Belize as receiving Office and a summary of requirements for entry into the national phase in Belize is set out on pink tear-out provisional sheets for the *PCT Applicant's Guide*, Vol. I/A, Annex B1 (BZ), Vol. I/B, Annex C (BZ), and Vol. II/A, National Chapter, Summary (BZ).

CA Canada (fees)

As from 15 November 2000, there will be a change in the equivalent amounts of the following fees payable to the Canadian Patent Office as receiving Office, as well as a change in the equivalent amount of the PCT-EASY fee reduction:

basic fee, supplement per sheet over 30, designation fee and PCT-EASY fee reduction: see Table I(a) and footnotes

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (CA))

IB International Bureau (fees)

See item "Reduction in the transmittal fee payable to the International Bureau as receiving Office, and waiver of that fee in certain circumstances," on page 2.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (IB))

US United States of America (fees)

There has been a change in the amount of certain fees payable to the United States Patent and Trademark Office as designated or elected Office. Those new amounts, which are applicable as from 1 October 2000, are reflected in the pink tear-out provisional sheet for the *PCT Applicant's Guide*, Vol. II/C, National Chapter, Summary (US), pages 3 and 4 (page 5 of the summary remaining unchanged).

(Updating of *PCT Applicant's Guide*, Vol. II/C, National Chapter, Summary (US))

Search fee and other fees relating to the international search (Austrian Patent Office, European Patent Office, Spanish Patent and Trademark Office, Swedish Patent Office, United States Patent and Trademark Office)

As from 1 November 2000, there will be changes in the equivalent amounts payable in SGD for an international search carried out by the Austrian Patent Office, in CAD, ISK and USD for an international search carried out by the European Patent Office, in USD for an international search carried out by the Spanish Patent and Trademark Office, in ISK and USD for an international search carried out by the Swedish Patent Office, and in ZAR for an international search carried out by the United States Patent and Trademark Office, as indicated in Table I(b).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (AT, EP, ES, SE and US))

PCT-EASY UPDATE

The Intellectual Property Office of Belize and the Georgian Intellectual Property Office have notified the International Bureau that they are prepared to accept the filing of international applications containing requests in PCT-EASY format, together with PCT-EASY diskettes. See Table I(a) for the amounts of the corresponding PCT-EASY fee reduction.

(Updating of the list of Offices included in *PCT Newsletter* No. 01/2000).

WORLD TRADE ORGANIZATION

Albania becomes Member

On 8 September 2000, Albania (country code: AL), which is already a member State of the PCT and of the Paris Convention, became a Member of the World Trade Organization (WTO). This updates the table of Members of the WTO, the PCT and the Paris Convention which was published in *PCT Newsletter* No. 08/2000.

WIPO PUBLICATIONS

WIPO Guide to Intellectual Property Worldwide

WIPO has recently published a new Guide that provides, by way of individual country profiles, an overview of all aspects of intellectual property in each of the 175 Member States of WIPO. It is the first of its kind to be published by WIPO.

The profiles include basic legislation, membership of international treaties (WIPO administered treaties, as well as certain non-WIPO administered treaties), administrative structures, governmental and nongovernmental bodies for information and enforcement, educational institutions teaching intellectual property, and statistics relating to industrial property.

The Guide has been compiled to help a wide variety of readers, including officials in national administrations, legal practitioners, teachers and students, researchers, and creators or owners of intellectual property, as well as members of the general public, and will be updated regularly.

The Guide, which contains 629 pages, is available in English only and can be purchased at a price of 65 Swiss francs, plus 13 Swiss francs by regular mail or 26 Swiss francs by priority mail, from the Marketing and Distribution Section at WIPO:

fax: (41–22) 740 18 12 e-mail: publications.mail@wipo.int electronic bookshop: www.wipo.int/ebookshop mailing address: see address on cover page

Orders should mention WIPO Publication Number 479.

PRACTICAL ADVICE

Designation fees payable when a State has been designated for the purposes of a utility model in addition to a national patent

Q: I am the agent of an applicant who has filed an international application in which only four States have been designated, including Denmark which has been designated for both a national patent and for a utility model. The designation fee is due shortly and I need to know how many designation fees are payable. Will I have to pay two designation fees in respect of Denmark, one for its designation for a national patent and one for its designation for a (national) utility model?

A: According to PCT Rule 15.1(ii), where PCT Article 44 applies in respect of a designation, that is, where in respect of any designated State whose law so permits, the applicant seeks one of the kinds of protection listed in PCT Article 43 (which includes utility models) in addition to a national patent, only one designation fee is due for that designation. Therefore, as far as the designation of Denmark for a national patent and for a utility model is concerned, you only have to pay one designation fee in respect of that State. If you have also designated Denmark for a European patent, however, you will have to pay another designation fee in respect of Denmark for the European designation, since PCT Rule 15.1(ii) also states that as many designation fees as there are national patents and regional patents sought under PCT Rule 4.9(a) are payable.

It is important to note that if an applicant designates a State for a national patent and later wishes to confirm the precautionary designation of that State for a utility model, that applicant must, in addition to the confirmation fee, pay another designation fee for that kind of protection (see PCT Rule 15.5(a), last sentence).

