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WORKINGGROUPONREF ORMOFTHEPATENT COOPERATIONTREATY(PCT)

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INTERNATIONALPUBLICATIONANDPCTGAZETTEINELECTRONICFORM

 $Document prepared by the {\it International Bureau}$

SUMMARY

1. The proposal scontained in this documentare designed to implement publication in electronic form of international applications and of the PCTG azette. The proposals, which involve a mendment of the PCTR egulations are complementary to modifications of the Administrative Instructions that we repromulgated with effect from April 1,2005. The main change would be that the legally determinative means of publication of international applications and the Gazette would be publication in electronic form rather than on paperas at present. A mendment softhe Regulations are proposed and practical aspects of the new approach are explained.

References in this document to "Articles" and "Rules" are to those of the Patent Cooperation Treaty (PCT) and the Regulation sunder the PCT ("the Regulations"), or to such provisions as proposed to be amended or added, as the case may be.

PUBLICATIONOFTHEPCTGAZETTEINELECTRONICFORM

Background

- 2. PursuanttoArticle55(4)andRule86.1(a),theInternationalBureauisrequiredto publishaGazettewhichshallcontain:
- (i) foreachpublishedinternationalapplication, the bibliographic data, the drawing (if any) appearing on the front page of the pamphlet and the abstract;
 - (ii) thescheduleoffeespayabletoOfficesandAuthor ities;
 - (iii) noticesthepublicationofwhichisrequiredundertheTreatyortheRegulations;
- (iv) information,ifandtotheextentfurnishedtotheInternationalBureaubythe designatedorelectedOffices, onthequestionwhethertherequirementsprovidedforin Articles22or39havebeencompliedwithinrespectoftheinternationalapplications designatingorelectingtheOfficeconcerned;
- $(v) \quad any other useful information prescri \quad bed by the Administrative Instructions, \\ provided access to such information is not prohibited under the Treaty or the Regulations.$
- 3. Atpresent,theInternationalBureaufulfillsitslegalobligationunderArticle55(4)to publishaGazettebywayofpublicationintwodifferentforms:asaGazettein paperform andasaGazettein electronicform (seeRule 86.1(b)).

GazetteinPaperForm

- 4. The *Gazetteinpaperform* (hereinafterreferredtoas"thepaper Gazette")ispublished bytheInternationalBureauonaweeklybasis.Eachissuecontainsthecontentprescribedby Rule 86.1(b)(i)—thatis,thebibliographicdatainrespectofeachinternationalapplication publishedthatweekasreferredtoinparagra ph 2(i),above,butwithoutthedrawingor abstract,aswellasthemattersreferredtoinparagraph 2(ii)to (v),above.ThepaperGazette ispresentedinfourSectionsa sfollows:
- (i) SectionIcontainsthebibliographicdatainrespectofeachinternational applicationpublishedintheweekcoveredbytheGazette;
- (ii) SectionIIcontainsnoticesandinformationrelatingt opublishedinternational applications(suchasannouncementsofthelaterpublicationofamendedclaimsunder Article 19; and announcementsoflaterpublication of international search reports);
- (iii) SectionIIIcontainsweeklyin dexesofinternationalapplicationnumbers and corresponding international publication numbers, of names of applicants and corresponding international publication numbers, and of international publication numbers grouped according to International Patent C lassification symbols;
- (iv) SectionIV contains notices and information of ageneral character (such as notices the publication of which is required under the Treaty or the Regulations, information on Contracting States and intergov ernmental or ganizations, and feespayable).

- 5. Atpresent, the paper Gazette is mailed, on a weekly basis, to about 180 subscribers. These include International Searching and Preliminary Examining Authorities and national and regional Offices who, under Rule 87, are entitled to receive one or more copies of the Gazette free of charge, as well as about 150 paying subscribers, including a variety of public and private sector entities and individuals, with a broad geographical distribution.
- 6. Overthelastfouryears, the number of paids ubscriptions to the paper Gazette has significantly declined, a sillustrated in Figure 1 appearing in Annex II to this document. The income generated by subscriptions to the paper Gazette has, since at least 2001, failed to cover the cost to WIPO of producing it, a sillustrated in Table 1 appearing in Annex II to this document.

