

PCT

PATENT
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NEWSLETTER

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This is the first issue of the *PCT Newsletter*. It is published by the International Bureau of the World Intellectual Property Organization (WIPO) with the aim of providing users of the PCT with up-to-date news about the PCT. It comes in response to requests from many PCT users that information be provided on a regular basis, separately from the *PCT Gazette*. The *PCT Newsletter* will include information which is published in Section IV of the *PCT Gazette* (Notices and Information of a General Character) and will include advance notice of certain changes to the *PCT Applicant's Guide*. The *PCT Newsletter* will also contain subject matter which is not included in those publications; it is intended to be complementary to them rather than a substitute. Some of the main topics which will be covered in the *PCT Newsletter* are:

- Adherence of further States to the PCT and information about States which are considering joining the PCT in the near future.
- Changes relating to PCT receiving Offices, the International Bureau, International Searching and Preliminary Examining Authorities, and national and regional Offices, such as changes in requirements, fees and addresses. Important changes will be reflected in provisional sheets (on pink paper in tear-out format) for the *PCT Applicant's Guide* which can be inserted in the *Guide* until the usual update sheets are published.
- Reports on the outcome of meetings of representatives of PCT Contracting States and PCT Authorities, relating in particular to amendments to the PCT Regulations, PCT Administrative Instruc-

tions, PCT Guidelines and PCT Forms.

- Statistics relating to the filing of PCT applications.

Regular features appearing in the *PCT Newsletter* will include:

- A section containing practical advice for PCT users.
- A list of forthcoming seminars on the PCT.
- A table of the fees which are fixed by the International Bureau in Swiss francs and their equivalent amounts in other currencies, a table of search fees and a table of transmittal fees.
- The current list of PCT Contracting States and an indication of the date of issue of the latest versions of the request and demand forms.

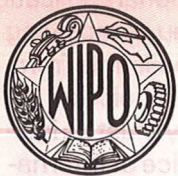
The *PCT Newsletter* will be published at least once a month. The first four issues (from March to June) will be sent free of charge. If, after seeing those issues, you would like to receive the *PCT Newsletter* on a regular basis, you will need to subscribe to it. Details on how to subscribe are set out on the subscription form inserted in this issue.

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Tear-out sheets: provisional sheets for the *PCT Applicant's Guide*, Vol. II, National Chapter Summaries (EP) and (PL) .

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NEW POSSIBILITY OF DIRECT FILING OF PCT APPLICATIONS WITH THE INTERNATIONAL BUREAU OF WIPO AS RECEIVING OFFICE

Since 1 January 1994, it has been possible for any resident or national of a PCT Contracting State to file an international application direct with the International Bureau of WIPO as receiving Office, as an alternative to filing with the competent national or regional Office. This was made possible by the adoption of amendments to the PCT Regulations (see particularly PCT Rule 19.1(a)(iii)) by the Assembly of the PCT Contracting States in September 1993. The text of the amended Rules has been incorporated in the January 1994 edition of the text of the PCT and Regulations (see page 4).

1. How can an international application be filed with the International Bureau as receiving Office?

International applications may be filed direct with the International Bureau as receiving Office by mail or hand-delivery to WIPO headquarters (see address in 8, below), or by fax on condition that the original of the faxed application is furnished within 14 days from the date of the fax transmission (see fax number in 8, below).

A special form for use when filing applications and other documents (Form PCT/RO/198) can be obtained from WIPO on which applicants may fill in details concerning the number of pages and nature of documents filed. These indications will be compared with the pages actually received by the International Bureau. The International Bureau will send to the applicant (either by fax or by mail) a confirmation of receipt of the international application or other document (Form PCT/RO/199), and will indicate, in particular in the case of a fax transmission, whether any pages are missing or illegible.

The two-letter code IB will appear in international application numbers accorded by the International Bureau as receiving Office. (The code WO will continue to be used in all international publication numbers.)

2. What special features have to be taken into account?

It is the responsibility of the applicant or the applicant's agent to comply with any applicable national security provisions before filing an international application with the International Bureau as receiving Office.

In the case of filings by fax, where a difference exists between the time in the country from which the international application is transmitted and the time in Geneva (where the International Bureau is located), the operative date is the date in Geneva at the time of receipt of the international application.

3. What are the admitted languages?

It is possible to file an international application with the International Bureau in any of the seven publication languages under the PCT, namely, Chinese, English, French, German, Japanese, Russian or Spanish. In any particular case, however, the choice of language from among those seven must be made having regard to the language(s) accepted by the International Searching Authority or Authorities competent for the searching of the international application in question (see 4, below). In any event, correspondence between the applicant and the International Bureau as receiving Office must be in English or French, at the applicant's option.

4. Which are the competent International Searching Authorities and International Preliminary Examining Authorities?

The competent Authorities are the same as would have been competent if the international application had been filed with the national Office of, or acting for, a Contracting State of which the applicant is a resident or national (see PCT Rules 35.3 and 59.1(b)). This may result in a wider choice of Authorities for international applications filed with the International Bureau as receiving Office if there are two or more applicants from different Contracting States. The choice of International Searching Authority must be indicated in the request and the choice of International Preliminary Examining Authority must be indicated in the demand. Information as to which Authorities are competent for residents and nationals of the various Contracting States is published in the *PCT Gazette* and in the *PCT Applicant's Guide*, Volume I, Annex C.

5. Who can act as agent?

Any person who has the right to practice before the national Office of, or acting for, a Contracting State of which the applicant, or, if there are two or more applicants, any of the applicants, is a resident or national is entitled to practice in respect of the international application before the International Bureau in its capacity as receiving Office (see PCT Rule 83.1^{bis}).

6. What fees are payable?

Fees may be paid in Swiss francs or US dollars. The amounts payable are shown below:

	Swiss francs	US dollars
Transmittal fee	300	200
Basic fee	762	530
Supplement per sheet		
over 30	15	10
Designation fee	185	128
Search fee	Depends on Authority chosen - see page 7	

7. What modes of payment of fees are available?

Fees may be paid in any of the following ways:

- by debit from a current account established with WIPO (Swiss francs only);
- by bank transfer to WIPO bank account No. 487080-81 at the Crédit Suisse, CP 2153, 1211 Geneva 2, Switzerland (Swiss francs or US dollars);
- by transfer to WIPO postal account No. 12-5000-8, Geneva, Switzerland (Swiss francs only);
- by check made payable to the World Intellectual Property Organization (Swiss francs or US dollars);
- in cash (only if payment is made in person; in Swiss francs only).

8. Which address should be used for filing international applications with the International Bureau?

The following address should be used for the filing of international applications:

International Bureau of WIPO
PCT Receiving Office Section
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Fax:(41-22) 910 06 10

In the case of filings by hand-delivery, the PCT Receiving Office Section is open for that purpose from 9.00 am to 11.00 am and from 2.15 pm to 4.15 pm. A letter box is also available seven days a week.

For telephone enquiries, please use the following numbers:

(41-22) 730 93 52 (Receiving Office Section)
or (41-22) 730 91 11 (switchboard)

PCT INFORMATION UPDATE

Poland (translations): see pink tear-out provisional sheet for *PCT Applicant's Guide*, Volume II, National Chapter Summary (PL).

Poland has withdrawn its declaration under Article 64(2)(a) of the PCT concerning the time limit for furnishing a translation of an international application into Polish to the Polish Patent Office as an elected Office. On 1 March 1994, Poland became bound by the provisions of Chapter II of the PCT, without any reservations.

From 1 March 1994, for an international application in relation to which Poland was, prior to the expiration of the 19th month from the priority date, elected in a demand for international preliminary examination or in a later election, the time limit for furnishing a translation of the international application into Polish to the Polish Patent Office as elected Office is 30 months from the priority date.

This 30-month time limit also applies to international applications filed before 1 March 1994, provided that, on that date, 20 months from the priority date had not expired.

European Patent Office (translations; fees): see pink tear-out provisional sheet for *PCT Applicant's Guide*, Volume II, National Chapter Summary (EP).

The section dealing with the required contents of the translation of the international application for the entry into the national phase has been clarified and a footnote concerning the furnishing of a translation of claims made under PCT Article 19 has been added. In addition, the fee for extending a European patent to Slovenia has been included (see page 4).

Finland (fees)

As from 1 April 1994, the new amount of the search fee, in Finnish Markka (FIM), for an international search by the European Patent Office will be FIM 8,200.

Italy, Norway and Spain (fees)

There will be a change in the PCT fees payable in Lira (ITL), as from 6 March 1994, and in Norwegian Kroner (NOK) and Peseta (ESP), as from 18 April 1994. Please refer to the table of fees on page 6.

NEW PCT CONTRACTING STATES**Trinidad and Tobago (country code: TT)**

Trinidad and Tobago deposited its instrument of accession to the PCT on 10 December 1993, and will become bound by the PCT on 10 March 1994. Therefore, in any international application filed on or after 10 March 1994, Trinidad and Tobago may be designated and, because it is bound by Chapter II of the PCT, may also be elected. Furthermore, as from 10 March 1994, nationals and residents of Trinidad and Tobago will be entitled to file international applications under the PCT.

Georgia (country code: GE)**Kyrgyzstan (KG)****Republic of Moldova (MD)****Tajikistan (TJ)**

Georgia (on 18 January 1994), and Kyrgyzstan, the Republic of Moldova and Tajikistan (on 14 February 1994) have deposited declarations of continuation of the PCT as successor States to the former Soviet Union. Consequently, in any international application filed on or after 18 January 1994, Georgia may be designated and, because it is bound by Chapter II of the PCT, may also be elected. In any international application filed on or after 14 February 1994, Kyrgyzstan, the Republic of Moldova and/or Tajikistan may be designated, and because they are bound by Chapter II of the PCT, may also be elected. Furthermore, as from 18 January 1994, nationals and residents of Georgia are entitled to file international applications under the PCT, and, as from 14 February 1994, nationals and residents of Kyrgyzstan, the Republic of Moldova and Tajikistan are entitled to file international applications under the PCT.

Applicants are, under the PCT Regulations, afforded the possibility of requesting the extension of the effects of any international application filed between 25 December 1991 and 18 March 1994 to Georgia, and of requesting the extension of the effects of any international application filed between 25 December 1991 and 14 April 1994 to Kyrgyzstan, the Republic of Moldova and/or Tajikistan (see PCT Rule 32).

The applicant in respect of each international application concerned, or his agent, will receive a notification from the International Bureau informing him about the possibility and the applicable conditions for extending the effects of his international application to Georgia, Kyrgyzstan, the Republic of Moldova and/or Tajikistan.

Slovenia (country code: SI)

On 1 March 1994, Slovenia became bound by the PCT. Consequently, in any international application filed on or after 1 March 1994, Slovenia may be designated and, because it is bound by Chapter II of the PCT, may also be elected. Furthermore, as from 1 March 1994, nationals and residents of Slovenia are entitled to file international applications under the PCT.

Entering the national phase before the Slovenian Patent Office: the national law of Slovenia provides that, if an international application designating Slovenia **also** contains a designation for a European patent, there are two options available to the applicant for entering the national phase:

- (a) enter the national phase before the Slovenian Patent Office according to PCT Article 22(1) or Article 39(1) by paying the national fees and furnishing a translation of the international application into Slovenian; or,
- (b) enter the regional phase before the European Patent Office (EPO) and, within the time limit by which the European designation fees must be paid at the EPO, request the extension of the European patent to Slovenia and pay to the EPO a fee for the extension of the international application to Slovenia.

If the applicant chooses option (b), the EPO will transmit the resulting European patent, if granted, to the Slovenian Patent Office and that patent will have the effect of a national patent granted by the Slovenian Patent Office, provided that, within three months from the publication of the patent, a translation of the claims into Slovenian is filed with the Slovenian Patent Office. Renewal fees for the extended European patent must be paid to the Slovenian Patent Office for the years following the year in which the mention of the grant of the European patent was published by the EPO.

UPDATED PCT TEXT

On 1 January 1994, a number of changes to the PCT Regulations entered into force. The International Bureau has therefore published new editions of the English and French texts of the PCT and the Regulations, which can be ordered from the Publications Sales and Distribution Unit at WIPO (see address on the cover page). The WIPO publication number should be indicated in orders for the text: No. 274 (E) for the English version and No. 274 (F) for the French version. New editions in other languages are under preparation.

PRACTICAL ADVICE

International publication

Q: What is the due date for the international publication of an international application? When are the technical preparations for international publication completed?

A: Provided that a request for early publication has not been made (PCT Article 21(2)(b)) or unless the only State which has been designated is the United States of America (which is the only State which has made a declaration under PCT Article 64(3)(a)), the publication of an international application takes place **as soon as possible after the expiration of 18 months, and not later than 19 months, from the priority date** (or from the international filing date where there is no priority claim) (PCT Article 21(2)(a)).

International publication in the form of the PCT pamphlets (containing the complete international application, the international search report and any amendments of the claims under PCT Article 19) and the *PCT Gazette* (containing the abstract, a drawing (if any) and bibliographical data of all international applications published on that day) generally takes place every second Thursday. If that Thursday is a day on which the International Bureau is closed for an official holiday (see list below), the date of publication is usually moved by one day. The next official holiday in 1994 which falls on a Thursday which would normally be a publication day is 12 May 1994. The publication date in this case will be Wednesday, 11 May 1994.

The technical preparations for international publication are normally completed **15 days before the actual publication date**. For example, if the publication date is 28 April 1994, the technical preparations will be completed on 13 April 1994. Consequently, any document (for example, withdrawal of the international application, a designation or a priority claim, change of name or address, or amendment of the claims under PCT Article 19) that reaches the International Bureau **before** 13 April 1994 will be taken into account for international publication.

For specific enquiries concerning international publication, please contact the PCT Publications Section of the International Bureau.

Amendments under PCT Article 19

Q: May amendments under PCT Article 19 still be filed if the international search report is trans-

mitted late and international publication has already taken place or the technical preparations for international publication are completed?

A: Yes. PCT Article 19 amendments can still be filed where the time limit of two months from the date of transmittal of the international search report (PCT Rule 46.1) has not yet expired. As long as the amendments are filed with the International Bureau within that time limit, there will be a later publication of the amended claims together with an updated version of the front page of the pamphlet. If, at the time of the completion of the technical preparations for international publication, the time limit for amending the claims under Article 19 has not expired, the pamphlet will contain an indication that the time limit under Article 19 had not expired at the time of the publication and that, in the event that amendments are filed in time, there will be a further publication. That indication is mainly for the information of third parties who can then watch the *PCT Gazette* for any subsequent publication.

Time limit for filing demands

Q: Can the time limit of 19 months from the priority date for filing a demand for international preliminary examination in order to delay national processing be extended in the case of late transmittal of the international search report (ISR)?

A: No. The PCT does not provide for extension of the 19-month time limit in the case of late transmittal of the ISR. The applicant must file the demand before the expiration of that time limit in order to defer the date for entry into the national phase of processing, even if the ISR has not been issued. Examination by the International Preliminary Examining Authority (IPEA) will not begin, however, until the IPEA is in possession of the ISR.

NON-WORKING DAYS AT THE INTERNATIONAL BUREAU

For the purpose of computing time limits under PCT Rule 80.5, the days on which the International Bureau is not open for business are, for the period from 1 February 1994 to 1 February 1995, the following:

All Saturdays and Sundays, and	
1 April 1994	26 December 1994
4 April 1994	27 December 1994
12 May 1994	30 December 1994
23 May 1994	2 January 1995
8 September 1994	

FEES SET IN SWISS FRANCS AND THEIR EQUIVALENT AMOUNTS
(as at 1 March 1994, unless otherwise indicated)

Currency	Basic Fee	Supplement per sheet over 30	Designation fee	Confirmation fee (per designation)	Handling fee
CHF	762	15	185	92.50	233
ATS	6,220	122	1,510	755	1,902
AUD <i>(from 07.04.94)</i>	835 <i>(748)</i>	16 <i>(15)</i>	203 <i>(182)</i>	101.50 <i>(91)</i>	255 <i>(229)</i>
BEF	18,208	358	4,421	2,210.50	5,568
CAD	696	14	168	84	—
DEM	883	17	214	107	270
DKK	3,440	70	840	420	1,050
ESP <i>(from 18.04.94)</i>	66,000 <i>(76,300)</i>	1,300 <i>(1,500)</i>	16,000 <i>(18,500)</i>	8,000 <i>(9,250)</i>	—
FIM	3,200	65	780	390	—
FRF	3,010	60	730	365	920
GBP	352	7	85	42.50	108
GRD	131,000	3,000	32,000	16,000	—
IEP	357	7	87	43.50	109
ITL <i>(from 06.03.94)</i>	760,000 <i>(884,000)</i>	15,000 <i>(17,000)</i>	185,000 <i>(215,000)</i>	92,500 <i>(107,500)</i>	230,000 <i>(270,000)</i>
JPY	59,000	1,000	14,000	7,000	18,000
KRW	427,000	8,000	103,000	51,500	—
LUF	18,208	358	4,421	2,210.50	5,568
MWK	2,350	46	570	285	—
NLG	1,000	20	240	120	305
NOK <i>(from 18.04.94)</i>	3,500 <i>(3,940)</i>	70 <i>(80)</i>	850 <i>(960)</i>	425 <i>(480)</i>	—
NZD	976	19	237	118.50	—
PTE	89,000	1,800	21,700	10,850	27,000
SEK	4,355	85	1,060	530	1,330
USD	530	10	128	64	162

This table shows the applicable equivalent amounts, fixed by the International Bureau, of the PCT fees set out in the Schedule of Fees annexed to the PCT Regulations. Please note that the amounts, other than those in Swiss francs, are subject to periodical changes due to fluctuations in exchange rates.

Key to currency abbreviations for all fee tables:

ATS	Schilling	DKK	Danish Krone	KPW	Won	ROL	Leu
AUD	Australian Dollar	ESP	Peseta	KRW	Won	RUR	Rouble
BEF	Belgian Franc	FIM	Markka	LUF	Luxembourg Franc	SDP	Sudanese Pound
BGL	Lev	FRF	French Franc	LVL	Lat	SEK	Swedish Krona
BRC	Cruzeiro Real	GBP	Pound Sterling	MWK	Kwacha	SKK	Slovak Koruna
CAD	Canadian Dollar	GRD	Drachma	NLG	Guilder	UAK	Karbovanets
CHF	Swiss Franc	HUF	Forint	NOK	Norwegian Krone	USD	US Dollar
CNY	Yuan Renminbi	IEP	Punt	NZD	New Zealand Dollar		
CZK	Koruna	ITL	Lira	PLZ	Zloty		
DEM	Mark	JPY	Yen	PTE	Escudo		

SEARCH FEES
(as at 1 March 1994)

ISA ¹	Search fee ²									
AT	ATS	2,200	CHF	285	KRW	161,000	USD	198		
AU	AUD	750	CHF	772	KRW	439,000	NZD	945	USD	537
CN	CNY	800	CHF	210	USD	146				
EP ³	DEM	2,400	DKK	9,600	GRD	347,800	MWK	6,400	SEK	11,590
	ATS	17,140	ESP	203,400	IEP	1,017	NLG	2,740	USD	1,415
	BEF	51,600	FIM	8,700 ⁴	ITL	2,308,000	NOK	10,300		
	CAD	1,870	FRF	8,480	JPY	149,000	NZD	2,557		
	CHF	2,160	GBP	960	LUF	51,600	PTE	255,300		
ES	ESP	50,100	CHF	537	USD	373				
JP	JPY	66,000	KRW	543,000	CHF	900	USD	626		
RU	RUR	53,000	CHF	288	USD	200				
SE	SEK	2,600 ⁵	3,600 ⁶	4,200 ⁷	FIM	1,875 ⁵	2,600 ⁶	3,030 ⁷		
	CHF	460 ⁵	635 ⁶	740 ⁷	NOK	2,290 ⁵	3,170 ⁶	3,695 ⁷		
	DKK	2,115 ⁵	2,930 ⁶	3,420 ⁷	USD	320 ⁵	442 ⁶	515 ⁷		
US	USD	620 (410 ⁸)			CHF	890 (590 ⁸)				

TRANSMITTAL FEES
(as at 1 March 1994)

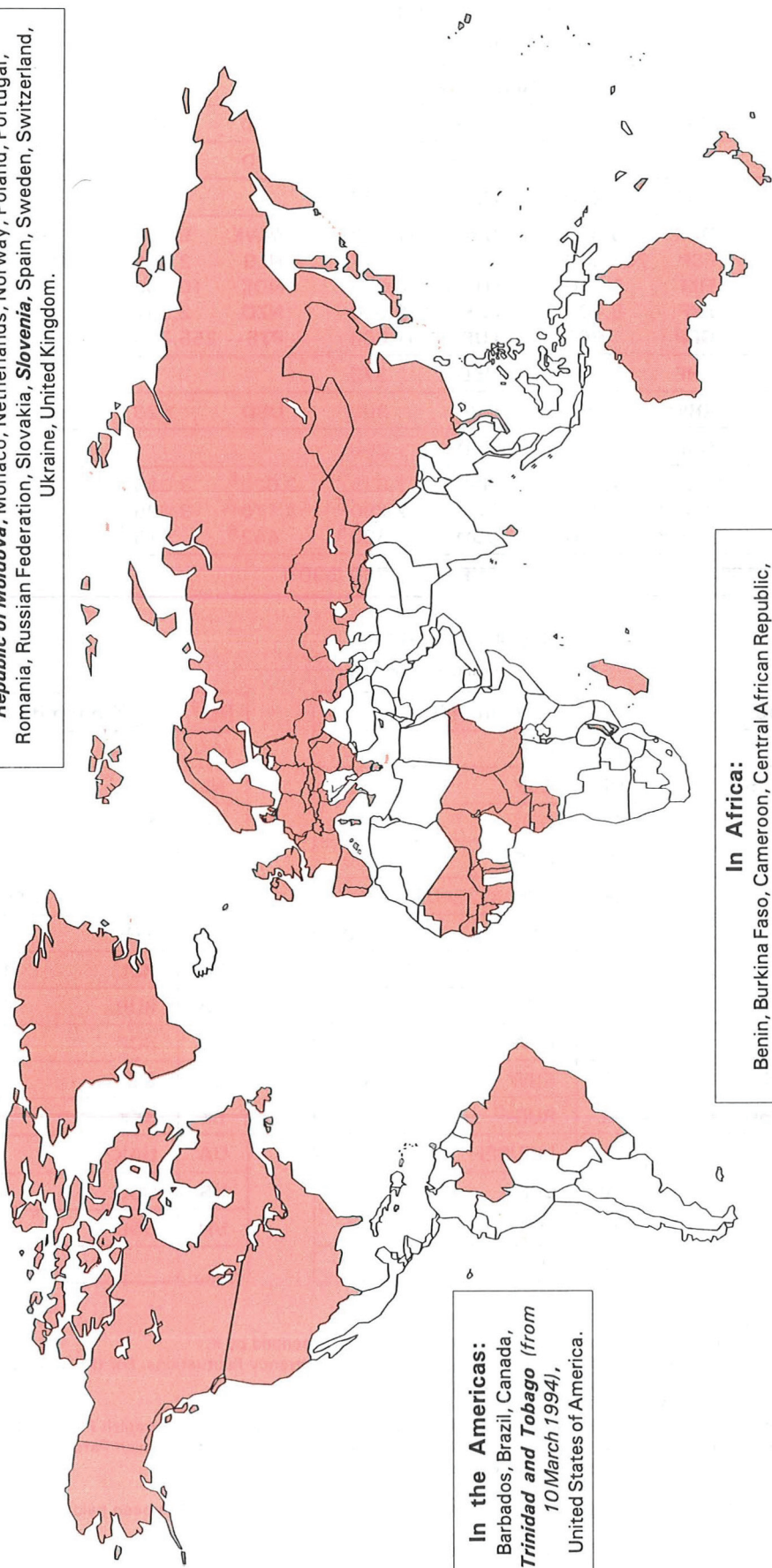
RO ⁹	Transmittal fee	
AT	ATS	700
AU	AUD	55
BE	BEF	1,500
BG	BGL	600
BR	BRC	21,670
BY	RUR ¹⁰	—
CA	CAD	200
CH	CHF	100
CN	CNY	500
CZ	CZK	1,200
DE	DEM	150
DK	DKK	1,500
EP ¹¹	DEM	200
ES	None	
FI	FIM	700

RO ⁹	Transmittal fee	
FR	FRF	400
GB	GBP	55
GR	GRD	23,500
HU	HUF	1,000
IB	CHF 300 or USD 200	
IE	IEP	60
IT	ITL	60,000
JP	JPY	16,000
KP	KPW	50
KR	KRW	40,000
KZ	RUR ¹⁰	—
LU	LUF/BEF	1,000
LV	LVL	40
MC	FRF	200
MN	None	

RO ⁹	Transmittal fee	
MW	MWK	8
NL	NLG	110
NO	NOK	500
NZ	NZD	155
PL	PLZ	1,500,000
PT	PTE	3,000
RO	ROL	10,000
RU	RUR	13,000
SD	SDP	50
SE	SEK	600
SK	SKK	1,200
UA	UAK ¹⁰	—
US	USD	200
VN	USD	50

- 1 International Searching Authority.
- 2 This fee is payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 3 The equivalent amounts in currencies other than DEM are subject to change, due to currency fluctuations. For the current amount, reference should be made to the latest issue of the *Official Journal* of the EPO.
- 4 This amount will be FIM 8,200 from 01.04.94.
- 5 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Swedish Patent Office.
- 6 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Danish Patent Office, the National Board of Patents and Registration (Finland) or the Norwegian Patent Office.
- 7 In all cases where 4 and 5 do not apply.
- 8 Payable when a corresponding prior US national application has been filed and the basic national fee has been paid.
- 9 Receiving Office.
- 10 The amounts are not yet known. They will be fixed in the near future and will be subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 11 Payments can also be made in ATS, BEF, CHF, DKK, ESP, FRF, GBP, FRD, IEP, ITL, LUF, NLF, PTE or SEK. For the amounts in the said currencies, reference should be made to the latest issue of the *Official Journal* of the EPO.

PCT CONTRACTING STATES (67) (information on 1 March 1994)



In Europe:
 Austria, Belarus, Belgium, Bulgaria, Czech Republic, Denmark, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Liechtenstein, Luxembourg, Republic of Moldova, Monaco, Netherlands, Norway, Poland, Portugal, Romania, Russian Federation, Slovakia, Slovenia, Spain, Sweden, Switzerland, Ukraine, United Kingdom.

In the Americas:
 Barbados, Brazil, Canada, Trinidad and Tobago (from 10 March 1994), United States of America.

In Africa:
 Benin, Burkina Faso, Cameroon, Central African Republic, Chad, Congo, Côte d'Ivoire, Gabon, Guinea, Madagascar, Malawi, Mali, Mauritania, Niger, Senegal, Sudan, Togo.

In Asia and the Pacific:
 Australia, China, Democratic People's Republic of Korea, Georgia, Japan, Kazakhstan, Kyrgyzstan, Mongolia, New Zealand, Republic of Korea, Sri Lanka, Tajikistan, Uzbekistan, Viet Nam.

Note about request (Form PCT/RO/101) and demand (Form PCT/IPEA/101)
 When filing an international application, to avoid any unintentional omission of designations, applicants should use the current version of the request form; the latest version was issued in January 1994 and can be obtained from receiving Offices or the International Bureau. Please note that, since that version was issued, the States indicated in *bold italics* have joined the PCT. Applicants can contact their receiving Office to find out whether any further States have adhered to the PCT after the publication of this Newsletter. The latest version of the demand form was printed in January 1994 and can be obtained from International Preliminary Examining Authorities or the International Bureau. The latest versions of the request and demand forms are also reproduced in Annexes X and Y of the *PCT Applicant's Guide*, Volume I, as updated to January 1994.

SUMMARY**Designated
(or elected) Office****SUMMARY****EP****EUROPEAN PATENT OFFICE (EPO)****EP****Summary of requirements for entry into the national phase¹**

Time limits applicable for the entry into the national phase:	Under PCT Article 22: 21 months from the priority date Under PCT Article 39(1): 31 months from the priority date
Translation of international application required into:	English, French or German
Required contents of the translation for the entry into the national phase:	Under PCT Article 22: Description, claims (if amended, both as originally filed and as amended, if the applicant wishes the amendments to form the basis for the proceedings, together with any statement under PCT Article 19) ² , any text matter of drawings, abstract Under PCT Article 39(1): Description, claims, any text matter of drawings (if any of those parts amended, both as originally filed and as amended by the annexes to the international preliminary examination report and claims amended under PCT Article 19, if the applicant wishes these amendments to form the basis for the proceedings, together with any statement under PCT Article 19), abstract
Is a copy of the international application required?	No
National fee:	Currency: Deutsche Mark (DEM) ³ National fee, ⁴ comprising – basic national fee: DEM 600 – designation fee for each EPO Contracting State designated and for the joint designation of Switzerland and Liechtenstein: DEM 350 – claims fee for the 11th and each subsequent claim: DEM 80 Search fee: ⁴ DEM 1,900 Surcharge for late payment of the national fee, a designation fee or the search fee: 50% of the relevant fee, but not to exceed a total of: DEM 1,400 Fee for extension of the European patent to Slovenia (Slovenia must have been designated in the international application) ⁴ DEM 200

[continued on next page]

¹ See also the "Information for PCT Applicants" in Supplement No.1 to OJ EPO 12/1992, pages 1 to 15 concerning the EPO as designated Office and pages 16 to 32 concerning the EPO as elected Office, and amendments in OJ EPO 1-2/1994, pgs. 131 to 136.

² Where the applicant furnishes only one translation, either of the claims as filed or as amended, the claims which have not been translated will be disregarded; no invitation to furnish the missing translation will generally be issued (Rule 49.5(c^{bis})).

³ Payments can also be made in the following currencies: Austrian Schilling, Belgian Franc, Danish Krone, French Franc, Greek Drachma, Irish Pound, Lira, Luxembourg Franc, Netherlands Guilder, Portuguese Escudo, Pound Sterling, Peseta, Swedish Krona, Swiss Franc. For the equivalent amounts fixed by the EPO in those currencies, reference should be made to the latest issue of the OJ EPO.

⁴ Must be paid within 21 months or 31 months from the priority date depending on whether PCT Article 22 or 39(1) applies.

SUMMARY**Designated
(or elected) Office****SUMMARY****EP****EUROPEAN PATENT OFFICE (EPO)****EP**

[continued]

National fee (cont'd):	Examination fee: ⁵	DEM 2,800
	Surcharge for late filing of the request for examination:	DEM 1,400
	Renewal fee for the third year: ⁶	DEM 750

Exemptions, reductions or refunds of the national fee:

No search fee is payable where the international search report has been established by the EPO, the Austrian Patent Office or the Swedish Patent Office

The search fee is reduced by 20% where the international search report has been established by the Australian Patent Office, the Chinese Patent Office, the Japanese Patent Office, the Russian Patent Office or the United States Patent and Trademark Office

The examination fee is reduced by 50% where an international preliminary examination report has been established by the EPO

Furthermore, in certain cases the examination fee is reduced by 20% for language reasons (see paragraph EP.15 of the National Chapter EP)

Special requirements of the Office (PCT Rule 51^{bis}):

The translation and the amendments to the international application must be filed in triplicate (this applies particularly to the amendments annexed to the international preliminary examination report if the applicant wishes them to be taken into consideration for the European procedure)⁷

Name and address of the inventor if they have not been furnished in the "Request" part of the international application⁷

Where applicable, translation of priority document into English, French or German⁷

Appointment of an agent if applicant has neither a residence nor his principal place of business within the territory of one of the Contracting States of the European Patent Convention⁷

Filing, where applicable, of nucleotide and/or amino acid sequence listings on an electronic data carrier (diskette), as prescribed, including the required statements (see OJ EPO 12/1992, Supplement No. 2)⁷

Who can act as agent?

Any professional representative entered on the relevant list maintained by the EPO (the directory of professional representatives is available free of charge from the EPO, Munich)

Any legal practitioner to the extent he is entitled to practice in patent matters in one of the Contracting States of the European Patent Convention and who has his place of business in that State

⁵ A written request for examination must be made and the examination fee must be paid within six months after the publication by the International Bureau of the international search report or within the time limit applicable under PCT Article 22 or 39(1) (21 months or 31 months from the priority date), whichever expires later.

⁶ This fee is due on the last day of the month containing the second anniversary (24 months) of the international filing date; where PCT Article 39(1) applies, it is due within 31 months from the priority date if that 31-month time limit expires later.

⁷ If not already complied with within the time limit applicable under PCT Article 22 or 39(1) (21 months or 31 months from the priority date), the Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation.

SUMMARY**Designated
(or elected) Office****SUMMARY****PL****POLISH PATENT OFFICE****PL****Summary of requirements for entry into the national phase**

Time limits applicable for the entry into the national phase:	Under PCT Article 22: 20 months from the priority date Under PCT Article 39(1): 30 months from the priority date
Translation of international application required into:	Polish
Required contents of the translation for the entry into the national phase:	Under PCT Article 22: Description, claims (if amended, both as originally filed and as amended, together with any statement under PCT Article 19), any text matter of drawings, abstract Under PCT Article 39(1): Description, claims, any text matter of drawings, abstract (if any of those parts amended, both as originally filed and as amended by the annexes to the international preliminary examination report)
Is a copy of the international application required?	No
National fee:	Currency: Zloty (PLZ) For patent or utility model: – where international preliminary examination has been carried out: PLZ 1,000,000 – where no international preliminary examination has been carried out: PLZ 2,000,000 Fee for priority claims, per priority: PLZ 200,000
Exemptions, reductions or refunds of the national fee:	None
Special requirements of the Office (PCT Rule 51 ^{bis}): ¹	Statement justifying the applicant's right to the patent if he is not the inventor Statement justifying the applicant's priority right where the applicants are not identical Appointment of an agent if applicant is not resident in Poland Translation of the priority document into Polish if it is not in English, French, German or Russian Translation of the international application to be furnished in three copies
Who can act as agent?	Any patent attorney resident in Poland

¹ If not already complied with within the time limit applicable under PCT Article 22 or 39(1), the Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation.



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WIPO Publication No. 115 (E)

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NEWSLETTER

APRIL 1994

No. 02/1994

NEW PCT CONTRACTING STATES

Kenya (country code: KE)

Kenya deposited its instrument of accession to the PCT on 8 March 1994, and will become bound by the PCT on 8 June 1994. Therefore, in any international application filed on or after 8 June 1994, Kenya may be designated and, because it is bound by Chapter II of the PCT, may also be elected. Furthermore, as from 8 June 1994, nationals and residents of Kenya will be entitled to file international applications under the PCT.

Lithuania (country code: LT)

Lithuania deposited its instrument of accession to the PCT on 5 April 1994, and will become bound by the PCT on 5 July 1994. Therefore, in any international application filed on or after 5 July 1994, Lithuania may be designated and, because it is bound by Chapter II of the PCT, may also be elected. Furthermore, as from 5 July 1994, nationals and residents of Lithuania will be entitled to file international applications under the PCT.

PROVISIONAL SHEETS FOR PCT APPLICANT'S GUIDE

Letter to the Editor

Please could you confirm whether, in subscribing to the PCT Newsletter, I would be receiving the same information as with the Updating Services of the PCT Applicant's Guide.

Reply:

No. The *PCT Newsletter* will include only some provisional sheets reflecting changes or

additions to the *PCT Applicant's Guide* which are important and of interest to a large number of PCT users. All other changes to the *PCT Applicant's Guide* will only be published in the sets of updating sheets which are issued twice a year. The *PCT Newsletter* will, however, contain information on PCT matters (such as the practical advice section) which is not published in the *PCT Applicant's Guide* or *PCT Gazette*. Therefore, the *PCT Newsletter* is considered as an additional PCT publication rather than as a substitute for the *PCT Applicant's Guide*.

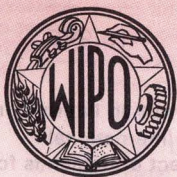
PCT NEWSLETTER SUBSCRIPTIONS

Inserted in this issue is a subscription form for the *PCT Newsletter*. Note that it is no longer necessary, in order to be entitled to the reduced price for subscriptions to multiple copies, that those copies be mailed in the same envelope.

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Tear-out sheets: provisional sheets for the *PCT Applicant's Guide*, Vol. I, Annex A and Annex B1 (SI)
Loose-leaf sheet: *PCT Newsletter* subscription form



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1993 PCT STATISTICS

The growth in the number of international applications filed under the PCT continued in 1993. The International Bureau of WIPO received 28,577 international applications filed with PCT receiving Offices worldwide, which represented an increase over 1992 of 10.26%. These 28,577 international applications had the effect of 354,441 national applications and of 35,850 regional patent applications, which in turn had the effect of 544,645 applications for patent protection in the member States of the regional patent systems; that is, a total of almost 900,000 applications.

Figure 1 shows the steep increase in the filing of international applications since 1985.

Table 1 shows the top ten countries of origin of international applications which were filed

in 1993. (Note that 12% of all international applications filed in 1993 were filed with the European Patent Office (EPO); they are included in the figures concerning the member State of the EPO of which the applicant is a national or a resident.)

The number of international applications transmitted to each International Searching Authority (ISA) and the number of demands filed with each International Preliminary Examining Authority (IPEA) in 1993 are shown in Table 2.

The number of demands for international preliminary examination in 1993 amounted to 19,995, which represents an increase over 1992 of 32.85%. The numbers of demands filed in each calendar year since 1985 are shown in Figure 2.

Figure 1: International applications received since 1985

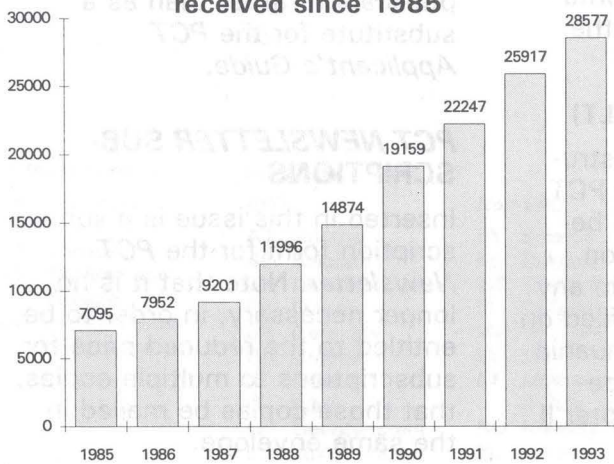


Table 2: International search and preliminary examination

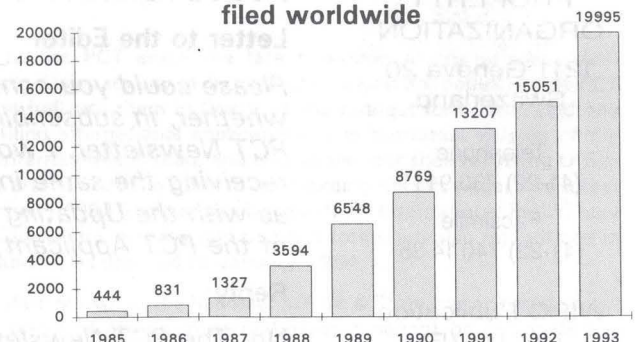
Authority (ISA and/or IPEA)	No. of international applications sent to each ISA	No. of demands filed with each IPEA
AT	210	92
AU	777	612
EP	15,409	8,644
GB*	—	1,672
JP	1,811	484
RU	286	63
SE	2,236	1,363
US	7,848	7,065
Total	28,577	19,995

Table 1: Filings by country of origin

Country of origin	No. of applications filed	% of applications filed
US	12,535	43.86
DE	3,459	12.10
GB	2,813	9.84
JP	1,916	6.70
FR	1,517	5.31
SE	1,098	3.84
AU	664	2.32
FI	568	1.99
CA	553	1.94
CH (incl. LI)	531	1.86
Other PCT States (47 at the end of 1993)	2,923	10.24
Total	28,577	100.00

* The United Kingdom Patent Office is not an International Searching Authority. It ceased to be an International Preliminary Examining Authority in respect of demands for international preliminary examination made on or after 1 June 1993.

Figure 2: Number of demands filed worldwide



JAPAN: AMENDMENTS TO THE UTILITY MODEL LAW

Amendments to the Japanese Utility Model Law entered into force on 1 January 1994. There are two different procedures for utility model applications and conversions to and from utility model applications in the national

phase before the Japanese Patent Office, depending on whether the filing date of the international application is before or after 1 January 1994. Those differences are described below:

	International applications filed before 1 January 1994	International applications filed after 1 January 1994
Request for utility model	The request for a utility model must be made in the international application (in Box V of the request) when the application is filed, except in the case of a request for a conversion (see below).	
Drawings	Where the international application does not contain drawings, the applicant must furnish the drawing(s) within the time limit applicable under PCT Article 22 or 39(1)(a), that is, 20 or 30 months from the priority date. Where the applicant does not furnish the drawings within that time limit, the Office will invite him to furnish the drawing(s) within a time limit fixed in the invitation. Where an international application for a patent is converted into a utility model application (see below), the drawing(s) must be submitted with the request for conversion.	
National phase requirements	The requirements for the national phase and procedures thereafter are basically the same as for patents, except that: (i) the fees for utility models must be paid; and, (ii) examination must be requested within four years from the international filing date.	(i) the fees for utility models and registration fees from the first to third years must be paid instead of the fees for patents; and, (ii) a request for examination is not required. Utility models are registered without any substantive examination thereof in the national phase.
Fees	National filing fee for utility models: JPY 17,000.	National filing fee for utility models: JPY 14,000.
Amendments	In addition to amendments under PCT Articles 19 and 34, amendments under PCT Article 28 or 41 may be made to an international application for a utility model: (i) at the time the applicant makes a request for examination; or, (ii) within three months from the receipt of the notification of the filing of a request for examination by a third party; or, (iii) within the time limit fixed in a notification from the Office of reasons for refusal.	within one month from the fulfillment of the requirements under PCT Article 22 or 39(1)(a). However, if the communication under PCT Rule 47.1 (or the transmittal under PCT Article 36(1)) has not been effected by the expiration of the time limit applicable under PCT Article 22 or 39(1)(a), the applicant may make amendments within four months from the expiration of that time limit.
Conversion from patent to utility model	An international application for a patent may be converted into a utility model application after the applicant has complied with the requirements for entry into the national phase for a patent application. The conversion is subject to the payment of a fee for conversion and may be requested up to the occurrence of the first of the following events: (i) the expiration of 30 days from the transmittal of the first decision of the Office that the patent application will be refused; (ii) the expiration of four years from the international filing date; however, if the time limit referred to in (i) above expires after that four-year period, the conversion is still possible during the above 30-day period.	(ii) the expiration of five years and six months from the international filing date.
Conversion from utility model to patent	An international application for a utility model may be converted into a patent application after the applicant has complied with the requirements for entry into the national phase for a utility model application. The conversion is subject to the payment of a fee for conversion and may be requested up to the occurrence of the first of the following events: (i) the expiration of 30 days from the transmittal of the first decision of the Office that the utility model application will be refused; (ii) the expiration of seven years from the international filing date; however, if the time limit referred to in (i) above expires after that seven-year period, the conversion is still possible during the above 30-day period.	(ii) a request for conversion may be filed at any time prior to the registration.
Term of protection	The term of protection is ten years from the date of publication of the utility model application, provided that such term shall not exceed 15 years from the filing date of the utility model application.	The term of protection is six years from the filing date of the international application for a utility model registration.

PRACTICAL ADVICE

This practical advice section features general questions which have been put to the staff of the PCT Legal Division and the PCT Administration Department of the International Bureau, and the answers which were given.

International applications filed with the International Bureau as receiving Office — (1) where to file demands; (2) who has the right to practice before the International Bureau as receiving Office

Q: I am the agent, based in the United Kingdom, for a corporate applicant with United States residence and nationality, and an applicant/inventor with Swiss residence and nationality. Before the International Bureau became a receiving Office for applicants from all PCT Contracting States, the international application would have had to be filed with the United States receiving Office, in order to have the option to file a demand for international preliminary examination.

(1) Is it now possible to file the international application with the International Bureau as receiving Office and choose the European Patent Office (EPO) as International Searching Authority (ISA) and later on as International Preliminary Examining Authority (IPEA) when a demand is filed?

(2) Am I, as an agent qualified to practice in the United Kingdom, entitled to represent the United States and Swiss applicants before the International Bureau as receiving Office?

A: (1) Prior to 1 January 1994, you could have benefited from Chapter II and would have been able to validly file a demand for international preliminary examination (see the second condition set in PCT Rule 54.2(ii)) only if the international application had been filed with the United States receiving Office (that is, the receiving Office of a Contracting State (US) bound by PCT Chapter II). If the international application had been filed either with the Swiss Patent Office (Switzerland is not bound by Chapter II of the PCT) or the EPO (which would in those circumstances have been acting as receiving Office for Switzerland), it would not have been possible to have access to Chapter II since the receiving Office would not have been an Office of or acting for a Contracting State bound by Chapter II. Since 1 January 1994, however, it has been possible for applicants from all PCT Contracting States to file international applications with the International Bureau as receiving Office. This gives you the option to choose the EPO

as ISA and IPEA since the applicants could have filed the application with the United States receiving Office (because of the United States applicant), and to have access to the EPO as IPEA (provided the EPO has been chosen as ISA) since one of the applicants (the United States applicant) would have been entitled to file a demand for international preliminary examination.

(2) Because of the Swiss applicant, the international application could have been filed with the EPO as receiving Office. Therefore, if you are entitled to practice before the EPO, according to new PCT Rule 83.1^{bis}, you are entitled to represent the Swiss and the US applicants before the International Bureau as receiving Office.

PCT INFORMATION UPDATE

European Patent Office (fees)

As from 3 May 1994, there will be changes in the international search and preliminary examination fees payable in Belgian and Luxembourg Francs (BEF/LUF), Drachma (GRD) (search fee only), Lira (ITL), and Swiss Francs (CHF). The new fees are shown in Tables I(b) and II, on page 7.

