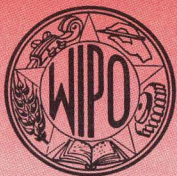


PCT

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NEWSLETTER

NOVEMBER 1994

No. 09/1994

PCT STATISTICS — 1 January to 30 September 1994

During the first nine months of 1994 the International Bureau of WIPO received 25,235 international applications filed with PCT receiving Offices worldwide, which represented an increase over the same period in 1993 of 17.7%. These 25,235 international applications had the effect of 431,854 national applications and of 34,408 regional patent applications, which in turn had the effect of 512,689 applications for patent protection in the member States of the regional patent systems; that is, a total of 944,543 applications.

The number of demands for international preliminary examination transmitted to the International Bureau by the International Preliminary Examining Authorities during the same period amounted to 17,146, which represents an increase over the same period in 1993 of 12.9%.

PCT NEWSLETTER SUBSCRIPTIONS FOR 1995

Included in this issue is a *PCT Newsletter* subscription form for 1995. **If you are already a subscriber, it is not necessary to return the form** since, unless notification to the contrary is received from you by WIPO, **subscription renewal is automatic**; subscribers will shortly receive, if they have not already done so, an invoice for 1995 subscriptions. You may, how-

ever, wish to use the subscription form to subscribe to extra copies. You are reminded that, for subscriptions to more than one copy, you are entitled to a discount of 25% off the price of each copy subscribed to (including the first), regardless of the address to which each copy is mailed. You may also use the subscription form to order binders for the *PCT Newsletter*.

MEXICO: REMINDER

Mexico will become bound by the PCT on 1 January 1995. Before that date, Mexico can **neither** be designated by way of a specific designation **nor** by way of a precautionary designation subject to confirmation.

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Tear-out sheets: PCT Newsletter subscription form; provisional sheets for the *PCT Applicant's Guide*, Annex B1 (PL), Annex C (US), Annex E (US) and Annex X (modified sheets of request form (PCT/RO/101))

PRACTICAL ADVICE

Obtaining PCT pamphlets according to field of technology

Q: Is there any way in which I can arrange to receive from the International Bureau a copy of all international applications relating to a particular field of technology as they become available?

A: Copies of published PCT pamphlets (containing the international application, the international search report and any amendments to the claims under PCT Article 19) may be obtained as soon as they are published, either by way of a standing order for all pamphlets, or by way of a standing order for pamphlets selected according to class(es) or subclass(es) of the International Patent Classification (IPC) which correspond to the fields of technology in which you are interested. After you place a standing order for pamphlets by IPC class or subclass, all pamphlets falling within the IPC parameters that you indicate will be sent to you immediately after publication. WIPO is currently investigating the possibility of enabling ordering of pamphlets by other criteria; any new developments in this respect will be reported in the *PCT Newsletter*.

PCT pamphlets may also be ordered individually by indicating the international publication number (for example, after seeing a published abstract which interests you in the *PCT Gazette*). However, in such a case, you would normally receive the pamphlets later than in the case of a standing order, because of the extra time needed for the *Gazette* to become available to the public.

Whichever method of ordering you use, you will be invoiced 12 Swiss francs for each pamphlet (for delivery by surface mail; the price for airmail is 15 Swiss francs within Europe or 17 Swiss francs outside Europe). If you wish to receive pamphlets by fax, you will be invoiced 4 Swiss francs per page, plus 10 Swiss francs per order as an urgent handling charge. Special delivery by courier (such as DHL or Federal Express) may be arranged on request; this mode of delivery also carries an urgent handling charge of 10 Swiss francs per order, in addition to the courier charges.

Note that published international applications are also available on CD-ROM (see below).

PUBLISHED INTERNATIONAL APPLICATIONS AVAILABLE ON CD-ROM

The use of CD-ROMs as a medium for accessing patent information is becoming increasingly important because of the ease and

rapidity of information retrieval which their usage permits, their high storage capacity (approximately 15,000 pages of text and drawings fit onto one CD-ROM) and their relatively low cost.

Many CD-ROM products are available on the world industrial property information market, ranging from those which contain bibliographic data on patent documents published in a particular country, to those which contain full text and drawings. One of the most comprehensive products available for accessing published international (PCT) applications is ESPACE-WORLD, which is produced by the European Patent Office (EPO) in cooperation with WIPO. Each CD-ROM contains the full text and drawings of approximately 500 published international applications as well as the corresponding bibliographic data in coded, searchable form. ESPACE-WORLD users may access international applications by bibliographic data, such as application number, publication number, filing date, priority number, priority date, designated States, name of applicant or inventor, IPC symbol, key words in the title, or by a combination of the above criteria.

