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**STANDING COMMITTEE ON THE LAW OF TRADEMARKS,
INDUSTRIAL DESIGNS AND GEOGRAPHICAL INDICATIONS**

Eighteenth Session
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QUESTIONNAIRE ON INDUSTRIAL DESIGN LAW AND PRACTICE (PART I)

prepared by the Secretariat

INTRODUCTION

1. At the sixteenth session of the Standing Committee on the Law of Trademarks, Industrial Designs and Geographical Indications (SCT), held in Geneva from November 13 to 17, 2006, the SCT requested the Secretariat to develop a questionnaire relating to the formalities of industrial design registration and to the borderlines between all types of marks and industrial designs (see document SCT/16/8, paragraphs 25 and 27). Accordingly, the Secretariat prepared a draft questionnaire on industrial design law and practice dealing with the issues requested by the SCT (document SCT/17/6) and presented it to the 17th session of the SCT for comments. The Annex to the present document contains Part I of the Questionnaire on Industrial Design Law and Practice as agreed by the SCT at its 17th session. Part II of this questionnaire will be circulated after the 18th session of the SCT. An electronic version of this questionnaire is available at *www.wipo.int/sct/en/meetings*.

Returns to this questionnaire should reach WIPO by November 2, 2007, at the latest. Returns are to be sent by email to sct.forum@wipo.int, or by fax at +41 22 338 87 45 or by surface mail to the World Intellectual Property Organization (WIPO), 34, chemin des Colombettes, 1211 Genève 20, Switzerland.

[Annex follows]

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I. INDUSTRIAL DESIGN APPLICATION

(a) Reproduction of Industrial Design

(i) *Reproduction in general*

Q1: The industrial design, or the product or products which constitute the industrial design, may be reproduced in the form of:

- photographs (black and white). yes no n/a
- photographs (color). yes no n/a
- drawings. yes no n/a
- technical drawings. yes no n/a
- other graphic representations, namely: _____
- any other format which enables to accurately reproduce the design (e.g. image file of a motion design). yes no n/a

Q2: Dotted or broken lines may be used to represent matter that is not part of the claimed design. yes no n/a

Q3: A specific number of copies of the reproduction is required. yes no n/a
If yes, please indicate the number of copies required: _____

(ii) *Contents of the reproduction*

Q4: The reproduction should contain a sufficient number of views to completely disclose the appearance of the claimed design. yes no n/a

Q5: The number of views that may be provided is limited. yes no n/a
If yes, please specify the maximum number of views permitted: _____

Q6: A specific number of views is required. yes no n/a
If yes, please specify the views required:

- front. yes no n/a
- rear. yes no n/a
- right side. yes no n/a
- left side. yes no n/a
- top. yes no n/a
- bottom. yes no n/a
- other views, namely: _____

Q7: Sectional views of the industrial design are permitted. yes no n/a
Detailed views (enlargements) of the industrial design are permitted. yes no n/a

Q8: Perspective views of the industrial design are:

- mandatory. yes no n/a
- optional. yes no n/a

Q9: A specific number of perspective views is required. yes no n/a
If yes, please indicate the number of perspective views required: _____

Q10: The quality of the reproduction must be:
– of professional level. yes no n/a
– of a quality permitting all the details of the matter for
which protection is sought to be clearly distinguished. yes no n/a

(b) Descriptions

Q11: A description of the reproduction is:
– mandatory. yes no n/a
– optional. yes no n/a
– generally not permitted. yes no n/a

Q12: A description of the characteristic features of the industrial design is:
– mandatory. yes no n/a
– optional. yes no n/a
– generally not permitted. yes no n/a
– optional since it does not affect the scope of protection
of the design as such. yes no n/a

Q13: The use of description is:
– largely made by applicants. yes no n/a
– If yes, % of applications with descriptions. _____
– rarely made by applicants. yes no n/a
– If yes, % of applications with descriptions. _____

(c) Design Specimen

(i) *Specimens in general*

Q14: The submission of a design specimen is:
– generally not permitted. yes no n/a
– optional for two and three-dimensional designs. yes no n/a
– optional for two-dimensional designs only. yes no n/a

