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WORLD INTELLECTUAL PROPERTY ORGANIZATION GENEVA

INTERNATIONAL PATENT COOPERATIONUNION (PCTUNION)

WORKINGGROUPONREF ORMOFTHEPATENT COOPERATIONTREATY(PCT)

FourthSession Geneva,Ma y19to23,2003

CHANGESRELATEDTOT HEPATENTLAWTREATY (PLT):

"MISSINGPART" REQUI REMENTS

Document prepared by the International Bureau

BACKGROUND

WIPO

2. AmongthePLT -relatedproposalscontainedindocumentPCT/R/WG/1/5were proposalsto conformthePCT"missingpart"requirementstothoseofthePLT(seedocument PCT/R/WG/1/5,AnnexI).However,duetotimeconstraints,anumberoftheproposals containedindocumentPCT/R/WG/1/5,includingthoserelatedto"missingpart" requirements, couldnotbediscussedduringthefirstsessionoftheWorkingGroup.Rather, theWorkingGroupdesiredtogiveprioritytothosematters"whichwouldresultinthe greatestandmostimmediatepracticalbenefitsforusers,havingregardalsotothedegree of complexityinvolvedandtoworkloadimplicationsforOfficesandAuthorities,"inparticular, proposalsconcerningrestorationoftherightofpriorityandreliefwhentimelimitswere missed,especiallythetimelimitforenteringthenationalphase(seethefirstsessionsummary bytheChair,documentPCT/R/WG/1/9,paragraph21(v)). 3. ForthesecondsessionoftheWorkingGroup,theInternationalBureauprepareda documentoutliningpossiblefurtherPLT -relatedchangestothe PCT,suggesting,ingeneral, thatthosePLT -relatedproposalscontainedindocumentPCT/R/WG/1/5whichhadnotbeen discussedduringthefirstsessionoftheWorkingGroupwouldnotneedtobeaddressedas mattersofhighpriority.Withregardtothepro posaltoconformthePCT"missingpart" requirementstothoseofthePLT,ascontainedinAnnexItodocumentPCT/R/WG/1/5,it wassuggestedthat"[i]nlightofthediscussionsatthefirstsessionoftheWorkingGroup,this proposalisconsideredtohave arelativelylowpriorityandwillnotberesubmittedfor considerationbytheWorkingGroupuntilalaterdate"(seedocumentPCT/R/WG/2/6, paragraph 9;theWorkingGroupatitssecondsessionwasunableinthetimeavailableto considerdocumentPCT/R/WG/2/6 –seedocumentPCT/R/WG/2/12,paragraph59).

4. Atitsthirdsession,theWorkingGroupreviewedproposalsforreformwhichhad alreadybeensubmittedtotheCommitteeonReformofthePCTortheWorkingGroupbut notyetco nsideredindetailandagreedonthepriorityofthoseproposals,withaviewtotheir inclusionintheworkprogramoftheWorkingGroup.Amongtheproposalsreviewedbythe WorkingGroupwastheproposaltoconformthePCT"missingpart"requirementst othose ofthePLT,asoriginallysubmittedtotheWorkingGroupindocumentPCT/R/WG/1/5.The WorkingGroupagreedthattheInternationalBureaushouldresubmittheproposalsforfurther considerationbytheWorkingGroup(seethesummaryofthesession bytheChair,document PCT/R/WG/3/5,paragraphs35to40,inparticular,paragraph38).

CONFORMPCT"MISSINGPART" REQUIREMENTSTOTHOSEOFTHEPLT

5. Thepresentdocumentcontainsfurtherrevisedtextsoftheproposalsrelatedto "missing part"requirementsoriginallycontainedinAnnexItodocumentPCT/R/WG/1/5.Theyhave beenfurtherrevisedsoastotakeintoaccount,aswassuggestedindocumentPCT/R/WG/2/6, thatthereisnointentiontoproceed,untilafuturesessionof theWorkingGroup,withcertain otherPLTrelatedproposalswhichwerealsocontainedinAnnexItodocument PCT/R/WG/1/5, suchasproposalstoalignthePCTfilingdaterequirementswithregardto claims, "drawingasdescription," and replacementofdescr iptionanddrawingby reference to previously filed application to those of the PLT.