Japan (fees)

As from 1 June 1994, there will be changes in certain fees payable in Yen at the Japanese Patent Office. The new fees are shown in Tables I(b) and II, on page 7. (For the new utility model fee, see page 3.)

Slovakia (telephone and fax numbers)

The telephone number of the Slovakian Patent Office, for the purposes of PCT matters, has changed, and there is an additional fax number:

Telephone: (42-7) 49 19 39
 Fax: (42-7) 49 19 39
 (42-7) 31 44 61 (additional number)

Slovenia (general information)

General information about Slovenia as a Contracting State and information of interest if Slovenia is designated (or elected) is set out in the pink tear-out provisional sheet for Annex B1 of the *PCT Applicant's Guide*.

List of Contracting States

The pink tear-out provisional Annex A of the *PCT Applicant's Guide* (Contracting States of the PCT) includes the States which have adhered to the PCT since the Annex was last published in January 1994 and the corresponding dates of entry into force of the PCT.

PCT SEMINAR CALENDAR

Dates	Location/nature of seminar WIPO speakers	Who to contact for further information
18–20 April 1994	Naples, Florida (US) PCT seminar for patent attorneys: "Worldwide Patenting — PCT Chapters I and II," organized by Patent Resources Group, Inc. WIPO speakers: Mr. Bartels, Ms. Boutillon and Mr. Maassel	Prof. Irving Kayton Tel: (1–804) 296 39 00 Fax: (1–804) 296 39 99
21–23 April 1994	Cleveland, Ohio (US) Presentation on the PCT at the American Intellectual Property Law Association (AIPLA) Spring Meeting WIPO speakers: Ms. Boutillon and Mr. Thompson	AIPLA Tel: (1–703) 415 07 80
2 May 1994	Cologne (DE) PCT seminar for patent attorneys organized by the law firm of von Kreisler, Selting and Werner WIPO speaker: Mr. Bartels	Mrs. Michel Tel: (49–221) 13 10 41
2 May 1994	Cologne (DE) PCT update seminar for patent administrators organized by the law firm of von Kreisler, Selting and Werner WIPO speaker: Mrs. Coeckelbergs	Mrs. Michel Tel: (49–221) 13 10 41
3–4 May 1994	Munich (DE) PCT seminar for paralegals, organized by Forum - Institut für Management GmbH WIPO speaker: Mrs. Coeckelbergs	Forum Tel: (49–6221) 47 95 12 Fax: (49–6221) 41 16 27
26–27 May 1994	Sofia (BG) "The Patent Cooperation Treaty (PCT) — an Efficient System of Patenting Abroad" International seminar organized by the Bulgarian Patent Office WIPO speakers: Ms. Boutillon and Mr. Bryan	Mrs. Penka Petkova Tel: (359–2) 71 70 13 Fax: (359–2) 71 70 44
9–10 June 1994	London (GB) PCT seminar for patent administrators and legal assistants "Working with the PCT," organized by Management Forum Ltd. WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Forum (Mrs. Josephine Leak) Tel: (44–483) 57 00 99 Fax: (44–483) 364 24
12–13 July 1994	Munich (DE) PCT colloquium for frequent users, organized by Forum - Institut für Management GmbH WIPO speakers: Mr. Bartels and Mrs. Coeckelbergs	Forum Tel: (49–6221) 47 95 12 Fax: (49–6221) 41 16 27
14–15 July 1994	Munich (DE) Advanced PCT seminar for patent administrators, organized by Forum - Institut für Management GmbH WIPO speaker: Mrs. Coeckelbergs	Forum Tel: (49–6221) 47 95 12 Fax: (49–6221) 41 16 27

PCT FEE TABLES

The following Tables show the amounts (including currencies) for the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Table I(a) and Table I(b)) and under Chapter II (Table II). Fees which are payable only in special circumstances are not shown; nor are details of reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Volume I, Annexes C, D and E. Note that all amounts are subject to changes due to fee increases or fluctuations in exchange rates.

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 April 1994, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ¹ (CHF 762)	Supplement per sheet over 30 ¹ (CHF 15)	Designation fee ^{1,2} (CHF 185)	Competent ISA(s) ³
AT	ATS 700	ATS 6,220	122	1,510	EP
AU	AUD 55	AUD 835 (from 07.04.94) (748)	16 (15)	203 (182)	AU
BE	BEF 1,500	BEF 18,208	358	4,421	EP
BG	BGL 600	BGL equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
BR	BRC 21,670	BRC equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP SE US
BY	RUR ⁴ —	USD 530	10	128	EP RU
CA	CAD 200	CAD 696	14	168	EP
CH	CHF 100	CHF 762	15	185	EP
CN	CNY 500	CNY equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	CN
CZ	CZK 1,200	CZK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
DE	DEM 150	DEM 883	17	214	EP
DK	DKK 1,500	DKK 3,440	70	840	EP SE
EP ⁵	DEM 200	DEM 883	17	214	EP
ES	None	ESP 66,000 (from 18.04.94) (76,300)	1,300 (1,500)	16,000 (18,500)	EP
FI	FIM 700	FIM 3,200	65	780	EP SE
FR	FRF 400	FRF 3,010	60	730	EP
GB	GBP 55	GBP 352	7	85	EP
GR	GRD 23,500	GRD 131,000	3,000	32,000	EP
HU	HUF 1,000	HUF equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT RU
IB	CHF 300 or USD 200	CHF 762 or USD 530	15 10	185 128	See note 6
IE	IEP 60	IEP 357	7	87	EP
IT	ITL 60,000	ITL 884,000	17,000	215,000	EP
JP	JPY 16,000	JPY 59,000	1,000	14,000	EP JP
KP	KPW 50	KPW equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	RU
KR	KRW 40,000	KRW 427,000	8,000	103,000	AT AU JP
KZ	RUR ⁴ —	USD 530	10	128	EP RU
LU	LUF/BEF 1,000	LUF/BEF 18,208	358	4,421	EP
LV	LVL 40	USD 530	10	128	EP RU
MC	FRF 200	FRF 3,010	60	730	EP
MN	None	MNT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
MW	MWK 8	MWK 2,350	46	570	EP
NL	NLG 110	NLG 1,000	20	240	EP
NO	NOK 500	NOK 3,500 (from 18.04.94) (3,940)	70 (80)	850 (960)	EP SE
NZ	NZD 155	NZD 976	19	237	AU EP
PL	PLZ 1,500,000	PLZ equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
PT	PTE 3,000	PTE 89,000	1,800	21,700	EP
RO	ROL 10,000	CHF 762	15	185	AT EP RU
RU	RUR 13,000	USD 530	10	128	RU
SD	SDP 50	SDP equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SE	SEK 600	SEK 4,355	85	1,060	EP SE
SI	SIT See below ⁺	SIT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SK	SKK 1,200	SKK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
UA	UAK ⁴ —	USD 530	10	128	EP RU
US	USD 200	USD 530	10	128	EP US
VN	USD 50	VND equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT AU EP RU SE

⁺ 10% of the basic fee, supplement fee, designation fee and search fee.

Table I(b) – SEARCH FEES
(as at 1 April 1994, unless otherwise indicated)

ISA	Search fee ¹											
AT	ATS	2,200	CHF	285	KRW	161,000	USD	198				
AU	AUD	750	CHF	772	KRW	439,000	NZD	945	USD	537		
CN	CNY	800	CHF	210	USD	146						
EP	DEM	2,400	CHF	2,160*	FRF	8,480	ITL	2,308,000*	NLG	2,740	SEK	11,590
	ATS	17,140	DKK	9,600	GBP	960	JPY	149,000	NOK	10,300	USD	1,415
	BEF	51,600*	ESP	203,400	GRD	347,800*	LUF	51,600*	NZD	2,557		
	CAD	1,870	FIM	8,200	IEP	1,017	MWK	6,400	PTE	255,300		
	*(from 03.05.94: BEF		51,000	CHF	2,060	GRD	363,600	ITL	2,449,000	LUF	51,000)	
ES	ESP	50,100	CHF	537	USD	373						
JP	JPY	66,000*	CHF	900	KRW	543,000	USD	626				
	*(from 01.06.94: JPY		77,000)									
RU	RUR	53,000	CHF	288	USD	200						
SE	SEK	2,600 ⁷	3,600 ⁸	4,200 ⁹	FIM	1,875 ⁷	2,600 ⁸	3,030 ⁹				
	CHF	460 ⁷	635 ⁸	740 ⁹	NOK	2,290 ⁷	3,170 ⁸	3,695 ⁹				
	DKK	2,115 ⁷	2,930 ⁸	3,420 ⁹	USD	320 ⁷	442 ⁸	515 ⁹				
US	USD	620	(410 ¹⁰)	CHF	890	(590 ¹⁰)						

Table II – PRELIMINARY EXAMINATION FEES
(as at 1 April 1994, unless otherwise indicated)

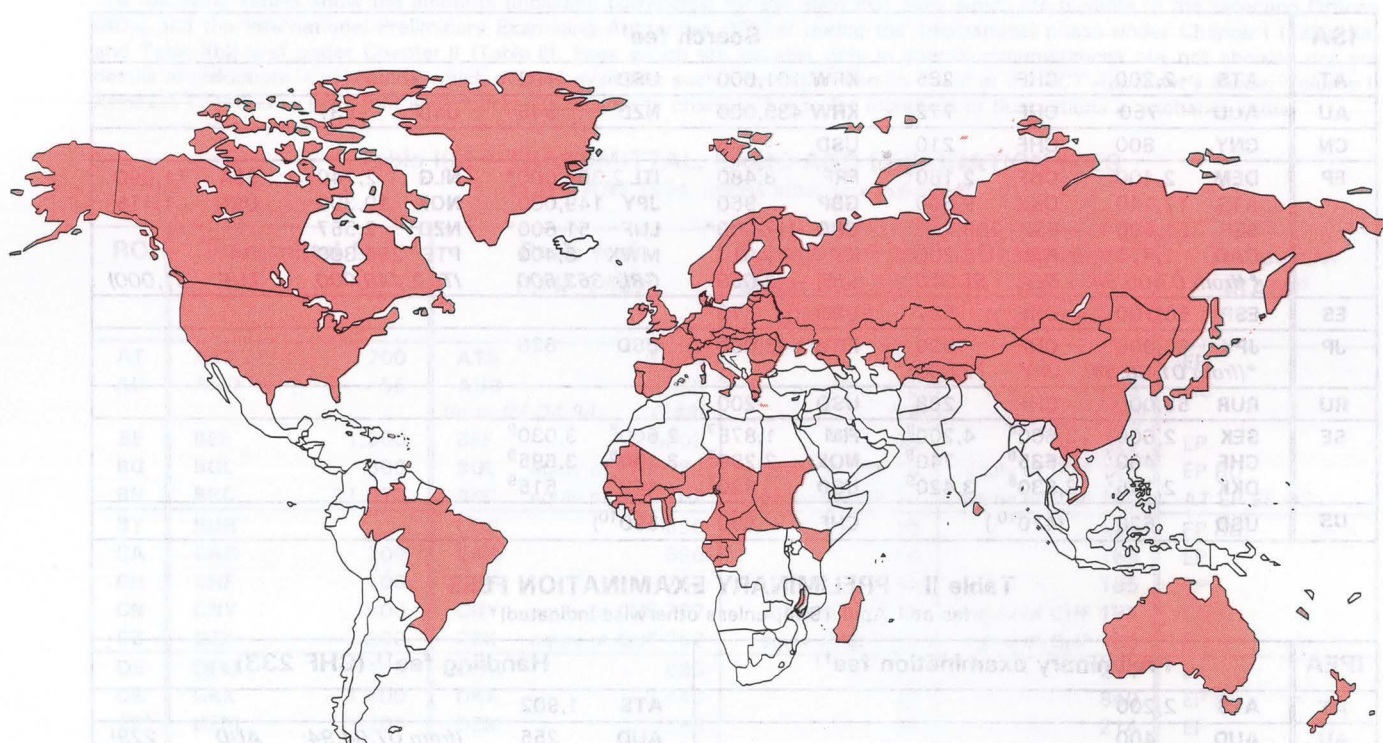
IPEA	Preliminary examination fee ¹¹				Handling fee ¹¹ (CHF 233)							
AT	ATS	2,200			ATS	1,902						
AU	AUD	400			AUD	255	(from 07.04.94: AUD	229)				
CN	CNY	800			CNY equiv of CHF 233							
EP	DEM	3,000	FRF	10,600	NLG	3,430	DEM	270	FRF	920	NLG	305
	ATS	21,430	GBP	1,200	PTE	319,100	ATS	1,902	GBP	108	PTE	27,000
	BEF	64,500*	IEP	1,271	SEK	14,490	BEF	5,568	IEP	109	SEK	1,330
	CHF	2,700*	ITL	2,885,000*			CHF	233	ITL	270,000		
	DKK	12,000	LUF	64,500*			DKK	1,050	LUF	5,568		
*(from 03.05.94: BEF		63,700	CHF	2,580								
ITL		3,061,000	LUF	63,700)								
JP	JPY	23,000	(from 01.06.94: JPY	28,000)	JPY	18,000						
RU	RUR	26,000	USD	300	USD	162						
SE	SEK	3,200			SEK	1,330						
US	USD	450	(670 ¹²)		USD	162						

Key to currency abbreviations for all fee tables:

ATS	Schilling	CZK	Czech Koruna	HUF	Forint	MNT	Tugrik	RUR	Rouble
AUD	Australian Dollar	DEM	Mark	IEP	Punt	MWK	Kwacha	SDP	Sudanese Pound
BEF	Belgian Franc	DKK	Danish Krone	ITL	Lira	NLG	Guilder	SEK	Swedish Krona
BGL	Lev	ESP	Peseta	JPY	Yen	NOK	Norwegian Krone	SIT	Tolar
BRC	Cruzeiro Real	FIM	Markka	KPW	Won	NZD	New Zealand Dollar	SKK	Slovak Koruna
CAD	Canadian Dollar	FRF	French Franc	KRW	Won	PLZ	Zloty	UAK	Karbovanets
CHF	Swiss Franc	GBP	Pound Sterling	LUF	Luxembourg Franc	PTE	Escudo	USD	US Dollar
CNY	Yuan Renminbi	GRD	Drachma	LVL	Lat	ROL	Leu	VND	Dong

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee.
- 3 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 4 The amounts are not yet known. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 5 Payments can also be made in ATS, BEF, CHF, DKK, ESP, FRF, GBP, GRD, IEP, ITL, LUF, NLG, PTE or SEK. For the amounts in the said currencies, reference should be made to the latest issue of the *Official Journal* of the EPO.
- 6 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national.
- 7 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Swedish Patent Office.
- 8 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Danish Patent Office, the National Board of Patents and Registration (Finland) or the Norwegian Patent Office.
- 9 In all cases where 7 and 8 do not apply.
- 10 Payable when a corresponding prior US national application has been filed and the basic national fee has been paid.
- 11 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 12 Payable when the international search report was not established by the United States Patent and Trademark Office.

PCT CONTRACTING STATES AND TWO-LETTER CODES (69 on 5 April 1994) *



In the Americas

- BB Barbados
- BR Brazil
- CA Canada
- TT *Trinidad and Tobago***
- US United States of America

In Europe

- EPO**
- AT Austria
 - BE Belgium
 - DK Denmark
 - FR France
 - DE Germany
 - GR Greece
 - IE Ireland
 - IT Italy
 - LI Liechtenstein
 - LU Luxembourg
 - MC Monaco
 - NL Netherlands
 - PT Portugal
 - ES Spain
 - SE Sweden
 - CH Switzerland
 - GB United Kingdom

Non-EPO

- BY Belarus
- BG Bulgaria
- CZ Czech Republic
- FI Finland
- HU Hungary
- LV Latvia
- LT *Lithuania (from 5 July 1994) ****
- NO Norway
- PL Poland
- MD *Republic of Moldova***
- RO Romania
- RU Russian Federation
- SK Slovakia
- SI *Slovenia***
- UA Ukraine

In Asia and the Pacific

- AU Australia
- CN China
- KP Democratic People's Republic of Korea
- GE *Georgia***
- JP Japan
- KZ Kazakhstan
- KG *Kyrgyzstan***
- MN Mongolia
- NZ New Zealand
- KR Republic of Korea
- LK Sri Lanka
- TJ *Tajikistan***
- UZ Uzbekistan
- VN Viet Nam

In Africa

- OAPI**
- BJ Benin
 - BF Burkina Faso
 - CM Cameroon
 - CF Central African Republic
 - TD Chad
 - CG Congo
 - CI Côte d'Ivoire
 - GA Gabon
 - GN Guinea
 - ML Mali
 - MR Mauritania
 - NE Niger
 - SN Senegal
 - TG Togo
- Non-OAPI**
- KE *Kenya (from 8 June 1994) ****
 - MG Madagascar
 - MW Malawi
 - SD Sudan

Important: any States indicated in ***bold italics*** have adhered to the PCT since the latest version of the request form (PCT/RO/101) was issued in January 1994. If the applicant wishes to designate any of those States which are bound by the PCT on the date on which the international application is filed, he must indicate them in Box V of the request form. **To avoid any unintentional omission of designations**, applicants should, when filing international applications, use the latest version of the request form, which can be obtained from receiving Offices or the International Bureau, and should also ask their receiving Office or the International Bureau about adherence by any further States after the date shown in the heading on this page. The latest version of the demand form (Form PCT/IPEA/401) was issued in January 1994 and can be obtained from International Preliminary Examining Authorities or the International Bureau. The latest versions of the request and demand forms are also reproduced in Annexes X and Y, respectively, of the *PCT Applicant's Guide*, Volume I, as updated to January 1994.

* This list includes all States that have adhered to the PCT by the date shown in the heading. Where a State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date.

A

Contracting States of the Patent Cooperation Treaty (PCT)

A

Name of State followed by country code	Date of entry into force of the PCT in respect of the State	Name of State followed by country code	Date of entry into force of the PCT in respect of the State
Australia AU	31 March 1980	Lithuania LT	5 July 1994
Austria AT	23 April 1979	Luxembourg LU	30 April 1978
Barbados BB	12 March 1985	Madagascar MG	24 January 1978
Belarus ³ BY	25 December 1991	Malawi MW	24 January 1978
Belgium BE	14 December 1981	Mali ML	19 October 1984
Benin BJ	26 February 1987	Mauritania MR	13 April 1983
Brazil BR	9 April 1978	Monaco MC	22 June 1979
Bulgaria ³ BG	21 May 1984	Mongolia MN	27 May 1991
Burkina Faso BF	21 March 1989	Netherlands ⁵ NL	10 July 1979
Cameroon CM	24 January 1978	New Zealand NZ	1 December 1992
Canada CA	2 January 1990	Niger NE	21 March 1993
Central African Republic CF	24 January 1978	Norway ² NO	1 January 1980
Chad TD	24 January 1978	Poland PL	25 December 1990
China CN	1 January 1994	Portugal PT	24 November 1992
Congo CG	24 January 1978	Republic of Korea KR	10 August 1984
Côte d'Ivoire CI	30 April 1991	Republic of Moldova ³ MD	25 December 1991
Czech Republic CZ	1 January 1993	Romania ³ RO	23 July 1979
Democratic People's Republic of Korea KP	8 July 1980	Russian Federation ³ RU	29 March 1978
Denmark DK	1 December 1978	Senegal SN	24 January 1978
Finland ² FI	1 October 1980	Slovakia SK	1 January 1993
France ^{3, 4} FR	25 February 1978	Slovenia SI	1 March 1994
Gabon GA	24 January 1978	Spain ¹ ES	16 November 1989
Georgia ³ GE	25 December 1991	Sri Lanka LK	26 February 1982
Germany DE	24 January 1978	Sudan SD	16 April 1984
Greece ¹ GR	9 October 1990	Sweden ² SE	17 May 1978
Guinea GN	27 May 1991	Switzerland ¹ CH	24 January 1978
Hungary ³ HU	27 June 1980	Tajikistan ³ TJ	25 December 1991
Ireland IE	1 August 1992	Togo TG	24 January 1978
Italy IT	28 March 1985	Trinidad and Tobago TT	10 March 1994
Japan JP	1 October 1978	Ukraine ³ UA	25 December 1991
Kazakhstan ³ KZ	25 December 1991	United Kingdom ⁶ GB	24 January 1978
Kenya KE	8 June 1994	United States of America ^{7, 8} US	24 January 1978
Kyrgyzstan ³ KG	25 December 1991	Uzbekistan ³ UZ	25 December 1991
Latvia LV	7 September 1993	Viet Nam VN	10 March 1993
Liechtenstein ¹ LI	19 March 1980		

1 Not bound by Chapter II of the PCT (declaration under Article 64(1)(a)).

2 With the declaration provided for in Article 64(2)(a)(ii).

3 With the declaration provided for in Article 64(5).

4 Including all Overseas Departments and Territories.

5 Ratification for the Kingdom in Europe, Aruba and the Netherlands Antilles.

6 Extends to the territory of Hong Kong and to the Isle of Man.

7 With the declarations provided for in Articles 64(3)(a) and 64(4)(a).

8 Extends to all areas for which the United States of America has international responsibility.

B1**Information on Contracting States****B1****SI****SLOVENIA****SI****General information**

Name of Office:	Industrial Property Protection Office
Location:	Kotnikova 6, SLO-61000 Ljubljana
Mailing address:	p.p. 206, SLO-61000 Ljubljana
Telephone:	(386-61) 1312 322
Facsimile machine:	(386-61) 318 983
Teleprinter:	—
Does the Office accept the filing of documents by means of telecommunication (PCT Rule 92.4)?	Yes, by facsimile machine
Which kinds of documents may be so transmitted?	All kinds of documents; international applications or replacement sheets containing corrections or amendments may be transmitted only by facsimile machine
Must the original of the document be furnished in all cases?	Yes, within 14 days from the date of the transmission, if the transmitted document is an international application or a replacement sheet containing corrections or amendments of an international application. No, only upon invitation in the case of other documents.
Would the Office accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used (PCT Rule 82.1)?	No
Competent receiving Office for nationals and residents of Slovenia:	Industrial Property Protection Office or International Bureau of WIPO, at the choice of the applicant (see Annex C)
Competent designated (or elected) Office if Slovenia is designated (or elected):	National protection: Industrial Property Protection Office (see Volume II) Extension of European Patent: European Patent Office (see Volume II)
May Slovenia be elected?	Yes (bound by Chapter II of the PCT)
Types of protection available:	National: Patents, patents of addition, short term patents Extended European Patents
Provisions of the law of Slovenia concerning international-type search:	None
Provisional protection after international publication:	See Articles 4(3), 36(2), 93, 94 of the Patent Law and Article 4 of the Extension Ordinance

[continued on next page]

B1

Information on Contracting States

B1

SI

SLOVENIA

SI

[continued]

Information of interest if Slovenia is designated (or elected)

For the grant of a national patent by the Industrial Property Protection Office:

Time when the name and address of the inventor must be given if Slovenia is designated:

Must be in the request. If the data concerning the inventor are missing at the expiry of the time limit applicable under PCT Article 22 or 39(1)(a), the Industrial Property Protection Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation.

Does the Office disregard the priority claim if the priority document was not submitted within 16 months from the priority date under PCT Rule 17.1?

No

Are there special provisions concerning the deposit of microorganisms?

Yes (see Annex L)

For extension of a European Patent: See European Patent Organisation (EP) in Annex B2 and Summary (EP) and the national chapters EP and SI in Volume II of the PCT Applicant's Guide



PCT NEWSLETTER

WIPO Publication No. 115 (E)

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- by bank transfer to WIPO bank account No. 487080-81 at the Crédit Suisse, CP 2153, 1211 Geneva 2, Switzerland
- by transfer to WIPO postal account No. 12-5000-8, Geneva, Switzerland
- by the enclosed check made payable to the World Intellectual Property Organization drawn on the following bank:

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PCT

PATENT
COOPERATION
TREATY

NEWSLETTER

MAY 1994

No. 03/1994

PCT NEWSLETTER SUBSCRIPTIONS

After this issue of the *PCT Newsletter*, only one more issue will be sent to you (the June issue), unless you subscribe to it, or have already subscribed to it. Details on how to subscribe are set out on the subscription form which is inserted in this issue. If you wish to subscribe, in order to avoid any delay in receiving the July issue, please ensure that your subscription form is returned to the Publications Sales and Distribution Unit of WIPO by the end of June.

BROADER PCT COVERAGE IN AFRICA

From 1 July 1994 it will be possible for PCT applicants to designate Kenya, Malawi and/or Sudan for an ARIPO patent (two-letter code: AP) under the African regional patent treaty known as the Harare Protocol.

The African Regional Industrial Property Organization (ARIPO) has 14 member States of which 11 are party to the Harare Protocol on Patents and Industrial Designs. The Harare Protocol makes it possible to obtain an ARIPO patent effective in any or all of the Protocol's member States.

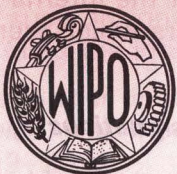
Under amendments to the Harare Protocol and its Implementing Regulations adopted by ARIPO's Administrative Council in April 1994, an international application under the PCT will be able to include a designation for an ARIPO patent of those Harare Protocol member States which are also Contracting States of the PCT. Processing of such international applica-

tions in the regional phase will be undertaken by the ARIPO Office (located in Harare, Zimbabwe) as a designated (or elected) Office under the PCT.

The amendments to the Harare Protocol will come into effect on 1 July 1994. Malawi and Sudan are already bound by the PCT, and Kenya will become bound on 8 June 1994 (see *PCT Newsletter* No. 02/1994). It will also remain possible, after 1 July 1994, to designate those States for national patents under the PCT.

The amendments additionally provide for the ARIPO Office to act as a PCT receiving Office for residents and nationals of States which are party to both the Harare Protocol and the PCT.

[continued on page 2]



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Tear-out sheets: provisional sheets for the *PCT Applicant's Guide*, Vol. I, Annex B1 (GE), (LT), (MD) and (TT)

Loose-leaf sheet: *PCT Newsletter* subscription form

BROADER PCT COVERAGE IN AFRICA

[continued from page 1]

As more Harare Protocol member States join the PCT, the availability of an ARIPO patent designation for those States will become an increasingly attractive option for PCT applicants interested in obtaining protection in African countries. It is already possible in a PCT application to make a designation for a regional OAPI patent (two-letter code: OA) of the 14 member States of the (French-speaking) African Intellectual Property Organization (OAPI).

The revised version of the PCT request form to be issued in July 1994 will include, in Box No. V, an additional check-box "AP" making it straightforward for applicants to designate Kenya, Malawi and/or Sudan for an ARIPO patent.

EPO BOARD OF APPEAL DECISIONS CONCERNING PCT

Competence of the Boards of Appeal of the EPO in proceedings under the PCT

As indicated in the following headnotes, the Legal Board of Appeal has decided that:

"I. The EPO Boards of Appeal have no jurisdiction to examine appeals against actions taken by the EPO in its capacity as International Preliminary Examining Authority (IPEA) within the meaning of the PCT (confirmation of the ruling in J 20/89, OJ EPO 1991, 375, 378).

"The same applies even if the EPO previously acted as receiving Office within the meaning of the PCT for the same international application.

"II. In the present case there is no reason for the Board to comment on whether the EPO Boards of Appeal have jurisdiction to examine appeals against actions taken by the EPO in its capacity as receiving Office within the meaning of the PCT."

(Decision J 15/91 - 3.1.1; *Official Journal of the EPO (OJ EPO)*, No. 5/1994, page 296)

Unsubstantiated protest

As indicated in the following headnotes, the Technical Board of Appeal has decided that:

"I. Under Rule 40.2(c) PCT, a protest against an invitation to pay additional search fees must be supported by a

reasoned statement. Insufficiently substantiated protests are rejected as inadmissible.

"II. The reasoned statement must contain grounds which show why the applicant believes that his international application does not lack unity. A mere assertion to this effect is not a reasoned statement within the meaning of Rule 40.2(c) PCT."

(Decision W 16/92 - 3.2.4; *OJ EPO*, No. 4/1994, page 237)

Lack of unity "a posteriori" (yes)

As indicated in the following headnote, the Technical Board of Appeal has decided that:

"There is lack of unity within the meaning of Article 17(3)(a) and Rule 13 PCT if the subject-matters of independent claims including their effects have no inventive common feature in those parts of the claims differing from the closest prior art (following W 6/90, OJ EPO 1991, 438)."

(Decision W 32/92 - 3.2.2; *OJ EPO*, No. 4/1994, page 239)

PRACTICAL ADVICE

This practical advice section features general questions which have been put to the staff of the PCT Legal Division and the PCT Administration Department of the International Bureau, and the answers which were given.

Unintentional omission of designations

Q: I have forgotten to designate Trinidad and Tobago in a PCT application, which I filed on 11 March 1994. Can anything be done about this, and, if so, under what conditions (time limits, fees, etc.)?

A: If you used the current version of the PCT request form (PCT/RO/101), or any version issued in or after July 1992, your international application contains a so-called "precautionary designation" by way of the statement printed at the bottom of Box No. V, which covers all States which were bound by the PCT on the international filing date (see PCT Rule 4.9(b)). Trinidad and Tobago was included because it became bound by the PCT on 10 March 1994.

If you wish your international application to have full effect in Trinidad and Tobago, you must within 15 months from the priority date, "confirm" that precautionary designation by fulfilling two requirements directly before the receiving Office with which you filed your international application (see PCT Rule 4.9(c)):

(i) file a written notice containing the confirmation of the precautionary designation of Trinidad and Tobago; and

(ii) pay a designation fee and a confirmation fee (50% of the designation fee).

In respect of the notice of confirmation, you may use Form PCT/RO/144 which is contained in the *PCT Applicant's Guide*, Vol. I, Annex Z, or can be obtained from your receiving Office or the International Bureau.

In respect of the fees, the designation and confirmation fees must be paid for each designation confirmed, regardless of whether or not the maximum of 10 designation fees has already been paid (see PCT Rule 15.5).

If the two requirements listed above are not fulfilled within the 15-month time limit, the designation of Trinidad and Tobago will be regarded as withdrawn by the applicant.

Lastly, if you used a version of the PCT request form which does not contain the statement printed in Box No. V, no precautionary designations can be confirmed since no such designations were made at the time of filing the international application.

For further details, see the *PCT Applicant's Guide*, Vol. I, paragraphs 87-88 and 199-202.

Correction of priority date

Q: A priority date of 2 April 1993 was indicated by mistake in Box No. VI of the PCT request form. The correct priority date is 12 April 1993. Can the date be corrected and, if so, under what conditions (time limits, fees, etc.)?

A: As provided in PCT Rule 4.10(b), the receiving Office may correct the priority date upon request of the applicant. However, such a correction can be made only within

16 months from the correct priority date (that is, at the latest on 12 August 1994), and only if the correction is obvious from a comparison with the priority document. In order for the receiving Office to be able to make the correction within the 16-month time limit, your request for correction must reach that Office as soon as possible. (Note that the receiving Office will not make the correction *ex officio*.) No fee is due for making such a request.

If the receiving Office makes the correction within the 16-month time limit, the international publication will reflect the correct priority date and all the remaining PCT time limits calculated from the priority date will be recalculated on the basis of the correct date. This will, in particular, be the case for the time limits for international publication, for filing a demand for international preliminary examination, and for entry into the national phase.

If the correction cannot be made because the requirements of PCT Rule 4.10(b) are not satisfied, the published international application will contain the priority date indicated in the request form, and no further opportunity for correction of that date will be available to you during the international phase. The only course of action then open would be to make separate requests for correction before the various designated (or elected) Offices upon or after entry into the national phase.

Not all Offices, however, would permit such a correction, under the applicable national law, during the national phase. Certain Offices may authorize a correction but only if the published international application contained an indication of the correct priority date, even if not part of the priority claim. PCT Rule 91.1(f) provides a special procedure enabling publication of a request for rectification including the applicant's assertion of the correct priority date, even if correction was not allowable under PCT Rule 4.10(b).

See PCT Rule 4.10 and the *PCT Applicant's Guide*, Vol. I, paragraph 188, and the next issues of the *PCT Newsletter* for more information about correcting indications of date, country and number in priority claims.

PCT STATISTICS — 1 January to 31 March 1994

During the first quarter of 1994, the International Bureau of WIPO received 7,664 international applications filed with PCT receiving Offices worldwide, which represented an increase of 14.9% over the same period in 1993.

The table below shows the top 10 countries of origin of those international applications. (Note that 13.9% of international applications received during this period were filed either with the European Patent Office or the International Bureau; these filings are included in the figures concerning the Contracting State of which the applicant is a national or a resident.)

Filings by country of origin (January–March 1994)

Country of origin	No. of applications received	% of applications received
US	3,148	41.1
DE	960	12.5
GB	789	10.3
JP	539	7.0
FR	408	5.3
SE	272	3.6
CA	198	2.6
NL	186	2.4
DK	146	1.9
CH (incl. LI)	145	1.9
Other PCT States (56 at the end of March 1994)	873	11.4
	7,664	100.0

The 7,664 international applications received during this period had the effect of 114,409 national applications and of 3,831 regional applications, which in turn had the effect of 152,054 applications for patent protection in the Contracting States of the regional patent systems; that is, a total of 266,463 applications.

It is of interest to note that, in the same period, 21 international applications were transmitted by non-competent receiving Offices to the International Bureau in its capacity as receiving Office under PCT Rule 19.4, and thus benefitted by being awarded as their international filing date the date of receipt by the non-competent receiving

Office (provided that all PCT Article 11 requirements had been fulfilled). Prior to the coming into effect of Rule 19.4 on 1 January 1994, such applications would not have been accorded that date as international filing date.

PCT INFORMATION UPDATE

Austria (types of protection)

On 1 April 1994 a new utility model law entered into force in Austria. It has therefore been possible, since that date, to designate Austria in an international application for the purposes of obtaining utility model protection, instead of or in addition to a national patent.

China (various changes)

(1) The telephone number of the Chinese Patent Office has changed to:

(86-1) 209 36 77

(2) A protest fee of CNY 200 has been established. This fee is payable to the Chinese Patent Office in its capacity as International Searching or Preliminary Examining Authority for the examination of a protest, where the applicant has paid additional fees under protest in the case of lack of unity of invention (see PCT Rules 40.2(e) and 68.3(e)).

(3) The examination fee payable to the Chinese Patent Office in its capacity as designated (or elected) Office, where the international search report has been established by the European Patent Office, the Japanese Patent Office or the Swedish Patent Office, has been reduced by 20% to CNY 640.

(4) The national fee for a utility model application is CNY 200, and not CNY 300 as indicated in the *PCT Applicant's Guide*, Vol. II, Summary (CN).

Mongolia (address)

The location and mailing address of the Mongolian Patent Office has changed to:

49, Bage Toiruu, Ulaanbaatar 11, Mongolia

Japan (fees)

New equivalent amounts in Korean Won (KRW), US Dollars (USD) and Swiss Francs (CHF) have been established for the search fee payable to the Japanese Patent Office in its capacity of International Searching Authority, effective as of 1 June 1994. The new amounts are shown in Table I(b), on page 7.

Georgia, Lithuania, Republic of Moldova and Trinidad and Tobago (general information)

General information about the above-mentioned States as Contracting States and information of interest if those States are designated (or elected) is set out in the pink tear-out provisional sheets for the *PCT Applicant's Guide*, Vol. I, Annex B1.

PCT SEMINAR CALENDAR

Dates	Location/nature of seminar WIPO speakers	Who to contact for further information
24 May 1994	Stockholm (SE) PCT seminar for patent attorneys organized by the Swedish Patent and Registration Office WIPO speakers: Ms. Boutillon and Mr. Bryan	Mr. Bo Hallgren Tel: (46-8) 782 25 00 Fax: (46-8) 783 01 63
26-27 May 1994	Sofia (BG) "The Patent Cooperation Treaty (PCT) — an Efficient System of Patenting Abroad" International seminar organized by the Bulgarian Patent Office WIPO speakers: Ms. Boutillon and Mr. Bryan	Mrs. Penka Petkova Tel: (359-2) 71 70 13 Fax: (359-2) 71 70 44
9-10 June 1994	London (GB) PCT seminar for patent administrators and legal assistants, organized by Management Forum Ltd. WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Forum (Mrs. Josephine Leak) Tel: (44-483) 57 00 99 Fax: (44-483) 364 24
20-21 June 1994	Boston (US) PCT seminar for patent attorneys and patent administrators, organized by the Boston Patent Law Association (BPLA) WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Mr. John DuPre Tel: (1-617) 861 62 40 Fax: (1-617) 861 95 40
12-13 July 1994	Munich (DE) PCT colloquium for frequent users, organized by Forum - Institut für Management GmbH WIPO speakers: Mr. Bartels and Mrs. Coeckelbergs	Forum Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
14-15 July 1994	Munich (DE) Advanced PCT seminar for patent administrators, organized by Forum - Institut für Management GmbH WIPO speaker: Mrs. Coeckelbergs	Forum Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27

PCT FEE TABLES

The following Tables show the amounts (including currencies) for the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Table I(a) and Table I(b)) and under Chapter II (Table II). Fees which are payable only in special circumstances are not shown; nor are details of reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Volume I, Annexes C, D and E. Note that all amounts are subject to changes due to fee increases or fluctuations in exchange rates.

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 May 1994, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ¹ (CHF 762)	Supplement per sheet over 30 ¹ (CHF 15)	Designation fee ^{1,2} (CHF 185)	Competent ISA(s) ³
AT	ATS 700	ATS 6,220	122	1,510	EP
AU	AUD 55	AUD 748	15	182	AU
BE	BEF 1,500	BEF 18,208	358	4,421	EP
BG	BGL 600	BGL equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
BR	BRC 21,670	BRC equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP SE US
BY	RUR ⁴ –	USD 530	10	128	EP RU
CA	CAD 200	CAD 696	14	168	EP
CH	CHF 100	CHF 762	15	185	EP
CN	CNY 500	CNY equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	CN
CZ	CZK 1,200	CZK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
DE	DEM 150	DEM 883	17	214	EP
DK	DKK 1,500	DKK 3,440	70	840	EP SE
EP ⁵	DEM 200	DEM 883	17	214	EP
ES	None	ESP 76,300	1,500	18,500	EP
FI	FIM 700	FIM 3,200	65	780	EP SE
FR	FRF 400	FRF 3,010	60	730	EP
GB	GBP 55	GBP 352	7	85	EP
GR	GRD 23,500	GRD 131,000	3,000	32,000	EP
HU	HUF 1,000	HUF equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT RU
IB	CHF 300 or USD 200	CHF 762 or USD 530	15 10	185 128	See note 6
IE	IEP 60	IEP 357	7	87	EP
IT	ITL 60,000	ITL 884,000	17,000	215,000	EP
JP	JPY 16,000	JPY 59,000	1,000	14,000	EP JP
KG	KGS ⁴ –	USD 530	10	128	EP RU
KP	KPW 50	KPW equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	RU
KR	KRW 40,000	KRW 427,000	8,000	103,000	AT AU JP
KZ	RUR ⁴ –	USD 530	10	128	EP RU
LT	LTL equiv of USD 80	USD 530	10	128	EP RU
LU	LUF/BEF 1,000	LUF/BEF 18,208	358	4,421	EP
LV	LVL 40	USD 530	10	128	EP RU
MC	FRF 200	FRF 3,010	60	730	EP
MD	MDL ⁴ –	USD 530	10	128	EP RU
MN	None	MNT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
MW	MWK 8	MWK 2,350	46	570	EP
NL	NLG 110	NLG 1,000	20	240	EP
NO	NOK 500	NOK 3,940	80	960	EP SE
NZ	NZD 155	NZD 976	19	237	AU EP
PL	PLZ 1,500,000	PLZ equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
PT	PTE 3,000	PTE 89,000	1,800	21,700	EP
RO	ROL 10,000	CHF 762	15	185	AT EP RU
RU	RUR 13,000	USD 530	10	128	RU
SD	SDP 50	SDP equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SE	SEK 600	SEK 4,355	85	1,060	EP SE
SI	SIT See note 7	SIT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SK	SKK 1,200	SKK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
TJ	RUR ⁴ –	USD 530	10	128	EP RU
TT	TTD ⁴ –	USD 530	10	128	AT EP SE US
UA	UAK ⁴ –	USD 530	10	128	EP RU
US	USD 200	USD 530	10	128	EP US
UZ	USD ⁴ –	USD 530	10	128	EP RU
VN	USD 50	VND equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT AU EP RU SE

Table I(b) – SEARCH FEES
(as at 1 May 1994, unless otherwise indicated)

ISA	Search fee ¹											
AT	ATS	2,200	CHF	285	KRW	161,000	USD	198				
AU	AUD	750	CHF	772	KRW	439,000	NZD	945	USD	537		
CN	CNY	800	CHF	210	USD	146						
EP	DEM	2,400	CHF	2,160*	FRF	8,480	ITL	2,308,000*	NLG	2,740	SEK	11,590
	ATS	17,140	DKK	9,600	GBP	960	JPY	149,000	NOK	10,300	USD	1,415
	BEF	51,600*	ESP	203,400	GRD	347,800*	LUF	51,600*	NZD	2,557		
	CAD	1,870	FIM	8,200	IEP	1,017	MWK	6,400	PTE	255,300		
	*(from 03.05.94:		BEF	51,000	CHF	2,060	GRD	363,600	ITL	2,449,000	LUF	51,000)
ES	ESP	50,100	CHF	537	USD	373						
JP	JPY	66,000*	CHF	900	KRW	543,000	USD	626				
	*(from 01.06.94:		JPY	77,000	CHF	1,060	KRW	612,000	USD	740)		
RU	RUR	53,000	CHF	288	USD	200						
SE	SEK	2,600 ⁸	3,600 ⁹	4,200 ¹⁰	FIM	1,875 ⁸	2,600 ⁹	3,030 ¹⁰				
	CHF	460 ⁸	635 ⁹	740 ¹⁰	NOK	2,290 ⁸	3,170 ⁹	3,695 ¹⁰				
	DKK	2,115 ⁸	2,930 ⁹	3,420 ¹⁰	USD	320 ⁸	442 ⁹	515 ¹⁰				
US	USD	620	(410 ¹¹)	CHF	890	(590 ¹¹)						

Table II – PRELIMINARY EXAMINATION FEES
(as at 1 May 1994, unless otherwise indicated)

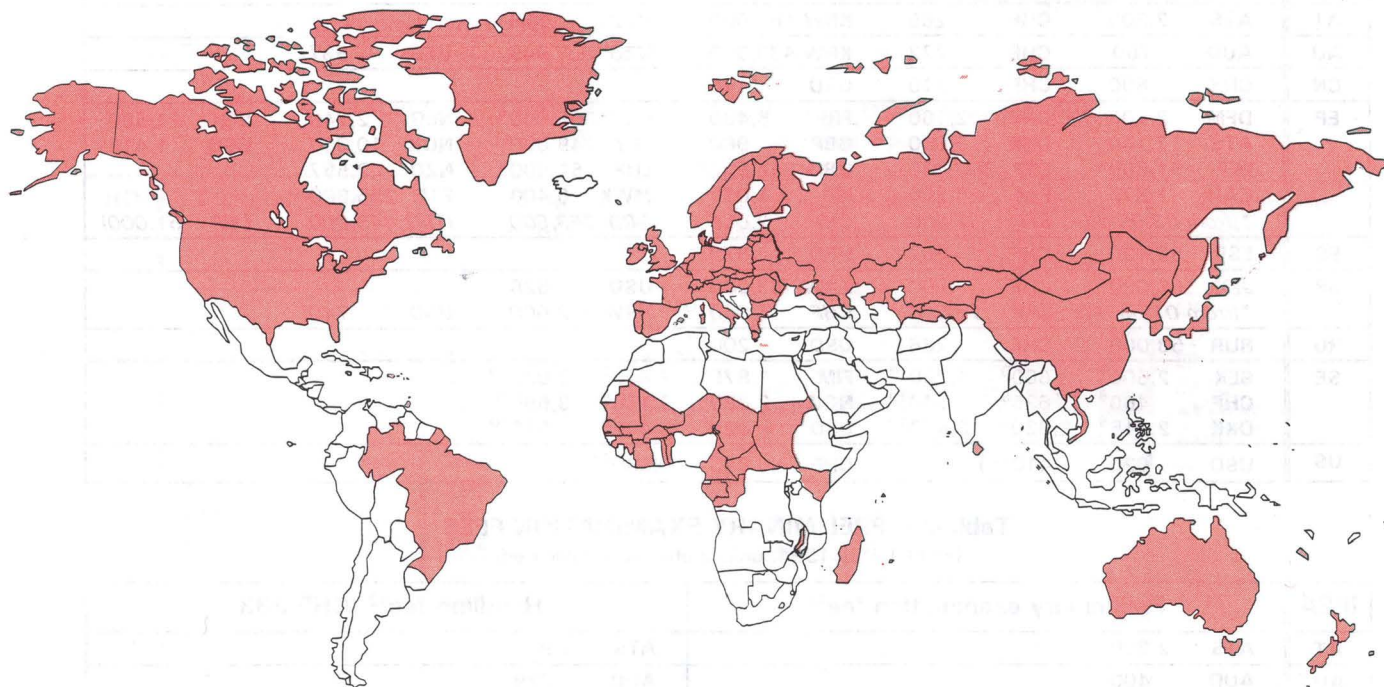
IPEA	Preliminary examination fee ¹²				Handling fee ¹² (CHF 233)							
AT	ATS	2,200			ATS	1,902						
AU	AUD	400			AUD	229						
CN	CNY	800			CNY equiv of CHF 233							
EP	DEM	3,000	FRF	10,600	NLG	3,430	DEM	270	FRF	920	NLG	305
	ATS	21,430	GBP	1,200	PTE	319,100	ATS	1,902	GBP	108	PTE	27,000
	BEF	64,500*	IEP	1,271	SEK	14,490	BEF	5,568	IEP	109	SEK	1,330
	CHF	2,700*	ITL	2,885,000*			CHF	233	ITL	270,000		
	DKK	12,000	LUF	64,500*			DKK	1,050	LUF	5,568		
*(from 03.05.94:		BEF	63,700	CHF	2,580							
ITL		3,061,000	LUF	63,700)								
JP	JPY	23,000	(from 01.06.94:	JPY	28,000)	JPY	18,000					
RU	RUR	26,000	USD	300	USD	162						
SE	SEK	3,200			SEK	1,330						
US	USD	450	(670 ¹³)		USD	162						

Key to currency abbreviations for all fee tables:

ATS Schilling	DEM Mark	ITL Lira	MNT Tugrik	SDP Sudanese Pound
AUD Australian Dollar	DKK Danish Krone	JPY Yen	MWK Kwacha	SEK Swedish Krona
BEF Belgian Franc	ESP Peseta	KGS Som	NLG Guilder	SIT Tolar
BGL Lev	FIM Markka	KPW Won	NOK Norwegian Krone	SKK Slovak Koruna
BRC Cruzeiro Real	FRF French Franc	KRW Won	NZD New Zealand Dollar	TTD Trinidad and Tobago Dollar
CAD Canadian Dollar	GBP Pound Sterling	LTL Lita	PLZ Zloty	UAK Karbovanets
CHF Swiss Franc	GRD Drachma	LUF Luxembourg Franc	PTE Escudo	USD US Dollar
CNY Yuan Renminbi	HUF Forint	LVL Lat	ROL Leu	VND Dong
CZK Czech Koruna	IEP Punt	MDL Moldovan Leu	RUR Rouble	

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee.
- 3 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 4 The amounts are not yet known. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 5 Payments can also be made in ATS, BEF, CHF, DKK, ESP, FRF, GBP, GRD, IEP, ITL, LUF, NLG, PTE or SEK. For the amounts in the said currencies, reference should be made to the latest issue of the *Official Journal* of the EPO.
- 6 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national.
- 7 10% of the basic fee, supplement fee, designation fee and search fee.
- 8 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Swedish Patent Office.
- 9 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Danish Patent Office, the National Board of Patents and Registration (Finland) or the Norwegian Patent Office.
- 10 In all cases where 8 and 9 do not apply.
- 11 Payable when a corresponding prior US national application has been filed and the basic national fee has been paid.
- 12 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 13 Payable when the international search report was not established by the United States Patent and Trademark Office.

