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STANDING COMMITTEE ON THE LAW OF PATENTS

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MODEL INTERNATIONAL FORMS

prepared by the International Bureau

INTRODUCTION

The present document, which supplements the revised draft Treaty and Regulations contained in document SCP/1/3, contains draft Model International Forms and Notes on those Forms.

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MODEL INTERNATIONAL FORM N° 1
APPLICATION FOR THE GRANT OF A PATENT

submitted to the Office of

REQUEST

0.1	FOR OFFICE USE ONLY	
0.2	Reference indication of applicant: ¹	
0.3	Reference indication of representative: ¹	

1.	Petition for the Granting of a Patent Grant of a patent for invention is hereby requested.	
2.	Title of the Invention	
3. 3.1 3.1A 3.1A.1 3.1A.2 3.1B 3.1B.1	Applicant(s) Name Where the applicant is a natural person, family or principal name: ² given or secondary name(s): ² Where the applicant is a legal entity, entity's full official designation:	

¹ Any reference indication allotted by the applicant and/or any reference indication allotted by the representative to the present application may be given in this space.

² The name to be indicated under 3.1A is either the full name of the applicant or the name customarily used by the applicant.

3.2	Address	
3.2.1	Street address:	
3.2.2	City:	
3.2.3	State (province, county):	
3.2.4	Postal Code:	
3.2.5	Country:	
3.2.6	Telephone No.: ³	
3.2.7	Telefacsimile No.: ³	
3.2.8	E-mail address: ³	
3.3	State of nationality:	
3.4	State of domicile/establishment: ⁴	
3.5	Registration number or other indication: ⁵	
3.6	Where the applicant is not the inventor, indicate the basis of the applicant's right to file the application or his entitlement to receive the patent applied for.	
3.7	Is there more than one applicant ⁶ ?	Yes / No [If "Yes", list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 3.1 to 3.6.]

[Footnote continued from previous page]

³ Even where the Office elects to request this information, the applicant shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

⁴ "State of establishment" means a State in which the applicant has a real and effective industrial or commercial establishment, if any.

⁵ Leave blank if the applicant does not have, or has not yet been allotted, a registration number or other indication or if the registration number or other indication is not yet known to the applicant.

⁶ Where there are several applicants with different addresses and there is no representative under item 5 or address for service under item 6, the address for correspondence should be underlined.

<p>4.</p> <p>4.1</p> <p>4.2</p> <p>4.2.1</p> <p>4.2.2</p> <p>4.3</p> <p>4.3.1</p> <p>4.3.2</p> <p>4.3.3</p> <p>4.3.4</p> <p>4.3.5</p> <p>4.4</p>	<p>Inventor(s)</p> <p>Is the inventor the same as the applicant (or are the inventors the same as all the applicants)? If “No”, fill in items 4.2 to 4.4.</p> <p>Name</p> <p>Family or principal name:⁷</p> <p>Given or secondary name(s):⁷</p> <p>Address</p> <p>Street address:</p> <p>City:</p> <p>State (province, county):</p> <p>Postal Code:</p> <p>Country:</p> <p>Is there more than one inventor?</p>	<p style="text-align: center;">Yes / No</p> <p style="text-align: center;">Yes / No</p> <p>[If “Yes”, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 4.2 and 4.3.]</p>
<p>5.</p> <p>5.1</p> <p>5.1A</p> <p>5.1A.1</p> <p>5.1A.2</p> <p>5.1B</p> <p>5.1B.1</p> <p>5.2</p> <p>5.2.1</p> <p>5.2.2</p> <p>5.2.3</p> <p>5.2.4</p> <p>5.2.5</p> <p>5.2.6</p>	<p>Representative</p> <p>Name</p> <p>Where the representative is a natural person,</p> <p>family or principal name:</p> <p>given or secondary name(s):</p> <p>Where a representative is a legal entity,</p> <p>entity’s official designation:</p> <p>Address</p> <p>Street address:</p> <p>City:</p> <p>State (province, county):</p> <p>Postal Code:</p> <p>Country:</p> <p>Telephone No.:⁸</p>	

⁷ The name to be indicated is either the full name of the inventor or the name customarily used by the inventor.

⁸ Even where the Office elects to request this information, the representative shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

5.2.7	Telefacsimile No.: ⁸	
5.2.8	E-mail address: ⁸	
5.3	Address for service	
5.3.1	Street address:	
5.3.2	City:	
5.3.3	State (province, county):	
5.3.4	Postal Code:	
5.3.5	Country:	
5.4	Registration number or other indication:	
5.5	The above representative is hereby appointed, in this request Form, to act on behalf of the applicant(s) before the Office.	Yes / No
5.5.1	Signature or seal of the applicant(s):	
5.5.2	Date of signature or of seal:	
5.6	The above representative is/has been appointed, in a separate power of attorney, to act on behalf of the applicant(s) before the Office.	Yes / No
5.6.1	Power of attorney is attached.	Yes / No
5.6.2	Power of attorney is already in the possession of the Office.	Yes / No
5.6.2.1	Number or other indication allotted to power of attorney or number of other application in respect of which the power of attorney was filed: ⁹	
5.7	Is there more than one representative? ¹⁰	Yes / No
		[If “Yes”, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 5.1 to 5.6.2.1]

[Footnote continued from previous page]

⁹ Leave blank if the power of attorney or other application has not, or has not yet, been allotted a number or other indication, or if the number or other indication is not yet known to the applicant or the representative.

¹⁰ Where there are several representatives with different addresses, the address for correspondence must be underlined.

6.	Address for Service of the Applicant¹¹	
6.1	Name of Applicant	
6.2	Address	
6.2.1	Street address:	
6.2.2	City:	
6.2.3	State (province, county):	
6.2.4	Postal Code:	
6.2.5	Country:	
7.	Divisional Application, Application for a Continuation or a Continuation-in-Part or Application Otherwise Associated with One or More Other Applications	
	Where the application is a divisional application, an application for a continuation or a continuation-in-part or is to be otherwise associated with one or more other applications filed with or patents granted by the same Office, fill in items 7.1 to 7.4.	
7.1	Type of application applied for: ¹²	
7.2	Filing date of the other application or patent:	
7.3	Application or patent number of the other application or patent (if available):	
7.4	Is the application to be associated with more than one other application or patent granted by the same Office?	<p style="text-align: center;">Yes / No</p> <p>[If “Yes”, list them on an additional sheet and indicate, in respect of each of them, the data referred to in items 7.1 to 7.3.]</p>

¹¹ An Office of a Contracting Party may require that an address for service be indicated where no representative is indicated in item 5 and the applicant does not have, or has not indicated, a domicile or a real and effective industrial or commercial establishment on the territory of that Contracting Party.

¹² The type of application may be, for example, a divisional application, a conversion application, an application for a continuation or a continuation-in-part on an earlier application or an application for a patent of addition.

8. 8.1	National Security Does the application contain information the disclosure of which may require authorization for reasons of national security?	Yes / No
9.	Designation of States Where the application is filed under a treaty providing for the grant of regional patents in a designated State, designated State(s) in respect of which the regional patent is applied for.	
10. 10.1 10.2 10.3 10.4	Priority Claim The applicant hereby claims the priority of the following earlier application: Country or Office with which the earlier application was filed: ¹³ Filing date of earlier application: Application number of earlier application (if available): Is the priority of more than one earlier application claimed?	Yes / No [If “Yes”, list them on an additional sheet and indicate, in respect of each of them, the information referred to in items 10.1 to 10.3.]

¹³ Where the earlier application is a national application, the name of the country for which that application was filed must be indicated. Where the earlier application is a regional application, or an international application filed under the Patent Cooperation Treaty (PCT), the name of the Office with which that application was filed must be indicated. In addition, where the earlier application is a regional application and all countries party to the regional patent treaty are not party to the Paris Convention, the name of at least one country, party to that Convention for which that application was filed must be indicated.

<p>11.</p> <p>11.1</p> <p>11.2</p> <p>11.3</p> <p>11.4</p> <p>11.5</p> <p>11.6</p> <p>11.7</p>	<p>Reference to Previously Filed Application(s) Replacing the Description and Drawings</p> <p>Where the applicant refers to one or more previously filed application replacing the description and drawings, indicate the following data concerning the previous application(s):</p> <p>Office with which the previous application was filed:</p> <p>Filing date:</p> <p>Application number (if available):</p> <p>Name of applicant:</p> <p>[Title:]</p> <p>Language:</p> <p>Does the applicant refer to more than one previously filed application replacing the description and any drawings?</p>	<p style="text-align: center;">Yes / No</p> <p>[If “Yes”, list them on an additional sheet and indicate, in respect of each of them, the data referred to in items 11.1 to 11.6.]</p>
<p>12.</p>	<p>Description in a Language Not Accepted by the Office</p> <p>The applicant hereby states that the application contains a description in a language not accepted by the Office.</p>	<p style="text-align: center;">Yes / No</p>
<p>13.</p>	<p>Oath or Declaration as to Inventorship by Inventor¹⁴</p>	
<p>14.</p> <p>14.1</p> <p>14.1.1</p> <p>14.1.2</p> <p>14.2</p> <p>14.3</p> <p>14.4</p>	<p>Signature or Seal</p> <p>Name of the natural person who signs or whose seal is used</p> <p>Family or principal name:</p> <p>Given or secondary name(s):</p> <p>Capacity of the signatory:</p> <p>Date of signature or of sealing:</p> <p>Signature or seal:</p>	

¹⁴ If there is more than one inventor, the oath or declaration by each inventor should be given on an additional sheet.

17.	Attachments¹⁵	
17.1	Power of attorney:	
17.2	Copy of general power of attorney:	
17.3	Statement explaining lack of signature or seal: ¹⁶	
17.4	Priority document(s):	
17.5	Fee calculation sheet:	
17.6	Separate indication concerning deposited microorganisms:	
17.7	Nucleotide and/or amino acid sequence listing:	
17.8	A copy, a certified copy or a translation of the previously filed application(s) replacing the description and drawings:	
17.9	Other (specify):	

¹⁵ Mark a cross "X" in the right-side column if there are any attachments under subitem 17.1 to 17.8. Where there are any other attachments, they should be specified under subitem 17.9.

¹⁶ This subitem would apply to the cases that, where two or more applicants file an application to the Office whose national law requires that applications be filed by the inventor and where an applicant who is an inventor refused to sign the request or could not be found or reached after diligent effort, the Office permits the request not being signed by that applicant if it is signed by at least one applicant and a statement is furnished explaining the lack of the signature concerned.

MODEL INTERNATIONAL FORM N° 2
POWER OF ATTORNEY

for procedures before the Office of

0.1	FOR OFFICE USE ONLY	
0.2	Reference indication of person making the appointment: ¹	

1.	Appointment The undersigned hereby appoints as his representative the person identified in item 3, below.
2.	Name of the Person Making the Appointment²

¹ Any reference indication allotted by the person making the appointment to this power of attorney may be given in this space.

² Where the person making the appointment is the applicant (or one of the applicants), the name to be indicated is that of that applicant as indicated in the application(s) or other form(s) submitted to the Office to which this power relates. If the said person is the owner (or one of the owners), the name to be indicated is that of that owner, as appearing in the records of the Office. If the said person is an interested person other than an applicant or owner, the name to be indicated is the full name of that person or the name customarily used by that person.