(ii) *Relation with reproduction*

Q15: The specimen:
– substitutes the reproduction. yes no n/a
– complements the reproduction. yes no n/a
– can only be submitted in the case of a deferment
of publication. yes no n/a
– other: _____

Q16: If the reproduction does not correspond to the specimen:
– the reproduction is decisive. yes no n/a
– the specimen is decisive. yes no n/a

- the applicant is asked for clarification. yes no n/a
- other: _____

Q17: The use of specimen is:

- largely made by applicants. yes no n/a

If yes, % of applications accompanied by specimen is:

- rarely made by applicants. yes no n/a

If yes, % of applications accompanied by specimen is _____

(d) Indication of Products

Q18: An indication of the product or products which constitute the industrial design or in relation to which the industrial design is to be used. yes no n/a

If no, please indicate whether this means that an industrial design could be applied for in the abstract. yes no n/a

Q19: An indication of the class (or sub-class) of the Locarno Classification for which the design(s) is (are) registered, is required. yes no n/a

(e) Multiple Design Application

Q20: The application may include several industrial designs. yes no n/a

Q21: The number of industrial designs that may be included is limited. yes no n/a

If yes, please indicate the maximum number of industrial designs: _____

Q22: The industrial designs that are included in the same application must:

- belong to the same class of the Locarno Agreement. yes no n/a
- belong to the same set or composition of items. yes no n/a
- conform to a requirement of unity of design. yes no n/a
- conform to a requirement of unity of production. yes no n/a
- conform to a requirement of unity of use. yes no n/a

Q23: The use of multiple application is:

- largely made by applicants. yes no n/a

If yes, % of applications that contain more than one design is:

- rarely made by applicants. yes no n/a

If yes, % of applications that contain more than one design is: _____

(f) Claim

Q24: The application must contain one or several claims. yes no n/a

Q25: The number of claims is limited. yes no n/a

If yes, please indicate the permitted number of claims: _____

Q26: The claim may generally refer to:

- the reproduction or the specimen of the industrial design (“the design as shown”). yes no n/a
- additional descriptions (“the design as shown and described”). yes no n/a

(g) Creator of Design

(i) *General requirements*

Q27: The application must contain:

- indications allowing the identity of the creator to be established. yes no n/a
- a statement that the creator believes himself or herself to be the creator of the industrial design. yes no n/a
- the signature of the creator. yes no n/a
- indications allowing the identity of the owner of the industrial design to be established. yes no n/a
- a signature of the owner of the industrial design. yes no n/a

Q28: Instead of the creator’s signature, the application may contain:

- the signature of the creator’s representative. yes no n/a
- other evidence of the creator’s consent. yes no n/a

Q29: The creator of the industrial design must be a natural person. yes no n/a

(ii) *Requirement of filing in the name of the creator*

Q30: The application must be filed:

- in the name of the creator. yes no n/a
- in the name of the owner. yes no n/a

Q31: In case the applicant is not the creator, the application must contain:

- a statement of assignment. yes no n/a
- other evidence of assignment. yes no n/a

(h) Filing Date

Q32: For a filing date to be accorded, the following indications and elements are required:

- a request that the registration of an industrial design is sought. yes no n/a
- indications allowing the identity of the applicant to be established. yes no n/a
- indications allowing the applicant or its representative, if any, to be contacted. yes no n/a
- a sufficiently clear reproduction of the industrial design. yes no n/a
- a description of characteristic features. yes no n/a
- a specimen of the industrial design. yes no n/a

- a sufficiently clear indication of the product(s) which constitute the industrial design or in relation to which the industrial design is to be used. yes no n/a
- a claim. yes no n/a
- indications allowing the identity of the creator to be established. yes no n/a
- indications allowing the creator or its representative, if any, to be contacted. yes no n/a
- the payment of a fee. yes no n/a
- other: _____

Q33: If the application does not contain all indications and elements required for a filing date to be accorded, the applicant may: complement the application within a given time limit. If yes, please specify the time limit: _____ months. yes no n/a

- Q34: The application may contain one or several priority claims. If yes, the claim:
- must be made in the application. yes no n/a
 - may be made in the application or later within a certain limit. yes no n/a
 - must be accompanied with supporting documents. yes no n/a
 - may be accompanied with supporting documents. yes no n/a

