

## **Patent Cooperation Treaty (PCT) Working Group**

**Twelfth Session  
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### **CRITERIA FOR FEE REDUCTIONS TO CERTAIN APPLICANTS FROM CERTAIN COUNTRIES, NOTABLY DEVELOPING AND LEAST DEVELOPED COUNTRIES**

*Document prepared by the International Bureau*

#### **SUMMARY**

1. According to Directives adopted by the Assembly, the lists of States whose nationals and residents are eligible for fee reductions under items 5(a) and (b) of the Schedule of Fees are to be updated this year, with effect from July 1, 2020. The present document sets out provisional information concerning the revised lists, according to the criteria set in the PCT Schedule of Fees and the Directives of the Assembly.
2. In addition, according to the PCT Schedule of Fees, the criteria for establishing the lists of States whose nationals and residents are eligible for fee reductions are to be reviewed by the PCT Assembly at least every five years. The document thus also provides information on the use of the reduction and applications by natural persons as background for such a review of the criteria.

#### **BACKGROUND**

3. The PCT Assembly, at its forty-sixth session, held from September 22 to 30, 2014, adopted amendments to the Schedule of Fees annexed to the PCT Regulations, setting out new criteria defining the lists of States whose nationals and residents are eligible for a fee reduction according to item 5(a) and/or (b) of that Schedule. The Assembly also adopted directives on the procedures for updating the lists every five years and required that the criteria be reviewed by the Assembly at least every five years. The criteria adopted by the PCT Assembly in 2014 are as follows:

“5. The international filing fee under item 1 (where applicable, as reduced under item 4), the supplementary search handling fee under item 2 and the handling fee under item 3 are reduced by 90% if the international application is filed by:

“(a) an applicant who is a natural person and who is a national of and resides in a State that is listed as being a State whose per capita gross domestic product is below US\$ 25,000 (according to the most recent 10-year average per capita gross domestic product figures at constant 2005 US\$ values published by the United Nations), and whose nationals and residents who are natural persons have filed less than 10 international applications per year (per million population) or less than 50 international applications per year (in absolute numbers) according to the most recent five-year average yearly filing figures published by the International Bureau; or

“(b) an applicant, whether a natural person or not, who is a national of and resides in a State that is listed as being classified by the United Nations as a least developed country;

“provided that, at the time of filing of the international application, there are no beneficial owners of the international application who would not satisfy the criteria in sub-item (a) or (b) and provided that, if there are several applicants, each must satisfy the criteria set out in either sub-item (a) or (b). The lists of States referred to in sub-items (a) and (b) shall be updated by the Director General at least every five years according to directives given by the Assembly. The criteria set out in sub-items (a) and (b) shall be reviewed by the Assembly at least every five years.”

4. Under these new criteria, natural persons nationals of and resident in 10 States (Bahamas, Cyprus, Greece, Malta, Nauru, Palau, Portugal, Saudi Arabia, Slovenia, and Suriname) became newly eligible for fee reductions. Nationals and residents of two States (Singapore and the United Arab Emirates) ceased to be eligible.

## **REVISING THE LISTS**

5. According to the “Directives For Updating the Lists of States Meeting the Criteria for Reduction of Certain PCT Fees” adopted by the Assembly in 2014, five years after the establishment of the first list of States which meet the criteria referred to in items 5(a) and (b) of the Schedule of Fees (and every five years thereafter), the Director General is required to update the lists of States based on the following information:

“(i) item 5(a) of the Schedule of Fees according to the most recent ten year average per capita gross domestic product figures from the United Nations and according to the most recent five year average yearly PCT filing figures by the International Bureau, respectively, published at least two weeks prior to the first day of the session of the Assembly in September/October of that year;

“(ii) item 5(b) of the Schedule of Fees according to the most recent list of countries classified as least developed countries by the United Nations published at least two weeks prior to the first day of the session of the Assembly in September/October of that year...”

6. That is, the relevant figures will be the latest that are available on September 16, 2019.

7. The figures supplied by the United Nations are typically made available in January each year. Consequently, it is expected that the ten year period for gross domestic product will be the period between 2008 to 2017, for which figures are already available and not likely to change. The period for average yearly PCT filing figures will be the period between 2014 to 2018. For that period, provisional figures are available, but it is unlikely that the final figures will be significantly different so as to affect the composition of the lists of States.

8. Annex I contains a list showing the expected eligibility of States for the revised lists, based on the information currently available. The list is divided into three parts:

- (a) the States currently listed as eligible for the reduction under item 5(a), but not item 5(b);
- (b) the States currently listed as eligible for the reduction under item 5(b) (least developed countries);
- (c) the States not currently listed as eligible for either fee reduction.

9. The United Nations no longer publishes a list of constant 2005 United States dollar (USD) values for gross domestic product. Consequently, these values have been replicated, based on the lists published for actual GDP and “GDP Index constant prices – USD”

10. With regard to the States that are eligible for the reduction under item 5(a), the figures in Annex I show that the average GDP per capita over the most recent 10-year period for Bahamas and Cyprus exceeds the 25,000 USD threshold to be eligible for reductions under this sub-item. Since the lists were established in 2014, the most recent 10-year average GDP per capita for Bahamas has grown by 28.6 per cent from 22,214 USD to 28,567 USD, and for Cyprus has risen from 22,806 USD to 30,906 USD, a growth of 35.5 per cent.

11. Since the lists were established in 2014, Samoa (in 2014) and Equatorial Guinea (in 2017) have graduated from the least developed country category<sup>1</sup>. Consequently, applicants, whether natural persons or not, who are nationals of and resident in these States will cease to be eligible for the reduction under item 5(b); however, this will, in practice, only affect legal entities from those States, since natural persons who are nationals of and residents in these States will still benefit from the reductions under item 5(a). Three more States (Vanuatu (2020), Angola (2021) and Bhutan (2023)) are due to graduate from the least developed country category before the next list would be established in five years time but, under the Directives, would continue to receive the benefit of the reduction until the end of the 5 year period of validity of the list.

12. The expected result of updating the lists is consequently that:

- (a) nationals and residents of the Bahamas and Cyprus will cease to be eligible for the reduction under item 5(a), in both cases because of the growth in ten year average GDP;
- (b) nationals and residents, whether natural persons or not, of Samoa and Equatorial Guinea will cease to be eligible for the reduction under item 5(b), but nationals and residents of those States who are natural persons will continue to be eligible for the reduction under item 5(a).

13. In accordance with the Directives, a revised version of the lists, including any new information that becomes available, will be prepared shortly before the PCT Assembly and be open for comment until the end of the Assembly on October 9, 2019.

## **REVIEW OF THE CRITERIA**

14. The Schedule of Fees requires that the criteria for eligibility for the fee reductions be reviewed by the Assembly at least every five years. While this could be interpreted as requiring a review at the latest in 2020, five years after the entry into force of the new criteria, the Assembly could review the criteria in 2019 at the same time as the Director General updates the lists of eligible States.

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<sup>1</sup> <https://www.un.org/development/desa/dpad/least-developed-country-category/ldc-graduation.html>

15. Annex II to this document sets out information that may be relevant to an assessment of the criteria for eligibility for fee reductions, including:

- (a) the number of fee reductions claimed by residents of different States;
- (b) the number of applications by natural persons resident in different States; and
- (c) the total number of applications by residents (natural persons or otherwise);

in different States for several 12-month periods before and after the last changes to the eligibility criteria came into force on July 1, 2015. In addition, differences are calculated between certain figures for the most recent 12-month period compared to the 12-month period immediately before the change. The list is divided into five parts, according to the periods for which the States were on the lists relating to the two reductions.

