

APPENDIX 1

COMPARISON TABLE OF WIPO STANDARDS ST.10/C AND ST.13

	ST.10/C	ST.13
Sample		a 2004 123456
General	7(c) The sequence of characters should be left in its original order, e.g., the digits indicating the year of filing of the application must be printed in their original positions.	4(a) The application number should comprise the following two elements, which represent the minimum significant part of the application number: first, a year designation... second, a serial number for identifying an individual application. 4(c) The total number of the alphanumeric characters should not exceed 12.
Year Designation	7(f) Year designations according to the Gregorian calendar should be represented by four digits.	4(a) A year designation, i.e., a set of four digits for indicating, according to the Gregorian calendar, the year of filing the application.
Serial Number		4(a) A serial number for identifying an individual application. Subject to subparagraph (c), the number of digits of the serial number of a given number series is determined by each industrial property office according to its needs, provided that the serial number has a fixed length with leading zeros, if necessary.
Type of industrial property right	7(e) In the case of a utility model application number, a letter or set of characters may be used by the country or organization concerned for uniquely defining the application. The letter or set of characters should be removed and the letter "U" inserted after the application number, separated by two blank spaces. The words "utility model" may be added in the plain language of the publishing country or organization	4(b) Industrial property offices wishing to indicate the type of industrial property right as part of the application number should use the following letter codes preceding the year designation: (i) "a" for applications for patents for inventions (ii) "v" for applications for plant patents (iii) "s" for applications for design

		<p>patents</p> <p>(iv) “u” for utility model application</p> <p>(v) “c” for applications for SPCs</p> <p>(vi) “f” for industrial design applications</p> <p>(vii) “q” for industrial model applications having a numbering series for industrial design applications</p> <p>(viii) “t” for applications for layout-designs (topographies) of integrated circuits.</p> <p>4(e) In machine-readable records the above-mentioned letter codes might be intered as uppercase letters.</p> <p>5. IPOs introducing parallel numbering series for different types of industrial property rights are recommended to use, as a significant part of the application number, the letter codes.</p>
<p>Separator</p>	<p>7(a) If the number contains a full stop, a comma, or perhaps a s[ace], one or more of these characters or spaces may be omitted. One or more of these characters or spaces may be inserted for the sake of legibility.</p> <p>7(b) If the number contains a slash or a hyphen, these characters must be retained. A hyphen may be replaced by a slash.</p>	<p>4(d) For the sake of legibility of an application number, when presented in printed form, the year designatin should be separated from the letter code, if applied, and from the serial number by spaces.</p>
<p>Control characters</p>	<p>9. Check digits are used by several industrial property offices in relation to application numbers or publication numbers for the purposes of internal control. Different systems are in use by different industrial property offices. Most systems give rise to a single control character, either a numeral from “0” to “9” or a letter from “A” to “Z”. It is clear that the control character has to be associated with the</p>	<p>6. Control characters (check digits) are used by several industrial property offices in relation to application numbers for the purposes of internal control. In general, these characters do not form part of the application numbers. If industrial property offices wish to print control characters with application numbers on documents or in official gazettes it is recommended that the rules set out in paragraph 10</p>

	<p>application number or with the publication number so as to facilitate its control function. However, the control character is not regarded as a significant part of the application number nor as a significant part of the publication number.</p> <p>10. In order to avoid confusion, it is recommended that the following rules be applied if industrial property offices wish to print a control character associated with an application number or with a publication number on patent documents or in official gazettes:</p> <p>(a) the control character should consist of a single numeral; letters should not be used so as to avoid confusion with WIPO Standard ST.16;</p> <p>(b) the control character should be printed immediately after the application number or publication number to which it refers but separated therefrom by a full stop or by a hyphen and preferably in a type font different from that used in the number to which it refers;</p> <p>(c) industrial property offices publishing control characters associated with application numbers or with publication numbers should publish in their official gazette information explaining their use, repeated at intervals of less than one year.</p>	<p>of WIPO Standard ST.10/C be followed.</p>
<p>Country code</p>	<p>8. Two-letter codes according to WIPO Standard ST.3 should be used when indicated:</p> <p>(a) the country, organization or other entity in which a convention priority application was filed</p> <p>(b) the country, organization or other entity that published prior art patent documents, and</p> <p>(c) the country, organization or other entity publishing the patent document. The name of the country, organization</p>	<p>7. It should be noted that the two-letter country code according to WIPO Standard ST.3 does not form part of the application number. However, the WIPO Standard ST.3 code may be associated with the application number, particularly if there is a need to identify a country whose industrial property office received the application. In using the WIPO Standard ST.3 code, it is recommended that the code precede</p>

SCIT/SDWG/6/2
Annex II
Appendix 1, page 4

	or other entity publishing the patent document may be given in plain language, in addition to the ST.3 code, if so desired.	the application number and, when printed, be separated from that number from that number by the space .
--	---	---

[Appendix 2 follows]