It is recalled that no designation fee is due for any designation made under PCT Rule 4.9(a) in excess of eight.

It is also recalled that not all PCT Contracting States permit applicants to designate them for a national patent as well as for another kind of protection. For further information, see the table in *PCT Newsletter* No. 08/2000: "Types of protection available via the PCT in PCT Contracting States, the *PCT Applicant's Guide*, Vol. I/A, Annex B1, as well as the Notes to the request form.

	Р	CT SEMINAR CALENDA	R		
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers		
24 October 2000 Philadelphia (US)	English	PCT presentation at the Annual Education Conference of the Philadelphia Association of Paralegals WIPO speaker: Mr. Maassel	Philadelphia Association of Paralegals (Ms. Leigh Anne Mackin) Tel: (1–215) 545 53 95		
24 October 2000 Seoul (KR)	English and Korean	Advanced PCT seminar WIPO speakers: Mr. Kim and Mr. Bartels Other speaker: Mr. Weaver (European Patent Office)	WIPO in cooperation with the Korean Industrial Property Office (KIPO) and the European Patent Office (Mr. Chaho Jung, KIPO) Tel: (82–42) 481 54 04 Fax: (82–42) 472 34 70 E-mail: chachoj@unitel.co.kr		
31 October – 1 November 2000 Helsinki (Fl)	English	Advanced PCT seminar for patent attorneys WIPO speakers: Mr. Baron and Ms. Eriksson	National Board of Patents and Registration (Ms. Mirja Kuismanen) Tel: (358–9) 69 39 52 36 Fax: (358–9) 69 39 53 22 E-mail: Mirja.Kuismanen@prh.fi		
31 October – 1 November 2000 San Francisco (US) [CANCELLED]	English	Advanced PCT seminar for patent administrators WIPO speakers: see below	Intellectual Property International (Ms. Virginia H. Meyer) See below		
2–3 November 2000 San Francisco (US)	English	Advanced PCT seminar for patent administrators WIPO speakers: Mrs. Coeckelbergs and Mr. Maassel	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1–415) 289 74 71 Fax: (1–415) 331 60 68 E-mail: IPI@IPISeminars.com Internet: www.ipiseminars.com		
6–7 November 2000 English Chicago (US)		Advanced PCT seminar for patent administrators WIPO speakers: Mrs. Coeckelbergs and Mr. Maassel	The John Marshall Law School Department of Event Management Tel: (1–312) 987 14 20 Fax: (1–312) 427 71 28 E-mail: Events@jmls.edu		
8–9 November 2000 Chicago (US)	English	Advanced PCT seminar for patent administrators WIPO speakers: as above	The John Marshall Law School Department of Event Management As above		
8–10 November 2000 New Delhi (IN)	English	WIPO Forum on the Impact of the PCT in Developing Countries WIPO speakers: Mr. Smith, Mr. Sinha and Mr. Bartels Other speakers*:	Department of Industrial Policy and Promotion, Government of India, and the Conferation of Indian Industry (CII) (Ms. Rachna Singh (CII)) Tel: (91–11) 436 62 25 Fax: (91–11) 436 62 71 E-mail: rachna.singh@ciionline.org Internet: www.ciionline.org		
Property, Brazil), Mr. Joh	ansson (Nokia)	d Trademark Office), Mr. Graça-Aranha), Mr. Kim (Samsung), Dr. Mashelkar (C ent Office), Mr. Yoon (Korean Industria	Council of Scientific and Industrial		
9–10 November 2000 Antananarivo (MG)	French	"Séminaire national itinérant sur les aspects généraux de la propriété industrielle et sur le PCT" WIPO speakers: Mr. Curchod, Mr. Fall, Mr. Herce-Vigil, Mrs. Vianès Other speakers: Mr. Ndiba (African Intellectual Property Organization (OAPI)) and Mrs. Raketamanga (Malagasy Industrial Property Office)	Office malgache de la propriété industrielle (OMAPI) (Mrs. Lalao Raketamanga) Tel: (261) 226 59 75 Fax: (261) 226 59 79 E-mail: omapi@online.mg		

[continued on next page]

