

WIPO



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GENEVA

COMMITTEE OF EXPERTS ON THE PATENT LAW TREATY

Second Session
Geneva, June 17 to 21, 1996

MODEL INTERNATIONAL FORMS

prepared by the International Bureau

INTRODUCTION

The present document, which supplements the revised draft Treaty and Regulations contained in document PLT/CE/II/2, contains draft Model International Forms and Notes on those Forms.

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MODEL INTERNATIONAL FORM N° 1
APPLICATION FOR THE GRANT OF A PATENT

submitted to the Office of

REQUEST

FOR OFFICE USE ONLY

Reference indication of applicant:¹

Reference indication of representative:¹

1. Petition for the Granting of a Patent

Grant of a patent for the invention claimed in the present application is hereby requested.

2. Title of the Invention

¹ Any reference indication allotted by the applicant and/or any reference indication allotted by the representative to the present application may be given in this space.

3. Applicant(s)

3.1 If the applicant is a natural person, the person's

(a) family or principal name:²

(b) given or secondary name(s):²

3.2 If the applicant is a legal entity, the entity's full official designation:

3.3 Address (including postal code and country):³

Telephone number(s):⁴

Telefacsimile number(s):⁴

3.4 State of nationality:

State of domicile:

State of establishment:⁵

3.5 Where the applicant is a legal entity, indicate

- the legal nature of the legal entity:
- the State and, where applicable, the territorial unit within that State, under the law of which the legal entity is organized:

² The names to be indicated under (a) and (b) are either the full names of the applicant or the names customarily used by the applicant.

³ Where the applicant has a domicile or a real and effective industrial or commercial establishment on the territory of the Contracting Party, the applicant must either indicate the address of that domicile or establishment in item 3.3 or provide an address for service on that territory in item 6, except where a representative is indicated in item 5.

⁴ Even where the Office elects to request this information, the applicant shall have the option to refrain from providing such indications. Where they are given, they should include the country code (where appropriate) and area code.

⁵ "Establishment" means a real and effective industrial or commercial establishment.

3.6 If the applicant is not the inventor, indicate the basis of the applicant's entitlement to receive the patent applied for:

3.7 Check this box if there is more than one applicant; in that case, list them all on an additional sheet and indicate, in respect of each of them, the data referred to in items 3.1 or 3.2, 3.3, 3.4, 3.5 and 3.6.⁶

4. Inventor(s)

4.1 Check this box if the inventor is the same as the applicant (or if all the inventors are the same as all the applicants); if this is not the case, fill in items 4.2 and 4.3 and check, where appropriate, the box in item 4.4.

4.2 Name

(a) family or principal name:⁷

(b) given or secondary name(s):⁷

4.3 Address (including postal code and country):

4.4 Check this box if there is more than one inventor; in that case, list them on an additional sheet and indicate, in respect of each of them, the data referred to in items 4.2 and 4.3.

⁶ Where several applicants are listed on the additional sheet with different addresses and there is no representative under item 5 or address for service under item 6, the address for correspondence must be underlined on the additional sheet.

⁷ The names to be indicated under (a) and (b) are either the full names of the inventor or the names customarily used by the inventor.

5. Representative

5.1 The applicant is not represented.

5.2 The applicant is represented.

5.2.1 Identification of the representative

5.2.1.1 Name:

5.2.1.2 Address (including postal code and country):

Telephone number(s):⁸ Telefacsimile number(s):⁸

5.2.1.3 Registration number, if the representative is registered with the Office:

5.2.2 The power of attorney is already in the possession of the Office.

5.2.2.1 Number allotted to power of attorney:⁹

5.2.3 The power of attorney is attached.

5.2.4 The power of attorney will be furnished at a later date.

5.2.5 No power of attorney is needed.

⁸ Even where the Office elects to request this information, the representative shall have the option to refrain from providing such indications. Where they are given, they should include the country code (where appropriate) and area code.

⁹ Leave blank if the power of attorney has not, or has not yet, been allotted a number or if the number is not yet known to the applicant or the representative.

6. Address for Service¹⁰

7. Divisional Application

- 7.1 Check this box if the application is a divisional application or is otherwise linked to one or more parent applications, and indicate the following data concerning the parent application(s):

7.1.1 Country (Office) of filing:

7.1.2 Date of filing:

7.1.3 Application number(s) (if available):

8. Security Concerns

- 8.1 Check this box if the application possibly contains information the disclosure of which requires authorization for security reasons.
-

9. Regional Patent Application

- 9.1 If the application is filed under a treaty providing for the grant of regional patents, designate the State or States in respect of which the regional patent is applied for, unless the said treaty does not provide for such designations:
-

¹⁰ An address for service must be indicated in the space available under the title of item 6 where the applicant does not have, or has not indicated, a domicile or a real and effective industrial or commercial establishment on the territory of the Contracting Party whose Office is the Office named on the first page of the present application, except where a representative is indicated in item 5.

10. Claiming of Priority

The applicant hereby claims the priority of the following earlier application:

10.1 Country or Office in which, or for which, the earlier application was filed:¹¹

10.2 Filing date of earlier application:

10.3 Application number of earlier application (if available):

10.4 Check this box if the earlier application was filed with this Office.

10.5 Check this box if the earlier application was filed with another Office.

10.5.1 A certified copy of the earlier application¹²

10.5.1.1 is attached.

10.5.1.2 will be furnished.

10.5.2 A translation of the certified copy

10.5.2.1 is attached.

10.5.2.2 will be furnished.

10.6 Check this box if priority of more than one earlier application is claimed; in that case, list them on an additional sheet and indicate, in respect of each of them, the information referred to in items 10.1 to 10.5.

¹¹ Where the earlier application is a regional application, or an international application filed under the PCT, the name of the Office with which that application was filed must be indicated instead of the name of a country. Otherwise, the name of the country, not the name of the Office, must be indicated.

¹² “Certified copy” means a copy of the earlier application, certified as being in conformity with the original by the Office which received such application.

11. Declaration Concerning Non-Prejudicial Disclosures

- Check this box if the applicant declares that a particular disclosure is non-prejudicial to the patentability of the invention claimed in the present application. In that case, give the details on an additional sheet.
-

12. Prior Art References

- 12.1 The following relevant prior art references are known to the applicant:
-

[12bis. Oath or Declaration as to Inventorship by Inventor]¹³

13. Signature or Seal¹⁴

- 13.1 Name of the natural person who signs or whose seal is used:

- 13.2 Check the appropriate box according to whether the signature is given, or the seal is used, by or on behalf of the

13.2.1 applicant.