II. EXAMINATION AND OPPOSITION

(a) Examination

Q35: The Office examines industrial design applications only for compliance with formal requirements. yes no n/a

- Q36: The Office examines industrial design applications only for compliance with substantive requirements:
- in all cases. yes no n/a
 - in respect of specific designs. yes no n/a
 - in respect of specific products. yes no n/a

- Q37: As to formal requirements, the Office examines whether the industrial design application:
- contains a request that the registration of an industrial design is sought yes no n/a
 - indicates the identity of the applicant. yes no n/a
 - provides the contact details of the applicant or its representative. yes no n/a
 - indicates an address for service. yes no n/a
 - contains a reproduction of the industrial design in a permissible form. yes no n/a

- contains the required number of reproductions. yes no n/a
- contains a sufficient number of views for the industrial design to be fully disclosed. yes no n/a
- does not exceed the maximum number of views permitted. yes no n/a
- contains the required number of perspective views. yes no n/a
- contains a description of the industrial design. yes no n/a
- contains a description of the characteristic features of the industrial design. yes no n/a
- contains a design specimen. yes no n/a
- contains a correct indication of the product or products to which the industrial design is applied. yes no n/a
- contains a correct indication of the class (or sub-class) of the Locarno Classification. yes no n/a
- complies with the requirements that apply to a multiple design application. yes no n/a
- contains a correct claim. yes no n/a
- does not exceed the maximum number of claims. yes no n/a
- complies with the requirements concerning the design creator. yes no n/a
- is accompanied by the required fee. yes no n/a

Q38: As to substantive requirements, the Office examines whether the industrial design for which registration is sought:

- is an independent creation. yes no n/a
- is new. yes no n/a
- is original. yes no n/a
- differs significantly from known designs. yes no n/a
- is essentially dictated by technical or functional considerations. yes no n/a
- can be easily created by combining two or more known designs. yes no n/a
- is contrary to morality or public order. yes no n/a
- conflicts with official signs or emblems protected under Article 6ter of the Paris Convention, or other international conventions or according to national law. yes no n/a
- fulfils the notion of “design” established by the legal framework. yes no n/a
- has individual character. yes no n/a

Q39: When examining the industrial design, the Office takes into account:

- prior industrial designs or industrial design applications. yes no n/a
- copyright in literary or artistic works. yes no n/a
- prior trademarks or trademark applications. yes no n/a
- well-known marks. yes no n/a
- geographical indications or appellations of origin. yes no n/a
- the real or assumed name, likeness or portrait of persons. yes no n/a

- Q40: When examining an industrial design as to novelty or originality, the Office takes into account the situation:
- in the country in which registration is sought (national). yes no n/a
 - worldwide (international). yes no n/a
 - in a specific group of countries (regional). yes no n/a
- If the novelty/originality standard is regional, please indicate the countries considered: _____
- Q41: On average, the examination by the Office is carried out in about: _____ weeks.
- (b) Opposition Procedure Before the Office or any other
Judicial or Quasi-judicial Body
- Q42: The registration system provides for:
- pre-registration opposition proceedings. yes no n/a
 - post-registration opposition proceedings. yes no n/a
- Q43: In case of pre-registration opposition, the opposition procedure takes place:
- after the examination of the application. yes no n/a
 - during the examination of the application. yes no n/a
- Q44: An opposition can be lodged by:
- any person. yes no n/a
 - any person having a legitimate interest. yes no n/a
 - a circle of persons defined in national law. yes no n/a
- Q45: The opposition period is: _____ months.
This period can be extended. yes no n/a
If yes, please specify the maximum period of extension: _____ months.
- Q46: As to substantive requirements, the opponent may assert that the industrial design:
- is not an independent creation. yes no n/a
 - is not new. yes no n/a
 - is not original. yes no n/a
 - does not differ significantly from known designs. yes no n/a
 - is essentially dictated by technical or functional considerations. yes no n/a
 - can be easily created by combining two or more known designs. yes no n/a
 - is contrary to morality or public order. yes no n/a
 - conflicts with official signs or emblems protected under Article 6ter of the Paris Convention, other international conventions or according to national law. yes no n/a
 - should not be registered for other reasons, namely: _____
 - does not fulfill the notion of “design” under the applicable law. yes no n/a
 - does not have individual character. yes no n/a
- Q47: The opponent may base the opposition on a conflict with:
- a prior industrial design or industrial design application. yes no n/a
 - copyright in a literary or artistic work. yes no n/a