<p>3.</p> <p>3.1</p> <p>3.1A</p> <p>3.1A.1</p> <p>3.1A.2</p> <p>3.1B</p> <p>3.1B.1</p> <p>3.2</p> <p>3.2.1</p> <p>3.2.2</p> <p>3.2.3</p> <p>3.2.4</p> <p>3.2.5</p> <p>3.2.6</p> <p>3.2.7</p> <p>3.2.8</p> <p>3.3</p>	<p>Representative</p> <p>Name</p> <p>Where the representative is a natural person,</p> <p>family or principal name:</p> <p>given or secondary name(s):</p> <p>Where the representative is a legal entity,</p> <p>entity's full official designation:</p> <p>Address</p> <p>Street address:</p> <p>City:</p> <p>State (province, county):</p> <p>Postal Code:</p> <p>Country:</p> <p>Telephone No.:³</p> <p>Telefacsimile No.:³</p> <p>E-mail address:³</p> <p>Registration number or other indication:</p>	
<p>4.</p> <p>4.1</p> <p>4.2</p> <p>4.2.1</p>	<p>Application(s) and/or Patent(s) Concerned</p> <p>This power of attorney concerns all existing and future applications and/or patents of the person making the appointment, subject to any exception indicated on an additional sheet.</p> <p>This power of attorney concerns the following application(s) and/or patent(s):</p> <p>the application(s) having the following application number(s) as well as any patent(s) resulting therefrom:⁴</p>	<p style="text-align: center;">Yes / No</p> <p style="text-align: center;">Yes / No</p> <p>[If "Yes", fill in items 4.2.1 and 4.2.2.]</p>

³ Even where the Office elects to request this information, the representative shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

⁴ Where the application number of an application has not yet been issued or is not known to the applicant or his representative, that application may be identified by furnishing either the provisional application number, if any, given by the Office, or a copy of the request part of the application, or a reference number given to the application by the applicant or other person

4.2.2	the patent(s) having the following patent number(s):	
4.3	Are there any additional sheets indicating other application number(s) or patent number(s) under item 4.2.1 or 4.2.2?	Yes / No
5. 5.1 5.1.1 5.1.2 5.2 5.3 5.4	Signature or Seal Name of the natural person who signs or whose seal is used Family or principal name: Given or secondary name(s): Date of signature or of sealing: Signature or seal: Is there more than one person signing or whose seal is used?	 Yes / No [If “Yes”, list them on an additional sheet and indicate, in respect of each of them, the data referred to in items 5.1 to 5.3.]
6.	Additional Sheets and Attachments Indicate the total number of additional sheets and attachments, if any:	

[Footnote continued from previous page]

submitting the application or his representative, along with the name and address of the applicant, the title of the invention and the date on which the application was sent to the Office.

MODEL INTERNATIONAL FORM N° 3
REQUEST FOR RECORDAL OF CHANGE(S)
IN NAME(S) OR ADDRESS(ES)

in respect of patent application(s) and/or patent(s)

submitted to the Office of

0.1	FOR OFFICE USE ONLY	
0.2	Reference indication of applicant and/or owner: ¹	
0.3	Reference indication of representative ¹ :	

1.	Request for Recordal	
	The recordal of the change(s) indicated in the present request is hereby requested.	
2.	Application(s) and/or Patent(s) Concerned	
	The present request concerns the following application(s) and/or patent(s):	
2.1	Application number(s): ²	
2.2	Patent number(s):	

¹ Any reference indication allotted by the applicant, the owner and/or the representative to the present request may be given in this space.

² Where the application number of an application has not yet been issued or is not known to the applicant or his representative, that application may be identified by furnishing either the provisional application number, if any, given by the Office, or a copy of the request part of the application, or a reference number given to the application by the applicant or his representative, along with the name and address of the applicant, the title of the invention and the date on which the application was sent to the Office.

2.3	Are there any additional sheets indicating other application number(s) or patent number(s) under item 2.1 or 2.2?	Yes / No
3.	Applicant(s) and/or Owner(s)	
3.1	Name	
3.1A	Where the applicant and/or owner is a natural person,	
3.1A.1	family or principal name: ³	
3.1A.2	given or secondary name(s): ³	
3.1B	Where the applicant and/or owner is a legal entity,	
3.1B.1	entity's full official designation:	
3.2	Address	
3.2.1	Street address:	
3.2.2	City:	
3.2.3	State (province, county):	
3.2.4	Postal Code:	
3.2.5	Country:	
3.2.6	Telephone No.: ⁴	
3.2.7	Telefacsimile No.: ⁴	
3.2.8	E-mail address: ⁴	
3.3	Is there more than one applicant?	Yes / No [If "Yes", list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 3.1 to 3.2.8.]

³ The names to be indicated under 3.1A are those which appear in the records of the Office in respect of the application(s) and/or patent(s) to which the present request relates.

⁴ Even where the Office elects to request this information, the applicant and/or owner shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

<p>4.</p> <p>4.1</p> <p>4.1A</p> <p>4.1A.1</p> <p>4.1A.2</p> <p>4.1B</p> <p>4.1B.1</p> <p>4.2</p> <p>4.2.1</p> <p>4.2.2</p> <p>4.2.3</p> <p>4.2.4</p> <p>4.2.5</p> <p>4.2.6</p> <p>4.2.7</p> <p>4.2.8</p> <p>4.3</p> <p>4.3.1</p> <p>4.3.2</p> <p>4.3.3</p> <p>4.3.4</p> <p>4.3.5</p> <p>4.4</p> <p>4.5</p> <p>4.6</p>	<p>Representative</p> <p>Name</p> <p>Where the representative is a natural person,</p> <p>family or principal name:</p> <p>given or secondary name(s):</p> <p>Where the representative is a legal entity,</p> <p>entity's full official designation:</p> <p>Address</p> <p>Street address:</p> <p>City:</p> <p>State (province, county):</p> <p>Postal Code:</p> <p>Country:</p> <p>Telephone No.:⁵</p> <p>Telefacsimile No.:⁵</p> <p>E-mail address:⁵</p> <p>Address for service</p> <p>Street address:</p> <p>City:</p> <p>State (province, county):</p> <p>Postal Code:</p> <p>Country:</p> <p>Registration number or other indication:</p> <p>Number allotted to the power of attorney:⁶</p> <p>Is there more than one representative?</p>	<p style="text-align: center;">Yes / No</p> <p>[If "Yes", list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 4.1 to 4.5]</p>
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⁵ Even where the Office elects to request this information, the representative shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

⁶ Leave blank where the power of attorney has not, or has not yet, been allotted a number or where the number is not yet known to the applicant and/or owner or the representative.

<p>5.</p> <p>5.1</p> <p>5.2</p> <p>5.2.1</p> <p>5.2.2</p> <p>5.2.3</p> <p>5.2.4</p> <p>5.2.5</p>	<p>Address for Service of the Applicant and/or Owner⁷</p> <p>Name of applicant and/or owner</p> <p>Address</p> <p>Street address:</p> <p>City:</p> <p>State (province, county):</p> <p>Postal Code:</p> <p>Country:</p>	
<p>6.</p> <p>6.1</p> <p>6.2</p> <p>6.3</p>	<p>Indication of the Change(s)</p> <p>Data as currently recorded:⁸</p> <p>New data to be recorded:⁸</p> <p>Are there any additional sheets indicating the data as currently recorded and the new data to be recorded under items 6.1 and 6.2?</p>	<p>Yes / No</p>
<p>7.</p> <p>7.1</p> <p>7.1.1</p> <p>7.1.2</p> <p>7.2</p> <p>7.3</p> <p>7.4</p> <p>7.5</p>	<p>Signature or Seal</p> <p>Name of the natural person who signs or whose seal is used</p> <p>Family or principal name:</p> <p>Given or secondary name(s):</p> <p>Capacity of the signatory:</p> <p>Date of signature or of sealing:</p> <p>Signature or seal:</p> <p>Is there more than one person signing or whose seal is used?</p>	<p>Yes / No</p> <p>[If “Yes”, list them on an additional sheet and indicate, in respect of each of them, the data referred to in items 7.1 to 7.4.]</p>

⁷ An Office of a Contracting Party may require that an address for service be indicated where no representative is indicated in item 4 and where the applicant does not have, or has not indicated, a domicile or a real and effective industrial or commercial establishment on the territory of that Contracting Party.

⁸ Indicate the name(s) and/or address(es) currently recorded and to be recorded as a result of the requested change. If the spaces are insufficient, indicate on an additional sheet the data referred to in items 6.1 and 6.2.

8. 8.1 8.2	Fee Currency and amount of the fee paid in connection with the present request for recordal of change(s): Method of payment:	
9.	Additional Sheets Indicate the total number of additional sheets, if any:	
10. 10.1 10.2	Attachments⁹ Where the request relates to more than one application and/or patent, separate copy (copies) of the request for each application and/or patent concerned: Others (specify):	

⁹ Mark a cross "X" in the right-side column if there is an attachment under subitem 10.1. Where there are any other attachments, they should be specified under subitem 10.2.

MODEL INTERNATIONAL FORM N° 4
REQUEST FOR RECORDAL OF CHANGE
IN APPLICANT OR OWNER

in respect of patent application(s) and/or patent(s)

submitted to the Office of

0.1	FOR OFFICE USE ONLY	
0.2	Reference indication of applicant and/or owner: ¹	
0.3	Reference indication of representative: ¹	

1.	Request for Recordal	
	The recordal of change in the person of the applicant or owner indicated in the present request is hereby requested.	
2.	Application(s) and/or Patent(s) Concerned	
	The present request concerns the following application(s) and/or patent(s):	
2.1	Application number(s): ²	
2.2	Patent number(s):	

¹ Any reference indication allotted by the applicant, the owner and/or the representative to the present request may be given in this space.

² Where the application number of an application has not yet been issued or is not known to the applicant or his representative, that application may be identified by furnishing either the provisional application number, if any, given by the Office, or a copy of the request part of the application, or a reference number given to the application by the applicant or his representative, along with the name and address of the applicant, the title of the invention and the date on which the application was sent to the Office.

2.3	Are there any additional sheets indicating any other application number(s) or patent number(s) under item 2.1 or 2.2?	Yes / No
3.	Applicant(s) and/or Owner(s)	
3.1	Name	
3.1A	Where the applicant and/or owner is a natural person,	
3.1A.1	family or principal name: ³	
3.1A.2	given or secondary name(s): ³	
3.1B	Where the applicant and/or owner is a legal entity,	
3.1B.1	entity's full official designation:	
3.2	Address	
3.2.1	Street address:	
3.2.2	City:	
3.2.3	State (province, county):	
3.2.4	Postal Code:	
3.2.5	Country:	
3.2.6	Telephone No.: ⁴	
3.2.7	Telefacsimile No.: ⁴	
3.2.8	E-mail address: ⁴	
3.3	Is there more than one applicant and/or owner affected by the change?	Yes / No [If "Yes", list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 3.1 to 3.2.8.]
3.4	Has the applicant and/or owner (or one of the applicants and/or owners) changed his name(s) and/or address(es) without requesting the recordal of that change?	Yes / No [If "Yes", enclose a document evidencing that the person having transferred the ownership and the applicant and/or owner are the same person.]

³ The names to be indicated under 3.1A are those which appear in the records of the Office in respect of the application(s) and/or patent(s) to which the present request relates.

⁴ Even where the Office elects to request this information, the applicant and/or owner shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

4.	Representative of the Applicant(s) and/or Owner(s)	
4.1	Name	
4.1A	Where the representative is a natural person,	
4.1A.1	family or principal name:	
4.1A.2	given or secondary name(s):	
4.1B	Where the representative is a legal entity,	
4.1B.1	entity's full official designation:	
4.2	Address	
4.2.1	Street address:	
4.2.2	City:	
4.2.3	State (province, county):	
4.2.4	Postal Code:	
4.2.5	Country:	
4.2.6	Telephone No.: ⁵	
4.2.7	Telefacsimile No.: ⁵	
4.2.8	E-mail address: ⁵	
4.3	Address for service	
4.3.1	Street address:	
4.3.2	City:	
4.3.3	State (province, county):	
4.3.4	Postal Code:	
4.3.5	Country:	
4.4	Registration number or other indication:	
4.5	Number allotted to the power of attorney: ⁶	
4.6	Is there more than one representative?	<p style="text-align: center;">Yes / No</p> <p>[If "Yes", list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 4.1 to 4.5]</p>

⁵ Even where the Office elects to request this information, the representative shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

⁶ To be left blank if the power of attorney has not, or has not yet, been allotted a number or if the number is not yet known to the applicant and/or owner or the representative.

<p>5.</p> <p>5.1</p> <p>5.2</p> <p>5.2.1</p> <p>5.2.2</p> <p>5.2.3</p> <p>5.2.4</p> <p>5.2.5</p>	<p>Address for Service of the Applicant and/or Owner⁷</p> <p>Name of applicant and/or owner</p> <p>Address</p> <p>Street address:</p> <p>City:</p> <p>State (province, county):</p> <p>Postal Code:</p> <p>Country:</p>	
<p>6.</p> <p>6.1</p> <p>6.1A</p> <p>6.1A.1</p> <p>6.1A.2</p> <p>6.1B</p> <p>6.1B.1</p> <p>6.2</p> <p>6.2.1</p> <p>6.2.2</p> <p>6.2.3</p> <p>6.2.4</p> <p>6.2.5</p> <p>6.2.6</p> <p>6.2.7</p> <p>6.2.8</p>	<p>New Applicant(s) and/or New Owner(s)⁸</p> <p>Name</p> <p>Where the new applicant and/or new owner is a natural person, family or principal name:⁹</p> <p>given or secondary name(s):⁹</p> <p>Where the new applicant and/or new owner is a legal entity, entity's full official designation:</p> <p>Address</p> <p>Street address:</p> <p>City:</p> <p>State (province, county):</p> <p>Postal Code:</p> <p>Country:</p> <p>Telephone No.:¹⁰</p> <p>Telefacsimile No.:¹⁰</p> <p>E-mail address:¹⁰</p>	

⁷ An Office of a Contracting Party may require that an address for service be indicated where no representative is indicated in item 4 and the applicant does not have, or has not indicated, a domicile or a real and effective industrial or commercial establishment on the territory of that Contracting Party.