13.2.2 representative.

- 13.3 Date of signature or of sealing:

- 13.4 Signature or seal:
-

¹³ If there is more than one inventor, the oath or declaration by each inventor should be given on an additional sheet.]

¹⁴ If there is more than one person signing or whose seal is used, all of the indications under subitems 13.1 to 13.4 should be given on an additional sheet.

14. Fee(s)

14.1 Currency and amount(s) of the fee(s) paid in connection with the present application:

14.2 Method of payment:

15. Additional Sheets and Attachments

Indicate the total number of sheets and/or attachments in addition to this request:

15.1 description: sheets

15.2 claims: sheets

15.3 drawings: sheets

15.4 abstract: sheets

15.5 other: sheets

Total: sheets

MODEL INTERNATIONAL FORM Nº 2
POWER OF ATTORNEY

for procedures before the Office of

FOR OFFICE USE ONLY

Reference indication of person
making the appointment:¹

1. Appointment

The undersigned hereby appoints as his representative the person identified in item 3, below.

2. Name of the Person Making the Appointment²

¹ Any reference indication allotted by the person making the appointment to this power of attorney may be given in this space.

² If the person making the appointment is the applicant (or one of the applicants), the name to be indicated is that of that applicant, as indicated in the application(s) to which this power relates. If the said person is the owner (or one of the owners), the name to be indicated is that of that owner, as appearing in the records of the Office. If the said person is an interested person other than an applicant or owner, the name to be indicated is the full name of that person or the name customarily used by that person.

3. Representative

3.1 Name:

3.2 Address (including postal code and country):

Telephone number(s):³

Telefacsimile number(s):³

3.3 Registration number, if registered with the Office:

4. Application(s) and/or Patent(s) Concerned

This power of attorney concerns:

4.1 all existing and future applications and/or patents of the person making the appointment, subject to any exception indicated on an additional sheet.

4.2 the following application(s) and/or patent(s):

4.2.1 the application(s) concerning the following invention(s)⁴ as well as any patent(s) resulting therefrom:

4.2.2 the application(s) having the following application number(s)⁵ as well as any patent(s) resulting therefrom:

³ Even where the Office elects to request this information, the representative shall have the option to refrain from providing such indications. Where they are given, they should include the country code (where appropriate) and area code.

⁴ Complete this item if the power of attorney is filed with the Office together with the application(s); indicate, for example, the title of the invention.

⁵ Where the application number of an application has not yet been issued or is not known to the applicant or his representative, that application may be identified by furnishing either the provisional application number, if any, given by the Office, or a copy of the request part of the application, or a reference number given to the application by the applicant or his representative, along with the name and address of the applicant, the title of the invention and the date on which the application was sent to the Office.

4.2.3 the patent(s) having the following patent number(s):

4.2.4 If the spaces under 4.2.1, 4.2.2 or 4.2.3 are not sufficient, check this box and provide the information on an additional sheet.

5. Scope of the Power of Attorney

5.1 Check this box if the representative has the right to act as representative for all purposes concerning procedures before the Office, including, where the person making the appointment is an applicant or an owner, the following purposes:

5.1.1 withdrawal of the application(s).

5.1.2 surrender of the patent(s).

5.2 Check this box if the representative does not have the right to act as representative for all purposes and indicate here or on an additional sheet the purposes excluded from the powers of the representative:

6. Signature or Seal⁶

6.1 Name of the natural person who signs or whose seal is used:

6.2 Date of signature or of sealing:

6.3 Signature or seal:

⁶ If there is more than one person signing or whose seal is used, all of the indications under subitems 6.1 to 6.3 should be given on an additional sheet.

7. Additional Sheets and Attachments

Check this box if additional sheets and/or attachments are enclosed and indicate the total number of such sheets and/or attachments:

MODEL INTERNATIONAL FORM Nº 3

**REQUEST FOR RECORDAL OF CHANGE(S)
IN NAME(S) OR ADDRESS(ES)**

in respect of patent application(s) and/or patent(s)

submitted to the Office of

FOR OFFICE USE ONLY

Reference indication of applicant
and/or owner:¹

Reference indication of representative:¹

1. Request for Recordal

The recordal of the change(s) indicated in the present request is hereby requested.

¹ Any reference indication allotted by the applicant and/or owner and/or any reference indication allotted by the representative to the present request may be given in this space.

2. Application(s) and/or Patent(s) Concerned

The present request concerns the following application(s) and/or patent(s):

2.1 Application number(s):²

2.2 Patent number(s):

2.3 If the spaces under 2.1 or 2.2 are not sufficient, check this box and provide the information on an additional sheet.

3. Applicant(s) and/or Owner(s)

3.1 If the applicant and/or owner is a natural person, the person's

(a) family or principal name:³

(b) given or secondary name(s):³

3.2 If the applicant and/or owner is a legal entity, the entity's full official designation:

² Where the application number of an application has not yet been issued or is not known to the applicant or his representative, that application may be identified by furnishing either the provisional application number, if any, given by the Office, or a copy of the request part of the application, or a reference number given to the application by the applicant or his representative, along with the name and address of the applicant, the title of the invention and the date on which the application was sent to the Office.

³ The names to be indicated under (a) and (b) are those which appear in the records of the Office in respect of the application(s) and/or patent(s) to which the present request relates.

3.3 Address (including postal code and country):

Telephone number(s):⁴

Telefacsimile number(s):⁴

3.4 Check this box if there is more than one applicant and/or owner; in that case, list them on an additional sheet and indicate, in respect of each of them, the data referred to in items 3.1 or 3.2 and 3.3.

4. Representative

4.1 Name:

4.2 Address (including postal code and country):

Telephone number(s):⁵

Telefacsimile number(s):⁵

4.3 Registration number, if registered with the Office:

4.4 Number allotted to the power of attorney:⁶

⁴ Even where the Office elects to request this information, the applicant and/or owner shall have the option to refrain from providing such indications. Where they are given, they should include the country code (where appropriate) and area code.

⁵ Even where the Office elects to request this information, the representative shall have the option to refrain from providing such indications. Where they are given, they should include the country code (where appropriate) and area code.

