

知识产权与遗传资源、传统知识和  
民间文学艺术政府间委员会

第三届闭会期间工作组会议

2011年2月28日至3月4日，日内瓦

第三届闭会期间工作组简要报告

秘书处编拟

#### 议程第一项：会议开幕

- 1 会议由副总干事约翰内斯·克里斯蒂安·维夏德先生代表总干事弗朗西斯·高锐先生宣布开幕。
- 2 Wend Wendland 先生(WIPO)担任第三届闭会期间工作组会议(IWG 3)的秘书。

#### 议程第二项：选举主席团成员

- 3 José Ramón López de León Ibarra 先生(墨西哥)当选为 IWG 3 的主席，Ian Goss 先生(澳大利亚)和 Tom Suchanandan 先生(南非)当选为副主席。

#### 议程第三项：通过议程

- 4 IWG 3 通过了议程草案(WIPO/GRTKF/IWG/3/1 Prov. 2)，草案未经任何修改，成为会议的议程。

#### 议程第四项：遗传资源

- 5 根据 WIPO 知识产权与遗传资源、传统知识和民间文学艺术政府间委员会(政府间委员会)在第十七届会议上提出的关于 IWG 3 的建议，IWG 3 既讨论了有关知识产权(IP)与遗传资源的目标与原则，也讨论了有关知识产权与遗传资源的未来工作选项。IWG 3 的工作计划附于本文件。
- 6 具体而言，根据文件 WIPO/GRTKF/IWG/3/7、WIPO/GRTKF/IWG/3/8、WIPO/GRTKF/IWG/3/9、WIPO/GRTKF/IWG/3/10 和 WIPO/GRTKF/IWG/3/15，IWG 3 首先举行全体会议，讨论了目标与原则。最初由澳大利亚、加拿大、新西兰、挪威和美利坚合众国在政府间委员会上提出(载于文件 WIPO/GRTKF/IWG/3/7)、并经非洲集团修正(见文件 WIPO/GRTKF/IWG/3/8)的目标与原则的案文被投射在屏幕上，专家就案文提出了评论意见和文字建议。文字建议被写入案文。IWG 3 主席接下来成立了一个不限成员名额起草小组，该小组将举行会议，对全会的案文进行审查，并尽可能加以理顺和“清理”。起草小组的案文接下来在 2011 年 3 月 3 日周四下午举行的全会上由起草小组的报告员向全会作了介绍，并请所有专家对其提出评论意见。IWG 3 注意到目标与原则的案文，但未予以通过或核准。IWG 3 要求把该案文与 2011 年 3 月 3 日周四下午 IWG 3 全会上报告员所作的介绍和对案文作出的评论一起，编成文件 WIPO/GRTKF/IWG/3/17，转交政府间委员会在第十八届会议(2011 年 5 月 9 日至 13 日)上审议。
- 7 关于知识产权与遗传资源的未来工作选项，IWG 3 审议并广泛讨论了文件 WIPO/GRTKF/IWG/3/6 中所载的三组选项，并参考了文件 WIPO/GRTKF/IWG/3/2、WIPO/GRTKF/IWG/3/3、WIPO/GRTKF/IWG/3/4、WIPO/GRTKF/IWG/3/5、WIPO/

GRTKF/IWG/3/11、WIPO/GRTKF/IWG/3/12、WIPO/GRTKF/IWG/3/14 和 WIPO/GRTKF/IWG/3/15。IWG 3 还讨论了哪些选项最有可能实现上文第 6 段所述起草小组编拟的目标。IWG 3 要求把其对选项的讨论加以总结，编为文件 WIPO/GRTKF/IWG/3/18，转交政府间委员会在第十八届会议上审议。该文件必要时应当包括一个表格，写入专家提出的若干建议。根据上述关于选项的讨论，IWG 3 的一些专家建议政府间委员会要求秘书处继续处理并最终确定文件 WIPO/GRTKF/IWG/3/6 中所指的选项 C1、C2 和 C3 中所述实用机制与活动的工作，适当时要继续予以更新。

- 8 IWG 3 注意到“涉及知识产权与遗传资源的重要用语词汇表”(WIPO/GRTKF/IWG/3/13)，谅解是该文件将在政府间委员会下届会议上作为信息文件重新印发。
- 9 IWG 3 要求随本文件(WIPO/GRTKF/IWG/3/16)附上 IWG 3 的与会人员名单，交政府间委员会下届会议。