⁸ Any existing applicant or owner who remains an applicant or owner after the change should be indicated "new applicant" or "new owner", as the case may be.

⁹ The name to be indicated under 6.1A are either the full name of the new applicant and/or new owner or the name customarily used by the new applicant and/or new owner.

¹⁰ Even where the Office elects to request this information, the new applicant and/or new owner shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

<p>6.3</p> <p>6.4</p> <p>6.5</p> <p>6.6</p>	<p>State of nationality:</p> <p>State of domicile/establishment: ¹¹</p> <p>Date on which the ownership is acquired:</p> <p>Is there more than one new applicant and/or new owner?</p>	<p>Yes / No</p> <p>[If “Yes”, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 6.1 to 6.5¹².]</p>
<p>7.</p> <p>7.1</p> <p>7.1A</p> <p>7.1A.1</p> <p>7.1A.2</p> <p>7.1B</p> <p>7.1B.1</p> <p>7.2</p> <p>7.2.1</p> <p>7.2.2</p> <p>7.2.3</p> <p>7.2.4</p> <p>7.2.5</p> <p>7.2.6</p>	<p>Representative of the New Applicant(s) and/or New Owner(s)</p> <p>Name</p> <p>Where the representative is a natural person,</p> <p>family or principal name:</p> <p>given or secondary name(s):</p> <p>Where the representative is a legal entity,</p> <p>entity’s full designation:</p> <p>Address</p> <p>Street address:</p> <p>City:</p> <p>State (province, county):</p> <p>Postal Code:</p> <p>Country:</p> <p>Telephone No.:¹³</p>	

[Footnote continued from previous page]

¹¹ “Establishment” means a real and effective industrial or commercial establishment.

¹² Where several new applicants and/or new owners are listed on the additional sheet with different addresses and there is no representative under item 7 or address for service under item 8, the address for correspondence must be underlined on the additional sheet.

¹³ Even where the Office elects to request this information, the representative shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

7.2.7	Telefacsimile No.: ¹³	
7.2.8	E-mail address: ¹³	
7.3	Address for service	
7.3.1	Street address:	
7.3.2	City:	
7.3.3	State (province, county):	
7.3.4	Postal Code:	
7.3.5	Country:	
7.4	Registration number or other indication:	
7.5	The above representative is/has been appointed, in a separate power of attorney, to act on behalf of the new applicant(s) and/or new owner(s) before the Office.	Yes / No
7.5.1	Power of attorney is attached.	Yes / No
7.5.2	Power of attorney is already in the possession of the Office.	Yes / No
7.5.2.1	Number or other indication allotted to power of attorney or number of other application in respect of which the power of attorney was filed: ¹⁴	
7.6	Is there more than one representative?	Yes / No
		[If “Yes”, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 7.1 to 7.5.]

[Footnote continued from previous page]

¹⁴ Leave blank if the power of attorney or other application has not, or has not yet, been allotted a number or other indication, or if the number or other indication is not yet known to the new applicant and/or new owner or the representative.

8.	Address for Service of the New Applicant and/or New Owner¹⁵	
8.1	Name of new applicant and/or new owner	
8.2	Address	
8.2.1	Street address:	
8.2.2	City:	
8.2.3	State (province, county):	
8.2.4	Postal Code:	
8.2.5	Country:	
9.	Basis of the Change in Applicant(s) or Owner(s)	
[9.1]	The change in applicant(s) or owner(s) results from a contract[, and recordal is requested by the applicant(s) and/or owner(s)].	Yes / No
9.2	[The change in applicant(s) or owner(s) results from a contract, and recordal is requested by the new applicant(s) and/or new owner(s).] One of the following documents is enclosed:	Yes / No
9.2.1	A copy, certified as being in conformity with the original, of the contract:	Yes / No
9.2.2	An extract, certified as being a true extract, of the contract:	Yes / No
9.2.3	A certificate of transfer:	Yes / No

¹⁵ An Office of a Contracting Party may require that an address for service be indicated where no representative is indicated in item 7 and the new applicant and/or new owner does not have, or has not indicated, a domicile or a real and effective industrial or commercial establishment on the territory of that Contracting Party.

<p>9.3</p> <p>9.3.1</p> <p>9.3.2</p> <p>9.4</p> <p>9.4.1</p>	<p>The change in applicant(s) or owner(s) results from a merger, or from the reorganization or division of a legal entity.</p> <p>A copy, which may be required to be certified as being in conformity with the original, of the following document evidencing the merger, or the reorganization or division of a legal entity, and any attribution of rights involved, is enclosed:</p> <p>An extract from the register of commerce:</p> <p>Other document originating from the competent authority:</p> <p>The change in applicant(s) or owner(s) does not result from a contract, a merger or a reorganization or division of a legal entity, but results from another ground (for example, an operation of law or a court decision). The following document is enclosed:</p> <p>A copy, which may be required to be certified as being in conformity with the original, of a document evidencing the change:</p>	<p style="text-align: center;">Yes / No</p> <p style="text-align: center;">Yes / No</p> <p style="text-align: center;">Yes / No</p> <p style="text-align: center;">Yes / No</p> <p>[If “Yes”, indicate the ground of the requested change.]</p> <p style="text-align: center;">Yes / No</p>
<p>10.</p> <p>10.1</p> <p>10.1.1</p> <p>10.1.2</p> <p>10.2</p> <p>10.3</p> <p>10.4</p> <p>10.5</p>	<p>Signature or Seal</p> <p>Name of the natural person who signs or whose seal is used</p> <p>Family or principal name:</p> <p>Given or secondary name(s):</p> <p>Capacity of the signatory:</p> <p>Date of signature or of sealing:</p> <p>Signature or seal:</p> <p>Is there more than one person signing or whose seal is used?</p>	<p style="text-align: center;">Yes / No</p> <p>[If “Yes”, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 10.1 to 10.4.]</p>
<p>11.</p> <p>11.1</p> <p>11.2</p>	<p>Fee</p> <p>Currency and amount of the fee paid in connection with the present request for recordal of change(s):</p> <p>Method of payment:</p>	

12.	Additional Sheets Indicate the total number of additional sheets, if any:	
13.	Attachments¹⁶	
13.1	Power of attorney (item 7.5.1):	
13.2	Documentation of the basis of change in applicant(s) or owner(s) (item 9.2.1, 9.2.2, 9.2.3, 9.3.1, 9.3.2 or 9.4.1) including a translation, if required:	
13.3	Consent by co-applicant(s) or co-owner(s) in respect of whom there is no change in ownership:	
13.4	Where the request relates to more than one application and/or patent, separate copy (copies) of the request for each application and/or patent concerned:	
13.5	Others (specify):	

¹⁶ Mark a cross "X" in the right-side column if there are any attachments under subitems 13.1 to 13.4. Where there are any other attachments, they should be specified under subitem 13.5.

MODEL INTERNATIONAL FORM N° 5
CERTIFICATE OF TRANSFER

in respect of patent application(s) and/or patent(s)
submitted to the Office of

0.1	FOR OFFICE USE ONLY	
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1.	Certification The undersigned transferor(s) and transferee(s) hereby certify that ownership of the application(s) and/or patent(s) identified below has been transferred by contract.
2.	Application(s) and/or Patent(s) Concerned The present certificate concerns the transfer of the following application(s) and/or patent(s):
2.1	Application number(s): ¹
2.2	Patent number(s):
2.3	Are there any additional sheets indicating other application number(s) or patent number(s) under item 2.1 or 2.2?
	Yes / No

¹ Where the application number of an application has not yet been issued or is not known to the transferor or his representative, that application may be identified by furnishing either the provisional application number, if any, given by the Office, or a copy of the request part of the application, or a reference number given to the application by the applicant or his representative, along with the name and address of the applicant, the title of the invention and the date on which the application was sent to the Office.

<p>3.</p> <p>3.1</p> <p>3.1A</p> <p>3.1A.1</p> <p>3.1A.2</p> <p>3.1B</p> <p>3.1B.1</p> <p>3.2</p> <p>3.2.1</p> <p>3.2.2</p> <p>3.2.3</p> <p>3.2.4</p> <p>3.2.5</p> <p>3.2.6</p> <p>3.2.7</p> <p>3.2.8</p> <p>3.3</p>	<p>Transferor(s)</p> <p>Name</p> <p>Where the transferor is a natural person,</p> <p>family or principal name:²</p> <p>given or secondary name(s):²</p> <p>Where the transferor is a legal entity,</p> <p>entity's full official designation:</p> <p>Address</p> <p>Street address:</p> <p>City:</p> <p>State (province, county):</p> <p>Postal Code:</p> <p>Country:</p> <p>Telephone No.:³</p> <p>Telefacsimile No.:³</p> <p>E-mail address:³</p> <p>Is there more than one transferor?</p>	<p style="text-align: center;">Yes / No</p> <p>[If "Yes", list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 3.1 and 3.2.]</p>
<p>4.</p> <p>4.1</p> <p>4.1A</p> <p>4.1A.1</p> <p>4.1A.2</p> <p>4.1B</p> <p>4.1B.1</p> <p>4.2</p> <p>4.2.1</p> <p>4.2.2</p>	<p>Transferee(s)</p> <p>Name</p> <p>If the transferee is a natural person,</p> <p>family or principal name:⁴</p> <p>given or secondary name(s):⁴</p> <p>Where the transferee is a legal entity,</p> <p>entity's full official designation:</p> <p>Address</p> <p>Street address:</p> <p>City:</p>	

² The name to be indicated under 3.1A is that which appear in the records of the Office in respect of the application(s) and/or patent(s) to which the present request relates.

³ Even where the Office elects to request this information, the transferor shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

⁴ The name to be indicated under 4.1A is either the full name of the transferee or the name customarily used by the transferee.

<p>4.2.3 4.2.4 4.2.5 4.2.6 4.2.7 4.2.8</p>	<p>State (province, county): Postal Code: Country: Telephone No.:⁵ Telefacsimile No.:⁵ E-mail address:⁵</p>	
<p>4.3</p>	<p>Is there more than one transferee?</p>	<p>Yes / No [If “Yes”, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 4.1 and 4.2]</p>
<p>5. 5.1 5.1.1 5.1.1.1 5.1.1.2 5.1.2 5.1.3 5.2 5.2.1 5.2.1.1 5.2.1.2 5.2.2 5.2.3 5.3</p>	<p>Signature or Seal Signature or seal of the transferor Name of the natural person who signs or whose seal is used Family or principal name: Given or secondary name(s): Date of signature or of sealing: Signature or seal: Signature or seal of the transferee Name of the natural person who signs or whose seal is used Family or principal name: Given or secondary name(s): Date of signature or of sealing: Signature or seal: Is there more than one person signing or whose seal is used?</p>	<p style="text-align: center;">Yes / No [If “Yes”, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 5.1 and 5.2].</p>

[Footnote continued from previous page]

⁵ Even where the Office elects to request this information, the transferee shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

6.	Additional Sheets Indicate the total number of additional sheets, if any:	
7.	Attachments⁶	

⁶ Where there are any attachments, they should be specified in the right-side column.

MODEL INTERNATIONAL FORM N° 6

**REQUEST FOR RECORDAL OR CANCELLATION OF RECORDAL
OF A LICENSING AGREEMENT**

in respect of patent application(s) and/or patent(s)

submitted to the Office of

0.1	FOR OFFICE USE ONLY	
0.2	Reference indication of licensor or licensee: ¹	
0.3	Reference indication of representative: ¹	

1.	Request for Recordal or Cancellation of Recordal Check the appropriate box below: <input type="checkbox"/> The recordal of a licensing agreement indicated in the present request is hereby requested. <input type="checkbox"/> The cancellation of recordal of a licensing agreement indicated in the present request is hereby requested.
-----------	---

¹ Any reference indication allotted by the licensor or licensee and/or the representative to the present request may be given in this space.