StructureofRule20

6. Inthecontextof "missingpart" requirements, it is proposed to revise Rule 20 so as to remove to the Administrative Instructions matters of detail related to the stamping of dates, etc., which are presently deal twithin Rules 20.1 to 20.3, and to leave the Rule to deal with the more significant question of the according of the international filing date. The existing provisions of the Rule would be renumbered accordingly. An ewprovision would be added as Rule 20.3 (c) and (d) dealing with the question of subsequent compliance with Article 11(1). Rule 20.5 as a mended would deal with missing parts, including the case where the missing part is completely contained in an earlier application the priority of which is claimed (see below). The proposed amendments would align the order of the provisions dealing with the according of the international filing date with the (logical) or derinwhich a receiving Office determines whether and which date to accord as the international filing date

$\label{eq:linear} International filing date where missing partial states of the stat$

7. UnderPLTArticle5(6)(a),latersubmission(withincertain timelimits)ofamissing partofthedescriptionoramissingdrawingresultsinaccordingasthefilingdatethedateon

PCT/R/WG/4/2 page 3

which the Office has received the missing part of the description or the missing drawing, or equirements are complied with, which ever is later. The thedateonwhichallthefilingdater sameprinciple is applied under the PCT where sheets (description, claims, drawings) pertaining to the same application are not received on the same day. However, while the Treaty(PCTArticle 14(2))expresslydealswiththecaseofmissingdrawings, neither the TreatynortheRegulationsspecificallydealwiththeaccording(orcorrection)ofan internationalfilingdatewheresheetsotherthanmissingdrawingsarereceivedlaterthanthe dateonwhi chpaperswerefirstreceived. Thismatterisexpresslydealt with only in the AdministrativeInstructions(seeSection309oftheAdministrativeInstructions)andinthe ReceivingOfficeGuidelines(seeparagraphs 200to207oftheReceivingOfficeGuide lines). Inordertoclarifytheprocedure, it is proposed to deal with this important matter in the Regulations(ratherthanintheAdministrativeInstructionsandtheReceivingOffice Guidelines)andtoamendRule20accordingly(seeRule20.5aspropose dtobeamended).

$\label{eq:linear} International filing date where missing part is completely contained in earlier application$

8. Themaindifferencebetweenthe "missingpart" requirements of the PLT and those of the PCT is that, under the PLT, the applicant carrectify the omission, at the time of filing, of a part of the description or of a drawing without loss of the filing date if the application claims the priority of an earlier application and the missing part of the description or the missing art of the description of the description or the missing art of the descripti

A lignment of certain related requirements under the PCT with those under the PLT

9. Inthecontextof "missingpart" typerequirements, it is also proposed to align certain related requirements under the ePCT with those under the PLT, in particular timelimits for compliance with non -filing date related requirements (see Rule 26 as proposed to be amended).

10. TheWorkingGroupisinvitedto considertheproposalscontainedinthe Annex tothisdocument.

[Annexfollows]

PCT/R/WG/4/2

ANNEX

PROPOSEDAMENDMENTSOFTHEPCTREGULATIONS:

"MISSINGPART" REQUIREMENTS

TABLEOFCONTENTS

Rule20 InternationalFilingDate ReceiptoftheInternat ionalApplication	2
20.1 DateandNumber	2
20.2 ReceiptonDifferentDays	3
20.3 CorrectedInternationalApplication	4
20.1 = 20.4 DeterminationUnderArticle11(1)	
20.2 20.5 PositiveDetermination UnderArticle 11(1)	
20.3 20.6 CorrectionUnderArticle11(2) InvitationtoCorrect	7
20.4 20.7 NegativeDetermination UnderArticle 11(1)	9
20.5 MissingPartofDescription,ClaimsorDrawings	10
Rule26Checkingby,andCorrectingBefore,theReceivingOfficeofCertain	
ElementsoftheI nternationalApplication	14
26.1 <u>InvitationUnderArticle 14(1)(b)toCorrect</u> <u>TimelimitforCheck</u>	14
26.2 TimeLimitforCorrection	
26.3 to26.4 [Nochange]	15
26.5 DecisionoftheReceivingOffice	15
26.6 MissingDrawings	