GazetteinElectronicForm

- 7. The *Gazetteinelectronicform* (hereinafter referredtoas"theelectronicGazette")is madeavailablethroughtheInternetviaWIPO'swebsite. ²TheelectronicGazettecontainsnot onlythecontentprescribedbyRule 86.1(b)(ii)(thatis,bibliographicdata,drawingand abstractinrespectofeach internationalapplicationpublishedintheweekcoveredbythe Gazette)but,ineffect,functionsasasearchableIntellectualPropertyDigitalLibrary(IPDL), containingdatarelatingtointernationalapplicationspublished,intheformofpamphlets, sinceJanuary1997.Bibliographicdata,abstracts,drawingsandimagesofpamphletsare providedintheIPDLforallpublishedinternationalapplications.Inaddition,for internationalapplicationspublishedsinceApril1998,thedescriptionandclaimsare also providedassearchabletext.
- 8. ConcurrentwiththedecreaseinsubscriptionstothepaperGazette,interestinthe electronicGazettehasgreatlyincreased,asillustratedinFigure2appearinginAnnexIIto thisdocument.

OtherGazette -RelatedElectronicProducts

- 9. Anelectronic version (in PDF format) of the paper Gazette is available, free of charge, via WIPO's website for browsing, downloading and selective printing.
- $10. \quad In addition, a private sector publisher produces, in close cooperation with WIPO, a CD-ROM version of the Gazette in PDF format which contains the same data as published in the electronic Gazette as well as elements from the paper Gazette (Sections II, III and IV, as referred to in paragraph 4, above). The CD -ROM version, which is published weekly with cumulative contents (including all previous is sues during the same calendary ear), is available by way of annual subscription. Although the CD -ROM is not an official WIPO product, the International Bureau purchases subscriptions to the CD -ROM sfrom the publisher and distributes them to over 40 national and regional Offices of PCT Contracting States free of charge.$

See http://www.wipo.int/pct/en/gazette/index.jsp

ProposedAmend mentofRule 86

11. Notingthat:

- (i) today,electronicmeansofpublication(InternetandphysicalmediasuchasCD andDVD)areincreasinglybeingusedbypatentOfficestofulfilltheirlegalobligation to publishapplicationsandofficialnotifications;
- (ii) therehasbeen a significant decrease in subscriptions to the paper Gazette in the last years and a concurrent increase in hits to the electronic Gazette;
- (iii) asthepaperGazetteisnottextsearchable,itsusefulnessforusers(Officesand others)isthereforelimited;and
- (iv) theincomegenerated by subscriptions to the paper Gazette has not covered WIPO's cost of production in recent years;

itisproposedtoamendtheRegulationstoenabletheInternationalBureautofulfillitslegal obligationtopublishaGazettebywayofpublicationinelectronicform.Aproposalto amendRule 86accordingly,andtomovetotheAdminis trativeInstructionsmattersofdetail concerningtheforminwhichandthemeansbywhichtheGazetteispublished,iscontained inAnnexItothisdocument.Theformatandcontentoftheproposednewversionofthe electronicGazetteareoutlinedinpar agraphsl 3to 15,below.

12. IfanOfficeorAuthoritypreferredtoreceivetheelectronicGazetteonaphysical mediumratherthanonlineviaWIPO'swebsite,theInternational Bureauwould,asidefromits legalobligationunderArticle55(4)topublishaGazette,continuetoprovide,underRule 87 asproposedtobeamended,acopyoftheelectronicGazetteonCD -ROM,freeofcharge,to thatOfficeorAuthority.

FormatandCont entoftheProposedElectronicGazette

- 13. TheelectronicGazette,initscurrentversion,doesnothaveanidenticalcontenttothe paperGazette .Asexplainedinparagraph 7,above,theelectronicGaze ttecontainsthe bibliographicdata,drawingandabstractforeachapplication(theelementsrequiredaccording toRule 86.1(b)(ii))butitdoesnotcontaintheinformationpublishedinSectionsIItoIV of thepaperGazette(theelementsreferredtoinRu le86.1(a)(ii)to(v);thoseelementsare providedonly "unofficially "inthePDF versionof the Gazetteinpaperform ,see paragraph 9,above).ItisthereforeproposedtorevisetheelectronicGazettesoastoinclude allof thedataandinformationreferredtoinRule 86.1(a)(ii)to(v).Theproposedapproach onhowthatdataandinformationwillbemadeavailabletousersisdescribedinthefollowing paragraphs.
- 14. Apartfromnotices and informati on of general character published in Section IV, the Gazette is essentially a series of indexes that are intended to facilitate the retrieval of PCT data. Because of the different nature of data and information contained in the Gazette, it is felt advisable to publish application data (e.g., data referred to in Section II, II and III) differently from notices and information of a general character (as contained in Section IV).

