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UNITED INTERNATIONAL BUREAUX FOR THE PROTECTION OF INTELLECTUAL PROPERTY  
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**PATENT COOPERATION TREATY**

**INTERIM ADVISORY COMMITTEE FOR ADMINISTRATIVE QUESTIONS**

**Fourth Session: Tokyo, October 22 to 27, 1973**

DRAFT ADMINISTRATIVE INSTRUCTIONS  
UNDER THE PATENT COOPERATION TREATY

prepared by the International Bureau

SUMMARY

This document contains a revised draft of the PCT Administrative Instructions. The provisions relate primarily to the procedures under Chapters I and II; however, matters under other Chapters of the PCT are also covered.

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INTRODUCTION

Relevant Provisions of the PCT

1. Article 58(4) of the Patent Cooperation Treaty (PCT) stipulates that "The Regulations provide for the establishment, under the control of the Assembly, of Administrative Instructions by the Director General."
2. Rule 89 of the Regulations under the PCT reads as follows:

"Administrative Instructions

"89.1 Scope

- (a) The Administrative Instructions shall contain provisions:
  - (i) concerning matters in respect of which these Regulations expressly refer to such Instructions,
  - (ii) concerning any details in respect of the application of these Regulations.

- (b) The Administrative Instructions shall not be in conflict with the provisions of the Treaty, these Regulations, or any agreement concluded by the International Bureau with an International Searching Authority, or an International Preliminary Examining Authority.

"89.2 Source

- (a) The Administrative Instructions shall be drawn up and promulgated by the Director General after consultation with the receiving Offices and the International Searching and Preliminary Examining Authorities.

- (b) They may be modified by the Director General after consultation with the Offices or Authorities which have a direct interest in the proposed modifications.

- (c) The Assembly may invite the Director General to modify the Administrative Instructions, and the Director General shall proceed accordingly.

"89.3 Publication and Entry Into Force

- (a) The Administrative Instructions and any modification thereof shall be published in the Gazette.

- (b) Each publication shall specify the date on which the published provisions come into effect. The dates may be different for different provisions, provided that no provision may be declared effective prior to its publication in the Gazette."

### Background of this Document

3. A first draft of the Administrative Instructions under the PCT, covering the procedures under Chapter I of the PCT, as far as the international application and the tasks of the receiving Offices and the International Searching Authorities were concerned, was submitted as document PCT/AAQ/III/2 to the PCT Interim Advisory Committee for Administrative Questions (hereinafter referred to as the Interim Committee) at its third session held at Geneva in October, 1972.

4. A number of detailed comments were made by the members of the Interim Committee at that session and the International Bureau was asked to consider them in the preparation of a revised draft.

### Contents of this Document

5. This document contains a revised draft of the Administrative Instructions related to Chapter I of the PCT, incorporating the changes proposed at the third session of the Interim Committee. Moreover, this document contains a first draft of the Administrative Instructions relating to the procedure before the International Bureau and under Chapter II of the PCT, in particular as far as the tasks of the International Preliminary Examining Authority are concerned, and relating also to matters found in the other Chapters of the PCT.

6. Six Annexes which supplement certain provisions contained in this document are attached hereto. They are Annex A (a list of the titles of the countries members of the Paris Union), Annex B (a list of countries and organizations indicated by a two-letter code), Annex C (a patent documents identification code), Annex D (an example of the front page of the pamphlet), Annex E (a list of items taken from the front page for publication in the Gazette), and Annex F (a list of items which may be considered useful for publication in the Gazette).

### Forms to be Annexed to the Administrative Instructions

7. In order to facilitate the practical implementation of the PCT, the Administrative Instructions must be supplemented by a certain number of forms to be used by the various PCT authorities. Drafts of such forms have first been submitted for review by the Standing Subcommittee of the PCT Interim Committee for Technical Cooperation since most of them interest the members of the Standing Subcommittee in several capacities: as prospective receiving Offices and as prospective International Searching and/or Preliminary Examining Authorities. An analysis of the work which the Standing Subcommittee has accomplished to date in respect of the draft Forms is given in document PCT/AAQ/IV/3 submitted to the Interim Committee.

8. In drafting both the Administrative Instructions and the Forms, consideration was given to whether the particulars in respect of the contents of the Forms should be set forth in provisions (called Section) of the Administrative Instructions in addition to being set forth in the Forms themselves and whether the Forms would be annexed to the Administrative Instructions. The system followed in the

draft Administrative Instructions is based on two principles, namely: (i) that as much as possible should go into the draft Forms, and (ii) that the Forms would be annexed to the Administrative Instructions and the particulars contained in the Forms would not be repeated in the provisions of the Administrative Instructions. The reason for the first principle is that a Form is more easy to understand than the text of a provision since a Form not only contains, but to a great extent also illustrates, the practical application of any provision. The reason for the second principle is that duplication should be avoided as much as possible. It would be impossible to avoid considerable duplication if the texts of the provisions were to set forth the particulars of what each Form must contain.

9. The Interim Committee is invited to comment on the draft Administrative Instructions contained in this document and the Annexes thereto.

DRAFT ADMINISTRATIVE INSTRUCTIONS

PART A

INTRODUCTORY PROVISIONS

SECTION 001

ABBREVIATED EXPRESSIONS

In these Administrative Instructions:

- (i) "Treaty" means the Patent Cooperation Treaty;
- (ii) "Regulations" means the Regulations under the Treaty;
- (iii) "Article" means an Article of the Treaty;
- (iv) "Rule" means a Rule of the Regulations;
- (v) "International Bureau" means the International Bureau of the World Intellectual Property Organization;
- (vi) "International Authorities" means the receiving Office, the International Searching and Preliminary Examining Authorities, and the International Bureau.

SECTION 002

STATUS OF THE FORMS<sup>\*</sup>

(a) [The contents of] the Forms annexed to these Administrative Instructions are to be considered part of the Administrative Instructions.

(b) Subject to paragraphs (c) and (d), where a Form has been established for effecting a particular communication, the use by the International Authorities [of the contents set forth therein] shall be considered obligatory.

(c) The use by the receiving Office of the Notification of Expressions, Etc., Not to be Used (Form PCT/RO/112) and the use by the International Searching and Preliminary Examining Authorities of the Request for the Production of Proof (Forms PCT/ISA/214 and PCT/IPEA/410, respectively) shall be considered non-obligatory and their use merely recommended.

(d) Where the receiving Office, the International Searching Authority and/or the International Preliminary Examining Authority are part of the same Office, the use of any Form shall not be considered obligatory as far as internal communications within that same Office are concerned.

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\* The bracketed parts added to paragraphs (a) and (b) would limit the application of the said provisions to the contents of the Forms. The limitation has been placed in brackets since so far only the contents of the Forms have been given consideration and the question whether a uniform layout of the Forms could be agreed upon is still to be considered. The question whether such limitation is needed must consequently remain open for the time being.