#### 议程第五项：任何其它事务

- 10 Deyanira Camacho Toral 女士(厄瓜多尔)要求 WIPO 提供关于知识产权与遗传资源的远程学习课程。
- 11 Albert Deterville 先生(GRTKF 国际)要求在能力建设方面给予小岛屿发展中国家和最不发达国家特殊考虑，Dhundi Raj Pokharel 先生(尼泊尔)也指出这一点。WIPO 秘书处应当注意土著人民和当地社区在国家、区域和国际各级建立数据库的倡议，他要求秘书处为此提供援助。
- 12 Ronald Barnes 先生(CISA)对政府间委员会谈判中的土著参与情况表示关注，建议重新审查政府间委员会的任务规定，考虑土著人民和当地社区的观点和愿望。
- 13 Bala Moussa Coulibaly 先生(塞内加尔)说，塞内加尔的生物文化遗产遭到盗用，别人从中获得了利润。他对在遗传资源和生物多样性上重新建立主权的倡议表示赞赏。
- 14 Lucia Inacio Belfort 女士(INBRAPI)对 Ronald Barnes 先生所作的发言表示支持，并鼓励向 WIPO 经认可的土著和当地社区自愿基金捐款。她感谢 IWG 3 主席主持 IWG 3 工作的方式，并尤其感谢为起草小组和全会提供的口译和翻译服务。

#### 议程第六项：通过报告

- 15 IWG 3 于 2011 年 3 月 4 日通过了本简要报告。

#### 议程第七项：会议闭幕

- 16 主席感谢所有专家的积极参与和贡献，并宣布会议闭幕。

[后接附件]

IWG 3  
工作计划

2011年2月28日星期一

10h00-11h00: 开幕, 选举主席团成员, 通过议程草案

11h00-13h00: 全会讨论目标与原则

2011年3月1日星期二

10h00-13h00: 全会继续讨论目标与原则

15h00-18h00: 全会讨论选项

18h00-21h00: 目标与原则起草小组

2011年3月2日星期三

10h00-17h00: 全会继续讨论选项

17h00-21h00: 目标与原则起草小组继续

2011年3月3日星期四

09h00-12h00: 目标与原则起草小组继续

15h00-18h00: 全会讨论起草小组的目标与原则案文

2011年3月4日星期五

10h00-13h00: 全会讨论选项; 任何其他事务

15h30: 通过报告, 闭幕。

[后接附件二]

## 与会人员名单

### I. ÉTATS/STATES

(dans l'ordre alphabétique des noms français des États)  
(in the alphabetical order of the names in French of the States)

#### AFRIQUE DU SUD/SOUTH AFRICA

Yonah Ngalaba SELETI, Chief Director, Science and Technology Department, National Indigenous Knowledge Systems Office, Pretoria

Tom SUCHANANDAN, Director, Policy Development, Science and Technology Department, National Indigenous Knowledge Systems Office, Pretoria

#### ALGÉRIE/ALGERIA

Hayet MEHADJI, premier secrétaire, Mission permanente, Genève

#### ALLEMAGNE/GERMANY

Frank SCHMIEDCHEN, Governmental Director, Intellectual Property Rights, Federal Ministry for Economic Cooperation and Development of Germany, Berlin

Susanne REYES-KNOCHE (Mrs.), Program Officer, Access and Benefit Sharing Capacity Development Initiative for Africa Environment and Climate Change, German Development Cooperation, Eschborn

Heinjoerg HERRMANN, Counsellor, Permanent Mission, Geneva

#### ANGOLA

Manuel LOPES FRANCISCO, directeur national, Ministère de l'enseignement supérieur, science et technologie, Luanda

Augusto MAKIESE KINKELA, troisième secrétaire, Mission permanente, Genève

#### ARABIE SAOUDITE/SAUDI ARABIA

Abdullah ALRUBAIAAN, Copyright Officer, Ministry of Culture and Information, Riyadh

#### AUSTRALIE/AUSTRALIA

Ian GOSS, General Manager, Business Development and Strategy Group, IP Australia, Canberra

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Steven BAILIE, Consultant, International Policy Section, IP Australia, Canberra

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Katherine WILLCOX (Ms.), Second Secretary, Permanent Mission, Geneva