<p>2.</p> <p>2.1</p> <p>2.2</p> <p>2.3</p>	<p>Application(s) and/or Patent(s) Concerned</p> <p>The present request concerns the following application(s) and/or patent(s):</p> <p>Application number(s):²</p> <p>Patent number(s):</p> <p>Are there any additional sheets indicating other application number(s) or patent number(s) under item 2.1 or 2.2?</p>	<p>Yes / No</p>
<p>3.</p> <p>3.1</p> <p>3.1A</p> <p>3.1A.1</p> <p>3.1A.2</p> <p>3.1B</p> <p>3.1B.1</p> <p>3.2</p> <p>3.2.1</p> <p>3.2.2</p> <p>3.2.3</p> <p>3.2.4</p> <p>3.2.5</p> <p>3.2.6</p> <p>3.2.7</p> <p>3.2.8</p>	<p>Licensor(s)</p> <p>Name</p> <p>Where the licensor is a natural person,</p> <p>family or principal name:³</p> <p>given or secondary name(s):³</p> <p>Where the licensor is a legal entity, entity's full official designation:</p> <p>Address</p> <p>Street address:</p> <p>City:</p> <p>State (province, county):</p> <p>Postal Code:</p> <p>Country:</p> <p>Telephone No.:⁴</p> <p>Telefacsimile No.:⁴</p> <p>E-mail address:⁴</p> <p>Is there more than one licensor?</p>	

² Where the application number of an application has not yet been issued or is not known to the applicant or his representative, that application may be identified by furnishing either the provisional application number, if any, given by the Office, or a copy of the request part of the application, or a reference number given to the application by the applicant or his representative, along with the name and address of the applicant, the title of the invention and the date on which the application was sent to the Office.

³ The name to be indicated under 3.1A is either the full name of the licensor or the name customarily used by the licensor.

⁴ Even where the Office elects to request this information, the licensor shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

3.3		Yes / No [If “Yes”, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 3.1 and 3.2.]
4.	Representative of the Licensor(s)	
4.1	Name	
4.1A	Where the representative is a natural person,	
4.1A.1	family or principal name:	
4.1A.2	given or secondary name(s):	
4.1B	Where a representative is a legal entity,	
4.1B.1	entity’s full official designation:	
4.2	Address	
4.2.1	Street address:	
4.2.2	City:	
4.2.3	State (province, county):	
4.2.4	Postal Code:	
4.2.5	Country:	
4.2.6	Telephone No.: ⁵	
4.2.7	Telefacsimile No.: ⁵	
4.2.8	E-mail address: ⁵	
4.3	Address for service	
4.3.1	Street address:	
4.3.2	City:	
4.3.3	State (province, county):	
4.3.4	Postal Code:	
4.3.5	Country:	
4.4	Registration number or other indication:	
4.5	Number allotted to the power of attorney: ⁶	
4.6	Is there more than one representative?	Yes / No [If “Yes”, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 4.1 to 4.5]
5.	Address for Service of the	

⁵ Even where the Office elects to request this information, the representative shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

⁶ To be left blank if the power of attorney has not, or has not yet, been allotted a number or if the number is not yet known to the licensor or the representative.

<p>5.1</p> <p>5.2</p> <p>5.2.1</p> <p>5.2.2</p> <p>5.2.3</p> <p>5.2.4</p> <p>5.2.5</p>	<p>Licensor⁷</p> <p>Name of the Licensor:</p> <p>Address</p> <p>Street address:</p> <p>City:</p> <p>State (province, county):</p> <p>Postal Code:</p> <p>Country:</p>	
<p>6.</p> <p>6.1</p> <p>6.1A</p> <p>6.1A.1</p> <p>6.1A.2</p> <p>6.1B</p> <p>6.1B.1</p> <p>6.2</p> <p>6.2.1</p> <p>6.2.2</p> <p>6.2.3</p> <p>6.2.4</p> <p>6.2.5</p> <p>6.2.6</p> <p>6.2.7</p> <p>6.2.8</p> <p>6.3</p>	<p>Licensee(s)</p> <p>Name</p> <p>Where the licensee is a natural person,</p> <p>family or principal name:⁸</p> <p>given or secondary name(s):⁸</p> <p>Where the licensee is a legal entity, entity's full official designation:</p> <p>Address</p> <p>Street address:</p> <p>City:</p> <p>State (province, county):</p> <p>Postal Code:</p> <p>Country:</p> <p>Telephone No.:⁹</p> <p>Telefacsimile No.:⁹</p> <p>E-mail address:⁹</p> <p>Is there more than one licensee?</p>	<p style="text-align: center;">Yes / No</p> <p>[If "Yes", list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 6.1 and 6.2]</p>

[Footnote continued from previous page]

⁷ An Office of a Contracting Party may require that an address for service be indicated where no representative is indicated in item 4 and the licensor does not have, or has not indicated, a domicile or a real and effective industrial or commercial establishment on the territory of that Contracting Party.

⁸ The name to be indicated under 6.1A is either the full name of the licensee or the name customarily used by the licensee.

⁹ Even where the Office elects to request this information, the licensee shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

7.	Representative of the Licensee(s)	
7.1	Name	
7.1A	Where the representative is a natural person,	
7.1A.1	family or principal name:	
7.1A.2	given or secondary name(s):	
7.1B	Where the representative is a legal entity,	
7.1B.1	entity's full official designation:	
7.2	Address	
7.2.1	Street address:	
7.2.2	City:	
7.2.3	State (province, county):	
7.2.4	Postal Code:	
7.2.5	Country:	
7.2.6	Telephone No.: ¹⁰	
7.2.7	Telefacsimile No.: ¹⁰	
7.2.8	E-mail address: ¹⁰	
7.3	Address for service	
7.3.1	Street address:	
7.3.2	City:	
7.3.3	State (province, county):	
7.3.4	Postal Code:	
7.3.5	Country:	
7.4	Registration number or other indication:	
7.5	The above representative is/has been appointed, in a separate power of attorney, to act on behalf of the licensee(s) before the Office.	Yes / No
7.5.1	Power of attorney is attached.	Yes / No
7.5.2	Power of attorney is already in the possession of the Office.	Yes / No

¹⁰ Even where the Office elects to request this information, the representative shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

7.5.2.1	Number or other indication allotted to power of attorney or number of other application in respect of which the power of attorney was filed: ¹¹	
7.6	Is there more than one representative?	Yes / No [If “Yes”, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 7.1 to 7.5.]
8.	Address for Service of the Licensee¹²	
8.1	Name of the licensee:	
8.2	Address	
8.2.1	Street address:	
8.2.2	City:	
8.2.3	State (province, county):	
8.2.4	Postal Code:	
8.2.5	Country:	
9.	Documentation Evidencing the Licensing Agreement (this item only applies to the Request for Recordal of a Licensing Agreement)	
[9.1]	[The recordal of a licensing agreement is requested by the licensor(s).]	Yes / No
9.2	[The recordal of a licensing agreement is requested by the licensee(s).]	Yes / No

[Footnote continued from previous page]

¹¹ Leave blank if the power of attorney or other application has not, or has not yet, been allotted a number or other indication, or if the number or other indication is not yet known to the licensee or the representative.

¹² An Office of a Contracting Party may require that an address for service be indicated where no representative is indicated in item 7 and the licensee does not have, or has not indicated, a domicile or a real and effective industrial or commercial establishment on the territory of that Contracting Party.

9.2.1	One of the following documents is enclosed: A copy, certified as being in conformity with the original, of the licensing agreement:	Yes / No
9.2.2	An extract, certified as being a true extract, of the licensing agreement:	Yes / No
10.	Documentation Evidencing the Cancellation of the Licensing Agreement (this item only applies to the Request for Cancellation of Recordal of a Licensing Agreement)	
[10.1]	[The cancellation of recordal of a licensing agreement is requested by the licensee(s).]	Yes / No
[10.2]	[The cancellation of recordal of the licensing agreement is requested by the licensor(s).]	Yes / No
	One of the following documents is enclosed:	
10.2.1	A copy, certified as being in conformity with the original, of the cancellation of the licensing agreement:	Yes / No
10.2.2	An extract, certified as being a true extract, of the cancellation of the licensing agreement:	Yes / No
11.	Signature or Seal	
11.1	Name of the natural person who signs or whose seal is used	
11.1.1	Family or principal name:	
11.1.2	Given or secondary name(s):	
11.2	Capacity of the signatory:	
11.3	Date of signature or of sealing:	
11.4	Signature or seal:	
11.5	Is there more than one person signing or whose seal is used?	Yes / No
		[If “Yes”, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 11.1 to 11.4.]

12. 12.1	Fee Currency and amount of the fee paid in connection with the present request for recordal of change(s):	
12.2	Method of payment:	
13.	Additional Sheets Indicate the total number of additional sheets, if any:	
14. 14.1 14.2	Attachments ¹³ Power of attorney (item 7.5.1): Documentation evidencing the licensing agreement (item 9.2.1 or 9.2.2) or the cancellation of the licensing agreement (item 10.2.1 or 10.2.2) including the translation, if required:	
14.3	Consent by any applicant, owner, exclusive licensee, co-applicant, co-owner or co-exclusive licensee who is not party to a licensing agreement:	
14.4	Where the request relates to more than one application and/or patent, separate copy (copies) of the request for each application and/or patent concerned:	
14.5	Others (specify):	

¹³ Mark a cross "X" in the right-side column if there are any attachments under subitems 14.1 to 14.4. Where there are any other attachments, they should be specified under subitem 14.5.

MODEL INTERNATIONAL FORM N° 7
REQUEST FOR RECORDAL OR CANCELLATION OF
RECORDAL OF A SECURITY INTEREST

in respect of patent application(s) and/or patent(s)

submitted to the Office of

0.1	FOR OFFICE USE ONLY	
0.2	Reference indication of person providing and acquiring the security interest: ¹	
0.3	Reference indication of representative: ¹	

1.	<p>Request for Recordal or Cancellation of the Recordal</p> <p>Check the appropriate box below:</p> <p><input type="checkbox"/> The recordal of a security interest indicated in the present request is hereby requested.</p> <p><input type="checkbox"/> The cancellation of recordal of a security interest indicated in the present request is hereby requested.</p>
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¹ Any reference indication allotted by the person providing and/or acquiring the security interest and/or any reference indication allotted by the representative to the present request may be given in this space.

<p>2.</p> <p>2.1</p> <p>2.2</p> <p>2.3</p>	<p>Application(s) and/or Patent(s) Concerned</p> <p>The present request concerns the following application(s) and/or patent(s):</p> <p>Application number(s):²</p> <p>Patent number(s):</p> <p>Are there any additional sheets indicating other application number(s) or patent number(s) under item 2.1 or 2.2?</p>	<p>Yes / No</p>
<p>3.</p> <p>3.1</p> <p>3.1A</p> <p>3.1A.1</p> <p>3.1A.2</p> <p>3.1B</p> <p>3.1B.1</p> <p>3.2</p> <p>3.2.1</p> <p>3.2.2</p> <p>3.2.3</p> <p>3.2.4</p> <p>3.2.5</p> <p>3.2.6</p>	<p>Person Providing the Security Interest</p> <p>Name</p> <p>Where the person providing the security interest is a natural person, family or principal name:³</p> <p>given or secondary name(s):³</p> <p>Where the person providing the security interest is a legal entity, entity's full official designation:</p> <p>Address</p> <p>Street address:</p> <p>City:</p> <p>State (province, county):</p> <p>Postal Code:</p> <p>Country:</p> <p>Telephone No.:⁴</p>	

² Where the application number of an application has not yet been issued or is not known to the applicant or his representative, that application may be identified by furnishing either the provisional application number, if any, given by the Office, or a copy of the request part of the application, or a reference number given to the application by the applicant or his representative, along with the name and address of the applicant, the title of the invention and the date on which the application was sent to the Office.

³ The name to be indicated under item 3.1A is either the full name of the person providing the security interest or the name customarily used by the person providing the security interest.