PCT CONTRACTING STATES AND TWO-LETTER CODES (69 on 1 May 1994)*



In the Americas

BB Barbados
BR Brazil
CA Canada
TT *Trinidad and Tobago*
US United States of America

In Europe

EPO
AT Austria
BE Belgium
CH Switzerland
DE Germany
DK Denmark
ES Spain
FR France
GB United Kingdom
GR Greece
IE Ireland
IT Italy
LI Liechtenstein
LU Luxembourg
MC Monaco
NL Netherlands
PT Portugal
SE Sweden

Non-EPO

BG Bulgaria
BY Belarus
CZ Czech Republic
FI Finland
HU Hungary
LT *Lithuania (from 5 July 1994)**
LV Latvia
MD *Republic of Moldova*
NO Norway
PL Poland
RO Romania
RU Russian Federation
SI *Slovenia*
SK Slovakia
UA Ukraine

In Africa

OAPI
BF Burkina Faso
BJ Benin
CF Central African Republic
CG Congo
CI Côte d'Ivoire
CM Cameroon
GA Gabon
GN Guinea
ML Mali
MR Mauritania
NE Niger
SN Senegal
TD Chad
TG Togo

Non-OAPI

KE *Kenya (from 8 June 1994)**
MG Madagascar
MW Malawi
SD Sudan

In Asia and the Pacific

AU Australia
CN China
GE *Georgia*
JP Japan
KG *Kyrgyzstan*
KP Democratic People's Republic of Korea
KR Republic of Korea
KZ Kazakhstan
LK Sri Lanka
MN Mongolia
NZ New Zealand
TJ *Tajikistan*
UZ Uzbekistan
VN Viet Nam

Important: any States indicated in **bold italics** have adhered to the PCT since the latest version of the request form (PCT/RO/101) was issued in January 1994. If the applicant wishes to designate any States which are bound by the PCT on the date on which the international application is filed but which are not listed in the request form, he must add them on the request form, preferably in Box No. V. **To avoid any unintentional omission of designations**, applicants should, when filing international applications, use the latest version of the request form, which can be obtained from receiving Offices or the International Bureau.

The latest versions of the request and demand (PCT/IPEA/401) forms are reproduced in Annexes X and Y, respectively, of the *PCT Applicant's Guide*, Volume I, as updated to January 1994. The demand form can also be obtained from International Preliminary Examining Authorities or the International Bureau.

* This list includes all States that have adhered to the PCT by the date shown in the heading. Where a State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date.

B1**Information on Contracting States****B1****GE****GEORGIA****GE****General information**

Name of Office:	Sakartvelos Sapatento Utksheba Georgian Patent Office
Location and mailing address:	47, Kostava St., Tbilisi 380079, Georgia
Telephone:	(78832) 36 41 13, 98 84 19
Facsimile machine:	(78832) 98 84 97
Teleprinter:	(064) 212148 HALLO SU
Does the Office accept the filing of documents by means of telecommunication (PCT Rule 92.4)?	Yes, by teleprinter and facsimile machine
Which kinds of documents may be so transmitted?	All kinds of documents
Must the original of the document be furnished in all cases?	Yes, within 14 days from the date of transmission
Would the Office accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used (PCT Rule 82.1)?	Yes, provided that the delivery service is DHL or Federal Express
Competent receiving Office for nationals and residents of Georgia	Georgian Patent Office or International Bureau of WIPO, at the choice of the applicant
Competent designated (or elected) Office if Georgia is designated (or elected):	Georgian Patent Office
May Georgia be elected?	Yes (bound by Chapter II of the PCT)
Types of protection available:	Patents, patents of addition, utility models
Provisions of the law of Georgia concerning international-type search:	None
Provisional protection after international publication:	None

[continued on next page]

B1

Information on Contracting States

B1

GE

GEORGIA

GE

[continued]

Information of interest if Georgia is designated (or elected)

Time when the name and address of the inventor must be given if Georgia is designated:

Must be in the request. If the data concerning the inventor are missing at the expiration of the time limit under Article 22 or 39(1)(a), the Georgian Patent Office will invite the applicant to comply with the requirements within a time limit of two months from the date of receipt of the invitation.

Does the Office disregard the priority claim if the priority document was not submitted within 16 months from the priority date under PCT Rule 17.1?

No

Are there special provisions concerning the deposit of microorganisms?

Yes (see Annex L)

B1**Information on Contracting States****B1****LT****LITHUANIA****LT****General information**

Name of Office:	Lietuvos Respublikos Valstybinis patentu biuras Lithuanian Patent Office
Location and mailing address:	Algirdo g. 31, 2600 Vilnius, Lithuania
Telephone:	(370-2) 66 03 49
Facsimile machine:	(370-2) 66 03 57
Teleprinter:	–
Does the Office accept the filing of documents by means of telecommunication (PCT Rule 92.4)?	No
Would the Office accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used (PCT Rule 82.1)?	Yes, provided that the delivery service is DHL or Federal Express
Competent receiving Office for nationals and residents of Lithuania:	Lithuanian Patent Office or International Bureau of WIPO, at the choice of the applicant (see Annex C)
Competent designated (or elected) Office if Lithuania is designated (or elected):	Lithuanian Patent Office (see Volume II)
May Lithuania be elected?	Yes (bound by Chapter II of the PCT)
Types of protection available:	Patents
Provisions of the law of Lithuania concerning international-type search:	None

[continued on next page]

B1

Information on Contracting States

B1

LT

LITHUANIA

LT

[continued]

Provisional protection after international publication:

After international publication, the furnishing of a translation of the claims into Lithuanian gives the applicant provisional protection in the sense that he, upon grant of the patent, is entitled to damages (see Article 48 of the Lithuanian Patent Law)

Information of interest if Lithuania is designated (or elected)

Time when the name and address of the inventor must be given if Lithuania is designated:

Must be in the request. If the data concerning the inventor are missing at the expiry of the time limit under PCT Article 22 or 39(1)(a), the Lithuanian Patent Office will invite the applicant to comply with the requirement within the time limit indicated in the invitation.

Does the Office disregard the priority claim if the priority document was not submitted within 16 months from the priority date under PCT Rule 17.1?

No

Are there special provisions concerning the deposit of microorganisms?

Yes (see Annex L)

B1**Information on Contracting States****B1****MD****REPUBLIC OF MOLDOVA****MD****General information**

Name of Office:	Agentia de Stat pentru Protectia Proprietatii Industriale Moldova Patent Office
Location and mailing address:	24/1 A, Doga Str., 277024 Kishinev, Republic of Moldova
Telephone:	(3732) 44 32 53, 44 01 19, 44 31 39, 49 30 87
Facsimile machine:	(3732) 44 01 19
Teleprinter:	–
Does the Office accept the filing of documents by means of telecommunication (PCT Rule 92.4)?	Yes, by facsimile machine
Which kinds of documents may be so transmitted?	All kinds of documents
Must the original of the document be furnished in all cases?	Yes, within 14 days from the date of the transmission, if the transmitted document is an international application or a replacement sheet containing corrections or amendments of an international application. No, only upon invitation in the case of other documents.
Would the Office accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used (PCT Rule 82.1)?	Yes, provided that the delivery service is DHL or Federal Express
Competent receiving Office for nationals and residents of the Republic of Moldova:	Moldova Patent Office or International Bureau of WIPO, at the choice of the applicant (see Annex C)
Competent designated (or elected) Office if the Republic of Moldova is designated (or elected):	Moldova Patent Office (see Volume II)
May the Republic of Moldova be elected?	Yes (bound by Chapter II of the PCT)
Types of protection available:	Patents, utility models
Provisions of the law of the Republic of Moldova concerning international-type search:	None

[continued on next page]

B1

Information on Contracting States

B1

MD

REPUBLIC OF MOLDOVA

MD

[continued]

Provisional protection after international publication:

After international publication, the furnishing of a translation of the international application into Romanian gives the applicant provisional protection in the sense that he, upon grant of the patent, is entitled to damages (see Art. 54 of the "Provisional Regulations of Industrial Property Protection" of the Republic of Moldova)

Information of interest if the Republic of Moldova is designated (or elected)

Time when the name and address of the inventor must be given if the Republic of Moldova is designated:

Must be in the request. If the data concerning the inventor are missing at the expiry of the time limit under PCT Article 22 or 39(1)(a), the Moldova Patent Office will invite the applicant to comply with the requirement within a time limit of two months from the date of receipt of the invitation.

Does the Office disregard the priority claim if the priority document was not submitted within 16 months from the priority date under PCT Rule 17.1?

No

Are there special provisions concerning the deposit of microorganisms?

Yes (see Annex L)

B1**Information on Contracting States****B1****TT****TRINIDAD AND TOBAGO****TT****General information**

Name of Office:	Intellectual Property Registry, Registrar General's Department
Location and mailing address:	34 Frederick Street, Port of Spain, Trinidad and Tobago
Telephone:	(1-809) 624 16 60, 625 99 71-2
Facsimile machine:	(1-809) 625 65 30
Teleprinter:	—
Does the Office accept the filing of documents by means of telecommunication (PCT Rule 92.4)?	Yes, by facsimile machine
Which kinds of documents may be so transmitted?	All kinds of documents
Must the original of the document be furnished in all cases?	Yes, within 14 days from the date of the transmission, if the document transmitted is an international application or a replacement sheet containing corrections or amendments of an international application. No, only upon invitation in the case of other documents.
Would the Office accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used (PCT Rule 82.1)?	Yes, provided that the delivery service is DHL or Federal Express
Competent receiving Office for nationals and residents of Trinidad and Tobago:	Intellectual Property Registry, Registrar General's Department or International Bureau of WIPO, at the choice of the applicant (see Annex C)
Competent designated (or elected) Office if Trinidad and Tobago is designated (or elected):	Intellectual Property Registry, Registrar General's Department (see Volume II)
May Trinidad and Tobago be elected?	Yes (bound by Chapter II of the PCT)
Types of protection available:	Patents, utility certificates
Provisions of the law of Trinidad and Tobago concerning international-type search:	None
Provisional protection after international publication:	None

[continued on next page]

B1

Information on Contracting States

B1

TT

TRINIDAD AND TOBAGO

TT

[continued]

Information of interest if Trinidad and Tobago is designated (or elected)

Time when the name and address of the inventor must be given if Trinidad and Tobago is designated:

Must be in the request. If not already complied with within the time limit applicable under PCT Article 22 or 39(1)(a), the Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation.

Does the Office disregard the priority claim if the priority document was not submitted within 16 months from the priority date under PCT Rule 17.1?

No

Are there special provisions concerning the deposit of microorganisms?

No



PCT NEWSLETTER

WIPO Publication No. 115 (E)

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NEWSLETTER

JUNE 1994

No. 04/1994

LAST COMPLIMENTARY ISSUE OF THE *PCT NEWS-* *LETTER*

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NEW PCT CONTRACTING STATES

Armenia (country code: AM)

On 17 May 1994, Armenia deposited a declaration of continuation of the PCT as a successor State to the former Soviet Union. Consequently, in any international application filed on or after 17 May 1994, Armenia may be designated and, because it is bound by Chapter II of the PCT, may also be elected. Furthermore, as from 17 May 1994, nationals and residents of Armenia are entitled to file international applications under the PCT.

Applicants are, under the PCT Regulations, afforded the possibility of requesting the extension of the effects of any international application filed between 25 December 1991 and 18 July 1994 to Armenia (see PCT Rule 32).

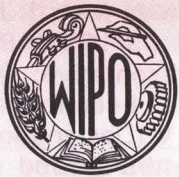
The applicant in respect of each international application concerned, or his agent, will receive a notification from the Interna-

tional Bureau informing him about the possibility and the applicable conditions for extending the effects of his international application to Armenia.

Estonia (country code: EE)

Estonia deposited its instrument of accession to the PCT on 24 May 1994, and will become bound by the PCT on 24 August 1994. Therefore, in any international application filed on or after 24 August 1994, Estonia may be designated and, because it is bound by Chapter II of the PCT, may also be elected. Furthermore, as from 24 August 1994, nationals and residents of Estonia will be entitled to file international applications under the PCT.

[continued on page 2]



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ORGANIZATION
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Switzerland

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WIPO Publication
No. 115 (E)
ISSN 1020-072 X

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Tear-out sheets: provisional sheets for the *PCT Applicant's Guide*, Vol. I, Annex A, Annex B1 (KG), (TJ) and (UZ), Annex X (modified sheets of request form (PCT/RO/101))

Loose-leaf sheet: *PCT Newsletter* subscription form

NEW PCT CONTRACTING STATES

[continued from page 1]

Liberia (country code: LR)

Liberia deposited its instrument of accession to the PCT on 27 May 1994, and will become bound by the PCT on 27 August 1994. Therefore, in any international application filed on or after 27 August 1994, Liberia may be designated and, because it is bound by Chapter II of the PCT, may also be elected. Furthermore, as from 27 August 1994, nationals and residents of Liberia will be entitled to file international applications under the PCT.

NEW PATENT LAW OF MADAGASCAR

The International Bureau has been informed that a patent law has been published in Madagascar. This law provides that:

- (a) the International Bureau of WIPO would, until further notice, act as receiving Office for international applications filed by residents and nationals of Madagascar;
- (b) for international applications designating Madagascar which were filed before the entry into force of the patent law, the applicant must, for entering the national phase before the Industrial Property Office of Madagascar (OMAPI), pay a filing fee and furnish, where required, a translation of the international application into French within one year from the date of entry into force, unless the applicable time limit of 20 or 30 months from the priority date under PCT Article 22 or 39(1)(a) expires later.

The address of OMAPI is:

Malagasy Industrial Property Office
Ministry of Industrial Promotion and
Tourism, B.P. 527
Antananarivo (101), Madagascar
Tel: (261-2) 25 515
Fax: (261-2) 27 790

WIPO is in contact with the Government of Madagascar to determine further details for the national phase. It should be noted that the new patent office of Madagascar is still in its early stages of operation and details concerning the implementation of the new law need still to be established. As soon as further information is received by WIPO, and the date of entry into force of the patent law is known, it will be published in the *PCT Newsletter*.

PCT INFORMATION UPDATE

Greece (fees)

The transmittal fee payable to the Industrial Property Organization of Greece as receiving

Office has changed. The new amount of the fee is shown in Table 1(a) on page 6. The fee for a priority document has changed to 10,000 Drachmae.

Norway (fees)

As from 1 August 1994, there will be a change in the amounts, in Norwegian Krone (NOK), of the fee for international search by the Swedish Patent Office. The new amounts are shown in Table 1(b), on page 7.

List of Contracting States

The pink tear-out provisional sheet for the *PCT Applicant's Guide*, Vol. I, Annex A (Contracting States of the PCT) includes the States which have adhered to the PCT since the provisional sheet for Annex A was published in *PCT Newsletter* No. 02/1994, and the corresponding dates of entry into force of the PCT. All changes are identified by a vertical line in the margin.

Kyrgyzstan, Tajikistan and Uzbekistan (general information)

General information about the above-mentioned States as Contracting States and information of interest if those States are designated (or elected) is set out in the pink tear-out provisional sheets for the *PCT Applicant's Guide*, Vol. I, Annex B1.

Modified request form (PCT/RO/101)

The request form has been modified with effect as from 5 July 1994 because several States have become bound by the PCT since last January and because a third regional patent (ARIPO) will be available as from 1 July 1994 (see *PCT Newsletter* No. 03/1994). Box No. IV (Agent or common representative; or address for correspondence) has been moved to the first sheet and Box No. V (Designation of States) now occupies the entire second sheet. The following Contracting States have been added to Box No. V under "national patents": AM Armenia, GE Georgia, KE Kenya, KG Kyrgyzstan, LT Lithuania, MD Republic of Moldova, SI Slovenia, TJ Tajikistan and TT Trinidad and Tobago; under "regional patent," AP ARIPO has been added for the following States: KE Kenya, MW Malawi and SD Sudan. Consequential modifications have been made to the Notes to Box No. V. In addition, the Notes to Box No. V have been modified to take into account the fact that certain kinds of protection other than patents have become available in certain States since January 1994.

The modified first and second sheets are included in this issue as white tear-out sheets

for the *PCT Applicant's Guide*, Vol. I, Annex X. These sheets may be photocopied and used, along with other sheets of the request form which remain unchanged, for the filing of international applications on or after 5 July 1994. The new sheets of the request form **should not be used before 5 July 1994** since Lithuania does not become bound by the PCT until that date.

A complete copy of the new version of the request form will be included in the July 1994 set of update sheets for the *PCT Applicant's Guide*. Copies of the new version of the request form (in English and French) can be obtained from receiving Offices or the International Bureau as from the end of June. Due to translation requirements, there may be a slight delay in the availability of request forms in languages other than English and French.

EXTENSION OF EUROPEAN PATENTS TO SLOVENIA

The following information is further to that which was given in *PCT Newsletter* No. 01/1994 (page 4) concerning the extension of European patents to Slovenia, and is being included here to emphasize certain important points which may need clarification. Slovenia (SI) is not a Contracting State of the

European Patent Convention and consequently, the designation "European Patent" (EP) in a PCT application cannot include SI. If protection is desired in SI, SI must be designated as such, that is for the purposes of a national patent.

The extension of a European patent to SI via the PCT route requires that: (i) both the designations EP and SI are made—either as express designations under PCT Rule 4.9(a) or as precautionary designations confirmed under PCT Rule 4.9(c)—and (ii) the PCT designation fees due for these designations are paid within the time limit applicable under PCT Rule 15.4(b) or 15.5; if this has been done, the extension of the European patent to SI is deemed requested. No further indication needs to be made by the applicant in the PCT request form.

In order to maintain the effect of the request for extension, the applicant must, furthermore, (iii) upon entry into the regional phase before the EPO, pay to the EPO the European extension fee within the applicable time limit of 21 or 31 months from the priority date. If this fee is not paid in time, the request for extension will be deemed withdrawn.

For detailed information, see the Official Journal of the EPO, No. 1-2/1994, page 75.

PCT SEMINAR CALENDAR		
Dates	Location/nature of seminar WIPO speakers	Who to contact for further information
20–21 June 1994	Boston (US) PCT seminar for patent attorneys and patent administrators, organized by the Boston Patent Law Association (BPLA) WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Mr. John DuPre Tel: (1-617) 861 62 40 Fax: (1-617) 861 95 40
12–13 July 1994	Munich (DE) PCT colloquium for frequent users, organized by Forum - Institut für Management GmbH WIPO speakers: Mr. Bartels and Mrs. Coeckelbergs	Forum Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
14–15 July 1994	Munich (DE) Advanced PCT seminar for patent administrators, organized by Forum - Institut für Management GmbH WIPO speaker: Mrs. Coeckelbergs	Forum Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
20 September 1994	Buenos Aires (Argentina) PCT seminar jointly organized by WIPO and the Secretary for Industry of the Ministry of the Economy, Works, and Public Services of Argentina WIPO speakers: Mr. Rubio and Mr. Bryan	Dra. Norma Félix de Sturla Tel: (54-1) 343 26 56 Fax: (54-1) 343 26 56
7 October 1994	Paris (FR) PCT seminar for patent attorneys, organized by Forum - Institut für Management GmbH WIPO speaker: Ms. Boutillon	Forum Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27

PRACTICAL ADVICE

This practical advice section features general questions which have been put to the staff of the PCT Legal Division and the PCT Administration Department of the International Bureau, and the answers which were given.

Requesting protection in addition to a patent

Q: I designated Germany in Box No. V of the request (Form PCT/RO/101) and wrote "utility model" on the dotted line after that country. Later, in reviewing the publication of the international application, I noticed that, in the list of designated States on the front page of the pamphlet, the type of protection requested for Germany was indicated as "utility model" only and not "national patent." I do not understand why there is no indication that a patent is also desired, since in Germany it is possible to request both types of protection, as was indeed my intention.

A: Where, in addition to a patent, a utility model is also desired (and where this is possible), the applicant must write on the dotted line after the name of the country concerned **"and utility model"** (see the *PCT Applicant's Guide*, paragraph 91). If only the words "utility model" are written, the application in that country will be treated as a request for utility model protection **instead of a patent**. Unfortunately, it is too late for you to take any action in the international phase. If you had realized your omission early enough, you would have been able to designate Germany for the purposes of a national patent by way of the confirmation of the precautionary designation of that State (see next question).

Unintentional omission in the request form of a request for a type of protection other than a patent

Q: On 15 April 1994, I filed an international application in which I designated Austria. I have just read in the PCT Newsletter (No. 03/1994) that a new utility model law entered into force in Austria on 1 April 1994, and that it has been possible, since that date, to designate Austria for the purposes of obtaining utility model protection, instead of or in addition to a national patent. Is it possible to add to the said international application an indication that I wish to obtain utility model protection in Austria, in addition to a national patent?

A: If the request form (PCT/RO/101) which you used contains a statement at the bottom of Box No. V regarding precautionary designations under PCT Rule 4.9(b), it will be possible for you to confirm the precautionary designation of Austria for the purposes of utility model protection, provided that a notice of confirmation is filed with, and the relevant fees are paid to, the receiving Office within 15 months from the priority date. For further information, please refer to the item entitled "unintentional omission of designations" in the practical advice section of *PCT Newsletter* No. 03/1994, or to the *PCT Applicant's Guide*, Vol. I, paragraphs 87–88 and 199–202.

TYPES OF PROTECTION AVAILABLE IN PCT CONTRACTING STATES

The table which follows on page 5 indicates, for each PCT Contracting State, the type of protection which can be requested for that State when making designations in an international application.

POST VACANCY AT WIPO Director, PCT Operations Division

WIPO has an opening at its headquarters in Geneva, Switzerland, for the post of Director of the PCT Operations Division. The Division handles the processing and publication of over 30,000 international applications per year. Duties include: supervising and directing the staff and activities of the PCT Operations Division; developing proposals for improving and modernizing the functioning of the Division; preparing documents for the Assembly of the PCT Union and other meetings; representing WIPO at meetings connected with the PCT; maintaining relations with the international authorities under the PCT and with the national Offices.

Candidates must have a university degree in law or engineering or an equivalent qualification; extensive knowledge and professional experience in the field of patents, including its international aspects, and a thorough knowledge of the PCT; proven experience and ability in the management of large administrative units, an excellent knowledge of English or French and a very good knowledge of the other language. The ability to work in other languages would be an advantage. Remuneration and benefits package are in accordance with United Nations common system conditions.

Please send a detailed curriculum vitae and recent passport-size photograph to: Personnel Recruitment Section, WIPO, 34, chemin des Colombettes, 1211 Geneva 20, Switzerland, (fax no. (41-22) 733 54 28), quoting vacancy announcement no. D1051. Applications must reach WIPO before 12 August 1994.

TYPES OF PROTECTION AVAILABLE IN PCT CONTRACTING STATES							
State	National patent	ARIPO patent ¹	European patent	OAPI patent	Utility model instead of patent	Utility Model in addition to patent	Other
AM ²	X				X		Provisional patent
AT	X		X		X	X	Patent of addition
AU	X						Patent of addition Petty patent
BB	X						
BE			X				
BG	X				X		
BR	X				X		
BY	X						
CA	X						
CH + LI	X		X				
CN	X				X		
CZ	X				X	X	
DE	X		X		X	X	Patent of addition
DK	X		X		X	X	
EE ^{2, 3}	X						
ES	X		X		X		Patent of addition
FI	X				X	X	
FR			X				
GB	X		X				
GE	X				X		Patent of addition
GR			X				
HU	X				X		
IE			X				
IT			X				
JP	X				X		
KE ⁴	X	X			X		
KG	X				X		Provisional patent
KP	X						Inventor's certificate
KR	X				X		
KZ	X				X		Provisional patent
LK	X						
LR ^{2, 5}	X						
LT ⁶	X						
LU	X		X				Certificate of addition
LV	X						
MC			X				
MD	X				X		
MG	X						
MN	X						
MW	X	X					Patent of addition
NL	X		X				
NO	X						
NZ	X						Patent of addition
PL	X				X		
PT	X		X		X		
RO	X						
RU	X				X		
SD	X	X					
SE	X		X				
SI	X		X ⁷				Patent of addition
SK	X				X	X	
TJ	X				X		
TT	X						Utility certificate
UA	X						
US	X						
UZ	X				X		Provisional patent
VN	X				X		
OAPI States ⁸				X	X (OAPI utility model)		OAPI certificate of addition

1 From 1 July 1994

2 Information regarding any type of protection other than a national patent is not yet available

3 From 24 August 1994

4 From 8 June 1994

5 From 27 August 1994

6 From 5 July 1994

7 Extended European patent

8 Benin, Burkina Faso, Cameroon, Central African Republic, Chad, Congo, Côte d'Ivoire, Gabon, Guinea, Mali, Mauritania, Niger, Senegal, Togo.

PCT FEE TABLES

The following Tables show the amounts (including currencies) for the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Table I(a) and Table I(b)) and under Chapter II (Table II). Fees which are payable only in special circumstances are not shown; nor are details of reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Volume I, Annexes C, D and E. Note that all amounts are subject to changes due to fee increases or fluctuations in exchange rates.

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 June 1994, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ¹ (CHF 762)	Supplement per sheet over 30 ¹ (CHF 15)	Designation fee ^{1,2} (CHF 185)	Competent ISA(s) ³
AT	ATS 700	ATS 6,220	122	1,510	EP
AU	AUD 55	AUD 748	15	182	AU
BE	BEF 1,500	BEF 18,208	358	4,421	EP
BG	BGL 600	BGL equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
BR	BRC 21,670	BRC equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP SE US
BY	RUR ⁴ –	USD 530	10	128	EP RU
CA	CAD 200	CAD 696	14	168	EP
CH	CHF 100	CHF 762	15	185	EP
CN	CNY 500	CNY equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	CN
CZ	CZK 1,200	CZK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
DE	DEM 150	DEM 883	17	214	EP
DK	DKK 1,500	DKK 3,440	70	840	EP SE
EP ⁵	DEM 200	DEM 883	17	214	EP
ES	None	ESP 76,300	1,500	18,500	EP
FI	FIM 700	FIM 3,200	65	780	EP SE
FR	FRF 400	FRF 3,010	60	730	EP
GB	GBP 55	GBP 352	7	85	EP
GR	GRD 30,000	GRD 131,000	3,000	32,000	EP
HU	HUF 1,000	HUF equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT RU
IB	CHF 300 or USD 200	CHF 762 or USD 530	15 10	185 128	See note 6
IE	IEP 60	IEP 357	7	87	EP
IT	ITL 60,000	ITL 884,000	17,000	215,000	EP
JP	JPY 16,000	JPY 59,000	1,000	14,000	EP JP
KG	KGS ⁴ –	USD 530	10	128	EP RU
KP	KPW 50	KPW equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	RU
KR	KRW 40,000	KRW 427,000	8,000	103,000	AT AU JP
KZ	RUR ⁴ –	USD 530	10	128	EP RU
LT	LTL equiv of USD 80	USD 530	10	128	EP RU
LU	LUF/BEF 1,000	LUF/BEF 18,208	358	4,421	EP
LV	LVL 40	USD 530	10	128	EP RU
MC	FRF 200	FRF 3,010	60	730	EP
MD	MDL ⁴ –	USD 530	10	128	EP RU
MN	None	MNT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
MW	MWK 8	MWK 2,350	46	570	EP
NL	NLG 110	NLG 1,000	20	240	EP
NO	NOK 500	NOK 3,940	80	960	EP SE
NZ	NZD 155	NZD 976	19	237	AU EP
PL	PLZ 1,500,000	PLZ equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
PT	PTE 3,000	PTE 89,000	1,800	21,700	EP
RO	ROL 10,000	CHF 762	15	185	AT EP RU
RU	RUR 13,000	USD 530	10	128	RU
SD	SDP 50	SDP equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SE	SEK 600	SEK 4,355	85	1,060	EP SE
SI	SIT See note 7	SIT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SK	SKK 1,200	SKK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
TJ	RUR ⁴ –	USD 530	10	128	EP RU
TT	TTD ⁴ –	USD 530	10	128	AT EP SE US
UA	UAK ⁴ –	USD 530	10	128	EP RU
US	USD 200	USD 530	10	128	EP US
UZ	USD ⁴ –	USD 530	10	128	EP RU
VN	USD 50	VND equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT AU EP RU SE

Table I(b) – SEARCH FEES
(as at 1 June 1994, unless otherwise indicated)

ISA	Search fee ¹											
AT	ATS	2,200	CHF	285	KRW	161,000	USD	198				
AU	AUD	750	CHF	772	KRW	439,000	NZD	945	USD	537		
CN	CNY	800	CHF	210	USD	146						
EP	DEM	2,400	CHF	2,060	FRF	8,480	ITL	2,449,000	NLG	2,740	SEK	11,590
	ATS	17,140	DKK	9,600	GBP	960	JPY	149,000	NOK	10,300	USD	1,415
	BEF	51,000	ESP	203,400	GRD	363,600	LUF	51,000	NZD	2,557		
	CAD	1,870	FIM	8,200	IEP	1,017	MWK	6,400	PTE	255,300		
ES	ESP	50,100	CHF	537	USD	373						
JP	JPY	77,000	CHF	1,060	KRW	612,000	USD	740				
RU	RUR	53,000	CHF	288	USD	200						
SE	SEK	2,600 ⁸	3,600 ⁹	4,200 ¹⁰	FIM	1,875 ⁸	2,600 ⁹	3,030 ¹⁰				
	CHF	460 ⁸	635 ⁹	740 ¹⁰	NOK*	2,290 ⁸	3,170 ⁹	3,695 ¹⁰				
	DKK	2,115 ⁸	2,930 ⁹	3,420 ¹⁰	USD	320 ⁸	442 ⁹	515 ¹⁰				
	*(from 01.08.94: NOK 2,430 ⁸ 3,365 ⁹ 3,925 ¹⁰)											
US	USD	620	(410 ¹¹)	CHF	890	(590 ¹¹)						

Table II – PRELIMINARY EXAMINATION FEES
(as at 1 June 1994, unless otherwise indicated)

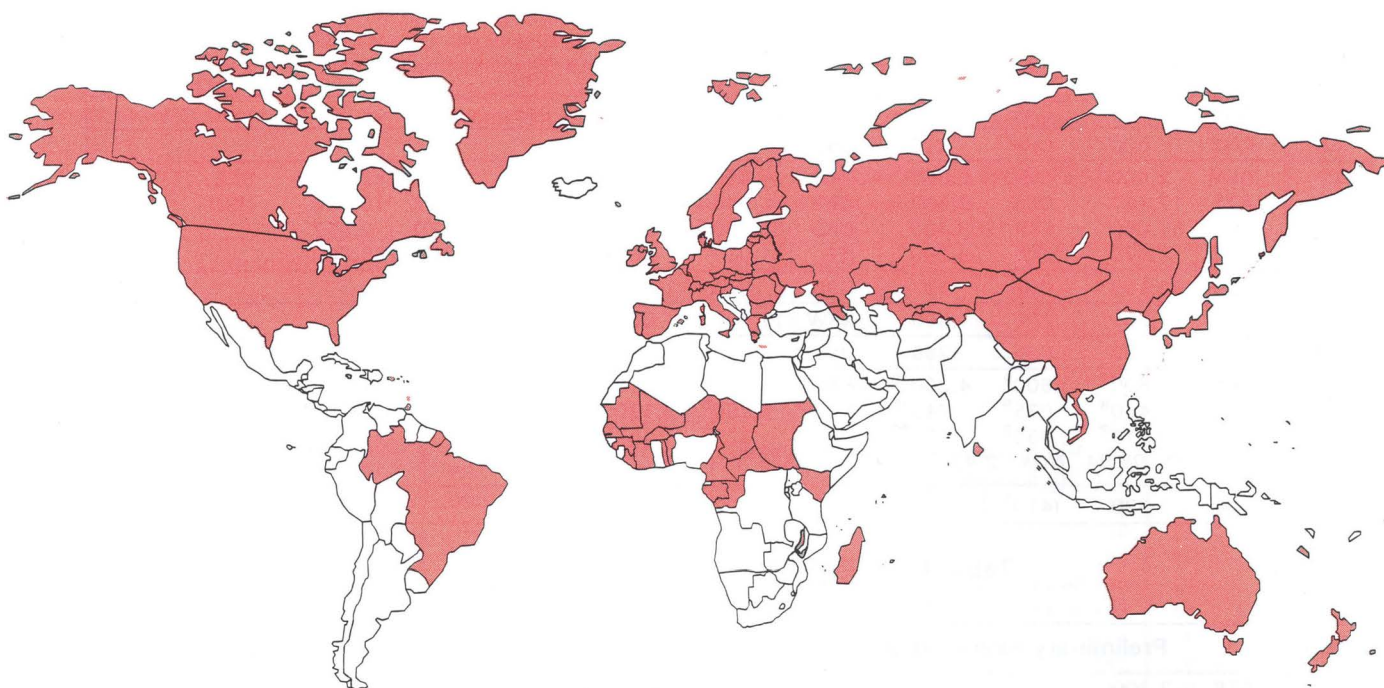
IPEA	Preliminary examination fee ¹²				Handling fee ¹² (CHF 233)							
AT	ATS	2,200			ATS	1,902						
AU	AUD	400			AUD	229						
CN	CNY	800			CNY equiv of CHF 233							
EP	DEM	3,000	FRF	10,600	NLG	3,430	DEM	270	FRF	920	NLG	305
	ATS	21,430	GBP	1,200	PTE	319,100	ATS	1,902	GBP	108	PTE	27,000
	BEF	63,700	IEP	1,271	SEK	14,490	BEF	5,568	IEP	109	SEK	1,330
	CHF	2,580	ITL	3,061,000			CHF	233	ITL	270,000		
	DKK	12,000	LUF	63,700			DKK	1,050	LUF	5,568		
JP	JPY	28,000			JPY	18,000						
RU	RUR	26,000	USD	300	USD	162						
SE	SEK	3,200			SEK	1,330						
US	USD	450	(670 ¹³)		USD	162						

Key to currency abbreviations for all fee tables:

ATS	Schilling	DEM	Mark	ITL	Lira	MNT	Tugrik	SDP	Sudanese Pound
AUD	Australian Dollar	DKK	Danish Krone	JPY	Yen	MWK	Kwacha	SEK	Swedish Krona
BEF	Belgian Franc	ESP	Peseta	KGS	Som	NLG	Guilder	SIT	Tolar
BGL	Lev	FIM	Markka	KPW	Won	NOK	Norwegian Krone	SKK	Slovak Koruna
BRC	Cruzeiro Real	FRF	French Franc	KRW	Won	NZD	New Zealand Dollar	TTD	Trinidad and Tobago Dollar
CAD	Canadian Dollar	GBP	Pound Sterling	LTL	Lita	PLZ	Zloty	UAK	Karbovanets
CHF	Swiss Franc	GRD	Drachma	LUF	Luxembourg Franc	PTE	Escudo	USD	US Dollar
CNY	Yuan Renminbi	HUF	Forint	LVL	Lat	ROL	Leu	VND	Dong
CZK	Czech Koruna	IEP	Punt	MDL	Moldovan Leu	RUR	Rouble		

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee.
- 3 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 4 The amounts are not yet known. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 5 Payments can also be made in ATS, BEF, CHF, DKK, ESP, FRF, GBP, GRD, IEP, ITL, LUF, NLG, PTE or SEK. For the amounts in the said currencies, reference should be made to the latest issue of the *Official Journal* of the EPO.
- 6 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national.
- 7 10% of the basic fee, supplement fee, designation fee and search fee.
- 8 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Swedish Patent Office.
- 9 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Danish Patent Office, the National Board of Patents and Registration (Finland) or the Norwegian Patent Office.
- 10 In all cases where 8 and 9 do not apply.
- 11 Payable when a corresponding prior US national application has been filed and the basic national fee has been paid.
- 12 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 13 Payable when the international search report was not established by the United States Patent and Trademark Office.

PCT CONTRACTING STATES AND TWO-LETTER CODES (72 on 1 June 1994)*



In the Americas

BB Barbados
BR Brazil
CA Canada
TT *Trinidad and Tobago*
US United States of America

In Europe

EPO
AT Austria
BE Belgium
CH Switzerland
DE Germany
DK Denmark
ES Spain
FR France
GB United Kingdom
GR Greece
IE Ireland
IT Italy
LI Liechtenstein
LU Luxembourg
MC Monaco
NL Netherlands
PT Portugal
SE Sweden

Non-EPO

BG Bulgaria
BY Belarus
CZ Czech Republic
EE *Estonia (from 24 August 1994)**
FI Finland
HU Hungary
LT *Lithuania (from 5 July 1994)**
LV Latvia
MD *Republic of Moldova*
NO Norway
PL Poland
RO Romania
RU Russian Federation
SI *Slovenia*
SK Slovakia
UA Ukraine

In Africa

ARIPO (from 1 July 1994)*

KE *Kenya (from 8 June 1994)**

MW Malawi
SD Sudan

OAPI

BF Burkina Faso
BJ Benin
CF Central African Republic
CG Congo
CI Côte d'Ivoire
CM Cameroon
GA Gabon
GN Guinea
ML Mali
MR Mauritania
NE Niger
SN Senegal
TD Chad
TG Togo

Non-ARIPO or OAPI

LR *Liberia (from 27 August 1994)**
MG Madagascar

In Asia and the Pacific

AM *Armenia*
AU Australia
CN China
GE *Georgia*
JP Japan
KG *Kyrgyzstan*
KP Democratic People's Republic of Korea
KR Republic of Korea
KZ Kazakhstan
LK Sri Lanka
MN Mongolia
NZ New Zealand
TJ *Tajikistan*
UZ Uzbekistan
VN Viet Nam

Important: any States indicated in ***bold italics*** have adhered to the PCT since the request form (PCT/RO/101) was issued as updated to January 1994. If the applicant wishes to designate any States which are bound by the PCT on the date on which the international application is filed but which are not listed in the request form, he must add them on the request form, preferably in Box No. V. **To avoid any unintentional omission of designations**, applicants should, when filing international applications, use the latest version of the request form, which can be obtained from receiving Offices or the International Bureau. Note that the request form as updated to 5 July 1994, the modified sheets of which are included in this issue, contain those States which are bound by the PCT as of 5 July 1994, and can be used from that date onwards.

The request and demand (PCT/IPEA/401) forms, as updated to January 1994, are reproduced in Annexes X and Y, respectively, of the *PCT Applicant's Guide*, Volume I. The demand form can also be obtained from International Preliminary Examining Authorities or the International Bureau.

* This list includes all States that have adhered to the PCT by the date shown in the heading. Where a State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date.

A

Contracting States of the Patent Cooperation Treaty (PCT)

A

Name of State followed by country code	Date of entry into force of the PCT in respect of the State	Name of State followed by country code	Date of entry into force of the PCT in respect of the State
Armenia ³ AM	25 December 1991	Liberia LR	27 August 1994
Australia AU	31 March 1980	Liechtenstein ¹ LI	19 March 1980
Austria AT	23 April 1979	Lithuania LT	5 July 1994
Barbados BB	12 March 1985	Luxembourg LU	30 April 1978
Belarus ³ BY	25 December 1991	Madagascar MG	24 January 1978
Belgium BE	14 December 1981	Malawi MW	24 January 1978
Benin BJ	26 February 1987	Mali ML	19 October 1984
Brazil BR	9 April 1978	Mauritania MR	13 April 1983
Bulgaria BG	21 May 1984	Monaco MC	22 June 1979
Burkina Faso BF	21 March 1989	Mongolia MN	27 May 1991
Cameroon CM	24 January 1978	Netherlands ⁵ NL	10 July 1979
Canada CA	2 January 1990	New Zealand NZ	1 December 1992
Central African Republic CF	24 January 1978	Niger NE	21 March 1993
Chad TD	24 January 1978	Norway ² NO	1 January 1980
China CN	1 January 1994	Poland PL	25 December 1990
Congo CG	24 January 1978	Portugal PT	24 November 1992
Côte d'Ivoire CI	30 April 1991	Republic of Korea KR	10 August 1984
Czech Republic CZ	1 January 1993	Republic of Moldova ³ MD	25 December 1991
Democratic People's Republic of Korea KP	8 July 1980	Romania ³ RO	23 July 1979
Denmark DK	1 December 1978	Russian Federation ³ RU	29 March 1978
Estonia EE	24 August 1994	Senegal SN	24 January 1978
Finland ² FI	1 October 1980	Slovakia SK	1 January 1993
France ^{3,4} FR	25 February 1978	Slovenia SI	1 March 1994
Gabon GA	24 January 1978	Spain ¹ ES	16 November 1989
Georgia ³ GE	25 December 1991	Sri Lanka LK	26 February 1982
Germany DE	24 January 1978	Sudan SD	16 April 1984
Greece ¹ GR	9 October 1990	Sweden ² SE	17 May 1978
Guinea GN	27 May 1991	Switzerland ¹ CH	24 January 1978
Hungary ³ HU	27 June 1980	Tajikistan ³ TJ	25 December 1991
Ireland IE	1 August 1992	Togo TG	24 January 1978
Italy IT	28 March 1985	Trinidad and Tobago TT	10 March 1994
Japan JP	1 October 1978	Ukraine ³ UA	25 December 1991
Kazakhstan ³ KZ	25 December 1991	United Kingdom ⁶ GB	24 January 1978
Kenya KE	8 June 1994	United States of America ^{7,8} US	24 January 1978
Kyrgyzstan ³ KG	25 December 1991	Uzbekistan ³ UZ	25 December 1991
Latvia LV	7 September 1993	Viet Nam VN	10 March 1993

1 Not bound by Chapter II of the PCT (declaration under Article 64(1)(a)).

2 With the declaration provided for in Article 64(2)(a)(ii).

3 With the declaration provided for in Article 64(5).

4 Including all Overseas Departments and Territories.

5 Ratification for the Kingdom in Europe, Aruba and the Netherlands Antilles.

6 Extends to the territory of Hong Kong and to the Isle of Man.

7 With the declarations provided for in Articles 64(3)(a) and 64(4)(a).

8 Extends to all areas for which the United States of America has international responsibility.

B1**Information on Contracting States****B1****KG****KYRGYZSTAN****KG****General information**

Name of Office:	Kyrgyz Respublikasynyn Ilim Zhana Zhangy Technologialar Bojuncha Mamlekettik Komitetinin Patent Bashkarmasy Kyrgyz Patent Office
Location and mailing address:	87, Isanov Street, Bishkek 720001, Kyrgyzstan
Telephone:	(3312) 21 54 94, 21 23 18, 21 34 87, 21 48 87
Facsimile machine:	(3312) 21 25 91
Teleprinter:	–
Does the Office accept the filing of documents by means of telecommunication (PCT Rule 92.4)?	Yes, by facsimile machine
Which kinds of documents may be so transmitted?	All kinds of documents
Must the original of the document be furnished in all cases?	Yes, within 14 days from the date of the transmission, if the transmitted document is an international application or a replacement sheet containing corrections or amendments of an international application. No, only upon invitation in the case of other documents.
Would the Office accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used (PCT Rule 82.1)?	Yes, provided that the delivery service is DHL
Competent receiving Office for nationals and residents of Kyrgyzstan:	Kyrgyz Patent Office or International Bureau of WIPO, at the choice of the applicant (see Annex C)
Competent designated (or elected) Office if Kyrgyzstan is designated (or elected):	Kyrgyz Patent Office (see Volume II)
May Kyrgyzstan be elected?	Yes (bound by Chapter II of the PCT)
Types of protection available:	Patents, provisional patents, utility models
Provisions of the law of Kyrgyzstan concerning international-type search:	None
Provisional protection after international publication:	None

[continued on next page]

B1

Information on Contracting States

B1

KG

KYRGYZSTAN

KG

[continued]

Information of interest if Kyrgyzstan is designated (or elected)

Time when the name and address of the inventor must be given if Kyrgyzstan is designated:

Must be in the request. If the data concerning the inventor are missing at the expiry of the time limit under PCT Article 22 or 39(1)(a), the Kyrgyz Patent Office will invite the applicant to comply with the requirement within a time limit of two months from the date of receipt of the invitation.