Rule20

InternationalFilingDate

ReceiptoftheInternationalApplication

[COMMENT:ItisproposedtoreviseRule20soastoremovetotheAdministrative Instructionsmattersofdetailrelatedtothestampingofdates,etc.,whicharepresentlydealt withinRules20.1to20.3,andtoleavetheRuletodealwiththemoresignificantquestionof theaccordingoftheinternationalfilingdate.TheexistingprovisionsoftheRulewouldbe renumberedaccordingly.AnewprovisionwouldbeaddedasRule20.3(c)and(d)dealing withthequestionofsubsequentcompliancewithArticle11(1),andnewRu le20.5woulddeal withmissingpartsandmissingdrawings.]

20.1 DateandNumber

(a) Uponreceiptofpaperspurportingtobeaninternationalapplication,thereceiving Officeshallindeliblymarkthedateofactualreceiptontherequestofeachcopy received theinternationalapplicationnumberoneachsheetofeachcopyreceived.

(b) Theplaceoneachsheetwherethedateornumbershallbemarked, and other

details,shallbespecifiedintheAdministrativeInstructions.

[COMMENT: It is proposed to delete present Rule 20.1 and to move the content of that Rule to the Administrative Instructions. Present Rule 20.4 would be renumbered as Rule 20.1.]

20.2 ReceiptonDifferentDays

(a) Incaseswhere allthe sheetspertainingtothesamepurpo rtedinternational applicationarenotreceivedonthesamedaybythereceivingOffice,thatOfficeshallcorrect thedatemarkedontherequest(stillleavinglegible,however,theearlierdateordatesalready marked)sothatitindicatesthedayonwhi chthepaperscompletingtheinternational applicationwerereceived,provided

(i) wherenoinvitationunderArticle 11(2)(a)tocorrectwassenttotheapplicant, thesaidpapersarereceivedwithin30daysfromthedateonwhichsheetswerefirstreceiv ed;

(ii) whereaninvitationunderArticle 11(2)(a)tocorrectwassenttotheapplicant,

thesaidpapersarereceivedwithintheapplicabletimelimitunderRule20.6;

(iii) inthecaseofArticle 14(2), them is singly a wing sare received within 30 days

fromthedateonwhichtheincompletepaperswerefiled;

(iv) theabsenceorlaterreceiptofanysheetcontainingtheabstractorpartthereof shallnot,initself,requireanycorrectionofthedatemarkedontherequest.

(b) Anysheetreceivedona datelaterthanthedateonwhichsheetswerefirstreceivedshallbemarkedbythereceivingOfficewiththedateonwhichitwasreceived.

[COMMENT:ItisproposedtodeletepresentRule20.2andtomovethecontentofthe chapeauofpresentparagraph (a)("thatOfficeshallcorrectthedatemarkedontherequest (stillleavinglegible,however,theearlierdateordatesalreadymarked)sothatitindicatesthe dayonwhichthepaperscompletingtheinternationalapplicationwerereceived")andthe contentofpresentparagraph(b)totheAdministrativeInstructions.PresentRule20.5would berenumberedasRule 20.2.]

20.3 CorrectedInternationalApplication

InthecasereferredtoinArticle11(2)(b),thereceivingOfficeshallcorrectthedate

markedontherequest(stillleavinglegible,however,theearlierdateordatesalreadymarked)

sothatitindicatesthedayonwhichthelastrequiredcorrectionwasreceived.

[COMMENT:ItisproposedtodeletepresentRule20.3andtomovethecontentoft hatRule totheAdministrativeInstructions.PresentRule20.6wouldberenumberedasRule20.3. ThematterofsubsequentcompliancewithArticle11(1)requirements("thecasereferredtoin Article 11(2)(b)")wouldbedealtwithinproposednewRule20. 3(c)and(d)(seebelow).]

20.1 20.4 *DeterminationUnderArticle11(1)*

[COMMENT: A part from the renumbering, no change is proposed to the present Rule, but the text is reproduced below for convenient reference. A decision by the Assembly may be necessary to ensure that transitional reservations that we remade under existing Rule 20.4(d) continue to be effective under that provision as renumbered Rule 20.1(d).]