#### AZERBAÏDJAN/AZERBAIJAN

Gulnara RUSTAMOVA (Ms.), Head, State Committee on Standardization, Metrology and Patents, Baku

#### BANGLADESH

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#### BARBADE/BARBADOS

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#### BÉLARUS/BELARUS

Anzhela CHVYROVA (Ms.), Head, Legal Department, National Center of Intellectual Property (NCIP), Minsk

#### BELGIQUE/BELGIUM

Katrien VAN WOUWE (Mme), attaché, Affaires juridiques et internationales, Office de la propriété intellectuelle, Service public fédéral, économie, petites et moyennes entreprises, classes moyennes et énergie, Bruxelles

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SOUDAN/SUDAN

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SUISSE/SWITZERLAND

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TCHAD/CHAD

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TRINITÉ-ET-TOBAGO/TRINIDAD AND TOBAGO

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TUNISIE/TUNISIA

Mokhtar HAMDY, directeur, Département de la propriété industrielle, Institut national de la normalisation et de la propriété industrielle (INNORPI), Ministère de l'industrie, Tunis

TURQUIE/TURKEY

Ayşegül DEMIRÇIOĞLU, Agricultural Engineer, Turkish Patent Institute, Ankara

Günseli GÜVEN (Ms.), Legal Counsellor, Permanent Mission, Geneva

URUGUAY

Carmen Adriana FERNÁNDEZ AROZTEGUI (Sra.), Asesora en Patentes de Invención, División de Patentes, Dirección Nacional de la Propiedad Industrial (DNPI), Ministerio de Industria, Energía y Minería, Montevideo

VENEZUELA

Oswaldo REQUES OLIVEROS, Primer Secretario, Misión Permanente, Ginebra

ZAMBIE/ZAMBIA

Sunduzwayo ZIMBA, Examiner, Patent and Companies Registration Agency (PACRA), Lusaka

ZIMBABWE

Innocent MAWIRE, Principal Law Officer, Policy and Legal Research Department, Ministry of Justice and Legal Affairs, Harare

Garikai KASHITIKU, First Secretary, Permanent Mission, Geneva

**II. DÉLÉGATION SPÉCIALE/SPECIAL DELEGATION**UNION EUROPÉENNE/EUROPEAN UNION

Thomas BAERT, Second Secretary, Geneva

Jens L. GASTER, Principal Administrator, Industrial Property Department, Brussels

**III. ORGANISATIONS INTERNATIONALES INTERGOUVERNEMENTALES/  
INTERNATIONAL INTERGOVERNMENTAL ORGANIZATIONS**COMMISSION DE L'UNION AFRICAINE (CUA) /AFRICAN UNION COMMISSION (AUC)

Georges Remi NAMEKONG, Senior Economist, Geneva

CONFÉRENCE DES NATIONS UNIES SUR LE COMMERCE ET LE DÉVELOPPEMENT  
(CNUCED)/UNITED NATIONS CONFERENCE ON TRADE AND DEVELOPMENT (UNCTAD)

Claudia ESPERANZA BERCH (Ms.), Associate Economic Affairs Officer, International Trade in Goods and Services and Commodities Division, Geneva

David José VIVAS EUGI, Senior Economic Affairs Officer, Trade Negotiations and Commercial Diplomacy, Geneva

OFFICE EUROPÉEN DES BREVETS (OEB)/EUROPEAN PATENT OFFICE (EPO)

Eleni KOSSONAKOU (Ms.), Lawyer, Patent Law Directorate, Munich



ORGANISATION BENELUX DE LA PROPRIÉTÉ INTELLECTUELLE (OBPI)/  
BENELUX ORGANISATION FOR INTELLECTUAL PROPERTY (BOIP)

Edmond L. SIMON, directeur général, La Haye

ORGANISATION DES ÉTATS DES CARAIBES ORIENTALES (OECS)/ORGANISATION OF  
EASTERN CARIBBEAN STATES (OECS)

Ricardo M. JAMES, chargé d'affaires, Permanent Delegation, Geneva

ORGANISATION EURASIENNE DES BREVETS (OEAB)/EURASIAN PATENT  
ORGANIZATION (EAPO)

Maria SEROVA (Mrs.), Chief Examiner, Chemical and Medicine Department, Examination Division, Moscow

ORGANISATION INTERNATIONALE DE LA FRANCOPHONIE (OIF)