Does the Office disregard the priority claim if the priority document was not submitted within 16 months from the priority date under PCT Rule 17.1?

No

Are there special provisions concerning the deposit of microorganisms?

No

B1**Information on Contracting States****B1****TJ****TAJIKISTAN****TJ****General information**

Name of Office:	Markazi Millii Patentu Akhbor Tajik Patent Office
Location and mailing address:	14-a, Ainy Street, 734042 Dushanbe, Tajikistan
Telephone:	(3772) 27 59 87, 27 58 77
Facsimile machine:	(3772) 21 04 04
Teleprinter:	—
Does the Office accept the filing of documents by means of telecommunication (PCT Rule 92.4)?	No
Would the Office accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used (PCT Rule 82.1)?	Yes, provided that the delivery service is DHL or Federal Express
Competent receiving Office for nationals and residents of Tajikistan:	Tajik Patent Office or International Bureau of WIPO, at the choice of the applicant (see Annex C)
Competent designated (or elected) Office if Tajikistan is designated (or elected):	Tajik Patent Office (see Volume II)
May Tajikistan be elected?	Yes (bound by Chapter II of the PCT)
Types of protection available:	Patents, utility models
Provisions of the law of Tajikistan concerning international-type search:	None
Provisional protection after international publication:	After international publication, the furnishing of a translation of the international application into Tajik (Farsi) or Russian or, if the international application was filed in Russian, of a copy of the application as filed, gives the applicant provisional protection in the sense that he, upon grant of the patent, is entitled to damages. See Art. 22 of the Provisional Regulations on Protection of Industrial Property.

[continued on next page]

B1

Information on Contracting States

B1

TJ

TAJIKISTAN

TJ

[continued]

Information of interest if Tajikistan is designated (or elected)

Time when the name and address of the inventor must be given if Tajikistan is designated:

Must be in the request. If the data concerning the inventor are missing at the expiry of the time limit under PCT Article 22 or 39(1)(a), the Tajik Patent Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation.

Does the Office disregard the priority claim if the priority document was not submitted within 16 months from the priority date under PCT Rule 17.1?

No

Are there special provisions concerning the deposit of microorganisms?

Yes (see Annex L)

B1**Information on Contracting States****B1****UZ****UZBEKISTAN****UZ****General information**

Name of Office:	Uzbekiston Respublikacy Davlat Patent Idoracy Uzbek Patent Office
Location and mailing address:	2a, Fuchic St., 700047 Tashkent, Uzbekistan
Telephone:	(3712) 33 45 56; 32 75 30
Facsimile machine:	(3712) 33 45 56
Teleprinter:	(064) 116509 DEDAL SU
Does the Office accept the filing of documents by means of telecommunication (PCT Rule 92.4)?	Yes, by facsimile machine and teleprinter
Which kinds of documents may be so transmitted?	All kinds of documents
Must the original of the document be furnished in all cases?	Yes, within 14 days from the date of transmission, if the transmitted document is an international application or a replacement sheet containing corrections or amendments of an international application. No, only upon invitation in the case of other documents.
Would the Office accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used (PCT Rule 82.1)?	Yes
Competent receiving Office for nationals and residents of Uzbekistan:	Uzbek Patent Office or International Bureau of WIPO, at the choice of the applicant (see Annex C)
Competent designated (or elected) Office if Uzbekistan is designated (or elected):	Uzbek Patent Office (see Volume II)
May Uzbekistan be elected?	Yes (bound by Chapter II of the PCT)
Types of protection available:	Patents, provisional patents, utility models
Provisions of the law of Uzbekistan concerning international-type search:	None
Provisional protection after international publication:	None

[continued on next page]

B1

Information on Contracting States

B1

UZ

UZBEKISTAN

UZ

[continued]

Information of interest if Uzbekistan is designated (or elected)

Time when the name and address of the inventor must be given if Uzbekistan is designated:

Must be in request. If the data concerning the inventor are missing at the expiry of the time limit under PCT Article 22 or 39(1)(a), the Uzbek Patent Office will invite the applicant to comply with the requirement within a time limit of two months from the date of receipt of the invitation.

Does the Office disregard the priority claim if the priority document was not submitted within 16 months from the priority date under PCT Rule 17.1?

Yes

Are there special provisions concerning the deposit of microorganisms?

No

PCT

REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.

International Filing Date

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference
(if desired) (12 characters maximum)

Box No. I TITLE OF INVENTION

Box No. II APPLICANT

Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)*

This person is also inventor.

Telephone No.

Facsimile No.

Teleprinter No.

State (i.e. country) of nationality:

State (i.e. country) of residence:

This person is applicant for the purposes of: all designated States all designated States except the United States of America the United States of America only the States indicated in the Supplemental Box

Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)

Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)*

This person is:

applicant only

applicant and inventor

inventor only *(If this check-box is marked, do not fill in below.)*

State (i.e. country) of nationality:

State (i.e. country) of residence:

This person is applicant for the purposes of: all designated States all designated States except the United States of America the United States of America only the States indicated in the Supplemental Box

Further applicants and/or (further) inventors are indicated on a continuation sheet.

Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE

The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as: agent common representative

Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)*

Telephone No.

Facsimile No.

Teleprinter No.

Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.

Box No.V DESIGNATION OF STATES

The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):

Regional Patent

- AP ARIPO Patent:** **KE** Kenya, **MW** Malawi, **SD** Sudan, and any other State which is a Contracting State of the Harare Protocol and of the PCT
- EP European Patent:** **AT** Austria, **BE** Belgium, **CH and LI** Switzerland and Liechtenstein, **DE** Germany, **DK** Denmark, **ES** Spain, **FR** France, **GB** United Kingdom, **GR** Greece, **IE** Ireland, **IT** Italy, **LU** Luxembourg, **MC** Monaco, **NL** Netherlands, **PT** Portugal, **SE** Sweden, and any other State which is a Contracting State of the European Patent Convention and of the PCT
- OA OAPI Patent:** **BF** Burkina Faso, **BJ** Benin, **CF** Central African Republic, **CG** Congo, **CI** Côte d'Ivoire, **CM** Cameroon, **GA** Gabon, **GN** Guinea, **ML** Mali, **MR** Mauritania, **NE** Niger, **SN** Senegal, **TD** Chad, **TG** Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)

National Patent (if other kind of protection or treatment desired, specify on dotted line):

- | | |
|--|---|
| <input type="checkbox"/> AM Armenia | <input type="checkbox"/> MG Madagascar |
| <input type="checkbox"/> AT Austria | <input type="checkbox"/> MN Mongolia |
| <input type="checkbox"/> AU Australia | <input type="checkbox"/> MW Malawi |
| <input type="checkbox"/> BB Barbados | <input type="checkbox"/> NL Netherlands |
| <input type="checkbox"/> BG Bulgaria | <input type="checkbox"/> NO Norway |
| <input type="checkbox"/> BR Brazil | <input type="checkbox"/> NZ New Zealand |
| <input type="checkbox"/> BY Belarus | <input type="checkbox"/> PL Poland |
| <input type="checkbox"/> CA Canada | <input type="checkbox"/> PT Portugal |
| <input type="checkbox"/> CH and LI Switzerland and Liechtenstein | <input type="checkbox"/> RO Romania |
| <input type="checkbox"/> CN China | <input type="checkbox"/> RU Russian Federation |
| <input type="checkbox"/> CZ Czech Republic | <input type="checkbox"/> SD Sudan |
| <input type="checkbox"/> DE Germany | <input type="checkbox"/> SE Sweden |
| <input type="checkbox"/> DK Denmark | <input type="checkbox"/> SI Slovenia |
| <input type="checkbox"/> ES Spain | <input type="checkbox"/> SK Slovakia |
| <input type="checkbox"/> FI Finland | <input type="checkbox"/> TJ Tajikistan |
| <input type="checkbox"/> GB United Kingdom | <input type="checkbox"/> TT Trinidad and Tobago |
| <input type="checkbox"/> GE Georgia | <input type="checkbox"/> UA Ukraine |
| <input type="checkbox"/> HU Hungary | <input type="checkbox"/> US United States of America |
| <input type="checkbox"/> JP Japan | <input type="checkbox"/> |
| <input type="checkbox"/> KE Kenya | <input type="checkbox"/> UZ Uzbekistan |
| <input type="checkbox"/> KG Kyrgyzstan | <input type="checkbox"/> VN Viet Nam |
| <input type="checkbox"/> KP Democratic People's Republic of Korea | <input type="checkbox"/> |
| <input type="checkbox"/> KR Republic of Korea | <input type="checkbox"/> |
| <input type="checkbox"/> KZ Kazakhstan | <input type="checkbox"/> |
| <input type="checkbox"/> LK Sri Lanka | <input type="checkbox"/> |
| <input type="checkbox"/> LT Lithuania | <input type="checkbox"/> |
| <input type="checkbox"/> LU Luxembourg | <input type="checkbox"/> |
| <input type="checkbox"/> LV Latvia | <input type="checkbox"/> |
| <input type="checkbox"/> MD Republic of Moldova | <input type="checkbox"/> |

Check-boxes reserved for designating States (for the purposes of a national patent) which have become party to the PCT after issuance of this sheet:

In addition to the designations made above, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except the designation(s) of _____ .
 The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation of a designation consists of the filing of a notice specifying that designation and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.)



PCT NEWSLETTER

WIPO Publication No. 115 (E)

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- by the enclosed check made payable to the World Intellectual Property Organization drawn on the following bank:

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PCT

PATENT
COOPERATION
TREATY

NEWSLETTER

JULY 1994

No. 05/1994

NEW PCT CONTRACTING STATE

Swaziland (country code: SZ)

Swaziland deposited its instrument of accession to the PCT on 20 June 1994, and will become bound by the PCT on 20 September 1994. Therefore, in any international application filed on or after 20 September 1994, Swaziland may be designated and, because it is bound by Chapter II of the PCT, may also be elected. Furthermore, as from 20 September 1994, nationals and residents of Swaziland will be entitled to file international applications under the PCT. Since Swaziland is a member State of the African Regional Industrial Property Organization (ARIPO), a designation for an ARIPO patent, as from 20 September 1994, will also cover Swaziland.

EXTENSION OF EUROPEAN PATENTS TO LITHUANIA

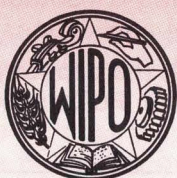
An agreement between the European Patent Organisation and Lithuania on the extension of the effects of European patent applications and patents to Lithuania entered into force on 5 July 1994, the same date on which Lithuania became bound by the PCT. Under that agreement, it is possible to obtain patent protection in Lithuania by requesting the extension of a European patent to that State. The extension procedure is also available, if the necessary requirements are met, via the PCT. The agreement with Lithuania is similar to that which entered into force on 1 March 1994 in respect of Slovenia.

Note that Lithuania (country code: LT) is not party to the European Patent Convention (EPC) and cannot itself be design-

nated for a European patent (EP). If protection is desired in Lithuania via the PCT, Lithuania must be designated for the purposes of a national patent, even where protection through the extension of a European patent is desired.

Where an international application contains *not only* a designation of Lithuania for a national patent *but also* a designation for a European patent of any EPC Contracting State, a request for extension of the European patent is automatically deemed to have been made (no special indication concerning the extension should be made in the PCT request). The applicant must then, within 21 months (Chapter I) or 31 months (Chapter II) from the priority date, enter the regional phase before the European Patent Office (EPO) and pay to the EPO the European extension fee for the extension of the European patent to Lithuania. The request for extension will be considered withdrawn if, upon entry into the regional phase

[continued on page 2]



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Switzerland

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Tear-out sheets: provisional sheets for the PCT Applicant's Guide, Vol. I, Annex B1 (MG) and Vol. II, National Chapter Summary (MG)

[continued from page 1]

before the EPO, the European extension fee is not paid within the applicable time limit.

Upon completion of the European procedure, the EPO will transmit the European patent, if granted, to the Lithuanian Patent Office. That patent will have the effect of a national patent granted by the Lithuanian Patent Office, provided that, within three months from the publication by the EPO of the mention of grant of the European patent, a translation of the claims into Lithuanian is filed with, and the prescribed publication fee is paid to, the Lithuanian Patent Office. Renewal fees for the extended European patent will have to be paid to the Lithuanian Patent Office for the years following the year in which the mention of the grant of the European patent was published by the EPO.

The option of entering the national phase directly before the Lithuanian Patent Office within 21 or 31 months from the priority date, instead of proceeding with a request for the extension of a European patent to Lithuania, is also available for any international application containing the designation of Lithuania.

For further details, see the Official Journal of the EPO, No. 07/1994, page 527.

NEW PCT PUBLISHING SYSTEM

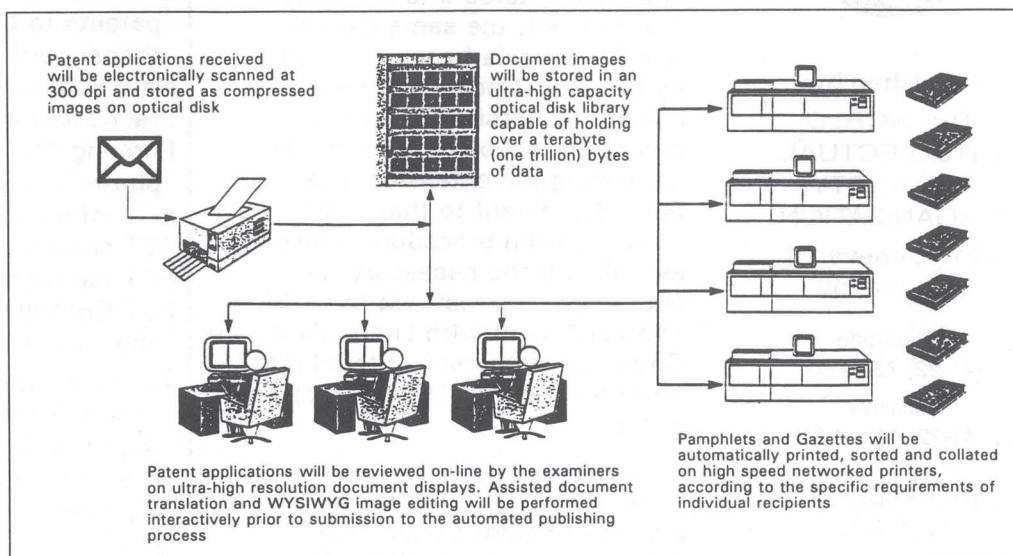
The ever-increasing growth in the number of applications filed under the PCT has resulted in the need for the International Bureau to install a more automated system for processing, storing and publishing international applications. The current system requires large amounts of on-site storage space for the files of international applications, and the publishing procedure is very labor intensive, being heavily reliant on photocopiers and physical cut-and-paste techniques.

The new PCT Document Imaging and Computer-Assisted Publishing System (DICAPS) is being introduced in different stages. The first stage of DICAPS, in the area of publishing, became operational for publications of the *PCT Gazette* and the published international applications from 9 June 1994 onwards. The abstract and bibliographic data relating to an international application are transferred digitally from an existing data base to DICAPS and are automatically formatted and inserted onto the appropriate page of the *PCT Gazette* and the front page of the published international application (the pamphlet). The drawing to be published with the abstract is scanned and then automatically zoomed and mounted electronically onto the appropriate page of the *PCT Gazette* and the front page of the pamphlet. At present, for the purposes of the pamphlet, the remaining pages of the international application are photocopied.

However, in the second stage of DICAPS, all pages of the international application will be published as scanned, and will be automatically printed, sorted and collated on high speed networked laser printers. In the second stage (which is expected to become operational towards the end of 1994), each page of an international application, when received by the International Bureau, will be scanned, indexed and stored on optical disks. The pages will be available for retrieval and viewing by operators in seconds on ultra-high resolution document display systems. Since it will no longer be necessary to wait for manual file retrieval, this will ensure quicker responses to enquiries from applicants and PCT authorities about specific international applications.

DICAPS will provide WIPO with the capacity to meet the anticipated growth in PCT applications for many years to come.

The PCT Document Imaging and Computer-Assisted Publishing System (DICAPS)



PRACTICAL ADVICE

This practical advice section features general questions which have been put to the staff of the PCT Legal Division and the PCT Administration Department of the International Bureau, and the answers which were given.

Missing application number in the priority claim

Q: I am going to file an international application and would like to claim the priority of an application which I filed earlier this month, on 3 June 1994. The Office with which I filed that earlier application has not yet informed me of the number of that application. Will the priority claim be valid if no application number is indicated? Can I add the number after having filed the international application as soon as I have been informed of it?

A: If the application number of the earlier application is not furnished in the request, it may be furnished by the applicant to the International Bureau or to the receiving Office, pursuant to PCT Rule 4.10(c), prior to the expiration of 16 months from the earliest priority date. Provided that the application number is furnished before that time limit expires, the number will be considered by all designated States to have been furnished in time and the publication of the international application will contain the number. If the application number of the earlier application is furnished after the expiration of the time limit but before completion of the technical preparations for international publication, an indication that the number was furnished late will appear in the published international application. The fact that the application number of a priority claim is furnished late or is not furnished in the international phase will have no adverse consequences in the national phase before those designated Offices which accept a later furnishing of the number.

Assignment of international applications during the international phase

Q: If an international application is filed by a resident or national of a PCT Contracting State which is bound by Chapter II of the PCT:

(1) Can the international application be assigned, during the international phase, to a person who is neither a resident nor a national of a PCT Contracting State?

(2) If the assignee is a resident or national of a PCT Contracting State, but that State is not bound by Chapter II of the PCT, will he be entitled to file a demand for international preliminary examination?

(3) If the original applicant, who is a resident or national of a PCT Contracting State bound by Chapter II, files a demand under Chapter II and then assigns the application to a person who is not a resident or national of a PCT Contracting State, or who is a resident or national of a PCT Contracting State not bound by Chapter II, are there any adverse consequences?

A: (1) A PCT application can be assigned at any time during the international phase, to anyone, including residents and nationals of non-PCT Contracting States. The requirement that the applicant must be a resident or national of a PCT Contracting State (PCT Article 9) must be complied with **on the international filing date**. Later changes have no influence on the validity of the international application, although there may be consequences for the availability of the international preliminary examination procedure under Chapter II (see the following paragraphs).

(2) An assignment to a resident or national of a non-PCT Contracting State may, however, have consequences for the right to file a demand for international preliminary examination under Chapter II if the demand is filed after the recording of the change of the person of the applicant under PCT Rule 92^{bis}. A demand may only be filed if the applicant (or at least one of the applicants, if there are more than one) is a resident or national of a PCT Contracting State bound by Chapter II (see PCT Article 31(2)(a) and Rules 54.1 and 54.2). If the new applicant is not a resident or national of a PCT Contracting State, or is a resident or national of a PCT Contracting State which is not bound by Chapter II, that person would not be entitled to file a demand for international preliminary examination under Chapter II.

(3) If the demand for international preliminary examination is filed before the recording of the change of the person of the applicant under PCT Rule 92^{bis}, a subsequent assignment of the international application will have no consequence on the validity of the demand, regardless of whom the international application is assigned to.

ENTERING THE NATIONAL PHASE IN MADAGASCAR

Following recent discussions between the International Bureau and the Industrial Property Office of Madagascar (OMAPI), further details are now available concerning the steps to be taken for entering the national phase before OMAPI. (See previous article in *PCT Newsletter* No.04/1994, page 2.)

The new patent legislation in Madagascar entered into force on 9 December 1993. The following information concerns all international applications designating Madagascar, whether they were filed before, on, or after the date of entry into force of the new legislation. For entering the national phase before the Office, applicants must fulfill the following requirements **before 9 December 1994**, unless the applicable time limit of 20 or 30 months from the priority date under PCT Article 22 or 39(1)(a) expires after that date:

- (1) pay a filing fee of 150,000 Malagasy Francs;
- (2) furnish, where required, a translation of the international application into French, the required contents of the translation being:
 - (i) under PCT Article 22: the description, the claims (if amended, only as amended, together with any statement under PCT Article 19), any text matter of drawings and the abstract;
 - (ii) under PCT Article 39(1)(a): the description, the claims, any text matter of drawings, the abstract (if any of those parts have been amended, only as amended by the annexes to the international preliminary examination report).

The Government of Madagascar is, following a proposal by the International Bureau, considering a possible extension of the transitory period for entering the national phase in Madagascar to a date later than 9 December 1994. Applicants are advised, nevertheless, to meet the 9 December 1994 deadline. Any extension of the deadline will be reported in the *PCT Newsletter*.

In order to assist the new Industrial Property Office of Madagascar in processing the backlog of international applications filed since 1978, applicants are invited also to furnish a copy of the international application (preferably as published) including a copy of the international search report and of the international preliminary examination report, if any.

Although the patent law provides that non-resident applicants must be represented by a local agent, the Office has, until further notice, waived this requirement. Therefore, applicants may, for the time being, communicate directly with the Office.

The new address and telephone number of OMAPI are as follows:

Office malgache de la propriété
industrielle (OMAPI)
B.P. 8237
Antananarivo 101, Madagascar
Tel: (261-2) 305 12
Fax: (261-2) 277 90

OMAPI accepts all kinds of documents by facsimile, provided that the original of the document is also furnished.

Payment of fees can be made by post office money order or by transfer to the bank account of OMAPI, No. 001/21010/038889 at the Bankin' ny Fampandrosoana ny Varota (BFV), Analakely, Antananarivo 101, Madagascar.

Further details are included on tear-out provisional sheets for the *PCT Applicant's Guide* in the center of this issue (see PCT information update, below).

PCT INFORMATION UPDATE

Japan (fees)

The transmittal fee payable to the Japanese Patent Office as receiving Office has changed. The new amount of the fee is shown in Table 1(a) on page 6. In addition, the fee for a priority document has changed to 1,500 Yen.

Madagascar (general information)

General information about Madagascar as a Contracting State, information of interest if Madagascar is designated (or elected) and a summary of requirements for entry into the national phase in Madagascar is set out in the pink tear-out provisional sheets for the *PCT Applicant's Guide*, Vol. I, Annex B1 (MG), and Vol. II, National Chapter, Summary (MG). (See also the article on this page.)

PCT Applicant's Guide update sheets

All issues of the *PCT Newsletter* which you have so far received have contained provisional update sheets (on pink paper, in tear-out format) for various annexes of the *PCT Applicant's Guide*. Subscribers to the *PCT Applicant's Guide* will shortly receive their half-yearly set of update sheets (dated July 1994). Pink provisional sheets obtained from **previous issues** of the *PCT Newsletter* should be removed from the *PCT Applicant's Guide* and replaced with the new update sheets.

However, the provisional sheets included in **this issue** supersede the corresponding sheets which will appear in the July update for the *PCT Applicant's Guide*, since they include information which was received after the update sheets were sent for printing.

PCT SEMINAR CALENDAR

Dates	Location and nature of seminar WIPO speakers	Who to contact for further information
19 September 1994	Buenos Aires (AR) PCT seminar jointly organized by WIPO and the Secretary for Industry of the Ministry of the Economy, Works, and Public Services of Argentina WIPO speakers: Mr. Rubio and Mr. Bryan	Dra. Norma Félix de Sturla Tel: (54-1) 343 26 56 Fax: (54-1) 343 26 56
20-21 September 1994 (originally scheduled for 12-13 July 1994 in Munich)	Chiemsee (DE) PCT colloquium for frequent users, organized by Forum Institut für Management GmbH WIPO speakers: Mr. Bartels and Mrs. Coeckelbergs	Forum Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
22-23 September 1994 (originally scheduled for 14-15 July 1994 in Munich)	Chiemsee (DE) Advanced PCT seminar for patent administrators, organized by Forum Institut für Management GmbH WIPO speaker: Mrs. Coeckelbergs	Forum Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
7 October 1994	Paris (FR) PCT seminar for patent attorneys, organized by Forum Institut für Management GmbH WIPO speaker: Ms. Boutillon	Forum Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
11-12 October 1994	Beijing (CN) Advanced PCT seminar organized by the Chinese Patent Office WIPO speakers: Mr. Bartels, Mr. Wang, Mr. Thomas	Mr. Wu Xiangwen Tel: (86-1) 201 96 75 Fax: (86-1) 201 96 15
17-18 October 1994	Hong Kong (HK) Advanced PCT seminar organized by the Chinese Patent Office and China Patent Agent (H.K.) Ltd. WIPO speakers: Mr. Bartels, Mr. Wang, Mr. Thomas	Mrs. Shi Xiaomei Tel: (852) 828 46 31 Fax: (852) 827 10 18
17-18 November 1994	Chicago (US) Advanced PCT seminar for patent administrators organized by the John Marshall Law School WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Ms. Rosemary Knight Tel: (1-312) 987 14 20 Fax: (1-312) 427 71 28
21-22 November 1994	San Francisco (US) Advanced PCT seminar for patent administrators organized by Intellectual Property International WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Ms. Virginia H. Meyer Tel: (1-415) 289 74 71 Fax: (1-415) 331 60 68
7-8 December 1994	London (GB) Advanced PCT seminar for patent administrators and legal assistants organized by Management Forum Ltd. WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Mrs. Josephine Leak Tel: (44-483) 57 00 99 Fax: (44-483) 364 24
9 December 1994	London (GB) Workshop for patent administrators and legal assistants organized by Management Forum Ltd. WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Mrs. Josephine Leak Tel: (44-483) 57 00 99 Fax: (44-483) 364 24

PCT FEE TABLES

The following Tables show the amounts (including currencies) for the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Table I(a) and Table I(b)) and under Chapter II (Table II). Fees which are payable only in special circumstances are not shown; nor are details of reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Volume I, Annexes C, D and E. Note that all amounts are subject to changes due to fee increases or fluctuations in exchange rates.

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES
(as at 5 July 1994)

RO	Transmittal fee ¹	Basic fee ¹ (CHF 762)	Supplement per sheet over 30 ¹ (CHF 15)	Designation fee ^{1,2} (CHF 185)	Competent ISA(s) ³
AP	USD 70	USD 530	10	128	EP
AT	ATS 700	ATS 6,220	122	1,510	EP
AU	AUD 55	AUD 748	15	182	AU
BE	BEF 1,500	BEF 18,208	358	4,421	EP
BG	BGL 600	BGL equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
BR	BRC 21,670	BRC equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP SE US
BY	RUR ⁴ —	USD 530	10	128	EP RU
CA	CAD 200	CAD 696	14	168	EP
CH	CHF 100	CHF 762	15	185	EP
CN	CNY 500	CNY equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	CN
CZ	CZK 1,200	CZK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
DE	DEM 150	DEM 883	17	214	EP
DK	DKK 1,500	DKK 3,440	70	840	EP SE
EP ⁵	DEM 200	DEM 883	17	214	EP
ES	None	ESP 76,300	1,500	18,500	EP
FI	FIM 700	FIM 3,200	65	780	EP SE
FR	FRF 400	FRF 3,010	60	730	EP
GB	GBP 55	GBP 352	7	85	EP
GE	USD ⁴ —	USD 530	10	128	EP RU
GR	GRD 30,000	GRD 131,000	3,000	32,000	EP
HU	HUF 1,000	HUF equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT RU
IB	CHF 300	CHF 762	15	185	<i>See note 6</i>
	or USD 200	or USD 530	10	128	
IE	IEP 60	IEP 357	7	87	EP
IT	ITL 60,000	ITL 884,000	17,000	215,000	EP
JP	JPY 18,000	JPY 59,000	1,000	14,000	EP JP
KE	USD (or KES equiv) 30	USD 530	10	128	AU EP
KG	KGS ⁴ —	USD 530	10	128	EP RU
KP	KPW 50	KPW equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	RU
KR	KRW 40,000	KRW 427,000	8,000	103,000	AT AU JP
KZ	RUR ⁴ —	USD 530	10	128	EP RU
LT	LTL equiv of USD 80	USD 530	10	128	EP RU
LU	LUF/BEF 1,000	LUF/BEF 18,208	358	4,421	EP
LV	LVL 40	USD 530	10	128	EP RU
MC	FRF 200	FRF 3,010	60	730	EP
MD	MDL ⁴ —	USD 530	10	128	EP RU
MN	None	MNT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
MW	MWK 8	MWK 2,350	46	570	EP
NL	NLG 110	NLG 1,000	20	240	EP
NO	NOK 500	NOK 3,940	80	960	EP SE
NZ	NZD 155	NZD 976	19	237	AU EP
PL	PLZ 1,500,000	PLZ equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
PT	PTE 3,000	PTE 89,000	1,800	21,700	EP
RO	ROL 10,000	CHF 762	15	185	AT EP RU
RU	RUR 13,000	USD 530	10	128	EP RU
SD	SDP 50	SDP equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SE	SEK 600	SEK 4,355	85	1,060	EP SE
SI	SIT <i>See note 7</i>	SIT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SK	SKK 1,200	SKK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
TJ	RUR ⁴ —	USD 530	10	128	EP RU
TT	TTD ⁴ —	USD 530	10	128	AT EP SE US
UA	UAK ⁴ —	USD 530	10	128	EP RU
US	USD 200	USD 530	10	128	EP US
UZ	USD ⁴ —	USD 530	10	128	EP RU
VN	USD 50	VND equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT AU EP RU SE

Table I(b) – SEARCH FEES
(as at 1 July 1994, unless otherwise indicated)

ISA	Search fee ¹											
AT	ATS	2,200	CHF	285	KRW	161,000	USD	198				
AU	AUD	750	CHF	772	KRW	439,000	NZD	945	USD	537		
CN	CNY	800	CHF	210	USD	146						
EP	DEM	2,400	CHF	2,060	FRF	8,480	ITL	2,449,000	NLG	2,740	SEK	11,590
	ATS	17,140	DKK	9,600	GBP	960	JPY	149,000	NOK	10,300	USD	1,415
	BEF	51,000	ESP	203,400	GRD	363,600	LUF	51,000	NZD	2,557		
	CAD	1,870	FIM	8,200	IEP	1,017	MWK	6,400	PTE	255,300		
ES	ESP	50,100	CHF	537	USD	373						
JP	JPY	77,000	CHF	1,060	KRW	612,000	USD	740				
RU	RUR	53,000	CHF	288	USD	200						
SE	SEK	2,600 ⁸	3,600 ⁹	4,200 ¹⁰	FIM	1,875 ⁸	2,600 ⁹	3,030 ¹⁰				
	CHF	460 ⁸	635 ⁹	740 ¹⁰	NOK*	2,290 ⁸	3,170 ⁹	3,695 ¹⁰				
	DKK	2,115 ⁸	2,930 ⁹	3,420 ¹⁰	USD	320 ⁸	442 ⁹	515 ¹⁰				
	*(from 01.08.94: NOK 2,430 ⁸ 3,365 ⁹ 3,925 ¹⁰)											
US	USD	620	(410 ¹¹)	CHF	890	(590 ¹¹)						

Table II – PRELIMINARY EXAMINATION FEES
(as at 1 July 1994)

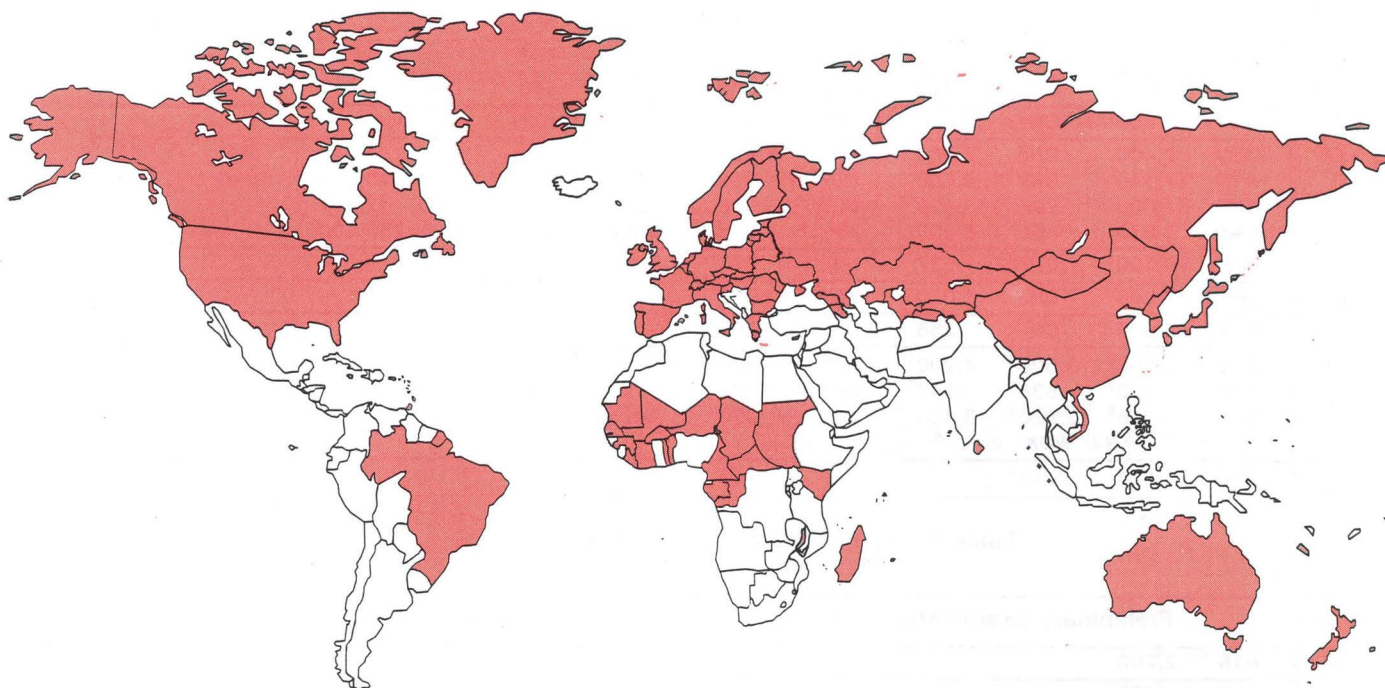
IPEA	Preliminary examination fee ¹²				Handling fee ¹² (CHF 233)							
AT	ATS	2,200			ATS	1,902						
AU	AUD	400			AUD	229						
CN	CNY	800			CNY equiv of CHF 233							
EP	DEM	3,000	FRF	10,600	NLG	3,430	DEM	270	FRF	920	NLG	305
	ATS	21,430	GBP	1,200	PTE	319,100	ATS	1,902	GBP	108	PTE	27,000
	BEF	63,700	IEP	1,271	SEK	14,490	BEF	5,568	IEP	109	SEK	1,330
	CHF	2,580	ITL	3,061,000			CHF	233	ITL	270,000		
DKK	12,000	LUF	63,700			DKK	1,050	LUF	5,568			
JP	JPY	28,000			JPY	18,000						
RU	RUR	26,000	USD	300	USD	162						
SE	SEK	3,200			SEK	1,330						
US	USD	450	(670 ¹³)		USD	162						

Key to currency abbreviations for all fee tables:

ATS	Schilling	DKK	Danish Krone	JPY	Yen	MNT	Tugrik	SDP	Sudanese Pound
AUD	Australian Dollar	ESP	Peseta	KES	Kenyan Shilling	MWK	Kwacha	SEK	Swedish Krona
BEF	Belgian Franc	FIM	Markka	KGS	Som	NLG	Guilder	SIT	Tolar
BGL	Lev	FRF	French Franc	KPW	Won	NOK	Norwegian Krone	SKK	Slovak Koruna
BRC	Cruzeiro Real	GBP	Pound Sterling	KRW	Won	NZD	New Zealand Dollar	TTD	Trinidad and Tobago Dollar
CAD	Canadian Dollar	GRD	Drachma	LTL	Lita	PLZ	Zloty	UAK	Karbovanets
CHF	Swiss Franc	HUF	Forint	LUF	Luxembourg Franc	PTE	Escudo	USD	US Dollar
CNY	Yuan Renminbi	IEP	Punt	LVL	Lat	ROL	Leu	VND	Dong
CZK	Czech Koruna	ITL	Lira	MDL	Moldovan Leu	RUR	Rouble		
DEM	Mark								

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee.
- 3 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 4 The amounts are not yet known. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 5 Payments can also be made in ATS, BEF, CHF, DKK, ESP, FRF, GBP, GRD, IEP, ITL, LUF, NLG, PTE or SEK. For the amounts in the said currencies, reference should be made to the latest issue of the *Official Journal* of the EPO.
- 6 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national.
- 7 10% of the basic fee, supplement fee, designation fee and search fee.
- 8 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Swedish Patent Office.
- 9 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Danish Patent Office, the National Board of Patents and Registration (Finland) or the Norwegian Patent Office.
- 10 In all cases where 8 and 9 do not apply.
- 11 Payable when a corresponding prior US national application has been filed and the basic national fee for that US application has been paid.
- 12 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 13 Payable when the international search report was not established by the United States Patent and Trademark Office.

PCT CONTRACTING STATES AND TWO-LETTER CODES (73 on 5 July 1994)



In the Americas

BB Barbados
BR Brazil
CA Canada
TT Trinidad and
Tobago
US United States
of America

In Europe

EPO (EP)

AT Austria
BE Belgium
CH Switzerland
DE Germany
DK Denmark
ES Spain
FR France
GB United Kingdom
GR Greece
IE Ireland
IT Italy
LI Liechtenstein
LU Luxembourg
MC Monaco
NL Netherlands
PT Portugal
SE Sweden

Non-EPO

BG Bulgaria
BY Belarus
CZ Czech Republic
***EE Estonia (from
24 August 1994)***
FI Finland
HU Hungary
LT Lithuania
LV Latvia
MD Republic of
Moldova
NO Norway
PL Poland
RO Romania
RU Russian Federa-
tion
SI Slovenia
SK Slovakia
UA Ukraine

In Africa

ARIPO (AP)

KE Kenya
MW Malawi
SD Sudan
***SZ Swaziland (from
20 September 1994)***

OAPI (OA)

BF Burkina Faso
BJ Benin
CF Central African
Republic
CG Congo
CI Côte d'Ivoire
CM Cameroon
GA Gabon
GN Guinea
ML Mali
MR Mauritania
NE Niger
SN Senegal
TD Chad
TG Togo

Non-ARIPO or -OAPI

***LR Liberia (from
27 August 1994)***
MG Madagascar

In Asia and the Pacific

AM Armenia
AU Australia
CN China
GE Georgia
JP Japan
KG Kyrgyzstan
KP Democratic People's
Republic of Korea
KR Republic of Korea
KZ Kazakhstan
LK Sri Lanka
MN Mongolia
NZ New Zealand
TJ Tajikistan
UZ Uzbekistan
VN Viet Nam

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any States indicated in **bold italics** have adhered to the PCT but were not yet bound by the PCT on the date of issue of the latest version of the request form. Where a State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate any States which are bound by the PCT on the date on which the international application is filed but which are not listed in the request form, he must add them in Box No. V of the request form and mark the corresponding check-box.

Applicants should always use the latest versions of the request and demand forms. The latest version of the request form (PCT/RO/101) is dated 5 July 1994; that of the demand form (PCT/IPEA/401) is dated January 1994. The forms are reproduced in Annexes X and Y, respectively, of the *PCT Applicant's Guide*, Volume I. The request form can also be obtained from receiving Offices or the International Bureau. The demand form can also be obtained from International Preliminary Examining Authorities or the International Bureau.

B1**Information on Contracting States****B1****MG****MADAGASCAR****MG****General information**

Name of Office:	Office malgache de la propriété industrielle Industrial Property Office of Madagascar
Location:	Lot II T 62 B Mangasoavina, Antananarivo 101, Madagascar
Mailing address:	B.P. 8237, Antananarivo 101, Madagascar
Telephone:	(261-2) 305 12
Facsimile machine:	(261-2) 277 90
Teleprinter:	—
Does the Office accept the filing of documents by means of telecommunication (PCT Rule 92.4)?	Yes, by facsimile machine
Which kinds of documents may be so transmitted?	All kinds of documents
Must the original of the document be furnished in all cases?	Yes, within 14 days from the date of the transmission
Would the Office accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used (PCT Rule 82.1)?	Yes, provided that the delivery service is DHL, Express Mail Service or any other service which is recognized worldwide and is available in Madagascar
Competent receiving Office for nationals and residents of Madagascar:	International Bureau of WIPO (see Annex C)
Competent designated (or elected) Office if Madagascar is designated (or elected):	Industrial Property Office of Madagascar (see Volume II)
May Madagascar be elected?	Yes (bound by Chapter II of the PCT)
Types of protection available:	Patents, certificates of addition
Provisions of the law of Madagascar concerning international-type search:	Article 51 of Ordinance No. 89-019 on the Protection of Industrial Property in Madagascar

[continued on next page]

B1

Information on Contracting States

B1

MG

MADAGASCAR

MG

[continued]

Provisional protection after international publication:

None

Information of interest if Madagascar is designated (or elected)

Time when the name and address of the inventor must be given if Madagascar is designated:

Must be in the request. If not already complied with within the time limit applicable under PCT Article 22 or 39(1)(a), the Industrial Property Office of Madagascar will invite the applicant to comply with the requirement within four months from the date of receipt of the invitation.

Does the Office disregard the priority claim if the priority document was not submitted within 16 months from the priority date under PCT Rule 17.1?

No

Are there special provisions concerning the deposit of microorganisms?

No

SUMMARY**Designated
(or elected) Office****SUMMARY****MG****INDUSTRIAL PROPERTY OFFICE OF
MADAGASCAR****MG****Summary of requirements for entry into the national phase**

Time limits applicable for the entry into the national phase:	Under PCT Article 22:	20 months from the priority date or 9 December 1994, whichever time limit expires later
	Under PCT Article 39(1):	30 months from the priority date or 9 December 1994, whichever time limit expires later
Translation of international application required into: ¹	French	
Required contents of the translation for the entry into the national phase: ¹	Under PCT Article 22:	Description, claims (if amended, only as amended, together with any statement under PCT Article 19), any text matter of drawings, abstract
	Under PCT Article 39(1):	Description, claims, any text matter of drawings, abstract (if any of those parts amended, only as amended by the annexes to the international preliminary examination report)
Is a copy of the international application required?	No, but in order to facilitate procedures before the Office, and for international applications designating Madagascar and filed since 1978, applicants are invited to furnish a copy of the international application, as published, as well as a copy of the international search report and of the international preliminary examination report, if any	
National fee:	Currency:	Malagasy Franc (MGF)
	Filing fee: ²	MGF 150,000
	Annual fees: ³	
	– from the 3rd to the 5th year, per year:	MGF 75,000
	– from the 6th to the 10th year, per year:	MGF 100,000
	– from the 11th to the 15th year, per year:	MGF 150,000
	Fee for the extension of protection beyond the 15th year:	MGF 40,000

[continued on next page]

- 1 Must be furnished within the time limit applicable under PCT Article 22 or 39(1), or before 9 December 1994 whichever time limit expires later.
- 2 If not already paid within the time limit applicable under PCT Article 22 or 39(1), the Office will invite the applicant to pay the filing fee within a time limit of four months after receipt of that invitation.
- 3 The fee for the third year is due within 24 months from the international filing date; where PCT Article 39(1) applies, it is due within 30 months from the priority date if that 30-month time limit expires later. Any annual fee for which the time limit has already expired or will expire before 9 December 1994 is due on 9 December 1994 at the latest, without a surcharge for late payment.

Provisional sheet issued with *PCT Newsletter* No. 05/1994 (July 1994)

This sheet replaces the sheet entitled "Industrial Property Office of Madagascar", dated "July 1994" included in the July 1994 set of update sheets for the PCT Applicant's Guide, Volume II

SUMMARY**Designated
(or elected) Office****SUMMARY****MG****INDUSTRIAL PROPERTY OFFICE OF
MADAGASCAR****MG**

[continued]

Exemptions, reductions or refunds of the national fee:

None

Special requirements of the Office (PCT Rule 51^{bis}):⁴

Name and address of the inventor if they have not been furnished in the "Request" part of the international application

Declaration concerning the inventor and the right of the applicant to apply for a patent

Instrument of assignment of the priority right where the applicants are not identical

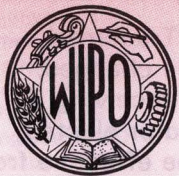
Who can act as agent?

Any patent agent with the right to practice before the Office, resident in Madagascar

⁴ If not already complied with within the time limit applicable under PCT Article 22 or 39(1), the Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation.

PCT

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TREATY



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NEWSLETTER

AUGUST 1994

No. 06/1994

MEETING OF INTERNATIONAL AUTHORITIES UNDER THE PCT

The Meeting of International Authorities under the PCT held its fourth session at WIPO Headquarters from 27 June to 1 July 1994. The session was attended by representatives of the Offices which act as International Searching Authorities (ISAs) and/or International Preliminary Examining Authorities (IPEAs), as well as by representatives of the International Bureau.

The Meeting agreed on a number of changes to the PCT Preliminary Examination Guidelines, the PCT Administrative Instructions and the Forms for use by the ISAs and IPEAs. Details of changes affecting PCT users will be given in the *PCT Newsletter* when they come into effect.

The Meeting also discussed proposals concerning the establishment of a uniform format for nucleotide and/or amino acid sequence listings disclosed in international applications. It agreed that a special meeting including experts in the field of sequence listings should consider development of a PCT standard for sequence listings, including questions relating to language.

PCT STATISTICS — 1 January to 30 June 1994

During the first half of 1994 the International Bureau of WIPO received 16,290 international applications filed with PCT receiving Offices worldwide, which represented an increase over the same period in 1993

of 14.8%. These 16,290 international applications had the effect of 262,521 national applications and of 3,989 regional patent applications, which in turn had the effect of 326,687 applications for patent protection in the member States of the regional patent systems; that is, a total of 589,208 applications.

Table 1, on page 2, shows the top ten countries of origin of international applications which were filed in the first half of 1994. (Note that 13.6% of international applications received during this period were filed with either the European Patent Office (EPO) or the International Bureau; those filings are included in the figures concerning the Contracting State of which the applicant is a national or a resident.)

