



PCT/R/WG/4/4Add.6 ORIGINAL:English DATE:May14,2003

WORLD INTELLECTUAL PROPERTY ORGANIZATION

GENEVA

INTERNATIONAL PATENT COOPERATIONUNION (PCTUNION)

WORKINGGROUPONREF ORMOFTHEPATENT COOPERATIONTREATY(PCT)

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FURTHERSTREAMLINING AND SIMPLIFICATION OF PCTPROCEDURES:

FORMOFAMENDMENTS

Proposal submitted by the European Patent Office

- ItisproposedtochangeRule66.8tomakeitmandatoryfortheapplicantstoindicate foreac hamendmentmadewherethereisabasisfortheamendmentintheoriginallyfiled documents. Atthemoment, this Rule only demands an indication of what has been changed (i.e.,thedifferencebetweentheoldandnewsheet)butnotwherethebasiscanbef ound. Consideringthat "addedmatter" is regarded as a very serious objection by the International PreliminaryExaminingAuthorities(andthenationalOffices),andthatfurthertheburdenon theexaminertosortoutalltheamendmentsmadeandfindthec orrespondingbasisinthe originallyfileddocumentsisbothunfairandextremelytimeconsuming(itismuchmore difficulttochecktheamendmentsafterwardswithouthavinganyideaofwhathasbeen amended, why and where a basis is, than for the applican ttowritedownalistwhileheis anywaypreparingtheamendments), we are of the opinion that such a Rulechange is well foundedandwouldprovideforbothamoreefficientexaminationaswellasincreasedlegal securityforthirdpartiesandtheapplica nt.
 - 2. The Working Group is invited to consider the proposal contained in the Annex to this document.

[Annexfollows]

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ANNEX

PROPOSEDAMENDMENTSOFTHEPCTREGULATIONS:

FORMOFAMENDMENTS

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Rule66

${\bf Procedure Before the International Preliminary Examining Authority}$

66.1 to66.7 [Nochange]
66.8 FormofAmendments
$(a) \ \ Subject to paragraph (b), the applicant shall be required to submit a replacement$
sheetforeverysheetoftheinternationalapplicationwhich,onaccountofanamendment,
differsfromthesheetpreviouslyfiled. The letter accompanying the replacement sheets shall
drawattentiontothedifferencesbetweenthereplacedsheetsandthereplacementsheets ,shall
indicatewhereintheoriginallyfiledapplicationdocumentsabasisforeachamendmentcan
befound, and shall preferably also explain there as on sfortheamendment. <u>Incase of</u>
non-compliancewiththisRule,theamendmentsconcernedneednotbetakenintoaccountfor
<u>furtherexamination.</u>
(b) [Nochange]
66.9 [Nochange]
[EndofAnnexandofdocument]