



PCT/R/WG/4/4Add.4 **ORIGINAL:**English **DATE:**May6,2003

WORLD INTELLECTUAL PROPERTY ORGANIZATION

GENEVA

INTERNATIONAL PATENT COOPERATIONUNION (PCTUNION)

WORKINGGROUPONREF ORMOFTHEPATENT COOPERATIONTREATY(PCT)

FourthSession Geneva, May 19 to 23, 2003

FURTHERSTREAMLINING ANDSIMPLIFICATION OFPCTPROCEDURES: ANNEXESTOTHEINTERNATIONAL PRELIMINARY EXAMINATION REPORT

DocumentpreparedbytheInternationalBureau

BACKGROUND

PresentRule70.16oftheReg ulationsunderthePCT ¹providesforamendmentstothe internationalapplicationthathavebeenmadeinthecourseoftheinternationalpreliminary examinationproceduretobeannexed to the international preliminary examination report. However, are place ments heet which has been superseded by later replacements heet sor amendmentsresultinginthecancellation of entires heet sunder Rule 66.8(b) is not to be annexed.

InacasewheretheInternationalPreliminaryExaminingAutho 2. rityconsidersthatthe relevant superseding replacements he et or she et soramend ments contain an amendment thatgoesbeyondthedisclosureintheinternationalapplicationasfiled, the report will contain an

References in this document to "Article" are to those of the Patent Cooperation Treaty(PCT)andtheRegulationsunderthePCT("theRegulations"),ortosuchprovisionsas proposedtobeamendedoradded, as the case may be.

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 $indication accordingly under Rule 70.2 (c). I \\ norder to make the report clear in this respect, it \\ would be preferable for the superseded replacements heet, suitably marked, also to be \\ annexed to the report. The Annex to this document contains a proposal to a mend Rule 70.16 \\ accordingly.$

3. The Working Group is invited to consider the proposal scontained in the Annex.

[Annexfollows]

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ANNEX

PROPOSEDAMENDMENTSOFTHEPCTREGULATIONS:

ANNEXESTOTHEINTER NATIONALPRELIMINARY EXAMINATIONREPORT

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Rule70

$International Preliminary Report on Patenta bility by \\ the International Preliminary Examining Auth or ity \\ (International Preliminary Examination Report)$

70.1to70.15 [Nochange]

70.16 AnnexestotheReport

- (a) EachreplacementsheetunderRule66.8(a)or(b),eachreplacementsheet containingamendmentsunderArticle19andeachreplacementsheet containingrectifications of amistake obviouserrors authorizedunderRule 91.1(b)(iii) 91.1(e)(iii) shall,unless supersededbylaterreplacementsheetsoramendmentsresultinginthecancellationofentire sheetsunderRule66.8(b),beannexedtothere port.AmendmentsunderArticle19which havebeenconsideredasreversedbyanamendmentunderArticle34andlettersunder Rule 66.8shallnotbeannexed. 2
- (b) Notwithstandingparagraph(a),asupersededreplacementsheetshallalsobe

 annexedtother eportwheretheInternationalPreliminaryExaminingAuthorityconsidersthat

 therelevantsupersedingreplacementsheetorsheetsoramendmentscontainanamendment

 thatgoesbeyondthedisclosureintheinternationalapplicationasfiledandthereportco ntains

 anindicationreferredtoinRule70.2(c).Insuchacase,thesupersededreplacementsheet

 shallbemarkedasprovidedbytheAdministrativeInstructions.

70.17 [Nochange]

[EndofAnnexandofdocument]

Theproposedamendmentsshowninparagraph(a)simply reproduce those proposed for Rule 70.16 indocument PCT/R/WG/4/4Add.2.