SECTION 003

LANGUAGES OF THE FORMS<sup>\*</sup>

(a) The Forms to be used by the receiving Offices and the International Searching Authorities shall be established in the languages which are specified in the agreements concluded between the International Bureau and the International Searching Authorities in respect of the filing of international applications.

(b) The Forms to be used by the International Preliminary Examining Authorities shall be established in the languages which are specified in the agreements concluded between the International Bureau and the International Preliminary Examining Authorities.

(c) The language of any Form used by the receiving Office, the International Searching Authority, or the International Preliminary Examining Authority in effecting a particular communication shall be the same language as the international application to which the Form being communicated relates.

(d) The Forms to be used by the International Bureau shall be established in English and French. The language of any Form used by the International Bureau in effecting a particular communication shall be as prescribed in Rule 92.2(e). In as far as the language of the international application is English or French, the choice of the language will be determined by that language, otherwise by the practice of the International Bureau.

SECTION 004

LANGUAGE OF CORRESPONDENCE FROM THE APPLICANT

(a) Any letter from the applicant to the receiving Office shall be in the same language as the international application to which the said letter relates.

(b) Any letter from the applicant to the International Bureau shall be in the languages prescribed in Rule 92.2(d). In as far as the language of the international application is English or French, the choice of the language will be determined by that language, otherwise by the applicant.

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\* The question whether and to what extent Forms established in a language other than English should contain printed text matter also in English is still to be considered.

PART B

INSTRUCTIONS RELATING TO THE  
INTERNATIONAL APPLICATION

SECTION 101

THE APPLICANT FOR THE PURPOSES OF IDENTIFICATION OF THE  
INTERNATIONAL APPLICATION

Where an international application has been filed by several applicants, the name of the applicant first named in the request shall be used in correspondence for the purposes of identifying the international application.

SECTION 102

MANNER OF INDICATING DATES

Any date shall be indicated by the arabic number of the day, by the name of the month, and by the arabic numeral of the year. The receiving Office, or the International Bureau where the receiving Office fails to do so, shall insert following any date indicated by the applicant in the request of the international application an indication in parenthesis consisting of two arabic digits each for the day, the month and the year, in that order and with a period after the digit pairs of the day and the month (for example, 30 March 1972 (30.03.72)).

SECTION 103

NAMES OF DESIGNATED STATES UNDER RULE 4.9

Any State shall be indicated either by the full official name thereof or by a shorter version as indicated in Annex A. In either case, the receiving Office, or the International Bureau where the receiving Office fails to do so, shall insert following the indication of the name of any State the two letter country code as indicated in Annex B in parenthesis.

SECTION 104

INDICATION OF THE KIND OF PROTECTION UNDER RULE 4.12

(a) Where the applicant wishes his application to be treated in any designated State as an application not for a patent but for another kind of protection referred to in Article 43, he shall place the word(s) "inventor's

certificate," "utility certificate," "utility model," "patent of addition," "certificate of addition," "inventor's certificate of addition" or "utility certificate of addition" after the indication of the said State.

(b) In the case of Article 44, the applicant shall place, after the indication of the designated State concerned, either

(i) any two of the following terms connected by the word "and":  
"patent," "inventor's certificate," "utility certificate," "utility model," "patent of addition," "certificate of addition," "inventor's certificate of addition," "utility certificate of addition," or

(ii) any two of the terms indicated in (i) above, one of them preceded by the word "primarily," the other by the word "subsidiarily."

#### SECTION 105

##### INDICATION OF REGIONAL PATENT UNDER RULE 4.1(b) (iv)

Where the applicant wishes to obtain a regional patent in respect of any designated State, he shall place the words "regional patent" immediately after the indication of the said State, provided that, where Article 45(2) applies, the International Bureau shall treat the designation as if it contained the said words even where the applicant failed to indicate them, and provided further that, where Article 4(1)(ii), third sentence applies, and not all the States have been designated, the International Bureau shall treat the international application as if all had been designated where in respect of one the wish to obtain a regional patent has been indicated or assumed under the previous clause.

#### SECTION 106

##### THE HEADINGS OF THE PARTS OF THE DESCRIPTION UNDER RULE 5.1(c)

The headings referred to in Rule 5.1(c) shall be as follows:

- (i) for the matter referred to in Rule 5.1(a) (i), "Technical Field";
- (ii) for the matter referred to in Rule 5.1(a) (ii), "Background Art";
- (iii) for the matter referred to in Rule 5.1(a) (iii), "Disclosure";
- (iv) for the matter referred to in Rule 5.1(a) (iv), "Brief Description of Drawings";



- (v) for the matter referred to in Rule 5.1(a)(v), "Best Mode for Carrying Out the Claimed Invention";
- (vi) for the matter referred to in Rule 5.1(a)(vi), "Industrial Applicability".

#### SECTION 107

##### METHOD OF NUMBERING CLAIMS UPON AMENDMENT OF CLAIMS UNDER RULE 6.1(c)

- (a) A claim amended in part shall maintain its original number.
- (b) A deleted claim shall be indicated by the original number followed by the notation "(cancelled)."
- (c) Any claim which did not appear in the international application as filed shall bear the next number after the highest previously numbered claim followed by the words "(new claim)."

#### SECTION 108

##### ADDRESSEE FOR PURPOSES OF CORRESPONDENCE

- (a) Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities.
- (b) Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee.

#### SECTION 109

##### RECTIFICATION OF OBVIOUS ERRORS OF TRANSCRIPTION UNDER RULE 91

Any International Authority which authorizes or refuses a request for rectification of obvious errors of transcription under Rule 91 shall promptly inform the applicant of such authorization or refusal and, where appropriate, the reasons therefore.

SECTION 110

APPOINTMENT OF COMMON AGENT UNDER RULE 90.3 IN THE  
CASE OF SEVERAL APPLICANTS

Where there are several applicants, any agent appointed either in the request signed by all applicants or in a separate signed power of attorney signed by all applicants shall be considered as a common agent.

PART C

INSTRUCTIONS RELATING TO THE RECEIVING OFFICE

SECTION 201

NOTIFICATION OF RECEIPT OF PURPORTED INTERNATIONAL APPLICATION

Any receiving Office may, upon receipt of the purported international application, issue to the applicant a notice indicating the date of actual receipt, the number of the purported international application and, where useful for purposes of identification, the title of the invention.

SECTION 202

NOTIFICATION OF PRIORITY CLAIM CONSIDERED NOT TO HAVE BEEN MADE  
UNDER RULE 4.10(b)

If due to failure to meet the requirements of Rule 4.10(b), the priority claim is, for the purposes of procedure under the Treaty, considered not to have been made, the receiving Office shall indicate that fact in the international application and shall notify the applicant accordingly. If copies of the international application have already been sent to the International Bureau and the International Searching Authority, the receiving Office shall also notify that Bureau and that Authority.