⁴ Even where the Office elects to request this information, the person providing the security interest shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

<p>3.2.7 3.2.8</p> <p>3.3</p>	<p>Telefacsimile No.:⁴ E-mail address:⁴</p> <p>Is there more than one person providing the security interest?</p>	<p>Yes / No</p> <p>[If “Yes”, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 3.1 and 3.2.]</p>
<p>4.</p> <p>4.1</p> <p>4.1A</p> <p>4.1A.1</p> <p>4.1A.2</p> <p>4.1B</p> <p>4.1B.1</p> <p>4.2</p> <p>4.2.1</p> <p>4.2.2</p> <p>4.2.3</p> <p>4.2.4</p> <p>4.2.5</p> <p>4.2.6</p> <p>4.2.7</p> <p>4.2.8</p> <p>4.3</p> <p>4.3.1</p> <p>4.3.2</p> <p>4.3.3</p> <p>4.3.4</p> <p>4.3.5</p> <p>4.4</p> <p>4.5</p>	<p>Representative of the Person Providing the Security Interest</p> <p>Name</p> <p>Where the representative is a natural person,</p> <p>family or principal name: given or secondary name(s):</p> <p>Where the representative is a legal entity,</p> <p>entity’s official designation:</p> <p>Address</p> <p>Street address: City: State (province, county): Postal Code: Country: Telephone No.:⁵ Telefacsimile No.:⁵ E-mail address:⁵</p> <p>Address for service</p> <p>Street address: City: State (province, county): Postal Code: Country:</p> <p>Registration number or other indication:</p> <p>Number allotted to the power of attorney:⁶</p>	

⁵ Even where the Office elects to request this information, the representative shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

⁶ To be left blank if the power of attorney has not, or has not yet, been allotted a number or if the number is not yet known to the person providing the security interest or the representative.

4.6	Is there more than one representative?	Yes / No [If “Yes”, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 4.1 to 4.5]
5.	Address for Service of the Person Providing the Security Interest⁷	
5.1	Name of the person providing the security interest	
5.2	Address	
5.2.1	Street address:	
5.2.2	City:	
5.2.3	State (province, county):	
5.2.4	Postal Code:	
5.2.5	Country:	
6.	Person Acquiring the Security Interest	
6.1	Name	
6.1A	Where the person acquiring the security interest is a natural person,	
6.1A.1	family or principal name: ⁸	
6.1A.2	given or secondary name(s): ⁸	
6.1B	Where the person acquiring the security interest is a legal entity,	
6.1B.1	entity’s full official designation:	
6.2	Address	
6.2.1	Street address:	
6.2.2	City:	
6.2.3	State (province, county):	
6.2.4	Postal Code:	
6.2.5	Country:	

⁷ An Office of the Contracting Party may require that an address for service be indicated where no representative is indicated in item 4 and the person providing the security interest does not have, or has not indicated, a domicile or a real and effective industrial or commercial establishment on the territory of that Contracting Party.

⁸ The name to be indicated under subitem 6.1A is either the full name or the name customarily used by the person acquiring the security interest.

<p>6.2.6 Telephone No.:⁹</p> <p>6.2.7 Telefacsimile No.:⁹</p> <p>6.2.8 E-mail address:⁹</p> <p>6.3</p>	<p>Is there more than one person acquiring the security interest?</p>	<p>Yes / No</p> <p>[If “Yes”, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 6.1 and 6.2]</p>
<p>7.</p> <p>7.1</p> <p>7.1A</p> <p>7.1A.1</p> <p>7.1A.2</p> <p>7.1B</p> <p>7.1B.1</p> <p>7.2</p> <p>7.2.1</p> <p>7.2.2</p> <p>7.2.3</p> <p>7.2.4</p> <p>7.2.5</p> <p>7.2.6</p> <p>7.2.7</p> <p>7.2.8</p> <p>7.3</p> <p>7.3.1</p> <p>7.3.2</p>	<p>Representative of the Person Acquiring the Security Interest</p> <p>Name</p> <p>Where the representative is a natural person,</p> <p>family or principal name:</p> <p>given or secondary name(s):</p> <p>Where the representative is a legal entity,</p> <p>entity’s official designation:</p> <p>Address</p> <p>Street address:</p> <p>City:</p> <p>State (province, county):</p> <p>Postal Code:</p> <p>Country:</p> <p>Telephone No.:¹⁰</p> <p>Telefacsimile No.:¹⁰</p> <p>E-mail address:¹⁰</p> <p>Address for service</p> <p>Street address:</p> <p>City:</p>	

⁹ Even where the Office elects to request this information, the person acquiring the security interest shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

¹⁰ Even where the Office elects to request this information, the representative shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

¹¹ Leave blank if the power of attorney or other application has not, or has not yet, been allotted a number or other indication, or if the number or other indication is not yet known to the person acquiring the security interest or the representative.

<p>7.3.3 7.3.4 7.3.5 7.4 7.5 7.5.1 7.5.2 7.5.2.1 7.6</p>	<p>State (province, county): Postal Code: Country: Registration number or other indication: The above representative is/has been appointed, in a separate power of attorney, to act on behalf of the person acquiring the security interest before the Office. Power of attorney is attached. Power of attorney is already in the possession of the Office. Number or other indication allotted to power of attorney or number of other application in respect of which the power of attorney was filed.¹¹ Is there more than one representative?</p>	<p style="text-align: center;">Yes / No</p> <p style="text-align: center;">Yes / No</p> <p style="text-align: center;">Yes / No</p> <p style="text-align: center;">Yes / No</p> <p style="text-align: center;">Yes / No</p> <p>[If “Yes”, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 7.1 to 7.5.]</p>
<p>8. 8.1 8.2 8.2.1 8.2.2 8.2.3 8.2.4 8.2.5</p>	<p>Address for Service of the Person Acquiring the Security Interest¹² Name of the person acquiring the security interest: Address Street address: City: State (province, county): Postal Code: Country:</p>	

¹² An Office of a Contracting Party may require that an address for service be indicated where no representative is indicated in item 7 and the person acquiring the security interest does not have, or has not indicated, a domicile or a real and effective industrial or commercial establishment on the territory of that Contracting Party.

<p>9.</p> <p>[9.1]</p> <p>9.2</p> <p>9.2.1</p> <p>9.2.2</p>	<p>Documentation Evidencing the Security Interest (this item only applies to the Request for Recordal of a Security Interest)</p> <p>[The recordal of a security interest is requested by the person providing the security interest.]</p> <p>[The recordal of a security interest is requested by the person acquiring the security interest.]</p> <p>One of the following documents is enclosed:</p> <p>A copy, certified as being in conformity with the original, of the agreement on security interest.</p> <p>An extract, certified as being a true extract, of the agreement on security interest.</p>	<p>Yes / No</p> <p>Yes / No</p> <p>Yes / No</p> <p>Yes / No</p>
<p>10.</p> <p>[10.1]</p> <p>10.2</p> <p>10.2.1</p> <p>10.2.2</p>	<p>Documentation Evidencing the Cancellation of the Security Interest (this item only applies to the Request for Cancellation of Recordal of a Security Interest)</p> <p>[The cancellation of recordal of a security interest is requested by the person acquiring the security interest.]</p> <p>[The cancellation of recordal of a security interest is requested by the person acquiring the security interest.]</p> <p>One of the following documents is enclosed:</p> <p>A copy, certified as being in conformity with the original, of the agreement on the cancellation of the security interest.</p> <p>An extract, certified as being a true extract, of the agreement on the cancellation of the security interest.</p>	<p>Yes / No</p> <p>Yes / No</p> <p>Yes / No</p> <p>Yes / No</p>
<p>11.</p> <p>11.1</p>	<p>Signature or Seal</p> <p>Name of the natural person who signs or whose seal is used</p>	

<p>11.1.1</p> <p>11.1.2</p> <p>11.2</p> <p>11.3</p> <p>11.4</p> <p>11.5</p>	<p>Family or principal name:</p> <p>Given or secondary name(s):</p> <p>Capacity of the signatory:</p> <p>Date of signature or of sealing:</p> <p>Signature or seal:</p> <p>Is there more than one person signing or whose seal is used?</p>	<p>Yes / No</p> <p>[If “Yes”, list them on an additional sheet and indicate, in respect of each of them, the data referred to in items 11.1 to 11.4.]</p>
<p>12.</p> <p>12.1</p> <p>12.2</p>	<p>Fee</p> <p>Currency and amount of the fee paid in connection with the present request for recordal of security interest:</p> <p>Method of payment:</p>	
<p>13.</p>	<p>Additional Sheets</p> <p>Indicate the total number of additional sheets, if any:</p>	
<p>14.</p> <p>14.1</p> <p>14.2</p> <p>14.3</p> <p>14.4</p> <p>14.5</p>	<p>Attachments¹³</p> <p>Power of attorney (item 7.5.1):</p> <p>Documentation evidencing the agreement on security interest (item 9.2.1 or 9.2.2) or the cancellation of the agreement on security interest (item 10.2.1 or 10.2.2) including a translation, if required:</p> <p>Consent by an applicant, owner, exclusive licensee, co-applicant, co-owner or co-exclusive licensee who is not party to the agreement on security interest:</p> <p>Where the request relates to more than one application and/or patent, separate copy (copies) of the request for each application and/or patent concerned:</p> <p>Others (specify):</p>	

¹³ Mark a cross “X” in the right-side column if there are any attachments under subitems 14.1 to 14.4. Where there are any other attachments, they should be specified under subitem 14.5.

MODEL INTERNATIONAL FORM N° 8
REQUEST FOR CORRECTION OF MISTAKE(S)

in respect of patent application(s) and/or patent(s)
submitted to the Office of

0.1	FOR OFFICE USE ONLY	
0.2	Reference indication of applicant and/or owner: ¹	
0.3	Reference indication of representative: ¹	

1.	Request for Correction The correction(s) identified in the present request is (are) hereby requested.	
2.	Application(s) and/or Patent(s) Concerned The present request concerns the following application(s) and/or patent(s):	
2.1	Application number(s): ²	
2.2	Patent number(s):	

¹ Any reference indication allotted by the applicant, the owner or the representative to the present request may be given in this space.

² Where the application number of an application has not yet been issued or is not known to the applicant or his representative, that application may be identified by furnishing either the provisional application number, if any, given by the Office, or a copy of the request part of the application, or a reference number given to the application by the applicant or his representative, along with the name and address of the applicant, the title of the invention and the date on which the application was sent to the Office.

2.3	Are there any additional sheets indicating other application number(s) or patent number(s) under item 2.1 or 2.2?	Yes / No
3.	Applicant(s) and/or Owner(s)	
3.1	Name	
3.1A	Where the applicant and/or owner is a natural person,	
3.1A.1	family or principal name: ³	
3.1A.2	given or secondary name(s): ³	
3.1B	Where the applicant and/or owner is a legal entity,	
3.1B.1	entity's full official designation:	
3.2	Address	
3.2.1	Street address:	
3.2.2	City:	
3.2.3	State (province, county):	
3.2.4	Postal Code:	
3.2.5	Country:	
3.2.6	Telephone No.: ⁴	
3.2.7	Telefacsimile No.: ⁴	
3.2.8	E-mail address: ⁴	
3.3	Is there more than one applicant ⁵ ?	Yes / No [If "Yes", list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 3.1 and 3.2.]
4.	Representative	
4.1	Name	
4.1A	Where the representative is a natural person,	
4.1A.1	family or principal name:	
4.1A.2	given or secondary name(s):	
4.1B	Where the representative is a legal	

³ The name to be indicated under subitem 3.1A is that which appears in the records of the Office in respect of the application(s) and/or patent(s) to which the present request relates.

⁴ Even where the Office elects to request this information, the applicant and/or owner shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

⁵ Where there are several applicants with different addresses and there is no representative under item 6 or address for service under item 7, the address for correspondence must be underlined.

<p>4.1B.1 entity, entity's full official designation:</p> <p>4.2 Address</p> <p>4.2.1 Street address:</p> <p>4.2.2 City:</p> <p>4.2.3 State (province, county):</p> <p>4.2.4 Postal Code:</p> <p>4.2.5 Country:</p> <p>4.2.6 Telephone No.:⁶</p> <p>4.2.7 Telefacsimile No.:⁶</p> <p>4.2.8 E-mail address:⁶</p> <p>4.3 Address for service</p> <p>4.3.1 Street address:</p> <p>4.3.2 City:</p> <p>4.3.3 State (province, county):</p> <p>4.3.4 Postal Code:</p> <p>4.3.5 Country:</p> <p>4.4 Registration number or other indication:</p> <p>4.5 Number allotted to the power of attorney:⁷</p> <p>4.6 Is there more than one representative?⁸</p>	<p style="text-align: center;">Yes / No</p> <p>[If "Yes", list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 4.1 to 4.5]</p>
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[Footnote continued from previous page]

⁶ Even where the Office elects to request this information, the representative shall have the option to refrain from providing such indications. Where the telephone and the telefacsimile numbers are given, they should include the country code (where appropriate) and area code.