- 15. SectionsI,IIandIIIwouldbemadeavailablethro ughasearchabledatabasethatwillbe arevampedversionofthecurrentelectronicGazette.TheindexescontainedinSectionsI and IIIarealreadyavailableintheelectronicGazette andwouldonlybesubjecttominor changesintendedtomakethemmore usable,suchastheabilitytogeneratelistsorderedinthe samewayasSectionsIandIIIofthecurrentpaperGazette. Thenoticescontainedin Section IIarepartiallyavailableintheelectronicGazette,andthisinformationwouldbe completedandma desearchable.Furthertechnicaldetailswillbeprovidedasfurther developmentsaremadeonthisissue.
- 16. Notices and information of general character published in Section IV would also be made available in electronic form. We eklyupdates would be published (as at present in the paper Gazette) and the collection of information published in Section IV would be come sear chable from within the electronic Gazette. Further technical details on the technical format of Section IV information and on the availability of historical information will be provided as further developments are made.
- 17. Asatpresent, versions of the electronic Gazettein both English and French would be published at the same time (seep resent Rule 86.2(c) which, except for drafting changes, is not proposed to be amended).
- 18. FurtherdetailsconcerningtheelectronicGazettewillbeprovidedtoOfficesandusers ofthesysteminthecontextoftheconsultationsun derRule 89.2(b)ofproposedmodifications oftheAdministrativeInstructionsimplementingRule 86.1asproposedtobeamended.

PUBLICATIONOFINTERNATIONALAPPLICATIONSINELECTRONICFORM

- 19. FollowingconsultationspursuanttoRu le 89.2(b)withOffices,Authorities and users of the PCT system, Section 406 of the Administrative Instructions has been modified, with effect from April 1,2005, so as to enable the International Bureautoful fillits legal obligation under Article 21 to publish international applications by way of publication in electronic form. The wording of a number of provisions in the Regulations that we redrafted in the context of paper publication systems need sto be adapted to the new electronic environment.
- 20. ProposalstoamendRules13 *bis.*4,26 *bis.*2,47.1,48.1,48.2,86.1(a),87and91.1 accordinglyarecontainedinAnnexItothisdocument.ExplanationsaresetoutinAnnexIin commentsrelatingtotheprovisionsconcerned.Inpar ticular,itisproposedtodeletetheterm "pamphlet"throughouttheRegulations,notingthattheterm "pamphlet",connotingpaper publication,wouldappeartobemisleading.
 - 21. The Working Group is invited to consider the proposal scontained in Annex Ito this document.

[AnnexIfollows]

PCT/R/WG/7/8

ANNEXI

PROPOSEDAMENDMENTSOFTHEPCTREGULATIONS:

INTERNATIONALPUBLIC ATIONANDPCTGAZETT EINELECTRONICFORM

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Proposedadditions and deletions are indicated, respectively, by under lining and striking through the text concerned. Certain provisions that are not proposed to be a mended may be included for ease of reference.

Rule13 bis

Inventions Relating to Biological Material

13bis.1 to13 bis.3 [Nochange]
13bis.4 References:TimeLimitforFurnishingIndications
(a) to(c) [Nochange]
(d) TheInternationalBureaushallnotify theapplicantofthedateonwhichitreceived anyindicationfurnishedunderparagraph(a),and :
(i) iftheindicationwasreceivedbeforethetechnicalpreparationsforinternational publicationhavebeencompleted, <u>publishtheindicationfurnishedund erparagraph(a),andan indicationofthedateofreceipt,togetherwiththeinternationalapplication</u> <u>indicatethatdate, and includetherelevantdatafromtheindication,inthepamphletpublishedunderRule48</u> ;
[COMMENT:Itisproposedtoamenditem (i)soastofurtherstreamlinethepublication processbyrequiringtheInternationalBureautopublishtheindicationsfurnishedbythe applicantunderparagraph(a)ratherthan,asatpresent,"therelevantdatafromthe indication".Otherwise,thepro posedamendmentsareconsequentialontheproposeddeletion oftheterm"pamphlet"throughouttheRegulations(seeRule 48asproposedtobeamended, below).]
(ii) [Nochange]
13bis.5 to13 bis.7 [Nochange]

Rule26 bis

CorrectionorAdditionofPriorit yClaim

26bis.1 [Nochange]

26bis.2 InvitationtoCorrectDefectsinPriorityClaims

- (a) and(b) [Nochange]
- (c) WherethereceivingOfficeortheInternationalBureauhasmadeadeclaration underparagraph(b),theInternationalBureaushall,uponrequestmadebytheapplicantand receivedbytheInternationalBureaupriortothecompletionofthetechnicalpreparationsfor internationalpublication,andsubjecttothepaymentofaspecialfeewhoseamountshallbe fixedintheAdministrativeInstr uctions,publish,togetherwiththeinternationalapplication, informationconcerningthepriorityclaimwhichwasconsiderednottohavebeenmade.A copyofthatrequestshallbeincludedinthecommunicationunderArticle20 whereacopyofthepamphle tisnotusedforthatcommunicationor wheretheinternationalapplicationisnot publishedbyvirtueofArticle64(3).