SECTION 203

DELETION OF ADDITIONAL MATTER  
IN THE REQUEST UNDER RULE 4.17(b)

Where, under Rule 4.17(b), the receiving Office deletes ex officio any matter contained in the request, it shall do so by placing such matter between square brackets and entering the word "DELETED" in the right-hand margin adjacent to the matter so bracketed.

SECTION 204

CORRECTIONS UNDER RULE 9.2 SUBMITTED  
TO THE RECEIVING OFFICE

If Rule 9.2 corrections are submitted by the applicant to the receiving Office, that Office shall, if copies of the international application have not yet been transmitted to the International Bureau and the International Searching Authority, attach copies of such corrections to the international application. If copies of the international application have already been transmitted, the receiving Office shall transmit copies of the corrections submitted to the said Bureau and the said Authority.

SECTION 205

VERIFYING THE IDENTITY OF COPIES OF THE  
INTERNATIONAL APPLICATION UNDER RULE 11.1(b)

Where the international application has been filed in more than one copy, the receiving Office shall, provided that the applicant has not indicated which copy is to serve as the record copy, choose one copy as the record copy, and stamp the words "record copy" in the upper left-hand corner of its first page. After verifying the identity of any additional copies, it shall stamp the words "search copy" or "home copy", as relevant, in the upper left-hand corner of the first page of such copies.

SECTION 206

CHANGE IN THE PERSON, NAME OR ADDRESS OF THE APPLICANT

Any request for the recording of any change in the person or name of the applicant referred to in Rule 18.5 or Rule 54.4 or of any change in the address of the applicant shall be signed by the applicant or, where the receiving Office requested such change under Rule 18.5 or Rule 54.4, by the receiving Office. The request shall indicate the name or address of the applicant for which the change is requested. Rule 4.4 shall apply to any name and address indicated in the request.

SECTION 207

SYSTEM FOR NUMBERING PCT INTERNATIONAL APPLICATIONS UNDER RULE 20.1

Every receiving Office shall, in numbering international applications, indelibly mark, by means admitting of direct reproduction in a manner set forth in Rule 11.2(a), on each sheet thereof in the prescribed location the letters "PCT" followed by a dash, a two-letter code, as in country code (Annex B), for the receiving Office, an indication of the year, a slant, and a serial number which will be assigned from time to time by the International Bureau to each Office (e.g., PCT-US75/12001; PCT-DT76/2578, etc.).

SECTION 208

PLACE FOR THE DATE AND NUMBER UNDER RULE 20.1(b)

The international application number shall be indelibly marked in the upper right hand corner of each sheet of each copy of the international application. Any sheet pertaining to the international application but received after the date of receipt of the sheets first received shall, in addition to the international application number marked in the upper right hand corner, be indelibly marked immediately below said number with the date on which that sheet was received.

SECTION 209

PROCEDURE IN THE CASE OF LATER SUBMITTED SHEETS UNDER RULE 20.2(a)(i)

(a) If later submitted sheets are received by the receiving Office within 30 days from the date on which sheets were first received, the receiving Office shall:

- (i) effect any correction resulting therefrom in the international filing date;
- (ii) notify the applicant of any correction effected in the international filing date;
- (iii) if Article 12(1) transmittals have already been made, notify the International Bureau and the International Searching Authority of any correction effected in the international filing date and forward copies of the later submitted sheets as dated under Rule 20.2(b) to the International Bureau and the International Searching Authority;
- (iv) if Article 12(1) transmittals have not been made, attach a copy of the later submitted sheets as dated under Rule 20.2(b) to the record copy and the search copy.

(b) If later submitted sheets are received by the receiving Office later than 30 days from the date on which sheets were first received, the receiving Office shall:

- (i) notify the applicant of that fact and of the date of receipt;
- (ii) if Article 12(1) transmittals have already been made, send a copy of the later submitted sheets as dated under Rule 20.2(b) to the International Bureau and the International Searching Authority\* with the indication that such sheets are not to be taken into consideration for the purposes of international processing;
- (iii) if Article 12(1) transmittals have not been made, attach a copy of the later submitted sheets as dated under Rule 20.2(b) to the record copy and the search copy\* with the indication that such sheets are not to be taken into consideration for the purposes of international processing.

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\* The question whether there is a need to send such information to the International Searching Authority in view of the fact that this information is not to be taken into consideration should be reconsidered.

SECTION 210

PROCEDURE IN THE CASE OF MISSING DRAWINGS UNDER  
ARTICLE 14(2) and RULES 20.2(a)(iii) AND 26.6

(a) If later submitted drawings are received within 30 days from the date on which the international application making reference to the missing drawings was filed, the receiving Office shall:

- (i) effect any correction resulting therefrom in the international filing date;
- (ii) notify the applicant of any correction effected in the international filing date;
- (iii) if Article 12(1) transmittals have already been made, notify the International Bureau and the International Searching Authority of any correction effected in the international filing date and transmit copies of the later submitted drawings as dated under Rule 20.2(b) to the International Bureau and the International Searching Authority;
- (iv) if Article 12(1) transmittals have not been made, attach a copy of the later submitted drawings as dated under Rule 20.2(b) to the record copy and the search copy.

(b) If later submitted drawings are received by the receiving Office later than 30 days from the date on which the international application making reference to the missing drawings was filed, the receiving Office shall:

- (i) notify the applicant of that fact and of the date of receipt;
- (ii) if Article 12(1) transmittals have already been made, send a copy of the later submitted drawings as dated under Rule 20.2(b) to the International Bureau and the International Searching Authority<sup>\*</sup> with the indication that such drawings are not to be taken into consideration for the purposes of international processing;
- (iii) if Article 12(1) transmittals have not been made, attach a copy of the later submitted drawings as dated under Rule 20.2(b) to the record copy and the search copy<sup>\*</sup> with the indication that such drawings are not to be taken into consideration for the purposes of international processing.

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\* See note under Section 209

SECTION 211

NUMBERING AND MARKING REPLACEMENT SHEETS UNDER RULE 26.4(b)

All replacement sheets shall retain the original numbering of the sheets replaced. When marking replacement sheets, the international application number shall be indicated in the upper right-hand corner of each replacement sheet together with the date on which it was received and the stamp of the receiving Office.

SECTION 212

NAME OF THE RECEIVING OFFICE

The receiving Office shall be indicated by the letters "RO" followed by a slant and the receiving Office's two-letter code (for example, "RO/DT") according to Annex B whenever the indication of the receiving Office is necessary under the Regulations.

SECTION 213

NOTIFICATION OF DECISION NOT TO ISSUE ARTICLE 14(4) DECLARATION  
UNDER RULE 29.4

Should the receiving Office, after having notified the applicant of its intent to issue a declaration under Article 14(4), decide on the basis of arguments timely submitted by the applicant to change its tentative finding and not to issue such a declaration, it shall notify the applicant accordingly.