The ESPACE-WORLD CD-ROMs containing the latest published international applications are produced approximately every two weeks. They are obtainable only by annual subscription. The price for the sets of CD-ROMs containing international applications published since 1990 is 2,400 Marks (DEM), *per year*, plus postage and packaging. They may be ordered from the following address:

European Patent Office
Schottenfeldgasse 29
Postfach 72
A-1072 Vienna
Austria

Tel: (43-1) 52 12 62 452
Fax: (43-1) 52 12 62 492

CD-ROMs containing international applications published between 1986 and 1989 (inclusive) may be ordered only from WIPO, at the following address:

World Intellectual Property Organization
Publications Sales and Distribution Unit
34, chemin des Colombettes
CH-1211 Geneva 20
Switzerland

Tel: (41-22) 730 91 11 (ask for the
Publications Sales and Distribution
Unit)
Fax: (41-22) 733 54 28

The price for the set of CD-ROMs containing international applications published in 1989 is 2,400 Swiss francs. The prices for the sets containing international applications published between 1986 and 1988 (inclusive) are not yet available; those prices will be announced in the *PCT Newsletter* as soon as they are known. Sets of CD-ROMs containing international applications published between 1978 and 1985 (inclusive) will be produced shortly. Details will be given in the *PCT Newsletter* when they become available.

Some of the other CD-ROM products which may be obtained from the EPO are ESPACE-FIRST (contains the first pages of published European and PCT applications), ESPACE-EP (contains complete patent applications published by the EPO) and ESPACE-ACCESS (contains bibliographic information on published PCT and European patent applications).

In some countries, CD-ROMs of national patent applications are available. The patent offices of the countries concerned may be able to provide you with information about whether such CD-ROMs are available.

Since technology is evolving in the direction of presenting information on CD-ROMs, the future possibility of making available the contents of the *PCT Gazette* on CD-ROM is going to be investigated.

PCT INFORMATION UPDATE

Democratic People's Republic of Korea (competent International Searching and Preliminary Examining Authorities)

The Invention Office of the Democratic People's Republic of Korea, in its capacity as receiving Office, has specified the Austrian Patent Office, in addition to the Russian Patent Office, as a competent International Searching and Preliminary Examining Authority. (Updating of *PCT Applicant's Guide*, Vol. I, Annex C (KP))

Madagascar (telephone and fax numbers)

The Industrial Property Office of Madagascar has an additional telephone number, as follows:

(261-2) 255 15

The fax number of the Office has changed to:

(261-2) 305 12

(Updating of *PCT Applicant's Guide*, Vol. I, Annex B1 (MG))

Poland (*PCT Applicant's Guide*: Corrigendum)

When Poland withdrew its declaration under Article 64(2)(a) (on 1 March 1994), reference to that declaration was deleted from the *PCT Applicant's Guide* but only in Vol. II, National Chapter Summary (PL). A similar reference still appears erroneously in Vol. I, Annex B1 (PL), following the question "May Poland be elected?" and also under the "Warning." A corrected version is included in this issue as a pink tear-out provisional sheet for the *PCT Applicant's Guide*, Vol. I, Annex B1 (PL).

Sri Lanka (fax number)

The new fax number for the Registry of Patents and Trade Marks is as follows:

(94-1) 68 93 67

(Updating of *PCT Applicant's Guide*, Vol. I, Annex B1 (LK))

United States of America (fees)

See "Certain fees payable in US Dollars," below.

Certain fees payable in US Dollars (various Offices)

On 1 January 1995, there will be a change in the equivalent amounts, in US Dollars, of the basic fee, the supplement fee per sheet over 30, the designation fee and the handling fee (see Table I(a), on pages 5 and 6, and Table II, on page 7). The new amounts are indicated on pink tear-out provisional sheets for the *PCT Applicant's Guide*, Vol. I, Annex C (US) and Annex E (US). In the case of other receiving Offices or International Preliminary Examining Authorities which accept payments in US Dollars, the new amounts will be reflected in the January 1995 set of update sheets for the *PCT Applicant's Guide*. (Updating of *PCT Applicant's Guide*, Vol. I, Annex C (AM), (AP), (BY), (GE), (IB), (KE), (KG), (KZ), (LR), (LT), (LV), (MD), (RU), (TJ), (TT), (UA), (US), (UZ) and Annex E (RU), (US))

Search fees (European Patent Office (EPO) and Swedish Patent Office)

As from 1 January 1995, there will be changes in the equivalent amounts in Markka (FIM) of the fees for international searches carried out by the EPO and the Swedish Patent Office. The new amounts are shown in Table I(b), on page 7. (Updating of *PCT Applicant's Guide*, Vol. I, Annex D (EP) and (SE))

[continued on page 5]