(a) [Nochange] Promptlyafterreceiptofthepaperspurportingtobeaninternational application,thereceivingOfficeshalldeterminewhetherthepaperscomplywiththe requirementsofArticle 11(1).

(b) [Nochange] ForthepurposesofArticle11(1)(iii)(c),itshallbesufficientto indicatethenameoftheapplicantinawaywhichallows hisidentitytobeestablishedevenif thenameismisspelled,thegivennamesarenotfullyindicated,or,inthecaseoflegal entities,theindicationofthenameisabbreviatedorincomplete.

(c) [Nochange] ForthepurposesofArticle11(1)(ii),i tshallbesufficientthatthepart whichappearstobeadescription(otherthananysequencelistingpartthereof)andthepart whichappearstobeaclaimorclaimsbeinalanguageacceptedbythereceivingOfficeunder Rule 12.1(a).

(d) [Nochange] If,onOctober1,1997,paragraph(c)isnotcompatiblewiththe nationallawappliedbythereceivingOffice,paragraph(c)shallnotapplytothatreceiving Officeforaslongasitcontinuesnottobecompatiblewiththatlaw,providedthatthesaid OfficeinformstheInternationalBureauaccordinglybyDecember31,1997.Theinformation receivedshallbepromptlypublishedbytheInternationalBureauintheGazette.

20.2 20.5 PositiveDetermination <u>UnderArticle 11(1)</u>

[COMMENT:Renumberingandclar ificationofthetitleonly.Nochangeisotherwise proposedtothepresentRulebutthetextisreproducedbelowforconvenientreference.]

(a) [Nochange] If the determination under Article 11(1) is positive, there ceiving Offices halls tampon ther equest the name of the receiving Office and the words "PCT International Application," or "Demande international ePCT." If the official language of the receiving Office is neither Englishnor French, the words "International Application" or "Demande international e" may be accompanied by a translation of the sew ords in the official language of the receiving Office.

(b) [Nochange] Thecopywhoserequesthasbeensostampedshallbetherecordcopy of the international application.

(c) [Nochange] The receivingOfficeshallpromptlynotifytheapplicantofthe internationalapplicationnumberandtheinternationalfilingdate.Atthesametime,itshall sendtotheInternationalBureauacopyofthenotificationsenttotheapplicant,exceptwhere ith asalreadysent,orissendingatthesametime,therecordcopytotheInternationalBureau underRule22.1(a).

20.3 20.6 <u>CorrectionUnderArticle11(2)</u> InvitationtoCorrect

(a) The invitation to correct under Article 11(2)(a) shall specify the requirement provided for under Article 11(1) which, in the opinion of the receiving Office, has not been fulfilled.

[COMMENT:Renumberingandclarificationonly.]

(b) ThereceivingOfficeshall <u>sendtheinvitationreferredtoinparagraph(a)</u> promptly <u>.</u> Int heinvitation,thereceivingOfficeshallinvite <u>shallmailtheinvitationto</u> theapplicant <u>to</u> furnishtherequiredcorrection,andtomakeobservations,withinthetimelimitunder paragraph(d)(i) andshallfixatimelimit,reasonableunderthecircumst <u>ancesofthecase,for</u> filingthecorrection.Thetimelimitshallnotbelessthan10days,andshallnotexceedone month,fromthedateoftheinvitation .If <u>that such</u>timelimitexpiresaftertheexpirationof oneyearfromthefilingdateofanyapp licationwhosepriorityisclaimed,thereceivingOffice <u>shall may</u>callthiscircumstancetotheattentionoftheapplicant.

[COMMENT: Withregardtotherequirementtogivetheapplicanttheopportunitytomake observations, seePLTArticle 5(3). It is alsoproposed to make it mandatory for receiving Offices to draw the applicant's attention to the fact that the time limit for corrections expires after the expiration of the priority period.]

(c) WhereoneormoreoftherequirementsunderArticle11(1) arenotcompliedwithat thetimeofreceiptofthepurportedinternationalapplicationbutarecompliedwithonalater datefallingwithintheapplicabletimelimitunderparagraph(d),theinternationalfilingdate shall,subjecttoRule20.5,bethat laterdateandthereceivingOfficeshallproceedas providedinRule20.2.