SECTION 214

MANNER OF MAKING THE NECESSARY ANNOTATIONS UNDER RULE 3.3(b)

Where the receiving Office fills in any item in the check list due to the failure of the applicant to do so, it shall so indicate by noting on the check list which item has been filled in by the receiving Office.

SECTION 215

MANNER OF INDICATING CORRECTION OR CANCELLATION UNDER RULE 4.10(d)

- (a) Where the applicant corrects the filing date of the earlier application which was originally indicated in the request, the receiving Office shall mark the corrected filing date in the request (still leaving legible the filing date originally indicated) and indicate the fact that this correction was made as communicated by the applicant.
- (b) Where the declaration made under Article 8(1) is cancelled, the receiving Office shall indicate that fact in the request and shall indicate whether the declaration was cancelled by the applicant or ex officio.

PART D

INSTRUCTIONS RELATING TO THE  
INTERNATIONAL SEARCHING AUTHORITY

SECTION 301

CORRECTIONS UNDER RULE 9.2 SUBMITTED TO  
THE INTERNATIONAL SEARCHING AUTHORITY

If Rule 9.2 corrections are submitted by the applicant to the International Searching Authority, that Authority shall transmit copies of the corrections submitted to the receiving Office and the International Bureau.

SECTION 302

PROTEST AND DECISION THEREON UNDER RULE 40.2 (c)

The International Searching Authority shall transmit to the applicant any decision under Rule 40.2(c) at the latest together with the international search report. Furthermore, it shall transmit to the International Bureau both a copy of the protest and of the decision referred to in Rule 40.2(c) at the latest together with the international search report.

SECTION 303

METHOD OF IDENTIFYING CITED DOCUMENTS UNDER RULE 43.5(b).

Any document cited in the international search report shall be identified by indicating the following elements:

(a) in the case of any patent document

- (i) the kind of patent document (patent documents being patents in the sense of Article 2(ii) as well as published applications relating thereto) by the appropriate symbols according to Annex C;
- (ii) the country of issue by the appropriate symbols according to Annex B;
- (iii) the number of the document as given to it by the Office that issued it; and
- (iv) if pertinent, the pages, columns or lines where relevant passages appear;

(b) in the case of any book or other separately issued publication

- (i) the name of the author;
- (ii) the title of the book or publication (including where applicable, the number of the edition and volume);



- (iii) the year of publication (the day and month may also be indicated);
- (iv) the name of the publisher;
- (v) the place of the publication; and
- (vi) if pertinent, the pages, columns or lines, where relevant passages appear;

(c) in the case of any periodical or other serial publication

- (i) the title of the periodical or other serial publication;
- (ii) the number and date of the volume and the issue number;
- (iii) the place of the publication;
- (iv) if pertinent, the author, title and page of the article; and
- (v) if pertinent, the pages, columns or lines where relevant passages appear.

(d) in the case of abstracts

- (i) the elements set forth in paragraph (a), (b) or (c), respectively, dependent upon whether the abstract is contained in any patent document, any book or other separately issued publication or any periodical or other serial publication;
- (ii) where available, the identification of the full text document which served as the basis for the abstract by the elements set forth in paragraph (a), (b) or (c), respectively, dependent upon whether the full text document is contained in any patent document, any book or other separately issued publication, or any periodical or other serial publication.

SECTION 304

CLASSIFICATION UNDER RULE 43.3

(a) Where an international application contains several distinct inventions requiring different classification symbols or where the subject matter of any invention requires different classification symbols, the international search report shall indicate all such classification symbols at least according to the International Patent Classification.

(b) Where any national classification system is used, the international search report may indicate all classification symbols also according to that system.

SECTION 305

CITATIONS OF PARTICULAR RELEVANCE UNDER RULE 43.5(c)

The citation of any document in the international search report which is of particular relevance shall be indicated by underlining the citation (e.g. CH 512,615).

SECTION 306

COMMENTS ON DRAFT TRANSLATION UNDER RULE 48.3(b)

(a) The International Searching Authority shall notify the applicant of the action it has taken in respect of any correction to the draft translation based upon any comments timely submitted by the applicant.

(b) Where the applicant fails to timely submit comments on the draft translation, the International Searching Authority shall not be required to consider such comments in establishing the translation of the international application.

SECTION 307

INDICATION OF FIGURE TO BE PUBLISHED WITH ABSTRACT UNDER RULE 8.2

If the applicant does not indicate in the request the figure which should accompany the abstract when it is published or if the International Searching Authority considers that a figure other than that indicated by the applicant better characterizes the invention, the International Searching Authority shall notify both the applicant and the International Bureau of the choice made.

PART E

INSTRUCTIONS RELATING TO THE  
INTERNATIONAL BUREAU

SECTION 401

MARKING THE RECORD COPY UNDER RULE 24.1

The International Bureau shall mark the date of receipt in the appropriate space on the request form and shall stamp the mark of the International Bureau in the upper right-hand corner immediately below the items stamped there by the receiving Office.

SECTION 402

NOTIFICATION TO THE RECEIVING OFFICE OF CORRECTION  
OR CANCELLATION UNDER RULE 4.10(d)

If the correction or cancellation under Rule 4.10(d) is effected by the International Bureau, it shall also notify the receiving Office of the said fact.

SECTION 403

TRANSMITTAL OF PROTEST AND DECISION THEREON  
UNDER RULES 40.2(c) AND 68.3(c)

Any request by the applicant under Rule 40.2(c) or Rule 68.3(c) to notify the text of both the protest and the decision thereon shall be submitted to the International Bureau which shall transmit that text accordingly.

SECTION 404

INTERNATIONAL PUBLICATION NUMBER

The International Bureau shall assign to each published international application an international publication number. That number shall be used on the pamphlet and for the Gazette entry relating to the publication of the application. The number shall consist of the two-letter code "WO" followed by the two digit year designation, a slant and an assigned serial number, e.g. WO75/12375.

SECTION 405

INTERNATIONAL PUBLICATION UNDER RULE 48<sup>\*</sup>

- (a) The pamphlets shall be published weekly, be in A4 size and shall be printed by offset in recto-verso format.
- (b) The form and particulars of the front page of the pamphlet published by the International Bureau shall follow the example set forth in Annex D.
- (c) The special publication fee provided for in Rule 48.4 shall be . . .

SECTION 406

THE GAZETTE UNDER RULE 86

- (a) The Gazette shall be published in A4 size and shall be printed by offset on recto-verso format.
- (b) In addition to the contents specified under Rule 86, the Gazette shall contain:
  - (i) in respect of Rule 86.1(i), such data in respect of each published international application, as set forth in Annex E;
  - (ii) in respect of Rule 86.1(v), such information set forth in Annex F as may be considered useful.
- (c) The price of subscription to the Gazette shall be . . . per year or . . . per issue.

SECTION 407

PRIORITY APPLICATION NUMBER TIMELY FURNISHED TO INTERNATIONAL BUREAU UNDER RULE 4.10(c)

The International Bureau shall enter into the request the priority application number timely furnished under Rule 4.10(c) and the date on which such number was furnished.