⁷ Leave blank if the power of attorney has not, or has not yet, been allotted a number or if the number is not yet known to the applicant and/or owner or the representative.

⁸ Where there are several representatives with different addresses, the address for correspondence must be underlined.

<p>5.</p> <p>5.1</p> <p>5.2</p> <p>5.2.1</p> <p>5.2.2</p> <p>5.2.3</p> <p>5.2.4</p> <p>5.2.5</p>	<p>Address for Service of the Applicant and/or Owner⁹</p> <p>Name of applicant and/or owner</p> <p>Address</p> <p>Street address:</p> <p>City:</p> <p>State (province, county):</p> <p>Postal Code:</p> <p>Country:</p>	
<p>6.</p> <p>6.1</p> <p>6.2</p> <p>6.2.1</p> <p>6.2.2</p> <p>6.3</p> <p>6.4</p> <p>6.5</p>	<p>Indication of Mistake(s) and Correction(s)</p> <p>Document which contains mistake(s):¹⁰</p> <p>Mistake(s) is (are) in:</p> <p>the record of the Office.</p> <p>the publication of the Office.</p> <p>Data to be corrected:¹¹</p> <p>Data as corrected:¹¹</p> <p>Is there more than one correction?</p>	<p>Yes / No</p> <p>Yes / No</p> <p>Yes / No</p> <p>[If “Yes”, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 6.1 to 6.4.]</p>
<p>7.</p> <p>7.1</p> <p>7.1.1</p> <p>7.1.2</p> <p>7.2</p> <p>7.3</p> <p>7.4</p>	<p>Signature or Seal</p> <p>Name of the natural person who signs or whose seal is used</p> <p>Family or principal name:</p> <p>Given or secondary name(s):</p> <p>Capacity of the signatory:</p> <p>Date of signature or of sealing:</p> <p>Signature or seal:</p>	

⁹ An Office of a Contracting Party may require that an address for service be indicated where no representative is indicated in item 4 and the applicant does not have, or has not indicated, a domicile or a real and effective industrial or commercial establishment on the territory of that Contracting Party.

¹⁰ The document may be, for example, an application, a request for a change in ownership, a request for examination or a patent, subject to what constitutes a correctable mistake under the applicable law of the Contracting Party.

¹¹ If the spaces are insufficient, indicate on an additional sheet the data referred to in items 6.3 and 6.4.

7.5	Is there more than one person signing or whose seal is used?	Yes / No [If “Yes”, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 7.1 to 7.4.]
8. 8.1 8.2	Fee Currency and amount of the fee paid in connection with the present request for correction: Method of payment:	
9.	Additional Sheets Indicate the total number of additional sheets, if any:	
10. 10.1 10.2 10.3 10.4	Attachments ¹² Replacement sheet incorporating the correction: ¹³ Where the request relates to more than one application and/or patent, separate copy (copies) of the request for each application and/or patent concerned: Declaration by a requesting party stating that the mistake was made in good faith: Others (specify):	

¹² Mark a cross “X” in the right-side column if there are any attachments under subitems 10.1 to 10.3. Where there are any other attachments, they should be specified under subitem 10.4.

¹³ Where the request relates to more than one application and/or patent, the Office may require that each replacement sheet indicate the application and/or patent to which it relates.

NOTES ON MODEL INTERNATIONAL FORMS

Note concerning all Model International Forms

F0.01 The “Model International Forms” refer to, and provide space for filling in, all the indications that Contracting Parties may require to be furnished under the Treaty and the Regulations. Some of those indications, however, would not be required under the national (or regional) law applicable to the Office concerned. Where this is the case, the national (or regional) Office could, but would not be obliged to, prepare an “Individualized International Form” corresponding to the Model International Form but omitting these indications which are inapplicable for the purposes of that Office. A Contracting Party may provide in its Individualized International Forms the possibility of indicating optional elements, such as the date on which the relevant communication is submitted to the Office or, in the power of attorney Form, the address of the person making the appointment, it being understood that such elements cannot be required. A Contracting Party would also be permitted to prescribe its own Form. However, such Individualized International Form and Form prescribed by the Office could not impose requirements which would be contrary to the provisions of the Treaty and the Regulations.

F0.02 Any Individualized International Form could also present various indications in a different order and with different spacing than in the Model International Form.

F0.03 The Model International Forms will be established in the languages of the Treaty. Where the Office of a Contracting Party accepts a language which is not a language of the Treaty, it may provide the Model International Form translated in that language. Any Individualized International Form or any Form prescribed by the Office could also be in the language(s) accepted by the Office.

Explanations on the footnotes appearing in the Model International Forms

F0.04 The footnotes are intended to appear in the printed form, including in any translation of the form, and are addressed to the person(s) filling in the form.

Note on Form N° 1 (Application for the Grant of a Patent—Request)

F1.01 Draft Article 5(1) provides that no Contracting Party shall, except where otherwise provided for by this Treaty, require compliance with any requirement relating to the form or contents of an application which is different from or additional to any requirement applicable under the Patent Cooperation Treaty (PCT) to an international application. This means that a Contracting Party could not impose stricter requirements in such respects than those provided under the PCT, except where there are any other provisions under this Treaty. As far as the

request Form is concerned, draft Article 5(2)(a) expressly permits a Contracting Party to require that the mandatory contents of the request of an international application under the PCT be presented on a request Form prescribed by the Contracting Party. Therefore, Model International Form No. 1 is based, to the extent possible, on the PCT request form (Form PCT/RO/101) and covers all the requirements under the PCT and this Treaty, which would be a maximum requirement in respect of the request part of the application. It is understood that the national (or regional) Office of the Contracting Party may prepare an “Individualized International Form” for the request part of the application that does not contain the elements not being required under the national (or regional) law applicable to the Office concerned.

F1.02 Draft Article 2(1)(a) and (2) prescribes that the provisions of this Treaty and the Regulations shall apply to national and regional applications for patents for inventions which are filed with the Office of a Contracting Party, and which are types of applications that can be filed as international applications under the PCT, and to patents resulting from such applications. However, Offices of Contracting Parties are free to apply, with the necessary modifications, this Form to other types of protection under the PCT (for example, inventors’ certificates, utility certificates, utility models, patents or certificates of addition, inventors’ certificates of addition, utility certificates of addition) and to certain types of applications which cannot be filed as international applications under the PCT (for example, provisional applications or applications for re-issue patents).

F1.03 Title of the Form. In any Individualized International Form, the dots will be replaced by the name of the Office. The box containing a space for any reference indication(s) allotted to the application is intended for the convenience of the applicant and/or representative. Such indication is not mandatory.

F1.04 Item 1 (Petition for the Granting of a Patent). This item provides for the petition which may be required under draft Article 5(1) with reference to PCT Rule 4.2. Reference is also made to the petition contained in the PCT Request Form (PCT/RO/101).

F1.05 Item 2 (Title of the Invention). This item provides for the title which may be required under draft Article 5(1) with reference to PCT Rule 4.3. Reference is also made to Box N° 1 of the PCT Request Form.

F1.06 Item 3 (Applicant(s)), subitems 3.1 to 3.4. These subitems provide for indications of name, address and the state of nationality and domicile/establishment, which may be required under draft Article 5(1) with reference to PCT Rule 4.4(a) to (c) and 4.5(a) to (c).

F1.07 Although the indication of a telephone number, a telefacsimile number and/or an e-mail address may not be required by a Contracting Party, as prescribed in PCT Rule 4.4(c), in order to allow rapid communication with the applicant, it is recommended that the Contracting Party should permit the furnishing of such indications to the Office to enable that Office to contact the applicant through the most efficient means of communication. Reference is made to Box II of the PCT Request Form.

F1.08 Subitem 3.5. This subitem provides for the registration number or other indication under which the applicant is registered with the Office, which may be required under draft

Article 5(4). (If a provision to the same effect is included in the PCT Regulations, the subitem would then be required under draft Article 5(1).)

F1.09. Subitem 3.6. Where the applicant is not the inventor, although the basis of the applicant's right to file the application or his entitlement to receive the patent applied for is not a mandatory requirement of the PCT Request Form, it could be required by the Contracting Party of the PCT, under PCT Article 27 and Rule 51*bis*, once the processing of international applications has started in the designated national Office. This means that, under the PCT, a national Office may require indications of such basis of the applicant's right or his entitlement for the procedures within the Office. Thus, a Contracting Party may require the indication of this subitem under draft Article 5(1). The examples of the basis of the applicant's right may be the fact that the applicant is the inventor's employer, the inventor's assignee or the inventor's heir. It should be noted that the revision of the PCT Rule to include this subitem in the Request Form in the form of a statement with a check-box is currently under discussion.

F1.10 Subitem 3.7. This subitem provides for indications in respect of more than one applicant, which may be required under draft Article 5(1) with reference to PCT Rule 4.4(d). A Contracting Party would be free to decide with whom the Office would communicate, where there are two or more applicants with different addresses in the territory of that Contracting Party who are not represented by a representative, have not provided an address for service and have not underlined the address for correspondence. Reference is made to Box N° III of the PCT Request Form.

F1.11 Item 4 (Inventor(s)). This item provides for indications in respect of the inventor(s), which may be required under draft Article 5(1) with reference to PCT Rule 4.6(a) and (b). Reference is made to Box N° III of the PCT Request Form. This item does not include spaces for indicating a telephone number, a telefacsimile number and/or an e-mail address since the Office would normally contact the applicant or representative, rather than the inventor. However, such indications could be included at the option of the applicant.

F1.12 In view of the inventor's right to be mentioned as such in the patent under Article 4*ter* of the Paris Convention for the Protection of Industrial Property, where the law of a Contracting Party permits the inventor to waive his right to be identified as such in the publication of an application or a patent, the Contracting Party may require that inventor to furnish express consent to that effect, separately from the request Form.

F1.13 Item 5 (Representative). This item should appear in the Individualized International Form since an applicant may be voluntarily represented by a representative even if such representation is not mandatory.

F.1.14 Subitem 5.1 and 5.2. These subitems provide for indications relating to the name and address of the representative which may be required under draft Article 5(1) with reference to PCT Rule 4.6. As regards the indication of telephone and telefacsimile numbers and e-mail addresses, reference is made to the explanation under subitem 3.2 (see Note F1.07). Reference is also made to Box N° IV of the PCT Request Form.

F1.15 Subitem 5.3. This subitem provides for indications relating to the address for service of the representative, which may be required under draft Article 7(1)(a)(ii).

F1.16 Subitem 5.4. Reference is made to the explanation under subitem 3.5 (see Note F1.08).

F1.17 Subitem 5.5. This subitem provides for the appointment of a representative in the request Form under draft Article 7(4)(a)(ii).

F1.18 Subitem 5.6. This subitem provides for the appointment of a representative in the separate power of attorney under draft Article 7(4)(a)(i). No power of attorney is needed in cases where no formal appointment of the representative is required either in respect of any representative or in respect of certain categories of representatives (for example, in some countries, the category of “mandataire agréé,” that is, an agent registered with the Office and admitted to practice before the Office without a power of attorney having to be submitted).

F1.19 Subitem 5.6.2. This subitem provides for indications relating to a single power of attorney referred to in draft Article 7(4)(b).

F1.20 Subitem 5.7. A Contracting Party would be free to decide with whom the Office would communicate, where there are two or more representatives who have not underlined the address for correspondence.

F1.21 Item 6 (Address for Service of the Applicant). This item, which corresponds to a check-box under Box N° IV of the PCT Request Form, provides for the indications of an address for service which is either provided voluntarily or is required under draft Article 7(3)(ii).

F1.22 Item 7 (Divisional Application, Application for a Continuation or a Continuation-in-Part or Application Otherwise Associated with One or More Other Applications). This item provides for the applicant to indicate that he wishes his application to be treated as a continuation or a continuation-in-part of an earlier application, as may be required under draft Article 5(1) with reference to PCT Rule 4.14.