16. The International Bureau would make the following observations:

- (a) The averaging across years appears to go some way towards offering the degree of stability intended. Only two of the 10 States that in 2015 became eligible for reductions, largely due to the worldwide financial crisis, lose their eligibility according to the above figures, even though others have risen again above the threshold level for GDP if measured only against the latest year.
- (b) The availability of a reduction does appear to affect applicant behavior, but the effects are difficult to assess. It would appear that the rates of applications by natural persons and in total, as well as the level of use of the reductions, are affected strongly by factors that are unrelated to whether the reduction is available or not.
- (c) The measures adopted to make clear that the reductions are not intended for international applications with a "beneficial owner" not eligible for the reduction, together with the associated increased awareness of the issues by receiving Offices, have significantly reduced the claiming of reductions by individuals on behalf of large companies not eligible for the reduction.

17. The International Bureau does not have any recommendations at this time for changes to the criteria for determining eligibility for these reductions and would propose that the Working Group recommend to the Assembly that the criteria in item 5 of the Schedule of Fees be maintained and be reviewed again in five years, as required by that Schedule.

*18. The Working Group is invited to:*

- (i) note the provisional information set out in Annex I concerning States expected to be included in the revised lists for the purpose of the fee reductions in items 5(a) and (b) of the Schedule of Fees;*
- (ii) comment on the use, effectiveness and suitability of the criteria in item 5 of the Schedule of Fees;*

*(iii) recommend to the PCT Assembly that the criteria in item 5 of the Schedule of Fees be maintained and be reviewed again in five years, as required by that Schedule.*

[Annexes follow]

## ANNEX I

## ELIGIBILITY FOR FEE REDUCTIONS

The following table shows the provisional information on which the eligibility for inclusion in the lists for reductions under items 5(a) and 5(b) of the Schedule of Fees are based.

In this table, the “eligibility” columns show “Y” (“Yes”) if the State meets the criteria for inclusion in the relevant list when it is established or “N” (“No”) if the State will cease to meet the criteria for inclusion in the relevant list when it is established. The “Pop.” column shows the average population in the period 2013 to 2017 according to the United Nations statistics. The GDP column shows the average per capita gross domestic product values for the period 2008 to 2017 at constant 2004 United States dollar values. The applications by natural person are based on the international applications filed in the period 2014-2018, though the “per million” figure is calculated using the 2013-2017 population figures since the 2018 figures are not available.

To be eligible for the reduction under item 5(a), a State must have a GDP less than 25,000 and either the average total applications by natural persons must be less than 50 or else the applications per million persons must be less than 10.

ST.3 code, State	Eligibility		Pop.	GDP	Applications by natural persons		
	5(a)	5(b)			Total	Per million	
<b>A. States currently listed for the reduction in item 5(a), but not 5(b)</b>							
AG Antigua and Barbuda	Y		0.1	13,432.0	0.0	0.0	
AL Albania	Y		2.9	4,453.6	2.6	0.9	
AM Armenia	Y		2.9	4,079.0	4.6	1.6	
AR Argentina	Y		43.4	10,877.6	16.0	0.4	
AZ Azerbaijan	Y		9.6	6,064.2	4.4	0.5	
BA Bosnia and Herzegovina	Y		3.5	5,151.3	4.0	1.1	
BB Barbados	Y		0.3	16,479.9	0.0	0.0	
BG Bulgaria	Y		7.2	7,619.7	20.8	2.9	
BH Bahrain	Y		1.4	22,554.4	1.2	0.9	
BO Bolivia (Plurinational State of)	Y		10.7	2,292.1	0.2	0.0	
BR Brazil	Y		205.9	11,763.0	219.0	1.1	
BS Bahamas	N		0.4	28,567.1	0.0	0.0	
BW Botswana	Y		2.2	7,273.8	0.0	0.0	
BY Belarus	Y		9.5	6,521.2	12.8	1.4	
BZ Belize	Y		0.4	4,567.3	0.2	0.6	
CG Congo	Y		5.0	3,061.5	0.4	0.1	
CI Côte d'Ivoire	Y		23.1	1,396.9	1.8	0.1	
CL Chile	Y		17.8	14,590.9	44.6	2.5	
CM Cameroon	Y		22.8	1,452.7	2.0	0.1	
CN China	Y		1,396.6	5,691.5	3,902.6	2.8	
CO Colombia	Y		48.2	7,184.1	30.6	0.6	
CR Costa Rica	Y		4.8	9,204.9	3.0	0.6	
CU Cuba	Y		11.5	6,309.9	1.0	0.1	
CV Cabo Verde	Y		0.5	3,553.4	0.0	0.0	
CY Cyprus	N		0.9	30,905.7	3.0	3.5	

ST.3 code, State	Eligibility		Pop.	GDP	Applications by natural persons	
	5(a)	5(b)			Total	Per million
CZ Czech Republic	Y		10.6	21,428.0	28.2	2.7
DM Dominica	Y		0.1	7,109.5	0.0	0.0
DO Dominican Republic	Y		10.5	6,132.2	4.6	0.4
DZ Algeria	Y		39.9	4,810.1	7.8	0.2
EC Ecuador	Y		16.1	5,281.5	3.0	0.2
EE Estonia	Y		1.3	17,488.8	3.6	2.7
EG Egypt	Y		93.7	2,681.6	47.2	0.5
FJ Fiji	Y		0.9	4,085.5	0.0	0.0
FM Micronesia (Federated States of)	Y		0.1	2,959.8	0.0	0.0
GA Gabon	Y		1.9	9,594.6	0.0	0.0
GD Grenada	Y		0.1	8,259.5	0.0	0.0
GE Georgia	Y		4.0	3,432.1	6.8	1.7
GH Ghana	Y		27.6	2,095.0	1.2	0.0
GR Greece	Y		11.2	24,898.2	50.2	4.5
GT Guatemala	Y		16.3	3,087.9	1.2	0.1
GY Guyana	Y		0.8	3,506.2	0.2	0.3
HN Honduras	Y		9.0	2,129.1	0.2	0.0
HR Croatia	Y		4.2	14,763.8	18.2	4.3
HU Hungary	Y		9.8	14,680.4	65.0	6.6
ID Indonesia	Y		258.1	3,642.7	7.4	0.0
IN India	Y		1,309.0	1,613.5	438.6	0.3
IQ Iraq	Y		36.1	4,883.2	1.4	0.0
IR Iran (Islamic Republic of)	Y		79.3	6,695.9	49.2	0.6
JM Jamaica	Y		2.9	4,998.1	0.8	0.3
JO Jordan	Y		9.1	3,651.5	8.0	0.9
KE Kenya	Y		47.3	1,083.4	3.6	0.1
KG Kyrgyzstan	Y		5.9	1,015.8	0.2	0.0
KN Saint Kitts and Nevis	Y		0.1	15,314.3	0.2	3.7
KP Democratic People's Republic of Korea	Y		25.1	603.5	3.0	0.1
KZ Kazakhstan	Y		17.7	10,349.5	16.4	0.9
LB Lebanon	Y		5.8	8,178.8	3.4	0.6
LC Saint Lucia	Y		0.2	8,571.4	0.0	0.0
LK Sri Lanka	Y		20.7	3,418.5	8.8	0.4
LT Lithuania	Y		2.9	14,577.5	2.6	0.9
LV Latvia	Y		2.0	13,804.6	8.0	4.0
LY Libya	Y		6.3	7,109.4	1.0	0.2
MA Morocco	Y		34.8	3,240.3	13.4	0.4
MD Republic of Moldova	Y		4.1	1,675.1	5.6	1.4
ME Montenegro	Y		0.6	7,288.7	0.4	0.6
MH Marshall Islands	Y		0.1	3,396.1	0.0	0.0
MK North Macedonia	Y		2.1	5,010.8	1.8	0.9
MN Mongolia	Y		3.0	3,520.8	0.4	0.1
MT Malta	Y		0.4	24,602.3	1.4	3.3