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\* The various alternatives found in Rule 48.2(g) and 48.2(h) regarding later publication of the international search report and Article 19 amendments and statements will be expanded on in the Administrative Instructions in accordance with Rule 48.2(i) once more definitive information is available as to the international publication format and cost factors to be considered in this context.

SECTION 408

NOTIFICATION OF PRIORITY CLAIM CONSIDERED NOT TO  
HAVE BEEN MADE UNDER RULE 4.10(b)

If the receiving Office has failed to notify the applicant that the request fails to meet the requirements of Rule 4.10(b), the International Bureau shall notify the applicant, the receiving Office and the International Searching Authority of the failure to meet the requirements and of the fact that the priority claim shall be considered not to have been made.

PART F

INSTRUCTIONS RELATING TO THE  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

SECTION 501

DETERMINATION WHETHER APPLICANT IS ENTITLED TO MAKE A DEMAND  
UNDER ARTICLE 31(2)

(a) Where the International Preliminary Examining Authority finds that the applicant is not entitled or, where there are several applicants, that none of the applicants is entitled to make a demand, the International Preliminary Examining Authority shall notify both the applicant or applicants and the International Bureau accordingly.

(b) Where the International Preliminary Examining Authority finds that, in the case of different applicants for different elected States, none of the applicants indicated for the purposes of a given elected State is entitled to make a demand and that therefore the election of that State shall be considered not to have been made, the International Preliminary Examining Authority shall notify both the applicant or applicants so indicated and the International Bureau accordingly.

SECTION 502

NUMBERING AND MARKING REPLACEMENT SHEETS UNDER RULE 66.8

All replacement sheets shall retain the original numbering of the sheets replaced. When marking replacement sheets, the international application number shall be indicated in the upper right-hand corner of each replacement sheet together with the date on which it was received and the stamp of the International Preliminary Examining Authority.

SECTION 503

PROTEST AND DECISION THEREON UNDER RULE 68.3(c)

The International Preliminary Examining Authority shall transmit to the applicant any decision under Rule 68.3(c) and to the International Bureau both a copy of the protest and of the decision referred to in Rule 68.3(c) at the latest together with the international preliminary examination report.

SECTION 504

GUIDELINES FOR EXPLANATIONS UNDER RULE 70.8\*

Explanations under Rule 70.8 shall clearly point out to which of the three criteria, taken separately, any cited document is applicable and shall clearly describe with reference to the cited documents, the reasons supporting the conclusion that any of the three criteria is or is not satisfied.

Annexes A to F follow

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\* With respect to the guidelines for cases in which the explanations referred to in Article 35(2) should or should not be given, the question should be considered whether the principles indicated in Rule 70.8 are sufficient and would make further elaboration in the Administrative Instruction unnecessary, at least for the time being.

ENGLISH ALPHABETICAL LIST OF COUNTRIES

(Members of the Paris Union)

<u>Short Title</u>	<u>Full Title</u>
Algeria	Democratic and Popular Republic of Algeria
Argentina	Argentine Republic
Australia	Australia
Austria	Republic of Austria
Belgium	Kingdom of Belgium
Brazil	Federative Republic of Brazil
Bulgaria	People's Republic of Bulgaria
Cameroon	United Republic of Cameroon
Canada	Canada
Central African Republic	Central African Republic
Chad	Republic of Chad
Congo	People's Republic of the Congo
Cuba	Republic of Cuba
Cyprus	Republic of Cyprus
Czechoslovakia	Czechoslovak Socialist Republic
Dahomey	Republic of Dahomey
Denmark	Kingdom of Denmark
Dominican Republic	Dominican Republic
Egypt	Arab Republic of Egypt
Finland	Republic of Finland
France	French Republic
Gabon	Gabonese Republic
German Democratic Republic	German Democratic Republic
Germany, Federal Republic of	Federal Republic of Germany
Greece	Greece
Haiti	Republic of Haiti
Holy See	Holy See
Hungary	Hungarian People's Republic
Iceland	Republic of Iceland
Indonesia	Republic of Indonesia
Iran	Empire of Iran
Ireland	Ireland
Israel	State of Israel
Italy	Italian Republic
Ivory Coast	Republic of the Ivory Coast
Japan	Japan
Jordan	Hashemite Kingdom of Jordan
Kenya	Republic of Kenya
Lebanon	Lebanese Republic
Liechtenstein	Principality of Liechtenstein



Luxembourg	Grand Duchy of Luxembourg
Madagascar	Malagasy Republic
Malawi	Republic of Malawi
Malta	Malta
Mauritania	Islamic Republic of Mauritania
Mexico	United Mexican States
Monaco	Principality of Monaco
Morocco	Kingdom of Morocco
Netherlands	Kingdom of the Netherlands
New Zealand	New Zealand
Niger	Republic of the Niger
Nigeria	Federal Republic of Nigeria
Norway	Kingdom of Norway
Philippines	Republic of the Philippines
Poland	Polish People's Republic
Portugal	Portuguese Republic
Republic of Viet-Nam	Republic of Viet-Nam
Rhodesia	Rhodesia
Romania	Socialist Republic of Romania
San Marino	Republic of San Marino
Senegal	Republic of Senegal
South Africa	Republic of South Africa
Soviet Union	Union of Soviet Socialist Republics
Spain	Spanish State
Sri Lanka	Republic of Sri Lanka
Sweden	Kingdom of Sweden
Switzerland	Swiss Confederation
Syrian Arab Republic	Syrian Arab Republic
Togo	Togolese Republic
Trinidad and Tobago	Trinidad and Tobago
Tunisia	Republic of Tunisia
Turkey	Republic of Turkey
Uganda	Republic of Uganda
United Kingdom	United Kingdom of Great Britian and Northern Ireland
United Republic of Tanzania	United Republic of Tanzania
United States of America	United States of America
Upper Volta	Republic of Upper Volta
Uruguay	Eastern Republic of Uruguay
Yugoslavia	Socialist Federal Republic of Yugoslavia
Zambia	Republic of Zambia

LIST OF COUNTRIES AND ORGANIZATIONS  
ACCORDING TO TWO-LETTER CODE

(the Code is for the most part based on ICIREPAT recommendations)