[continued on page 2]

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<i>Tear-out sheets: provisional sheets for the PCT Applicant's Guide, Vol. I, Annex B1 (AM), (EE) and (LR)</i>	

[continued from page 1]

**Table 1: PCT filings by country of origin
(January to June 1994)**

Country of origin	Applications received	% of total
US	6,985	42.9
DE	2,027	12.4
GB	1,599	9.8
JP	1,092	6.7
FR	818	5.0
SE	598	3.7
NL	372	2.3
CA	361	2.2
AU	356	2.2
FI	302	1.9
Other States bound by the PCT (69 at the end of June 1994)	1,780	10.9
	16,290	100.0

The number of demands received by the International Bureau during the first half of 1994 amounted to 11,005, which represents an increase over the same period in 1993 of 10.8%. Table 2 shows the number of demands sent to the International Bureau by each International Preliminary Examining Authority (IPEA).

**Table 2: International preliminary examination
(January to June 1994)**

IPEA	Demands sent
EP	5,507
US	3,920
SE	732
AU	384
JP	359
AT	56
RU	46
CN	1
	11,005

In the same period, 49 international applications were transmitted by "non-competent" receiving Offices to the International Bureau in its capacity as receiving Office under PCT Rule 19.4, thus retaining as their international filing date the date of receipt by the "non-competent" Office (provided that all PCT Article 11 requirements had been fulfilled).

PCT INFORMATION UPDATE

Canada (fees)

As from 18 October 1994, there will be a change in the amounts, in Canadian dollars, of the basic fee, the designation fee and the supplement per sheet over 30 (see Table I(a), on pages 5 and 6).

Kenya (competent International Searching Authorities)

The Kenya Industrial Property Office, in its capacity as a receiving Office, has specified the Chinese Patent Office, in addition to the Australian Patent Office and the European Patent Office (EPO), as a competent International Searching Authority.

Russian Federation (language; competent International Searching and Preliminary Examining Authorities)

The Russian Patent Office now accepts, from Russian nationals and residents, international applications filed in English as well as in Russian. Also, the applicant can now choose the EPO as International Searching Authority (ISA) and International Preliminary Examining Authority (IPEA) for applications filed in English. However, the EPO will only act as IPEA for international applications for which the EPO has established the international search report. The Russian Patent Office is a competent ISA and IPEA for international applications filed in either Russian or English.

United States of America (proposed new fees)

The United States Patent and Trademark Office has proposed certain fee changes, payable to it in its capacity as receiving Office, ISA, IPEA, and designated or elected Office, which if adopted will be effective from 1 October 1994. Note that the fee changes are still *subject to confirmation*. The proposed new amounts of the transmittal fee, the search fee and the preliminary examination fee are shown in Tables I(a), I(b) and II, on pages 5 to 7. The new national fees will be indicated in the form of a tear-out provisional sheet for *the PCT Applicant's Guide*, Vol. II, once they have been confirmed.

European Patent Office (new form for entry into regional phase)

The form used by applicants for requesting entry into the regional phase before the

[continued on page 5]

FILING OF AUTHORIZATIONS WITH THE EPO AS PCT RECEIVING OFFICE

The *Official Journal of the EPO* (No. 7/1994, page 539) advises applicants filing international applications with the EPO as receiving Office that the simplified system for appointment of a professional representative which operates for **European applications** (whereby a representative, whose name appears on the EPO list and who identifies himself as such, need not normally file a signed authorization) **cannot be used** for the purposes of **international applications**. Appointment of an agent for the international phase under the PCT requires an indication to that effect in the PCT request and/or separate power(s) of attorney, which must be signed by the applicant or applicants concerned (see PCT Rule 90.4 and 90.5).

PRACTICAL ADVICE

Country of filing of priority applications

Q: I have filed an international application in which I claimed priority of an earlier application which was filed in India. The receiving Office has just informed me in

Form PCT/RO/111 that the priority claim has been cancelled ex officio since it is not possible to claim the priority of an application which was filed in India. Please could you explain the reason for this.

A: Under PCT Article 8(1), an international application may contain a declaration, as prescribed in the Regulations, claiming the priority of one or more earlier applications filed in or for **any country party to the Paris Convention for the Protection of Industrial Property**. The PCT thus provides for international applications to include only priority claims made under the Paris Convention. Since India is not party to the Paris Convention, the priority of an application filed in India cannot be claimed in an international application, even though the national law in certain States designated in the international application may recognize a priority right based on an earlier application filed in India. (The same reasoning would apply to earlier applications filed in other countries not party to the Paris Convention.)

A list of the States party to the Paris Convention as of 1 August 1994 is shown in the table below.

States party to the Paris Convention for the Protection of Industrial Property and their two-letter codes (as of 1 August 1994)

Algeria (DZ)	Cuba (CU)	Indonesia (ID)	Mexico (MX)	Swaziland (SZ)
Argentina (AR)	Cyprus (CY)	Iran (Islamic Republic of) (IR)	Monaco (MC)	Sweden (SE)
Armenia (AM)	Czech Republic (CZ)	Iraq (IQ)	Mongolia (MN)	Switzerland (CH)
Australia (AU)	Democratic People's Republic of Korea (KP)	Ireland (IE)	Morocco (MA)	Syria (SY)
Austria (AT)	Denmark (DK)	Israel (IL)	Netherlands (NL)	Tajikistan (TJ)
Bahamas (BS)	Dominican Republic (DO)	Italy (IT)	New Zealand (NZ)	The former Yugoslav Republic of Macedonia (MK)
Bangladesh (BD)	Egypt (EG)	Japan (JP)	Niger (NE)	Togo (TG)
Barbados (BB)	El Salvador (SV)	Jordan (JO)	Nigeria (NG)	Trinidad and Tobago (TT)
Belarus (BY)	Estonia (EE) (from 24 August 1994)	Kazakhstan (KZ)	Norway (NO)	Tunisia (TN)
Belgium (BE)	Finland (FI)	Kenya (KE)	Paraguay (PY)	Turkey (TR)
Benin (BJ)	France (FR)	Kyrgyzstan (KG)	Philippines (PH)	Uganda (UG)
Bolivia (BO)	Gabon (GA)	Latvia (LV)	Poland (PL)	Ukraine(UA)
Bosnia and Herzegovina (BA)	Gambia (GM)	Lebanon (LB)	Portugal (PT)	United Kingdom (GB)
Brazil (BR)	Georgia (GE)	Lesotho (LS)	Republic of Korea (KR)	United Republic of Tanzania (TZ)
Bulgaria (BG)	Germany (DE)	Liberia (LR) (from 27 August 1994)	Republic of Moldova (MD)	United States of America (US)
Burkina Faso (BF)	Ghana(GH)	Libya (LY)	Romania (RO)	Uruguay (UY)
Burundi (BI)	Greece (GR)	Liechtenstein (LI)	Russian Federation (RU)	Uzbekistan (UZ)
Cameroon (CM)	Guinea (GN)	Lithuania (LT)	Rwanda (RW)	Viet Nam (VN)
Canada (CA)	Guinea-Bissau (GW)	Luxembourg (LU)	San Marino (SM)	Yugoslavia (YU)
Central African Republic (CF)	Guyana (GY) (from 25 October 1994)	Madagascar (MG)	Senegal (SN)	Zaire (ZR)
Chad (TD)	Haiti (HT)	Malawi (MW)	Slovakia (SK)	Zambia (ZM)
Chile (CL)	Holy See (VA)	Malaysia (MY)	Slovenia (SI)	Zimbabwe (ZW)
China (CN)	Honduras (HN)	Mali (ML)	South Africa (ZA)	
Congo (CG)	Hungary (HU)	Malta (MT)	Spain (ES)	
Côte d'Ivoire (CI)	Iceland (IS)	Mauritania (MR)	Sri Lanka (LK)	
Croatia (HR)		Mauritius (MU)	Sudan (SD)	
			Suriname (SR)	

(Total: 126 States)

PCT SEMINAR CALENDAR (until end of November 1994)

Dates	Location and nature of seminar WIPO speakers	Who to contact for further information
31 August -1 September 1994	Copenhagen (DK) Advanced PCT workshop for patent administrators organized by the Danish Patent Office WIPO speakers: Ms. Boutillon and Mr. Bryan	Ms. Inge-Lise Hoybye Tel: (45 43) 71 71 71 Fax: (45 43) 71 71 70
19 September 1994	Buenos Aires (AR) PCT seminar jointly organized by WIPO and the Secretary for Industry of the Ministry of the Economy, Works, and Public Services of Argentina WIPO speakers: Mr. Rubio and Mr. Bryan	Dra. Norma Félix de Sturla Tel: (54-1) 343 26 56 Fax: (54-1) 343 26 56
20-21 September 1994 (originally scheduled for 12-13 July 1994 in Munich)	Chiemsee (DE) PCT colloquium for frequent users (patent attorneys and heads of patent departments), organized by Forum Institut für Management GmbH WIPO speakers: Mr. Bartels and Mrs. Coeckelbergs	Forum Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
22-23 September 1994 (originally scheduled for 14-15 July 1994 in Munich)	Chiemsee (DE) Advanced PCT seminar for patent administrators, organized by Forum Institut für Management GmbH WIPO speaker: Mrs. Coeckelbergs	Forum Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
29-30 September 1994	Zurich (CH) PCT seminar for patent administrators organized by Forum Institut für Management GmbH WIPO speaker: Mrs. Coeckelbergs	Forum Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
7 October 1994	Paris (FR) PCT seminar for patent attorneys, organized by Forum Institut für Management GmbH WIPO speaker: Ms. Boutillon	Forum Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
11-12 October 1994	Beijing (CN) Advanced PCT seminar organized by the Chinese Patent Office WIPO speakers: Mr. Bartels, Mr. Wang, Mr. Thomas	Mr. Wu Xiangwen Tel: (86-1) 201 96 75 Fax: (86-1) 201 96 15
17-18 October 1994	Hong Kong (HK) Advanced PCT seminar organized by the Chinese Patent Office and China Patent Agent (H.K.) Ltd. WIPO speakers: Mr. Bartels, Mr. Wang, Mr. Thomas	Mrs. Shi Xiaomei Tel: (852) 828 46 31 Fax: (852) 827 10 18
3-4 November 1994	London (GB) PCT seminar for patent lawyers and attorneys organized by Management Forum Ltd. WIPO speaker: Mr. Thomas	Management Forum Ltd. Tel: (44-483) 57 00 99 Fax: (44-483) 364 24
3-4 November 1994	Munich (DE) PCT seminar for patent administrators organized by Forum Institut für Management GmbH WIPO speaker: Mrs. Coeckelbergs	Forum Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
17-18 November 1994	Chicago (US) Advanced PCT seminar for patent administrators organized by the John Marshall Law School WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Ms. Rosemary Knight Tel: (1-312) 987 14 20 Fax: (1-312) 427 71 28
21-22 November 1994	San Francisco (US) Advanced PCT seminar for patent administrators organized by Intellectual Property International WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Ms. Virginia H. Meyer Tel: (1-415) 289 74 71 Fax: (1-415) 331 60 68

PCT INFORMATION UPDATE

[continued from page 2]

European Patent Office (EPO) as a designated or elected Office (EPA/EPO/OEB Form 1200) has been revised. The revised form (dated 07.94) takes into account the new possibility of requesting extension of European patents to certain States. It can be obtained from the EPO and is also included in the July 1994 update for the *PCT Applicant's Guide*.

Search fee (European Patent Office)

As from 1 October 1994, there will be a change in the amount, in US dollars, of the fee for international search by the EPO. The new amount is shown in Table I(b), on page 7.

Armenia, Estonia and Liberia (general information)

General information about the above-mentioned States as Contracting States and information of interest if those States are designated (or elected) is set out in pink tear-out provisional sheets for the *PCT Applicants Guide*, Vol. I, Annex B1.

Institutions with which deposits of microorganisms may be made

The name and address of the Russian Federation Research Institute for Genetics and Industrial Microorganism Breeding (VNIIG) has changed to:

Russian National Collection of Industrial Microorganisms (VKPM), GNII Genetika Dorozhny proezd, 1,
Moscow 113545, Russian Federation.

PCT Applicant's Guide binders

The rapid increase in the number of PCT Contracting States during the past two years has resulted in the need to add an additional binder, Volume II/C, to the existing Volume II/A and II/B. Subscribers to the *PCT Applicant's Guide* will receive Volume II/C with the July 1994 update. Gaps between certain country separator sheets may give the impression that some countries are missing. However, the sheets have deliberately been supplied in this way so as to allow for the inclusion of further States as they accede.

PCT FEE TABLES

The following Tables show the amounts (including currencies) for the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Table I(a) and Table I(b)) and under Chapter II (Table II). Fees which are payable only in special circumstances are not shown; nor are details of reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Volume I, Annexes C, D and E. Note that all amounts are subject to changes due to fee increases or fluctuations in exchange rates. The footnotes are on page 7.

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 August 1994, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ¹ (CHF 762)	Supplement per sheet over 30 ¹ (CHF 15)	Designation fee ^{1,2} (CHF 185)	Competent ISA(s) ³
AM	AMD ⁴ —	USD 530	10	128	EP RU
AP	USD 70	USD 530	10	128	EP
AT	ATS 700	ATS 6,220	122	1,510	EP
AU	AUD 55	AUD 748	15	182	AU
BE	BEF 1,500	BEF 18,208	358	4,421	EP
BG	BGL 600	BGL equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
BR	BRC 21,670	BRC equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP SE US
BY	RUR ⁴ —	USD 530	10	128	EP RU
CA	CAD 200	CAD 696 (from 18.10.94: 792)	14 (16)	168 (192)	EP
CH	CHF 100	CHF 762	15	185	EP
CN	CNY 500	CNY equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	CN
CZ	CZK 1,200	CZK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
DE	DEM 150	DEM 883	17	214	EP
DK	DKK 1,500	DKK 3,440	70	840	EP SE
EE ⁵	EEK 1,500	EEK equiv of CHF 762	15	185	EP

[continued on page 6]

[continued from page 5]

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES (continued)
(as at 1 August 1994, unless otherwise indicated)

RO	Transmittal fee ¹		Basic fee ¹ (CHF 762)		Supplement per sheet over 30 ¹ (CHF 15)	Designation fee ^{1,2} (CHF 185)	Competent ISA(s) ³
EP ⁶	DEM	200	DEM	883	17	214	EP
ES		None	ESP	76,300	1,500	18,500	EP
FI	FIM	700	FIM	3,200	65	780	EP SE
FR	FRF	400	FRF	3,010	60	730	EP
GB	GBP	55	GBP	352	7	85	EP
GE	USD ⁴	—	USD	530	10	128	EP RU
GR	GRD	30,000	GRD	131,000	3,000	32,000	EP
HU	HUF	1,000	HUF	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT RU
IB	CHF	300	CHF	762	15	185	See note 7
	or USD	200	or USD	530	10	128	
IE	IEP	60	IEP	357	7	87	EP
IT	ITL	60,000	ITL	884,000	17,000	215,000	EP
JP	JPY	18,000	JPY	59,000	1,000	14,000	EP JP
KE	USD (or KES equiv)	30	USD	530	10	128	AU CN EP
KG	KGS ⁴	—	USD	530	10	128	EP RU
KP	KPW	50	KPW	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	RU
KR	KRW	40,000	KRW	427,000	8,000	103,000	AT AU JP
KZ	RUR ⁴	—	USD	530	10	128	EP RU
LR ⁸	USD	45	USD	530	10	128	AT AU CN EP SE
LT	LTL equiv of USD	80	USD	530	10	128	EP RU
LU	LUF/BEF	1,000	LUF/BEF	18,208	358	4,421	EP
LV	LVL	40	USD	530	10	128	EP RU
MC	FRF	200	FRF	3,010	60	730	EP
MD	MDL ⁴	—	USD	530	10	128	EP RU
MN		None	MNT	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
MW	MWK	8	MWK	2,350	46	570	EP
NL	NLG	110	NLG	1,000	20	240	EP
NO	NOK	500	NOK	3,940	80	960	EP SE
NZ	NZD	155	NZD	976	19	237	AU EP
PL	PLZ	1,500,000	PLZ	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
PT	PTE	3,000	PTE	89,000	1,800	21,700	EP
RO	ROL	10,000	CHF	762	15	185	AT EP RU
RU	RUR	13,000	USD	530	10	128	EP RU
SD	SDP	50	SDP	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SE	SEK	600	SEK	4,355	85	1,060	EP SE
SI	SIT	See note 9	SIT	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SK	SKK	1,200	SKK	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
TJ	RUR ⁴	—	USD	530	10	128	EP RU
TT	TTD ⁴	—	USD	530	10	128	AT EP SE US
UA	UAK ⁴	—	USD	530	10	128	EP RU
US	USD	200	USD	530	10	128	EP US
	(from 01.10.94 ¹⁰ : 210)						
UZ	USD ⁴	—	USD	530	10	128	EP RU
VN	USD	50	VND	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT AU EP RU SE

Key to currency abbreviations for all fee tables:

AMD	Dram	DEM	Mark	ITL	Lira	MNT	Tugrik	SDP	Sudanese Pound
ATS	Schilling	DKK	Danish Krone	JPY	Yen	MWK	Kwacha	SEK	Swedish Krona
AUD	Australian Dollar	EEK	Estonian Krona	KES	Kenyan Shilling	NLG	Guilder	SIT	Tolar
BEF	Belgian Franc	ESP	Peseta	KGS	Som	NOK	Norwegian Krone	SKK	Slovak Koruna
BGL	Lev	FIM	Markka	KPW	Won	NZD	New Zealand Dollar	TTD	Trinidad and Tobago Dollar
BRC	Cruzeiro Real	FRF	French Franc	KRW	Won	PLZ	Zloty	UAK	Karbovanets
CAD	Canadian Dollar	GBP	Pound Sterling	LTL	Lita	PTE	Escudo	USD	US Dollar
CHF	Swiss Franc	GRD	Drachma	LUF	Luxembourg Franc	ROL	Romanian Leu	VND	Dong
CNY	Yuan Renminbi	HUF	Forint	LVL	Lat	RUR	Rouble		
CZK	Czech Koruna	IEP	Irish Pound	MDL	Moldovan Leu				

Table I(b) – SEARCH FEES
(as at 1 August 1994, unless otherwise indicated)

ISA	Search fee ¹							
AT	ATS	2,200	CHF	285	KRW	161,000	USD	198
AU	AUD	750	CHF	772	KRW	439,000	NZD	945
CN	CNY	800	CHF	210	USD	146		
EP	DEM	2,400	CHF	2,060	FRF	8,480	ITL	2,449,000
	ATS	17,140	DKK	9,600	GBP	960	JPY	149,000
	BEF	51,000	ESP	203,400	GRD	363,600	LUF	51,000
	CAD	1,870	FIM	8,200	IEP	1,017	MWK	6,400
	(from 01.10.94:		USD	1,537)			NLG	2,740
							SEK	11,590
							USD	1,415
							NZD	2,557
							PTE	255,300
ES	ESP	50,100	CHF	537	USD	373		
JP	JPY	77,000	CHF	1,060	KRW	612,000	USD	740
RU	RUR	53,000	CHF	288	USD	200		
SE	SEK	2,600 ¹¹	3,600 ¹²	4,200 ¹³	FIM	1,875 ¹¹	2,600 ¹²	3,030 ¹³
	CHF	460 ¹¹	635 ¹²	740 ¹³	NOK	2,430 ¹¹	3,365 ¹²	3,925 ¹³
	DKK	2,115 ¹¹	2,930 ¹²	3,420 ¹³	USD	320 ¹¹	442 ¹²	515 ¹³
US	USD	620	(410 ¹⁴)	CHF	890	(590 ¹⁴)		
	(from 01.10.94 ¹⁰ :		USD	640	(420 ¹⁴))			

Table II – PRELIMINARY EXAMINATION FEES
(as at 1 August 1994, unless otherwise indicated)

IPEA	Preliminary examination fee ¹⁵				Handling fee ¹⁵ (CHF 233)			
AT	ATS	2,200			ATS	1,902		
AU	AUD	400			AUD	229		
CN	CNY	800			CNY	equiv of CHF 233		
EP	DEM	3,000	FRF	10,600	NLG	3,430	DEM	270
	ATS	21,430	GBP	1,200	PTE	319,100	FRF	920
	BEF	63,700	IEP	1,271	SEK	14,490	NLG	305
	CHF	2,580	ITL	3,061,000			PTE	27,000
	DKK	12,000	LUF	63,700			SEK	1,330
						BEF	5,568	
						IEP	109	
						CHF	233	
						ITL	270,000	
						DKK	1,050	
						LUF	5,568	
JP	JPY	28,000			JPY	18,000		
RU	RUR	26,000	USD	300	USD	162		
SE	SEK	3,200			SEK	1,330		
US	USD	450	(670 ¹⁶)		USD	162		
	(from 01.10.94 ¹⁰ :		USD	460	(690 ¹⁶))			

1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.

2 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee.

3 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.

4 The amounts are not yet known. The Office or the agent should be consulted for the latest applicable schedule of fees.

5 From 24 August 1994.

6 Payments can also be made in ATS, BEF, CHF, DKK, ESP, FRF, GBP, GRD, IEP, ITL, LUF, NLG, PTE or SEK. For the amounts in the said currencies, reference should be made to the latest issue of the *Official Journal* of the EPO.

7 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national.

8 From 27 August 1994.

9 10% of the basic fee, supplement fee, designation fee and search fee.

10 As proposed by United States Patent and Trademark Office – to be confirmed.

11 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Danish Patent Office.

12 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Danish Patent Office, the National Board of Patents and Registration (Finland) or the Norwegian Patent Office.

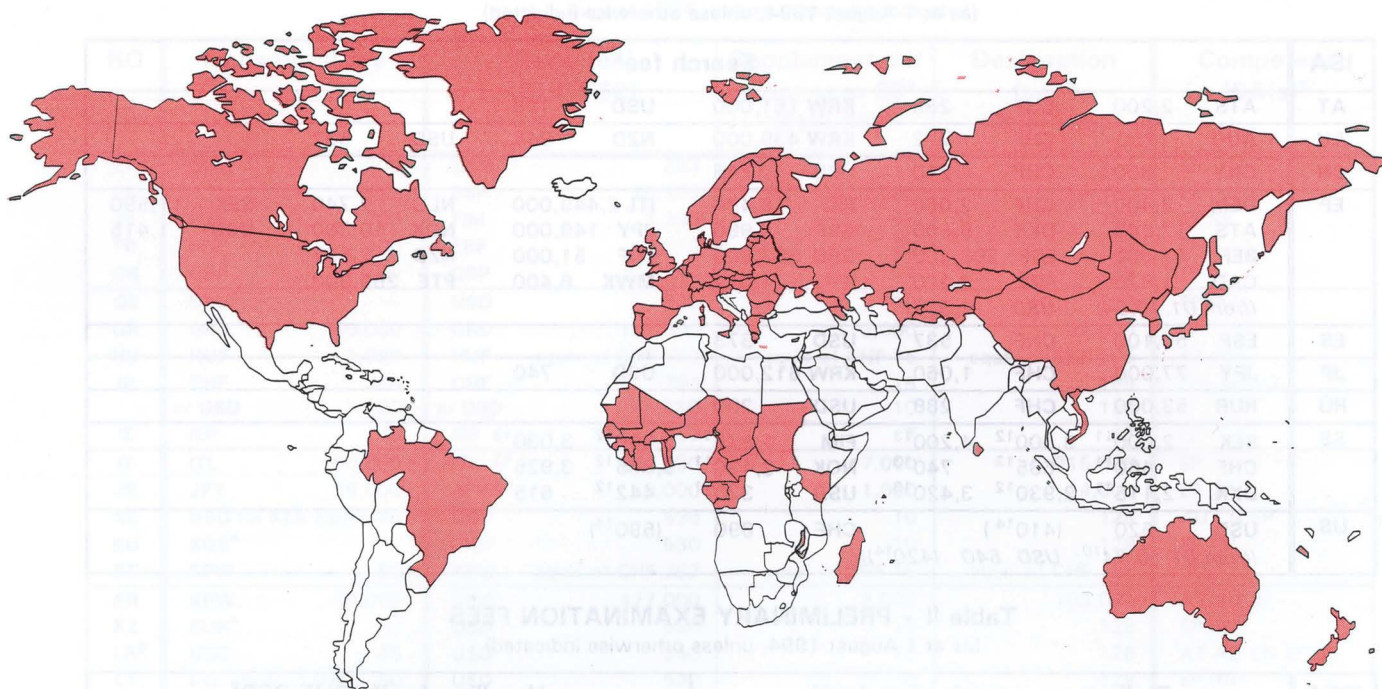
13 In all cases where 11 and 12 do not apply.

14 Payable when a corresponding prior US national application has been filed and the basic national fee for that US application has been paid.

15 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.

16 Payable when the international search report was not established by the United States Patent and Trademark Office.

PCT CONTRACTING STATES AND TWO-LETTER CODES (73 on 1 August 1994)



In the Americas

BB Barbados
BR Brazil
CA Canada
TT Trinidad and
Tobago
US United States
of America

In Europe

EPO (EP)

AT Austria
BE Belgium
CH Switzerland
DE Germany
DK Denmark
ES Spain
FR France
GB United Kingdom
GR Greece
IE Ireland
IT Italy
LI Liechtenstein
LU Luxembourg
MC Monaco
NL Netherlands
PT Portugal
SE Sweden

Non-EPO

BG Bulgaria
BY Belarus
CZ Czech Republic
**EE Estonia (from
24 August 1994)**
FI Finland
HU Hungary
LT Lithuania
LV Latvia
MD Republic of
Moldova
NO Norway
PL Poland
RO Romania
RU Russian Federa-
tion
SI Slovenia
SK Slovakia
UA Ukraine

In Africa

ARIPO (AP)

KE Kenya
MW Malawi
SD Sudan
**SZ Swaziland (from
20 September 1994)**

OAPI (OA)

BF Burkina Faso
BJ Benin
CF Central African
Republic
CG Congo
CI Côte d'Ivoire
CM Cameroon
GA Gabon
GN Guinea
ML Mali
MR Mauritania
NE Niger
SN Senegal
TD Chad
TG Togo

Non-ARIPO or -OAPI

**LR Liberia (from
27 August 1994)**
MG Madagascar

In Asia and the Pacific

AM Armenia
AU Australia
CN China
GE Georgia
JP Japan
KG Kyrgyzstan
KP Democratic People's
Republic of Korea
KR Republic of Korea
KZ Kazakhstan
LK Sri Lanka
MN Mongolia
NZ New Zealand
TJ Tajikistan
UZ Uzbekistan
VN Viet Nam

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any States indicated in **bold italics** have adhered to the PCT but were not yet bound by the PCT on the date of issue of the latest version of the request form. Where a State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate any States which are bound by the PCT on the date on which the international application is filed but which are not listed in the request form, he must add them in Box No. V of the request form and mark the corresponding check-box.

Applicants should always use the latest versions of the request and demand forms. The latest version of the request form (PCT/RO/101) is dated 5 July 1994; that of the demand form (PCT/IPEA/401) is dated January 1994. The forms are reproduced in Annexes X and Y, respectively, of the *PCT Applicant's Guide*, Volume I. The request form can also be obtained from receiving Offices or the International Bureau. The demand form can also be obtained from International Preliminary Examining Authorities or the International Bureau.

B1**Information on Contracting States****B1****AM****ARMENIA****AM****General information**

Name of Office:	Armenian Patent Office
Location and mailing address:	Government House 3, Central Avenue, Yerevan 375010, Armenia
Telephone:	(7-885-2) 520 673
Facsimile machine:	(7-885-2) 580 631
Teleprinter:	
Does the Office accept the filing of documents by means of telecommunication (PCT Rule 92.4)?	No
Would the Office accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used (PCT Rule 82.1)?	Yes, provided that the delivery service is DHL or Federal Express
Competent receiving Office for nationals and residents of Armenia:	Armenian Patent Office or International Bureau of WIPO, at the choice of the applicant (see Annex C)
Competent designated (or elected) Office if Armenia is designated (or elected):	Armenian Patent Office (see Volume II)
May Armenia be elected?	Yes (bound by Chapter II of the PCT)
Types of protection available:	Patents
Provisions of the law of Armenia concerning international-type search:	None
Provisional protection after international publication:	None

[continued on next page]

B1

Information on Contracting States

B1

AM

ARMENIA

AM

[continued]

Information of interest if Armenia is designated (or elected)

Time when the name and address of the inventor must be given if Armenia is designated:

Must be in the request. If the data concerning the inventor are missing at the expiry of the time limit under PCT Article 22 or 39(1)(a), the Armenian Patent Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation.

Does the Office disregard the priority claim if the priority document was not submitted within 16 months from the priority date under PCT Rule 17.1?

No

Are there special provisions concerning the deposit of microorganisms?

No

B1**Information on Contracting States****B1****EE****ESTONIA****EE****General information**

Name of Office:	Riigi Patendiamet Estonian Patent Office
Location and mailing address:	Toompuiestee 7, EE0100 Tallinn, Estonia
Telephone:	(372-2) 45 13 42
Facsimile machine:	(372-2) 45 13 42
Teleprinter:	-
Does the Office accept the filing of documents by means of telecommunication (PCT Rule 92.4)?	No
Would the Office accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used (PCT Rule 82.1)?	Yes
Competent receiving Office for nationals and residents of Estonia:	Estonian Patent Office or International Bureau of WIPO, at the choice of the applicant (see Annex C)
Competent designated (or elected) Office if Estonia is designated (or elected):	Estonian Patent Office (see Volume II)
May Estonia be elected?	Yes (bound by Chapter II of the PCT)
Types of protection available:	Patents, utility models
Provisions of the law of Estonia concerning international-type search:	Section 23(2) of the Estonian Patent Law
Provisional protection after international publication:	None

[continued on next page]

B1

Information on Contracting States

B1

EE

ESTONIA

EE

[continued]

Information of interest if Estonia is designated (or elected)

Time when the name and address of the inventor must be given if Estonia is designated:

Must be in the request. If the data concerning the inventor are missing at the expiration of the time limit under PCT Article 22 or 39(1)(a), the Estonian Patent Office will invite the applicant to comply with the requirement within the time limit indicated in the invitation.

Does the Office disregard the priority claim if the priority document was not submitted within 16 months from the priority date under PCT Rule 17.1?

No

Are there special provisions concerning the deposit of microorganisms?

No

B1**Information on Contracting States****B1****LR****LIBERIA****LR****General information**

Name of Office:	Ministry of Foreign Affairs, Bureau of Archives, Patents, Trade Marks and Copyright
Location:	Mamba Point, Monrovia, Liberia
Mailing address:	P.O. Box 9002, Monrovia, Liberia
Telephone:	(231) 22 30 11
Facsimile machine:	(231) 24 40 47
Teleprinter:	(0997) 44211
Does the Office accept the filing of documents by means of telecommunication (PCT Rule 92.4)?	Yes, by facsimile machine
Which kinds of documents may be so transmitted?	All kinds of documents
Must the original of the document be furnished in all cases?	Yes, within 14 days from the date of transmission, if the transmitted document is an international application or a replacement sheet containing corrections or amendments of an international application. No, only upon invitation in the case of other documents.
Would the Office accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used (PCT Rule 82.1)?	Yes, provided that the delivery service is DHL or EMS
Competent receiving Office for nationals and residents of Liberia:	Ministry of Foreign Affairs, Bureau of Archives, Patents, Trade Marks and Copyright or International Bureau of WIPO, at the choice of the applicant (see Annex C)
Competent designated (or elected) Office if Liberia is designated (or elected):	Ministry of Foreign Affairs, Bureau of Archives, Patents, Trade Marks and Copyright (see Volume II)
May Liberia be elected?	Yes (bound by Chapter II of the PCT)
Types of protection available:	Patents
Provisions of the law of Liberia concerning international-type search:	None
Provisional protection after international publication:	None

[continued on next page]

B1

Information on Contracting States

B1

LR

LIBERIA

LR

[continued]

Information of interest if Liberia is designated (or elected)

Time when the name and address of the inventor must be given if Liberia is designated:

Must be in the request. If the data concerning the inventor are missing at the expiry of the time limit applicable under PCT Article 22 or 39(1)(a), the Bureau of Archives, Patents, Trade Marks and Copyright will invite the applicant to comply with the requirement within a time limit fixed in the invitation.

Does the Office disregard the priority claim if the priority document was not submitted within 16 months from the priority date under PCT Rule 17.1?

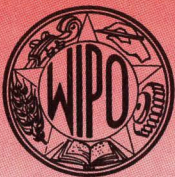
No

Are there special provisions concerning the deposit of microorganisms?

No

PCT

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NEWSLETTER

SEPTEMBER 1994

No. 07/1994

INFORMATION UPDATE

Australia (fees)

As from 1 October 1994, there will be a change in certain fees payable to the Australian Patent Office. The fees payable to the Office in its capacity as receiving Office, International Searching Authority and International Preliminary Examining Authority are shown in Tables I(a), I(b) and II, on pages 5 to 7. The new amounts payable to the Office in its capacity as designated or elected Office are shown below:

For patent:

Filing fee:	AUD 280
Additional fee for each sheet (including drawings) in excess of 30:	AUD 12

For petty patent:

AUD 280

The Australian Patent Office has informed the International Bureau that the additional fee for each claim in excess of 10 should be deleted from the page containing the above fees in the *PCT Applicant's Guide*, Vol. II, National Chapter, Summary (AU).

China (fees)

On 1 September 1994, there was a change in the fees payable to the Chinese Patent Office in its capacity as designated or elected Office. The new amounts are set out in the pink tear-out provisional sheet for the *PCT Applicant's Guide*, Vol. II, National Chapter, Summary (CN).

Swaziland (effect of designation; receiving Office)

The designation of Swaziland (which will become bound by the PCT on 20 September 1994) in an international application will have the effect only of a designation of Swaziland for an ARIPO patent under the Harare Protocol; it will not be possible to designate Swaziland for a national patent. The competent receiving Office for nationals and residents of Swaziland will be ARIPO; international applications can also be filed by them with the International Bureau of WIPO.

United States of America (fees)

The United States Patent and Trademark Office has now confirmed the new fees which

[continued on page 2]

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Line spacing in international applications	2
<i>PCT Newsletter</i> binder	2
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PCT seminar calendar	4
Correction of priority declarations in the EPO regional phase	5
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PCT Contracting States and two-letter codes	8
<i>Tear-out sheets: order form for PCT Newsletter binder; provisional sheets for the PCT Applicant's Guide, Vol. I, Annex C (US), D (US) and E (US) and Vol. II, National Chapter, Summary (CN) and (US)</i>	

INFORMATION UPDATE

[continued from page 1]

were mentioned in last month's issue of the *PCT Newsletter* as being subject to confirmation. The new fees, payable to the United States Patent and Trademark Office in its capacity as receiving Office, International Searching Authority, International Preliminary Examining Authority, and designated or elected Office, will enter into force on 1 October 1994. They are shown in Tables I(a), I(b) and II, on pages 5 to 7, and are set out in the pink tear-out provisional sheets for the *PCT Applicant's Guide*, Vol. I, Annex C (US), D (US) and E (US) and Vol. II, National Chapter, Summary (US).

European Patent Office (fees)

As from 5 October 1994, there will be a change in the amounts of the international search and preliminary examination fees payable to the EPO in Belgian and Luxembourg Francs (BEF/LUF), Pesetas (ESP) (search fee only), French Francs (FRF), Pounds Sterling (GBP), Drachma (GRD) (search fee only), Escudos (PTE) and Swedish Krona (SEK). The new fees are shown in Tables I(b) and II, on page 7.

LINE SPACING IN INTERNATIONAL APPLICATIONS (*PCT Applicant's Guide: corrigendum*)

There is a typographical error in paragraph 125 of Vol. I of the *PCT Applicant's Guide*. The penultimate sentence erroneously says that the typing in the description in an international application must be

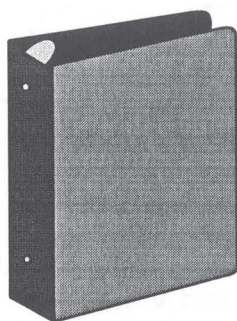
"1 spaced." The sentence should say that the typing must be "1 ½-spaced" (see PCT Rule 11.9(c)). The International Bureau apologizes for this error, which appeared when the *Guide* was updated and will be corrected in the next update.

PRACTICAL ADVICE

Refusal of a request for rectification of an obvious error

Q: In response to my request under PCT Rule 91.1 for rectification of an obvious error in an international application, the Authority competent to authorize the rectification informed me that my request had been refused. Is there any action I can take now with a view to correction of the error later, during the national phase?

A: There is no further action you can take to have the error corrected in the international phase. You may, however, request the International Bureau, in writing, to publish, together with the international application, the request for rectification, as submitted to and refused by the Authority concerned (see PCT Rule 91.1(f)). When the request for rectification is published, designated/elected Offices and third parties will thereby be informed that the international application contains an error and that there is a possibility that a request for a correction of that error may be made in the national phase. You may then pursue the matter further before each designated/elected Office under the provisions of the applicable national law. The importance of the publication of a request for rectification in pursuing a subse-



PCT NEWSLETTER BINDER

In response to requests from many subscribers, it has been decided to make available a binder for the storage of the PCT Newsletter. The binder is large enough to include issues published over a two-year period. The price of the binder, which covers production and mailing costs, is 11 Swiss Francs or 8 US Dollars. If you would like to order a binder, please fill in the tear-out form in the center of this issue and return it to the address indicated on the form as soon as possible.

quent request for correction in the national phase is illustrated by Decisions J 6/91 - 3.1.1 and J 2/92- 3.1.1 of the EPO Legal Board of Appeal (see page 5). The practice applied by the designated/elected Offices for the rectification of errors is, in many cases, more favorable than that which is provided for by the PCT.

The request for publication of the refused request for rectification must be received by the International Bureau before the expiration of 17 months from the priority date or, if the technical preparations for international publication are completed later than that time limit, before the completion of the technical preparations. (The technical preparations for publication are normally completed 15 days before the actual publication date.) If you have requested early publication of the international application, the request for publication of the request for rectification must be received before the technical preparations for international publication have been completed, even if this is before the expiration of 17 months from the priority date.

A special fee is payable to the International Bureau for publishing a request for rectification under PCT Rule 91.1(f). The current fee is 50 Swiss francs plus 12 Swiss francs for each sheet in excess of one. The fee must also be submitted before the completion of the technical preparations for international publication.

Designation of PCT Contracting States which are not on the request form

Q: I am using the latest version of the printed request form, but the list of States in Box No. V is now out of date. How do I designate States which have become bound by the PCT since that version of the form was issued?

A: For the designation, for the purposes of a **national** patent, of a State which has become bound by the PCT after the date appearing on the bottom of the second sheet of the request form (Form PCT/RO/101), the name of the State, preferably preceded by the two-letter country code, must be added to the form, together with an indication, where applicable, of whether a special kind of protection or

treatment is desired (for example, "utility model"). The dotted lines underneath the list of States in Box No. V were introduced into the request form for the purpose of adding any such States. If a State is added on one of the dotted lines, ***the check box preceding the mention of that State should be marked.*** If the check box is not marked, ambiguity can result as to whether the applicant intended to designate the State in question or whether the name of the State was simply added to a master version of the form for the convenience of those subsequently filling in copies of it. (Such additions are made by many patent attorneys and corporate applicants, as a matter of course, in order to avoid unintentional omission of designations.)

The designation, for the purposes of a **regional** patent, of a Contracting State of the Harare Protocol, the European Patent Convention or OAPI which becomes bound by the PCT after the date appearing on the bottom of the second sheet of the request form, will be automatic if the relevant regional patent check box has been marked. Such automatic coverage of new Contracting States follows from the wording "... and any other State which is a [Contracting State of the Harare Protocol/Contracting State of the European Patent Convention/member State of OAPI] and a Contracting State of the PCT" which appears in the current version of the form after the lists of States which can be designated for regional patents.

If you have doubts about the interpretation of any designations you make in the request in a particular international application, you are advised to check with the receiving Office with which you file, or have filed, the application. In any event, you should always carefully check the list of designations on Form PCT/IB/301 (Notification of receipt of record copy), which you receive from the International Bureau after filing. It is possible to correct any mistakes or omissions through the confirmation of "precautionary" designations under PCT Rule 4.9(b) and (c), provided the necessary steps are taken before the expiration of 15 months from the priority date (see *PCT Newsletter*, No. 03/1994, pages 2 to 3).

PCT SEMINAR CALENDAR		
Dates	Location and nature of seminar WIPO speakers	Who to contact for further information
7 October 1994	Paris (FR) PCT seminar for patent attorneys, organized by Forum Institut für Management GmbH WIPO speaker: Ms. Boutillon	Forum Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
11-12 October 1994	Beijing (CN) Advanced PCT seminar organized by the Chinese Patent Office WIPO speakers: Mr. Bartels, Mr. Wang, Mr. Thomas	Mr. Wu Xiangwen Tel: (86-1) 201 96 75 Fax: (86-1) 201 96 15
17-18 October 1994	Hong Kong (HK) Advanced PCT seminar organized by the Chinese Patent Office and China Patent Agent (H.K.) Ltd. WIPO speakers: Mr. Bartels, Mr. Wang, Mr. Thomas	Mrs. Shi Xiaomei Tel: (852) 828 46 31 Fax: (852) 827 10 18
3-4 November 1994	London (GB) PCT seminar for patent lawyers and attorneys organized by Management Forum Ltd. WIPO speaker: Mr. Thomas	Management Forum Ltd Tel: (44-483) 57 00 99 Fax: (44-483) 364 24
3-4 November 1994	Munich (DE) PCT seminar for patent administrators organized by Forum Institut für Management GmbH WIPO speaker: Mrs. Coeckelbergs	Forum Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
17-18 November 1994	Chicago (US) Advanced PCT seminar for patent administrators organized by the John Marshall Law School WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Ms. Rosemary Knight Tel: (1-312) 987 14 20 Fax: (1-312) 427 71 28
21-22 November 1994	San Francisco (US) Advanced PCT seminar for patent administrators organized by Intellectual Property International WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Ms. Virginia H. Meyer Tel: (1-415) 289 74 71 Fax: (1-415) 331 60 68
7-8 December 1994	London (GB) Advanced PCT seminar for patent administrators and legal assistants organized by Management Forum Ltd. WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Management Forum Ltd Tel: (44-483) 57 00 99 Fax: (44-483) 364 24
9 December 1994	London (GB) Workshop for patent administrators and legal assistants organized by Management Forum Ltd. WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Management Forum Ltd Tel: (44-483) 57 00 99 Fax: (44-483) 364 24
12 December 1994	Munich (DE) PCT seminar for patent attorneys, organized by Forum Institut für Management GmbH WIPO speaker: Mr. Bartels	Forum Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27

CORRECTION OF PRIORITY CLAIMS IN THE EPO REGIONAL PHASE

As indicated in the following headnotes relating to two separate cases, the European Patent Office Legal Board of Appeal has decided, in connection with the correction, under Rule 88 of the Implementing Regulations to the European Patent Convention, of international applications during the regional phase, that:

"In principle, in the absence of any special circumstances, a request for correction of a priority claim by the addition of a first priority should be made sufficiently early for a warning to be included in the publication of the application. An exception to this rule may be allowed if it is apparent on the face of the published application that a first or only priority may be missing or wrong or that the date of a first or only priority is wrong. In such a case, the public interest is safeguarded by the fact that it was apparent from the published application that a mistake may have been or has been made with respect to the priority date claimed."

(Decision J 6/91 - 3.1.1; *Official Journal of*

the EPO (OJ EPO), No. 6/1994, page 349)

"I. Particulars of the priority declaration (date and file number pursuant to Rule 4.10(a) PCT) may be corrected even after publication of the international application without a warning provided that the interests of third parties are not adversely affected (see decisions J 4/82, *OJ EPO* 1982, 385, and J 3/82, *OJ EPO* 1983, 171).

"The interests of third parties are not adversely affected by a correction, if the mistake in the particulars of the priority declaration is apparent on the face of the published patent application ("*apparent discrepancy*").

"II. In cases where the applicant requested transmittal of the priority documents according to Rule 17.1(b) PCT in due time, a wrong document may be replaced, even after publication of the international application, if the request for transmittal erroneously indicated a wrong document number."

(Decision J 2/92 - 3.1.1; *OJ EPO*, No. 6/1994, page 375)

PCT FEE TABLES

The following Tables show the amounts (including currencies) for the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Table I(a) and Table I(b)) and under Chapter II (Table II). Fees which are payable only in special circumstances are not shown; nor are details of reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Volume I, Annexes C, D and E. Note that all amounts are subject to changes due to fee increases or fluctuations in exchange rates. The footnotes are on page 7.