[COMMENT:TheproposedamendmentsofRule 26bis.2(c)areconsequentialonthe proposeddeletionoftheterm"pamphlet"throughoutthe Regulationsandthedeletionof (former)Rule 47.2(c)witheffectfromJanuary1,2004.Rule 47.2(c)asinforceuntil December31,2003,read:"ExcepttotheextentthatanydesignatedOfficenotifiesthe InternationalBureauotherwise,copiesofthepa mphletunderRule48maybeusedforthe purposesofthecommunicationoftheinternationalapplicationunderArticle 20."Notethatit isalsoproposedinanotherdocumenttoamendRule26 bisinthecontextof"rectificationof obviousmistakes"(seedoc umentPCT/R/WG/7/6).]

Rule47

CommunicationtoDesignatedOffices

47.1 Procedure

(a) and (a-bis) [Nochange]

(a-ter) [Deleted] Thenotificationunderparagraph(a—bis)shallincludeanydeclaration—referredtoinRule4.17(i)to(iv),andanycorr—ectionthereofunderRule26ter.1,whichwas—receivedbytheInternationalBureaubeforetheexpirationofthetimelimitunderRule—26ter.1,providedthatthedesignatedOfficehasinformedtheInternationalBureauthatthe—applicablenationallawrequire—sthefurnishingofdocumentsorevidencerelatingtothematter—towhichthedeclarationrelates.

[COMMENT:Itisproposedtodeleteparagraph(a -ter)soastonolongerprovidefora separatetransmittaltoparticulardesignatedOfficesofdeclarations referredtoinRule 4.17(i) to(iv)butinsteadtopublishanysuchdeclarationtogetherwiththeinternationalapplication, asisalreadythecaseinrespectofadeclarationreferredtoinRule 4.17(v)(see Rule 48.2(a)(x)asproposedtobeamended,belo w),therebyfurtherstreamliningthe publicationandcommunicationproceduresattheInternationalBureau.]

(b) to (e) [Nochange]

47.2 to 47.4 [Nochange]

[COMMENT:NotethatitisalsoproposedinanotherdocumenttoamendRule47inthe contextof" internationalpublicationinmultiplelanguages"(seedocumentPCT/R/WG/7/4).]

Rule48

International Publication

48.1 Form <u>andMeans</u>
(a) [Deleted] Theinternational applications hall be published in the form of a pamphlet.
pampmet.
(b) The particularsrega rdingthe form inwhichandthemeansbywhichinternational
$\underline{applications are published} \underline{of the pamphlet and the method of reproduction} shall be governed$
bytheAdministrativeInstructions.
[COMMENT:Seeparagraph 19intheIntroductiontothisdocument.ModifiedSection406 oftheAdministrativeInstructions,whichenteredintoforceonApril1,2005,enablesthe InternationalBureautofulfillitslegalobligationunderArticle21topublishinternational applicationsbywayofelectronicmeans.Itisthusproposedtodeletetheterm"pamphlet" throughouttheRegulations,notingthatthatterm,connotingpaperpublication,wouldappear tobemisleading.]
48.2 Contents
(a) Thepublicationoftheinternationa lapplication Thepamphlet shallcontain:
[COMMENT: The proposed amendments of the chape au of paragraph (a) are consequential on the proposed deletion of the term "pamphlet" throughout the Regulations.]
(i) astandardizedfrontpage :

[Rule48.2(a),cont inued]

(ii)	thedescription :
(iii)	theclaims ;
(iv)	thedrawings, if any ;;
(v)	subject to paragraph (g), the international search report or the declaration under
Article17(2)(a); thepublicationoftheinternationalsearchreportinthepamphletsh all,
however,notb	erequiredtoincludethepartoftheinternationalsearchreportwhichcontains
onlymatterref (erredtoinRule43alreadyappearingonthefrontpageofthepamphlet,
reportwithout matterwhichal thepublication internationalse	Atpresent, the International Bureaual ways publishes the international search the front page of that report, noting that that part of the report contains only tready appears on the front page of the pamphlet. In order to further streamline approcess at the Internation al Bureau, it is proposed to always publish the earch report as established by the International Searching Authority, including and to amendite m(v) accordingly.]
, ,	anystatementfiledunderArticle19(1),unlesstheInt ernationalBureaufinds
	entdoesnotcomplywiththeprovisionsofRule 46.4; anyrequestforrectificationreferredtointhethirdsentenceofRule 91.1(f);