AD	ANDORRA/ANDORRE
AF	AFGHANISTAN/AFGHANISTAN
AG	ALGERIA/ALGERIE
AN	ALBANIA/ALBANIE
AR	ARGENTINA/ARGENTINE
AU	AUSTRALIA/AUSTRALIE
BB	BAHRAIN/BAHREIN
BD	BARBADOS/BARBADE
BE	BELGIUM/BELGIQUE
BG	BULGARIA/BULGARIE
BH	BHUTAN/BHOUTAN
BI	BURUNDI/BURUNDI
BO	BOLIVIA/BOLIVIE
BR	BRAZIL/BRESIL
BT	BOTSWANA/BOTSWANA
BU	BURMA/BIRMANIE
CA	CANADA/CANADA
CB	ZAIRE/ZAIRE
CD	KHMER REPUBLIC/REPUBLIQUE KHMERE
CE	CHILE/CHILI
CF	CONGO/CONGO
CH	SWITZERLAND/SUISSE
CI	IVORY COAST/COTE D'IVOIRE
CL	SRI LANKA/SRI LANKA
CO	COLOMBIA/COLOMBIE
CR	COSTA RICA/COSTA RICA
CS	CZECHOSLOVAKIA/TCHECOSLOVAQUIE
CT	REPUBLIC OF CHINA/REPUBLIQUE DE CHINE
CU	CUBA/CUBA
CV	HOLY SEE/SAINT SIEGE
CY	CYPRUS/CHYPRE
DA	DAHOMY/DAHOMY
DK	DENMARK/DANEMARK
DL	GERMAN DEMOCRATIC REPUBLIC/REPUBLIQUE DEMOCRATIQUE ALLEMANDE
DR	DOMINICAN REPUBLIC/REPUBLIQUE DOMINICAINE
DT	GERMANY, FEDERAL REPUBLIC OF/ALLEMAGNE, REPUBLIQUE FEDERALE D'
EA	ETHIOPIA/ETHIOPIE
EC	ECUADOR/EQUATEUR
EI	IRELAND/IRLANDE
ES	SPAIN/ESPAGNE
ET	EGYPT/EGYPTE
FL	LIECHTENSTEIN/LIECHTENSTEIN
FR	FRANCE/FRANCE
GA	GABON/GABON
GB	UNITED KINGDOM/ROYAUME-UNI
GE	GAMBIA/GAMBIE
GH	GHANA/GHANA
GI	GUINEA/GUINEE
GR	GREECE/GRECE
GU	GUATEMALA/GUATEMALA
GY	GUYANA/GUYANE
HI	HAITI/HAITI
HO	HONDURAS/HONDURAS
HU	HUNGARY/HONGRIE
ID	INDONESIA/INDONESIE
IL	ISRAEL/ISRAEL
IN	INDIA/INDE
IQ	IRAQ/IRAK
IR	IRAN/IRAN
IS	ICELAND/ISLANDE
IT	ITALY/ITALIE

JA JAPAN/JAPON  
JM JAMAICA/JAMAIQUE  
JO JORDAN/JORDANIE  
KA CAMEROON/CAMEROUN  
KE KENYA/KENYA  
KN PEOPLE'S DEMOCRATIC REPUBLIC OF KOREA/REPUBLIQUE POPULAIRE DEMOCRATIQUE DE COREE  
KS REPUBLIC OF KOREA/REPUBLIQUE DE COREE  
KU KUWAIT/KOWEIT  
LA LAOS/LAOS  
LB LEBANON/LIBAN  
LR LIBERIA/LIBERIA  
LS LESOTHO/LESOTHO  
LU LUXEMBOURG/LUXEMBOURG  
LY LIBYAN ARAB REPUBLIC/REPUBLIQUE ARABE LIBYENNE  
MA MOROCCO/MAROC  
MC MONACO/MONACO  
MD MADAGASCAR/MADAGASCAR  
MJ MALI/MALI  
ML MALTA/MALTE  
MO MONGOLIA/MONGOLIE  
MS MAURITIUS/MAURICE  
MT MAURITANIA/MAURITANIE  
MU OMAN/OMAN  
MV MALDIVES/MALDIVES  
MW MALAWI/MALAWI  
MX MEXICO/MEXIQUE  
MY MALAYSIA/MALAISIE  
NA NICARAGUA/NICARAGUA  
NI NIGER/NIGER  
NL NETHERLANDS/PAYS-BAS  
NO NORWAY/NORVEGE  
NP NEPAL/NEPAL  
NZ NEW ZEALAND/NOUVELLE-ZELANDE  
OE AUSTRIA/AUTRICHE  
PE PERU/PEROU  
PG PARAGUAY/PARAGUAY  
PK PAKISTAN/PAKISTAN  
PM PANAMA/PANAMA  
PO POLAND/POLOGNE  
PT PORTUGAL/PORTUGAL  
QA QATAR/QATAR  
RC CHINA/CHINE  
RH RHODESIA/RHODESIE  
RP PHILIPPINES/PHILIPPINES  
RU ROMANIA/ROUMANIE  
RW RWANDA/RWANDA  
SA SAUDI ARABIA/ARABIE SAOUDITE  
SB BYELORUSSIAN SSR/RSS DE BIELORUSSIE  
SD SUDAN/SOUDAN  
SF FINLAND/FINLANDE  
SG SINGAPORE/SINGAPOUR  
SL EL SALVADOR/EL SALVADOR  
SM SAN MARINO/SAINT-MARIN  
SN SENEGAL/SENEGAL  
SO SOMALIA/SOMALIE  
SR SYRIAN ARAB REPUBLIC/REPUBLIQUE ARABE SYRIENNE  
SU SOVIET UNION/UNION SOVIETIQUE  
SW SWEDEN/SUEDE  
SY DEMOCRATIC YEMEN/YEMEN DEMOCRATIQUE  
TA UNITED REPUBLIC OF TANZANIA/REPUBLIQUE-UNIE DE TANZANIE  
TD TRINIDAD AND TOBAGO/TRINITE ET TOBAGO  
TH THAILAND/THAILANDE  
TN TUNISIA/TUNISIE  
TO TOGO/TOGO  
TR TURKEY/TURQUIE  
TS CHAD/TCHAD  
UG UGANDA/UGANDA  
US UNITED STATES OF AMERICA/ETATS-UNIS D'AMERIQUE

UU UKRAINIAN SSR/RSS D'UKRAINE  
UV UPPER VOLTA/HAUTE-VOLTA  
UY URUGUAY/URUGUAY  
VE VENEZUELA/VENEZUELA  
VN DEMOCRATIC REPUBLIC OF VIET-NAM/REPUBLIQUE DEMOCRATIQUE DU VIET-NAM  
VS REPUBLIC OF VIET-NAM/REPUBLIQUE DU VIET-NAM  
WL SIERRA LEONE/SIERRA LEONE  
WN NIGERIA/NIGERIA  
WS WESTERN SAMOA/SAMOA-OCCIDENTAL  
YE YEMEN/YEMEN  
YU YUGOSLAVIA/YOUGOSLAVIE  
ZA SOUTH AFRICA/AFRIQUE DU SUD  
ZB ZAMBIA/ZAMBIE  
ZR CENTRAL AFRICAN REPUBLIC/REPUBLIQUE CENTRAFRICAINE

AM AFRICAN AND MALAGASY / OFFICE AFRICAIN ET MALAGACHE DE LA  
INDUSTRIAL PROPERTY OFFICE PROPRIETE INDUSTRIELLE  
EP EUROPEAN PATENT OFFICE / OFFICE EUROPEEN DE BREVETS