F1.23 However, in accordance with draft Article 2, the provisions of the Patent Law Treaty and the Regulations shall not apply to applications for patents for inventions which are not types of applications that can be filed as international applications under the PCT. Examples of these types of applications may be conversion applications, divisional applications and applications for patents of addition. Nevertheless, during the third session of the Committee of Experts on the Patent Law Treaty, the representative of one intergovernmental organization suggested that it was desirable to include these types of applications in Model International Form N° 1. The Standing Committee is invited to consider whether this item should also cover the types of applications which cannot be filed under the PCT.

F.1.24 Item 8 (National Security). An indication regarding national security could be required under draft Article 3. Although the PCT Request Form contains no equivalent item, PCT Article 27(8) provides a similar provision regarding national security. Where an application is identified as one which is of a sensitive nature in respect of national or other security concerns, the Office may keep the disclosure of the invention for which a patent is applied confidential.

F1.25 Item 9 (Designation of States). This item would only be required by the Office of a Contracting Party which grants regional patents requiring the designation of States. The manner of designating States, for example, by check-boxes similar to those in Box N° V of the PCT Request Form, will be determined by the Office and provided for in the Individualized International Form.

F1.26 Item 10 (Priority Claim). This item provides for indications of the claim of priority, which may be required under draft Article 5(1) with reference to PCT Rule 4.10. In respect of the indication of the country or Office with which the earlier application was filed, where the membership of a regional patent treaty is not restricted to countries party to the Paris Convention (such as in the case of the African Regional Industrial Property Organization (ARIPO)), a priority claim based on an earlier application under that treaty would be required to indicate, in addition to the regional Office, a country party to the Paris Convention for which the earlier application was filed (see PCT Rule 4.10(b)(ii)). Where a copy of the earlier application, which may be required to be certified under the applicable law, is furnished together with the request, the applicant shall mark the check-box under Item 17, Attachments. Reference is also made to Box N° VI of the PCT Request Form.

F1.27 Item 11 (Reference to Previously Filed Application(s) Replacing the Description and Drawings). This item provides for the indication of references to the previously filed application replacing the description and drawings, which may be required under draft Article 4(7) and draft Rule 2(3). Where a copy, a certified copy or a translation of the previously filed application is required under the applicable law and such required document is furnished together with the request, the applicant shall mark the check-box under Item 17, Attachments.

F1.28 Item 12 (Description in a Language Not Accepted by the Office). Where the description is not filed in a language accepted by the Office, this item would provide for the indication, in a language accepted by the Office, to the effect that the application contains a description, which is required under draft Article 4(2)(b).

F1.29 Item 13 (Oath or Declaration as to Inventorship by Inventor). Although an oath or declaration as to inventorship is not a mandatory requirement of the PCT Request Form, it could be required by a Contracting Party of the PCT, under PCT Article 27 and Rule 51*bis*, once the international application enters into national phase. This item could be omitted from the Individualized International Form of the Office of a Contracting Party which does not require the oath or declaration as to inventorship by inventor.

F1.30 Item 14 (Signature or Seal). This item provides for the signature which may be required under draft Article 5(1) with reference to PCT Rule 14.5 and any indication which may be required under draft Rule 8(1) in respect of signature or seal. The reference to a seal may be omitted from any Individualized International Form of the Office of a Contracting Party which does not allow a seal to be used instead of a handwritten signature.

F1.31 Subitem 14.2. An example of the “capacity of the signatory” may be an applicant, a representative or an inventor.

F1.32 Subitem 14.4. Where the Contracting Party allows the use of forms of signature other than a handwritten signature, such as a printed signature or a stamped signature, this

F2.08 Subitem 4.2.1. As regards the means of identification of an application where the power of attorney is filed together with one or more applications or where the application number is not known, the corresponding footnote may be adapted by each Contracting Party in its Individualized International Form according to the requirements of its law or to the practice of its Office, it being understood that a Contracting Party may require less than what is provided for in draft Rule 17(1).

F2.09 Item 5 (Signature or Seal). Reference is made to the explanations given under Form N° 1, item 14, Notes F1.30 to F1.32.

F2.10 Item 6 (Additional Sheets and Attachments). This item seems to be self-explanatory.

Note on Form N° 3
(Request for Recordal of Change(s)
in Name(s) or Address(es))

F3.01 Title of the Form. Though the title of Model International Form N° 3 does not provide for a request for recordal of a change in the name and/or address of a representative or of a change in the address for service, in accordance with draft Article 9(11), a Contracting Party would be obliged to accept such a request presented on a form, with appropriate alterations, corresponding to Model International Form N° 3. In any Individualized International Form, the dots will be replaced by the name of the Office. The box containing a space for any reference indication(s) allotted to the request is intended for the convenience of the applicant, owner and/or representative. Such indication is not mandatory.

F3.02 Item 1 (Request for Recordal). This item seems to be self-explanatory.

F3.03 Item 2 (Application(s) and/or Patent(s) Concerned). Item 2 provides for indications of application(s) and/or patent(s) concerned, which may be required under draft Article 9(1)(a). As regards the means of identification of an application whose application number is not known, reference is made to the explanations given under Form N° 2, subitem 4.2.1, Note F2.08.

F3.04 Item 3 (Applicant(s) and/or Owner(s)). This item provides for indications relating to applicant(s) and/or owners, which may be required under draft Article 9(1)(b) with reference to draft Rule 9(1)(i).

F3.05 Item 4 (Representative). This item provides for indications relating to representatives which may be required under draft Article 9(1)(b) with reference to draft Rule 9(1)(ii). Reference is made to the explanations given under Form N° 1, item 5, Notes F1.13 to F1.20.

F3.06 Item 5 (Address for Service). This item provides for indications relating to the address for service which may be required under draft Article 9(1)(b) with reference to draft Rule 9(1)(iii). Reference is made to the explanations given under Form N° 1, item 6, Note F1.21.

F3.07 Item 6 (Indication of the Change(s)). This item provides for indication(s) of the change(s) required under draft Article 9(1)(a). The change(s) may concern the name of any

applicant and/or owner, the name of any representative as well as the address, telephone number, telefacsimile number or e-mail address of any applicant, owner or representative, and the address for service. In accordance with draft Article 9(6), more than one change may be indicated under this item.

F3.08 Item 7 (Signature or Seal). This item provides for the signature which may be required under draft Article 9(1)(a). An example of the “capacity of the signatory” under subitem 7.2 may be an applicant, an owner, a representative of the applicant or a representative of the owner. Reference is made to the explanations given under Form N° 1, item 14, Notes F1.30 to F1.32.

F3.09 Item 8 (Fee). This item provides for indications concerning fees which may be required under draft Article 9(5). Reference is made to the explanations given under Form N° 1, item 15, Note F1.33. Where the change of address(es) results from a decision of an authority and the recordal is, consequently, free of charge, the Individualized International Form should contain an appropriate item to this effect.

F3.10 Item 9 (Additional Sheets). This item seems to be self-explanatory.

F3.11 Item 10 (Attachments). Subitem 10.1 (separate copy (copies) of a single request) may be required under draft Article 9(6)(b). If there are any other attachments, they should be specified in the right-side column of the subitem 10.2.

Note on Form N° 4
(Request for Recordal of a Change in Applicant or Owner)

F4.01 Title of the Form. In any Individualized International Form, the dots will be replaced by the name of the Office. The box containing a space for any reference indication(s) allotted to the request is intended for the convenience of the applicant, owner and/or representative. Such indication is not mandatory.

F4.02 Item 1 (Request for Recordal). This item seems to be self-explanatory.

F4.03 Item 2 (Application(s) and/or Patent(s) Concerned). Item 2 provides for indications required under draft Article 10(1)(a). As regards the means of identification of an application whose application number is not known, reference is made to the explanations given under Form N° 2, subitem 4.2.1, Note F2.08.

F4.04 Item 3 (Applicant(s) and/or Owner(s)). This item provides for indications regarding applicant(s) and/or owner(s), which may be required under draft Article 9(1)(b) with reference to draft Rule 10(1)(i). In the case of a transfer by contract, the “applicant(s) and/or owner(s)” are the transferor(s).

F4.05 Item 4 (Representative of the Applicant(s) and/or Owner(s)). This item provides for indications regarding a representative of the applicant(s) and/or owner(s), which may be required under draft Article 9(1)(b) with reference to draft Rule 10(1)(v). The name of the representative currently reflected in the records of the Office (that is, the representative of the applicant(s) or owner(s) (the transferor(s))) should be indicated in this item.

F4.06 Item 5 (Address for Service of the Applicant and/or Owner). This item provides for indications regarding the address for service, which may be required under draft Article 9(1)(b) with reference to draft Rule 10(1)(vi). Reference is made to the explanations given under Form N° 1, item 6, Note F.1.21.

F4.07 Item 6 (New Applicant(s) and/or New Owner(s)). This item provides for indications concerning the new applicant(s) and/or new owner(s), which may be required under draft Article 9(1)(b) with reference to draft Rule 10(1)(ii), (iii) and (iv). In the case of a transfer by contract, the “new applicant(s) and/or new owner(s)” is (are) the transferee(s).

F4.08 Item 7 (Representative of the New Applicant(s) and/or New Owner(s)). This item provides for indications concerning the representative of the new applicant(s) and/or new owner(s), which may be required under draft Article 9(1)(b) with reference to draft Rule 10(1)(vii). Reference is made to the explanations given under Form N° 1, item 5, Notes F1.13 to F1.20.

F4.09 Item 8 (Address for Service of the New Applicant and/or New Owner). This item provides for indications concerning the address for service of the new applicant and/or owner, which may be required under draft Article 9(1)(b) with reference to draft Rule 10(1)(1)(viii). Reference is made to the explanations given under Form N° 1, item 6, Note F1.21.

F4.10 Item 9 (Basis of the Change in Applicant(s) or Owner(s)). Different documentary evidence may be required by a Contracting Party depending on the reason of the change in applicant(s) or owner(s); that is, the change in applicant(s) or owner(s) results from a contract (assignment, etc.), from a merger or the reorganization or division of a legal entity, or from operation of law (inheritance, bankruptcy, etc.) or a court decision.

F4.11 Subitems 9.1 and 9.2. These subitems correspond to the requirements on documentary evidence prescribed under draft Article 10(4)(a). The square brackets placed around these subitems are in conjunction with the square brackets under draft Article 10(4)(a) which provide different requirements for the documentation relating to the basis of the change, depending on the capacity of the requesting party, whether applicant or owner, or new applicant or new owner. If the Standing Committee decides to delete the words in the square brackets under draft Article 10(4), subitems 9.1 and 9.2 would, as a consequence, be merged without an indication as to the capacity of the requesting party.

F4.12 The expressions “certified as being in conformity with the original,” and “certified as being a true extract,” could be omitted from the Individualized International Form of a Contracting Party which requires such documentary evidence but does not require that the documents be certified. Where certification is required, such certification should be accomplished, at the option of the requesting party, by a notary public or any other public authority, or, where permitted under the applicable law of the Contracting Party, by a representative having the right to practice before the Office. No Contracting Party may require that a certificate of transfer (subitem 9.2.3; see Model International Form N° 5) be certified.

F4.13 Subitem 9.3. This subitem corresponds to the requirements on documentary evidence prescribed under draft Article 10(4)(b). As regards the expression “certified as being in

conformity with the original,” and a certification of the documents, reference is made to the explanation under the Note 4.12.

F4.14 Subitem 9.4. This subitem corresponds to the requirements on documentary evidence prescribed under draft Article 10(4)(c). In this subitem, the actual basis for the change in ownership not resulting from a contract or a merger or from the reorganization or division of a legal entity should be indicated (for example, operation of law, such as in the case of inheritance, bankruptcy, etc., or a court decision). As regards the expression “certified as being in conformity with the original,” and a certification of the documents, reference is made to the explanation under the Note F4.12.

F4.15 Item 10 (Signature or Seal). This item provides for a signature, which is required under draft Article 10(1)(a). An example of the “capacity of the signatory” under subitem 10.2 may be an applicant, an owner, a new applicant, a new owner, a representative of the applicant or a representative of the new applicant. Reference is made to the explanations given under Form N° 1, item 14, Notes F1.30 to F1.32.

F4.16 Item 11 (Fee). This item provides for indications concerning fees, which may be required under draft Article 10(6). Reference is made to the explanations given under Form N° 1, item 15, Note F1.33.

F4.17 Item 12 (Additional Sheets). This item seems to be self-explanatory.