	PCT S		ontinued]
Dates and Location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
13–14 November 2000 Chennai (IN)	English	WIPO National Seminar on the PCT WIPO speakers: Mr. Sinha and Mr. Bartels Other speakers: Mr. Graça-Aranha (National Institute of Industrial Property, Brazil) and Mr. Gupta (Patent Office, India)	Department of Industrial Policy and Promotion, Government of India and Institute of Intellectual Property Development (IIPD) (Dr. Aditya Trivedi) Tel: (91–11) 335 73 89 Fax: (91–11) 335 73 89 E-mail: iipd@del3.vsnl.net.in
13–14 November 2000 Toamasina (MG)	French	"Séminaire national itinérant sur les aspects généraux de la propriété industrielle et sur le PCT" WIPO speakers: Mr. Curchod, Mr. Fall, Mr. Herce-Vigil, Mrs. Vianès Other speakers: Mr. N'Dima (African Intellectual Property Organization (OAPI)) and Mrs. Raketamanga (Malagasy Industrial Property Office)	Office malgache de la propriété industrielle (OMAPI) (Mrs. Lalao Raketamanga) Tel: (261) 226 59 75 Fax: (261) 226 59 79 E-mail: omapi@online.mg
28–29 November 2000 Munich (DE)	German	Basic PCT seminar for patent administrators WIPO speakers: Mrs. Coeckelbergs and Mr. Reischle	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de
29–30 November 2000 Paris (FR)	French	Basic seminar on PCT procedures for patent administrators WIPO speakers: Mr. Baron and Mr. Genin	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33) 1 53 04 55 76 or (Mr. Jean-Pierre Cardon) Tel: (33) 1 53 04 52 70 Fax: (33) 1 42 93 63 52
6–7 December 2000 London (GB)	English	Advanced PCT seminar for patent administrators WIPO speakers: Mrs. Coeckelbergs and Mr. Reischle	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
8 December 2000 London (GB)	English	PCT workshop for patent administrators WIPO speakers: Mrs. Coeckelbergs and Mr. Reischle	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
10–12 January 2001 Zurich (CH)	English	PCT presentation in the framework of a Postgraduate Program in Intellectual Property Law	Swiss Federal Institute of Technology Zurich (ETH) NDS Intellectual Property (Ms. Elise Brandstätter) Tel: (41–1) 632 68 11 E-mail: brandstaetter@recht.gess.ethz.ch Internet: http://www.nds-ge.ethz.ch
8–9 February 2001 Antwerp (BE)	English	Advanced PCT seminar for patent attorneys	Koninklijke Vlaamse Ingenieursvereniging (KVIV) (Mrs. Christine Mortelmans) Tel: (32–3) 216 09 96 Fax: (32–3) 216 06 89 E-mail: christine.mortelmans@ti.kviv.be
20 March 2001 Paris (FR)	French	PCT presentation for patent attorneys WIPO speaker: Ms. Boutillon	Fondation Nationale pour le Droit de l'Entreprise (FNDE) and Association Française des Spécialistes en Propriété Industrielle de l'Industrie (ASPI) (Mrs. Heuzé) Tel: (33–1) 42 66 18 19 Fax: (33–1) 42 66 17 37
21–22 March 2001 Milan (IT)	English	PCT seminar for patent attorneys	Ordine Consulenti Proprietà Industriale and the Association of Patent Attorneys in Industry (AICIPI) Tel/Fax: (39–2) 55 18 51 44 E-mail: consiglio@ordine-brevetti.it

PCT FEE TABLES

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The total amount of the basic fee and designation fee may be reduced by CHF 200 under certain circumstances where the request is prepared using the PCT-EASY software; see footnote 2 for details. A 75% reduction in the basic fee, the supplement per sheet over 30, the designation fee and the handling fee, as well as the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States; see footnote 3 for details. (Note that if both the CHF 200 reduction and the 75% reduction are applicable, the 75% reduction is calculated *after* the CHF 200 reduction.) The key to the abbreviations used and the footnotes to the Fee Tables follow Table II.

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Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 October 2000, unless otherwise indicated)

[continued on next page]

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]
(as at 1 October 2000, unless otherwise indicated)

RO	O Transmittal fee ¹		Bas	sic fee ^{1,2,3}	Supplement	Designation	PCT-EASY	Competent
				HF 650)	per sheet over 30 ^{1,2,3} (CHF 15)	fee ^{1,2,3,4,5} (CHF 140)	reduction ² (CHF 200)	ISA(s) ⁶
GH	GHC ¹⁰	2,500 or 5,000	USD (from 15	427 .11.00: 382)	10 <i>(9)</i>	92 <i>(82)</i>	n a	AT AU CN EP SE
GR	GRD	39,500	GRD	136,000	3,000	29,000	42,000	EP
HR	HRK	200	HRK	eq C HF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
HU	HUF ¹¹	10,000	HUF	eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
IB		300	CHF	650	15	140	200	See footnote 12
	or USD ³	200	or USD (from 15	427 .11.00:	10	92	132	
			USD	382)	(9)	(82)	(117)	
ID	IDR	500,000	IDR	eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AU EP RU
IE	IEP	60	IEP	322.11	7.09	69.31	99.23	EP
	or EUR	76.18	or EUR	409	9	88	126	
IL	ILS	437	USD (from 15	427 .11.00: 382)	10 <i>(9)</i>	92 <i>(</i> 82 <i>)</i>	132 (117)	EP US
IN	INR	5,000	USD	427	10	92	132	AT AU CN EP SE
	(filing by in	dividual:1,500)	(from 15	.11.00: 382)	(9)	(82)	(117)	US
IS	ISK	5,500	ISK	30,800	700	6,600	na	EP SE
IT	ITL	60,000	ITL	791,934	17,426	170,392	n a	EP
JP	JPY	18,000	JPY	40,700	940	8,800	12,500	EP JP
KE	USD (or	KES equiv)30	USD (from 15	427 .11.00: 382)	10 <i>(9)</i>	92 <i>(</i> 82 <i>)</i>	na	AT AU CN EP SE
KG	KGS eq	USD 100	USD	427	10	92	132	EP RU
			(from 15	.11.00: 382)	(9)	(82)	(117)	
KP	KPW eq		KPW	eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT RU
KR		45,000	KRW	eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT AU KR
κz	KZT ⁷	_	USD (from 15	427 .11.00: 382)	10 <i>(9)</i>	92 (82)	132 (117)	EP RU
LR	USD	45	USD	427	(3) 10	92	(<i>117)</i> na	AT AU CN EP SE
			(from 15		(9)	(82)		
LS	LSM ⁷	—	LSM	eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP
LT	LTL	320	USD	427	10	92	n a	EP RU
	LUF/BEF	- 000	(from 15		(9)	(82)		
LU LV		800 47.20	LUF/B USD	EF 16,499 427	363 10	3,550 92	n a 132	EP EP RU
Lv	LVL	47.20	(from 15		(9)	(82)	(117)	EFRO
MA		None	CHF	650	15	140	na	AT EP RU SE
МС	FRF	320	FRF	2,682.86	59.04	577.24	na	EP
MD	MD MDL ⁷		USD	427	10	92	132	EP RU
MIZ	(from 15. MKD 2,750 MKD			(9)	(82)	(117)		
MK MN	WIKD	2,750 None	MKD CHF	eq CHF 650 650	eq CHF 15 15	eq CHF 140 140	eq CHF 200 200	EP EP RU
MW	MWK	2,000	MWK	18,400	400	4,000	5,700	EP
мх	MXP ³ eq		MXP	eq CHF 650	eq CHF 15	eq CHF 140	n a	EP ES SE US
NL			NLG	901.32	19.83	193.93	277.67	EP
NO	NOK	500	NOK	3,380	80	730	1,040	EP SE
NZ	NZD	180	NZD	822	19	178	na	AU EP US
PL	PLZ	280	PLZ	eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
РТ	PTE	4,650	PTE	81,997.10	1,804.30	17,642.40	25,260.70	EP
RO	ROL	300,000	CHF	650	15	140	200	AT EP RU