⁶ Leave blank if the power of attorney has not, or has not yet, been allotted a number or if the number is not yet known to the applicant and/or owner or the representative.

5. Address for Service

6. Indication of the Change(s)

6.1 Data as currently recorded:

New data to be recorded:⁷

6.2 Check this box if the above space is insufficient; in that case, indicate on an additional sheet the data as currently recorded with the new data to be recorded.

7. Signature or Seal⁸

7.1 Name of the natural person who signs or whose seal is used:

7.2 Check the appropriate box according to whether the signature is given, or the seal is used, by or on behalf of the

7.2.1 applicant and/or owner.

7.2.2 representative.

7.3 Date of signature or of sealing:

7.4 Signature or seal:

⁷ Indicate the name(s) and/or address(es) to be recorded as a result of the requested change.

⁸ If there is more than one person signing or whose seal is used, all of the indications under subitems 7.1 to 7.4 should be given on an additional sheet.

8. Fee

8.1 Currency and amount of the fee paid in connection with the present request for recordal of change(s):

8.2 Method of payment:

9. Additional Sheets and Attachments

Check this box if additional sheets and/or attachments are enclosed and indicate the total number of such sheets and/or attachments:

MODEL INTERNATIONAL FORM Nº 4
REQUEST FOR RECORDAL OF A CHANGE
IN OWNERSHIP

in respect of patent application(s) and/or patent(s)
submitted to the Office of

FOR OFFICE USE ONLY

Reference indication of applicant
and/or owner:¹

Reference indication of representative:¹

1. Request for Recordal

The recordal of the change in ownership indicated in the present request is hereby requested.

¹ Any reference indication allotted by the applicant and/or owner and/or any reference indication allotted by the representative to the present request may be given in this space.

2. Application(s) and/or Patent(s) Concerned

The present request concerns the following application(s) and/or patent(s):

2.1 Application number(s):²

2.2 Patent number(s):

2.3 If the spaces under 2.1 or 2.2 are not sufficient, check this box and provide the information on an additional sheet.

3. Basis for the Change in Ownership

3.1 The change in ownership results from a contract, and recordal is requested by the applicant and/or owner.

3.2 The change in ownership results from a contract, and recordal is requested by the new applicant and/or new owner. One of the following documents is enclosed:

3.2.1 a copy, certified as being in conformity with the original, of the contract.

3.2.2 an extract, certified as being a true extract, of the contract.

3.2.3 a certificate of transfer.

² Where the application number of an application has not yet been issued or is not known to the applicant or his representative, that application may be identified by furnishing either the provisional application number, if any, given by the Office, or a copy of the request part of the application, or a reference number given to the application by the applicant or his representative, along with the name and address of the applicant, the title of the invention and the date on which the application was sent to the Office.

- 3.3 The change in ownership results from a merger or from the reorganization or division of a legal entity.

A copy, certified as being in conformity with the original, of the following document, evidencing the merger, reorganization or division of a legal entity, is enclosed:

- 3.3.1 an extract from the register of commerce.

- 3.3.2 other document originating from the competent authority.

- 3.4 The change in ownership does not result from a contract, a merger or from the reorganization or division of a legal entity, but results from an operation of law or a court decision, for example. The following document is enclosed:

- 3.4.1 A copy, certified as being in conformity with the original, of a document evidencing the change.
-

4. Applicant(s) and/or Owner(s)

4.1 If the applicant and/or owner is a natural person, the person's

(a) family or principal name:³

(b) given or secondary name(s):³

4.2 If the applicant and/or owner is a legal entity, the entity's full official designation:

4.3 Address (including postal code and country):

Telephone number(s):⁴

Telefacsimile number(s):⁴

4.4 Check this box if there is more than one applicant and/or owner affected by the change; in that case, list them on an additional sheet and indicate, in respect of each of them, the data referred to in items 4.1 or 4.2 and 4.3.

4.5 Check this box if the applicant and/or owner, or one of the applicants and/or owners, has changed names and/or addresses without requesting the recordal of that change, and enclose a document evidencing that the person having transferred the ownership and the applicant and/or owner are the same person.

³ The names to be indicated under (a) and (b) are those which appear in the records of the Office in respect of the application(s) and/or patent(s) to which the present request relates.

⁴ Even where the Office elects to request this information, the applicant and/or owner shall have the option to refrain from providing such indications. Where they are given, they should include the country code (where appropriate) and area code.

5. Representative of the Applicant and/or Owner

5.1 Name:

5.2 Address (including postal code and country):

Telephone number(s):⁵

Telefacsimile number(s):⁵

5.3 Number allotted to the power of attorney:⁶

6. Address for Service of the Applicant and/or Owner

⁵ Even where the Office elects to request this information, the representative shall have the option to refrain from providing such indications. Where they are given, they should include the country code (where appropriate) and area code.

⁶ To be left blank if the power of attorney has not, or has not yet, been allotted a number or if the number is not yet known to the applicant and/or owner or the representative.

7. New Applicant(s) and/or New Owner(s)⁷

7.1 If the new applicant and/or new owner is a natural person, the person's :

- (a) family or principal name:⁸
- (b) given or secondary name(s):⁸

7.2 If the new applicant and/or new owner is a legal entity, the entity's full official designation:

7.3 Address of new applicant and/or new owner (including postal code and country):

Telephone number(s):⁹

Telefacsimile number(s):⁹

7.4 State of nationality of new applicant and/or new owner:

State of domicile:

State of establishment:¹⁰

7.5 Where the new applicant and/or new owner is a legal entity, indicate

- the legal nature of the legal entity:
- the State, and, where applicable, the territorial unit within that State, under the law of which the legal entity is organized:

7.6 Check this box if there is more than one new applicant and/or new owner, in that case, list them on an additional sheet and indicate, in respect of each of them, the data referred to in items 7.1 or 7.2, 7.3, 7.4 and 7.5.¹¹

⁷ Any existing applicant or owner who remains an applicant or owner after the change should be indicated "new applicant" or "new owner", as the case may be.

⁸ The names to be indicated under (a) and (b) are either the full names of the new applicant and/or new owner or the names customarily used by the new applicant and/or new owner.

⁹ Even where the Office elects to request this information, the new applicant and/or new owner shall have the option to refrain from providing such indications. Where they are given, they should include the country code (where appropriate) and area code.

¹⁰ "Establishment" means a real and effective industrial or commercial establishment.