PCT SEMINAR CALENDAR			
Dates Location	Language of seminar	Nature of seminar WIPO speakers (and others where known)	Organizer and contact numbers
17-18 November 1994 Chicago (US)	English	Advanced PCT seminar for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	John Marshall Law School (Ms. Rose Marie Knight) Tel: (1-312) 987 14 20 Fax: (1-312) 427 71 28
21-22 November 1994 San Francisco (US)	English	Advanced PCT seminar for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1-415) 289 74 71 Fax: (1-415) 331 60 68
7-8 December 1994 London (GB)	English	Advanced PCT seminar for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Management Forum Ltd Tel: (44-483) 57 00 99 Fax: (44-483) 364 24
9 December 1994 London (GB)	English	PCT workshop for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Management Forum Ltd Tel: (44-483) 57 00 99 Fax: (44-483) 364 24
12 December 1994 Munich (DE)	German	PCT seminar for patent attorneys WIPO speaker: Mr. Bartels	Forum Institut für Management GmbH Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
12-13 December 1994 Mexico D.F. (MX)	Spanish	PCT seminar for patent attorneys WIPO speakers: Mr. Bryan and Mrs. Coeckelbergs	Instituto Mexicano de la Propiedad Industrial (IMPI) Tel: (52-5) 657 88 91 Fax: (52-5) 654 07 71
15 March 1995 Ecully (FR)	French	Lecture on the latest PCT developments "Le point du PCT" WIPO speaker: Ms. Boutillon	Groupe Rhône-Alpes pour la protection de la propriété industrielle (GRAPI) Tel: (33-78) 33 52 12
6 April 1995 Ecully (FR)	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier Tel: (33-78) 33 07 08 Fax: (33-78) 33 58 96
24-26 April 1995 Hilton Head Island (US)	English	PCT seminar for patent attorneys "Basic Patent Cooperation Treaty Practice" WIPO speakers: Mr. Bartels, Ms. Boutillon and Mr. Maassel	Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99
27-29 April 1995 Hilton Head Island (US)	English	PCT seminar for patent attorneys "Advanced Patent Cooperation Treaty Practice" WIPO speakers: Mr. Bartels, Ms. Boutillon and Mr. Maassel	Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99

PCT INFORMATION UPDATE

[continued from page 3]

Modified request form (PCT/RO/101)

The request form has been modified with effect from 1 January 1995 because several States have become bound by the PCT since last July. The following Contracting States have been added to Box No. V (on the second sheet of the Form) under "National Patent": EE Estonia, LR Liberia, and MX Mexico; and under "Regional Patent (APARIPO Patent)": SZ Swaziland. Consequential modifications have been made to the Notes to Box No. V and to the Notes to the Fee Calculation Sheet.

Modified "second sheets" in English, French, German and Spanish are included in this issue as white tear-out sheets and may be

inserted in the *PCT Applicant's Guide*, Vol. I, Annex X. The "second sheet" may be photocopied and used, along with other sheets of the request form which remain unchanged, for the filing of international applications on or after 1 January 1995. ***It should not be used before 1 January 1995*** since Mexico does not become bound by the PCT until that date.

A consolidated new version of the request form will be included in the January 1995 set of update sheets for the *PCT Applicant's Guide*. Copies in English, French, German and Spanish can be obtained from your receiving Office or the International Bureau as from the end of November. Due to translation requirements, there may be a slight delay in the availability of request forms in other languages.

PCT FEE TABLES

The following Tables show the amounts (including currencies—keys to currency abbreviations are listed at the bottom of page 6) for the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Table I(a) and Table I(b)) and under Chapter II (Table II). Fees which are payable only in special circumstances are not shown; nor are details of reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Volume I, Annexes C, D and E. Note that all amounts are subject to changes due to fee increases or fluctuations in exchange rates. The footnotes are on page 7.

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 November 1994, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ¹ (CHF 762)	Supplement per sheet over 30 ¹ (CHF 15)	Designation fee ^{1,2} (CHF 185)	Competent ISA(s) ³
AM	AMD ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
AP	USD 70	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP
AT	ATS 700	ATS 6,220	122	1,510	EP
AU	AUD 100	AUD 748	15	182	AU
BE	BEF 1,500	BEF 18,208	358	4,421	EP
BG	BGL 600	BGL equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
BR	BRC 21,670	BRC equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP SE US
BY	RUR ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
CA	CAD 200	CAD 792	16	192	EP
CH	CHF 100	CHF 762	15	185	EP
CN	CNY 500	CNY equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	CN
CZ	CZK 1,200	CZK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
DE	DEM 150	DEM 883	17	214	EP
DK	DKK 1,500	DKK 3,440	70	840	EP SE
EE	EEK 1,500	EEK equiv of CHF 762	15	185	EP
EP ⁵	DEM 200	DEM 883	17	214	EP
ES	None	ESP 76,300	1,500	18,500	EP
FI	FIM 700	FIM 3,200	65	780	EP SE
FR	FRF 400	FRF 3,010	60	730	EP
GB	GBP 55	GBP 352	7	85	EP

[continued on page 6]

[continued from page 5]

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES (continued)