[continued on next page]

RO	Transmitt	al fee ¹		sic fee ^{1,2,3} CHF 650)	Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4,5} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁶
RU	RUR	294	USD 427		10	92	132	EP RU
			(from 15	,	(9)	(82)	(117)	
SD	SDP	50	SDP	eq CHF 650	eq CHF 15	eq CHF 140	na	EP
SE	SEK	1,000	SEK	3,540	80	760	1,090	EP SE
SG	SGD	135	SGD	727	17	156	224	AT AU EP
SI	SIT	22,000	SIT	eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
SK	SKK	1,600	SKK	eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
ТJ	TJR ⁷	_	USD	427	10	92	na	EP RU
	_		(from 15	5.11.00: 382)	(9)	(82)		
тм		_	USD	427	10	92	132	EP RU
			(from 15	5.11.00: 382)	(9)	(82)	(117)	
TR	CHF	100	CHF	650	15	140	200	AT AU CN EP RU
TT	TTD	750	USD	427	10	92	132	AT EP SE US
			(from 15	5.11.00: 382)	(9)	(82)	(117)	
UA	UAH	255	USD	427	10	92	na	EP RU
			(from 15	5.11.00: 382)	(9)	(82)		
US	USD	240	USD	427	10	92	132	EP US
	7		(from 15	,	(9)	(82)	(117)	
UZ	USD ⁷	—	USD	427	10	92	132	EP RU
		450	(from 15	,	(9)	(82)	(117)	
VN	VND eq USD		VND	eq CHF 650	eq CHF 15	eq CHF 140	n a	AT AU EP RU SE
YU	YUD	600	CHF	650	15	140	200	EP
ZA	ZAR	500	ZAR	2,620	60	560	800	AT AU EP US
zw	ZWD	1,000	ZWD	eq USD 427	eq USD 10	eq USD 92	eq USD 132	AT AU CN EP RU
			(from 15	5.11.00: 382)	(9)	(82)	(117)	

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued] (as at 1 October 2000, unless otherwise indicated)

 Table I(b) — SEARCH FEES

 (as at 1 October 2000, unless otherwise indicated)

ISA						Search	n fee ¹					
AT	ATS *(from	2,200 1.11.00: SGD	CHF 247)	250	SGD*	284	USD	170	ZAR	1,022		
AU	AUD	800	CHF	780	NZD	990	SGD	880	USD	510	ZAR	3,150
CN	CNY	800	CHF	150	USD	100						
EP ¹³	ATS BEF CAD* CHF	945 13,003.48 38,121 1,353 1,530 1.11.00: CAD	DKK ESP FIM	558 1,848.26 7,090 157,235 5,618.71 <i>ISK</i>	FRF GBP GRD IEP ISK* 70,000	6,198.79 605 314,700 744.25 66,000 USD	ITL JPY LUF MWK 846)	1,829,775 95,500 38,121 42,500	NLG NOK NZD PTE	2,082.51 7,800 1,900 189,455.50	SEK SGD USD* ZAR	8,220 1,560 925 6,040
ES	ESP	157,235	CHF	1,500	EUR	945	USD*	990	*(fron	n 1.11.00: US	D 846)	
JP	JPY	72,000	CHF	1,170	USD	720						
KR	KRW	150,000	CHF	173	USD	110						
RU ¹⁴	USD	300	CHF	460								
SE	SEK USD*	8,220 925	•	1,530 1.11.00: ISK	,		FIM 846)	5,618.71		66,000	NOK	7,800
US	USD *(from		450 ¹⁵ ZAR	CHF <i>4,900</i>	1,064 3, <i>100 ¹⁵,</i>	684 ¹⁵)	NZD	1,527	980 ¹⁵	ZAR*	4,560	2,930 ¹⁵