8. Representative of the New Applicant and/or New Owner

8.1 The new applicant and/or new owner is not represented.

8.2 The new applicant and/or new owner is represented.

8.2.1 Identification of the representative

8.2.1.1 Name:

8.2.1.2 Address (including postal code and country):

Telephone number(s):¹²

Telefacsimile number(s):¹²

8.2.1.3 Registration number, if registered with the Office:

8.2.2 The power of attorney is already in the possession of the Office.

8.2.2.1 Number allotted to power of attorney:¹³

8.2.3 The power of attorney is attached.

8.2.4 The power of attorney will be furnished at a later date.

8.2.5 No power of attorney is needed.

¹¹ Where several new applicants and/or new owners are listed on the additional sheet with different addresses and there is no representative under item 8 or address for service under item 9, the address for correspondence must be underlined on the additional sheet.

¹² Even where the Office elects to request this information, the representative shall have the option to refrain from providing such indications. Where they are given, they should include the country code (where appropriate) and area code.

¹³ To be left blank if the power of attorney has not, or has not yet, been allotted a number or if the number is not yet known to the new owner or the representative.

9. Address for Service of the New Applicant and/or New Owner¹⁴

10. Signature or Seal¹⁵

10.1 Name of the natural person who signs or whose seal is used:

10.2 Check the appropriate box according to whether the signature is given, or the seal is used, by or on behalf of the

10.2.1 applicant and/or owner.

10.2.2 new applicant and/or new owner.

10.2.3 representative.

10.3 Date of signature or of sealing:

10.4 Signature or seal:

10.5 Signature or seal of any co-applicant or co-applicants, co-owner or co-owners in respect of which there is no change in ownership, where change in ownership results from a contract.

¹⁴ An address for service must be indicated in the space available under the title of item 9 where the new applicant and/or new owner does not have, or has not indicated, a domicile or a real and effective industrial or commercial establishment on the territory of the Contracting Party whose Office is the Office named on the first page of the present request, except where a representative is indicated in item 8.

¹⁵ If there is more than one person signing or whose seal is used, all of the indications under subitems 10.1 to 10.4 should be given on an additional sheet.

11. Fee

11.1 Currency and amount of the fee paid in connection with the present request for recordal of a change in ownership.

11.2 Method of payment:

12. Additional Sheets and Attachments

Check this box if additional sheets and/or attachments are enclosed and indicate the total number of such sheets and/or attachments:

MODEL INTERNATIONAL FORM Nº 5
REQUEST FOR RECORDAL OF A CHANGE
IN INVENTORSHIP

in respect of patent application(s) and/or patent(s)
submitted to the Office of

FOR OFFICE USE ONLY

Reference indication of applicant
and/or owner:¹

Reference indication of representative:¹

1. Request for Recordal

The recordal of the change in inventorship indicated in the present request is hereby requested.

¹ Any reference indication allotted by the applicant and/or owner and/or any reference indication allotted by the representative to the present request may be given in this space.

2. Application(s) and/or Patent(s) Concerned

The present request concerns the following application(s) and/or patent(s):

2.1 Application number(s):²

2.2 Patent number(s):

2.3 If the spaces under 2.1 or 2.2 are not sufficient, check this box and provide the information on an additional sheet.

3. Applicant(s) and/or Owner(s)

3.1 If the applicant and/or owner is a natural person, the person's

(a) family or principal name:³

(b) given or secondary name(s):³

3.2 If the applicant and/or owner is a legal entity, the entity's full official designation:

3.3 Address (including postal code and country):

Telephone number(s):⁴

Telefacsimile number(s):⁴

² Where the application number of an application has not yet been issued or is not known to the applicant or his representative, that application may be identified by furnishing either the provisional application number, if any, given by the Office, or a copy of the request part of the application, or a reference number given to the application by the applicant or his representative, along with the name and address of the applicant, the title of the invention and the date on which the application was sent to the Office.

³ The names to be indicated under (a) and (b) are those which appear in the records of the Office in respect of the application(s) and/or patent(s) to which the present request relates.

⁴ Even where the Office elects to request this information, the applicant and/or owner shall have the option to refrain from providing such indications. Where they are given, they should include the country code (where appropriate) and area code.

- 3.4 Check this box if there is more than one applicant and/or owner; in that case, list them on an additional sheet and indicate, in respect of each of them, the data referred to in items 3.1 or 3.2 and 3.3.
-

4. Representative

4.1 Name:

4.2 Address (including postal code and country):

Telephone number(s):⁵

Telefacsimile number(s):⁵

4.3 Registration number, if registered with the Office:

4.4 Number allotted to the power of attorney:⁶

5. Address for Service

⁵ Even where the Office elects to request this information, the representative shall have the option to refrain from providing such indications. Where they are given, they should include the country code (where appropriate) and area code.

⁶ To be left blank if the power of attorney has not, or has not yet, been allotted a number or if the number is not yet known to the applicant and/or owner or the representative.

6. Basis for the Change in Inventorship

- 6.1 The change in the person of the inventor or of one of the inventors, does not result from an error, and recordal is requested by the applicant and/or owner.
- 6.2 Grounds for requesting change (additional sheet may be used where necessary):
-

7. Addition of Inventor(s)

- 7.1 If the change of inventorship involves the addition of an inventor, that inventor's
- (a) family or principal name:⁷
- (b) given or secondary name:⁷
- 7.2 Address of inventor to be added (including postal code and country):

Telephone number(s):⁸

Telefacsimile number(s):⁸

- 7.3 Check this box if there is more than one inventor to be added; in that case list each such inventor on an additional sheet and indicate in respect of each of them the data referred to in items 7.1 and 7.2.
-

⁷ The names to be indicated under (a) and (b) are either the full names of the added or deleted inventor or the names customarily used by the added or deleted inventor.

⁸ Even where the Office elects to request this information, the inventor shall have the option to refrain from providing such indications. Where they are given, they should include the country code (where appropriate) and area code.

8. Deletion of Inventor(s)

8.1 If the change of inventorship involves the deletion of an inventor, that inventor's

(a) family or principal name:⁹

(b) given or secondary name:⁹

8.2 Address of inventor to be deleted (including postal code and country):

Telephone number(s):¹⁰

Telefacsimile number(s):¹⁰

8.3 Check this box if there is more than one inventor to be deleted; in that case list each such inventor on an additional sheet and indicate in respect of each of them the data referred to in items 8.1 and 8.2.