[Rule20.3(c), continued]

[COMMENT:SeePLTArticle5(4).Itisproposedtoaddnewparagraphs(c)and(d)soas toclarifytheprocedurewithregardtotheaccordingoftheinte rnationalfilingdateincaseof subsequentcompliancewithArticle11(1)requirements,inparticularinviewofproposednew Rule20.5(accordingoftheinternationalfilingdateincaseamissingpartormissingdrawing isfiled,includingthecasethat amissingpartormissingdrawingiscompletelycontainedin theearlierapplicationthepriorityofwhichisclaimed;seebelow).]

(d) Thetimelimitreferredtoinparagraphs(b)and(c)shallbe:

(i) whereaninvitationreferredtoinparagraph(a) wassenttotheapplicant,[one

month][twomonths]fromthedateoftheinvitation;

[COMMENT:SeePLTArticle5(3)andPLTRule2(1).Thetimelimithasbeenputin squarebracketssinceitappears inherentlyproblematic toincludeinthePCTRegulation s moregenerous("PLT -style")timelimitsthanispresentlythecase, notingthattheproper functioningofthePCTsystemreliestoagreatextentonactionstakingplaceinaverylimited timeandwithinstrictdeadlines.]

(ii) wherenoinvitationrefer redtoinparagraph(a)wassenttotheapplicant,[one

month][twomonths]fromthedateonwhichoneormoreelementsreferredtoin

Article 11(1)(iii)werefirstreceivedbythereceivingOffice.

[COMMENT:SeePLTArticle5(4)andPLTRule 2(2).While thePLTprovidesforthetime limitunderitem(ii)onlyincaseswherenoinvitationwassenttotheapplicant"because indicationsallowingtheapplicanttobecontactedbytheOfficehavenotbeenfiled",itis proposedtoapplythattimelimitto *all* caseswherenoinvitationhasbeensenttothe applicant.Thetimelimitshavebeenputinsquarebracketssinceitappears inherently problematictoincludeinthePCTRegulationsmoregenerous("PLT -style")timelimitsthan ispresentlythecase, notingthattheproperfunctioningofthePCTsystemreliestoagreat extentonactionstakingplaceinaverylimitedtimeandwithinstrictdeadlines.]

20.4 20.7 NegativeDetermination <u>UnderArticle 11(1)</u>

If there evided for the second still does not fulfill the second still does not fulfill the second still does not the seco

[COMMENT: Consequential on the proposed amendment of present Rule 20.6 (renumbered Rule 20.3 and the proposed addition of new Rule 20.3 (c) and (d).]

(i) promptlynotifytheapplicantthat <u>the his</u>application <u>is considerednottohave</u>
 <u>beenfiled</u> isnotandwillnotbetreatedasaninternationalapplication and shallindicate the reasonstherefor,

[COMMENT:Item(i) is proposed to be amended so as to a lign the terminology with that used in PLTArticle 5(4)(b). I tems(ii) to (iv) are not proposed to be amended but are reproduced below for convenient reference.]

(ii) [Nochange] notifytheInternationalBureauthatthenumberithasmarkedon thepaperswillnotbeusedasaninternationalapplicationnumber,

(iii) [Nochange] keepthepapersconstitutingthepurported international

application and any correspondence relating the reto as provided in Rule 93.1, and

(iv) [Nochange] sendacopyofthesaidpaperstotheInternationalBureauwhere,

pursuanttoar equestbytheapplicantunderArticle25(1),theInternationalBureauneedssuch acopyandspeciallyasksforit.

20.5 MissingPartofDescription,ClaimsorDrawings

 (a) WherethereceivingOfficenoticesthatapartofthedescription,theclaimor
 claims

 orthedrawings(ifany)appearstobemissingfromtheapplication("missingpart"),including

 thecasewheretheapplicationreferstodrawingswhichinfactarenotincludedinthe

 application,thatOfficeshallpromptlyinvitetheapplicanttof
 urnishthemissingpart(ifany)

 andtomakeobservationswithinthetimelimitunderparagraph(c)(i).Ifthattimelimit
 expiresaftertheexpirationofoneyearfromthefilingdateofanyapplicationwhosepriority

 isclaimed,thereceivingOfficesha
 llcallthiscircumstancetotheattentionoftheapplicant.