IPEA		Preli	minary	y examinat	ion fe	e ¹⁶	Handling fee ^{3,16} (CHF 233)					s)
AT	ATS	2,200					ATS	2,022.76				
AU	AUD	450					AUD	238				
CN	CNY	800					CNY e	eq CHF 233	}			
EP ¹³	EUR ATS BEF CHF CYP DEM	1,533 21,094.54 61,841 2,480 904 2,998.29	DKK ESP FIM FRF GBP GRD	11,500 255,070 9,114.80 10,055.82 981 510,500	IEP ITL NLG PTE SEK	1,207.34 2,968,302 61,841 3,378.29 307,338.90 13,340	EUR ATS BEF CHF CYP DEM	147 2,022.76 5,930 233 84 287.51	DKK ESP FIM FRF GBP GRD	1,100 24,459 874.02 964.26 94 49,000	IEP ITL LUF NLG PTE SEK	115.77 284,632 5,930 323.95 29,470.90 1,270
JP	JPY	28,000					JPY	14,600				
KR	KRW	150,000					KRW	eq CHF 23	3			
RU ¹⁴	USD	200 ¹⁷	300 ¹⁸				USD	153	(from	15.11.00:	USD	137)
SE	SEK	4,200					SEK	1,270				
US	USD	490	750 ¹⁹				USD	153	(from	15.11.00:	USD	137)
Kev to a	bbrevia	tions used	in fee ta	ables:								

Table II — PRELIMINARY EXAMINATION FEES (as at 1 October 2000 unless otherwise indicated)

ney i		ะนากก	ee lables.						
eq	equivalent of	BYR	Belarussian rouble	GEL	Georgian lari	LSM	Lesotho loti	SEK	Swedish krona
IPEA	International Preliminary	BZD	Belize dollar	GHC	Ghanaian cedi	LTL	Lithuanian litas	SGD	Singapore dollar
	Examining Authority	CAD	Canadian dollar	GRD	Greek drachma	LUF	Luxembourg franc	SIT	Slovenian tolar
ISA	International Searching	CHF	Swiss franc	HRK	Croatian kuna	LVL	Latvian lat	SKK	Slovak koruna
	Authority	CNY	Yuan renminbi	HUF	Hungarian forint	MAD	Moroccan dirham	TJR	Tajik rouble
na	not applicable	CUP	Cuban convertible peso	IDR	Indonesian rupiah	MDL	Moldovan leu	TTD	Trinidad and Tobago
RO	receiving Office	CYP	Cyprus pound	IEP	Irish pound	MKD	Macedonian denar		dollar
		CZK	Czech koruna	ILS	New Israel shekel	MWK	Malawian kwacha	UAH	Ukrainian hryvnia
Currel	ncies:	DEM	Deutsche mark	INR	Indian rupee	MXP	Mexican peso	USD	US dollar
ALL	Albanian lek		Danish krone	ISK	lcelandic krona		Netherlands guilder	VND	Vietnamese dong
AMD	Armenian dram	DZD	Algerian dinar	ITL	Italian lira		Norwegian krone	YUD	Yugoslavian dinar
	Austrian schilling	EEK	Estonian kroon	JPY	Japanese yen	NZD	New Zealand dollar		South African rand
AUD	Australian dollar	ESP	Spanish peseta	KES	Kenyan shilling		Polish zloty	ZWD	Zimbabwe dollar
AZM	Azerbaijani manat		Euro		Kyrgyz som	PTE	Portuguese escudo		
BEF	Belgian franc	FIM	Finnish markka		KP won	ROL	Romanian leu		
BGL	Bulgarian lev	FRF	French franc		KR won		Russian rouble		
BRR	Brazilian real	GBP	Pound sterling	KZT	Kazakh tenge	SDP	Sudanese pound		

Footnotes to fee tables:

Payable to the receiving Office in the currency or one of the currencies prescribed by it.