[8bis. Oath or Declaration as to Inventorship by Inventor to be Added]¹¹

⁹ The names to be indicated under (a) and (b) are either the full names of the added or deleted inventor or the names customarily used by the added or deleted inventor.

¹⁰ Even where the Office elects to request this information, the inventor shall have the option to refrain from providing such indications. Where they are given, they should include the country code (where appropriate) and area code.

¹¹ If there is more than one inventor to be added, the oath or declaration for each such inventor should be made on an additional sheet].

9. Signature or Seal¹²

9.1 Name of the natural person who signs or whose seal is used:

9.2 Check the appropriate box according to whether the signature is given, or the seal is used, by or on behalf of the

9.2.1 applicant and/or owner.

9.2.2 representative.

9.3 Date of signature or of sealing:

9.4 Signature or seal:

10. Fee

10.1 Currency and amount of the fee paid in connection with the present request for recordal of a change in inventorship:

10.2 Method of payment:

11. Additional Sheets and Attachments

Check this box if additional sheets and/or attachments are enclosed and indicate the total number of such sheets and/or attachments:

¹² If there is more than one person signing or whose seal is used, all of the indications under subitems 9.1 to 9.4 should be given on an additional sheet.

MODEL INTERNATIONAL FORM Nº 6
CERTIFICATE OF TRANSFER

in respect of patent application(s) and/or patent(s)
submitted to the Office of

FOR OFFICE USE ONLY

1. Certification

The undersigned transferor(s) and transferee(s) hereby certify that ownership of the application(s) and/or patent(s) identified below has been transferred by contract.

2. Application(s) and/or Patent(s) Concerned

The present certificate concerns the transfer of the following application(s) and/or patent(s):

2.1 Application number(s):¹

2.2 Patent number(s):

2.3 If the spaces under 2.1 or 2.2 are not sufficient, check this box and provide the information on an additional sheet.

3. Transferor(s)

¹ Where the application number of an application has not yet been issued or is not known to the transferor or his representative, that application may be identified by furnishing either the provisional application number, if any, given by the Office, or a copy of the request part of the application, or a reference number given to the application by the applicant or his representative, along with the name and address of the applicant, the title of the invention and the date on which the application was sent to the Office.

3.1 If the transferor is a natural person, the person's

(a) family or principal name:²

(b) given or secondary name(s):²

3.2 If the transferor is a legal entity, the entity's full official designation:

3.3 Address (including postal code and country):

Telephone number(s):³

Telefacsimile number(s):³

3.4 Check this box if there is more than one transferor; in that case, list them on an additional sheet and indicate, in respect of each of them, the data referred to in items 3.1 or 3.2 and 3.3.

² The names to be indicated under (a) and (b) are those which appear in the records of the Office in respect of the application(s) and/or patent(s) to which the present certificate relates.

³ Even where the Office elects to request this information, the transferor shall have the option to refrain from providing such indications. Where they are given, they should include the country code (where appropriate) and area code.

4. Transferee(s)

4.1 If the transferee is a natural person, the person's

(a) family or principal name:⁴

(b) given or secondary name(s):⁴

4.2 If the transferee is a legal entity, the entity's full official designation:

4.3 Address (including postal code and country):

Telephone number(s):⁵

Telefacsimile number(s):⁵

4.4 Check this box if there is more than one transferee; in that case, list them on an additional sheet and indicate, in respect of each of them, the data referred to in items 4.1 or 4.2 and 4.3.

⁴ The names to be indicated under (a) and (b) are either the full names of the transferee or the names customarily used by the transferee.

⁵ Even where the Office elects to request this information, the transferee shall have the option to refrain from providing such indications. Where they are given, they should include the country code (where appropriate) and area code.

5. Signature or Seal

5.1 Signature or seal of the transferor⁶

5.1.1 Name of the natural person who signs or whose seal is used:

5.1.2 Date of signature or of sealing:

5.1.3 Signature or seal:

5.2 Signature or seal of the transferee⁶

5.2.1 Name of the natural person who signs or whose seal is used:

5.2.2 Date of signature or of sealing:

5.2.3 Signature or seal:

6. Additional Sheets and Attachments

Check this box if additional sheets and/or attachments are enclosed and indicate the total number of such sheets and/or attachments:

⁶ If there is more than one person signing or whose seal is used, all of the indications under subitem 5.1 or all of the indications under subitem 5.2, as the case may be, should be given on an additional sheet.

MODEL INTERNATIONAL FORM N° 7
REQUEST FOR CORRECTION OF MISTAKE(S)

in patent application(s) and/or patent(s)
submitted to the Office of

FOR OFFICE USE ONLY

Reference indication of applicant
and/or owner:¹

Reference indication of representative: ¹

1. Request for Correction

The correction(s) identified in the present request is (are) hereby requested.

¹ Any reference indication allotted by the applicant and/or owner and/or any reference indication allotted by the representative to the present request may be given in this space.

2. Application(s) and/or Patent(s) Concerned

The present request concerns the following application(s) and/or patent(s):

2.1 Application number(s):²

2.2 Patent number(s):

2.3 If the spaces under 2.1 or 2.2 are not sufficient, check this box and provide the information on an additional sheet.

3. Applicant(s) and/or Owner(s)

3.1 If the applicant and/or owner is a natural person, the person's

(a) family or principal name:³

(b) given or secondary name(s):³

3.2 If the applicant and/or owner is a legal entity, the entity's full official designation:

3.3 Address (including postal code and country):

Telephone number(s):⁴

Telefacsimile number(s):⁴

² Where the application number of an application has not yet been issued or is not known to the applicant or his representative, that application may be identified by furnishing either the provisional application number, if any, given by the Office, or a copy of the request part of the application, or a reference number given to the application by the applicant or his representative, along with the name and address of the applicant, the title of the invention and the date on which the application was sent to the Office.

³ The names to be indicated under (a) and (b) are those which appear in the records of the Office in respect of the application(s) and/or patent(s) to which the present request relates.

⁴ Even where the Office elects to request this information, the applicant and/or owner shall have the option to refrain from providing such indications. Where they are given, they should include the country code (where appropriate) and area code.