Nela SIROK (Mme), stagiaire, Délégation permanente, Genève

ORGANISATION MONDIALE DU COMMERCE (OMC)/WORLD TRADE ORGANIZATION  
(WTO)

Jayashree WATAL (Mrs.), Counsellor, Intellectual Property Division, Geneva

Xiaoping WU (Ms.), Counsellor, Intellectual Property Division, Geneva

ORGANISATION RÉGIONALE AFRICAINE DE LA PROPRIÉTÉ INTELLECTUELLE  
(ARIPO)/AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

Emmanuel SACKEY, Head, Technical Department, Harare

PROGRAMME DES NATIONS UNIES POUR L'ENVIRONNEMENT (PNUE)/UNITED  
NATIONS ENVIRONMENT PROGRAMME (UNEP)

Barbara RUIS (Ms.), Legal Officer, Division of Environmental Law and Conventions, Geneva

SOUTH CENTRE

Viviana Carolina MUÑOZ TÉLLEZ (Ms.), Programme Officer, Geneva

#### **IV. ORGANISATIONS INTERNATIONALES NON GOUVERNEMENTALES/ INTERNATIONAL NON-GOVERNMENTAL ORGANIZATIONS**

Asociación Akuaipa Waimakat – Asociación para la Divulgación, Promoción y Defensa de los Derechos Humanos e Indígenas

Miguel Antonio VALBUENA GUARIYU (Asesor, La Guajira)

Assemblée des premières nations (AFN)/Assembly of First Nations (AFN)

Violet FORD (Senior Policy Analyst, Environment Stewardship, Ottawa)

Association internationale pour la protection de la propriété intellectuelle (AIPPI)/

International Association for the Protection of Intellectual Property (AIPPI)

Konrad BECKER (Chairman of the Special Committee Q166, IP and Genetic Resources, Traditional Knowledge and Folklore, Zurich)

Biotechnology Industry Organization (BIO)

Jon P. SANTAMAURO (Member, Washington D.C.)

Centre international pour le commerce et le développement durable (ICTSD)/International

Center for Trade and Sustainable Development (ICTSD)

Ahmed ABDEL LATIF (Programme Manager, Intellectual Property Rights, Geneva);

Marta WOJCZUK (Ms.) (Intern, Intellectual Property Rights, Geneva); Marie WILKE (Ms.)

(Programme Officer, Geneva); Cecile DE GARDELLE (Ms.) (Dialogue Coordinator, Geneva)

Chambre de commerce internationale (CCI)/International Chamber of Commerce (ICC)

Tim ROBERTS (Consultant, London)

Comisión Jurídica para el Autodesarrollo de los Pueblos Originarios Andinos (CAPAJ)

Tomás ALARCÓN EYZAGUIRRE (Presidente, Tacna); Máximo PAREDES (Miembro, Tacna);

Marianne STAHELI-ALARCÓN (Sra.) (Miembro, Tacna); Catherine THÉNARD (Sra.) (Miembro, Tacna)

Consejo Indio de Sud América (CISA)/Indian Council of South America (CISA)

Tomàs CONDORI (Representante, Puno); Ronald BARNES (Representante, Puno)

Cooperativa Ecológica de las Mujeres Colectoras de la Isla de Marajó (CEMEM)

Edna María DA COSTA E. SILVA (Sra.) (Presidente, Consejo Fiscal, Belém)

CropLife International

Aaron SMETHURST (Intellectual Property Policy Manager, Geneva); Stuart HARBINSON

(Senior Trade Policy Advisor, Geneva); Tatjana SACHSE (Ms.) (Advisor, Geneva)

Engabu Za Tooro

Stephen RWAGWERI (Executive Director, Fort Portal)

Ethio-Africa Diaspora Union Millennium Council

Marcus GOFFE (Legal Advisor, Kingston)

Fédération ibéro-latino-américaine des artistes interprètes ou exécutants (FILAIE)/

Ibero-Latin-American Federation of Performers (FILAIE)

Miguel PÉREZ SOLÍS (Asesor Legal, Madrid)

Fédération internationale de l'industrie du médicament (FIIM)/International Federation of

Pharmaceutical Manufacturers Associations (IFPMA)

Andrew P. JENNER (Director, Innovation, Intellectual Property and Trade, Geneva);  
Guilherme CINTRA (Policy Analyst, International Trade and Market Policy, Geneva)

Foundation for Aboriginal and Islander Research Action (FAIRA)

Robert Leslie MALEZER (Chairperson, Woolloongabba)

Genetic Resources, Traditional Knowledge and Folklore (GRTKF Int.)