(as at 1 November 1994, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ¹ (CHF 762)	Supplement per sheet over 30 ¹ (CHF 15)	Designation fee ^{1,2} (CHF 185)	Competent ISA(s) ³
GE	USD ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
GR	GRD 30,000	GRD 131,000	3,000	32,000	EP
HU	HUF 1,000	HUF equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT RU
IB	CHF 300 or USD 200	CHF 762 or USD 530 (from 01.01.95: 604)	15 10 (12)	185 128 (147)	See note 6
IE	IEP 60	IEP 357	7	87	EP
IT	ITL 60,000	ITL 884,000	17,000	215,000	EP
JP	JPY 18,000	JPY 59,000	1,000	14,000	EP JP
KE	USD (or KES equiv) 30	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	AU AT CN EP
KG	KGS ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
KP	KPW 50	KPW equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT RU
KR	KRW 40,000	KRW 505,000	10,000	123,000	AT AU JP
KZ	RUR ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
LR	USD 45	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	AT AU CN EP SE
LT	LTL equiv of USD 80	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
LU	LUF/BEF 1,000	LUF/BEF 18,208	358	4,421	EP
LV	LVL 40	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
MC	FRF 200	FRF 3,010	60	730	EP
MD	MDL ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
MN	None	MNT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
MW	MWK 8	MWK 2,350	46	570	EP
NL	NLG 110	NLG 1,000	20	240	EP
NO	NOK 500	NOK 3,940	80	960	EP SE
NZ	NZD 155	NZD 976	19	237	AU EP
PL	PLZ 1,500,000	PLZ equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
PT	PTE 3,000	PTE 89,000	1,800	21,700	EP
RO	ROL 10,000	CHF 762	15	185	AT EP RU
RU	RUR 13,000	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
SD	SDP 50	SDP equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SE	SEK 600	SEK 4,355	85	1,060	EP SE
SI	SIT See note 7	SIT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SK	SKK 1,200	SKK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
TJ	RUR ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
TT	TTD 750	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	AT EP SE US
UA	UAK ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
US	USD 210	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP US
UZ	USD ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
VN	USD 50	VND equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT AU EP RU SE

Key to currency abbreviations for all fee tables:

AMD	Dram	DEM	Mark	ITL	Lira	MNT	Tugrik	SDP	Sudanese Pound
ATS	Schilling	DKK	Danish Krone	JPY	Yen	MWK	Kwacha	SEK	Swedish Krona
AUD	Australian Dollar	EEK	Estonian Krona	KES	Kenyan Shilling	NLG	Guilder	SIT	Tolar
BEF	Belgian Franc	ESP	Peseta	KGS	Som	NOK	Norwegian Krone	SKK	Slovak Koruna
BGL	Lev	FIM	Markka	KPW	Won	NZD	New Zealand Dollar	TTD	Trinidad and Tobago Dollar
BRC	Cruzeiro Real	FRF	French Franc	KRW	Won	PLZ	Zloty	UAK	Karbovanets
CAD	Canadian Dollar	GBP	Pound Sterling	LTL	Lita	PTE	Escudo	USD	US Dollar
CHF	Swiss Franc	GRD	Drachma	LUF	Luxembourg Franc	ROL	Romanian Leu	VND	Dong
CNY	Yuan Renminbi	HUF	Forint	LVL	Lat	RUR	Rouble		
CZK	Czech Koruna	IEP	Irish Pound	MDL	Moldovan Leu				

Table I(b) – SEARCH FEES
(as at 1 November 1994, unless otherwise indicated)