ST.3 code, State	Eligibility		Pop.	GDP	Applications by natural persons	
	5(a)	5(b)			Total	Per million
MU Mauritius	Y		1.3	9,177.2	0.8	0.6
MV Maldives	Y		0.4	8,157.0	0.0	0.0
MX Mexico	Y		125.9	9,848.9	135.2	1.1
MY Malaysia	Y		30.7	10,391.8	38.0	1.2
NA Namibia	Y		2.4	5,820.6	0.4	0.2
NG Nigeria	Y		181.3	2,503.3	5.8	0.0
NI Nicaragua	Y		6.1	1,804.3	0.4	0.1
NR Nauru	Y		0.0	10,914.0	0.0	0.0
OM Oman	Y		4.2	18,710.2	1.6	0.4
PA Panama	Y		4.0	10,018.2	0.8	0.2
PE Peru	Y		31.4	5,753.9	15.8	0.5
PG Papua New Guinea	Y		7.9	2,185.5	0.0	0.0
PH Philippines	Y		101.7	2,486.9	17.2	0.2
PK Pakistan	Y		189.4	1,128.3	1.8	0.0
PL Poland	Y		38.3	14,185.6	63.8	1.7
PT Portugal	Y		10.4	22,763.8	23.4	2.2
PW Palau	Y		0.0	10,153.4	0.0	0.0
PY Paraguay	Y		6.6	3,655.3	0.0	0.0
RO Romania	Y		19.9	9,501.0	23.8	1.2
RS Serbia	Y		7.1	5,865.9	11.6	1.6
RU Russian Federation	Y		143.8	11,871.3	495.4	3.4
SA Saudi Arabia	Y		31.5	21,487.1	23.8	0.8
SC Seychelles	Y		0.1	12,494.1	0.2	2.1
SI Slovenia	Y		2.1	24,845.9	19.8	9.5
SK Slovakia	Y		5.4	18,467.2	18.6	3.4
SR Suriname	Y		0.6	8,819.6	0.2	0.4
SV El Salvador	Y		6.3	3,274.3	1.0	0.2
SY Syrian Arab Republic	Y		18.9	2,232.4	4.2	0.2
SZ Eswatini	Y		1.3	3,991.6	0.6	0.5
TH Thailand	Y		68.6	5,656.6	35.2	0.5
TJ Tajikistan	Y		8.5	847.7	0.0	0.0
TM Turkmenistan	Y		5.6	5,886.5	0.0	0.0
TN Tunisia	Y		11.3	4,331.3	5.0	0.4
TO Tonga	Y		0.1	3,822.4	0.2	1.9
TR Turkey	Y		78.3	12,982.0	217.8	2.8
TT Trinidad and Tobago	Y		1.4	17,136.3	1.8	1.3
UA Ukraine	Y		44.7	3,165.6	114.8	2.6
UY Uruguay	Y		3.4	13,468.9	2.2	0.6
UZ Uzbekistan	Y		31.0	1,700.7	3.2	0.1
VC Saint Vincent and the Grenadines	Y		0.1	6,751.8	0.0	0.0
VE Venezuela (Bolivarian Republic of)	Y		31.2	13,695.8	2.6	0.1
VN Viet Nam	Y		93.5	1,551.9	11.0	0.1



ST.3 code, State	Eligibility		Pop.	GDP	Applications by natural persons		
	5(a)	5(b)			Total	Per million	
ZA South Africa	Y		55.3	7,784.0	119.8	2.2	
ZW Zimbabwe	Y		15.8	853.6	1.6	0.1	
<b>B. States currently listed for the reduction in item 5(b) (least developed countries)</b>							
AF Afghanistan	Y	Y	33.7	626.4	0.0	0.0	
AO Angola	Y	Y	27.9	3,804.0	1.2	0.0	
BD Bangladesh	Y	Y	161.2	905.5	0.6	0.0	
BF Burkina Faso	Y	Y	18.1	643.0	0.2	0.0	
BI Burundi	Y	Y	10.2	244.8	0.4	0.0	
BJ Benin	Y	Y	10.6	846.4	0.0	0.0	
BT Bhutan	Y	Y	0.8	2,512.8	0.0	0.0	
CD Democratic Republic of the Congo	Y	Y	76.3	385.5	0.0	0.0	
CF Central African Republic	Y	Y	4.6	404.2	0.4	0.1	
DJ Djibouti	Y	Y	0.9	1,405.4	0.0	0.0	
ER Eritrea	Y	Y	4.9	553.8	0.0	0.0	
ET Ethiopia	Y	Y	99.9	385.3	0.8	0.0	
GM Gambia	Y	Y	2.0	846.1	0.0	0.0	
GN Guinea	Y	Y	12.1	721.9	0.2	0.0	
GQ Equatorial Guinea	Y	N	1.2	17,070.2	0.0	0.0	
GW Guinea-Bissau	Y	Y	1.8	584.3	0.0	0.0	
HT Haiti	Y	Y	10.7	751.8	0.0	0.0	
KH Cambodia	Y	Y	15.5	956.1	0.2	0.0	
KI Kiribati	Y	Y	0.1	1,670.1	0.0	0.0	
KM Comoros	Y	Y	0.8	1,494.4	0.0	0.0	
LA Lao People's Democratic Republic	Y	Y	6.7	1,450.2	0.0	0.0	
LR Liberia	Y	Y	4.5	382.4	0.0	0.0	
LS Lesotho	Y	Y	2.2	1,311.9	0.0	0.0	
MG Madagascar	Y	Y	24.3	509.7	0.6	0.0	
ML Mali	Y	Y	17.5	845.6	0.0	0.0	
MM Myanmar	Y	Y	52.4	1,009.8	0.0	0.0	
MR Mauritania	Y	Y	4.2	1,315.8	0.0	0.0	
MW Malawi	Y	Y	17.6	484.2	0.6	0.0	
MZ Mozambique	Y	Y	28.0	482.9	0.2	0.0	
NE Niger	Y	Y	19.9	384.9	0.2	0.0	
NP Nepal	Y	Y	28.7	678.1	0.4	0.0	
RW Rwanda	Y	Y	11.6	667.0	0.2	0.0	
SB Solomon Islands	Y	Y	0.6	1,467.3	0.0	0.0	
SD Sudan	Y	Y	38.7	1,565.2	2.6	0.1	
SL Sierra Leone	Y	Y	7.2	473.0	0.0	0.0	
SN Senegal	Y	Y	15.0	1,387.4	1.6	0.1	
SO Somalia	Y	Y	13.9	94.5	0.2	0.0	
SS South Sudan	Y	Y	11.9	1,240.3	0.0	0.0	