Albert DETERVILLE (Executive Chairperson, Castries)

Indian Movement “Tupaj Amaru”

Lázaro PARY ANAGUA (General Coordinator, La Paz); Denis SAPIN (Member, Geneva)

Indigenous Peoples Council on Biocolonialism (IPCB)

Debra HARRY (Ms.) (Executive Director, Nixon)

Instituto Indígena Brasileiro da Propriedade Intelectual (InBraPi)

Lucia Fernanda INÁCIO BELFORT (Sra.) (Directora Ejecutiva, Brasilia)

Knowledge Ecology International (KEI)

Thiru BALASUBRAMANIAM (Representative, Geneva)

Mbororo Social Cultural Development Association (MBOSCUDA)

Musa Usman NDAMBA (Member, Bamenda)

Métis National Council (MNC)

Kathy HODGSON-SMITH (Ms.) (Consultant, Ottawa)

Natural Justice

Johanna VON BRAUN (Ms.) (Associate, Law Department, Cape Town); Ashwin VASAN (Researcher, University of Cape Town, Cape Town); Marcel SALAZAM (Researcher, Cape Town); Barbara LASSEN (Ms.) (Researcher, Cape Town)

Nepal Indigenous Nationalities Preservation Association (NINPA)

Ngwang Sonam SHERPA (Executive Chairperson, Kathmandu)

Nigeria Natural Medicine Development Agency (NNMDA)

Tamunoibuomi F. OKUJAGU (Director General and Chief Executive, Lagos)

Rromani Baxt

Leila MAMONI (Ms.) (Representative, Paris)

The Chamber of Commerce and Industry of the Russian Federation (CCI RF)

Elena KOLOKOLOVA (Ms.) (Representative, Geneva)

The International Committee for the Indigenous Peoples of the Americas (INCOMINDIOS)

Sharon VENNE (Ms.) (Legal Advisor, Edmonton)

Traditions pour demain/Traditions for Tomorrow

Christiane JOHANNOT-GRADIS (Mme) (cheffe délégation, Rolle); Marie BOILLAT (Mme) (collaboratrice, Rolle)

Tulalip Tribes

Preston HARDISON (Representative, Washington)

Union internationale pour la conservation de la nature (UICN)/International Union for Conservation of Nature (IUCN)

Sonia PEÑA-MORENO (Mrs.) (Policy Officer – Biodiversity, Global Policy Unit, Gland)

World Trade Institute (WTI)

Hojjat KHADEMI (Research Fellow, Berne); Michelangelo TEMMERMAN (Research Fellow, Berne); Leila GHASSEMI (Ms.) (Doctoral Researcher, Faculty of Law, Neuchâtel)

**V. BUREAU INTERNATIONAL DE L'ORGANISATION MONDIALE  
DE LA PROPRIÉTÉ INTELLECTUELLE (OMPI)/  
INTERNATIONAL BUREAU OF THE  
WORLD INTELLECTUAL PROPERTY ORGANIZATION (WIPO)**

Francis GURRY, directeur général/Director General

Christian WICHARD, vice-directeur général/Deputy Director General

Konji SEBATI (Mlle/Ms.), consultante, Département des savoirs traditionnels et des défis mondiaux /Consultant, Department for Traditional Knowledge and Global Challenges

Wend WENDLAND, directeur, Division des savoirs traditionnels/Director, Traditional Knowledge Division

Begoña VENERO (Mme/Mrs.), chef, Section des ressources génétiques et des savoirs traditionnels, Division des savoirs traditionnels/Head, Genetic Resources and Traditional Knowledge Section, Traditional Knowledge Division

Simon LEGRAND, conseiller, Section de la créativité, des expressions culturelles et du patrimoine culturel traditionnel, Division des savoirs traditionnels/Counsellor, Traditional Creativity, Cultural Expressions and Cultural Heritage Section, Traditional Knowledge Division

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Fei JIAO (Mme/Mrs.), consultante, Section des ressources génétiques et des savoirs traditionnels, Division des savoirs traditionnels/Consultant, Genetic Resources and Traditional Knowledge Section, Traditional Knowledge Division

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