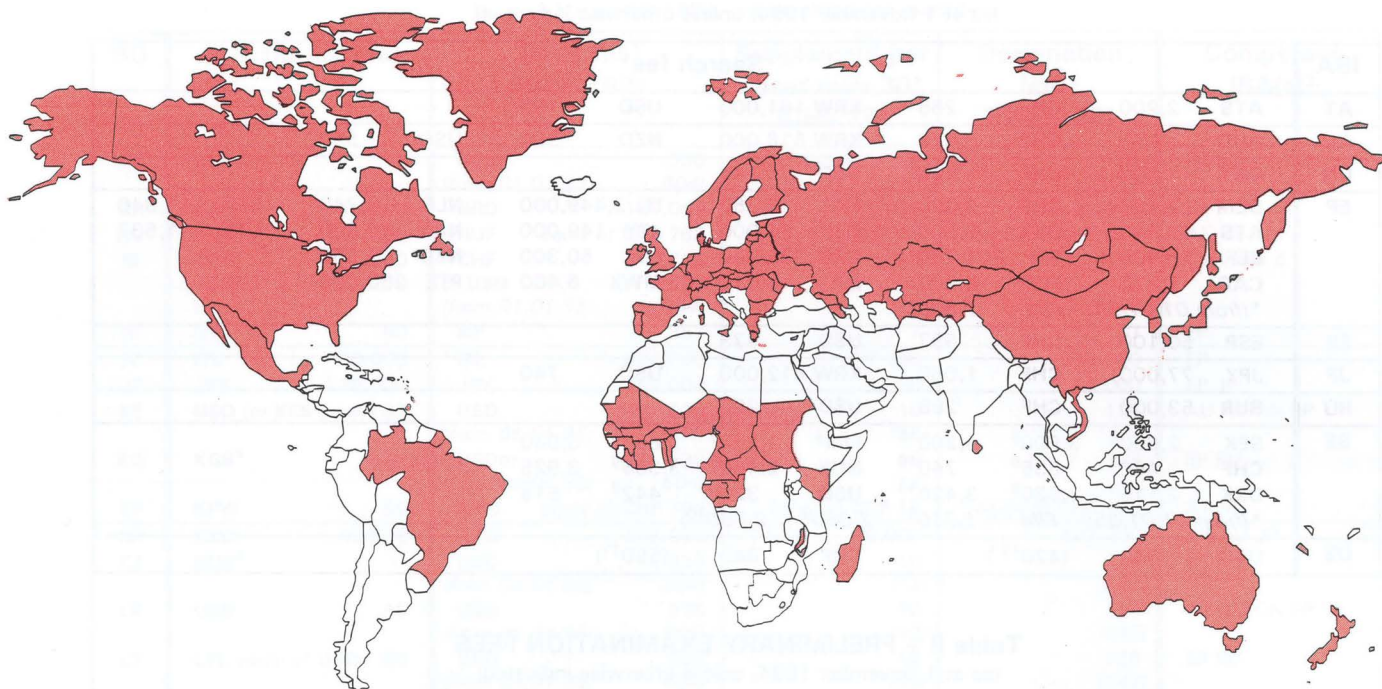
ISA	Search fee ¹											
AT	ATS	2,200	CHF	285	KRW	161,000	USD	198				
AU	AUD	800	CHF	778	KRW	516,000	NZD	990	USD	592		
CN	CNY	800	CHF	210	USD	146						
EP	DEM	2,400	CHF	2,060	FRF	8,350	ITL	2,449,000	NLG	2,740	SEK	11,940
	ATS	17,140	DKK	9,600	GBP	1,000	JPY	149,000	NOK	10,300	USD	1,537
	BEF	50,300	ESP	201,700	GRD	369,200	LUF	50,300	NZD	2,557		
	CAD	1,870	FIM*	8,200	IEP	1,017	MWK	6,400	PTE	250,000		
	*(from 01.01.95: FIM 7,500)											
ES	ESP	50,100	CHF	537	USD	373						
JP	JPY	77,000	CHF	1,060	KRW	612,000	USD	740				
RU	RUR	53,000	CHF	288	USD	200						
SE	SEK	2,600 ⁸	3,600 ⁹	4,200 ¹⁰	FIM*	1,875 ⁸	2,600 ⁹	3,030 ¹⁰				
	CHF	460 ⁸	635 ⁹	740 ¹⁰	NOK	2,430 ⁸	3,365 ⁹	3,925 ¹⁰				
	DKK	2,115 ⁸	2,930 ⁹	3,420 ¹⁰	USD	320 ⁸	442 ⁹	515 ¹⁰				
		*(from 01.01.95: FIM 1,710 ⁸ 2,360 ⁹ 2,760 ¹⁰)										
US	USD	640	(420 ¹¹)	CHF	840	(550 ¹¹)						

Table II – PRELIMINARY EXAMINATION FEES
(as at 1 November 1994, unless otherwise indicated)

IPEA	Preliminary examination fee ¹²				Handling fee ¹² (CHF 233)							
AT	ATS	2,200			ATS	1,902						
AU	AUD	450			AUD	229						
CN	CNY	800			CNY equiv of CHF 233							
EP	DEM	3,000	FRF	10,430	NLG	3,430	DEM	270	FRF	920	NLG	305
	ATS	21,430	GBP	1,250	PTE	312,500	ATS	1,902	GBP	108	PTE	27,000
	BEF	62,900	IEP	1,271	SEK	14,930	BEF	5,568	IEP	109	SEK	1,330
	CHF	2,580	ITL	3,061,000			CHF	233	ITL	270,000		
	DKK	12,000	LUF	62,900			DKK	1,050	LUF	5,568		
JP	JPY	28,000				JPY	18,000					
RU	RUR	26,000	USD	300			USD	162				
SE	SEK	3,200					SEK	1,330				
US	USD	460	(690 ¹³)				USD	162	(from 01.01.95: USD	185)		

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee.
- 3 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 4 The amounts are not yet known. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 5 Payments can also be made in ATS, BEF, CHF, DKK, ESP, FRF, GBP, GRD, IEP, ITL, LUF, NLG, PTE or SEK. For the amounts in the said currencies, reference should be made to the latest issue of the *Official Journal* of the EPO.
- 6 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national.
- 7 10% of the basic fee, supplement fee, designation fee and search fee.
- 8 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Swedish Patent Office.
- 9 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Danish Patent Office, the National Board of Patents and Registration (Finland) or the Norwegian Patent Office.
- 10 In all cases where 8 and 9 do not apply.
- 11 Payable when a corresponding prior US national application has been filed and the basic national fee for that US application has been paid.
- 12 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 13 Payable when the international search report was not established by the United States Patent and Trademark Office.

