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WORLD INTELLECTUAL PROPERTY ORGANIZATION
GENEVA

INTERNATIONAL PATENT COOPERATION UNION
(PCT UNION)

MEETING OF INTERNATIONAL AUTHORITIES
UNDER THE PCT

Ninth Session
Geneva, July 21 to 25, 2003

PCT MINIMUM DOCUMENTATION

Document prepared by the International Bureau

1. At the seventh session of PCT/MIA, held in Geneva from February 10 to 14, 2003, the Meeting requested that a circular be sent to the members of the PCT Committee for Technical Cooperation (PCT/CTC), inviting the members to evaluate the Non-Exhaustive Inventory of Traditional Knowledge-Related Periodicals attached to the circular and to suggest a selection of appropriate periodicals from the Inventory, or other traditional knowledge-related periodicals, on the basis of the criteria agreed at the Meeting (see document PCT/MIA/7/5, paragraphs 12 and 13). The Circular, C. PCT 911, which was issued by the International Bureau on March 28, 2003, also invited the members of PCT/CTC to propose alternative ways for providing access to traditional knowledge documentation, for example, by using databases that exclusively or partly contained relevant traditional knowledge data. Responses to the Circular were invited by June 1, 2003.

2. By June 24, 2003, replies to the Circular had been received from the following 20 offices: Australia (AU), Austria (AT), Canada (CA), Estonia (EE), Finland (FI), Germany (DE), Hungary (HU), Kyrgyzstan (KG), New Zealand (NZ), Poland (PL), Portugal (PT), Republic of Korea (KR), Romania (RO), Russian Federation (RU), Spain (ES), Sweden (SE), Turkey (TR), Ukraine (UA), Uzbekistan (UZ), Viet Nam (VN). An analysis of the replies is given below.

ANALYSIS OF REPLIES

General Comments

3. There was agreement in principle that Traditional Knowledge documentation should be included in the Non-Patent Literature (NPL) part of the PCT Minimum Documentation and the offices supported the use of the criteria provided by PCT/MIA in the selection of such documentation. Specific references were made to the ranking of periodicals to be included in the NPL list on the basis of access conditions, i.e., cost and text searchability in electronic form (AT, AU, CA, FI and SE) and the availability of an English Language abstract as a minimum requirement (AT and UA). Other comments related to the exclusion from the (agreed) list of those periodicals which did not provide useful technical content—for example scientific dictionaries (AU)—and the importance of the ability to ascertain a relevant publication date (CA and SE).

4. With regard to the selection of databases for future use by offices, the question was raised as to whether PCT Rule 34(b)(iii) covered the inclusion of databases into the list of NPL which forms part of the PCT Minimum Documentation (SE).

List of Traditional Knowledge-Related Periodicals

5. Of the replies received, those from seven offices (AU, CA, DE, KR, PT, UA and VN) listed periodicals to be considered for inclusion in the NPL list either as a result of a specific selection exercise undertaken in the office concerned or on the basis of demand for copies of articles from periodicals within the list (DE). In the case of the reply from KR, comments were restricted to only the related periodicals of the Republic of Korea included in the list.

6. On the basis of these replies, the International Bureau has produced in Annex I a ranked list of periodicals for further consideration by PCT/MIA as candidates for inclusion in the NPL list. The list in Annex I to this document includes only those periodicals which were proposed by more than one of the offices which replied.

List of Traditional Knowledge-Related Databases

7. Of the replies received, those from six offices (AU, CA, DE, PL, SE and UA) listed a selection of databases from the list provided in Annex II to Circular C. PCT 911 considered useful for Traditional Knowledge search purposes.

8. On the basis of these replies the International Bureau has produced in Annex II to this document a ranked list of databases for further consideration by PCT/MIA as candidates for recommended use when searching in Traditional Knowledge. The list in Annex II includes only those databases which were proposed by more than one of the offices which replied. A further five databases not included in the original list were also proposed for consideration and these have been listed in Annex III to this document.

9. The PCT/MIA is invited to take note of the contents of this document and the Annexes thereto and to consider the further steps that should now be taken.

[Annexes follow]

ANNEX I

TRADITIONAL KNOWLEDGE RELATED PERIODICALS (Titles only)
Ranked Selection List (two or more selections)

<u>Title</u>	<u>Count*</u>
Journal of Ethnopharmacology	6(2)
The European Phytojournal	4(1)
Plant Genetic Resources Abstracts	3(2)
Review of Aromatic and Medicinal Plants	3(2)
Anthropology & Medicine	3(1)
Economic Botany, Journal of the Society of Economic Botany	3(1)
Journal of Ginseng Research	3(1)
MAPA (Medicinal and Aromatic Plants Abstracts)	3(1)
Pharmaceutical Biology	3(1)
Chinese Journal of Integrated Traditional and Western Medicine	3(0)
Indigenous Knowledge Worldwide (IKWW)	3(0)
Acta Pharmaceutica	2(1)
Biotechnology and Development Monitor	2(1)
COMPAS Newsletter for Endogenous Development	2(1)
CURARE	2(1)
Natural Product Sciences	2(1)
AyurVijnana	2(0)
Ethnobotanical Leaflets	2(0)
Honeybee	2(0)
ILEIA Newsletter	2(0)
Indigenous Knowledge Notes	2(0)
Thai Index Medicus	2(0)
Traditional South Asian Medicine	2(0)

[Annex II follows]

* Figure in brackets is the number of ISA selections.

ANNEX II

TRADITIONAL KNOWLEDGE RELATED DATABASES (Titles only)
Ranked Selection List (two or more selections)

<u>Title</u>	<u>Count</u> *
MEDLINE	6(3)
AGRICOLA (AGRICultural OnLine Access)	5(3)
BIOSIS (Biological Abstracts)	5(3)
Chemical Abstracts	5(3)
EMBASE.com	5(3)
NAPRALERT (NAtural PRoducts ALERT)	4(3)
AMED (Allied and Complimentary Medicine Database)	3(2)
CAB HEALTH Database	3(2)
Chinese Medical Current Contents	3(2)
TEK*PAD (Traditional Ecological Knowledge Prior Art Database)	3(2)
JICST-Eplus	3(1)
Rainforest Tropical Plant Database	2(2)
Beijing Database of Traditional Chinese Medicine	2(1)
Economic Botany Bibliographic Database	2(1)
ILEIADOC	2(1)

[Annex III follows]

* Figure in brackets is the number of ISA selections.

ANNEX III

TRADITIONAL KNOWLEDGE RELATED DATABASES (Titles only)
Proposed Additions to the List

<u>Title</u>	<u>Count</u> *
CABA (CAB Abstracts)	1(1)
National Science and Technology Library (www.nstl.gov.cn)	1(1)
FSTA (FOOD SCIENCE AND TECHNOLOGY ABSTRACTS)	1(0)
Polska Bibliografia Lekarska (Polish Medical Bibliography)	1(0)
SCIENCE DIRECT (electronic journals)	1(0)

[End of Annex III and of document]

* Figure in brackets is the number of ISA selections.