ST.3 code, State	Eligibility		Pop.	GDP	Applications by natural persons		
	5(a)	5(b)			Total	Per million	
ST Sao Tome and Principe	Y	Y	0.2	1,244.2	0.0	0.0	
TD Chad	Y	Y	14.0	942.5	0.0	0.0	
TG Togo	Y	Y	7.4	601.4	0.4	0.1	
TL Timor-Leste	Y	Y	1.2	3,560.3	0.0	0.0	
TV Tuvalu	Y	Y	0.0	3,414.4	0.0	0.0	
TZ United Republic of Tanzania	Y	Y	52.5	797.8	0.6	0.0	
UG Uganda	Y	Y	40.2	620.0	0.6	0.0	
VU Vanuatu	Y	Y	0.3	3,058.8	0.0	0.0	
WS Samoa	Y	N	0.2	3,885.8	0.0	0.0	
YE Yemen	Y	Y	26.9	1,020.5	1.2	0.0	
ZM Zambia	Y	Y	16.1	1,598.5	0.2	0.0	
<b>C. States not currently listed for either fee reduction</b>							
AD Andorra			0.1	42,937.1	0.6	7.7	
AE United Arab Emirates			9.2	40,616.2	5.6	0.6	
AT Austria			8.7	49,814.5	155.8	18.0	
AU Australia			23.8	63,667.9	282.8	11.9	
BE Belgium			11.3	46,752.7	39.4	3.5	
BN Brunei Darussalam			0.4	35,705.1	0.2	0.5	
CA Canada			35.9	51,234.3	379.8	10.6	
CH Switzerland			8.3	78,907.5	104.4	12.6	
DE Germany			81.7	46,174.5	732.8	9.0	
DK Denmark			5.7	62,208.5	33.4	5.9	
ES Spain			46.5	32,060.8	313.8	6.8	
FI Finland			5.5	48,467.1	50.6	9.2	
FR France			66.7	43,263.0	316.8	4.8	
GB United Kingdom			65.4	42,178.1	369.4	5.6	
IE Ireland			4.7	58,710.7	43.6	9.3	
IL Israel			8.1	34,707.2	260.8	32.3	
IS Iceland			0.3	48,533.2	2.6	7.9	
IT Italy			59.5	36,848.0	481.0	8.1	
JP Japan			127.9	47,680.1	463.0	3.6	
KR Republic of Korea			50.6	24,600.4	1,709.2	33.8	
KW Kuwait			3.9	40,291.4	1.6	0.4	
LI Liechtenstein			0.0	162,685.7	0.6	16.2	
LU Luxembourg			0.6	110,656.3	4.4	7.8	
MC Monaco			0.0	175,258.4	0.6	15.8	
NL Netherlands			16.9	54,037.1	82.4	4.9	
NO Norway			5.2	93,317.2	40.8	7.9	
NZ New Zealand			4.6	36,924.8	55.0	11.9	
QA Qatar			2.5	71,584.7	2.0	0.8	
SE Sweden			9.8	56,053.1	98.6	10.1	
SG Singapore			5.5	51,541.0	40.0	7.2	

ST.3 code, State	Eligibility		Pop.	GDP	Applications by natural persons	
	5(a)	5(b)			Total	Per million
SM San Marino			0.0	63,830.3	0.6	18.2
US United States of America			320.0	52,734.2	3,259.0	10.2

[Annex II follows]

## ANNEX II

## CHANGES IN FILING

In this table:

- “y-1” represents the 12 month period immediately preceding the change in eligibility on July 1, 2015; “y+1” represents the 12 month period immediately following, *etc.*
- Each period for each State shows three values in the form: “a / b / c”, where “a” is the number of fee reductions claimed by residents of that State, “b” is the number of international applications by natural persons resident in that State and “c” is the total number of international applications by residents of that State (natural persons or otherwise).
- The final four columns compare filings between the most recent 12 months (y+3: July 1, 2017, to June 30, 2018) to the twelve months immediately preceding the change in eligibility for fee reductions. The first two of these columns show an approximation of the percentage of all applications by residents of the relevant States that claimed the fee reduction in each of the two years. The final two columns show the percent change in number of applications by residents of the relevant State between the two years, in total and applications with only natural persons as applicants.

The list only includes States for which at least one international application included a resident listed as first applicant during the relevant period.

ST.3 code, State	Applications before July 1, 2015 <i>(with reductions / by natural persons only / total)</i>			Applications from July 1, 2015 <i>(with reductions / by natural persons only / total)</i>			% of all applications having reductions		% change in filings	
	y-3	y-2	y-1	y+1	y+2	y+3	y-1	y+3	Total	Natural persons
<b>A. States included in the list prior to July 1, 2015, but not afterwards</b>										
AE United Arab Emirates	12 / 19 / 25	29 / 29 / 76	11 / 22 / 92	0 / 21 / 81	0 / 21 / 79	0 / 20 / 99	12%		+8%	-9%
SG Singapore	29 / 31 / 405	59 / 71 / 925	62 / 72 / 922	0 / 26 / 886	0 / 41 / 895	0 / 27 / 839	7%		-9%	-63%

ST.3 code, State	Applications before July 1, 2015 (with reductions / by natural persons only / total)			Applications from July 1, 2015 (with reductions / by natural persons only / total)			% of all applications having reductions		% change in filings	
	y-3	y-2	y-1	y+1	y+2	y+3	y-1	y+3	Total	Natural persons
<b>B. States included in the list from July 1, 2015, but not before</b>										
BS Bahamas	0 / 0 / 7	0 / 1 / 12	0 / 0 / 7	0 / 0 / 6	0 / 0 / 5	0 / 0 / 4			-43%	
CY Cyprus	0 / 0 / 16	0 / 6 / 42	0 / 2 / 55	8 / 8 / 55	6 / 2 / 42	6 / 4 / 47		13%	-15%	+100%
GR Greece	0 / 33 / 54	0 / 60 / 111	0 / 62 / 126	43 / 58 / 114	50 / 66 / 115	45 / 53 / 125		36%	-1%	-15%
MT Malta	0 / 0 / 37	0 / 2 / 73	0 / 3 / 67	0 / 2 / 79	0 / 1 / 88	1 / 1 / 44		2%	-34%	-67%
PT Portugal	0 / 12 / 84	0 / 21 / 144	0 / 27 / 160	14 / 18 / 167	23 / 29 / 186	23 / 30 / 216		11%	+35%	+11%
SA Saudi Arabia	0 / 1 / 101	0 / 13 / 257	0 / 21 / 359	28 / 34 / 273	26 / 46 / 358	28 / 42 / 471		6%	+31%	+100%
SI Slovenia	0 / 16 / 63	0 / 19 / 127	0 / 14 / 133	16 / 19 / 76	15 / 17 / 70	31 / 38 / 134		23%	+1%	+171%
<b>C. States included in the list throughout the entire period</b>										
AG Antigua and Barbuda	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 1 / 33	0 / 0 / 76				
AL Albania	0 / 0 / 0	1 / 1 / 1	3 / 3 / 3	0 / 0 / 0	1 / 2 / 2	2 / 5 / 5	100%	40%	+67%	+67%
AM Armenia	3 / 3 / 3	4 / 4 / 5	3 / 4 / 4	6 / 11 / 12	3 / 3 / 3	5 / 5 / 5	75%	100%	+25%	+25%
AR Argentina	1 / 3 / 12	3 / 17 / 33	4 / 9 / 25	9 / 22 / 37	5 / 16 / 43	8 / 22 / 34	16%	24%	+36%	+144%
AZ Azerbaijan	3 / 3 / 3	2 / 3 / 3	0 / 0 / 0	1 / 1 / 3	4 / 4 / 5	15 / 16 / 21		71%		
BA Bosnia and Herzegovina	4 / 4 / 4	6 / 6 / 6	2 / 2 / 2	3 / 3 / 4	2 / 2 / 4	3 / 4 / 5	100%	60%	+150%	+100%
BB Barbados	0 / 0 / 81	1 / 1 / 157	0 / 0 / 173	0 / 0 / 116	0 / 1 / 163	0 / 0 / 84			-51%	
BG Bulgaria	10 / 15 / 33	25 / 28 / 54	23 / 26 / 57	27 / 26 / 51	17 / 14 / 35	22 / 26 / 63	40%	35%	+11%	+0%
BH Bahrain	1 / 1 / 1	2 / 2 / 3	1 / 4 / 4	2 / 3 / 4	0 / 0 / 3	0 / 1 / 2	25%		-50%	-75%
BO Bolivia (Plurinational State of)	0 / 0 / 0	0 / 1 / 1	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0				