[COMMENT:UnderthepresentPCT"missingpart"requirements,thereceivingOfficeis required to notify the applicant only in case of a missing drawing (see PCT Article 14(2)) but notincase of amissingpartofthedescription or of a missingpart of a claim or claims. In accordancewithPLT Article5(5), it is proposed to extend the (applicant friendly) concept of a"missingpart"notificationalsotoamissingpartofthedescriptionand,no tingthatthe presence of claims is a filing date requirement under the PCT, to a missing part of a claim or claims.W herethereceivingOfficesendstotheapplicantaninvitationtocorrectunder Article 11(2)(a)orArticle14(1)(b),the"missingpart" notificationshouldbeincludedinthat invitation;theAdministrativeInstructionswouldhavetobemodifiedaccordingly. Inline with the Notes on the PLT, it is further proposed to modify the Administrative Instructionsand the Receiving Office Guidel in essoastomake it clear that there is no obligation on the receivingOfficetocarryoutacheckforamissingpart(ofthedescriptionorofaclaimor claims)oramissingdrawingbeyondtheexistingobligationtocheckthatthenumberofthe sheets of description actually filed corresponds to the number indicated in Box VIII of the request(seeparagraph149oftheReceivingOfficeGuidelines)andtheobligationtoexamine the check list in the request and the text of the international application forreferenceto drawingsandtocheckwhetherdrawingsareincludedintheinternationalapplication(see paragraph193and194oftheReceivingOfficeGuidelines).Notethatthelastsentenceof shouldaprovisionconcerning proposednewparagraph(a)wouldneedtobefurtheramended therestorationoftherightofprioritybeaddedtothePCTRegulations(seedocument PCT/R/WG/4/1), since the international filing date could then be later than 14 monthsfrom thefilingdateoftheearlierapplicationwhose priorityisclaimed.]

[Rule20.5, continued]

(b) WheretheapplicantfurnishesamissingparttothereceivingOfficewithinthe applicabletimelimitunderparagraph (c),thatpartshallbeincludedintheinternational applicationand,subjecttopara graphs(e)and(f),theinternationalfilingdateshallbethedate onwhichthereceivingOfficereceivedthatpartorthedateonwhichalloftherequirements ofArticle 11(1)arecompliedwith,whicheverislater.

[COMMENT:SeePLTArticle5(6).Itis proposedtoaddnewparagraph(b)soastoclarify, intheRegulations,theprocedurewithregardtotheaccording(orcorrection)ofan internationalfilingdatewheresheetscompletingtheinternationalapplicationaresubmitted onadatelaterthanthe dateonwhichpaperswerefirstreceived.Atpresent,while Article 14(2)dealswiththeprocedureincaseofmissingdrawings,neithertheTreatynorthe Regulationsclearlyspellouttheprocedurewithregardtotheaccording(orcorrection)ofan internationalfilingdatewheresheetsotherthanmissingdrawingsarereceivedlaterthanthe dateonwhichpaperswerefirstreceived;atpresent,thisissueisexpresslydealtwithonlyin theAdministrativeInstructions(seeSection 309)andintheReceiv ingOfficeGuidelines(see paragraphs200to207).]

(c) Thetimelimitreferredtoinparagraphs(a)and(b)shallbe:

(i) whereaninvitationreferredtoinparagraph(a)wassenttotheapplicant,[one

month][twomonths]fromthedateoftheinvitati on;

(ii) wherenoaninvitationreferredtoinparagraph(a)wassenttotheapplicant,

[onemonth][twomonths]fromthedateonwhichoneormoreelementsreferredtoin

Article 11(1)werefirstreceivedbythereceivingOffice.

[COMMENT:Withregardt otheapplicabletimelimit,seePLTArticle5(6)andPLT Rule 2(3)(i)and(ii).Thetimelimitshavebeenputinsquarebracketssinceitappears inherentlyproblematic toincludeinthePCTRegulationsmoregenerous("PLT -style")time limitsthanispre sentlythecase, notingthattheproperfunctioningofthePCTsystemreliesto agreatextentonactionstakingplaceinaverylimitedtimeandwithinstrictdeadlines.]