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES
(as at 1 September 1994, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ¹ (CHF 762)	Supplement per sheet over 30 ¹ (CHF 15)	Designation fee ^{1,2} (CHF 185)	Competent ISA(s) ³
AM	AMD ⁴ —	USD 530	10	128	EP RU
AP	USD 70	USD 530	10	128	EP
AT	ATS 700	ATS 6,220	122	1,510	EP
AU	AUD 55 (from 01.10.94: 100)	AUD 748	15	182	AU
BE	BEF 1,500	BEF 18,208	358	4,421	EP
BG	BGL 600	BGL equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
BR	BRC 21,670	BRC equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP SE US
BY	RUR ⁴ —	USD 530	10	128	EP RU
CA	CAD 200	CAD 696 (from 18.10.94: 792)	14 (16)	168 (192)	EP
CH	CHF 100	CHF 762	15	185	EP
CN	CNY 500	CNY equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	CN
CZ	CZK 1,200	CZK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
DE	DEM 150	DEM 883	17	214	EP
DK	DKK 1,500	DKK 3,440	70	840	EP SE
EE	EEK 1,500	EEK equiv of CHF 762	15	185	EP

[continued on page 6]

[continued from page 5]

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES (continued)

(as at 1 September 1994, unless otherwise indicated)

RO	Transmittal fee ¹		Basic fee ¹ (CHF 762)		Supplement per sheet over 30 ¹ (CHF 15)	Designation fee ^{1,2} (CHF 185)	Competent ISA(s) ³
EP ⁵	DEM	200	DEM	883	17	214	EP
ES		None	ESP	76,300	1,500	18,500	EP
FI	FIM	700	FIM	3,200	65	780	EP SE
FR	FRF	400	FRF	3,010	60	730	EP
GB	GBP	55	GBP	352	7	85	EP
GE	USD ⁴	—	USD	530	10	128	EP RU
GR	GRD	30,000	GRD	131,000	3,000	32,000	EP
HU	HUF	1,000	HUF	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT RU
IB	CHF	300	CHF	762	15	185	See note 6
	or USD	200	or USD	530	10	128	
IE	IEP	60	IEP	357	7	87	EP
IT	ITL	60,000	ITL	884,000	17,000	215,000	EP
JP	JPY	18,000	JPY	59,000	1,000	14,000	EP JP
KE	USD (or KES equiv)	30	USD	530	10	128	AU CN EP
KG	KGS ⁴	—	USD	530	10	128	EP RU
KP	KPW	50	KPW	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	RU
KR	KRW	40,000	KRW	427,000	8,000	103,000	AT AU JP
KZ	RUR ⁴	—	USD	530	10	128	EP RU
LR	USD	45	USD	530	10	128	AT AU CN EP SE
LT	LTL equiv of USD	80	USD	530	10	128	EP RU
LU	LUF/BEF	1,000	LUF/BEF	18,208	358	4,421	EP
LV	LVL	40	USD	530	10	128	EP RU
MC	FRF	200	FRF	3,010	60	730	EP
MD	MDL ⁴	—	USD	530	10	128	EP RU
MN		None	MNT	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
MW	MWK	8	MWK	2,350	46	570	EP
NL	NLG	110	NLG	1,000	20	240	EP
NO	NOK	500	NOK	3,940	80	960	EP SE
NZ	NZD	155	NZD	976	19	237	AU EP
PL	PLZ	1,500,000	PLZ	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
PT	PTE	3,000	PTE	89,000	1,800	21,700	EP
RO	ROL	10,000	CHF	762	15	185	AT EP RU
RU	RUR	13,000	USD	530	10	128	EP RU
SD	SDP	50	SDP	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SE	SEK	600	SEK	4,355	85	1,060	EP SE
SI	SIT	See note 7	SIT	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SK	SKK	1,200	SKK	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
TJ	RUR ⁴	—	USD	530	10	128	EP RU
TT	TTD ⁴	—	USD	530	10	128	AT EP SE US
UA	UAK ⁴	—	USD	530	10	128	EP RU
US	USD	200	USD	530	10	128	EP US
	(from 01.10.94:	210)					
UZ	USD ⁴	—	USD	530	10	128	EP RU
VN	USD	50	VND	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT AU EP RU SE

Key to currency abbreviations for all fee tables:

AMD	Dram	DEM	Mark	ITL	Lira	MNT	Tugrik	SDP	Sudanese Pound
ATS	Schilling	DKK	Danish Krone	JPY	Yen	MWK	Kwacha	SEK	Swedish Krona
AUD	Australian Dollar	EEK	Estonian Krona	KES	Kenyan Shilling	NLG	Guilder	SIT	Tolar
BEF	Belgian Franc	ESP	Peseta	KGS	Som	NOK	Norwegian Krone	SKK	Slovak Koruna
BGL	Lev	FIM	Markka	KPW	Won	NZD	New Zealand Dollar	TTD	Trinidad and Tobago Dollar
BRC	Cruzeiro Real	FRF	French Franc	KRW	Won	PLZ	Zloty	UAK	Karbovanets
CAD	Canadian Dollar	GBP	Pound Sterling	LTL	Lita	PTE	Escudo	USD	US Dollar
CHF	Swiss Franc	GRD	Drachma	LUF	Luxembourg Franc	ROL	Romanian Leu	VND	Dong
CNY	Yuan Renminbi	HUF	Forint	LVL	Lat	RUR	Rouble		
CZK	Czech Koruna	IEP	Irish Pound	MDL	Moldovan Leu				

Table I(b) – SEARCH FEES
(as at 1 September 1994, unless otherwise indicated)

ISA	Search fee ¹									
AT	ATS	2,200	CHF	285	KRW	161,000	USD	198		
AU	AUD	750	CHF	772	KRW	439,000	NZD	945	USD 537	
	<i>(from 01.10.94: AUD 800)</i>									
CN	CNY	800	CHF	210	USD	146				
EP	DEM	2,400	CHF	2,060	FRF	8,480	ITL	2,449,000	NLG 2,740	SEK 11,590
	ATS	17,140	DKK	9,600	GBP	960	JPY	149,000	NOK 10,300	USD 1,415
	BEF	51,000	ESP	203,400	GRD	363,600	LUF	51,000	NZD 2,557	
	CAD	1,870	FIM	8,200	IEP	1,017	MWK	6,400	PTE 255,300	
	<i>(from 01.10.94: USD 1,537)</i>									
	<i>(from 05.10.94: BEF 50,300)</i>		<i>ESP 201,700</i>		<i>FRF 8,350</i>		<i>GBP 1,000</i>		<i>GRD 369,200</i>	
	<i>LUF 50,300</i>		<i>PTE 250,000</i>		<i>SEK 11,940</i>					
ES	ESP	50,100	CHF	537	USD	373				
JP	JPY	77,000	CHF	1,060	KRW	612,000	USD	740		
RU	RUR	53,000	CHF	288	USD	200				
SE	SEK	2,600 ⁸	3,600 ⁹	4,200 ¹⁰	FIM	1,875 ⁸	2,600 ⁹	3,030 ¹⁰		
	CHF	460 ⁸	635 ⁹	740 ¹⁰	NOK	2,430 ⁸	3,365 ⁹	3,925 ¹⁰		
	DKK	2,115 ⁸	2,930 ⁹	3,420 ¹⁰	USD	320 ⁸	442 ⁹	515 ¹⁰		
US	USD	620	(410 ¹¹)	CHF	890	(590 ¹¹)				
	<i>(from 01.10.94: USD 640 (420¹¹))</i>									

Table II – PRELIMINARY EXAMINATION FEES
(as at 1 September 1994, unless otherwise indicated)

IPEA	Preliminary examination fee ¹²				Handling fee ¹² (CHF 233)					
AT	ATS	2,200			ATS	1,902				
AU	AUD	400	<i>(from 01.10.94: AUD 450)</i>		AUD	229				
CN	CNY	800			CNY equiv of CHF 233					
EP	DEM	3,000	FRF	10,600	NLG	3,430	DEM	270	FRF 920	NLG 305
	ATS	21,430	GBP	1,200	PTE	319,100	ATS	1,902	GBP 108	PTE 27,000
	BEF	63,700	IEP	1,271	SEK	14,490	BEF	5,568	IEP 109	SEK 1,330
	CHF	2,580	ITL	3,061,000			CHF	233	ITL 270,000	
	DKK	12,000	LUF	63,700			DKK	1,050	LUF 5,568	
	<i>(from 05.10.94: BEF 62,900)</i>		<i>FRF 10,430</i>							
	<i>GBP 1,250</i>		<i>LUF 62,900</i>		<i>PTE 312,500</i>					
	<i>SEK 14,930)</i>									
JP	JPY	28,000			JPY	18,000				
RU	RUR	26,000	USD	300	USD	162				
SE	SEK	3,200			SEK	1,330				
US	USD	450	(670 ¹³)	USD	162					
	<i>(from 01.10.94: USD 460 (690¹³))</i>									

1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.

2 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee.

3 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.

4 The amounts are not yet known. The Office or the agent should be consulted for the latest applicable schedule of fees.

5 Payments can also be made in ATS, BEF, CHF, DKK, ESP, FRF, GBP, GRD, IEP, ITL, LUF, NLG, PTE or SEK. For the amounts in the said currencies, reference should be made to the latest issue of the *Official Journal* of the EPO.

6 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national.

7 10% of the basic fee, supplement fee, designation fee and search fee.

8 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Swedish Patent Office.

9 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Danish Patent Office, the National Board of Patents and Registration (Finland) or the Norwegian Patent Office.

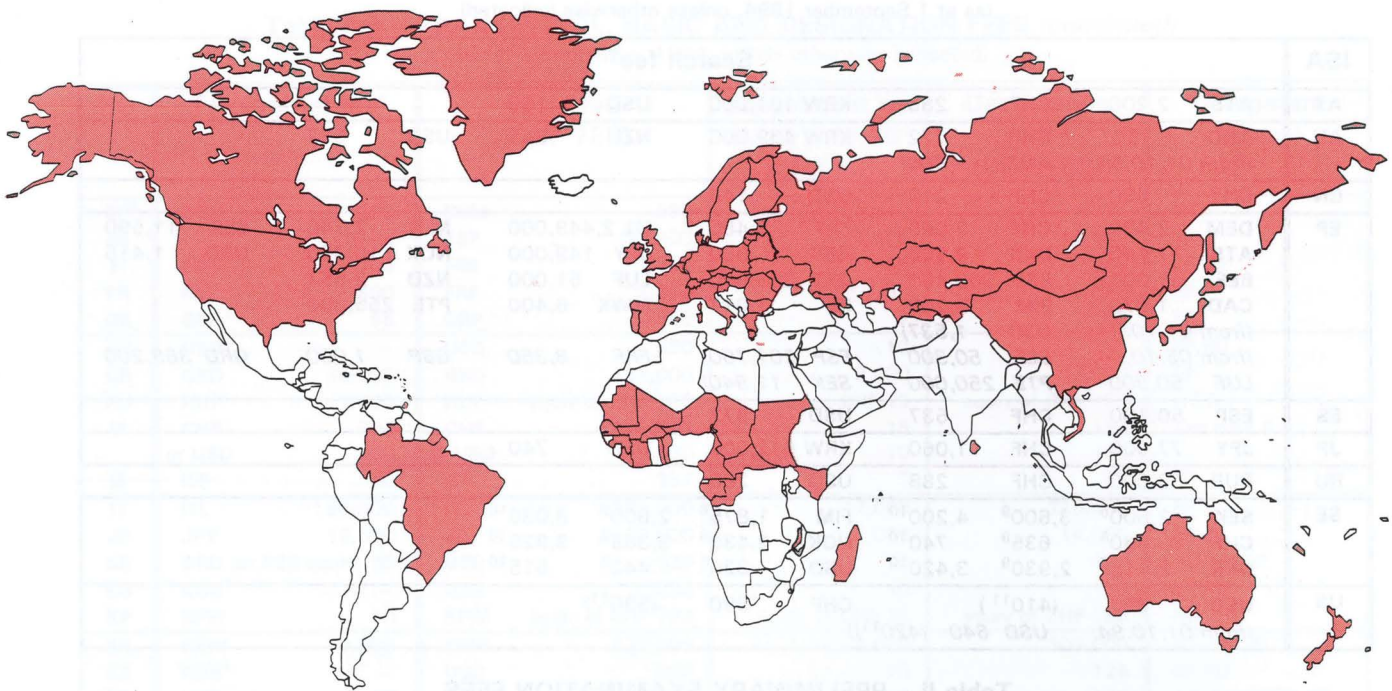
10 In all cases where 8 and 9 do not apply.

11 Payable when a corresponding prior US national application has been filed and the basic national fee for that US application has been paid.

12 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.

13 Payable when the international search report was not established by the United States Patent and Trademark Office.

PCT CONTRACTING STATES AND TWO-LETTER CODES (73 on 1 September 1994)



In the Americas

BB Barbados
BR Brazil
CA Canada
TT Trinidad and Tobago
US United States of America

In Europe

EPO (EP)

AT Austria
BE Belgium
CH Switzerland
DE Germany
DK Denmark
ES Spain
FR France
GB United Kingdom
GR Greece
IE Ireland
IT Italy
LI Liechtenstein
LU Luxembourg
MC Monaco
NL Netherlands
PT Portugal
SE Sweden

Non-EPO

BG Bulgaria
BY Belarus
CZ Czech Republic
EE Estonia
FI Finland
HU Hungary
LT Lithuania
LV Latvia
MD Republic of Moldova
NO Norway
PL Poland
RO Romania
RU Russian Federation
SI Slovenia
SK Slovakia
UA Ukraine

In Africa

ARIPO (AP)

KE Kenya
MW Malawi
SD Sudan
SZ Swaziland (from 20 September 1994)

OAPI (OA)

BF Burkina Faso
BJ Benin
CF Central African Republic
CG Congo
CI Côte d'Ivoire
CM Cameroon
GA Gabon
GN Guinea
ML Mali
MR Mauritania
NE Niger
SN Senegal
TD Chad
TG Togo

Non-ARIPO or -OAPI

LR Liberia
MG Madagascar

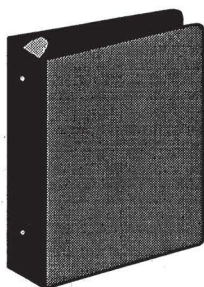
In Asia and the Pacific

AM Armenia
AU Australia
CN China
GE Georgia
JP Japan
KG Kyrgyzstan
KP Democratic People's Republic of Korea
KR Republic of Korea
KZ Kazakhstan
LK Sri Lanka
MN Mongolia
NZ New Zealand
TJ Tajikistan
UZ Uzbekistan
VN Viet Nam

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any States indicated in **bold italics** have adhered to the PCT but were not yet bound by the PCT on the date of issue of the latest version of the request form. Where a State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate any States which are bound by the PCT on the date on which the international application is filed but which are not listed in the request form, he must add them in Box No. V of the request form and mark the corresponding check-box.

Applicants should always use the latest versions of the request and demand forms. The latest version of the request form (PCT/RO/101) is dated 5 July 1994; that of the demand form (PCT/IPEA/401) is dated January 1994. The forms are reproduced in Annexes X and Y, respectively, of the *PCT Applicant's Guide*, Volume I. The request form can also be obtained from receiving Offices or the International Bureau. The demand form can also be obtained from International Preliminary Examining Authorities or the International Bureau.



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Date: Signature:

C**Receiving Offices****C****US****UNITED STATES PATENT AND
TRADEMARK OFFICE (USPTO)****US**

Competent receiving Office for nationals and residents of:	United States of America
Language in which international applications may be filed:	English
Number of copies required by the receiving Office:	1
Competent International Searching Authority:	United States Patent and Trademark Office or European Patent Office
Competent International Preliminary Examining Authority:	United States Patent and Trademark Office, or European Patent Office for international applications for which the European Patent Office has established the international search report
Fees payable to the receiving Office:	Currency: US Dollar (USD)
Transmittal fee:	USD 210 *
Basic fee:	USD 530
Supplement per sheet over 30:	USD 10
Designation fee:	USD 128
Search fee:	See Annex D (United States Patent and Trademark Office or European Patent Office)
Fee for priority document (PCT Rule 17.1(b)):	USD 12
Is an agent required by the receiving Office?	No
Who can act as agent?	Patent attorneys and patent agents registered to practice before the USPTO. A list of registered patent attorneys and agents may be obtained from: Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402

* Attention: this new amount is payable as from 1 October 1994

D	International Searching Authorities	D
US	UNITED STATES PATENT AND TRADEMARK OFFICE (USPTO)	US

Search fee (PCT Rule 16): ¹	US Dollar (USD) Swiss Franc (CHF)	640* (420)* 890 (590)
The amount in parentheses is payable when a corresponding prior US national application has been filed and the basic filing fee paid		
Additional search fee (PCT Rule 40.2): ²	USD	180*
Fee for copies of documents cited in the international search report (PCT Rule 44.3): ²	None; applicant receives, together with the international search report, a copy of each document cited therein	
Conditions for refund and amount of refund of the search fee:	<p>Money paid by mistake, without cause, or in excess, will be refunded</p> <p>Where the international application is withdrawn or is considered withdrawn, under PCT Article 14(1), (3) or (4), before the start of the international search: refund of 100%</p>	
Languages accepted for international search:	English	
Requirements concerning nucleotide and/or amino acid sequence listing:	A sequence listing must comply with WIPO Standard ST.23 (see PCT Gazette No. 20/1992, pages 8465 to 8485) and be presented in machine readable form as provided in Annex C of the PCT Administrative Instructions (see PCT Gazette No. 15/1992)	
Subject matter that will not be searched:	The subject matter specified in items (i) to (vi) of PCT Rule 39.1 with the exception of subject matter which is searched in US national applications	

* **Attention: this new amount is payable as from 1 October 1994**

1. This fee is payable to the receiving Office in the currency or one of the currencies accepted by it (see Annex C).

2. This fee is payable to the International Searching Authority and only in particular circumstances.

E **International Preliminary** **E**
Examining Authorities
US **UNITED STATES PATENT AND** **US**
TRADEMARK OFFICE (USPTO)

Preliminary examination fee (PCT Rule 58): ¹	US Dollar (USD) (due on filing demand) The amount in parentheses is payable when the international search report was not established by the USPTO	460* (690) ^{2*}
Additional preliminary examination fee (PCT Rule 68.3): ³	USD 140 (240) ^{2*} The amount in parentheses is payable when the international search report was not established by the USPTO	
Handling fee (PCT Rule 57.1): ¹	USD ² 162 (due on filing demand)	
Fee for copies of documents cited in the international preliminary examination report (PCT Rule 71.2):	None; applicant receives, together with the international preliminary examination report, a copy of each additional document not cited in the international search report	
Fee for copies of documents contained in the file of the international application (PCT Rule 94.1): ³	USD 3 per copy of US patent USD 25 per copy of non-US patent document See 37 CFR 1.19 for other document supply fees	
Conditions for refund and amount of refund of the preliminary examination fee:	Money paid by mistake, without cause, or in excess, will be refunded In the cases provided for under PCT Rule 58.3 where the demand is considered as if it had not been submitted (PCT Rules 54.4(a), 57.4(c), 58.2(c) and 60.1(c)): refund of 100% If the international application or the demand is withdrawn before the start of the international preliminary examination: refund of the amount paid less a processing fee equivalent to the transmittal fee (see Annex C(US))	
Languages accepted for international preliminary examination:	English	
Subject matter that will not be examined:	The subject matter specified in items (i) to (vi) of PCT Rule 67.1 with the exception of subject matter which is examined in US national applications	

* **Attention: this new amount is payable as from 1 October 1994**

¹ This fee is payable to the International Preliminary Examining Authority.

² Current PCT related fees can be found in the Official Gazette of the United States Patent and Trademark Office.

³ This fee is payable to the International Preliminary Examining Authority and only in particular circumstances.

SUMMARY**Designated
(or elected) Office****SUMMARY****CN****CHINESE PATENT OFFICE****CN****Summary of requirements for entry into the national phase**

Time limits applicable for the entry into the national phase:	Under PCT Article 22: 20 months from the priority date Under PCT Article 39(1): 30 months from the priority date
Translation of international application required into: ¹	Chinese
Required contents of the translation for the entry into the national phase: ¹	Under PCT Article 22: Request, description, claims (if amended, both as originally filed and as amended, together with any statement under PCT Article 19), any text matter of drawings, abstract Under PCT Article 39(1): Request, description, claims, any text matter of drawings, abstract (if any of those parts amended, both as originally filed and as amended by the annexes to the international preliminary examination report)
Is a copy of the international application required?	No
National fee:	Currency: Yuan Renminbi (CNY) For patent: Application fee: ² CNY 490 Fee for priority claims, per claim: ² CNY 80 Maintenance fee: ³ CNY 300 Examination fee: ⁴ CNY 1,200 For utility model: Application fee: ² CNY 300 Fee for priority claims, per claim: ² CNY 80
Exemptions, reductions or refunds of the national fee:	No application fee is payable if the international application has been filed with the Chinese Patent Office as receiving Office The examination fee is reduced to CNY 960 where an international search has been carried out by the Japanese Patent Office, the Swedish Patent Office or the European Patent Office The examination fee is reduced by 50% where an international search has been carried out by the Chinese Patent Office No examination fee is payable if the international preliminary examination has been carried out by the Chinese Patent Office

[continued on next page]

- 1 Must be furnished within the time limit applicable under PCT Article 22 or 39(1).
- 2 This fee is due within the time limit applicable under PCT Article 22 or 39(1).
- 3 This fee is due within 25 months from the international filing date; where PCT Article 39(1) applies, it is due within 30 months from the priority date if that time limit expires later.
- 4 This fee is due within 3 years from the priority date.

SUMMARY**Designated
(or elected) Office****SUMMARY****CN****CHINESE PATENT OFFICE****CN**

[continued]

Special requirements of the Office
(PCT Rule 51^{bis}):⁵

Name and address of the inventor if they have not been furnished
in the “Request” part of the international application

Instrument of assignment of the priority right where the applicants
are not identical

Instrument of assignment of the international application if the
applicant has changed after the international filing date

Appointment of an agent

Translation must be furnished in two copies

Evidence concerning exceptions to lack of novelty if applicant
claims such exceptions in respect of an international application

Who can act as agent?

Any of the patent agencies designated by the Office. A list of
patent agencies may be obtained from the Office.

⁵ If not already complied with within the time limit applicable under PCT Article 22 or 39(1), the Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation.

SUMMARY

Designated
(or elected) Office

SUMMARY

US

UNITED STATES PATENT AND
TRADEMARK OFFICE (USPTO)

US

Summary of requirements for entry into the national phase

Time limits applicable for the entry into the national phase:	Under PCT Article 22: 20 months from the priority date Under PCT Article 39(1): 30 months from the priority date
Translation of international application required into: ¹	English
Required contents of the translation for the entry into the national phase: ¹	Under PCT Article 22: Request, description, claims (if amended, both as originally filed and as amended, together with any statement under PCT Article 19), any text matter of drawings, abstract Under PCT Article 39(1): Request, description, claims, any text matter of drawings, abstract (if any of those parts amended, both as originally filed and as amended by the annexes to the international preliminary examination report)
Is a copy of the international application required? ²	A copy is required only if applicant has not received Form PCT/IB/308 and the USPTO has not received a copy of the international application from the International Bureau under PCT Article 20. This may be the case where the applicant expressly requests an earlier start of the national phase under PCT Article 23(2). No copy is required if the international application was filed with the USPTO as receiving Office. A copy of amendments of the claims filed under PCT Article 19 with the International Bureau is required under the conditions indicated in the previous paragraph.
National fee:	Currency: US Dollar (USD) Basic national fee (37 CFR 1.492(a)(1)-(5)): ² - where an international preliminary examination fee has been paid on the international application to the USPTO: USD 660*(330) ^{3*} - where no international preliminary examination fee has been paid on the international application to the USPTO, but an international search fee has been paid for an international search by the USPTO: USD 730*(365) ^{3*} - where no international preliminary examination fee has been paid to and no international search report has been prepared by the USPTO: USD 980*(490) ^{3*}

* **Attention: this new amount is payable as from 1 October 1994**

[continued on next page]

- 1 Must be furnished within the time limit applicable under PCT Article 22 or 39(1). The requirement may still be complied with in response to a notice sent to the applicant, provided that a processing fee is paid for furnishing the translation later.
- 2 Must be furnished or paid within the time limit applicable under PCT Article 22 or 39(1).
- 3 The amount in parentheses is applicable in case of filing by a "small entity." In order to claim "small entity" status, a proper statement, signed by the "small entity", must be filed with the USPTO (see 37 CFR 1.9(f), 1.27 and PCT Applicant's Guide, Volume II, Annex US.V). Fees are subject to periodic change. Current PCT related fees can be found in the Official Gazette of the United States Patent and Trademark Office.

SUMMARY

Designated
(or elected) Office

SUMMARY

US

UNITED STATES PATENT AND
TRADEMARK OFFICE (USPTO)

US

[continued]

National fee [continued]:

Basic national fee (37 CFR 1.492(a)(1)-(5)) [continued]:

- where a search report on the international application has been prepared by the European Patent Office or the Japanese Patent Office: USD 850*(425)^{4*}
- where the international preliminary examination report prepared by the USPTO states that the criteria of novelty, inventive step (non-obviousness), and industrial applicability, as defined in PCT Article 33(1) to (4) have been satisfied for all the claims presented in the application entering the national phase: USD 92* (46)^{4*}
- Additional fee for each claim in independent form in excess of 3:⁵ USD 76* (38)^{4*}
- Additional fee for each claim, independent or dependent, in excess of 20:⁵ USD 22 (11)⁴
- In addition, if the application contains a multiple dependent claim(s), per application:⁵ USD 240*(120)^{4*}
- Surcharge for filing oath or declaration after the expiration of the time limit applicable under PCT Article 22 or 39(1): USD 130 (65)⁴
- Processing fee for filing English language translation after the expiration of the time limit applicable under PCT Article 22 or 39(1): USD 130⁶

* Attention: this new amount is payable as from 1 October 1994

Exemptions, reductions or refunds of the national fee:

Reductions of the national fees are indicated under the national fees listed above

Special requirements of the Office (PCT Rule 51^{bis}):

Oath or declaration of the inventor⁷
Information disclosure statement is recommended⁸
Where applicable, a nucleotide and/or amino acid sequence listing and its machine readable form, as prescribed, including the required statement (see 37 CFR 1.821-1.825); a sequence listing complying with the requirements as stated in Annex D(US), Volume I, will also be acceptable

Who can act as agent?

Patent attorneys and patent agents registered to practice before the USPTO. A list of registered patent attorneys and agents may be obtained from: Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402

4 The amount in parentheses is applicable in case of filing by a "small entity." In order to claim "small entity" status, a proper statement, signed by the "small entity", must be filed with the USPTO (see 37 CFR 1.9(f), 1.27 and PCT Applicant's Guide, Volume II, Annex US.V). Current fees can be found in the Official Gazette of the United States Patent and Trademark Office.

5 If not paid with the basic national fee, the USPTO will invite the applicant to pay the fee within a time limit fixed in the invitation.

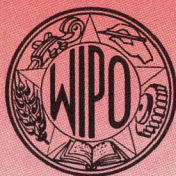
6 This fee is unaffected by small entity status.

7 Must be furnished within the time limit applicable under PCT Article 22 or 39(1). The requirement may still be complied with in response to a notice sent to the applicant, provided that a surcharge is paid for furnishing the oath or declaration later.

8 Should be filed within 3 months from performing the acts for entering the national phase.

PCT

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NEWSLETTER

OCTOBER 1994

No. 08/1994

MEXICO FIRST SPANISH-SPEAKING COUNTRY OF LATIN AMERICA TO JOIN PCT

Mexico (country code: MX)

Mexico deposited its instrument of accession to the PCT on 1 October 1994, and will become bound by the PCT on 1 January 1995. Therefore, in any international application filed on or after 1 January 1995, Mexico may be designated and, because it is bound by Chapter II of the PCT, may also be elected. Furthermore, as from 1 January 1995, nationals and residents of Mexico will be entitled to file international applications under the PCT.

Mexico is the first Spanish-speaking country of Latin America to accede to the PCT and it is hoped that this will encourage the other Spanish-speaking countries of the region to also accede to the Treaty.

EXTENSION OF TIME LIMITS FOR RE-REGISTRATION OF PATENTS IN KYRGYZSTAN

For owners of patents granted by the Patent Office of the Soviet Union and still in force, the time limit of 1 August 1994, in which to file a request with the Kyrgyz Patent Office for the grant of a Kyrgyz patent on the basis of the existing Soviet Union patent, has been extended to 31 October 1994. Applications filed before 2 August 1993 which are still pending with the Patent Office of the Russian Federation and which contained, when filed, the express or implied intention of obtaining protection also in

Kyrgyzstan, may also benefit from the extension of the time limit.

INDUSTRIAL PROPERTY PROTECTION IN CENTRAL AND EASTERN EUROPE AND IN CENTRAL ASIA

WIPO has recently published a very useful volume, entitled "Industrial Property Protection in Central and Eastern Europe and in Central Asia," which reports on the current situation with respect to protection of industrial property in 27 countries in Central and Eastern Europe and in Central Asia. It contains 112 pages and is presented in the form of separate chapters for each country. In addition to information concerning membership in WIPO treaties, each chapter summarizes the major provisions of industrial property laws, including provisions concerning the confirmation of

[continued on page 2]

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<i>Tear-out sheets: provisional sheets for the PCT Applicant's Guide, Vol. I, Annex A and Annex D (US)</i>	

[continued from page 1]

applications filed and rights granted in predecessor States.

The publication (WIPO Publication No. 732(E)) is available in English only. It costs 15 Swiss Francs and can be ordered from the following address:

World Intellectual Property Organization
Publications Sales and Distribution Unit
34, chemin des Colombettes
CH-1211 Geneva 20
Switzerland

Fax: (41-22) 733 54 28.

PRACTICAL ADVICE

Acquisition of copies of priority documents by third parties

Q: I would like to obtain a copy of an application whose priority is claimed in a PCT application. How can I obtain a copy of the priority document and how much will it cost?

A: A copy of a priority document may be obtained (1) from the International Bureau, (2) from the Office with which the earlier application was filed, or (3) from some of the Offices of or acting for the PCT Contracting States designated in the international application.

(1) The International Bureau, under PCT Rule 17.2(b), furnishes copies of priority documents without the consent of the applicant only **after publication** of the international application. It furnishes them to any person upon request and subject to reimbursement of the cost. However, pursuant to PCT Rule 17.2(c), the International Bureau will not furnish such a copy if, prior to the international publication:

- (a) the international application was withdrawn;
- (b) the relevant priority claim was withdrawn or was considered, under PCT Rule 4.10(b), not to have been made; or,
- (c) the relevant priority claim was cancelled under PCT Rule 4.10(d).

The fee for the preparation and mailing of a copy of a priority document by the International Bureau is :

By surface mail: 35 Swiss Francs
By airmail: 45 Swiss Francs
By fax: 4 Swiss Francs per page

When ordering a copy of a priority document

from the International Bureau, the international publication number (WO/.....) and the international application number (PCT/...../.....) of the published application must be mentioned, together with the number and filing date of the priority application. Indicate also whether you wish to receive your copy by surface mail, by airmail or by fax. The invoice will be sent separately.

(2) The Office with which the earlier application was filed will only furnish a copy of a priority document if permitted by the national law applied by that Office.

(3) An Office of or acting for a PCT Contracting State designated in the international application will only furnish a copy of a priority document if permitted by the national law applied by that Office. Note that only a few Offices, in their capacity as designated Offices, receive copies of priority documents from the International Bureau on an automatic basis. (As of 1 October 1994, those Offices are the Patent Offices of Australia, Hungary, Japan, New Zealand, the Republic of Korea and the United States of America and the European Patent Office).

For the conditions and fees for the furnishing of copies of priority documents by Offices other than the International Bureau, contact the Office concerned.

Amendments to the international application

Q: Which parts of the international application can be amended, what are the time limits for filing the amendments, and with whom should the amendments be filed?

A: Three different possibilities exist for filing amendments under the PCT. In the international phase, you may (1) amend the claims only, before the International Bureau, provided that an international search report has been established (see PCT Article 19); (2) amend the claims, the description and/or the drawings before the International Preliminary Examining Authority, provided that you file a demand for international preliminary examination (see PCT Article 34). Later, upon entry into the national phase, you also have the opportunity to file amendments to the claims, description and/or drawings before any of the designated/elected Offices (see PCT Articles 28 and 41).

The table on page 3 gives the time limits for each type of amendment and gives further details on other aspects of the amendment procedure.

AMENDMENTS TO THE INTERNATIONAL APPLICATION			
Abbreviations: IPEA = International Preliminary Examining Authority IPER = international preliminary examination report	INTERNATIONAL PHASE		NATIONAL PHASE
	AMENDMENTS UNDER PCT CHAPTER I (only if an international search report has been established) PCT Article 19 and Rule 46	AMENDMENTS UNDER PCT CHAPTER II (only if the applicant files a demand for international preliminary examination) PCT Article 34 and Rules 53.9 and 66	AMENDMENTS UPON ENTERING THE NATIONAL PHASE PCT Articles 28 and 41 and Rules 52 and 78
Which part(s) of the international application may be amended?	Claims only.	Claims, description and drawings.	Claims, description and drawings. Different amendments are possible for different designated/elected Offices.
Where must the amendments be filed?	With the International Bureau.	With the IPEA.	With the designated/elected Offices.
When must the amendments be filed?	Within two months from the date of transmittal of the international search report, or 16 months from the priority date, or before the technical preparations for international publication have been completed, whichever time limit expires/event occurs later.	May be filed with the demand or during international preliminary examination. They should, however, be filed before the IPEA begins to draw up the IPER.	May be filed within one month from the date of fulfillment of the requirements for entry into the national phase. Later time limits may apply—see relevant National Chapter in the <i>PCT Applicant's Guide</i> , Vol. II.
In what language must the amendments be filed?	In the language of the international application, if that language is Chinese, English, French, German, Japanese, Russian or Spanish, or in English where the international application was filed in another language.		Where the designated/elected Office requires a translation of the international application, the amendments must be in the language of the translation.
What is the allowable scope of the amendments?	Amendments must not go beyond the disclosure in the international application as filed. Although this requirement is not directly enforceable during the international phase, failure to comply may have adverse consequences during international preliminary examination and in the national phase.	Amendments must not go beyond the disclosure in the international application as filed. Although this requirement is not directly enforceable during the international phase, if any amendment does not comply, the IPEA will make comments in the written opinion and the IPER, and the IPER will be established as if the amendment had not been made.	Amendments must not go beyond the disclosure in the international application as filed unless the national law of the designated/elected State permits them to go beyond that disclosure—see National Chapters in the <i>PCT Applicant's Guide</i> , Vol. II.
Where do the amendments have effect?	In all designated/elected Offices.	In all elected Offices.	In those designated/elected Offices with which they are filed.
For what procedures do the amendments serve as a basis?	For examination by the IPEA (unless reversed or superseded) and for examination by the designated/elected Offices in the national phase (unless superseded by later amendments).	For examination by the IPEA, and, in the national phase, by elected Offices (unless superseded by later amendments).	For examination by the designated/elected Offices in the national phase.
May amendments be made more than once?	No.	Yes, depending on when the IPER is established. But note that amendments need not be taken into account by the IPEA if they are received after it has begun to draw up a written opinion or the IPER.	Depends on national law.
Are the amendments confidential?	They are confidential before international publication. After publication of the international application, amendments published by the International Bureau as part of the pamphlet are no longer confidential.	They are confidential until transmittal of the IPER to the elected Offices. Once the IPER has been transmitted to the elected Offices, any amendments which form part of the annexes to the IPER may be made available by any elected Office whose national law so permits.	Depends on national law.

PCT SEMINAR CALENDAR			
Dates Location	Language of seminar	Nature of seminar WIPO speakers (and others where known)	Organizer
3-4 November 1994 London (GB)	English	Worldwide Patenting Using the PCT, Procedures and Strategies (PCT seminar for patent lawyers and attorneys) WIPO speaker: Mr. Thomas Other speakers: Mr. Philpott and Mr. Wolff	Management Forum Ltd Tel: (44-483) 57 00 99 Fax: (44-483) 364 24
3-4 November 1994 Munich (DE)	German	PCT seminar for patent administrators WIPO speaker: Mrs. Coeckelbergs	Forum Institut für Management GmbH Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
17-18 November 1994 Chicago (US)	English	Advanced PCT seminar for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	John Marshall Law School (Ms. Rosemary Knight) Tel: (1-312) 987 14 20 Fax: (1-312) 427 71 28
21-22 November 1994 Singapore (SG)	English	WIPO National Seminar on the New Patent System of Singapore and the PCT WIPO speakers: Mr. Bartels and Mr. Thomas	Registry of Trade Marks and Patents Tel: (65) 330 27 20 Fax: (65) 339 02 52
21-22 November 1994 San Francisco (US)	English	Advanced PCT seminar for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1-415) 289 74 71 Fax: (1-415) 331 60 68
7-8 December 1994 London (GB)	English	Advanced PCT seminar for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Management Forum Ltd Tel: (44-483) 57 00 99 Fax: (44-483) 364 24
9 December 1994 London (GB)	English	PCT Workshop for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Management Forum Ltd Tel: (44-483) 57 00 99 Fax: (44-483) 364 24
12 December 1994 Munich (DE)	German	PCT seminar for patent attorneys WIPO speaker: Mr. Bartels	Forum Institut für Management GmbH Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27

PCT INFORMATION UPDATE

Kenya (competent International Searching and Preliminary Examining Authorities)

The Kenya Industrial Property Office, in its capacity as receiving Office, has specified additional competent International Searching and Preliminary Examining Authorities. The following Offices are competent International Searching and Preliminary Examining Authorities: the Australian Patent Office, the Austrian Patent Office, the Chinese Patent Office and the European Patent Office.

New Zealand (evidence of mailing a document)

The New Zealand Patent Office will now accept evidence of mailing a document or letter, in case of loss or delay where a delivery service other than the postal authorities is used to mail the document or letter (PCT Rule 82.1).

Republic of Korea (fees)

On 1 October 1994, there was a change in the amounts, in Korean Won (KRW), of the

basic fee, the supplement fee per sheet over 30 and the designation fee (see Table I(a), on page 6).

Trinidad and Tobago (fees)

The transmittal fee payable to the Intellectual Property Registry, Registrar General's Department of Trinidad and Tobago, in its capacity as receiving Office, has been established. The new fee, payable in Trinidad and Tobago Dollars (TTD), is shown in Table 1(a), on page 6.

Institutions with which deposits of microorganisms may be made (Russian Federation)

The name and address of the "Institute of Biochemistry and Physiology of Microorganisms (IBFM)" of the Russian Federation has changed to:

Russian Collection of Microorganisms
(VKM)
Prospekt Naouki, 5
142292 Puschino (Moskovskaya obl.)
Russian Federation

Search fee (Australian Patent Office)

On 1 October 1994, in addition to the change in the amount in Australian Dollars (AUD) of the fee for international search by the Austra-

lian Patent Office (see information update in *PCT Newsletter* No. 07/1994), there was also a change in the equivalent amounts of that fee in New Zealand Dollars (NZD), Swiss Francs (CHF), US Dollars (USD) and Won (KRW). The new amounts are shown in Table I(b), on page 7.

Search fee (United States Patent and Trademark Office (USPTO))

On 1 October 1994, in addition to the change in the amount in US Dollars (USD) of the fee for international search by the USPTO (see information update in *PCT Newsletter* No. 07/1994), there was also a change in the equivalent amount of that fee in Swiss Francs (CHF). The new amount is shown in Table I(b), on page 7, and is set out in the pink tear-out provisional sheet for the *PCT Applicant's Guide*, Vol. I, Annex D (US).

List of Contracting States

The pink tear-out provisional sheet for the *PCT Applicant's Guide*, Vol. I, Annex A (Contracting States of the PCT) includes the new PCT Contracting State, Mexico, and the date of entry into force of the PCT in respect of that State (see cover page for details).

PCT FEE TABLES

The following Tables show the amounts (including currencies) for the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Table I(a) and Table I(b)) and under Chapter II (Table II). Fees which are payable only in special circumstances are not shown; nor are details of reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Volume I, Annexes C, D and E. Note that all amounts are subject to changes due to fee increases or fluctuations in exchange rates. The footnotes are on page 7.

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 October 1994, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ¹ (CHF 762)	Supplement per sheet over 30 ¹ (CHF 15)	Designation fee ^{1,2} (CHF 185)	Competent ISA(s) ³
AM	AMD ⁴ —	USD 530	10	128	EP RU
AP	USD 70	USD 530	10	128	EP
AT	ATS 700	ATS 6,220	122	1,510	EP
AU	AUD 100	AUD 748	15	182	AU
BE	BEF 1,500	BEF 18,208	358	4,421	EP
BG	BGL 600	BGL equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
BR	BRC 21,670	BRC equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP SE US
BY	RUR ⁴ —	USD 530	10	128	EP RU
CA	CAD 200	CAD 696 (from 18.10.94: 792)	14 (16)	168 (192)	EP
CH	CHF 100	CHF 762	15	185	EP
CN	CNY 500	CNY equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	CN
CZ	CZK 1,200	CZK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
DE	DEM 150	DEM 883	17	214	EP
DK	DKK 1,500	DKK 3,440	70	840	EP SE
EE	EEK 1,500	EEK equiv of CHF 762	15	185	EP

[continued on page 6]

[continued from page 5]

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES (continued)

(as at 1 October 1994, unless otherwise indicated)

RO	Transmittal fee ¹		Basic fee ¹ (CHF 762)		Supplement per sheet over 30 ¹ (CHF 15)	Designation fee ^{1,2} (CHF 185)	Competent ISA(s) ³
EP ⁵	DEM	200	DEM	883	17	214	EP
ES		None	ESP	76,300	1,500	18,500	EP
FI	FIM	700	FIM	3,200	65	780	EP SE
FR	FRF	400	FRF	3,010	60	730	EP
GB	GBP	55	GBP	352	7	85	EP
GE	USD ⁴	–	USD	530	10	128	EP RU
GR	GRD	30,000	GRD	131,000	3,000	32,000	EP
HU	HUF	1,000	HUF	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT RU
IB	CHF	300	CHF	762	15	185	See note 6
	or USD	200	or USD	530	10	128	
IE	IEP	60	IEP	357	7	87	EP
IT	ITL	60,000	ITL	884,000	17,000	215,000	EP
JP	JPY	18,000	JPY	59,000	1,000	14,000	EP JP
KE	USD (or KES equiv)	30	USD	530	10	128	AU AT CN EP
KG	KGS ⁴	–	USD	530	10	128	EP RU
KP	KPW	50	KPW	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	RU
KR	KRW	40,000	KRW	505,000	10,000	123,000	AT AU JP
KZ	RUR ⁴	–	USD	530	10	128	EP RU
LR	USD	45	USD	530	10	128	AT AU CN EP SE
LT	LTL equiv of USD	80	USD	530	10	128	EP RU
LU	LUF/BEF	1,000	LUF/BEF	18,208	358	4,421	EP
LV	LVL	40	USD	530	10	128	EP RU
MC	FRF	200	FRF	3,010	60	730	EP
MD	MDL ⁴	–	USD	530	10	128	EP RU
MN		None	MNT	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
MW	MWK	8	MWK	2,350	46	570	EP
NL	NLG	110	NLG	1,000	20	240	EP
NO	NOK	500	NOK	3,940	80	960	EP SE
NZ	NZD	155	NZD	976	19	237	AU EP
PL	PLZ	1,500,000	PLZ	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
PT	PTE	3,000	PTE	89,000	1,800	21,700	EP
RO	ROL	10,000	CHF	762	15	185	AT EP RU
RU	RUR	13,000	USD	530	10	128	EP RU
SD	SDP	50	SDP	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SE	SEK	600	SEK	4,355	85	1,060	EP SE
SI	SIT	See note 7	SIT	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SK	SKK	1,200	SKK	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
TJ	RUR ⁴	–	USD	530	10	128	EP RU
TT	TTD	750	USD	530	10	128	AT EP SE US
UA	UAK ⁴	–	USD	530	10	128	EP RU
US	USD	210	USD	530	10	128	EP US
UZ	USD ⁴	–	USD	530	10	128	EP RU
VN	USD	50	VND	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT AU EP RU SE

Key to currency abbreviations for all fee tables:

AMD	Dram	DEM	Mark	ITL	Lira	MNT	Tugrik	SDP	Sudanese Pound
ATS	Schilling	DKK	Danish Krone	JPY	Yen	MWK	Kwacha	SEK	Swedish Krona
AUD	Australian Dollar	EEK	Estonian Krona	KES	Kenyan Shilling	NLG	Guilder	SIT	Tolar
BEF	Belgian Franc	ESP	Peseta	KGS	Som	NOK	Norwegian Krone	SKK	Slovak Koruna
BGL	Lev	FIM	Markka	KPW	Won	NZD	New Zealand Dollar	TTD	Trinidad and Tobago Dollar
BRC	Cruzeiro Real	FRF	French Franc	KRW	Won	PLZ	Zloty		
CAD	Canadian Dollar	GBP	Pound Sterling	LTL	Lita	PTE	Escudo	UAK	Karbovanets
CHF	Swiss Franc	GRD	Drachma	LUF	Luxembourg Franc	ROL	Romanian Leu	USD	US Dollar
CNY	Yuan Renminbi	HUF	Forint	LVL	Lat	RUR	Rouble	VND	Dong
CZK	Czech Koruna	IEP	Irish Pound	MDL	Moldovan Leu				

Table I(b) – SEARCH FEES
(as at 1 October 1994, unless otherwise indicated)

ISA	Search fee ¹								
AT	ATS	2,200	CHF	285	KRW	161,000	USD	198	
AU	AUD	800	CHF	778	KRW	516,000	NZD	990	USD 592
CN	CNY	800	CHF	210	USD	146			
EP	DEM	2,400	CHF	2,060	FRF	8,480	ITL	2,449,000	NLG 2,740 SEK 11,590
	ATS	17,140	DKK	9,600	GBP	960	JPY	149,000	NOK 10,300 USD 1,537
	BEF	51,000	ESP	203,400	GRD	363,600	LUF	51,000	NZD 2,557
	CAD	1,870	FIM	8,200	IEP	1,017	MWK	6,400	PTE 255,300
	<i>(from 05.10.94:</i>		BEF	50,300	ESP	201,700	FRF	8,350	GBP 1,000 GRD 369,200
	LUF	50,300	PTE	250,000	SEK	11,940			
ES	ESP	50,100	CHF	537	USD	373			
JP	JPY	77,000	CHF	1,060	KRW	612,000	USD	740	
RU	RUR	53,000	CHF	288	USD	200			
SE	SEK	2,600 ⁸	3,600 ⁹	4,200 ¹⁰	FIM	1,875 ⁸	2,600 ⁹	3,030 ¹⁰	
	CHF	460 ⁸	635 ⁹	740 ¹⁰	NOK	2,430 ⁸	3,365 ⁹	3,925 ¹⁰	
	DKK	2,115 ⁸	2,930 ⁹	3,420 ¹⁰	USD	320 ⁸	442 ⁹	515 ¹⁰	
US	USD	640	(420 ¹¹)	CHF	840	(550 ¹¹)			

Table II – PRELIMINARY EXAMINATION FEES

(as at 1 October 1994, unless otherwise indicated)

IPEA	Preliminary examination fee ¹²				Handling fee ¹² (CHF 233)				
AT	ATS	2,200			ATS	1,902			
AU	AUD	450			AUD	229			
CN	CNY	800			CNY equiv of CHF 233				
EP	DEM	3,000	FRF	10,600	NLG	3,430	DEM	270	FRF 920 NLG 305
	ATS	21,430	GBP	1,200	PTE	319,100	ATS	1,902	GBP 108 PTE 27,000
	BEF	63,700	IEP	1,271	SEK	14,490	BEF	5,568	IEP 109 SEK 1,330
	CHF	2,580	ITL	3,061,000			CHF	233	ITL 270,000
	DKK	12,000	LUF	63,700			DKK	1,050	LUF 5,568
	<i>(from 05.10.94:</i>		BEF	62,900	FRF	10,430			
		GBP	1,250	LUF	62,900	PTE	312,500		
	SEK	14,930							
JP	JPY	28,000			JPY	18,000			
RU	RUR	26,000	USD	300	USD	162			
SE	SEK	3,200			SEK	1,330			
US	USD	460	(690 ¹³)		USD	162			

1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.