ST.3 code, State	Applications before July 1, 2015 <i>(with reductions / by natural persons only / total)</i>			Applications from July 1, 2015 <i>(with reductions / by natural persons only / total)</i>			% of all applications having reductions		% change in filings	
	y-3	y-2	y-1	y+1	y+2	y+3	y-1	y+3	Total	Natural persons
BR Brazil	105 / 103 / 259	223 / 232 / 633	212 / 217 / 552	201 / 211 / 565	233 / 247 / 586	246 / 259 / 613	38%	40%	+11%	+19%
BW Botswana	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	2 / 2 / 2	0 / 0 / 0				
BY Belarus	6 / 6 / 9	12 / 13 / 15	15 / 8 / 13	14 / 8 / 11	18 / 19 / 23	18 / 20 / 24	115%	75%	+85%	+150%
BZ Belize	0 / 0 / 2	0 / 1 / 4	0 / 0 / 2	0 / 0 / 3	5 / 1 / 8	0 / 0 / 0				
CG Congo	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	1 / 1 / 2	0 / 0 / 0	0 / 0 / 0				
CI Côte d'Ivoire	1 / 1 / 1	2 / 2 / 2	1 / 1 / 1	1 / 3 / 3	1 / 1 / 1	1 / 1 / 1	100%	100%	+0%	+0%
CL Chile	23 / 26 / 55	44 / 51 / 135	36 / 49 / 164	50 / 54 / 172	38 / 43 / 180	51 / 59 / 192	22%	27%	+17%	+20%
CM Cameroon	0 / 0 / 0	1 / 1 / 1	1 / 1 / 1	1 / 1 / 1	2 / 2 / 2	0 / 0 / 0	100%			
CN China	1,305 / 1,270 / 8,446	3,249 / 3,169 / 23,616	3,124 / 3,494 / 27,066	5,152 / 5,914 / 34,520	5,671 / 7,077 / 46,350	3,357 / 3,766 / 50,359	12%	7%	+86%	+8%
CO Colombia	11 / 10 / 27	26 / 26 / 101	21 / 25 / 95	20 / 20 / 79	31 / 44 / 134	47 / 52 / 164	22%	29%	+73%	+108%
CR Costa Rica	1 / 1 / 2	4 / 8 / 12	2 / 3 / 10	2 / 2 / 5	0 / 0 / 7	3 / 5 / 15	20%	20%	+50%	+67%
CU Cuba	0 / 0 / 3	0 / 0 / 9	0 / 0 / 3	0 / 0 / 0	0 / 0 / 7	0 / 0 / 5			+67%	
CZ Czech Republic	16 / 26 / 92	31 / 37 / 186	32 / 32 / 188	26 / 36 / 214	25 / 24 / 187	27 / 32 / 177	17%	15%	-6%	+0%
DM Dominica	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 1	0 / 0 / 0	0 / 0 / 0				
DO Dominican Republic	3 / 3 / 3	4 / 4 / 7	1 / 1 / 1	3 / 3 / 6	6 / 6 / 7	6 / 9 / 10	100%	60%	+900%	+800%
DZ Algeria	3 / 4 / 5	4 / 4 / 5	8 / 8 / 9	9 / 11 / 12	8 / 8 / 13	5 / 7 / 7	89%	71%	-22%	-13%
EC Ecuador	1 / 2 / 7	3 / 5 / 16	1 / 2 / 4	1 / 0 / 5	3 / 4 / 7	4 / 5 / 27	25%	15%	+575%	+150%
EE Estonia	4 / 5 / 13	1 / 1 / 22	9 / 5 / 30	2 / 4 / 23	1 / 1 / 38	3 / 3 / 42	30%	7%	+40%	-40%
EG Egypt	17 / 18 / 22	40 / 42 / 53	48 / 55 / 60	38 / 39 / 46	33 / 42 / 44	23 / 26 / 28	80%	82%	-53%	-53%
FJ Fiji	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0				
FM Micronesia (Federated States of)	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 2	0 / 0 / 0	0 / 0 / 0				
GA Gabon	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 1 / 1	0 / 0 / 0	0 / 0 / 0				