[Rule48.2(a),continued]

- (viii) the relevantdatafromany indications inrelationtodepositedbiological materialfurnishedunderRule13bis separatelyfromthedescription,togetherwithan indicationofthedateonwhichtheInternationalBureaureceivedsuchindications
- (ix) anyinformationconcerningapriorityclaim considerednottohavebeenmade underRule26 *bis*.2(b),thepublicationofwhichisrequestedunderRule26 *bis*.2(c);
- (x) any declaration referred to in Rule 4.17 Rule 4.17

[COMMENT:Theproposedamendmentsofitem(viii)areconsequentialontheproposed amendmentsofRule 13bis.4(seethecommentonthatRule,above).Withregardtoitem(x), it isproposedtoamendthatitemsoastonolongerpublish,togetherwiththeinternational application,onlyadeclarationreferredtoinRule 4.17(v)butanydeclarationreferredtoin Rule 4.17;inthiscontext,seealsoRule 47.1(a-ter),above,whichi sproposedtobedeleted. TheotherproposedamendmentsofRule 48.2areconsequentialontheproposeddeletion, throughouttheRegulations,oftheterm"pamphlet".]

- (b) Subjecttoparagraph(c),thefrontpageshallinclude:
- (i) datatakenfromthere questsheetandsuchotherdataasareprescribedbythe AdministrativeInstructions 3.7

[Rule48.2(b),continued]

- (ii) afigureorfigureswheretheinternationalapplicationcontainsdrawings,unless Rule8.2(b)applies :
- (iii) theabstract;iftheabstr actisbothinEnglishandinanotherlanguage,the
 Englishtextshallappearfirst ;
- (iv) anindicationthattherequestcontains any declaration referred to in Rule 4.17 which was received by the International Bureau before the expiration of the time limit under Rule $26 \ ter. 1$.
 - (c) to (e) [Nochange]
- (f) IftheclaimshavebeenamendedunderArticle19,thepublication of the international application shall contain either the full text of the claims both as filed and as amended or the full text of the claims as filed and specify the amendments amended or the full text of the claims as filed and specify the amendments amended to the full text of the claims as filed and specify the amendments amended to the full text of the claims as filed and specify the amended to the full text of the claims as filed and specify the amended to the full text of the claims as filed and specify the amended to the full text of the claims as filed and specify the amended to the full text of the claims as filed and specify the amended to the full text of the claims as filed and specify the amended to the full text of the claims as filed and specify the amendments. Any statement the full text of the claims as filed and specify the amendments are the full text of the claims as filed and specify the amendments. Any statement the full text of the claims as filed and specify the amendments are the full text of the claims as filed and specify the amendments. Any statement the full text of the claims as filed and specify the amendments are the full text of the claims as filed and specify the amendments. The full text of the claims are the full text of the claims as filed and specify the amendments. The full text of the claims are the full text of the

[COMMENT:Itisproposedtoamendparagraph(f)soasfurtherstreamlinethepublication processandtoalignitwiththeexistingpracticeoftheInternationalBureautoalwayspublish, iftheclai mshavebeenamendedunderArticle 19,thefulltextoftheclaimsbothasfiledand asamended,ratherthanjusttheclaimsasfiledanda"specification"oftheamendments establishedbytheInternationalBureau.]

[Rule48.2(g),continued]

(g) If,att hetimeofthecompletionofthetechnicalpreparationsforinternational publication,theinternationalsearchreportisnotyetavailable (forexample,becauseof publicationontherequestoftheapplicantasprovidedinArticles21(2)(b)and64(3)(c)(i)), the frontpage pamphletshallcontain ;inplaceoftheinternationalsearchreport, anindication totheeffectthatthatreportwasnotavailableandthat eitherthepamphlet(thenalsoincluding theinternationalsearchreport)willberepublishedor theinternationalsearchreport(whenit becomesavailable)willbeseparatelypublished togetherwitharevisedfrontpage .

[COMMENT:Itisproposedtoamendparagraph(g)soastofurtherstreamlinethe publicationprocessandtoalignitwiththeexis tingpracticeoftheInternationalBureauto alwaysseparatelypublishtheinternationalsearchreporttogetherwitharevisedfrontpage ratherthantheentirepamphletincludingtheinternationalsearchreportwherethesearch reportwasnotavailableat thetimeofcompletionoftechnicalpreparationsforinternational publication.]