- 3.4 Check this box if there is more than one applicant and/or owner; in that case, list them on an additional sheet and indicate, in respect of each of them, the data referred to in items 3.1 or 3.2 and 3.3.
-

4. Representative

4.1 Name:

4.2 Address (including postal code and country):

Telephone number(s):⁵

Telefacsimile number(s):⁵

4.3 Registration number, if registered with the Office:

4.4 Number allotted to the power of attorney:⁶

5. Address for Service

⁵ Even where the Office elects to request this information, the representative shall have the option to refrain from providing such indications. Where they are given, they should include the country code (where appropriate) and area code.

⁶ To be left blank if the power of attorney has not, or has not yet, been allotted a number or if the number is not yet known to the applicant and/or owner or the representative.

6. Indication of Mistake(s) and Correction(s)

6.1 Data to be corrected:

Data as corrected:

6.2 Check this box if the above space is insufficient; in that case, indicate on an additional sheet the data to be corrected with the data as corrected.

6.3 Check this box if one or more replacement pages are enclosed.⁷

7. Signature or Seal⁸

7.1 Name of the natural person who signs or whose seal is used:

7.2 Check the appropriate box according to whether the signature is given, or the seal is used, by or on behalf of the

7.2.1 applicant and/or owner.

7.2.2 representative.

7.3 Date of signature or of sealing:

7.4 Signature or seal:

⁷ Where the correction of more than one application or patent is requested, each replacement page should indicate the application or patent to which it relates.

⁸ If there is more than one person signing or whose seal is used, all of the indications under subitems 7.1 to 7.4 should be given on an additional sheet.

8. Fee⁹

8.1 Currency and amount of the fee paid in connection with the present request for correction:

8.2 Method of payment:

9. Additional Sheets and Attachments

Check this box if additional sheets and/or attachments (including any replacement sheets) are enclosed and indicate the total number of such sheets and/or attachments:

⁹ If mistake was made by the Office, no fee will be charged for its correction.

III. NOTES ON MODEL INTERNATIONAL FORMS

Explanations on the footnotes appearing in the Model International Forms

F0.01 Any Contracting Party may provide in its Individualized International Forms the possibility of indicating optional elements, such as the date on which the relevant communication is submitted to the Office or, in the power of attorney Form, the address of the person making the appointment, it being understood that such elements cannot be required.

F0.02 The footnotes are intended to appear in the printed form, including in any translation of the form, and are addressed to the person(s) filling in the form.

Note concerning all Model International Forms

F0.03 The “Model International Forms” refer to--and leave space for filling in--all the elements (information) that, under the Treaty and the Regulations, Contracting Parties may require to be furnished. Some of those elements, however, will not be required under the national (or regional) law applicable to the Office concerned. Where this is the case, the national (or regional) Office should prepare an “Individualized International Form,” that is, a Form from which the elements of the Model International Form which are inapplicable for the purposes of the Office in question should be omitted. Naturally, no such individualized Form can contain references to mandatory elements that would be additional to the elements referred to in the corresponding model Form, and which would therefore be contrary to the Treaty or the Regulations. It is understood that no Contracting Party is obliged to have Individualized International Forms; any Contracting Party may continue to use its present forms as long as those forms comply with the provisions of the Treaty and the Regulations.

F0.04 It is understood that in any Individualized International Form the elements may be in a different order and with different spacing than in the Model International Form.

F0.05 Any Individualized International Form will be in the language or in one of the languages of or admitted by the interested Office.

F0.06 Each Contracting Party must accept that any application, request or power of attorney be presented on a form corresponding to the relevant Model International Form or Individualized International Form as long as its language requirement is complied with.

F0.07 In respect of all the proposed Model International Forms, it could be decided, at a later stage, to use INID Codes.

Note on Form N° 1

(Application for the Grant of a Patent -- Request)

F1.01 Title of the Form. In any Individualized International Form, the dots will be replaced by the name of the Office. The box containing a space for any reference

indication(s) allotted to the application is intended for the convenience of the applicant and/or representative. Such indication is not mandatory.

F1.02 Item 1 (Petition for the Granting of a Patent). This item fulfills any requirement that an application contain an express petition for the granting of a patent.

F1.03 Item 2 (Title of the Invention). This item seems to be self-explanatory.

F1.04 Item 3 (Applicant(s)), subitems 3.1, 3.2, 3.4 and 3.7. These subitems seem to be self-explanatory.

F1.05 Subitem 3.3. Reference is made to the explanation given under Rule 2(2)(c), Note R2.06.

F1.06 Subitem 3.5. In its Individualized International Form, a Contracting Party may adapt subitem 3.5 according to the requirements of its law. For example, the Individualized International Form of a Contracting Party which does not require the indication of a territorial unit may simply refer to “the legal nature of the legal entity” and to “the State under the law of which the legal entity is organized.”

F1.07 Subitem 3.6. The basis of the applicant’s entitlement to receive the patent applied for where the applicant is not the inventor could be, for example, the fact that the applicant is the inventor’s employer, the inventor’s assignee or the inventor’s heir.

F1.08 Item 4 (Inventor(s)). This item does not include spaces for indicating a telephone number and a telefacsimile number since the Office would normally contact the applicant or representative, rather than the inventor. However, such indications could be included at the option of the applicant.

F1.09 Item 5 (Representative), subitem 5.1. This item seems to be self-explanatory.

F1.10 Subitem 5.2. This subitem should appear in the Individualized International Form even if the Office does not require representation since any applicant may be represented. Where the furnishing of a document appointing the representative (“power of attorney”) is required, the applicant has a minimum time limit to furnish such a document (see Rule 4), and the Individualized International Form should indicate the time limit applicable before the Office. The representative can be a natural person, a legal entity, firm or a partnership.

F1.11 Subitem 5.2.5. No power of attorney is needed in cases where no formal appointment of the representative is required either in respect of any representative or in respect of certain categories of representative (for example, in some countries, the category of “mandataire agréé,” that is, an agent registered with the Office and admitted to practice before the Office without a power of attorney having to be submitted). Offices of Contracting Parties which require that all appointments of representatives must be made through powers of attorney should, in their Individualized International Form, omit this subitem.

F1.12 Item 6 (Address for Service). Any Contracting Party which, in the case where the applicant has neither a domicile nor a real and effective industrial or commercial

establishment on the territory of the Contracting Party with whose Office the application is filed, does not require the applicant to appoint a local representative may require the applicant to indicate an address for service on its territory. Any Contracting Party may also require an applicant who has a domicile or a real and effective industrial or commercial establishment on its territory, but has not indicated the address of that domicile or establishment as his address, to provide an address for service on its territory, except where a representative is indicated in item 5. On the other hand, this item should be omitted from the Individualized International Form of any Contracting Party which always requires the appointment of a local representative.