ST.3 code, State	Applications before July 1, 2015 (with reductions / by natural persons only / total)			Applications from July 1, 2015 (with reductions / by natural persons only / total)			% of all applications having reductions		% change in filings	
	y-3	y-2	y-1	y+1	y+2	y+3	y-1	y+3	Total	Natural persons
GE Georgia	4 / 5 / 5	5 / 5 / 7	1 / 1 / 2	6 / 7 / 10	16 / 16 / 16	2 / 2 / 2	50%	100%	+0%	+100%
GH Ghana	1 / 1 / 1	1 / 1 / 1	1 / 1 / 1	1 / 1 / 1	1 / 1 / 1	0 / 0 / 0	100%			
GT Guatemala	2 / 2 / 2	0 / 0 / 0	3 / 3 / 3	0 / 0 / 0	2 / 2 / 2	0 / 0 / 1	100%		-67%	-100%
HN Honduras	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 1	0 / 0 / 0				
HR Croatia	14 / 14 / 21	28 / 33 / 50	12 / 23 / 41	17 / 18 / 34	11 / 13 / 33	13 / 18 / 36	29%	36%	-12%	-22%
HU Hungary	27 / 27 / 77	60 / 58 / 156	65 / 72 / 166	82 / 84 / 160	73 / 83 / 171	48 / 52 / 145	39%	33%	-13%	-28%
ID Indonesia	3 / 4 / 7	6 / 8 / 11	5 / 5 / 12	8 / 10 / 13	5 / 6 / 6	5 / 4 / 9	42%	56%	-25%	-20%
IN India	193 / 167 / 650	394 / 377 / 1,408	305 / 350 / 1,332	447 / 490 / 1,511	473 / 492 / 1,510	680 / 726 / 1,835	23%	37%	+38%	+107%
IQ Iraq	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	1 / 1 / 1	1 / 1 / 1	0 / 1 / 2				
IR Iran (Islamic Republic of)	0 / 0 / 0	10 / 16 / 18	31 / 49 / 50	56 / 66 / 73	45 / 66 / 68	101 / 118 / 128	62%	79%	+156%	+141%
JM Jamaica	0 / 0 / 0	0 / 1 / 2	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 1 / 1				
JO Jordan	0 / 0 / 0	1 / 1 / 6	0 / 2 / 2	0 / 0 / 1	2 / 0 / 1	12 / 12 / 14		86%	+600%	+500%
KE Kenya	4 / 5 / 5	1 / 3 / 4	11 / 9 / 17	1 / 1 / 4	5 / 5 / 8	4 / 5 / 6	65%	67%	-65%	-44%
KG Kyrgyzstan	0 / 0 / 0	0 / 1 / 1	0 / 0 / 0	1 / 1 / 1	0 / 0 / 0	0 / 0 / 0				
KN Saint Kitts and Nevis	0 / 0 / 0	0 / 0 / 2	0 / 0 / 1	0 / 0 / 1	0 / 0 / 1	0 / 0 / 1			+0%	
KP Democratic People's Republic of Korea	1 / 1 / 1	2 / 2 / 3	5 / 5 / 5	5 / 4 / 5	1 / 1 / 1	2 / 2 / 2	100%	100%	-60%	-60%
KZ Kazakhstan	8 / 9 / 9	15 / 14 / 18	11 / 13 / 21	23 / 23 / 31	20 / 17 / 25	15 / 16 / 18	52%	83%	-14%	+23%
LB Lebanon	0 / 0 / 2	0 / 4 / 4	0 / 5 / 9	1 / 2 / 8	0 / 0 / 4	0 / 3 / 5			-44%	-40%
LC Saint Lucia	0 / 0 / 0	0 / 0 / 0	0 / 0 / 1	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0				
LK Sri Lanka	3 / 3 / 5	9 / 12 / 16	10 / 11 / 19	5 / 7 / 16	5 / 7 / 14	15 / 17 / 23	53%	65%	+21%	+55%
LT Lithuania	0 / 0 / 20	4 / 3 / 47	2 / 0 / 43	3 / 4 / 35	3 / 3 / 32	4 / 4 / 30	5%	13%	-30%	
LV Latvia	2 / 3 / 11	5 / 7 / 24	14 / 12 / 35	11 / 10 / 24	19 / 12 / 28	14 / 12 / 24	40%	58%	-31%	+0%
LY Libya	0 / 0 / 0	0 / 0 / 0	1 / 1 / 1	0 / 0 / 0	1 / 1 / 1	3 / 3 / 3	100%	100%	+200%	+200%

ST.3 code, State	Applications before July 1, 2015 <i>(with reductions / by natural persons only / total)</i>			Applications from July 1, 2015 <i>(with reductions / by natural persons only / total)</i>			% of all applications having reductions		% change in filings	
	y-3	y-2	y-1	y+1	y+2	y+3	y-1	y+3	Total	Natural persons
MA Morocco	8 / 8 / 19	10 / 10 / 48	14 / 14 / 53	12 / 15 / 42	9 / 11 / 38	10 / 10 / 43	26%	23%	-19%	-29%
MD Republic of Moldova	1 / 1 / 1	1 / 1 / 1	3 / 4 / 4	10 / 10 / 10	10 / 10 / 10	6 / 6 / 6	75%	100%	+50%	+50%
ME Montenegro	1 / 1 / 1	2 / 2 / 2	0 / 0 / 0	0 / 0 / 0	0 / 1 / 3	0 / 0 / 1				
MH Marshall Islands	0 / 0 / 0	0 / 0 / 2	0 / 0 / 1	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0				
MK North Macedonia	0 / 0 / 0	1 / 3 / 3	1 / 1 / 1	2 / 3 / 5	0 / 0 / 0	3 / 4 / 5	100%	60%	+400%	+300%
MN Mongolia	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	1 / 1 / 1	0 / 0 / 1	1 / 1 / 1		100%		
MU Mauritius	0 / 0 / 4	1 / 3 / 6	0 / 0 / 3	1 / 1 / 2	0 / 1 / 3	0 / 0 / 5			+67%	
MX Mexico	46 / 50 / 88	142 / 150 / 271	142 / 155 / 299	139 / 145 / 285	139 / 151 / 288	138 / 149 / 279	47%	49%	-7%	-4%
MY Malaysia	12 / 13 / 129	31 / 34 / 400	27 / 30 / 203	44 / 45 / 235	39 / 38 / 165	38 / 40 / 142	13%	27%	-30%	+33%
NA Namibia	3 / 1 / 1	3 / 2 / 2	1 / 1 / 2	2 / 3 / 3	2 / 2 / 2	1 / 1 / 2	50%	50%	+0%	+0%
NG Nigeria	0 / 0 / 0	4 / 6 / 7	3 / 5 / 6	2 / 7 / 7	1 / 2 / 3	2 / 3 / 3	50%	67%	-50%	-40%
NI Nicaragua	1 / 1 / 1	1 / 1 / 1	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0				
OM Oman	0 / 0 / 0	1 / 1 / 3	1 / 1 / 1	4 / 5 / 9	3 / 5 / 5	6 / 8 / 9	100%	67%	+800%	+700%
PA Panama	0 / 0 / 8	0 / 0 / 12	2 / 2 / 19	2 / 2 / 6	1 / 2 / 58	3 / 3 / 163	11%	2%	+758%	+50%
PE Peru	2 / 3 / 6	10 / 10 / 16	11 / 10 / 16	19 / 19 / 27	22 / 22 / 27	27 / 27 / 35	69%	77%	+119%	+170%
PH Philippines	14 / 14 / 24	17 / 19 / 31	18 / 22 / 29	16 / 15 / 20	17 / 23 / 29	9 / 11 / 17	62%	53%	-41%	-50%
PK Pakistan	0 / 1 / 1	0 / 0 / 1	0 / 0 / 0	0 / 2 / 2	0 / 0 / 1	1 / 2 / 2		50%		
PL Poland	24 / 24 / 135	48 / 56 / 352	42 / 41 / 375	81 / 91 / 410	72 / 94 / 335	66 / 84 / 322	11%	20%	-14%	+105%
PY Paraguay	0 / 0 / 0	0 / 0 / 0	0 / 0 / 1	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0				
RO Romania	9 / 11 / 12	10 / 16 / 34	17 / 17 / 32	23 / 26 / 35	18 / 20 / 34	23 / 24 / 29	53%	79%	-9%	+41%
RS Serbia	5 / 8 / 15	9 / 11 / 15	15 / 20 / 30	10 / 13 / 24	9 / 9 / 11	10 / 13 / 20	50%	50%	-33%	-35%
RU Russian Federation	277 / 315 / 500	541 / 598 / 1,033	432 / 467 / 817	431 / 454 / 860	487 / 537 / 1,011	489 / 542 / 1,041	53%	47%	+27%	+16%
SC Seychelles	0 / 0 / 2	0 / 1 / 18	0 / 0 / 8	0 / 1 / 6	0 / 0 / 5	0 / 0 / 2			-75%	