(h) If,atthetimeofthecompletionofthetechnicalpreparationsforinternational publication,thetimelimitforamendingtheclaimsunderArticle 19hasnotexpired, the front page pamphletshallrefertothatfactandindicatethat,shouldtheclaimsbeamendedunder Article 19,then,promptlyafter receiptbytheInternationalBureauof suchamendments withinthetimelimitunderRule 46.1,thefulltextoftheclaim sasamended eitherthe pamphlet(containingtheclaimsasamended)willberepublishedorastatementreflectingall theamendments willbepublished togetherwitharevisedfrontpage . If Inthelattercase,at leastthefrontpageandtheclaimsshall berepublishedand,if astatementunderArticle19(1) hasbeenfiled,thatstatementshallbepublishedaswell,unlesstheInternationalBureaufinds thatthestatementdoesnotcomplywiththeprovisionsofRule 46.4.

[Rule48.2(h),continued]

[COMMENT:Itisproposedtoamendparagraph(h)soasfurtherstreamlinethepublication processandtoenabletheInternationalBureautopublish,iftheclaimshavebeenamended underArticle 19aftercompletionoftechnicalpreparationsforinternationalpublic ationbut withinthetimelimitunderRule 46.1,thefulltextoftheclaimsasamended,togetherwitha revisedfrontpage,ratherthantheentirepamphletcontainingtheclaimsasamended.]

(i) [Deleted] TheAdministrativeInstructionsshalldetermine—thecasesinwhichthe—variousalternativesreferredtoinparagraphs(g)and(h)shallapply.Suchdeterminationshall—dependenthevolumeandcomplexityoftheamendmentsand/orthevolumeofthe—internationalapplicationandthecostfactors.

[COMMENT: The proposed deletion of paragraph (i) is consequential on the proposed amendments of paragraphs (g) and (h).]

48.3 to 48.6 [Nochange]

[COMMENT:NotethatRule 48isproposedtobefurtheramendedinthecontextof proposedamendmentsoftheRegulat ionsrelatingtomissingelementsandpartsofthe internationalapplication(seedocumentPCT/R/WG/7/2), relatingtotherestorationofthe rightofpriority(seedocumentPCT/R/WG/7/3), relatingtothepublicationinmultiple languages(seedocumentPCT/ R/WG/7/4), relatingtotherectificationofobvious mistakes (seedocumentPCT/R/WG/7/6), and relating to the addition of Arabica salanguage of publication (seedocumentPCT/R/WG/7/10).]

Rule86

TheGazette

86.1 Contents and Form

- (a) TheGazettere ferredtoinArticle55(4)shallcontain:
- (i) foreachpublishedinternationalapplication,thedataspecifiedbythe

 AdministrativeInstructionstakenfromthefrontpageofthe <u>publicationoftheinternational</u>

 <u>application pamphletpublishedunderRule 48</u>,thedrawing(ifany)appearingonthesaid

 frontpage,andtheabstract ;
- $(ii) \ the schedule of all fees payable to the receiving Offices, the International \\ Bureau, and the International Searching and Preliminary Examining Authorities \\ \vdots \\$
- (iii) noticest hepublicationofwhichisrequiredundertheTreatyorthese Regulations;
- (iv) information, if and to the extent furnished to the International Bureau by the designated or elected Offices, on the question whether the requirements provided for in Articles 22 or 39 have been complied within respect of the international applications designating or electing the Office concerned ;

[Rule86.1,continued]

$(v) \ \ any other useful information prescribed by the Administrative Instructions,$
provided access to such i n formation is not prohibited under the Treaty or these Regulations.
[COMMENT:Theproposedamendmentsofparagraph(a)areconsequentialontheproposed deletionofparagraph(b)(seebelow)andtheproposeddeletion,throughouttheRegulations, ofthete rm"pamphlet"(seethecommentonRule 48.1asproposedtobeamended,above).]
(b) [Deleted] Theinformationreferredtoinparagraph(a)shallbemadeavailablein
twoforms:
(i) asaGazetteinpaperform, which shall contain the data specified by the
$\label{lem:administrative} Administrative Instruction staken from the front page of the pamp helet published under Rule$
48("bibliographicdata")andthemattersreferredtoinparagraph(a)(ii)to(v);
(ii) asaGazetteinelectronicform, which shall contain the bibliographic ta, the
$\frac{drawing (if any) appearing on the said front page, and the abstract.}{}$
[COMMENT:Seeparagraphs 11to 17inthemainbodyofthisdocument.]