- a prior trademark or trademark application. yes no n/a
- a well-known mark. yes no n/a
- a geographical indication or appellation of origin. yes no n/a
- a person's real or assumed name, likeness or portrait. yes no n/a

Q48: Oppositions are examined by:

- a single examiner. yes no n/a
- a collegial body of examiners. yes no n/a
- an opposition board including a judge. yes no n/a
- other: _____

Q49: On average, the opposition procedure is carried out in about: _____ months.

Q50: Opposition decisions are subject to an appeal within the Office. If yes, the % of opposition decisions that are appealed is: _____

III. PUBLICATION AND DEFERMENT OF PUBLICATION

(a) Publication

Q51: The industrial design is published:

- upon the filing of the application. yes no n/a
- before the examination by the Office. yes no n/a
- after the examination by the Office. yes no n/a
- after registration. yes no n/a
- in stages. yes no n/a

Q52: The industrial design is published:

- in a paper gazette. yes no n/a
- on compact disc or DVD. yes no n/a
- on the website of the Office. yes no n/a

Q53: The publication is issued:

- weekly. yes no n/a
- every: _____ weeks.
- times per month: _____
- monthly. yes no n/a
- every: _____ months.
- daily. yes no n/a

Q54: In case the application contains a specimen of the industrial design but no reproduction, the Office requests a reproduction for the purpose of publication. yes no n/a

(b) Deferment of Publication

Q55: The applicant can request the deferment of publication. If yes, please specify the maximum period of deferment: _____ months.

- Q56: Deferment of publication is:
– requested largely. yes no n/a
If yes, the % of designs that are subject to deferment of publication is: _____
– requested rarely. yes no n/a
If yes, the % of designs are subject to deferment of publication is: _____

IV. MANAGEMENT OF REGISTRATIONS

(a) Renewal

- Q57: According to the applicable law, the registration of an industrial design is effected for:
– a single term of: _____ years.
– an initial term of _____ years and _____ additional terms of _____ years.
- Q58: Prior to the expiry of the current term of registration, the Office sends a notice of expiry indicating the date of expiry of the registration. yes no n/a
If yes, please specify: the notice of expiry will be sent _____ months before the expiry of the term of registration.
- Q59: The renewal of an industrial design registration may be effected by:
– sending a written request and paying a fee. yes no n/a
– submitting an official form and paying a fee. yes no n/a
– paying a fee without sending any request. yes no n/a
- Q60: In case the registration concerns multiple industrial designs, the renewal may be limited to certain industrial designs specified in the request. yes no n/a
- Q61: In case the payment of the prescribed renewal fee is not made until the date on which the renewal is due, it may still be made within a period of grace. yes no n/a
– If yes, please specify the length of the period of grace: _____ months.
– If the payment is not made during the grace period, is it possible to re-established the registration after it was canceled? yes no n/a
- Q62: The % rate of registered designs that are renewed is: _____

(b) Licenses

- Q63: With regard to registered industrial designs, the applicable law provides for the recordal of licenses. yes no n/a
- Q64: The recordal of the license is a condition for the license to take effect between the parties to the license. yes no n/a
- Q65: The licensee can bring infringement actions in his/her own name only if the license is recorded. yes no n/a

Q66: In the case of a transfer of the registered industrial design, the transferee remains bound by an existing license only if the license is recorded. yes no n/a

Q67: With regard to the recordal of a license, the following evidence is accepted by the Office:

- an extract of the license contract indicating the parties and the rights being licensed. yes no n/a
- a certified extract of the license contract indicating the parties and the rights being licensed. yes no n/a
- an uncertified statement of license signed by both the holder and the licensee. yes no n/a

Q68: In the request for recordal of a license, the legal cause of the license must be indicated. yes no n/a

Q69: The recordal of a license is necessary:

- to maintain the protection of the industrial design concerned. yes no n/a
- to maintain the registration of the industrial design concerned. yes no n/a
- for the licensee to initiate infringement proceedings with regard to the industrial design concerned. yes no n/a
- for the licensee to join infringement proceedings initiated by the holder of the industrial design concerned. yes no n/a
- for the licensee to receive damages resulting from the infringement of the industrial design concerned. yes no n/a

(c) Fee Structure

(i) *Filing, examination and registration*

Q70: The following stages of the registration procedure require the payment of a fee:

- the filing of an industrial design application. yes no n/a
- the examination of the application by the Office. yes no n/a
- the publication of the industrial design. yes no n/a
- the deferment of publication. yes no n/a
- the extension of time limits. yes no n/a
- the issuance of a registration certificate. yes no n/a

If fees are due, please indicate whether these fees depend on:

- the number of industrial designs. yes no n/a
- the number of reproductions. yes no n/a
- the number of classes claimed. yes no n/a
- the filing of a specimen. yes no n/a

(ii) *Opposition*

Q71: The following stages of the opposition procedure require the payment of a fee:

- the lodging of an opposition. yes no n/a
- the extension of time limits. yes no n/a
- oral hearings. yes no n/a

If fees are due, please indicate whether these fees depend on the number of industrial designs opposed. yes no n/a

(iii) *Renewal*

- Q72: If the applicable law provides for the renewal of industrial design registrations, please indicate whether the renewal fee depends on:
- the number of industrial designs to be renewed. yes no n/a
 - the number of reproductions. yes no n/a
 - the number of classes claimed. yes no n/a
 - the fact that a specimen was filed. yes no n/a
- Q73: If the applicable law provides for a period of grace with regard to the payment of the renewal fee, please indicate whether the payment of the renewal fee during the grace period is subject to the payment of a surcharge. yes no n/a
If yes, please specify whether the surcharge depends on:
- the number of industrial designs to be renewed. yes no n/a
 - the number of reproductions. yes no n/a

(iv) *Recordal of licenses*

- Q74: If the applicable law provides for the recordal of a license, please indicate whether the recordal requires the payment of a fee. yes no n/a
If yes, please specify whether the fee depends on the number of industrial designs covered by the license. yes no n/a

V. COMMUNICATION WITH THE OFFICE

(a) Means of Communication

- Q75: The Office accepts communications:
- on paper. yes no n/a
 - filed by electronic means of transmittal, such as fax. yes no n/a
 - filed in electronic form, for instance, via the Internet. yes no n/a
- Q76: Applications can be filed:
- on paper. yes no n/a
 - on paper accompanied by reproductions on an electronic support. yes no n/a
 - by electronic means of transmittal. yes no n/a
 - in electronic form (e-filing). yes no n/a

(b) Signature and Authentication

(i) *Communications on paper*

- Q77: A communication on paper must:
- be signed by the applicant, holder or other interested person. yes no n/a
 - be supplemented with evidence where the Office doubts the authenticity of the signature. yes no n/a
 - generally be certified. yes no n/a

- only be certified in special cases, such as the surrender of a registration. yes no n/a

(ii) *Use of electronic means of transmittal*

Q78: Communications filed by electronic means of transmittal will be considered signed if a graphic representation of a signature appears on the communication. yes no n/a

Q79: The original of a communication filed by electronic means of transmittal must be filed with the Office. yes no n/a
If yes, please specify the applicable time limit: _____ months.

(iii) *Communications filed in electronic form*

Q80: Communications filed in electronic form may be authenticated through a system of electronic authentication. yes no n/a

Q81: An electronically filed application must be accompanied by a reproduction of the industrial design:
– in JPEG format. yes no n/a
– in any other format (please specify): _____

Q82: In case of a multiple application filed by electronic means, there is a limit to the total number of designs. yes no n/a
If yes, what is the limit? _____

(c) Relief Measures

Q83: According to the applicable law, one or more of the following relief measures are available in a case of failure to comply with time limit before the Office:
– extension of the time limit concern. yes no n/a
– continued processing. yes no n/a
– reinstatement of rights. yes no n/a

VI. PUBLICATION OF THE QUESTIONNAIRE

Q84: Returns to this questionnaire may be published on the WIPO website yes no

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