PCT CONTRACTING STATES AND TWO-LETTER CODES (74 on 1 November 1994)



In the Americas

BB Barbados
BR Brazil
CA Canada
***MX Mexico (from
1 January 1995)***
TT Trinidad and
Tobago
US United States
of America

In Europe

EPO (EP)

AT Austria
BE Belgium
CH Switzerland
DE Germany
DK Denmark
ES Spain
FR France
GB United Kingdom
GR Greece
IE Ireland
IT Italy
LI Liechtenstein
LU Luxembourg
MC Monaco
NL Netherlands
PT Portugal
SE Sweden

Non-EPO

BG Bulgaria
BY Belarus
CZ Czech Republic
EE Estonia
FI Finland
HU Hungary
LT Lithuania
LV Latvia
MD Republic of
Moldova
NO Norway
PL Poland
RO Romania
RU Russian Federa-
tion
SI Slovenia
SK Slovakia
UA Ukraine

In Africa

ARIPO (AP)

KE Kenya
MW Malawi
SD Sudan
SZ Swaziland

OAPI (OA)

BF Burkina Faso
BJ Benin
CF Central African
Republic
CG Congo
CI Côte d'Ivoire
CM Cameroon
GA Gabon
GN Guinea
ML Mali
MR Mauritania
NE Niger
SN Senegal
TD Chad
TG Togo

Non-ARIPO or -OAPI

LR Liberia
MG Madagascar

In Asia and the Pacific

AM Armenia
AU Australia
CN China
GE Georgia
JP Japan
KG Kyrgyzstan
KP Democratic People's
Republic of Korea
KR Republic of Korea
KZ Kazakhstan
LK Sri Lanka
MN Mongolia
NZ New Zealand
TJ Tajikistan
UZ Uzbekistan
VN Viet Nam

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any States indicated in ***bold italics*** have adhered to the PCT but were not yet bound by the PCT on the date of issue of the latest version of the request form. If the applicant wishes to designate any States which are bound by the PCT on the date on which the international application is filed but which are not listed in the request form, he must add them in Box No. V of the request form and mark the corresponding check-box. Where a State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date.

Applicants should always use the latest versions of the request and demand forms. The latest version of the request form (PCT/RO/101), applicable until the end of 1994, is dated 5 July 1994; that of the demand form (PCT/IPEA/401) is dated January 1994. The forms are reproduced in Annexes X and Y, respectively, of the *PCT Applicant's Guide*, Volume I. The request form can also be obtained from Receiving Offices or the International Bureau. The demand form can also be obtained from International Preliminary Examining Authorities or the International Bureau.

See page 5 for details of the updated request form, ***which should not be used before 1 January 1995.***



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B1**Information on Contracting States****B1****PL****POLAND****PL****General information**

Name of Office:	Polish Patent Office
Location:	Al. Niepodległości 188, PL-00-950 Warsaw, Poland
Mailing address:	P.O. Box 203, PL-00-950 Warsaw, Poland
Telephone:	(48-22) 25 83 49
Facsimile machine:	(48-22) 25 05 81
Teleprinter:	813492 CPIZL PL
Does the Office accept the filing of documents by means of telecommunication (PCT Rule 92.4)?	Yes, by facsimile machine
Which kinds of documents may be so transmitted?	All kinds of documents
Must the original of the document be furnished in all cases?	Yes, within 14 days from the date of the transmission
Would the Office accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used (PCT Rule 82.1)?	No
Competent receiving Office for nationals and residents of Poland:	Polish Patent Office or International Bureau of WIPO, at the choice of the applicant (see Annex C)
Competent designated (or elected) Office if Poland is designated (or elected):	Polish Patent Office (see Volume II)
May Poland be elected?	Yes (bound by Chapter II of the PCT)
Types of protection available:	Patents, utility models
Provisions of the law of Poland concerning international-type search:	None
Provisional protection after international publication:	The applicant must submit to the Office a translation of the international application into Polish. The provisional protection (see Article 35 of the Law on Inventive Activity of October 19, 1972, as amended by the Law of October 30, 1992) applies as from the date of the subsequent publication of the translation of the international application (bibliographic data, abstract and figure of drawings, if any) in the Official Gazette of the Patent Office (Biuletyn Urzędu Patentowego).

[continued on next page]

B1

Information on Contracting States

B1

PL

POLAND

PL

[continued]

Information of interest if Poland is designated (or elected)

Time when the name and address of the inventor must be given if Poland is designated:

May be in the request or may be furnished later. If not already complied with within the time limit applicable under PCT Article 22 or 39(1)(a), the Patent Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation.

Does the Office disregard the priority claim if the priority document was not submitted within 16 months from the priority date under PCT Rule 17.1?

No

Are there special provisions concerning the deposit of microorganisms?