[Rule20.5, continued]

(d) Theapplicantmay,inanoticesubmittedtothereceivi ngOfficewithinthe applicabletimelimitunderparagraph(c),requestthatamissingpartfurnishedunder paragraph(b)bedisregarded,inwhichcasetheinternationalfilingdateshallbethedateon whichalloftherequirementsofArticle11(1)areco mpliedwith.

[COMMENT:SeePLTArticle5(6)(c).Theproposedwording("requesttodisregard") differsfromthatusedinthePLT("withdraw")soastoavoidconfusionwithwithdrawals underRule90 *bis*.)]

(e) Wheretheinternationalapplicationclaimsth epriorityofanearlierapplication [and,

onthedateonwhichoneormoreelementsreferredtoinArticle11(1)(iii)werefirstreceived

bythereceivingOffice, contained an indication that the contents of the earlier application

wereincorporatedbyre ferenceintheinternationalapplication,] andtheapplicantfurnishesa

missingpartunderparagraph(b)whosecontentiscompletelycontainedinthatearlier

application, the international filing dates hall be the date on which all the requirements of

Article11(1)arecomplied with, provided that, within the applicable time limit under

paragraph(b):

[COMMENT: Themaindifference between the "missing part" requirements of the PLT and those of the PCT is that, under the PLT, the applicant can rectify theomission, at the time of filing, of a part of the description or of a drawing without loss of the filing date if the application claims the priority of an earlier application and the missing part of the descriptionorthemissingdrawingiscompletelycon tainedinthatearlierapplication(seePLT Article 5(6)andPLTRule2(3)and (4)). There is no equivalent provision in the PCT. In order to a lign PCT requirements to those of the PLT, it is proposed to add such provision to the provision of the planet state of the planet stthePCTRegulations.PLTRu le 2(4)leavesitattheoptionofanyPLTContractingParty whether it wishest or equire that the application, at the date on which papers were first received, contained an indication that the contents of the earlier application were incorporated byrefer enceintheapplication(seePLTRule 2(4)(v).Delegationsmaywishtoconsider whetherornottoincludesuchrequirementinthePCTRegulations; the text corresponding to that requirement has thus been put insquare brackets. Furthermore, it is propos ed notto includeinparagraph(b)arequirement,aspermittedunderPLTRule2(4)(ii),thatthe

[Rule20.5(e), continued]

applicant, upon invitation by the Office, must file acertified copy of the earlier application (the "priority document"), in additi onto the "simple" copy of the earlier application required to be furnished underitem (ii) of paragraph (b) (see below). The furnishing of a "simple" copy of the earlier application would appear sufficient for the purposes of the international phase; the consequences in case of any discrepancies between the "simple" copy and the certified copy of the earlier application would have to be dealtwith in the national phase.]

(i) theapplicantsubmitsarequestaccordinglytothereceivingOffice;

(ii) aco pyoftheearlierapplicationisfurnishedtothereceivingOffice;

[COMMENT:SeePLTRule2(4)(i).]

(iii) wheretheearlierapplicationisnotthesamelanguageacceptedbythe

receivingOfficeunderRule12.1(a)astheinternationalapplication,atran slationoftheearlier

applicationintothatlanguageisfurnishedtothereceivingOffice; and

[COMMENT:SeePLTRule2(4)(iii).]

(iv) theapplicantfurnishestothereceivingOfficeanindicationastowhere, in the

earlierapplicationorinthetrans lationreferredtoinitem (iv), them is singpartiscontained.

[COMMENT:SeePLTRule2(4)(vi).UnderthePLT,thereisnoprovisionwhichwould require the applicant to furnish a statement to the effect that the missing part (or missing drawing) furnis hed laterisidentical to the "missing part" as contained in the earlier application; it would thus appear that there ceiving Office would be required to compare the missing part furnished later with the "missing part" as contained in the earlier applicat ion.]