- 2 The total amount of the basic fee and designation fee is reduced by 200 Swiss francs where: (a) the request is presented as a computer print-
- The total amount of the basic fee and designation fee is reduced by 200 Swiss francs where: (a) the request is presented as a computer print-out prepared using the PCT-EASY software; (b) the request is filed together with a computer diskette, prepared using that software, containing acopy in electronic form of the data contained in the request and of the abstract; and (c) the international application is filed with a receiving Office which is prepared to accept the filing of international applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Algeria, Armenia, Azerbaijan, Belarus, Belize, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Dominica, Estonia, Gabon, Gambia, Georgia, Ghana, Grenada, Guinea, Guinea-Bissau, Hungary, India, Indonesia, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Morocco, Mozambique, Niger, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucía, Senegal, Sierra Leone, Slovakia, South Africa, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yuoslav, Remublic of Tarzapia, Lithveiristan, Urayada, Ultraine, Intida Remublic of Tarzapia, Lithveiristan, Tapikistan, The former Yuoslav, Remublic of Moldova, Romania, Russian Federation, Saint Lucía, Senegal, Sierra Leone, Slovakia, South Africa, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yuoslav, Remublic of Tarzapia, Lithveiristan, Tukaya, Lithveiristan, Theratia, Lithveiristan, Theratia, Lithveiristan, Lithv Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Turkey, Turkmenistan, Uganda, Ukraine, United Republic of Tanzania, Uzbekistan, Viet Nam, Yugoslavia or Zimbabwe. For further details, see PCT Newsletter No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page.

4 The maximum number of designation fees payable is eight.

5 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee due (taking into account any applicable 75% reduction: see footnote 3)

6 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.

- The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 8 The amounts of the transmittal fee in currencies other than EUR are as follows: ATS 1,403.55; BEF 4,115; CHF 170; CYP 60; DEM 199.49; DKK 770; ESP 16,971; FIM 606.46; FRF 669.08; GBP 65; GRD 34,000; IEP 80.33; ITL 197,500; LUF 4,115; NLG 224.78; PTE 20,449.20; SEK 890. The amounts in those currencies of the basic fee, supplement per sheet over 30 and designation fee are the same as those indicated for the receiving Offices of the respective States, that is RO/AT, BE, CH, etc.
- This fee is reduced by 80% where the applicant is a natural person.
- 10 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
 11 A supplement of HUF 500 is also payable for each claim in excess of 10.
- 12 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Vol. I/B, Annex C (IB).
- 13 Nationals (natural or legal persons) of States which fulfill the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations (see also footnote 3) may be eligible for reductions in the search and preliminary examination fees. For details, including the amount of the fee reduction and applicable conditions, see PCT Applicant's Guide, Vol. I/B, Annexes D (EP) and
- E (EP). The list of countries concerned is indicated in footnote 3.
 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
 Payable when a corresponding prior US national application has been filed and the basic filing fee for that US application has been paid.
- Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
 Payable when the international search report was established by the Russian Patent Office.
- 18 In all cases where footnote 17 does not apply.
- 19 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C.)

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PCT CONTRACTING STATES AND TWO-LETTER CODES (108 on 1 October 2000)

				Ser in
 AE United Arab Emirates AG Antigua and Barbuda AL Albania¹ AM Armenia (EA) AT Austria (EP) AU Australia AZ Azerbaijan (EA) BA Bosnia and Herzegovina BB Barbados BE Belgium (EP)² BF Burkina Faso (OA)² BG Bulgaria BJ Benin (OA)² BR Brazil BY Belarus (EA) BZ Belize CA Canada CF Central African Republic (OA)² CG Congo (OA)² CH Switzerland (EP) 	CM Cameroon (OA) ² CN China CR Costa Rica CU Cuba CY Cyprus (EP) ² CZ Czech Republic DE Germany (EP) DK Denmark (EP) DM Dominica DZ Algeria EE Estonia ES Spain (EP) FI Finland (EP) FR France (EP) ² GA Gabon (OA) ² GB United Kingdom (EP) GD Grenada GE Georgia GH Ghana (AP) GM Gambia (AP) GN Guinea (OA) ² GR Greece (EP) ²	HU Hungary D Indonesia E Ireland (EP) ² IL Israel N India IS Iceland IT Italy (EP) ² JP Japan KE Kenya (AP) KG Kyrgyzstan (EA) KP Democratic People's Republic of Korea KR Republic of Korea KZ Kazakhstan (EA) LC Saint Lucia IJ Liechtenstein (EP) LK Sri Lanka LR Liberia LS Lesotho (AP) LT Lithuania ¹ LU Luxembourg (EP) LV Latvia ¹ MA Morocco	 MD Republic of Moldova (EA) MG Madagascar MK The former Yugoslav Republic of Macedonia¹ ML Mali (OA)² MN Mongolia MR Mauritania (OA)² MW Malawi (AP) MX Mexico MZ Mozambique (AP) NE Niger (OA)² NL Netherlands (EP)² NO Norway NZ New Zealand PL Poland PT Portugal (EP) RO Romania¹ RU Russian Federation (EA) SD Sudan (AP) SG Singapore 	 SK Slovakia SL Sierra Leone (AP) SN Senegal (OA)² SZ Swaziland (AP)² TD Chad (OA)² TG Togo (OA)² TJ Tajikistan (EA) TM Turkmenistan (EA) TR Turkey (EP³) TT Trinidad and Tobago TZ United Republic of Tanzania (AP) UA Ukraine UG Uganda (AP) US United States of America UZ Uzbekistan VN Viet Nam YU Yugoslavia ZA South Africa ZW Zimbabwe (AP)

2 May only be designated for a regional patent (the "national route" via the PCT has been closed).

3 May also be designated for a European Patent as from 1 November 2000.

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but was not yet bound by the PCT on the date of issue of the latest version of the request form. Where any State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate, for the purposes of a national patent, any State which is bound by the PCT on the date on which the international application is filed but which is not listed in the request form, he must add it in Box No. V of the request form and mark the corresponding check-box.

Applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401), updated versions of which are normally issued twice yearly. The latest versions are dated July 2000. The forms are reproduced in Annexes X and Y, respectively, in Vol. I/B of the *PCT Applicant's Guide* (July 2000 update), and can be printed from the WIPO Internet site, in PDF format, at: http://www.wipo.int/eng/pct/forms/index.htm, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities.

B1 BZ

Information on Contracting States

BELIZE

B1 BZ

General information

Name of Office:	Intellectual Property Office of Belize	
Location and mailing address:	Attorney General's Ministry Chief Parliamentary Counsel and Law Revision East Block, Ground floor, Belmopan, Belize	
Telephone:	(501-8) 23 195	
Facsimile machine:	(501-8) 23 198	
Teleprinter:	_	
E-mail:	parliament@btl.net	
Internet:	www.belize.gov.bz	
Does the Office accept the filing of documents by means of telecommunication (PCT Rule 92.4)?	Yes, by facsimile machine	
Which kinds of documents may be so transmitted?	All kinds of documents	
Must the original of the document be furnished in all cases?	Yes, within one month from the date of the transmission, if the transmitted document is an international application or a replacement sheet containing corrections or amendments of an international application	
	No, only upon invitation in the case of other documents	
Would the Office accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used (PCT Rule 82.1)?	Yes, provided that the delivery service is DHL, Federal Express, Taca Rapidito or UPS	
Competent receiving Office for nationals and residents of Belize:	Intellectual Property Office of Belize or International Bureau of WIPO, at the choice of the applicant (see Annex C)	
Competent designated (or elected) Office if Belize is designated (or elected):	Intellectual Property Office of Belize (see Volume II)	
May Belize be elected?	Yes (bound by Chapter II of the PCT)	
Types of protection available via the PCT:	Patents, utility models	
Provisions of the law of Belize concerning international-type search:	None	
Provisional protection after international publication:	None	

[Continued on next page]

B1 BZ

Information on Contracting States

BELIZE

ΒZ

B1

[Continued]

Information of interest if Belize is designated (or elected)

Time when the name and address of the inventor must be given if Belize is designated (or elected):	Must be in the request. If not already complied with within the time limit applicable under PCT Article 22 or $39(1)(a)$, the Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation.
Are there special provisions concerning the deposit of microorganisms and other biological material?	No

C BZ

Receiving Offices

C BZ

INTELLECTUAL PROPERTY OFFICE OF BELIZE

Competent receiving Office for nationals and residents of:	Belize
Language in which international applications may be filed:	English
Number of copies required by the receiving Office:	3
Does the receiving Office accept the filing of international applications with requests in PCT-EASY format? ¹	Yes
Competent International Searching Authority:	European Patent Office
Competent International Preliminary Examining Authority:	European Patent Office
Fees payable to the receiving Office:	Currency: Belize dollar (BZD) and US dollar (USD)
Transmittal fee:	BZD 220
International fee: Basic fee:	USD 427 (382)*
Supplement per sheet over 30:	USD = 427 (382) USD = 10 (9)*
Designation fee:	$USD 92 (82)^*$
PCT-EASY fee reduction: ¹	USD 132 $(117)^*$
Search fee:	See Annex D (European Patent Office)
Fee for priority document (PCT Rule 17.1(b)):	BZD 20
Is an agent required by	No, if the applicant resides in Belize
the receiving Office?	Yes, if he is a non-resident
Who can act as agent?	Any patent attorney or patent agent registered to practice before the Office. A list of patent attorneys and patent agents may be obtained from the Office.

^{*} The amount in parentheses is applicable as from 15 November 2000.

¹ Where the request is filed in PCT-EASY format together with a PCT-EASY diskette and the receiving Office accepts such filings (see *PCT Gazette* No. 51/1998, pages 17330 and 17332), the total amount of the international fee is reduced.

SUMMARY

BZ

Designated (or elected) Office

INTELLECTUAL PROPERTY OFFICE

OF BELIZE

SUMMARY

ΒZ

Under PCT Article 22: Time limits applicable for entry into the 21 months from the priority date national phase: Under PCT Article 39(1): 31 months from the priority date Translation of international application required into: English Required contents of the translation for Under PCT Article 22: Description, claims (if amended, both as entry into the national phase:¹ originally filed and as amended), any text matter of drawings, abstract Under PCT Article 39(1): Description, claims, any text matter of drawings, abstract (if any of those parts has been amended, both as originally filed and as amended by the annexes to the international preliminary examination report) Is a copy of the international application Applicant should only send a copy of the international application if he has not received Form PCT/IB/308 and the Office has not required? received a copy of the international application from the International Bureau under PCT Article 20. This may be the case where the applicant expressly requests an earlier start of the national phase under PCT Article 23(2). National fee: Currency: Belize dollar (BZD) Filing fee:1 $BZD^2 \dots$ Exemptions, reductions or refunds of the national fee: None Special requirements of the Office Name and address of the inventor if they have not been furnished in (PCT Rule 51bis):³ the "Request" part of the international application Statement justifying the applicant's right to the patent if he is not the inventor Appointment of an agent if the applicant is not resident in Belize Who can act as agent? Any patent attorney or patent agent registered to practice before the Office. A list of patent attorneys and patent agents may be obtained from the Office.