F1.13 Item 7 (Divisional Applications). Reference is made to the explanation under Rule 7 (see Note R7.02) regarding the right to file divisional applications. This item may be adapted in the Individualized International Form to provide additionally for the identification of other applications otherwise linked to one or more parent applications, for example, conversion applications, or applications for patent of addition, permitted by the Office.

F1.14 Item 8 (Security Concerns). Where an application is identified as one which is of a sensitive nature in respect of national or other security concerns, the Office may keep the disclosure of the invention for which a patent is applied confidential.

F1.15 Item 9 (Regional Patent Application). This item should be omitted from the Individualized International Form of the Office of a Contracting Party which does not grant regional patents, or of a regional Office which grants patents under a treaty which does not provide for the designation of States. The manner of designating States will be determined by the Office and provided for in the Individualized International Form.

F1.16 Item 10 (Claiming of Priority). Reference is made to the explanations given under Article 2(2)(xii), Note 2.18, and Article 2(7)(a), Note 2.30. Where the application the priority of which is claimed was filed with the same Office as the present application, it is sufficient to check the box under item 10.4; the applicant may not be required to furnish a copy or certified copy. Any Contracting Party whose requirements concerning evidence in support of the claiming of priority are less stringent than those contained in items 10.4 and 10.5 of the said Form should modify its Individualized International Form accordingly.

F1.17 Item 11 (Declaration Concerning Non-Prejudicial Disclosures). Reference is made to the explanations given under Article 2(2)(xiv), Note 2.20, and Article 2(7)(b), Note 2.30.

F1.18 Item 12 (Prior Art References). This item seems to be self-explanatory. It should be omitted from the Individualized International Form of the Office of a Contracting Party which does not require such references to be stated in the Request.

F1.19 Item 12bis (Oath or Declaration as to Inventorship by Inventor). This item is presented in brackets in order to indicate that a decision still needs to be taken on whether or not it is considered necessary to include this item in the draft Treaty. (See Articles 2(2)(xivbis) and 2(6)(b).) Specific language is not proposed for this item since the required wording would be determined by national law and included in the Individualized International Form.

F1.20 Item 13 (Signature or Seal). This item will have to be adapted in the Individualized International Form of the Contracting Party concerned. Where the Contracting Party does not allow a seal to be used instead of a handwritten signature, all references to a seal should be omitted.

F1.21 Subitem 13.1. This subitem should be omitted from the Individualized International Form of the Office of any Contracting Party which does not have any of the following requirements:

(i) the requirement that a seal be used instead of a handwritten signature and that the name of the natural person whose seal is used be indicated in letters;

(ii) the requirement that, where the Contracting Party concerned allows the use of a seal instead of a handwritten signature and a seal is used, the name of the natural person whose seal is used be indicated in letters;

(iii) the requirement that, where the applicant is a legal entity and the application bears the signature or seal of the natural person signing on behalf of that entity, the name of the natural person who signs or whose seal is used on behalf of that legal entity be indicated (evidence of authorization to sign or use a seal on behalf of a legal entity may not be required, except in case of doubt).

F1.22 Subitems 13.2 and 13.3. These subitems seem to be self-explanatory.

F1.23 Subitem 13.4. Where the Contracting Party allows the use of forms of signature other than a handwritten signature, such as a printed signature or a stamped signature, this subitem should be accompanied by a footnote indicating how to sign the application in such cases. In any event, no certification of the signature or seal may be required.

F1.24 Item 14 (Fee(s)). Each Office may introduce further details in its Individualized International Form to indicate the various methods of payment accepted by it (transfer to bank account, payment by cheque, cash, fiscal stamps, etc.).

F1.25 Item 15 (Additional Sheets and Attachments). This item seems to be self-explanatory.

Note on Form N° 2
(Power of Attorney)

F2.01 Title of the Form. In any Individualized International Form, the dots will be replaced by the name of the Office. The box containing a space for any reference indication(s) allotted to the power of attorney is intended for the convenience of the person making the appointment. Such indication is not mandatory.

F2.02 Item 1 (Appointment) and Item 2 (Name of the Person Making the Appointment). These items seem to be self-explanatory.

F2.03 Item 3 (Representative). Reference is made to the explanations given under Form N° 1, Subitem 5.2, Note 1.10.

F2.04 Item 4 (Application(s) and/or Patent(s) Concerned), subitem 4.1. This subitem seems to be self-explanatory.

F2.05 Subitem 4.2.1. This subitem is intended to cover the case where the power of attorney is filed together with one or more applications.

F2.06 Subitem 4.2.2. As regards the means of identification of an application where the application number is not known, the corresponding footnote may be adapted by each Contracting Party in its Individualized International Form according to the requirements of its law or to the practice of its Office, it being understood that a Contracting Party may require less than what is provided for in Rule 8(1).

F2.07 Item 5 (Scope of the Power of Attorney). As regards any matter relating to representation which is outside the scope of the Treaty, any Contracting Party may provide for additional indications in its Individualized International Form. For example, a Contracting Party may add an additional box in respect of the appointment of a sub-representative where the law of that Contracting Party requires that the possibility for a representative to appoint sub-representatives be expressly mentioned in the power of attorney.

F2.08 Item 6 (Signature or Seal). Reference is made to the explanations given under Form N° 1, item 13, Notes F1.20 to F1.23.

F2.09 Item 7 (Additional Sheets and Attachments). This item seems to be self-explanatory.

Note on Form N° 3
(Request for Recordal of Change(s)
in Name(s) or Address(es))

F3.01 Title of the Form. In any Individualized International Form, the dots will be replaced by the name of the Office. The box containing a space for any reference indication(s) allotted to the request is intended for the convenience of the applicant, owner and/or representative. Such indication is not mandatory.

F3.02 Item 1 (Request for Recordal). This item seems to be self-explanatory.

F3.03 Item 2 (Application(s) and/or Patent(s) Concerned), subitem 2.1. As regards the means of identification of an application whose application number is not known, reference is made to the explanations given under Form N° 2, subitem 4.2.2, Note F2.06.

F3.04 Subitems 2.2 and 2.3. These subitems seem to be self-explanatory.