[Annex C follows]

STANDARD CODE FOR IDENTIFICATION OF DIFFERENT KINDS OF PATENT DOCUMENTS<sup>1</sup>

Introduction

1. The recommendation provides for groups of letter codes in order to distinguish patent documents. The letter codes also facilitate the storage and retrieval of such documents.
2. If any Office wants to amplify the information contained in the letter code, this letter code may be optionally associated with a numerical code. The meaning of such numerical code should then be defined by each Patent Office availing itself of this option.
3. The code also provides for a letter for non-patent literature documents (N) and for documents to be restricted to the internal use of Patent Offices (X) (e.g. confidential documents, not to be disclosed outside the Office). See in this respect also SI.1 (ICIREPAT Manual p. 4.3.1.1-4.3.1.4)

Definitions

4. For the purpose of this recommendation, the expression "patent documents" includes patents for inventions, inventors' certificates, utility certificates, utility models, patents or certificates of addition, inventors' certificates of addition, utility certificates of addition and applications therefor.
5. For the purpose of this recommendation, the term "entry in an official gazette" means at least one comprehensive announcement in an official gazette regarding the making available to the public of the complete text, claims (if any) and drawings (if any) of a patent document.
6. For the purpose of this recommendation, the term "publication" is defined as the act of

- (i) making available to the public for inspection or copying on request
- (ii) reproducing in multiple copies
- (iii) printing

of a patent document.

Explanation: If, at a particular procedural stage, a copy of the document is first made available to the public for inspection or copying and is then, at the same procedural stage, printed or reproduced in multiple copies, only a single publication is considered to have occurred. If, on the other hand, printing or multiple reproduction results from a new procedural stage, this printing is considered to be a further publication of the document, even if the texts at the two stages are identical.

7. According to certain national patent laws or regulations, the same patent application may be published at various procedural stages. For the purpose of this recommendation, a publication level is defined as the level corresponding to a procedural stage at which normally a document is published under a given national patent law.

Recommendation

8. It is recommended that the code:
  - (a) be used for the recording of the "kind of document" in machine-readable data carriers, such as 80-column punched cards, magnetic tapes, aperture cards, etc.;
  - (b) be used on the first pages of patent documents, preferably near the document number, if these have been published in the sense of paragraph 6;

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<sup>1</sup> This document represents the code as approved by the Technical Coordination Committee of ICIREPAT during its July 1973 session for submission to the November 1973 meeting of the Plenary Committee of ICIREPAT.

(c) be used in entries in official gazettes or, if all entries in a section of the Gazette relate to the same kind of a document at the beginning of such a section.

9. Code

The code is subdivided into mutually exclusive groups of letters. The groups characterize patent documents and documents specified in paragraph 3. Groups 1 - 5 comprise one or several letters enabling identification of documents pertaining to different publication levels.

<u>Group_1</u>	Use for primary or major series of patent documents A First publication level B Second publication level C Third publication level
<u>Group_2</u>	Use for secondary series of patent documents E First publication level F Second publication level G Third publication level
<u>Group_3</u>	Use for further series of patent documents, as the special requirements of each Office may be H I
<u>Group_4</u>	Use for medicament patent documents M
<u>Group_5</u>	Use for utility model documents having a numbering series other than the documents of Group 1 U First publication level Y Second publication level Z Third publication level
<u>Group_6</u>	Other (see paragraph 3) N Non-patent literature documents X Documents restricted to the internal use of Offices

10. It is understood that documents resulting from a patent application and being identified as the major series will fall under Group 1 (e.g. DT Offenlegungsschrift, Auslegeschrift and Patentschrift). However, documents identified as a secondary series will fall under Group 2 (e.g. FR patent of addition under old law, US reissue). In exceptional cases of need for a further series, Group 3 is reserved for such purposes (e.g. US defensive publication). Group 4 applies only, at present, to special documents concerning the medicament patents published in France. If any country would publish similar documents, Group 4 should then be used.

11. As indicated in paragraph 2, the above letter code may optionally be associated with a numerical code to amplify the information represented by the letter code. For this numerical code only digits 1 to 9 should be used. The significance of this code will be defined by any national Office applying such code and communicated to the International Bureau, which will publicize this information. The numerical code must always be interpreted in conjunction with the country code and the above letter code.

12. As an appendix to this recommendation a list of examples of past and currently published patent documents divided in accordance with the code is given.

/Appendix follows/

List of Patent Documents, Past and Currently Published,  
and Intended to be Published in the Future, Divided in Accordance with this Code

Code: A

Examples:	Austria	Application published in the sense of paragraph 6(i)
	Belgium	Brevet d'invention/Uitvindingsoctrooi
	Belgium	Brevet de perfectionnement/Verbeteringsoctrooi
	Bulgaria	Opisanie na izobretenie po patent
	Canada	Patent
	Czechoslovakia	Patentovy spis
	Denmark	Application published in the sense of paragraph 6(i)
	Egypt	Patent specification
	Europat	Document published after 18 months
	Finland	Application published in the sense of paragraph 6(i)
	France	Brevet d'invention (old law)
	France	Brevet d'invention, première et unique publication
	France	Certificat d'addition à un brevet d'invention, première et unique publication
	France	Certificat d'utilité, première et unique publication
	France	Certificat d'addition à un certificat d'utilité, première et unique publication
	France	Demande de brevet d'invention, première publication
	France	Demande de certificat d'addition à un brevet d'invention, première publication
	France	Demande de certificat d'utilité, première publication
	France	Demande de certificat d'addition à un certificat d'utilité, première publication
	German Democratic Republic	Patentschrift (Ausschliessungspatent)
	German Democratic Republic	Patentschrift (Wirtschaftspatent)
	Germany, Federal Republic of	Offenlegungsschrift
	Hungary	Szabadalmi leiras
	India	Patent specification
	Ireland	Patent specification
	Italy	Brevetto per invenzione industriale
	Japan	Kokai tokkyo koho
	Luxembourg	Brevet d'invention
	Luxembourg	Certificat d'addition à un brevet d'invention
	Netherlands	Ter inzage gelegde octrooiaanvraag
	Norway	Application published in the sense of paragraph 6(i)
	Pakistan	Patent specification
	PCT	Pamphlet published after 18 months
	Poland	Opis patentowy
	Romania	Descrierea inventiei
	Spain	Patente de invencion
	Soviet Union	Opisanie izobreteniya K patentu
	Soviet Union	Opisanie izobreteniya K avtorskomu svidetelstvu

Code: A (continued)

Examples:	Switzerland	Application published in the sense of paragraph 6(i) (in certain fields of technology for which examination as to novelty is made)
	Sweden	Application published in the sense of paragraph 6(i)
	United Kingdom	Patent specification
	United States	Patent
	Yugoslavia	Patentni spis