ST.3 code, State	Applications before July 1, 2015 (with reductions / by natural persons only / total)			Applications from July 1, 2015 (with reductions / by natural persons only / total)			% of all applications having reductions		% change in filings	
	y-3	y-2	y-1	y+1	y+2	y+3	y-1	y+3	Total	Natural persons
SK Slovakia	4 / 5 / 13	26 / 28 / 67	10 / 13 / 41	11 / 11 / 41	20 / 20 / 47	19 / 21 / 58	24%	33%	+41%	+62%
SV El Salvador	0 / 0 / 0	1 / 1 / 1	1 / 1 / 3	0 / 0 / 0	1 / 2 / 2	0 / 0 / 0	33%			
SY Syrian Arab Republic	1 / 1 / 1	0 / 1 / 1	3 / 1 / 1	1 / 2 / 2	1 / 1 / 1	2 / 2 / 2	300%	100%	+100%	+100%
SZ Eswatini	0 / 0 / 0	0 / 0 / 0	1 / 1 / 1	1 / 1 / 1	0 / 0 / 0	0 / 0 / 0	100%			
TH Thailand	9 / 10 / 25	28 / 35 / 66	27 / 38 / 98	47 / 57 / 159	44 / 47 / 147	28 / 29 / 124	28%	23%	+27%	-24%
TN Tunisia	2 / 2 / 2	4 / 5 / 7	3 / 4 / 7	2 / 3 / 3	4 / 2 / 4	9 / 10 / 11	43%	82%	+57%	+150%
TO Tonga	0 / 0 / 0	1 / 1 / 1	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0				
TR Turkey	125 / 138 / 358	191 / 216 / 822	237 / 254 / 908	253 / 268 / 1,019	265 / 283 / 1,143	243 / 284 / 1,220	26%	20%	+34%	+12%
TT Trinidad and Tobago	0 / 0 / 0	0 / 0 / 0	1 / 5 / 5	0 / 31 / 31	3 / 10 / 10	4 / 4 / 5	20%	80%	+0%	-20%
UA Ukraine	48 / 55 / 64	114 / 125 / 158	96 / 101 / 132	138 / 145 / 156	122 / 134 / 152	107 / 128 / 138	73%	78%	+5%	+27%
UY Uruguay	1 / 2 / 2	1 / 3 / 6	0 / 1 / 9	0 / 5 / 9	2 / 7 / 14	0 / 2 / 12			+33%	+100%
UZ Uzbekistan	2 / 0 / 2	1 / 1 / 1	4 / 6 / 7	2 / 3 / 3	2 / 2 / 2	4 / 4 / 4	57%	100%	-43%	-33%
VC Saint Vincent and the Grenadines	0 / 0 / 1	0 / 0 / 2	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0				
VE Venezuela (Bolivarian Republic of)	0 / 1 / 1	0 / 2 / 2	0 / 0 / 0	0 / 1 / 1	0 / 0 / 1	0 / 1 / 1				
VN Viet Nam	7 / 7 / 10	6 / 7 / 13	5 / 7 / 9	9 / 13 / 17	11 / 13 / 16	7 / 8 / 28	56%	25%	+211%	+14%
ZA South Africa	64 / 62 / 161	142 / 138 / 315	132 / 130 / 318	119 / 117 / 303	117 / 113 / 282	120 / 113 / 271	42%	44%	-15%	-13%
ZW Zimbabwe	1 / 1 / 2	1 / 1 / 1	1 / 1 / 1	1 / 1 / 1	2 / 2 / 2	1 / 21 / 22	100%	5%	+2,100%	+2,000%
<b>D. Least-developed countries – nationals and residents eligible for the fee reduction whether a natural person or not</b>										
AO Angola	1 / 1 / 1	2 / 3 / 3	0 / 1 / 1	1 / 1 / 1	0 / 0 / 0	0 / 0 / 0				
BD Bangladesh	0 / 0 / 0	0 / 0 / 3	0 / 0 / 2	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0				

ST.3 code, State	Applications before July 1, 2015 <i>(with reductions / by natural persons only / total)</i>			Applications from July 1, 2015 <i>(with reductions / by natural persons only / total)</i>			% of all applications having reductions		% change in filings	
	y-3	y-2	y-1	y+1	y+2	y+3	y-1	y+3	Total	Natural persons
BI Burundi	0 / 1 / 1	0 / 0 / 0	0 / 0 / 0	0 / 0 / 1	0 / 0 / 1	0 / 0 / 0				
CD Democratic Republic of the Congo	0 / 0 / 0	1 / 1 / 1	0 / 0 / 0	0 / 1 / 1	0 / 0 / 0	1 / 1 / 1		100%		
ER Eritrea	0 / 0 / 0	0 / 1 / 1	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0				
GN Guinea	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	1 / 1 / 1		100%		
KH Cambodia	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 1 / 1	0 / 0 / 0				
LA Lao People's Democratic Republic	0 / 0 / 1	0 / 0 / 1	0 / 0 / 1	0 / 2 / 2	0 / 0 / 1	0 / 0 / 1			+0%	
LR Liberia	0 / 0 / 0	0 / 0 / 1	0 / 0 / 1	0 / 0 / 0	0 / 0 / 0	0 / 1 / 1			+0%	
MG Madagascar	0 / 0 / 0	2 / 2 / 2	1 / 1 / 1	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	100%			
MW Malawi	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	1 / 1 / 1	0 / 0 / 0	0 / 0 / 0				
MZ Mozambique	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	1 / 1 / 1	0 / 0 / 0	0 / 1 / 1				
NE Niger	0 / 0 / 0	0 / 0 / 0	0 / 1 / 1	0 / 0 / 0	0 / 0 / 0	0 / 1 / 1			+0%	+0%
RW Rwanda	0 / 0 / 0	0 / 0 / 1	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	1 / 1 / 1		100%		
SB Solomon Islands	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	1 / 0 / 0				
SD Sudan	0 / 0 / 0	0 / 0 / 0	3 / 5 / 6	1 / 1 / 1	7 / 8 / 8	6 / 6 / 7	50%	86%	+17%	+20%
SN Senegal	0 / 0 / 0	0 / 3 / 3	3 / 16 / 16	4 / 8 / 8	1 / 4 / 4	2 / 3 / 3	19%	67%	-81%	-81%
TD Chad	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 1	0 / 0 / 0				
TG Togo	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	1 / 1 / 1	0 / 0 / 0				
TZ United Republic of Tanzania	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	1 / 1 / 2	0 / 0 / 0	0 / 0 / 0				
UG Uganda	0 / 0 / 2	1 / 1 / 2	1 / 1 / 3	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	33%			
VU Vanuatu	0 / 0 / 0	0 / 1 / 1	0 / 0 / 0	0 / 0 / 0	0 / 1 / 1	0 / 0 / 0				
WS Samoa	0 / 0 / 2	0 / 0 / 1	2 / 0 / 8	2 / 0 / 3	1 / 0 / 1	0 / 0 / 1	25%		-88%	
YE Yemen	0 / 0 / 0	0 / 0 / 1	0 / 0 / 0	2 / 1 / 1	0 / 0 / 0	0 / 1 / 1				
ZM Zambia	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	0 / 0 / 0	1 / 1 / 1		100%		