Summary of requirements for entry into the national phase

Page 3

¹ Must be furnished or paid within the time limit applicable under PCT Article 22 or 39(1).

 $^{^2}$ The amount of the fee is not yet known. It will be fixed in the near future. The Office or agent should be consulted for the latest applicable amount of the fee.

³ If not already complied with within the time limit applicable under PCT Article 22 or 39(1), the Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation.

SUMMARY

US

3

Designated (or elected) Office

UNITED STATES PATENT AND

TRADEMARK OFFICE (USPTO)

Summary of requirements for entry into the national phase

SUMMARY

US

Under PCT Article 22: Time limits applicable for entry into the 20 months from the priority date national phase: Under PCT Article 39(1): 30 months from the priority date Translation of international application required into: English Required contents of the translation for Under PCT Article 22: Request, description, claims (if amended, entry into the national phase:¹ both as originally filed and as amended, together with any statement under PCT Article 19), any text matter in the drawings, abstract² Under PCT Article 39(1): Request, description, claims, any text matter in the drawings, abstract (if any of those parts has been amended, both as originally filed and as amended by the annexes to the international preliminary examination report)² Is a copy of the international application Applicant should only send a copy of the international application if he/she has not received Form PCT/IB/308 and the USPTO has not required?³ received a copy of the international application from the International Bureau under PCT Article 20. This may be the case where the applicant expressly requests an earlier start of the national phase under PCT Article 23(2). No copy is required if the international application was filed with the USPTO as receiving Office. A copy of amendments of the claims filed under PCT Article 19 with the International Bureau is required under the conditions indicated in the previous paragraph.

[Continued on next page]

Provisional sheet issued with PCT Newsletter No. 10/2000 (October 2000)

US

Page 3

¹ Must be furnished within the time limit applicable under PCT Article 22 or 39(1). The requirement may still be complied with in response to a notice sent to the applicant, provided that a processing fee is paid for furnishing the translation later.

 $^{^2}$ If the translation of the amendments is not furnished, the amendments are considered to be cancelled (37 CFR 1.494(d) and 1.495(d)).

Must be furnished within the time limit applicable under PCT Article 22 or 39(1).

SUMMARY

US

Designated (or elected) Office

UNITED STATES PATENT AND TRADEMARK OFFICE (USPTO)

[Continued]

National fee: ⁴	Currency: US dollar (USD)	
	Basic national fee (37 CFR 1.492(a)(1)-(5)): ⁵	
	 where a preliminary examination fee has been paid on the international application to the USPTO: where no preliminary examination fee has been paid to the USPTO, but a search fee has been paid on the international 	USD 670(335) ⁶
	application to the USPTO as an International Searching Authority:	USD 690(345) ⁶
	 where no preliminary examination fee has been paid and no search fee has been paid on the international application to the USPTO and no international search report has been prepared by the European Patent Office or the Japanese Patent Office: 	USD 970(485) ⁶
	 where an international search report has been prepared by the European Patent Office or the Japanese Patent Office: 	USD 840(420) ⁶
	— where the international preliminary examination report prepared by the USPTO states that the criteria of novelty, inventive step (non-obviousness) and industrial applicability, as defined in PCT Article 33(1) to (4), have been satisfied for all the claims presented in the international application entering the patients.	USD 96 (48) ⁶
	the national phase: Additional fee for each claim in	USD 96 $(48)^6$
	independent form in excess of three: ⁷	USD 78 $(39)^6$
	Additional fee for each claim, indepen- dent or dependent, in excess of 20: ⁷	USD 18 (9) ⁶
	In addition, if the application contains one or more multiple dependent claims, per application: ⁷	USD 260(130) ⁶
	Surcharge for filing oath or declaration after the expiration of the time limit applicable under PCT Article 22 or 39(1): Processing fee for filing English-language	USD 130 (65) ⁶
	translation after the expiration of the time limit applicable under PCT Article 22 or 39(1):	USD 130 ⁸

⁴ Certain of these fees are subject to periodic change. The United States Patent and Trademark Office or the *Official Gazette* of the United States Patent and Trademark Office should be consulted for the applicable amounts.

SUMMARY

US

⁵ Must be paid within the time limit applicable under PCT Article 22 or 39(1).

⁶ The amount in parentheses is applicable in case of filing by a "small entity." In order to claim "small entity" status, a proper statement, signed by the "small entity," must be filed with the USPTO (see 37 CFR 1.9(f), 1.27 and *PCT Applicant's Guide*, Volume II, Annex US.V). Fees are subject to periodic change. Current PCT-related fees can be found in the *Official Gazette* of the United States Patent and Trademark Office.

⁷ If not paid with the basic national fee, the USPTO will invite the applicant to pay the fee within a time limit fixed in the invitation.

⁸ This fee is unaffected by small entity status.