86.2 Languages; <u>FormandMeansofPublication</u> <u>AccesstotheGazette</u>

(a) <u>TheGazetteshallbepublishedinEnglishandFrenchatthesametime.The</u>						
translationsshallbeensuredbytheInternationalBureauinEnglishandFrench.The						
<u>InternationalBureaushallensurethatthepublicationoftheGazett</u> eshallbeeffectedon,oras						
$\underline{soon as possible after, the date of publication of the international application.} \qquad \underline{ The Gazettein}$						
paperformshallbepublishedinabilingual(EnglishandFrench)edition.Itshallalsobe						
publishedineditionsinanyother language,providedthecostofpublicationisassured						
throughsalesorsubventions.						
[COMMENT:Seeparagraphs 11to 17inthemainbodyofthisdocument.Itisproposedto						

(b) [Nochange] The Assembly may order the publication of the Gazettein languages other than those referred to in paragraph (a).

amendthetextofpresentp aragraph (c)(seebelow)andtomovethattexttoparagraph(a); thepresenttextofparagraph(a)isproposedtobedeleted,consequentialontheproposed

discontinuationofthepaperGazette.]

(c) TheforminwhichandthemeansbywhichtheGazetteispublishedshallbe
governedbytheAdministrativeInstructions. TheGazetteinelectronicformreferredtoin
Rule86.1(b)(ii)shallbemadeaccessibleinEnglishandFrenchatthesametime,byany
electronicwaysandmeansspecifiedintheAdministrativeInstructions.Thetranslationsshall
beensuredbytheInternationalBureauin EnglishandFrench.TheInternational Bureaushall
ensurethatthemakingaccessibleoftheGazetteinelectronicformshallbeeffectedon,oras
soonaspossibleafter,thedateofpublicationofthepamphletcontainingtheinternational
application.

[Rule86.2(c),continued]

[COMMENT: The Administrative Instructions would have to be modified to provide details concerning the publication of the Gazettein electronic form. The text of present paragraph (c) is proposed to be a mended and moved to paragraph (a) (see above).]

86.3 [Nochange] Frequency

 $\label{thm:continuous} The frequency of publication of the Gazette shall be determined by the Director General.$

86.4 [Nochange] Sale

 $The subscription and others a leprices of the Gazette shall be determined by the Director \\ General.$

[COMMENT:Whilethelegalpub licationoftheGazetteforthepurposesofArticle 55(4) willbeeffectedbymakingtheGazetteinelectronicformavailableonline,freeofcharge,via WIPO'swebsite,itwouldappearthatRule 86.4isstillneededinrespectoftheenvisagedsale tothegeneralpublicofrelatedproducts,suchastheGazetteonCD -ROM.]

86.5 [Nochange] Title

The title of the Gazette shall be determined by the Director General.

86.6 [Nochange] FurtherDetails

FurtherdetailsconcerningtheGazettemaybeprovide dforintheAdministrative Instructions.

Rule87

Communication Copies of Publications

87.1 <u>CommunicationofPublicationsonRequest</u> <u>InternationalSearchingandPreliminary</u> <u>ExaminingAuthorities</u>

TheInternationalBureaushallcommunicate AnyInternationalSearchingor

PreliminaryExaminingAuthorityshallhavetherighttoreceive ,freeofcharge, twocopiesofeverypublishedinternationalapplication, oftheGazette ;and ofanyotherpublicationof
generalinterestpublishedbytheInternationalBure auinconnectionwiththeTreatyorthese

Regulations,toInternationalSearchingAuthorities,InternationalPreliminaryExamining

AuthoritiesandnationalOfficesuponrequestbytheAuthorityorOfficeconcerned . Further
detailsconcerningtheforminwhichandthemeansbywhichpublicationsarecommunicated
shallbegovernedbytheAdministrativeInstructions.

[COMMENT:ItisproposedtoamendRule 87.1, whose present wording would appear to connotepaperpublication. The Administrative Instructions wouldhavetobemodifiedto provideforthedetailsconcerningtheforminwhichandthemeansbywhichtheInternational BureauwouldcommunicatepublicationstoAuthoritiesandnationalOffices.Asidefromits legalobligation,underRules48.1and86. 1asproposedtobeamended,topublish international applications and the Gazette (publication would be effected by making international applications and the Gazettein electronic formavailable on line, free of charge, viaWIPO'swebsite), it is envisaged thattheInternationalBureauwould,onrequest,continue toprovideacopyinelectronicformofanypublishedinternationalapplicationandofthe Gazetteonaphysicaldatacarrier(suchasCD -RorDVD), and a copy of any published international applic ation on paper.]