F4.18 Item 13 (Attachments), subitem 13.1. A power of attorney of the representative of the new applicant(s) and/or the new owner(s) may accompany the request, if it has not been in the possession of the Office, in accordance with the requirements under draft Article 7.

F4.19 Subitem 13.2. Documentation on the basis of change in applicant(s) and/or owner(s) may accompany the request in accordance with the requirements under draft Article 10(4).

F4.20 Subitem 13.3. A consent by a co-applicant(s) or co-owner(s) in respect of whom there is no change, which may be required under draft Article 10(4)(d), may be given on an attachment sheet, or by adding the signature of the said co-applicant(s) or co-owner(s) on the request.

F4.21 Subitem 13.4. A separate copy (separate copies) of the request may accompany the request in accordance with the requirement under draft Article 10(7).

F4.22 Subitem 13.5. If there are any other attachments, they should be specified in the right-side column of subitem 13.5.

Note on Form N° 5
(Certificate of Transfer)

F5.01 Title of the Form. In any Individualized International Form, the dots will be replaced by the name of the Office.

F5.02 Item 1 (Certification). This certification, and the certificate of transfer as a whole, are intended to fulfill any requirement under draft Article 10(4)(a)(ii) that a request for recordal of a change in ownership resulting from a contract [that is submitted by the new applicant or new owner] be accompanied by documentary evidence of the transfer.

F5.03 Item 2 (Application(s) and/or Patent(s) Concerned). As regards the means of identification of an application whose application number is not known, reference is made to the explanations given under Form N° 2, item 4.2.1, Note F2.08.

F5.04 Items 3 (Transferor(s)) and 4 (Transferee(s)). These items seem to be self-explanatory.

F5.05 Item 5 (Signature or Seal). Reference is made to the explanations given under Form N° 1, item 14, Notes F1.30 to F1.32.

F5.06 Item 6 (Additional Sheets) and Item 7 (Attachments). Where the consent of any co-applicant or co-owner is required under draft Article 10(4)(d), that consent may be given on an attachment or by adding the signature of the said co-applicant or co-owner on the certificate of transfer.

Note on Form N° 6

(Request for Recordal or Cancellation of Recordal of a Licensing Agreement)

F6.01 Model International Form N° 6 serves two purposes. One is to request the recordal of a licensing agreement and the other is to request the cancellation of such a recordal. In both cases, the requesting party should fill in items 1 to 14, except item 9 which only applies to the request for recordal of a licensing agreement and item 10 which only applies to the request for cancellation of recordal of a licensing agreement.

F6.02 Title of the Form. In any Individualized International Form, the dots will be replaced by the name of the Office. The box containing a space for any reference indication(s) allotted to the request is intended for the convenience of the licensor, the licensee or the representative. Such indication is not mandatory.

F6.03 Item 1 (Request for Recordal or Cancellation of Recordal). The requesting party should mark the appropriate check-box in order to identify the type of request made.

F6.04 Item 2 (Application(s) and/or Patent(s) Concerned). This item provides for indications required under draft Article 11(1)(a). As regards the means of identification of an application whose application number is not known, reference is made to the explanations given under Form N° 2, subitem 4.2.1, Note F2.08.

F6.05 Item 3 (Licensor(s)). This item provides for indications concerning licensor(s), which may be required under draft Article 11(1)(b) with reference to draft Rule 11(1)(1).

F6.06 Item 4 (Representative of the Licensor(s)). This item provides for indications concerning a representative of the licensor(s), which may be required under draft Article 11(1)(b) with reference to draft Rule 11(1)(iii).

F6.07 Item 5 (Address for Service of the Licensor). This item provides for indications regarding the address for service of the licensor, which may be required under draft Article 11(1)(b) with reference to draft Rule 11(1)(iv). Reference is made to the explanations given under Form N° 1, item 6, Note F1.21.

F6.08 Item 6 (Licensee(s)). This item provides for indications concerning the licensee(s), which may be required under draft Article 11(1)(b) with reference to draft Rule 11(1)(ii).

F6.09 Item 7 (Representative of the Licensee(s)). This item provides for indications regarding the representative of the licensee(s), which may be required under draft Article 11(1)(b) with reference to draft Rule 11(1)(v). Reference is made to the explanations given under Form N° 1, item 5, Notes F1.13 and F1.20.

F6.10 Item 8 (Address for Service of the Licensee). This item provides for indications concerning the address for service of the licensee, which may be required under draft Article 11(1)(b) with reference to draft Rule 11(1)(vi). Reference is made to the explanations given under Form N° 1, item 6, Note F1.21.

F6.11 Item 9 (Documentation Evidencing the Licensing Agreement). This item needs to be filled in only in the case of a request for recordal of a licensing agreement. It provides for indications concerning documentary evidence, which may be required under draft Article 11(4)(a). Reference is made to the explanations given under Form N° 4, subitems 9.1 and 9.2, Notes F4.11 and F4.12.

F6.12 Item 10 (Documentation Evidencing the Cancellation of the Licensing Agreement). This item needs to be filled in only in the case of a request for cancellation of recordal of a licensing agreement. It provides for indications concerning documentary evidence, which may be required by the *mutatis mutandis* provision under draft Article 11(12)(ii).

F6.13 Item 11 (Signature or Seal). This item provides for a signature, which may be required under draft Article 11(1)(a). An example of the “capacity of the signatory” under subitem 11.2 may be a licensor, a licensee, a representative of a licensor or a representative of a licensee. Reference is made to the explanations given under Form N° 1, item 14, Notes F1.30 to F1.32.

F6.14 Item 12 (Fee). This item provides for indications concerning fees, which may be required under draft Article 11(6). Reference is made to the explanations given under Form N° 1, item 15, Note F1.33.

F6.15 Item 13 (Additional Sheets). This item seems to be self-explanatory.

F6.16 Item 14 (Attachments), subitem 14.1. A power of attorney of the licensee may be attached to the request if the power of attorney has not been in the possession of the Office, in accordance with the requirements under draft Article 7.

F6.17 Subitem 14.2. A documentation evidencing the licensing agreement may accompany the request in accordance with the requirements under draft Article 11(4)(a).

F6.18 Subitem 14.3. A consent by an applicant, owner, exclusive licensee, co-applicant, co-owner or co-exclusive licensee who is not party to a licensing agreement, which may be required under draft Article 11(4)(b), may be given on an attachment sheet, or by adding the signature of the said applicant, owner, exclusive licensee, co-applicant, co-owner or co-exclusive licensee, on the request.

F6.19 Subitem 14.4. A separate copy (separate copies) of the request may accompany the request in accordance with the requirement under draft Article 11(7).

F6.20 Subitem 14.5. If there are any other attachments, they should be specified in the right-side column of subitem 14.5.

Note on Form N° 7

(Request for Recordal or Cancellation of Recordal of a Security Interest)

F7.01 In accordance with draft Article 11(12), Model International Form N° 6 shall apply, *mutatis mutandis*, to Model International Form for a request for recordal or cancellation of recordal of a security interest, which is provided as Form N° 7. This Form may be used for a request for recordal of a security interest or a request for cancellation of recordal of a security interest. In either case, the requesting party should fill in all the indications under items 1 to 14, except item 9 which is required only for the request for recordal of a security interest and item 10 which only applies to the request for cancellation of recordal of a security interest.

F7.02 Title of the Form. In any Individualized International Form, the dots will be replaced by the name of the Office. The box containing a space for any reference indication(s) allotted to the request is intended for the convenience of the requesting party and/or representative. Such indication is not mandatory.

F7.03 Item 1 (Request for Recordal or Cancellation of Recordal). Reference is made to the explanation given under Form N° 6, item 1, Note F6.03.

F7.04 Item 2 (Application(s) and/or Patent(s) Concerned). As regards the means of identification of an application whose application number is not known, reference is made to the explanations given under Form N° 2, subitem 4.2.1, Note F2.08.

F7.05 Item 3 (Person Providing the Security Interest). This item seems to be self-explanatory.

F7.06 Item 4 (Representative of the Person Providing the Security Interest). Reference is made to the explanations given under Form N° 1, item 5, Notes F1.13 and F1.20.

F7.07 Item 5 (Address for Service of the Person Providing the Security Interest). Reference is made to the explanation given under Form N° 1, item 6, Note F1.21.

F7.08 Item 6 (Person Acquiring the Security Interest). This item seems to be self-explanatory.

F7.09 Item 7 (Representative of the Person Acquiring the Security Interest). Reference is made to the explanations given under Form N° 1, item 5, Notes F1.13 and F1.20.

F7.10 Item 8 (Address for Service of the Person Acquiring the Security Interest). Reference is made to the explanations given under Form N° 1, item 6, Note F1.21.

F7.11 Item 9 (Documentation Evidencing the Security Interest). This item needs to be filled in only in the case of a request for recordal of a security interest. Reference is made to the explanations given under Form N° 4, subitems 9.1 and 9.2, Notes F4.11 and F4.12.

F7.12. Item 10 (Documentation Evidencing the Cancellation of the Security Interest). This item needs to be filled in only in the case of a request for cancellation of the recordal of a security interest.

F7.13 Item 11 (Signature or Seal). Reference is made to the explanations given under Form N° 1, item 14, Notes F1.30 to F1.32. An example of the “capacity of the signatory” under subitem 11.2 may be a person providing the security interest, a person acquiring a security interest or a representative of the person providing or acquiring the security interest.

F7.14 Item 12 (Fee). Reference is made to the explanations given under Form N° 1, item 15, Note F1.33.

F7.15 Item 13 (Additional Sheets). This item seems to be self-explanatory.

F7.16 Item 14 (Attachments). Reference is made to the explanation given under Form N° 6, item 14, Notes F6.16 to F6.20.

Note on Form N° 8
(Request for Correction of Mistake(s))

F8.01 Title of the Form. In any Individualized International Form, the dots will be replaced by the name of the Office. The box containing a space for any reference indication(s) allotted to the request is intended for the convenience of the applicant, owner and/or representative. Such indication is not mandatory.

F8.02 Item 1 (Request for Correction). This item seems to be self-explanatory.

F8.03 Item 2 (Application(s) and/or Patent(s) Concerned). This item provides for indications concerning application and/or patent number, which may be required under draft Article 12(1)(a). As regards the means of identification of an application the application number of which is not known, reference is made to the explanations given under Form N° 2, subitem 4.2.1, Note F2.08.

F8.04 Item 3 (Applicant(s) and/or Owner(s)). This item provides for indications concerning the applicant(s) and/or owner(s), which may be required under draft Article 12(1)(b) with reference to draft Rule 12(1)(i).

F8.05 Item 4 (Representative). This item provides for indications concerning the representative, which may be required under draft Article 12(1)(b) with reference to draft Rule 12(1)(ii). Reference is made to the explanations given under Form N° 1, item 5, Notes F1.13 to F1.20.

F8.06 Item 5 (Address for Service of the Applicant and/or Owner). This item provides for indications concerning the address for service of the applicant and/or owner, which may be required under draft Article 12(1)(b) with reference to draft Rule 12(9)(iii). Reference is made to the explanations given under Form N°1, item 6, Note F1.21.

F8.07 Item 6 (Indication of Mistake(s) and Correction(s)). This item provides for indications concerning mistake(s) or correction(s) which may be required under draft Article 12(1)(a).

F8.08 Item 7 (Signature or Seal). This item provides for a signature, which may be required under draft Article 12(1)(a). An example of the “capacity of the signatory” under subitem 7.2 may be an applicant, an owner, a representative of the applicant or a representative of the owner. Reference is made to the explanations given under Form N° 1, item 14, Notes F1.30 to F1.32.

F8.09 Item 8 (Fee). This item provides for indications concerning fees, which may be required under draft Article 12(5). Reference is made to the explanations given under Form N° 1, item 15, Note F1.33.

F8.10 Item 9 (Additional Sheets). This item seems to be self-explanatory.

F8.11 Item 10 (Attachments), subitem 10.1. Where the correction of more than one application or patent is requested, a replacement sheet incorporating the correction for each application or patent may accompany the request, in accordance with the requirement under draft Article 12(1)(c).

F8.12 Subitem 10.2. A separate copy (separate copies) of the request may accompany the request, in accordance with the requirement under draft Article 12(6).

F8.13 Subitem 10.3. A declaration stating that the mistake was made in good faith may accompany the request, in accordance with the requirement under draft Article 12(1)(d).

F8.14 Subitem 10.4. If there are any other attachments, they should be specified in the right-side column of subitem 10.4.

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