2 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee.

3 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.

4 The amounts are not yet known. The Office or the agent should be consulted for the latest applicable schedule of fees.

5 Payments can also be made in ATS, BEF, CHF, DKK, ESP, FRF, GBP, GRD, IEP, ITL, LUF, NLG, PTE or SEK. For the amounts in the said currencies, reference should be made to the latest issue of the *Official Journal* of the EPO.

6 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national.

7 10% of the basic fee, supplement fee, designation fee and search fee.

8 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Swedish Patent Office.

9 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Danish Patent Office, the National Board of Patents and Registration (Finland) or the Norwegian Patent Office.

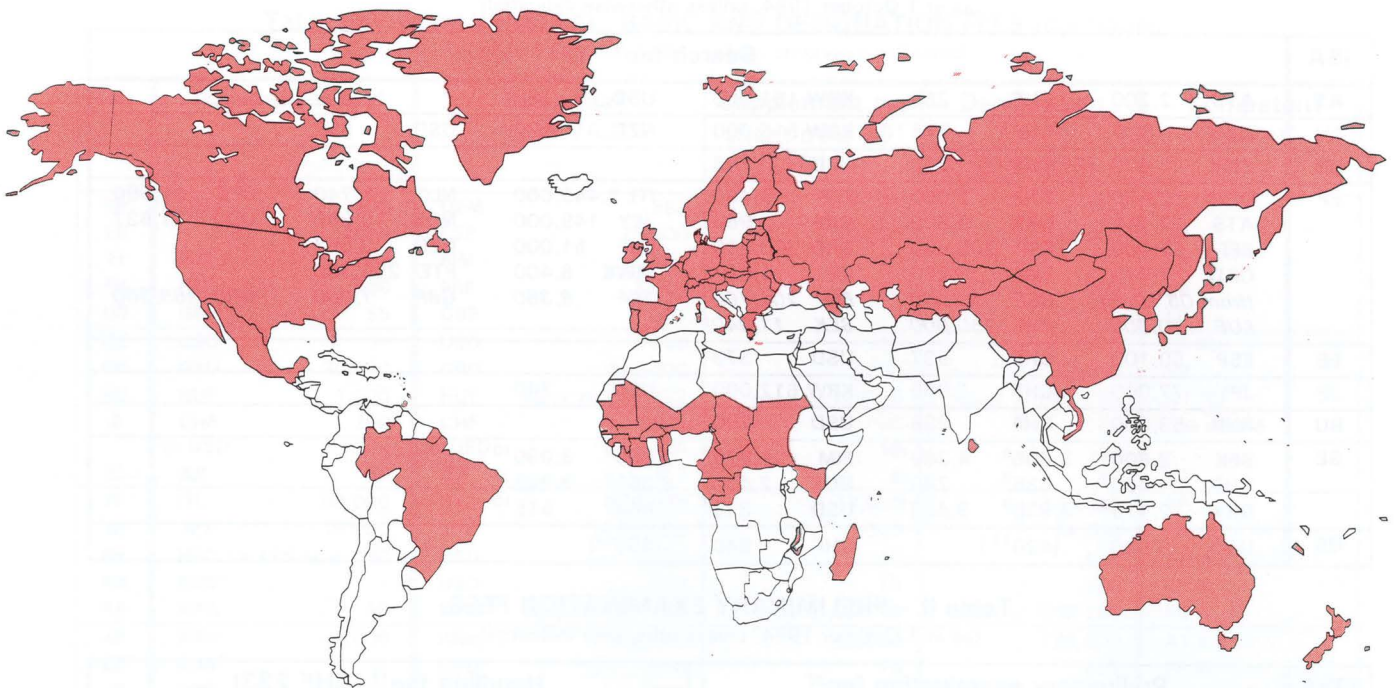
10 In all cases where 8 and 9 do not apply.

11 Payable when a corresponding prior US national application has been filed and the basic national fee for that US application has been paid.

12 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.

13 Payable when the international search report was not established by the United States Patent and Trademark Office.

PCT CONTRACTING STATES AND TWO-LETTER CODES (74 on 1 October 1994)



In the Americas

- BB Barbados
- BR Brazil
- CA Canada
- MX Mexico (from 1 January 1995)**
- TT Trinidad and Tobago
- US United States of America

In Europe

- EPO (EP)*
- AT Austria
- BE Belgium
- CH Switzerland
- DE Germany
- DK Denmark
- ES Spain
- FR France
- GB United Kingdom
- GR Greece
- IE Ireland
- IT Italy
- LI Liechtenstein
- LU Luxembourg
- MC Monaco
- NL Netherlands
- PT Portugal
- SE Sweden

Non-EPO

- BG Bulgaria
- BY Belarus
- CZ Czech Republic
- EE Estonia**
- FI Finland
- HU Hungary
- LT Lithuania
- LV Latvia
- MD Republic of Moldova
- NO Norway
- PL Poland
- RO Romania
- RU Russian Federation
- SI Slovenia
- SK Slovakia
- UA Ukraine

In Africa

- ARIPO (AP)*
- KE Kenya
- MW Malawi
- SD Sudan
- SZ Swaziland**
- OAPI (OA)*
- BF Burkina Faso
- BJ Benin
- CF Central African Republic
- CG Congo
- CI Côte d'Ivoire
- CM Cameroon
- GA Gabon
- GN Guinea
- ML Mali
- MR Mauritania
- NE Niger
- SN Senegal
- TD Chad
- TG Togo
- Non-ARIPO or -OAPI*
- LR Liberia**
- MG Madagascar

In Asia and the Pacific

- AM Armenia
- AU Australia
- CN China
- GE Georgia
- JP Japan
- KG Kyrgyzstan
- KP Democratic People's Republic of Korea
- KR Republic of Korea
- KZ Kazakhstan
- LK Sri Lanka
- MN Mongolia
- NZ New Zealand
- TJ Tajikistan
- UZ Uzbekistan
- VN Viet Nam

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any States indicated in **bold italics** have adhered to the PCT but were not yet bound by the PCT on the date of issue of the latest version of the request form. If the applicant wishes to designate any States which are bound by the PCT on the date on which the international application is filed but which are not listed in the request form, he must add them in Box No. V of the request form and mark the corresponding check-box. Where a State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date.

Applicants should always use the latest versions of the request and demand forms. The latest version of the request form (PCT/RO/101) is dated 5 July 1994; that of the demand form (PCT/IPEA/401) is dated January 1994. The forms are reproduced in Annexes X and Y, respectively, of the *PCT Applicant's Guide*, Volume I. The request form can also be obtained from receiving Offices or the International Bureau. The demand form can also be obtained from International Preliminary Examining Authorities or the International Bureau.

A

Contracting States of the Patent Cooperation Treaty (PCT)

A

Name of State followed by country code	Date of entry into force of the PCT in respect of the State	Name of State followed by country code	Date of entry into force of the PCT in respect of the State
Armenia ³ AM	25 December 1991	Liechtenstein ¹ LI	19 March 1980
Australia AU	31 March 1980	Lithuania LT	5 July 1994
Austria AT	23 April 1979	Luxembourg LU	30 April 1978
Barbados BB	12 March 1985	Madagascar MG	24 January 1978
Belarus ³ BY	25 December 1991	Malawi MW	24 January 1978
Belgium BE	14 December 1981	Mali ML	19 October 1984
Benin BJ	26 February 1987	Mauritania MR	13 April 1983
Brazil BR	9 April 1978	Mexico MX	1 January 1995
Bulgaria BG	21 May 1984	Monaco MC	22 June 1979
Burkina Faso BF	21 March 1989	Mongolia MN	27 May 1991
Cameroon CM	24 January 1978	Netherlands ⁵ NL	10 July 1979
Canada CA	2 January 1990	New Zealand NZ	1 December 1992
Central African Republic CF	24 January 1978	Niger NE	21 March 1993
Chad TD	24 January 1978	Norway ² NO	1 January 1980
China CN	1 January 1994	Poland PL	25 December 1990
Congo CG	24 January 1978	Portugal PT	24 November 1992
Côte d'Ivoire CI	30 April 1991	Republic of Korea KR	10 August 1984
Czech Republic CZ	1 January 1993	Republic of Moldova ³ MD	25 December 1991
Democratic People's Republic of Korea KP	8 July 1980	Romania ³ RO	23 July 1979
Denmark DK	1 December 1978	Russian Federation ³ RU	29 March 1978
Estonia EE	24 August 1994	Senegal SN	24 January 1978
Finland ² FI	1 October 1980	Slovakia SK	1 January 1993
France ^{3,4} FR	25 February 1978	Slovenia SI	1 March 1994
Gabon GA	24 January 1978	Spain ¹ ES	16 November 1989
Georgia ³ GE	25 December 1991	Sri Lanka LK	26 February 1982
Germany DE	24 January 1978	Sudan SD	16 April 1984
Greece ¹ GR	9 October 1990	Swaziland SZ	20 September 1994
Guinea GN	27 May 1991	Sweden ² SE	17 May 1978
Hungary ³ HU	27 June 1980	Switzerland ¹ CH	24 January 1978
Ireland IE	1 August 1992	Tajikistan ³ TJ	25 December 1991
Italy IT	28 March 1985	Togo TG	24 January 1978
Japan JP	1 October 1978	Trinidad and Tobago TT	10 March 1994
Kazakhstan ³ KZ	25 December 1991	Ukraine ³ UA	25 December 1991
Kenya KE	8 June 1994	United Kingdom ⁶ GB	24 January 1978
Kyrgyzstan ³ KG	25 December 1991	United States of America ^{7,8} US	24 January 1978
Latvia LV	7 September 1993	Uzbekistan ³ UZ	25 December 1991
Liberia LR	27 August 1994	Viet Nam VN	10 March 1993

1 Not bound by Chapter II of the PCT (declaration under Article 64(1)(a)).

2 With the declaration provided for in Article 64(2)(a)(ii).

3 With the declaration provided for in Article 64(5).

4 Including all Overseas Departments and Territories.

5 Ratification for the Kingdom in Europe, Aruba and the Netherlands Antilles.

6 Extends to the territory of Hong Kong and to the Isle of Man.

7 With the declarations provided for in Articles 64(3)(a) and 64(4)(a).

8 Extends to all areas for which the United States of America has international responsibility.

D	International Searching Authorities	D
US	UNITED STATES PATENT AND TRADEMARK OFFICE (USPTO)	US

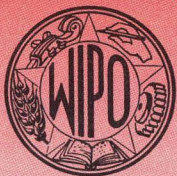
Search fee (PCT Rule 16): ¹	US Dollar (USD)	640 (420)	
	Swiss Franc (CHF)	840 (550)	
	The amount in parentheses is payable when a corresponding prior US national application has been filed and the basic filing fee paid		
Additional search fee (PCT Rule 40.2): ²	USD 180		
Fee for copies of documents cited in the international search report (PCT Rule 44.3): ²	None; applicant receives, together with the international search report, a copy of each document cited therein		
Conditions for refund and amount of refund of the search fee:	<p>Money paid by mistake, without cause, or in excess, will be refunded</p> <p>Where the international application is withdrawn or is considered withdrawn, under PCT Article 14(1), (3) or (4), before the start of the international search: refund of 100%</p>		
Languages accepted for international search:	English		
Requirements concerning nucleotide and/or amino acid sequence listing:	A sequence listing must comply with WIPO Standard ST.23 (see PCT Gazette No. 20/1992, pages 8465 to 8485) and be presented in machine readable form as provided in Annex C of the PCT Administrative Instructions (see PCT Gazette No. 15/1992)		
Subject matter that will not be searched:	The subject matter specified in items (i) to (vi) of PCT Rule 39.1 with the exception of subject matter which is searched in US national applications		

¹ This fee is payable to the receiving Office in the currency or one of the currencies accepted by it (see Annex C).

² This fee is payable to the International Searching Authority and only in particular circumstances.

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NEWSLETTER

NOVEMBER 1994

No. 09/1994

PCT STATISTICS — 1 January to 30 September 1994

During the first nine months of 1994 the International Bureau of WIPO received 25,235 international applications filed with PCT receiving Offices worldwide, which represented an increase over the same period in 1993 of 17.7%. These 25,235 international applications had the effect of 431,854 national applications and of 34,408 regional patent applications, which in turn had the effect of 512,689 applications for patent protection in the member States of the regional patent systems; that is, a total of 944,543 applications.

The number of demands for international preliminary examination transmitted to the International Bureau by the International Preliminary Examining Authorities during the same period amounted to 17,146, which represents an increase over the same period in 1993 of 12.9%.

PCT NEWSLETTER SUBSCRIPTIONS FOR 1995

Included in this issue is a *PCT Newsletter* subscription form for 1995. **If you are already a subscriber, it is not necessary to return the form** since, unless notification to the contrary is received from you by WIPO, **subscription renewal is automatic**; subscribers will shortly receive, if they have not already done so, an invoice for 1995 subscriptions. You may, how-

ever, wish to use the subscription form to subscribe to extra copies. You are reminded that, for subscriptions to more than one copy, you are entitled to a discount of 25% off the price of each copy subscribed to (including the first), regardless of the address to which each copy is mailed. You may also use the subscription form to order binders for the *PCT Newsletter*.

MEXICO: REMINDER

Mexico will become bound by the PCT on 1 January 1995. Before that date, Mexico can **neither** be designated by way of a specific designation **nor** by way of a precautionary designation subject to confirmation.

INSIDE THIS ISSUE

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Tear-out sheets: PCT Newsletter subscription form; provisional sheets for the *PCT Applicant's Guide*, Annex B1 (PL), Annex C (US), Annex E (US) and Annex X (modified sheets of request form (PCT/RO/101))

PRACTICAL ADVICE

Obtaining PCT pamphlets according to field of technology

Q: Is there any way in which I can arrange to receive from the International Bureau a copy of all international applications relating to a particular field of technology as they become available?

A: Copies of published PCT pamphlets (containing the international application, the international search report and any amendments to the claims under PCT Article 19) may be obtained as soon as they are published, either by way of a standing order for all pamphlets, or by way of a standing order for pamphlets selected according to class(es) or subclass(es) of the International Patent Classification (IPC) which correspond to the fields of technology in which you are interested. After you place a standing order for pamphlets by IPC class or subclass, all pamphlets falling within the IPC parameters that you indicate will be sent to you immediately after publication. WIPO is currently investigating the possibility of enabling ordering of pamphlets by other criteria; any new developments in this respect will be reported in the *PCT Newsletter*.

PCT pamphlets may also be ordered individually by indicating the international publication number (for example, after seeing a published abstract which interests you in the *PCT Gazette*). However, in such a case, you would normally receive the pamphlets later than in the case of a standing order, because of the extra time needed for the *Gazette* to become available to the public.

Whichever method of ordering you use, you will be invoiced 12 Swiss francs for each pamphlet (for delivery by surface mail; the price for airmail is 15 Swiss francs within Europe or 17 Swiss francs outside Europe). If you wish to receive pamphlets by fax, you will be invoiced 4 Swiss francs per page, plus 10 Swiss francs per order as an urgent handling charge. Special delivery by courier (such as DHL or Federal Express) may be arranged on request; this mode of delivery also carries an urgent handling charge of 10 Swiss francs per order, in addition to the courier charges.

Note that published international applications are also available on CD-ROM (see below).

PUBLISHED INTERNATIONAL APPLICATIONS AVAILABLE ON CD-ROM

The use of CD-ROMs as a medium for accessing patent information is becoming increasingly important because of the ease and

rapidity of information retrieval which their usage permits, their high storage capacity (approximately 15,000 pages of text and drawings fit onto one CD-ROM) and their relatively low cost.

Many CD-ROM products are available on the world industrial property information market, ranging from those which contain bibliographic data on patent documents published in a particular country, to those which contain full text and drawings. One of the most comprehensive products available for accessing published international (PCT) applications is ESPACE-WORLD, which is produced by the European Patent Office (EPO) in cooperation with WIPO. Each CD-ROM contains the full text and drawings of approximately 500 published international applications as well as the corresponding bibliographic data in coded, searchable form. ESPACE-WORLD users may access international applications by bibliographic data, such as application number, publication number, filing date, priority number, priority date, designated States, name of applicant or inventor, IPC symbol, key words in the title, or by a combination of the above criteria.

The ESPACE-WORLD CD-ROMs containing the latest published international applications are produced approximately every two weeks. They are obtainable only by annual subscription. The price for the sets of CD-ROMs containing international applications published since 1990 is 2,400 Marks (DEM), *per year*, plus postage and packaging. They may be ordered from the following address:

European Patent Office
Schottenfeldgasse 29
Postfach 72
A-1072 Vienna
Austria

Tel: (43-1) 52 12 62 452
Fax: (43-1) 52 12 62 492

CD-ROMs containing international applications published between 1986 and 1989 (inclusive) may be ordered only from WIPO, at the following address:

World Intellectual Property Organization
Publications Sales and Distribution Unit
34, chemin des Colombettes
CH-1211 Geneva 20
Switzerland

Tel: (41-22) 730 91 11 (ask for the
Publications Sales and Distribution
Unit)
Fax: (41-22) 733 54 28

The price for the set of CD-ROMs containing international applications published in 1989 is 2,400 Swiss francs. The prices for the sets containing international applications published between 1986 and 1988 (inclusive) are not yet available; those prices will be announced in the *PCT Newsletter* as soon as they are known. Sets of CD-ROMs containing international applications published between 1978 and 1985 (inclusive) will be produced shortly. Details will be given in the *PCT Newsletter* when they become available.

Some of the other CD-ROM products which may be obtained from the EPO are ESPACE-FIRST (contains the first pages of published European and PCT applications), ESPACE-EP (contains complete patent applications published by the EPO) and ESPACE-ACCESS (contains bibliographic information on published PCT and European patent applications).

In some countries, CD-ROMs of national patent applications are available. The patent offices of the countries concerned may be able to provide you with information about whether such CD-ROMs are available.

Since technology is evolving in the direction of presenting information on CD-ROMs, the future possibility of making available the contents of the *PCT Gazette* on CD-ROM is going to be investigated.

PCT INFORMATION UPDATE

Democratic People's Republic of Korea (competent International Searching and Preliminary Examining Authorities)

The Invention Office of the Democratic People's Republic of Korea, in its capacity as receiving Office, has specified the Austrian Patent Office, in addition to the Russian Patent Office, as a competent International Searching and Preliminary Examining Authority. (Updating of *PCT Applicant's Guide*, Vol. I, Annex C (KP))

Madagascar (telephone and fax numbers)

The Industrial Property Office of Madagascar has an additional telephone number, as follows:

(261-2) 255 15

The fax number of the Office has changed to:

(261-2) 305 12

(Updating of *PCT Applicant's Guide*, Vol. I, Annex B1 (MG))

Poland (*PCT Applicant's Guide*: Corrigendum)

When Poland withdrew its declaration under Article 64(2)(a) (on 1 March 1994), reference to that declaration was deleted from the *PCT Applicant's Guide* but only in Vol. II, National Chapter Summary (PL). A similar reference still appears erroneously in Vol. I, Annex B1 (PL), following the question "May Poland be elected?" and also under the "Warning." A corrected version is included in this issue as a pink tear-out provisional sheet for the *PCT Applicant's Guide*, Vol. I, Annex B1 (PL).

Sri Lanka (fax number)

The new fax number for the Registry of Patents and Trade Marks is as follows:

(94-1) 68 93 67

(Updating of *PCT Applicant's Guide*, Vol. I, Annex B1 (LK))

United States of America (fees)

See "Certain fees payable in US Dollars," below.

Certain fees payable in US Dollars (various Offices)

On 1 January 1995, there will be a change in the equivalent amounts, in US Dollars, of the basic fee, the supplement fee per sheet over 30, the designation fee and the handling fee (see Table I(a), on pages 5 and 6, and Table II, on page 7). The new amounts are indicated on pink tear-out provisional sheets for the *PCT Applicant's Guide*, Vol. I, Annex C (US) and Annex E (US). In the case of other receiving Offices or International Preliminary Examining Authorities which accept payments in US Dollars, the new amounts will be reflected in the January 1995 set of update sheets for the *PCT Applicant's Guide*. (Updating of *PCT Applicant's Guide*, Vol. I, Annex C (AM), (AP), (BY), (GE), (IB), (KE), (KG), (KZ), (LR), (LT), (LV), (MD), (RU), (TJ), (TT), (UA), (US), (UZ) and Annex E (RU), (US))

Search fees (European Patent Office (EPO) and Swedish Patent Office)

As from 1 January 1995, there will be changes in the equivalent amounts in Markka (FIM) of the fees for international searches carried out by the EPO and the Swedish Patent Office. The new amounts are shown in Table I(b), on page 7. (Updating of *PCT Applicant's Guide*, Vol. I, Annex D (EP) and (SE))

[continued on page 5]

PCT SEMINAR CALENDAR			
Dates Location	Language of seminar	Nature of seminar WIPO speakers (and others where known)	Organizer and contact numbers
17-18 November 1994 Chicago (US)	English	Advanced PCT seminar for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	John Marshall Law School (Ms. Rose Marie Knight) Tel: (1-312) 987 14 20 Fax: (1-312) 427 71 28
21-22 November 1994 San Francisco (US)	English	Advanced PCT seminar for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1-415) 289 74 71 Fax: (1-415) 331 60 68
7-8 December 1994 London (GB)	English	Advanced PCT seminar for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Management Forum Ltd Tel: (44-483) 57 00 99 Fax: (44-483) 364 24
9 December 1994 London (GB)	English	PCT workshop for patent administrators and legal assistants WIPO speakers: Ms. Boutillon and Mrs. Coeckelbergs	Management Forum Ltd Tel: (44-483) 57 00 99 Fax: (44-483) 364 24
12 December 1994 Munich (DE)	German	PCT seminar for patent attorneys WIPO speaker: Mr. Bartels	Forum Institut für Management GmbH Tel: (49-6221) 47 95 12 Fax: (49-6221) 41 16 27
12-13 December 1994 Mexico D.F. (MX)	Spanish	PCT seminar for patent attorneys WIPO speakers: Mr. Bryan and Mrs. Coeckelbergs	Instituto Mexicano de la Propiedad Industrial (IMPI) Tel: (52-5) 657 88 91 Fax: (52-5) 654 07 71
15 March 1995 Ecully (FR)	French	Lecture on the latest PCT developments "Le point du PCT" WIPO speaker: Ms. Boutillon	Groupe Rhône-Alpes pour la protection de la propriété industrielle (GRAPI) Tel: (33-78) 33 52 12
6 April 1995 Ecully (FR)	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier Tel: (33-78) 33 07 08 Fax: (33-78) 33 58 96
24-26 April 1995 Hilton Head Island (US)	English	PCT seminar for patent attorneys "Basic Patent Cooperation Treaty Practice" WIPO speakers: Mr. Bartels, Ms. Boutillon and Mr. Maassel	Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99
27-29 April 1995 Hilton Head Island (US)	English	PCT seminar for patent attorneys "Advanced Patent Cooperation Treaty Practice" WIPO speakers: Mr. Bartels, Ms. Boutillon and Mr. Maassel	Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99

PCT INFORMATION UPDATE

[continued from page 3]

Modified request form (PCT/RO/101)

The request form has been modified with effect from 1 January 1995 because several States have become bound by the PCT since last July. The following Contracting States have been added to Box No. V (on the second sheet of the Form) under "National Patent": EE Estonia, LR Liberia, and MX Mexico; and under "Regional Patent (APARIPO Patent)": SZ Swaziland. Consequential modifications have been made to the Notes to Box No. V and to the Notes to the Fee Calculation Sheet.

Modified "second sheets" in English, French, German and Spanish are included in this issue as white tear-out sheets and may be

inserted in the *PCT Applicant's Guide*, Vol. I, Annex X. The "second sheet" may be photocopied and used, along with other sheets of the request form which remain unchanged, for the filing of international applications on or after 1 January 1995. ***It should not be used before 1 January 1995*** since Mexico does not become bound by the PCT until that date.

A consolidated new version of the request form will be included in the January 1995 set of update sheets for the *PCT Applicant's Guide*. Copies in English, French, German and Spanish can be obtained from your receiving Office or the International Bureau as from the end of November. Due to translation requirements, there may be a slight delay in the availability of request forms in other languages.

PCT FEE TABLES

The following Tables show the amounts (including currencies—keys to currency abbreviations are listed at the bottom of page 6) for the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Table I(a) and Table I(b)) and under Chapter II (Table II). Fees which are payable only in special circumstances are not shown; nor are details of reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Volume I, Annexes C, D and E. Note that all amounts are subject to changes due to fee increases or fluctuations in exchange rates. The footnotes are on page 7.

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 November 1994, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ¹ (CHF 762)	Supplement per sheet over 30 ¹ (CHF 15)	Designation fee ^{1,2} (CHF 185)	Competent ISA(s) ³
AM	AMD ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
AP	USD 70	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP
AT	ATS 700	ATS 6,220	122	1,510	EP
AU	AUD 100	AUD 748	15	182	AU
BE	BEF 1,500	BEF 18,208	358	4,421	EP
BG	BGL 600	BGL equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
BR	BRC 21,670	BRC equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP SE US
BY	RUR ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
CA	CAD 200	CAD 792	16	192	EP
CH	CHF 100	CHF 762	15	185	EP
CN	CNY 500	CNY equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	CN
CZ	CZK 1,200	CZK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
DE	DEM 150	DEM 883	17	214	EP
DK	DKK 1,500	DKK 3,440	70	840	EP SE
EE	EEK 1,500	EEK equiv of CHF 762	15	185	EP
EP ⁵	DEM 200	DEM 883	17	214	EP
ES	None	ESP 76,300	1,500	18,500	EP
FI	FIM 700	FIM 3,200	65	780	EP SE
FR	FRF 400	FRF 3,010	60	730	EP
GB	GBP 55	GBP 352	7	85	EP

[continued on page 6]

[continued from page 5]

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES (continued)

(as at 1 November 1994, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ¹ (CHF 762)	Supplement per sheet over 30 ¹ (CHF 15)	Designation fee ^{1,2} (CHF 185)	Competent ISA(s) ³
GE	USD ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
GR	GRD 30,000	GRD 131,000	3,000	32,000	EP
HU	HUF 1,000	HUF equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT RU
IB	CHF 300 or USD 200	CHF 762 or USD 530 (from 01.01.95: 604)	15 10 (12)	185 128 (147)	See note 6
IE	IEP 60	IEP 357	7	87	EP
IT	ITL 60,000	ITL 884,000	17,000	215,000	EP
JP	JPY 18,000	JPY 59,000	1,000	14,000	EP JP
KE	USD (or KES equiv) 30	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	AU AT CN EP
KG	KGS ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
KP	KPW 50	KPW equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT RU
KR	KRW 40,000	KRW 505,000	10,000	123,000	AT AU JP
KZ	RUR ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
LR	USD 45	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	AT AU CN EP SE
LT	LTL equiv of USD 80	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
LU	LUF/BEF 1,000	LUF/BEF 18,208	358	4,421	EP
LV	LVL 40	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
MC	FRF 200	FRF 3,010	60	730	EP
MD	MDL ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
MN	None	MNT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
MW	MWK 8	MWK 2,350	46	570	EP
NL	NLG 110	NLG 1,000	20	240	EP
NO	NOK 500	NOK 3,940	80	960	EP SE
NZ	NZD 155	NZD 976	19	237	AU EP
PL	PLZ 1,500,000	PLZ equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
PT	PTE 3,000	PTE 89,000	1,800	21,700	EP
RO	ROL 10,000	CHF 762	15	185	AT EP RU
RU	RUR 13,000	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
SD	SDP 50	SDP equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SE	SEK 600	SEK 4,355	85	1,060	EP SE
SI	SIT See note 7	SIT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SK	SKK 1,200	SKK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
TJ	RUR ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
TT	TTD 750	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	AT EP SE US
UA	UAK ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
US	USD 210	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP US
UZ	USD ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
VN	USD 50	VND equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT AU EP RU SE

Key to currency abbreviations for all fee tables:

AMD	Dram	DEM	Mark	ITL	Lira	MNT	Tugrik	SDP	Sudanese Pound
ATS	Schilling	DKK	Danish Krone	JPY	Yen	MWK	Kwacha	SEK	Swedish Krona
AUD	Australian Dollar	EEK	Estonian Krona	KES	Kenyan Shilling	NLG	Guilder	SIT	Tolar
BEF	Belgian Franc	ESP	Peseta	KGS	Som	NOK	Norwegian Krone	SKK	Slovak Koruna
BGL	Lev	FIM	Markka	KPW	Won	NZD	New Zealand Dollar	TTD	Trinidad and Tobago Dollar
BRC	Cruzeiro Real	FRF	French Franc	KRW	Won	PLZ	Zloty	UAK	Karbovanets
CAD	Canadian Dollar	GBP	Pound Sterling	LTL	Lita	PTE	Escudo	USD	US Dollar
CHF	Swiss Franc	GRD	Drachma	LUF	Luxembourg Franc	ROL	Romanian Leu	VND	Dong
CNY	Yuan Renminbi	HUF	Forint	LVL	Lat	RUR	Rouble		
CZK	Czech Koruna	IEP	Irish Pound	MDL	Moldovan Leu				

Table I(b) – SEARCH FEES
(as at 1 November 1994, unless otherwise indicated)

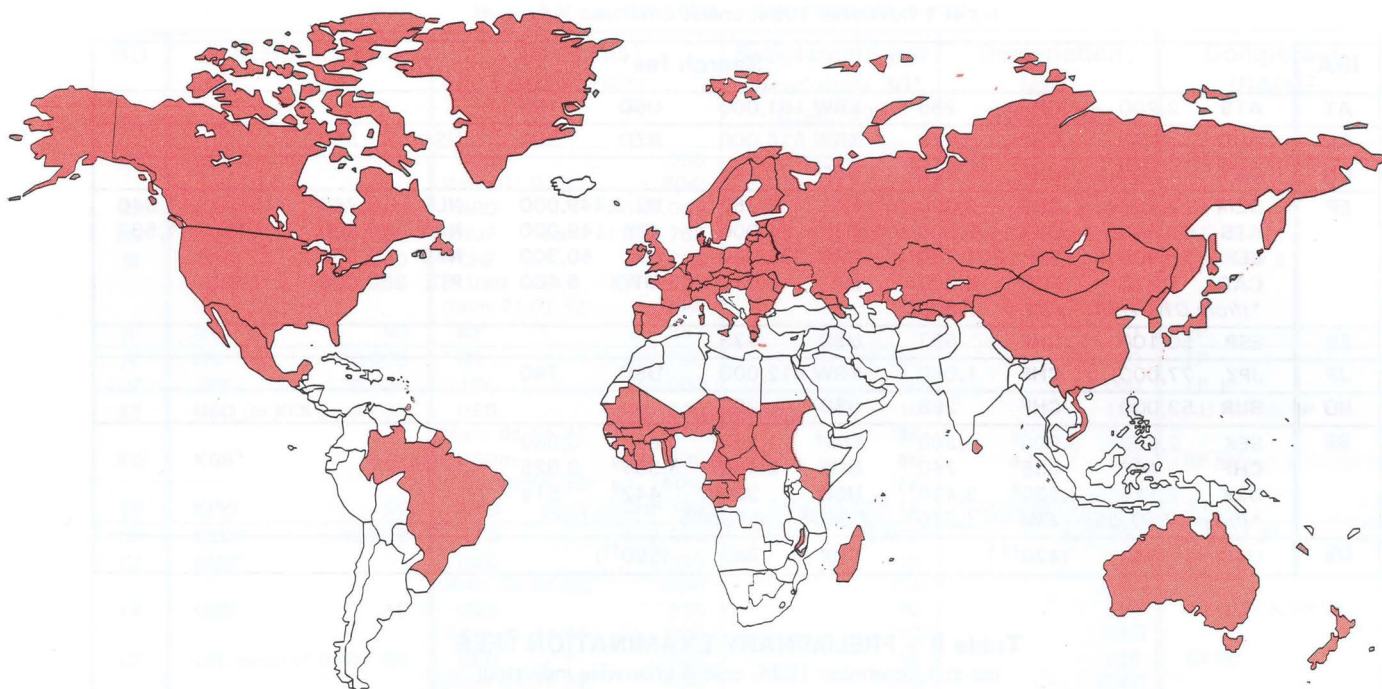
ISA	Search fee ¹								
AT	ATS	2,200	CHF	285	KRW	161,000	USD	198	
AU	AUD	800	CHF	778	KRW	516,000	NZD	990	USD 592
CN	CNY	800	CHF	210	USD	146			
EP	DEM	2,400	CHF	2,060	FRF	8,350	ITL	2,449,000	NLG 2,740 SEK 11,940
	ATS	17,140	DKK	9,600	GBP	1,000	JPY	149,000	NOK 10,300 USD 1,537
	BEF	50,300	ESP	201,700	GRD	369,200	LUF	50,300	NZD 2,557
	CAD	1,870	FIM*	8,200	IEP	1,017	MWK	6,400	PTE 250,000
	*(from 01.01.95: FIM 7,500)								
ES	ESP	50,100	CHF	537	USD	373			
JP	JPY	77,000	CHF	1,060	KRW	612,000	USD	740	
RU	RUR	53,000	CHF	288	USD	200			
SE	SEK	2,600 ⁸	3,600 ⁹	4,200 ¹⁰	FIM*	1,875 ⁸	2,600 ⁹	3,030 ¹⁰	
	CHF	460 ⁸	635 ⁹	740 ¹⁰	NOK	2,430 ⁸	3,365 ⁹	3,925 ¹⁰	
	DKK	2,115 ⁸	2,930 ⁹	3,420 ¹⁰	USD	320 ⁸	442 ⁹	515 ¹⁰	
		*(from 01.01.95: FIM 1,710 ⁸ 2,360 ⁹ 2,760 ¹⁰)							
US	USD	640	(420 ¹¹)	CHF	840	(550 ¹¹)			

Table II – PRELIMINARY EXAMINATION FEES
(as at 1 November 1994, unless otherwise indicated)

IPEA	Preliminary examination fee ¹²				Handling fee ¹² (CHF 233)					
AT	ATS	2,200			ATS	1,902				
AU	AUD	450			AUD	229				
CN	CNY	800			CNY equiv of CHF 233					
EP	DEM	3,000	FRF	10,430	NLG	3,430	DEM	270	FRF 920	NLG 305
	ATS	21,430	GBP	1,250	PTE	312,500	ATS	1,902	GBP 108	PTE 27,000
	BEF	62,900	IEP	1,271	SEK	14,930	BEF	5,568	IEP 109	SEK 1,330
	CHF	2,580	ITL	3,061,000			CHF	233	ITL 270,000	
	DKK	12,000	LUF	62,900			DKK	1,050	LUF 5,568	
JP	JPY	28,000				JPY	18,000			
RU	RUR	26,000	USD	300			USD	162		
SE	SEK	3,200					SEK	1,330		
US	USD	460	(690 ¹³)				USD	162	(from 01.01.95: USD 185)	

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee.
- 3 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 4 The amounts are not yet known. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 5 Payments can also be made in ATS, BEF, CHF, DKK, ESP, FRF, GBP, GRD, IEP, ITL, LUF, NLG, PTE or SEK. For the amounts in the said currencies, reference should be made to the latest issue of the *Official Journal* of the EPO.
- 6 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national.
- 7 10% of the basic fee, supplement fee, designation fee and search fee.
- 8 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Swedish Patent Office.
- 9 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Danish Patent Office, the National Board of Patents and Registration (Finland) or the Norwegian Patent Office.
- 10 In all cases where 8 and 9 do not apply.
- 11 Payable when a corresponding prior US national application has been filed and the basic national fee for that US application has been paid.
- 12 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 13 Payable when the international search report was not established by the United States Patent and Trademark Office.

PCT CONTRACTING STATES AND TWO-LETTER CODES (74 on 1 November 1994)



In the Americas

BB Barbados
BR Brazil
CA Canada
***MX Mexico (from
1 January 1995)***
TT Trinidad and
Tobago
US United States
of America

In Europe

EPO (EP)

AT Austria
BE Belgium
CH Switzerland
DE Germany
DK Denmark
ES Spain
FR France
GB United Kingdom
GR Greece
IE Ireland
IT Italy
LI Liechtenstein
LU Luxembourg
MC Monaco
NL Netherlands
PT Portugal
SE Sweden

Non-EPO

BG Bulgaria
BY Belarus
CZ Czech Republic
EE Estonia
FI Finland
HU Hungary
LT Lithuania
LV Latvia
MD Republic of
Moldova
NO Norway
PL Poland
RO Romania
RU Russian Federa-
tion
SI Slovenia
SK Slovakia
UA Ukraine

In Africa

ARIPO (AP)

KE Kenya
MW Malawi
SD Sudan
SZ Swaziland

OAPI (OA)

BF Burkina Faso
BJ Benin
CF Central African
Republic
CG Congo
CI Côte d'Ivoire
CM Cameroon
GA Gabon
GN Guinea
ML Mali
MR Mauritania
NE Niger
SN Senegal
TD Chad
TG Togo

Non-ARIPO or -OAPI

LR Liberia
MG Madagascar

In Asia and the Pacific

AM Armenia
AU Australia
CN China
GE Georgia
JP Japan
KG Kyrgyzstan
KP Democratic People's
Republic of Korea
KR Republic of Korea
KZ Kazakhstan
LK Sri Lanka
MN Mongolia
NZ New Zealand
TJ Tajikistan
UZ Uzbekistan
VN Viet Nam

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any States indicated in ***bold italics*** have adhered to the PCT but were not yet bound by the PCT on the date of issue of the latest version of the request form. If the applicant wishes to designate any States which are bound by the PCT on the date on which the international application is filed but which are not listed in the request form, he must add them in Box No. V of the request form and mark the corresponding check-box. Where a State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date.

Applicants should always use the latest versions of the request and demand forms. The latest version of the request form (PCT/RO/101), applicable until the end of 1994, is dated 5 July 1994; that of the demand form (PCT/IPEA/401) is dated January 1994. The forms are reproduced in Annexes X and Y, respectively, of the *PCT Applicant's Guide*, Volume I. The request form can also be obtained from Receiving Offices or the International Bureau. The demand form can also be obtained from International Preliminary Examining Authorities or the International Bureau.

See page 5 for details of the updated request form, ***which should not be used before 1 January 1995.***



PCT NEWSLETTER

WIPO Publication No. 115 (E)

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B1**Information on Contracting States****B1****PL****POLAND****PL****General information**

Name of Office:	Polish Patent Office
Location:	Al. Niepodległości 188, PL-00-950 Warsaw, Poland
Mailing address:	P.O. Box 203, PL-00-950 Warsaw, Poland
Telephone:	(48-22) 25 83 49
Facsimile machine:	(48-22) 25 05 81
Teleprinter:	813492 CPIZL PL
Does the Office accept the filing of documents by means of telecommunication (PCT Rule 92.4)?	Yes, by facsimile machine
Which kinds of documents may be so transmitted?	All kinds of documents
Must the original of the document be furnished in all cases?	Yes, within 14 days from the date of the transmission
Would the Office accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used (PCT Rule 82.1)?	No
Competent receiving Office for nationals and residents of Poland:	Polish Patent Office or International Bureau of WIPO, at the choice of the applicant (see Annex C)
Competent designated (or elected) Office if Poland is designated (or elected):	Polish Patent Office (see Volume II)
May Poland be elected?	Yes (bound by Chapter II of the PCT)
Types of protection available:	Patents, utility models
Provisions of the law of Poland concerning international-type search:	None
Provisional protection after international publication:	The applicant must submit to the Office a translation of the international application into Polish. The provisional protection (see Article 35 of the Law on Inventive Activity of October 19, 1972, as amended by the Law of October 30, 1992) applies as from the date of the subsequent publication of the translation of the international application (bibliographic data, abstract and figure of drawings, if any) in the Official Gazette of the Patent Office (Biuletyn Urzędu Patentowego).

[continued on next page]

B1

Information on Contracting States

B1

PL

POLAND

PL

[continued]

Information of interest if Poland is designated (or elected)

Time when the name and address of the inventor must be given if Poland is designated:

May be in the request or may be furnished later. If not already complied with within the time limit applicable under PCT Article 22 or 39(1)(a), the Patent Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation.

Does the Office disregard the priority claim if the priority document was not submitted within 16 months from the priority date under PCT Rule 17.1?

No

Are there special provisions concerning the deposit of microorganisms?

Yes (see Annex L)

C	Receiving Offices	C
US	UNITED STATES PATENT AND TRADEMARK OFFICE (USPTO)	US

Competent receiving Office for nationals and residents of:	United States of America
Language in which international applications may be filed:	English
Number of copies required by the receiving Office:	1
Competent International Searching Authority:	United States Patent and Trademark Office or European Patent Office
Competent International Preliminary Examining Authority:	United States Patent and Trademark Office, or European Patent Office for international applications for which the European Patent Office has established the international search report
Fees payable to the receiving Office:	Currency: US Dollar (USD)
Transmittal fee:	USD 210
Basic fee:	USD 530 (604*)
Supplement per sheet over 30:	USD 10 (12*)
Designation fee:	USD 128 (147*)
Search fee:	See Annex D (United States Patent and Trademark Office or European Patent Office)
Fee for priority document (PCT Rule 17.1(b)):	USD 12
Is an agent required by the receiving Office?	No
Who can act as agent?	Patent attorneys and patent agents registered to practice before the USPTO. A list of registered patent attorneys and agents may be obtained from: Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402

* This amount is payable as from January 1, 1995

E **E**

**International Preliminary
Examining Authorities**

US **US**

**UNITED STATES PATENT AND
TRADEMARK OFFICE (USPTO)**

Preliminary examination fee (PCT Rule 58): ¹	US Dollar (USD) (due on filing demand) The amount in parentheses is payable when the international search report was not established by the USPTO	460 (690) ²
Additional preliminary examination fee (PCT Rule 68.3): ³	USD 140 (240) ² The amount in parentheses is payable when the international search report was not established by the USPTO	
Handling fee (PCT Rule 57.1): ¹	USD ² 162 (185*) (due on filing demand)	
Fee for copies of documents cited in the international preliminary examination report (PCT Rule 71.2):	None; applicant receives, together with the international preliminary examination report, a copy of each additional document not cited in the international search report	
Fee for copies of documents contained in the file of the international application (PCT Rule 94.1): ³	USD 3 per copy of US patent USD 25 per copy of non-US patent document See 37 CFR 1.19 for other document supply fees	
Conditions for refund and amount of refund of the preliminary examination fee:	Money paid by mistake, without cause, or in excess, will be refunded In the cases provided for under PCT Rule 58.3 where the demand is considered as if it had not been submitted (PCT Rules 54.4(a), 57.4(c), 58.2(c) and 60.1(c)): refund of 100% If the international application or the demand is withdrawn before the start of the international preliminary examination: refund of the amount paid less a processing fee equivalent to the transmittal fee (see Annex C(US))	
Languages accepted for international preliminary examination:	English	
Subject matter that will not be examined:	The subject matter specified in items (i) to (vi) of PCT Rule 67.1 with the exception of subject matter which is examined in US national applications	

* This amount is payable as from January 1, 1995

¹ This fee is payable to the International Preliminary Examining Authority.

² Current PCT related fees can be found in the Official Gazette of the United States Patent and Trademark Office.

³ This fee is payable to the International Preliminary Examining Authority and only in particular circumstances.