87.2 [Deleted] National Offices

(a) AnynationalOfficeshallhavetherighttoreceive,freeofcharge,onecopyofevery
$\frac{published international application, of the Gazette, and of any other publication of general}{}$
interestpublished bytheInternationalBureauinconnectionwiththeTreatyorthese
Regulations.

(b) Thepublicationsreferredtoinparagraph(a)shallbesentonspecialrequest.Ifany
publicationisavailableinmorethanonelanguage,therequestshallspecifythe languageor
languagesinwhichitisdesired.

[COMMENT: The communication of publications to national Offices is dealt within Rule~87.1 as proposed to be amended (see above).]

Rule91

ObviousErrorsinDocuments

91.1 Rectification

- (a) to(e) [Noc hange]
- (f) Anyauthoritywhichauthorizesorrefusesanyrectificationshallpromptlynotifythe applicantoftheauthorizationorrefusaland,inthecaseofrefusal,ofthereasonstherefor. Theauthoritywhichauthorizesarectificationshallpromptl ynotifytheInternationalBureau accordingly.Wheretheauthorizationoftherectificationwasrefused,theInternational Bureaushall,uponrequestmadebytheapplicantpriortothetimerelevantunder paragraph (g-bis),(g-ter)or(g-quater)andsubje cttothepaymentofaspecialfeewhose amountshallbefixedintheAdministrativeInstructions,publishtherequestforrectification togetherwiththeinternationalapplication.Acopyoftherequestforrectificationshallbe includedinthecommunic ationunderArticle20 whereacopyofthepamphletisnotusedfor thatcommunicationor—wheretheinternationalapplicationisnotpublishedbyvirtueof Article 64(3).

[COMMENT:Theproposedamendmentsofparagraph (f)areconsequentialontheproposed deletionoftheterm"pamphlet"throughouttheRegulationsandthedeletionof(former)
Rule 47.2(c)witheffectfromJanuary1,2004.Rule 47.2(c)asinforceuntilDecember31,
2003,read:"ExcepttotheextentthatanydesignatedOfficenotifiesthe InternationalBureau otherwise,copiesofthepamphletunderRule48maybeusedforthepurposesofthe communicationoftheinternationalapplicationunderArticle 20."Notethatitisalso proposedinanotherdocumenttoamendRule91inthecontextof "rectificationofobvious mistakes"(seedocumentPCT/R/WG/7/6).]

[Rule91.1,continued]

(g) to(g -quater) [Nochange]

[AnnexIIfollows]

PCT/R/WG/7/8

ANNEXII

STATISTICSRELATEDTOTHEPCTGAZETTE

Figure 1: Evolution of the number of paid subscriptions to Gazette in paper form

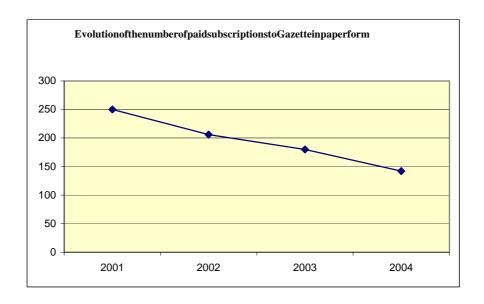
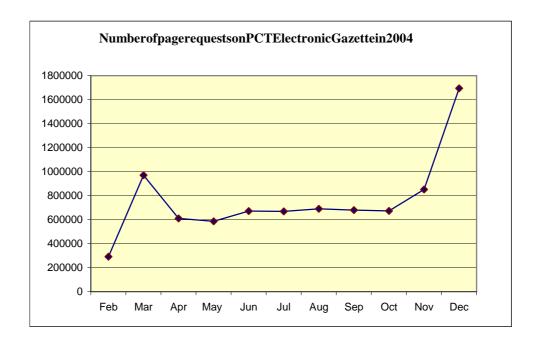


Table1:Inc	come/lossgenerated	Gazetteinpaperj	form_	
Income	2001	2002	2003	2004
Subscriptions	150,000	124,000	108,000	94,000
Costs Mailing	163,000	175,000	159,000	101,000
Paper	85,000	64,000	56,000	73,000
Printing& Binding	119,000	89,000	78,000	26,000
Totalcosts	367,000	328,000	293,000	200,000
Loss	-217,000	-204,000	-185,000	-106,000

Figure2:NumberofpagerequestsonPCTElectronic Gazettein2004



[End of Annex II and of document]