Rule₂₆

Checking by, and Correcting Before, the Receiving Office of Certain Elements of the the second sec

InternationalApplication

26.1 <u>InvitationUnderArticle 14(1)(b)toCorrect</u> <u>TimelimitforCheck</u>

(a) ThereceivingOfficeshall , issuetheinvitationt ocorrectprovidedforin

Article 14(1)(b)assoonaspossible, preferably within one month from the receipt of the

internationalapplication <u>invitetheapplicant, underArticle14(1)(b), to furnish the required</u>

correction, and tomake observations, withi nthe time limit under Rule 26.2.

[COMMENT:Thetitleisproposedtobeamendedsoastocorrectlycoverthesubjectmatter ofparagraph (a).Withregardtotherequirementtogivetheapplicanttheopportunitytomake observations,seePLTArticle6(7).]

(b) [Deleted] IfthereceivingOfficeissuesaninvitationtocorrectthedefectreferredto inArticle 14(1)(a)(iii)or(iv)(missingtitleormissingabstract),itshallnotifythe

InternationalSearchingAuthorityaccordingly.

[COMMENT:Itispropo sedtomovethecontentofpresentparagraph(b)tothe AdministrativeInstructions.]

26.2 TimeLimitforCorrection

The time limit referred to in <u>Rule 26.1</u> Article 14(1)(b) shall be reasonable under the eircumstances and shall be <u>[onemonth][twomonth s]</u> fixed in each case by the receiving Office. It shall not be less than one month from the date of the invitation to correct. It may be extended by the receiving Office at any time before a decision is taken.

[Rule26.2, continued]

[COMMENT:SeePLTArti cle6(7)andPLTRule6(1).Thetimelimitshavebeenputin squarebracketssinceitappears inherentlyproblematic toincludeinthePCTRegulations moregenerous("PLT -style")timelimitsthanispresentlythecase, notingthattheproper functioningo fthePCTsystemreliestoagreatextentonactionstakingplaceinaverylimited timeandwithinstrictdeadlines.]

26.3 to26.4 [Nochange]

26.5 DecisionoftheReceivingOffice

(a) ThereceivingOfficeshalldecidewhethertheapplicanthassubmi ttedthe correctionwithinthetimelimitunder <u>paragraph(b)</u> <u>Rule26.2</u>, and, if the correction has been submitted within <u>theapplicable</u> <u>that</u>-timelimit, whether the international applications corrected is or is not to be considered with drawn, provided that hat no international application shall be considered with drawn for lack of compliance with the physical requirements referred to in Rule 11 if it complies with those requirements to the extent necessary for the purpose of reasonably uniform international publication.

(b) Thetimelimitreferredtoinparagraph(a)shallbe:

(i) whereaninvitationunderRule26.2wassenttotheapplicant,[onemonth] [twomonths]fromthedateoftheinvitation;

(ii) wherenoinvitationunderRule26.2wassenttothea pplicant,[onemonth] [twomonths][threemonths]fromthedateonwhichoneormoreoftheelementsreferredto inArticle11(1)(iii)werefirstreceivedbythereceivingOffice.

[Rule26.5(b), continued]

[COMMENT:SeePLTArticle6(7) and PLTRule6(1) and (2). The time limits have been put in square brackets since it appears inherently problematic to include in the PCT Regulations more generous ("PLT -style") time limits as is presently the case, noting that the proper functioning of the PCT system reli esto agreatext enton actions taking place in avery limited time and within strict dead lines. While the PLT provides for the time limit under item (ii) only in cases where no invitation was sent to the applicant "because indications allowing the applic ant to be contacted by the Office have not be ensent to the applicant.]

26.6 MissingDrawings

(a) If,asprovidedinArticle14(2),theinternationalapplication referstodrawings-

whichinfactarenotincludedinthatapplication, thereceiving Offices hallso indicate in the

saidapplication.

[COMMENT:Itisproposedtomovethecontentofparagraph(a)totheAdministrative Instructions.]

(b) Thedateonwhi chtheapplicantreceivesthenotificationprovidedforinArticle

14(2)shallhavenoeffectonthetimelimitfixedunderRule 20.2(a)(iii).

[COMMENT: The proposed deletion of present paragraph (b) is consequential on the proposed amendment of Rule 20 (see above).]

[EndofAnnexandofdocument]