ST.3 code, State	Applications before July 1, 2015 (with reductions / by natural persons only / total)			Applications from July 1, 2015 (with reductions / by natural persons only / total)			% of all applications having reductions		% change in filings	
	y-3	y-2	y-1	y+1	y+2	y+3	y-1	y+3	Total	Natural persons
<b>E. States not included in the list at any time during the period</b>										
AD Andorra	0 / 1 / 2	0 / 1 / 3	0 / 4 / 4	0 / 3 / 3	0 / 4 / 8	0 / 3 / 5			+25%	-25%
AT Austria	0 / 81 / 614	0 / 169 / 1,377	0 / 139 / 1,338	0 / 166 / 1,439	0 / 172 / 1,417	0 / 166 / 1,383			+3%	+19%
AU Australia	0 / 119 / 739	0 / 312 / 1,687	0 / 283 / 1,640	0 / 322 / 1,795	0 / 275 / 1,822	0 / 309 / 1,830			+12%	+9%
BE Belgium	0 / 29 / 541	0 / 40 / 1,172	0 / 46 / 1,154	0 / 45 / 1,214	0 / 56 / 1,376	0 / 47 / 1,295			+12%	+2%
BN Brunei Darussalam	0 / 0 / 0	0 / 0 / 0	0 / 0 / 2	0 / 0 / 7	0 / 0 / 1	0 / 1 / 1			-50%	
CA Canada	0 / 219 / 1,500	0 / 417 / 2,885	0 / 364 / 2,861	0 / 392 / 2,599	0 / 341 / 2,340	0 / 346 / 2,331			-19%	-5%
CH Switzerland	0 / 83 / 2,112	0 / 174 / 4,340	0 / 162 / 4,214	0 / 148 / 4,384	0 / 173 / 4,564	0 / 156 / 4,555			+8%	-4%
DE Germany	0 / 405 / 8,758	0 / 819 / 17,879	0 / 794 / 18,232	0 / 734 / 17,808	0 / 726 / 18,359	0 / 779 / 19,537			+7%	-2%
DK Denmark	0 / 24 / 604	0 / 39 / 1,296	0 / 26 / 1,280	0 / 31 / 1,341	0 / 23 / 1,385	0 / 31 / 1,455			+14%	+19%
ES Spain	0 / 185 / 820	0 / 363 / 1,716	0 / 370 / 1,544	0 / 361 / 1,535	0 / 324 / 1,501	0 / 314 / 1,386			-10%	-15%
FI Finland	0 / 41 / 1,244	0 / 48 / 1,812	0 / 58 / 1,674	0 / 49 / 1,550	0 / 43 / 1,550	0 / 59 / 1,726			+3%	+2%
FR France	0 / 150 / 3,813	0 / 333 / 8,270	0 / 290 / 8,503	0 / 340 / 8,303	0 / 340 / 8,044	0 / 356 / 7,906			-7%	+23%
GB United Kingdom	0 / 286 / 2,402	0 / 427 / 5,064	0 / 389 / 5,170	0 / 392 / 5,372	0 / 382 / 5,672	0 / 339 / 5,544			+7%	-13%
IE Ireland	0 / 21 / 212	0 / 50 / 437	0 / 38 / 424	0 / 56 / 472	0 / 33 / 654	0 / 28 / 552			+30%	-26%
IL Israel	0 / 128 / 821	0 / 294 / 1,542	0 / 259 / 1,659	0 / 238 / 1,692	0 / 234 / 1,811	0 / 256 / 1,791			+8%	-1%
IS Iceland	0 / 1 / 26	0 / 2 / 41	0 / 3 / 46	0 / 4 / 49	0 / 1 / 42	0 / 1 / 32			-30%	-67%
IT Italy	0 / 241 / 1,398	0 / 473 / 2,897	0 / 495 / 3,094	0 / 549 / 3,155	0 / 526 / 3,322	0 / 564 / 3,260			+5%	+14%

ST.3 code, State	Applications before July 1, 2015 (with reductions / by natural persons only / total)			Applications from July 1, 2015 (with reductions / by natural persons only / total)			% of all applications having reductions		% change in filings	
	y-3	y-2	y-1	y+1	y+2	y+3	y-1	y+3	Total	Natural persons
JP Japan	0 / 237 / 22,279	0 / 474 / 42,612	0 / 498 / 43,694	0 / 481 / 44,344	0 / 473 / 46,818	0 / 498 / 49,075			+12%	+0%
KR Republic of Korea	0 / 928 / 5,749	0 / 1,861 / 12,426	0 / 2,083 / 14,019	0 / 2,065 / 14,875	0 / 1,943 / 15,488	0 / 2,051 / 16,207			+16%	-2%
KW Kuwait	0 / 0 / 1	0 / 0 / 0	0 / 1 / 1	0 / 3 / 6	0 / 0 / 3	0 / 1 / 4			+300%	+0%
LI Liechtenstein	0 / 0 / 71	0 / 0 / 223	0 / 3 / 245	0 / 0 / 214	0 / 1 / 261	0 / 0 / 273			+11%	-100%
LU Luxembourg	0 / 3 / 202	0 / 10 / 488	0 / 5 / 400	0 / 4 / 478	0 / 5 / 438	0 / 3 / 425			+6%	-40%
MC Monaco	0 / 3 / 9	0 / 8 / 25	0 / 8 / 38	0 / 6 / 17	0 / 5 / 14	0 / 3 / 10			-74%	-63%
NL Netherlands	0 / 52 / 2,064	0 / 88 / 4,090	0 / 90 / 4,359	0 / 93 / 4,464	0 / 83 / 4,609	0 / 77 / 4,307			-1%	-14%
NO Norway	0 / 22 / 356	0 / 50 / 712	0 / 37 / 651	0 / 50 / 704	0 / 28 / 760	0 / 46 / 777			+19%	+24%
NZ New Zealand	0 / 25 / 143	0 / 70 / 325	0 / 58 / 350	0 / 50 / 334	0 / 56 / 286	0 / 37 / 259			-26%	-36%
QA Qatar	0 / 8 / 25	0 / 4 / 19	0 / 5 / 13	0 / 4 / 15	0 / 6 / 28	0 / 7 / 18			+38%	+40%
SE Sweden	0 / 64 / 2,004	0 / 143 / 3,938	0 / 111 / 3,960	0 / 100 / 3,790	0 / 97 / 3,699	0 / 83 / 4,106			+4%	-25%
SM San Marino	0 / 1 / 3	0 / 1 / 1	0 / 1 / 4	0 / 1 / 4	0 / 3 / 8	0 / 0 / 5			+25%	-100%
US United States of America	0 / 1,677 / 28,052	0 / 4,058 / 62,705	0 / 3,643 / 55,037	0 / 3,497 / 56,962	0 / 3,283 / 56,403	0 / 3,350 / 56,691			+3%	-8%

Some apparent anomalies exist because the data for the residence of applications as filed cannot be extracted perfectly from the International Bureau's databases. Consequently, the first figure is derived in a manner that can only be considered as an approximation for comparison with the second and third figures. Specifically, the first figure represents an approximation of the numbers concerning the residence of the first applicant as *filed*, based on assumptions, such as that the State is the same as that of the receiving Office where that Office is a national Office and the data is not otherwise clear. The second and third figures are based on the residence of the first *current* applicant, for which the data is of better quality, but the person or residence of the first applicant may have changed in the meantime. The differences represent a very small proportion of the total applications for most States but introduce significant errors, in particular for some States with low numbers of applications.

[End of Annex II and of document]