Code: B

Examples:	Australia	Patent specification
	Austria	Patentschrift
	Denmark	Fremlaeggelseskraft
	Finland	Kuulutusjukaisu/Utläggningsskrift
	France	Brevet d'invention, deuxième publication de l'invention
	France	Certificat d'addition à un brevet d'invention deuxième publication de l'invention
	France	Certificat d'utilité, deuxième publication de l'invention
	France	Certificat d'addition à un certificat d'utilité, deuxième publication de l'invention
	Germany, Federal Republic of	Auslegeschrift
	Japan	Tokkyo koho
	Netherlands	Openbaar gemaakte octrooiaanvraag
	Norway	Utlegningskrift
	Sweden	Utläggningsskrift
	Switzerland	Patentschrift/Exposé d'invention/Espoto d'invenzione

Code: C

Examples:	Denmark	Patent
	Finland	Patentskrift
	Germany, Federal Republic of	Patentschrift
	Netherlands	Octrooi
	Norway	Patent
	Sweden	Patentskrift

Code: E

Examples:	France	Certificat d'addition à un brevet d'invention (old law)
	United States	Reissue

Code: H or I

Example:	United States	Defensive publications
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Code: M

Example:	France	Brevet Spécial de médicament
	France	Addition à un brevet spécial de médicament

Code: U

Examples:	Germany, Federal Republic of	Gebrauchsmuster
	Japan	Kokai jitsuyo shinan koho

Code: Y

Example:	Japan	Jitsuyo shinan koho
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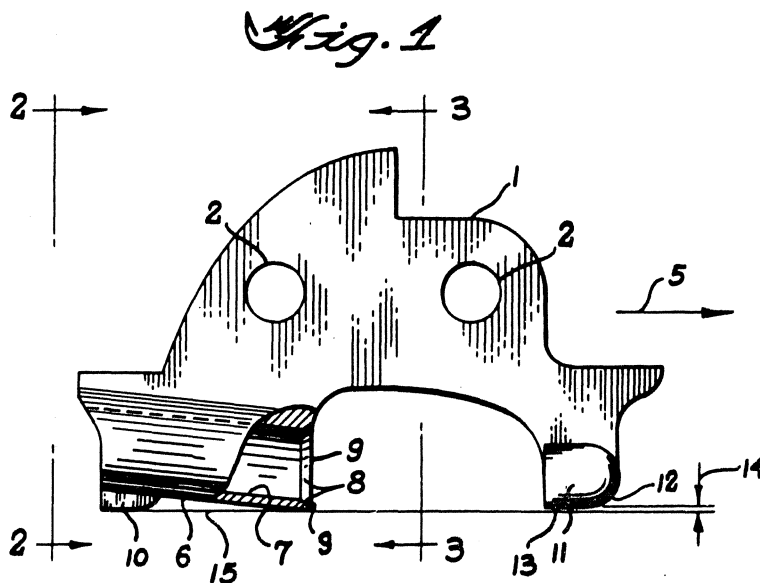


EXAMPLE OF THE FRONT PAGE OF PAMPHLET CONTAINING INTERNATIONAL APPLICATION

INTERNATIONAL APPLICATION PUBLICATION NUMBER: WO 75/12345  
DATE OF PUBLICATION: 12 July 1975  
INTERNATIONAL APPLICATION NUMBER: PCT-GB 75/6123  
INTERNATIONAL FILING DATE: 10 January 1975  
PRIORITY DATE: 14 January 1974  
COUNTRY AND APPLICATION NUMBER: GB 53762/74

APPLICANT: Thames Ltd., 34 South Bank Street, Chiswick, London S.W.2, England - Tel: 01-64-28-29, Cable: FINDLE  
INVENTOR: Harry Moore, 27 Victoria Street, Chiswick, London S.W.2, England - Tel: 01-72-69-43  
ATTORNEY OR AGENT: Peter Jones, 179 Richmond Hill, Richmond, London S.W.4, England - Tel/ 01-29-64-32, Cable: JOPAT  
COUNTRIES DESIGNATED: AR, BR, CA, CH(EPC), DT(EPC), OE(EPC), SU(Inventor's Certificate), SW(EPC), US.

PUBLISHED WITH INTERNATIONAL SEARCH REPORT; AMENDED CLAIMS AND STATEMENT



CUTTING SECTION FOR CHAIN SAWS

IPC: B27b 33/02

ABSTRACT

A chain saw device, comprising a cutting tooth having a planar base plate(1) with an aperture(2) therein for attachment to a power-driven chain. A pilot block(11) depends from the leading edge of the plate and a cylindrical member(6) depends from the trailing edge of the plate with its longitudinal axis inclined upwardly and rearwardly. The cylindrical member has a sharpened, arcuate, forward edge(9) for cutting a kerf. A bore(7) extends through the cylindrical member for passage of chips therethrough and a heel portion(10) follows the forward cutting edge(9) for engaging and finishing the kerf. The chain saw device, while particularly designed for use in cutting wood, is also adapted for cutting minerals and other materials.

INFORMATION FROM PAMPHLET FRONT PAGE TO BE INCLUDED IN GAZETTE

The following information to the extent available shall be extracted from the front page of the pamphlet of each published application and shall appear in the Gazette:

- I. Information concerning the PCT International Publication
  - A. International Application Publication Number
  - B. Date of Publication
  - C. Indication of items published with international application
    1. international search report
    2. declaration
    3. amended claims
    4. statement
    5. comments on translations
  
- II. Information concerning the PCT International Application
  - A. International Application Number
  - B. International Filing Date
  - C. International Patent Classification (IPC)
  
- III. Information concerning the Priority Claim
  - A. Priority Date
  - B. Country of Filing
  - C. Application Number
  
- IV. Information concerning the Applicant, Inventor and Attorney
  - A. Applicant (name, address, telephone and/or cable)
  - B. Inventor (name, address, telephone and/or cable)
  - C. Attorney or Agent (name, address, telephone and/or cable)
  
- V. Information concerning the Designated (and Elected) States
  - A. Indication of Designated (and Elected) States
  - B. Indication of Wish for Regional Patent
  - C. Indication of Kind of Protection Sought

INFORMATION WHICH MAY BE CONSIDERED USEFUL FOR  
PUBLICATION IN THE GAZETTE

1. The time limits applicable under Articles 22 and 39 in respect of the Contracting States.
2. The names of the national Offices which have notified the International Bureau that they do not wish to receive copies under Article 13(2)(c).
3. The list of the non-patent literature agreed upon by the International Searching Authorities for inclusion in the minimum documentation.
4. The names of the national Offices which do not wish to receive copies under Article 13(2)(e).
5. The pertinent national laws of Contracting States in respect of the international-type search.
6. Information in respect of the agreements entered into between the International Bureau and the International Searching Authorities.
7. Information in respect of any waiver by national Offices of the communication under Article 20 in its entirety or in part.
8. Information in respect of the Contracting States bound by Chapter II.
9. Information in respect of the agreements entered into between the International Bureau and the International Preliminary Examining Authorities.

/End of document/