Box No.V DESIGNATION OF STATES

The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):

Regional Patent

- AP ARIPO Patent:** KE Kenya, MW Malawi, SD Sudan, SZ Swaziland and any other State which is a Contracting State of the Harare Protocol and of the PCT
- EP European Patent:** AT Austria, BE Belgium, CH and LI Switzerland and Liechtenstein, DE Germany, DK Denmark, ES Spain, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, and any other State which is a Contracting State of the European Patent Convention and of the PCT
- OA OAPI Patent:** BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)

National Patent (if other kind of protection or treatment desired, specify on dotted line):

- | | |
|---|--|
| <input type="checkbox"/> AM Armenia | <input type="checkbox"/> MD Republic of Moldova |
| <input type="checkbox"/> AT Austria | <input type="checkbox"/> MG Madagascar |
| <input type="checkbox"/> AU Australia | <input type="checkbox"/> MN Mongolia |
| <input type="checkbox"/> BB Barbados | <input type="checkbox"/> MW Malawi |
| <input type="checkbox"/> BG Bulgaria | <input type="checkbox"/> MX Mexico |
| <input type="checkbox"/> BR Brazil | <input type="checkbox"/> NL Netherlands |
| <input type="checkbox"/> BY Belarus | <input type="checkbox"/> NO Norway |
| <input type="checkbox"/> CA Canada | <input type="checkbox"/> NZ New Zealand |
| <input type="checkbox"/> CH and LI Switzerland and Liechtenstein | <input type="checkbox"/> PL Poland |
| <input type="checkbox"/> CN China | <input type="checkbox"/> PT Portugal |
| <input type="checkbox"/> CZ Czech Republic | <input type="checkbox"/> RO Romania |
| <input type="checkbox"/> DE Germany | <input type="checkbox"/> RU Russian Federation |
| <input type="checkbox"/> DK Denmark | <input type="checkbox"/> SD Sudan |
| <input type="checkbox"/> EE Estonia | <input type="checkbox"/> SE Sweden |
| <input type="checkbox"/> ES Spain | <input type="checkbox"/> SI Slovenia |
| <input type="checkbox"/> FI Finland | <input type="checkbox"/> SK Slovakia |
| <input type="checkbox"/> GB United Kingdom | <input type="checkbox"/> TJ Tajikistan |
| <input type="checkbox"/> GE Georgia | <input type="checkbox"/> TT Trinidad and Tobago |
| <input type="checkbox"/> HU Hungary | <input type="checkbox"/> UA Ukraine |
| <input type="checkbox"/> JP Japan | <input type="checkbox"/> US United States of America |
| <input type="checkbox"/> KE Kenya | |
| <input type="checkbox"/> KG Kyrgyzstan | <input type="checkbox"/> UZ Uzbekistan |
| <input type="checkbox"/> KP Democratic People's Republic of Korea | <input type="checkbox"/> VN Viet Nam |
| | |
| <input type="checkbox"/> KR Republic of Korea | Check-boxes reserved for designating States (for the purposes of a national patent) which have become party to the PCT after issuance of this sheet: |
| <input type="checkbox"/> KZ Kazakhstan | <input type="checkbox"/> |
| <input type="checkbox"/> LK Sri Lanka | <input type="checkbox"/> |
| <input type="checkbox"/> LR Liberia | <input type="checkbox"/> |
| <input type="checkbox"/> LT Lithuania | <input type="checkbox"/> |
| <input type="checkbox"/> LU Luxembourg | <input type="checkbox"/> |
| <input type="checkbox"/> LV Latvia | <input type="checkbox"/> |

In addition to the designations made above, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except the designation(s) of _____
 The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation of a designation consists of the filing of a notice specifying that designation and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.)

Cadre n° V DESIGNATION D'ETATS

Les désignations suivantes sont faites conformément à la règle 4.9.a) (cocher les cases appropriées; une au moins doit l'être) :

Brevet régional

- AP Brevet ARIPO : KE Kenya, MW Malawi, SD Soudan, SZ Swaziland** et tout autre Etat qui est un Etat contractant du Protocole de Harare et du PCT
- EP Brevet européen : AT Autriche, BE Belgique, CH et LI Suisse et Liechtenstein, DE Allemagne, DK Danemark, ES Espagne, FR France, GB Royaume-Uni, GR Grèce, IE Irlande, IT Italie, LU Luxembourg, MC Monaco, NL Pays-Bas, PT Portugal, SE Suède** et tout autre Etat qui est un Etat contractant de la Convention sur le brevet européen et du PCT
- OA Brevet OAPI : BF Burkina Faso, BJ Bénin, CF République centrafricaine, CG Congo, CI Côte d'Ivoire, CM Cameroun, GA Gabon, GN Guinée, ML Mali, MR Mauritanie, NE Niger, SN Sénégal, TD Tchad, TG Togo** et tout autre Etat qui est un Etat membre de l'OAPI et un Etat contractant du PCT (si une autre forme de protection ou de traitement est souhaitée, le préciser sur la ligne pointillée)

Brevet national (si une autre forme de protection ou de traitement est souhaitée, le préciser sur la ligne pointillée) :

- | | |
|--|---|
| <input type="checkbox"/> AM Arménie | <input type="checkbox"/> MD République de Moldova |
| <input type="checkbox"/> AT Autriche | <input type="checkbox"/> MG Madagascar |
| <input type="checkbox"/> AU Australie | <input type="checkbox"/> MN Mongolie |
| <input type="checkbox"/> BB Barbade | <input type="checkbox"/> MW Malawi |
| <input type="checkbox"/> BG Bulgarie | <input type="checkbox"/> MX Mexique |
| <input type="checkbox"/> BR Brésil | <input type="checkbox"/> NL Pays-Bas |
| <input type="checkbox"/> BY Bélarus | <input type="checkbox"/> NO Norvège |
| <input type="checkbox"/> CA Canada | <input type="checkbox"/> NZ Nouvelle-Zélande |
| <input type="checkbox"/> CH et LI Suisse et Liechtenstein | <input type="checkbox"/> PL Pologne |
| <input type="checkbox"/> CN Chine | <input type="checkbox"/> PT Portugal |
| <input type="checkbox"/> CZ République tchèque | <input type="checkbox"/> RO Roumanie |
| <input type="checkbox"/> DE Allemagne | <input type="checkbox"/> RU Fédération de Russie |
| <input type="checkbox"/> DK Danemark | <input type="checkbox"/> SD Soudan |
| <input type="checkbox"/> EE Estonie | <input type="checkbox"/> SE Suède |
| <input type="checkbox"/> ES Espagne | <input type="checkbox"/> SI Slovénie |
| <input type="checkbox"/> FI Finlande | <input type="checkbox"/> SK Slovaquie |
| <input type="checkbox"/> GB Royaume-Uni | <input type="checkbox"/> TJ Tadjikistan |
| <input type="checkbox"/> GE Géorgie | <input type="checkbox"/> TT Trinité-et-Tobago |
| <input type="checkbox"/> HU Hongrie | <input type="checkbox"/> UA Ukraine |
| <input type="checkbox"/> JP Japon | <input type="checkbox"/> US Etats-Unis d'Amérique |
| <input type="checkbox"/> KE Kenya | |
| <input type="checkbox"/> KG Kirghizistan | <input type="checkbox"/> UZ Ouzbékistan |
| <input type="checkbox"/> KP République populaire démocratique de Corée | <input type="checkbox"/> VN Viet Nam |
| | |
| <input type="checkbox"/> KR République de Corée | |
| <input type="checkbox"/> KZ Kazakhstan | |
| <input type="checkbox"/> LK Sri Lanka | <input type="checkbox"/> |
| <input type="checkbox"/> LR Libéria | <input type="checkbox"/> |
| <input type="checkbox"/> LT Lituanie | <input type="checkbox"/> |
| <input type="checkbox"/> LU Luxembourg | <input type="checkbox"/> |
| <input type="checkbox"/> LV Lettonie | <input type="checkbox"/> |

Cases réservées pour la désignation (aux fins d'un brevet national) d'Etats qui sont devenus parties au PCT après la publication de la présente feuille :

Outre les désignations faites ci-dessus, le déposant fait aussi conformément à la règle 4.9.b) toutes les désignations qui seraient autorisées en vertu du PCT, sauf la désignation de

Le déposant déclare que ces désignations additionnelles sont faites sous réserve de confirmation et que toute désignation qui n'est pas confirmée avant l'expiration d'un délai de 15 mois à compter de la date de priorité doit être considérée comme retirée par le déposant à l'expiration de ce délai. (Pour confirmer une désignation, il faut déposer une déclaration contenant la désignation en question et payer les taxes de désignation et de confirmation. La confirmation doit parvenir à l'office récepteur dans le délai de 15 mois.)

Feld Nr. V BESTIMMUNG VON STAATEN

Die folgenden Bestimmungen nach Regel 4.9 Absatz a werden hiermit vorgenommen (bitte die entsprechenden Kästchen ankreuzen; wenigstens ein Kästchen muß angekreuzt werden):

Regionales Patent

- AP ARIPO-Patent:** KE Kenia, MW Malawi, SD Sudan, SZ Swasiland und jeder weitere Staat, der Vertragsstaat des Harare-Protokolls und des PCT ist
- EP Europäisches Patent:** AT Österreich, BE Belgien, CH und LI Schweiz und Liechtenstein, DE Deutschland, DK Dänemark, ES Spanien, FR Frankreich, GB Vereinigtes Königreich, GR Griechenland, IE Irland, IT Italien, LU Luxemburg, MC Monaco, NL Niederlande, PT Portugal, SE Schweden und jeder weitere Staat, der Vertragsstaat des Europäischen Patentübereinkommens und des PCT ist
- OA OAPI-Patent:** BF Burkina Faso, BJ Benin, CF Zentralafrikanische Republik, CG Kongo, CI Côte d'Ivoire, CM Kamerun, GA Gabun, GN Guinea, ML Mali, MR Mauretanien, NE Niger, SN Senegal, TD Tschad, TG Togo und jeder weitere Staat, der Vertragsstaat der OAPI und des PCT ist (falls eine andere Schutzrechtsart oder ein sonstiges Verfahren gewünscht wird, bitte auf der gepunkteten Linie angeben)

Nationales Patent (falls eine andere Schutzrechtsart oder ein sonstiges Verfahren gewünscht wird, bitte auf der gepunkteten Linie angeben):

- | | |
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| <input type="checkbox"/> AM Armenien | <input type="checkbox"/> MD Republik Moldau |
| <input type="checkbox"/> AT Österreich | <input type="checkbox"/> MG Madagaskar |
| <input type="checkbox"/> AU Australien | <input type="checkbox"/> MN Mongolei |
| <input type="checkbox"/> BB Barbados | <input type="checkbox"/> MW Malawi |
| <input type="checkbox"/> BG Bulgarien | <input type="checkbox"/> MX Mexiko |
| <input type="checkbox"/> BR Brasilien | <input type="checkbox"/> NL Niederlande |
| <input type="checkbox"/> BY Belarus | <input type="checkbox"/> NO Norwegen |
| <input type="checkbox"/> CA Kanada | <input type="checkbox"/> NZ Neuseeland |
| <input type="checkbox"/> CH und LI Schweiz und Liechtenstein | <input type="checkbox"/> PL Polen |
| <input type="checkbox"/> CN China | <input type="checkbox"/> PT Portugal |
| <input type="checkbox"/> CZ Tschechische Republik | <input type="checkbox"/> RO Rumänien |
| <input type="checkbox"/> DE Deutschland | <input type="checkbox"/> RU Russische Föderation |
| <input type="checkbox"/> DK Dänemark | <input type="checkbox"/> SD Sudan |
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| <input type="checkbox"/> JP Japan | <input type="checkbox"/> US Vereinigte Staaten von Amerika |
| <input type="checkbox"/> KE Kenia | |
| <input type="checkbox"/> KG Kirgisistan | <input type="checkbox"/> UZ Usbekistan |
| <input type="checkbox"/> KP Demokratische Volksrepublik Korea | <input type="checkbox"/> VN Vietnam |
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| <input type="checkbox"/> KR Republik Korea | |
| <input type="checkbox"/> KZ Kasachstan | |
| <input type="checkbox"/> LK Sri Lanka | |
| <input type="checkbox"/> LR Liberia | |
| <input type="checkbox"/> LT Litauen | |
| <input type="checkbox"/> LU Luxemburg | |
| <input type="checkbox"/> LV Lettland | |

Kästchen für die Bestimmung von Staaten (für die Zwecke eines nationalen Patents), die dem PCT nach der Veröffentlichung dieses Formblatts beigetreten sind:

-
-
-
-
-

Zusätzlich zu den oben genannten Bestimmungen nimmt der Anmelder nach Regel 4.9 Absatz b auch alle anderen nach dem PCT zulässigen Bestimmungen vor mit Ausnahme der Bestimmung von
 Der Anmelder erklärt, daß diese zusätzlichen Bestimmungen unter dem Vorbehalt einer Bestätigung stehen und jede zusätzliche Bestimmung, die vor Ablauf von 15 Monaten ab dem Prioritätsdatum nicht bestätigt wurde, nach Ablauf dieser Frist als vom Anmelder zurückgenommen gilt. (Die Bestätigung einer Bestimmung erfolgt durch die Einreichung einer Mitteilung, in der diese Bestimmung angegeben wird, und die Zahlung der Bestimmungs- und der Bestätigungsgebühr. Die Bestätigung muß beim Anmeldeamt innerhalb der Frist von 15 Monaten eingehen.)

Recuadro N° V DESIGNACION DE ESTADOS

A continuación se hacen las designaciones siguientes en virtud de la Regla 4.9.a) (*márquense las casillas adecuadas; debe marcarse por lo menos una*):

Patente regional

- AP Patente ARIPO :** KE Kenya, MW Malawi, SD Sudán, SZ Swazilandia, y cualquier otro Estado contratante del Protocolo de Hararé y del PCT
- EP Patente Europea:** AT Austria, BE Bélgica, CH y LI Suiza y Liechtenstein, DE Alemania, DK Dinamarca, ES España, FR Francia, GB Reino Unido, GR Grecia, IE Irlanda, IT Italia, LU Luxemburgo, MC Mónaco, NL Países Bajos, PT Portugal, SE Suecia, y cualquier otro Estado contratante del Convenio sobre la Patente Europea y del PCT
- OA Patente OAPI :** BF Burkina Faso, BJ Benin, CF República Centroafricana, CG Congo, CI Côte d'Ivoire, CM Camerún, GA Gabón, GN Guinea, ML Malí, MR Mauritania, NE Níger, SN Senegal, TD Chad, TG Togo y cualquier otro Estado que sea Estado miembro de la OAPI y que sea un Estado contratante del PCT (*si se desea otra forma de protección o de tramitación, especifíquese en la línea de puntos*)

Patente nacional (*si se desea otra forma de protección o de tramitación, especifíquese en la línea de puntos*) :

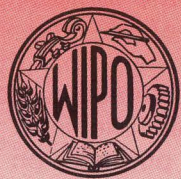
- | | |
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| <input type="checkbox"/> AM Armenia | <input type="checkbox"/> MD República de Moldova |
| <input type="checkbox"/> AT Austria | <input type="checkbox"/> MG Madagascar |
| <input type="checkbox"/> AU Australia | <input type="checkbox"/> MN Mongolia |
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| <input type="checkbox"/> BR Brasil | <input type="checkbox"/> NL Países Bajos |
| <input type="checkbox"/> BY Belarús | <input type="checkbox"/> NO Noruega |
| <input type="checkbox"/> CA Canadá | <input type="checkbox"/> NZ Nueva Zelanda |
| <input type="checkbox"/> CH y LI Suiza y Liechtenstein | <input type="checkbox"/> PL Polonia |
| <input type="checkbox"/> CN China | <input type="checkbox"/> PT Portugal |
| <input type="checkbox"/> CZ República Checa | <input type="checkbox"/> RO Rumania |
| <input type="checkbox"/> DE Alemania | <input type="checkbox"/> RU Federación Rusa |
| <input type="checkbox"/> DK Dinamarca | <input type="checkbox"/> SD Sudán |
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| <input type="checkbox"/> FI Finlandia | <input type="checkbox"/> SK Eslovaquia |
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| <input type="checkbox"/> GE Georgia | <input type="checkbox"/> TT Trinidad y Tabago |
| <input type="checkbox"/> HU Hungría | <input type="checkbox"/> UA Ucrania |
| <input type="checkbox"/> JP Japón | <input type="checkbox"/> US Estados Unidos de América |
| <input type="checkbox"/> KE Kenya | |
| <input type="checkbox"/> KG Kirguistán | <input type="checkbox"/> UZ Uzbekistán |
| <input type="checkbox"/> KP República Popular Democrática de Corea | <input type="checkbox"/> VN Viet Nam |
| | |
| <input type="checkbox"/> KR República de Corea | |
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| <input type="checkbox"/> LT Lituania | <input type="checkbox"/> |
| <input type="checkbox"/> LU Luxemburgo | <input type="checkbox"/> |
| <input type="checkbox"/> LV Letonia | <input type="checkbox"/> |

Casillas reservadas para designar Estados (a los fines de una patente nacional) que han pasado a formar parte del PCT después de la publicación de la presente hoja:

Además de las designaciones arriba efectuadas, el solicitante efectuará también, en virtud de la Regla 4.9.b), todas las designaciones que estén permitidas con arreglo al PCT, salvo la designación o designaciones de
 El solicitante declara que esas designaciones adicionales están sujetas a confirmación y que cualquier designación que no se confirme antes de que expiren los 15 meses a partir de la fecha prioritaria se considerará retirada por el solicitante al expirar dicho plazo. (*La confirmación de una designación consiste en la presentación de un aviso en el que se especifique dicha designación, así como el pago de las tasas de designación y confirmación. La confirmación deberá llegar a la Oficina receptora dentro de ese plazo de 15 meses.*)

PCT

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NEWSLETTER

DECEMBER 1994

No. 10/1994

*Season's Greetings
to all
PCT Newsletter readers*

NEW PCT CONTRACTING STATE

Uganda (country code: UG)

Uganda deposited its instrument of accession to the PCT on 9 November 1994, and will become bound by the PCT on 9 February 1995. Therefore, in any international application filed on or after 9 February 1995, Uganda may be designated and, because it is bound by Chapter II of the PCT, may also be elected. Furthermore, as from 9 February 1995, nationals and residents of Uganda will be entitled to file international applications under the PCT.

Since Uganda is a member State of the Harare Protocol within the framework of the African Regional Industrial Property Organization (ARIPO), it will be possible to designate Uganda for a patent granted by ARIPO. Any designation in an international application for an ARIPO patent will, as from 9 February 1995, automatically include the designation of Uganda for that purpose.

PUBLICATION OF PCT APPLICATIONS AND PCT GAZETTE TO BE WEEKLY

As from 5 January 1995, PCT applications and the *PCT Gazette* will be published on Thursday every week instead of every second week. This change is due to the continued increase in filings of international applications and to further automation of publication procedures by the International Bureau.

Since publication of an international application takes place promptly after the expiration of 18 months from the priority date (PCT Article 21(2)(a)), the weekly publication schedule will have the result that some international applications will be published one week earlier than they would have been under the present two-weekly publication cycle.

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*Loose-leaf sheet: order form
for ESPACE-WORLD
CD-ROMs*

PRACTICAL ADVICE***Disputed international filing date***

Q: I filed an international application on 15 September 1994 claiming priority of an earlier application filed on 15 September 1993. I subsequently filed a sheet of drawings with the intention that it simply replace an originally filed sheet of informal drawings. However, the receiving Office considered the replacement sheet as a later submitted sheet under PCT Rule 20.2 and accorded as the international filing date the date of receipt of the replacement sheet (23 September 1994) instead of the date on which the application was first received (15 September 1994). As a consequence, my priority claim was cancelled since it did not fall within the period of 12 months preceding the international filing date. I do not agree with the decision taken by the receiving Office to accord as international filing date the date on which the replacement sheet of drawings was received. What remedies are available in cases where the applicant does not agree with the international filing date accorded by the receiving Office?

A: The PCT does not expressly provide for such a remedy during the international phase. However, you are advised to request the receiving Office to reverse what you consider to be an incorrect decision made by that Office in failing to accord the original date of receipt as international filing date. Most receiving Offices will be prepared to review or reconsider a decision upon request or petition, in some cases under specific provisions of the applicable national law, which may also give a right of appeal or further petition.

If you do not succeed during the international phase, you may still request a review of the receiving Office's decision before each designated/elected Office in the national phase. If the receiving Office had refused to accord an international filing date, the procedure to follow would have been that provided in PCT Article 25. However, in your case, an international filing date was accorded. Therefore, the procedure set out in PCT Rule 82^{ter} should be considered. That Rule allows for the rectification in the

national phase of certain errors made by the receiving Office or by the International Bureau:

"If the applicant proves to the satisfaction of any designated or elected Office that the international filing date is incorrect due to an error made by the receiving Office ..., and if the error is an error such that, had it been made by the designated or elected Office itself, that Office would rectify it under the national law or national practice, the said Office shall rectify the error and shall treat the international application as if it had been accorded the rectified international filing date ..."

The request under PCT Rule 82^{ter} for rectification of the international filing date by designated/elected Offices, as well as a request for the reinstatement of the priority claim, should be made at the time of payment of the national fee and the furnishing of any prescribed translation of the international application, which are due before the expiration of the time limit applicable under PCT Articles 22 or 39(1). That time limit should be calculated, for this purpose, on the basis of the date which is claimed by the applicant to be the correct date. You should therefore file your request for rectification within 20 months from the originally claimed priority date, or, in the case of any designated State which is elected prior to the expiration of 19 months from the priority date, 30 months from the originally claimed priority date. (Later time limits apply in certain Offices — see the relevant National Chapters in the *PCT Applicant's Guide*, Vol. II.)

PCT Rule 82^{ter} also enables rectification during the national phase of certain errors made by the International Bureau.

Although recourse to PCT Rule 82^{ter} is likely to be necessary only in a very small number of cases, it is important to be aware of this Rule, which permits the applicant to request rectification of certain errors made by the receiving Office or the International Bureau during the international phase.

PCT INFORMATION UPDATE

Belgium (fees)

The following new amounts of the fee indicated below are now payable to the Industrial Property Office of Belgium as receiving Office:

Fee for priority document:	BEF	200
(in fee stamps)		
plus, per page:	BEF	15
plus, fee for certification:	BEF	200

(Updating of *PCT Applicant's Guide*, Vol. I, Annex C (BE))

Czech Republic (fees)

The following new fee is now payable to the Industrial Property Office of the Czech Republic as designated or elected Office:

National fee for utility model:		
Filing fee:	CZK	1,000

(Updating of *PCT Applicant's Guide*, Vol. II/A, National Chapter, Summary (CZ))

Denmark (telephone and fax numbers)

The fax and telephone numbers of the Danish Patent Office have changed, as follows:

Telephone:	(45-43) 50 80 00
Fax:	(45-43) 50 80 01

(Updating of *PCT Applicant's Guide*, Vol I, Annex B1 (DK))

European Patent Office (EPO) (address)

Note that if the street address for the EPO headquarters in Munich (Erhardtstr. 27) is used as well as the special postal code (D-80298), mail may take longer to arrive. The mailing address should therefore be indicated as follows:

European Patent Office
D-80298 Munich
Germany

Finland (fees; who can act as agent)

The following new amounts of the fee indicated below are now payable to the National Board of Patents and Registration of Finland as receiving Office:

Fee for priority document:	FIM	122
plus, per document:	FIM	200
plus, for each page in excess of 10:	FIM	5

As from 1 January 1995, there will be a change in the equivalent amounts in Markka (FIM), of the basic fee, the supplement fee per sheet over 30 and the designation fee, payable to that Office as receiving Office, as indicated in Table I(a), on page 5.

The following change has been made concerning who can act as agent before the Office: any natural or legal person who is a resident of Finland may now act as agent.

(Updating of *PCT Applicant's Guide*, Vol I, Annex C (FI))

Greece (fees)

As from 24 January 1995, there will be a change in the equivalent amounts in Drachma (GRD) of the basic fee and the designation fee, payable to the Industrial Property Organization of Greece as receiving Office, as indicated in Table 1(a), on page 6.

(Updating of *PCT Applicant's Guide*, Vol I, Annex C (GR))

Hungary (fees)

There has been a change in the amount of the transmittal fee, in Forint (HUF), payable to the National Office of Inventions of Hungary as receiving Office, as indicated in Table I(a), on page 6.

There has also been a change in the amount of the national fee payable to that Office, as indicated below:

National fee:		
- where the Office is a designated Office:	HUF	8,000
- where the Office is an elected Office:	HUF	4,000

((Updating of *PCT Applicant's Guide*, Vol. I, Annex C (HU) and Vol. II/A, National Chapter, Summary (HU))

Luxembourg (telephone number)

The telephone number of the Intellectual Property Office of Luxembourg has changed, as follows:

(352) 478 41 63 or (352) 478 41 13

(Updating of *PCT Applicant's Guide*, Vol I, Annex B1 (LU))

Norway (translation requirements)

The Norwegian Patent Office has changed the requirement as to the contents of the translation for entry into the national phase under PCT Article 39(1). The description, claims, any text matter of drawings and the abstract must be translated, and if any of those parts has been amended, the applicant may choose whether to submit the translation of the international application either as originally filed or as amended by the annexes to the international preliminary examination report.

(Updating of *PCT Applicant's Guide*, Vol. II/B, National Chapter, Summary (NO))

Ukraine (types of protection; fees; special requirements for national phase)

It is now possible to designate Ukraine in an international application for the purposes of obtaining utility model protection, instead of a national patent.

The amounts of certain fees, payable in Karbovanets (UAK) to the Ukraine Patent Office as receiving Office, have been fixed. The transmittal fee is indicated in Table I(a), on page 6 and the fee for priority document is indicated below:

Fee for priority document: UAK 60,000
plus, per sheet in excess
of 20: UAK 3,000

The amounts of the national fees payable, in US Dollars (USD), to that Office have also been fixed and are indicated below:

For patent:

Filing fee: USD 100

Examination fee: USD 400

For utility model:

Filing fee: USD 60

Note that the examination fee is reduced by 50% where an international search report has been established or a decision to grant a patent has been taken in a State having a substantive examination system for granting patents.

The Ukraine Patent Office has specified an additional special requirement, under PCT Rule 51^{bis}, for entry into the national phase: an instrument of assignment of the priority application is required where the applicants are not identical.

(Updating of *PCT Applicant's Guide*, Vol. I, Annexes B1 (UA) and C (UA) and Vol. II/C, National Chapter, Summary (UA))

United Kingdom (telephone and fax numbers)

The telephone and fax numbers of the United Kingdom Patent Office have changed, as follows:

Telephone: (44-1633) 81 45 86
(for international applications)
(44-1633) 81 40 00
(operator service)

Fax: (44-1633) 81 44 44

(Updating of *PCT Applicant's Guide*, Vol I, Annex B1 (GB))

PCT SEMINAR CALENDAR

Dates Location	Language of seminar	Nature of seminar WIPO speakers (and others where known)	Organizer and contact numbers
15 March 1995 Ecully (FR)	French	Lecture on the latest PCT developments "Le point du PCT" WIPO speaker: Ms. Boutillon	Groupe Rhône-Alpes pour la protection de la propriété industrielle (GRAPI) Tel: (33-78) 33 52 12
6 April 1995 Ecully (FR)	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier Tel: (33-78) 33 07 08 Fax: (33-78) 33 58 96
24-26 April 1995 Hilton Head Island (US)	English	PCT seminar for patent attorneys "Basic Patent Cooperation Treaty Practice" WIPO speakers: Mr. Bartels, Ms. Boutillon and Mr. Maassel	Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99
27-29 April 1995 Hilton Head Island (US)	English	PCT seminar for patent attorneys "Advanced Patent Cooperation Treaty Practice" WIPO speakers: Mr. Bartels, Ms. Boutillon and Mr. Maassel	Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99

INTERNATIONAL APPLICATIONS ON CD-ROM

The prices for all sets of CD-ROMs containing international applications published between 1986 and 1989 (as referred to in *PCT Newsletter* No. 09/1994, on pages 2 and 3), which are now available, are as follows:

Year	Number of disks in set	Price per set (Swiss Francs)
1986	14	1,230
1987	15	1,320
1988	21	1,850
1989	27	2,400

A 10% discount is applicable when ordering the complete four-year set. To order any sets of CD-ROMs from WIPO you may use the order form which is inserted in this issue.

You are reminded that the above-mentioned sets of CD-ROMs are available only from WIPO and that the sets containing international applications published from 1990 onwards are available only from the European Patent Office (EPO).

(Note that in *PCT Newsletter* No. 09/1994, on page 2, there is a typographic error in the EPO's address at which CD-ROMs may be ordered. The post office box number should read "Postfach 82," not "Postfach 72.")

PCT FEE TABLES

The following Tables show the amounts (including currencies—keys to currency abbreviations are listed at the bottom of page 6) for the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Table I(a) and Table I(b)) and under Chapter II (Table II). Fees which are payable only in special circumstances are not shown; nor are details of reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Volume I, Annexes C, D and E. Note that all amounts are subject to changes due to fee increases or fluctuations in exchange rates. The footnotes are on page 7.

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 December 1994, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ¹ (CHF 762)	Supplement per sheet over 30 ¹ (CHF 15)	Designation fee ^{1,2} (CHF 185)	Competent ISA(s) ³
AM	AMD ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
AP	USD 70	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP
AT	ATS 700	ATS 6,220	122	1,510	EP
AU	AUD 100	AUD 748	15	182	AU
BE	BEF 1,500	BEF 18,208	358	4,421	EP
BG	BGL 600	BGL equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
BR	BRC 21,670	BRC equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP SE US
BY	RUR ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
CA	CAD 200	CAD 792	16	192	EP
CH	CHF 100	CHF 762	15	185	EP
CN	CNY 500	CNY equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	CN
CZ	CZK 1,200	CZK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
DE	DEM 150	DEM 883	17	214	EP
DK	DKK 1,500	DKK 3,440	70	840	EP SE
EE	EEK 1,500	EEK equiv of CHF 762	15	185	EP
EP ⁵	DEM 200	DEM 883	17	214	EP
ES	None	ESP 76,300	1,500	18,500	EP
FI	FIM 700	FIM 3,200 (from 01.01.95: 2,800)	65 (55)	780 (690)	EP SE
FR	FRF 400	FRF 3,010	60	730	EP
GB	GBP 55	GBP 352	7	85	EP

[continued on page 6]

[continued from page 5]

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES (continued)

(as at 1 December 1994, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ¹ (CHF 762)	Supplement per sheet over 30 ¹ (CHF 15)	Designation fee ^{1,2} (CHF 185)	Competent ISA(s) ³
GE	USD ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
GR	GRD 30,000	GRD 131,000 (from 24.01.95: 147,000)	3,000 (no change)	32,000 (36,000)	EP
HU	HUF 4,000	HUF equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT RU
IB	CHF 300 or USD 200	CHF 762 or USD 530 (from 01.01.95: 604)	15 10 (12)	185 128 (147)	See note 6
IE	IEP 60	IEP 357	7	87	EP
IT	ITL 60,000	ITL 884,000	17,000	215,000	EP
JP	JPY 18,000	JPY 59,000	1,000	14,000	EP JP
KE	USD (or KES equiv) 30	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	AU AT CN EP
KG	KGS ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
KP	KPW 50	KPW equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT RU
KR	KRW 40,000	KRW 505,000	10,000	123,000	AT AU JP
KZ	RUR ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
LR	USD 45	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	AT AU CN EP SE
LT	LTL equiv of USD 80	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
LU	LUF/BEF 1,000	LUF/BEF 18,208	358	4,421	EP
LV	LVL 40	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
MC	FRF 200	FRF 3,010	60	730	EP
MD	MDL ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
MN	None	MNT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
MW	MWK 8	MWK 2,350	46	570	EP
NL	NLG 110	NLG 1,000	20	240	EP
NO	NOK 500	NOK 3,940	80	960	EP SE
NZ	NZD 155	NZD 976	19	237	AU EP
PL	PLZ 1,500,000	PLZ equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
PT	PTE 3,000	PTE 89,000	1,800	21,700	EP
RO	ROL 10,000	CHF 762	15	185	AT EP RU
RU	RUR 13,000	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
SD	SDP 50	SDP equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SE	SEK 600	SEK 4,355	85	1,060	EP SE
SI	SIT See note 7	SIT equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SK	SKK 1,200	SKK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
TJ	RUR ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
TT	TTD 750	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	AT EP SE US
UA	UAK 900,000	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
US	USD 210	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP US
UZ	USD ⁴ —	USD 530 (from 01.01.95: 604)	10 (12)	128 (147)	EP RU
VN	USD 50	VND equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT AU EP RU SE

Key to currency abbreviations for all fee tables:

AMD	Dram	DEM	Mark	ITL	Lira	MNT	Tugrik	SDP	Sudanese Pound
ATS	Schilling	DKK	Danish Krone	JPY	Yen	MWK	Kwacha	SEK	Swedish Krona
AUD	Australian Dollar	EEK	Estonian Kroon	KES	Kenyan Shilling	NLG	Guilder	SIT	Tolar
BEF	Belgian Franc	ESP	Peseta	KGS	Som	NOK	Norwegian Krone	SKK	Slovak Koruna
BGL	Lev	FIM	Markka	KPW	Won	NZD	New Zealand Dollar	TTD	Trinidad and Tobago Dollar
BRC	Cruzeiro Real	FRF	French Franc	KRW	Won	PLZ	Zloty	UAK	Karbovanets
CAD	Canadian Dollar	GBP	Pound Sterling	LTL	Lita	PTE	Escudo	USD	US Dollar
CHF	Swiss Franc	GRD	Drachma	LUF	Luxembourg Franc	ROL	Romanian Leu	VND	Dong
CNY	Yuan Renminbi	HUF	Forint	LVL	Lat	RUR	Rouble		
CZK	Czech Koruna	IEP	Irish Pound	MDL	Moldovan Leu				

Table I(b) – SEARCH FEES
(as at 1 December 1994, unless otherwise indicated)

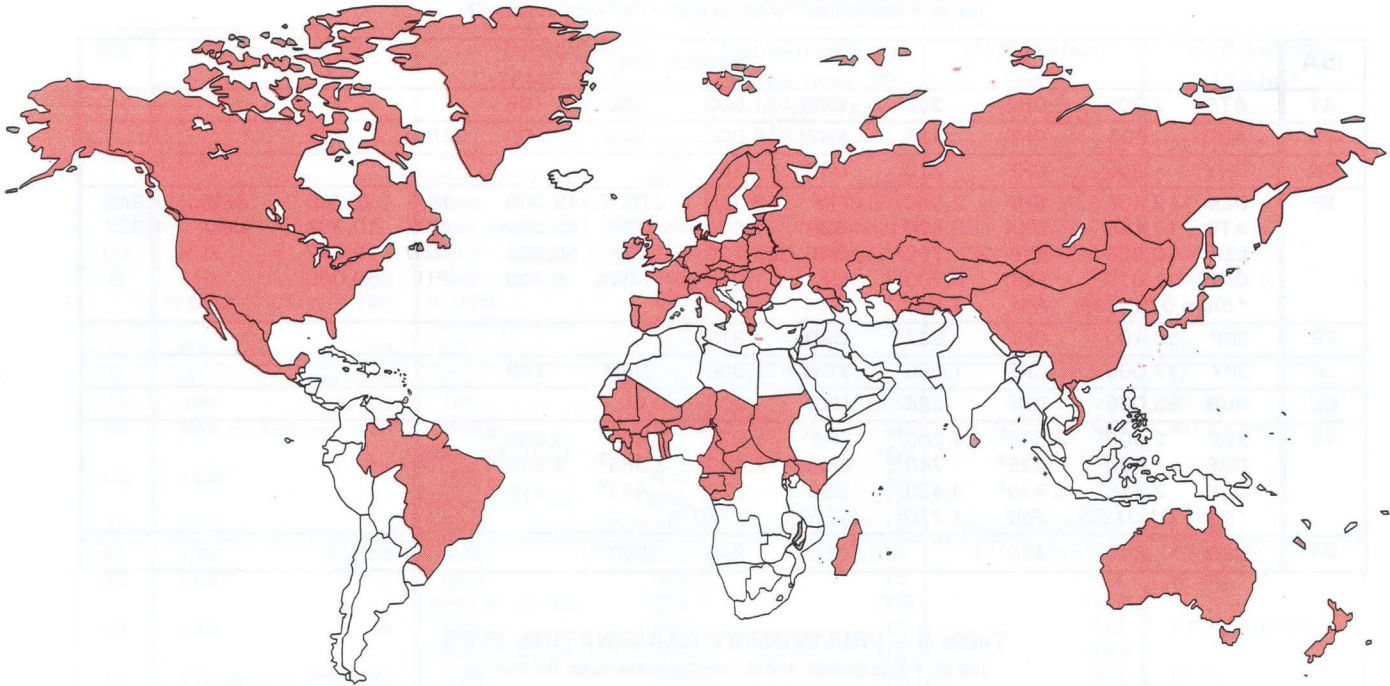
ISA	Search fee ¹											
AT	ATS	2,200	CHF	285	KRW	161,000	USD	198				
AU	AUD	800	CHF	778	KRW	516,000	NZD	990	USD	592		
CN	CNY	800	CHF	210	USD	146						
EP	DEM	2,400	CHF	2,060	FRF	8,350	ITL	2,449,000	NLG	2,740	SEK	11,940
	ATS	17,140	DKK	9,600	GBP	1,000	JPY	149,000	NOK	10,300	USD	1,537
	BEF	50,300	ESP	201,700	GRD	369,200	LUF	50,300	NZD	2,557		
	CAD	1,870	FIM*	8,200	IEP	1,017	MWK	6,400	PTE	250,000		
	*(from 01.01.95: FIM 7,500)											
ES	ESP	50,100	CHF	537	USD	373						
JP	JPY	77,000	CHF	1,060	KRW	612,000	USD	740				
RU	RUR	53,000	CHF	288	USD	200						
SE	SEK	2,600 ⁸	3,600 ⁹	4,200 ¹⁰	FIM*	1,875 ⁸	2,600 ⁹	3,030 ¹⁰				
	CHF	460 ⁸	635 ⁹	740 ¹⁰	NOK	2,430 ⁸	3,365 ⁹	3,925 ¹⁰				
	DKK	2,115 ⁸	2,930 ⁹	3,420 ¹⁰	USD	320 ⁸	442 ⁹	515 ¹⁰				
	*(from 01.01.95: FIM 1,710 ⁸ 2,360 ⁹ 2,760 ¹⁰)											
US	USD	640	(420 ¹¹)	CHF	840	(550 ¹¹)						

Table II – PRELIMINARY EXAMINATION FEES
(as at 1 December 1994, unless otherwise indicated)

IPEA	Preliminary examination fee ¹²			Handling fee ¹² (CHF 233)								
AT	ATS	2,200		ATS	1,902							
AU	AUD	450		AUD	229							
CN	CNY	800		CNY equiv of CHF 233								
EP	DEM	3,000	FRF	10,430	NLG	3,430	DEM	270	FRF	920	NLG	305
	ATS	21,430	GBP	1,250	PTE	312,500	ATS	1,902	GBP	108	PTE	27,000
	BEF	62,900	IEP	1,271	SEK	14,930	BEF	5,568	IEP	109	SEK	1,330
	CHF	2,580	ITL	3,061,000			CHF	233	ITL	270,000		
	DKK	12,000	LUF	62,900			DKK	1,050	LUF	5,568		
JP	JPY	28,000		JPY	18,000							
RU	RUR	26,000	USD	300	USD	162						
SE	SEK	3,200		SEK	1,330							
US	USD	460	(690 ¹³)	USD	162	(from 01.01.95: USD 185)						

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee.
- 3 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 4 The amounts are not yet known. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 5 Payments can also be made in ATS, BEF, CHF, DKK, ESP, FRF, GBP, GRD, IEP, ITL, LUF, NLG, PTE or SEK. For the amounts in the said currencies, reference should be made to the latest issue of the *Official Journal* of the EPO.
- 6 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national.
- 7 10% of the basic fee, supplement fee, designation fee and search fee.
- 8 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Swedish Patent Office.
- 9 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Danish Patent Office, the National Board of Patents and Registration (Finland) or the Norwegian Patent Office.
- 10 In all cases where 8 and 9 do not apply.
- 11 Payable when a corresponding prior US national application has been filed and the basic national fee for that US application has been paid.
- 12 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 13 Payable when the international search report was not established by the United States Patent and Trademark Office.

PCT CONTRACTING STATES AND TWO-LETTER CODES (75 on 1 December 1994)

**In the Americas**

BB Barbados
 BR Brazil
 CA Canada
**MX Mexico (from
 1 January 1995)**
 TT Trinidad and
 Tobago
 US United States
 of America

In Europe

EPO (EP)
 AT Austria
 BE Belgium
 CH Switzerland
 DE Germany
 DK Denmark
 ES Spain
 FR France
 GB United Kingdom
 GR Greece
 IE Ireland
 IT Italy
 LI Liechtenstein
 LU Luxembourg
 MC Monaco
 NL Netherlands
 PT Portugal
 SE Sweden

Non-EPO

BG Bulgaria
 BY Belarus
 CZ Czech Republic
EE Estonia
 FI Finland
 HU Hungary
 LT Lithuania
 LV Latvia
 MD Republic of
 Moldova
 NO Norway
 PL Poland
 RO Romania
 RU Russian Federa-
 tion
 SI Slovenia
 SK Slovakia
 UA Ukraine

In Africa

ARIPO (AP)
 KE Kenya
 MW Malawi
 SD Sudan
SZ Swaziland
**UG Uganda (from
 9 February 1995)**

OAPI (OA)

BF Burkina Faso
 BJ Benin
 CF Central African
 Republic
 CG Congo
 CI Côte d'Ivoire
 CM Cameroon
 GA Gabon
 GN Guinea
 ML Mali
 MR Mauritania
 NE Niger
 SN Senegal
 TD Chad
 TG Togo

Non-ARIPO or -OAPI

LR Liberia
MG Madagascar

In Asia and the Pacific

AM Armenia
 AU Australia
 CN China
 GE Georgia
 JP Japan
 KG Kyrgyzstan
 KP Democratic People's
 Republic of Korea
 KR Republic of Korea
 KZ Kazakhstan
 LK Sri Lanka
 MN Mongolia
 NZ New Zealand
 TJ Tajikistan
 UZ Uzbekistan
 VN Viet Nam

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any States indicated in **bold italics** have adhered to the PCT but were not yet bound by the PCT on the date of issue of the latest version of the request form. If the applicant wishes to designate any States which are bound by the PCT on the date on which the international application is filed but which are not listed in the request form, he must add them in Box No. V of the request form and mark the corresponding check-box. Where a State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date.

Applicants should always use the latest versions of the request and demand forms. The latest version of the request form (PCT/RO/101), applicable until the end of 1994, is dated 5 July 1994; that of the demand form (PCT/IPEA/401) is dated January 1994. The forms are reproduced in Annexes X and Y, respectively, of the *PCT Applicant's Guide*, Volume I. The request form can also be obtained from receiving Offices or the International Bureau. The demand form can also be obtained from International Preliminary Examining Authorities or the International Bureau.

Note that the updated "second sheet" of the request form, which was included as a tear-out sheet in *PCT Newsletter* No. 09/1994, **should not be used before 1 January 1995**. See page 5 of that *PCT Newsletter* for details on the updated request form.



Un nouveau produit CD-ROM
de l'Organisation Mondiale de la Propriété Intellectuelle

Demandes internationales publiées en vertu du PCT
sur disque CD-ROM

Le Bureau international de l'Organisation Mondiale de la Propriété Intellectuelle (OMPI) a le plaisir d'annoncer que les séries de disques **CD-ROM ESPACE-WORLD** contenant les demandes internationales PCT publiées de 1986 à 1989 sont maintenant disponibles. Ces séries de disques CD-ROM ESPACE-WORLD contiennent 77 disques au total et représentent les demandes internationales PCT publiées du 1er janvier 1986 au 31 décembre 1989. D'autres séries, relatives aux années 1978 à 1985, seront produites au début de l'année 1995.

Les séries de disques CD-ROM de 1986 à 1989 contiennent également la deuxième et éventuellement la troisième publication relative aux demandes internationales PCT publiées (par exemple, les publications "A3" contenant les rapports de recherches internationales publiés ultérieurement, les revendications modifiées publiées ultérieurement, les corrections). Le cas échéant, la publication initiale est accompagnée par les publications ultérieures et est identifiée par une notice bibliographique unique. Les autres séries contenant les demandes internationales publiées avant le 1er janvier 1986 contiendront également les publications ultérieures.

Les prix des séries de disques **CD-ROM** correspondant aux années 1986 à 1989 sont indiqués ci-dessous en francs suisses. Ces séries peuvent être obtenues directement auprès de l'OMPI.

Bulletin de commande

ANNEE	NOMBRE DE DISQUES	PRIX en Frs. Suisses	QUANTITE	PRIX TOTAL
1989	27	2'400		
1988	21	1'850		
1987	15	1'320		
1986	14	1'230		
TOTAL	77	6'800		
Prix spécial pour la collection complète		6'120		

A retourner à l'Organisation Mondiale de la Propriété Intellectuelle (OMPI)

Le montant de

- sera payé dès réception d'une facture de l'OMPI
- sera payé par un transfert au compte OMPI No. 487080-81 auprès du Crédit Suisse, Genève
- sera payé par un transfert sur notre compte de chèque postal No. 12-5000-8, Genève
- devra être prélevé sur mon compte courant OMPI
- se trouve ci-joint (chèque tiré sur la banque).....

Date:Signature:.....

Adresse à laquelle les disques CD-ROM doivent être envoyés:.....

.....
.....



A New CD-ROM Product
Produced by the World Intellectual Property Organization

International Applications published under the PCT
in CD-ROM Format

The International Bureau of the World Intellectual Property Organization (WIPO) is pleased to announce that the series of **ESPACE-WORLD CD-ROMs** containing the PCT international applications published from 1986 to 1989 are now available. These series of **ESPACE-WORLD CD-ROMs** consist of 77 disks and contain the PCT international applications published from January 1, 1986 to December 31, 1989. A further series for the years 1978-1985 will be produced by the beginning of 1995.

The series of CD-ROMs from 1986 to 1989 also contain the second and possibly third publications (e.g., "A3" publications containing the subsequently published international search reports, later published amended claims, corrections) relating to the PCT international applications published from 1986 to 1989. Whenever applicable, the initial publication is accompanied by the corresponding later publications and can be accessed through one single bibliographic notice. The series for 1978 to 1985 will also contain such later publications.

The price of the 1986 to 1989 series of **CD-ROMs** is shown below in Swiss francs. This series of CD-ROMs can be obtained directly from WIPO at the address below.

Order Form

YEAR	NUMBER OF DISKS	PRICE in Swiss. Frs.	QUANTITY	TOTAL PRICE
1989	27	2'400		
1988	21	1'850		
1987	15	1'320		
1986	14	1'230		
TOTAL	77	6'800		
Special price for the complete series 1986 to 1989		6'120		

To be returned to the World Intellectual Property Organization (WIPO)

The amount of will be settled

- on receipt of an invoice from WIPO
- by payment to account OMPI No. 487080-81 at the Crédit Suisse, Geneva
- by payment to postal check account No. 12-5000-8, Geneva
- by deducting this sum from our current account at WIPO
- by the enclosed check drawn from.....Bank

Date : Signature:

Address to which the CD-ROM disks should be sent:.....

.....
.....