Yes (see Annex L)

C	Receiving Offices	C
US	UNITED STATES PATENT AND TRADEMARK OFFICE (USPTO)	US

Competent receiving Office for nationals and residents of:	United States of America
Language in which international applications may be filed:	English
Number of copies required by the receiving Office:	1
Competent International Searching Authority:	United States Patent and Trademark Office or European Patent Office
Competent International Preliminary Examining Authority:	United States Patent and Trademark Office, or European Patent Office for international applications for which the European Patent Office has established the international search report
Fees payable to the receiving Office:	Currency: US Dollar (USD)
Transmittal fee:	USD 210
Basic fee:	USD 530 (604*)
Supplement per sheet over 30:	USD 10 (12*)
Designation fee:	USD 128 (147*)
Search fee:	See Annex D (United States Patent and Trademark Office or European Patent Office)
Fee for priority document (PCT Rule 17.1(b)):	USD 12
Is an agent required by the receiving Office?	No
Who can act as agent?	Patent attorneys and patent agents registered to practice before the USPTO. A list of registered patent attorneys and agents may be obtained from: Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402

* This amount is payable as from January 1, 1995

E **E**

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US **US**

**UNITED STATES PATENT AND
TRADEMARK OFFICE (USPTO)**

Preliminary examination fee (PCT Rule 58): ¹	US Dollar (USD) (due on filing demand) The amount in parentheses is payable when the international search report was not established by the USPTO	460 (690) ²
Additional preliminary examination fee (PCT Rule 68.3): ³	USD 140 (240) ² The amount in parentheses is payable when the international search report was not established by the USPTO	
Handling fee (PCT Rule 57.1): ¹	USD ² 162 (185*) (due on filing demand)	
Fee for copies of documents cited in the international preliminary examination report (PCT Rule 71.2):	None; applicant receives, together with the international preliminary examination report, a copy of each additional document not cited in the international search report	
Fee for copies of documents contained in the file of the international application (PCT Rule 94.1): ³	USD 3 per copy of US patent USD 25 per copy of non-US patent document See 37 CFR 1.19 for other document supply fees	
Conditions for refund and amount of refund of the preliminary examination fee:	Money paid by mistake, without cause, or in excess, will be refunded In the cases provided for under PCT Rule 58.3 where the demand is considered as if it had not been submitted (PCT Rules 54.4(a), 57.4(c), 58.2(c) and 60.1(c)): refund of 100% If the international application or the demand is withdrawn before the start of the international preliminary examination: refund of the amount paid less a processing fee equivalent to the transmittal fee (see Annex C(US))	
Languages accepted for international preliminary examination:	English	
Subject matter that will not be examined:	The subject matter specified in items (i) to (vi) of PCT Rule 67.1 with the exception of subject matter which is examined in US national applications	

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The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):

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- OA OAPI Patent:** **BF** Burkina Faso, **BJ** Benin, **CF** Central African Republic, **CG** Congo, **CI** Côte d'Ivoire, **CM** Cameroon, **GA** Gabon, **GN** Guinea, **ML** Mali, **MR** Mauritania, **NE** Niger, **SN** Senegal, **TD** Chad, **TG** Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)

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In addition to the designations made above, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except the designation(s) of _____
 The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation of a designation consists of the filing of a notice specifying that designation and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.)

Cadre n° V DESIGNATION D'ETATS

Les désignations suivantes sont faites conformément à la règle 4.9.a) (cocher les cases appropriées; une au moins doit l'être) :

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- EP Brevet européen : AT Autriche, BE Belgique, CH et LI Suisse et Liechtenstein, DE Allemagne, DK Danemark, ES Espagne, FR France, GB Royaume-Uni, GR Grèce, IE Irlande, IT Italie, LU Luxembourg, MC Monaco, NL Pays-Bas, PT Portugal, SE Suède** et tout autre Etat qui est un Etat contractant de la Convention sur le brevet européen et du PCT
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Brevet national (si une autre forme de protection ou de traitement est souhaitée, le préciser sur la ligne pointillée) :

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Cases réservées pour la désignation (aux fins d'un brevet national) d'Etats qui sont devenus parties au PCT après la publication de la présente feuille :

Outre les désignations faites ci-dessus, le déposant fait aussi conformément à la règle 4.9.b) toutes les désignations qui seraient autorisées en vertu du PCT, sauf la désignation de

Le déposant déclare que ces désignations additionnelles sont faites sous réserve de confirmation et que toute désignation qui n'est pas confirmée avant l'expiration d'un délai de 15 mois à compter de la date de priorité doit être considérée comme retirée par le déposant à l'expiration de ce délai. (Pour confirmer une désignation, il faut déposer une déclaration contenant la désignation en question et payer les taxes de désignation et de confirmation. La confirmation doit parvenir à l'office récepteur dans le délai de 15 mois.)

Feld Nr. V BESTIMMUNG VON STAATEN

Die folgenden Bestimmungen nach Regel 4.9 Absatz a werden hiermit vorgenommen (bitte die entsprechenden Kästchen ankreuzen; wenigstens ein Kästchen muß angekreuzt werden):

Regionales Patent

- AP ARIPO-Patent:** KE Kenia, MW Malawi, SD Sudan, SZ Swasiland und jeder weitere Staat, der Vertragsstaat des Harare-Protokolls und des PCT ist
- EP Europäisches Patent:** AT Österreich, BE Belgien, CH und LI Schweiz und Liechtenstein, DE Deutschland, DK Dänemark, ES Spanien, FR Frankreich, GB Vereinigtes Königreich, GR Griechenland, IE Irland, IT Italien, LU Luxemburg, MC Monaco, NL Niederlande, PT Portugal, SE Schweden und jeder weitere Staat, der Vertragsstaat des Europäischen Patentübereinkommens und des PCT ist
- OA OAPI-Patent:** BF Burkina Faso, BJ Benin, CF Zentralafrikanische Republik, CG Kongo, CI Côte d'Ivoire, CM Kamerun, GA Gabun, GN Guinea, ML Mali, MR Mauretanien, NE Niger, SN Senegal, TD Tschad, TG Togo und jeder weitere Staat, der Vertragsstaat der OAPI und des PCT ist (falls eine andere Schutzrechtsart oder ein sonstiges Verfahren gewünscht wird, bitte auf der gepunkteten Linie angeben)

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| <input type="checkbox"/> KG Kirgisistan | <input type="checkbox"/> UZ Usbekistan |
| <input type="checkbox"/> KP Demokratische Volksrepublik Korea | <input type="checkbox"/> VN Vietnam |
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| <input type="checkbox"/> KR Republik Korea | |
| <input type="checkbox"/> KZ Kasachstan | |
| <input type="checkbox"/> LK Sri Lanka | |
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Kästchen für die Bestimmung von Staaten (für die Zwecke eines nationalen Patents), die dem PCT nach der Veröffentlichung dieses Formblatts beigetreten sind:

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Recuadro N° V DESIGNACION DE ESTADOS

A continuación se hacen las designaciones siguientes en virtud de la Regla 4.9.a) (márquense las casillas adecuadas; debe marcarse por lo menos una):

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- AP Patente ARIPO :** KE Kenya, MW Malawi, SD Sudán, SZ Swazilandia, y cualquier otro Estado contratante del Protocolo de Hararé y del PCT
- EP Patente Europea:** AT Austria, BE Bélgica, CH y LI Suiza y Liechtenstein, DE Alemania, DK Dinamarca, ES España, FR Francia, GB Reino Unido, GR Grecia, IE Irlanda, IT Italia, LU Luxemburgo, MC Mónaco, NL Países Bajos, PT Portugal, SE Suecia, y cualquier otro Estado contratante del Convenio sobre la Patente Europea y del PCT
- OA Patente OAPI :** BF Burkina Faso, BJ Benin, CF República Centroafricana, CG Congo, CI Côte d'Ivoire, CM Camerún, GA Gabón, GN Guinea, ML Malí, MR Mauritania, NE Níger, SN Senegal, TD Chad, TG Togo y cualquier otro Estado que sea Estado miembro de la OAPI y que sea un Estado contratante del PCT (si se desea otra forma de protección o de tramitación, especifíquese en la línea de puntos)

Patente nacional (si se desea otra forma de protección o de tramitación, especifíquese en la línea de puntos) :

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| <input type="checkbox"/> AT Austria | <input type="checkbox"/> MG Madagascar |
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| <input type="checkbox"/> BG Bulgaria | <input type="checkbox"/> MX México |
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| <input type="checkbox"/> BY Belarús | <input type="checkbox"/> NO Noruega |
| <input type="checkbox"/> CA Canadá | <input type="checkbox"/> NZ Nueva Zelanda |
| <input type="checkbox"/> CH y LI Suiza y Liechtenstein | <input type="checkbox"/> PL Polonia |
| <input type="checkbox"/> CN China | <input type="checkbox"/> PT Portugal |
| <input type="checkbox"/> CZ República Checa | <input type="checkbox"/> RO Rumania |
| <input type="checkbox"/> DE Alemania | <input type="checkbox"/> RU Federación Rusa |
| <input type="checkbox"/> DK Dinamarca | <input type="checkbox"/> SD Sudán |
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| <input type="checkbox"/> HU Hungría | <input type="checkbox"/> UA Ucrania |
| <input type="checkbox"/> JP Japón | <input type="checkbox"/> US Estados Unidos de América |
| <input type="checkbox"/> KE Kenya | |
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| | |
| <input type="checkbox"/> KR República de Corea | |
| <input type="checkbox"/> KZ Kazajstán | |
| <input type="checkbox"/> LK Sri Lanka | <input type="checkbox"/> |
| <input type="checkbox"/> LR Liberia | <input type="checkbox"/> |
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Casillas reservadas para designar Estados (a los fines de una patente nacional) que han pasado a formar parte del PCT después de la publicación de la presente hoja:

Además de las designaciones arriba efectuadas, el solicitante efectuará también, en virtud de la Regla 4.9.b), todas las designaciones que estén permitidas con arreglo al PCT, salvo la designación o designaciones de
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