F3.05 Item 3 (Applicant(s) and/or Owner(s)). This item seems to be self-explanatory.

F3.06 Item 4 (Representative). Reference is made to the explanations given under Form N° 1, subitem 5.2, Note F1.10.

F3.07 Item 5 (Address for Service). Reference is made to the explanations given under Form N° 1, item 6, Note F1.12.

F3.08 Item 6 (Indication of the Change(s)). The change(s) may concern the name of any applicant and/or owner, the name of any representative as well as the address, telephone number or telefacsimile number of any applicant, owner or representative, and the address for service.

F3.09 Item 7 (Signature or Seal). Reference is made to the explanations given under Form N° 1, item 13, Notes F1.20 to F1.23.

F3.10 Item 8 (Fee). Reference is made to the explanations given under Form N° 1, item 14, Note F1.24. In addition, where the change of address(es) results from a decision of an authority and the recordal is, consequently, free of charge, the Individualized International Form should contain an appropriate item to this effect.

F3.11 Item 9 (Additional Sheets and Attachments). This item seems to be self-explanatory.

Note on Form N° 4
(Request for Recordal of a Change in Ownership)

F4.01 Title of the Form. In any Individualized International Form, the dots will be replaced by the name of the Office. The box containing a space for any reference indication(s) allotted to the request is intended for the convenience of the applicant, owner and/or representative. Such indication is not mandatory.

F4.02 Item 1 (Request for Recordal). This item seems to be self-explanatory.

F4.03 Item 2 (Application(s) and/or Patent(s) Concerned), subitem 2.1. As regards the means of identification of an application whose application number is not known, reference is made to the explanations given under Form N° 2, subitem 4.2.2, Note F2.06.

F4.04 Subitems 2.2 and 2.3. These subitems seem to be self-explanatory.

F4.05 Item 3 (Basis for the Change in Ownership). This item distinguishes between request for recordal of a change in ownership which results from a contract (assignment, etc.) that is submitted by the applicant or owner, request for recordal of a change in ownership which results from a contract that is submitted by the new applicant or new owner, request for recordal of a change in ownership which results from a merger or from the reorganization or division of a legal entity, and request for recordal of a change in ownership which does not result either from a contract or a merger or from the reorganization or division of a legal entity but from operation of law (inheritance, bankruptcy, etc.) or from a court decision. In each case, where the request is submitted by the new applicant or new owner, a Contracting Party may require that certain documents evidencing the change be furnished. In some cases where the request is submitted by the applicant or owner, such documentary evidence also may be required; for example, in the case of change in ownership which results from a merger, it is anticipated that the applicant or owner no longer exists, or, if the change is

requested before the merger, that the new applicant or owner does not yet exist; therefore documentary evidence may be required. In the case of a change in ownership which does not result either from a contract or a merger or from the reorganization or division of a legal entity but from operation of law (inheritance, bankruptcy, etc.) or from a court decision, documentary evidence may be required in all cases to avoid fraud (for example, a transfer of ownership to a third party to avoid a judgment of the courts).

F4.06 Subitem 3.1. This subitem should be checked only where the requesting party is the applicant or owner as reflected in the records of the Office.

F4.07 Subitem 3.2. This subitem, and the phrase “, and recordal is requested by the applicant or owner” in subitem 3.1, should be deleted in the Individualized International Form of any Contracting Party which does not require any request for recordal of change in ownership resulting from a contract to be accompanied by a document evidencing the change. The expressions “, certified as being in conformity with the original,” and “, certified as being a true extract,” should be omitted from the Individualized International Form of any Contracting Party which requires such documentary evidence but does not require that the documents be certified. No Contracting Party may require that a certificate of transfer (subitem 3.2.3; see Model International Form N° 6) be certified.

F4.08 Subitem 3.3. This subitem should be limited to the first sentence, and subitems 3.3.1 and 3.3.2 should be deleted, in the Individualized International Form of any Contracting Party which does not require a request for recordal of change in ownership resulting from a merger or from the reorganization or division of a legal entity to be accompanied by any document evidencing the change. The expression “, certified as being in conformity with the original,” should be omitted from the Individualized International Form of any Contracting Party which requires such documentary evidence but does not require that the document be certified. Where certification is required, such certification should be accomplished, at the option of the applicant, by any representative admitted to practice before the Office or by a notary public or any other public authority.

F4.09 Subitem 3.4. In this subitem, the actual basis for the change in ownership not resulting from a contract or a merger or from the reorganization or division of a legal entity should be indicated (for example, from operation of law such as in the case of inheritance, bankruptcy, etc., or from a court decision). Subitem 3.4.1 should be deleted in the Individualized International Form of any Contracting Party which does not require the request to be accompanied by any document evidencing the change. The expression “, certified as being in conformity with the original,” should be omitted from the Individualized International Form of any Contracting Party which requires such documentary evidence but does not require that the document be certified.

F4.10 Item 4 (Applicant(s) and/or Owner(s)). In the case of a transfer by contract, the “applicant(s) and/or owner(s)” are the transferor(s). Subitems 4.1 to 4.5 seem to be self-explanatory.

F4.11 Item 5 (Representative of the Applicant and/or Owner). The name of the representative currently reflected in the records of the Office (that is, the representative of the applicant(s) or owner(s) (the transferor(s))) should be indicated in this item. The name of the

representative of the new applicant(s) or new owner(s) (the transferee(s)) should be indicated in item 8.

F4.12 Item 6 (Address for Service of the Applicant and/or Owner). The address for service of the applicant(s) or owner(s) currently recorded in the records of the Office (that is, of the transferor(s)) should be indicated in this item. The address for service of the new applicant(s) or new owner(s) (the transferee(s)) should be indicated in item 9.

F4.13 Item 7 (New Applicant(s) and/or New Owner(s)). In the case of a transfer by contract, the “new applicant(s) and/or new owner(s)” is (are) the transferee(s). Subitems 7.1 to 7.4 and 7.6 seem to be self-explanatory.

F4.14 Subitem 7.5. In its Individualized International Form, a Contracting Party may adapt subitem 7.5 according to the requirements of its law. For example, the Individualized International Form of a Contracting Party which does not require the indication of a territorial unit may simply refer to “the legal nature of the legal entity” and to “the State under the law of which the legal entity is organized.”

F4.15 Item 8 (Representative of the New Applicant and/or New Owner), subitem 8.1. This subitem seems to be self-explanatory.

F4.16 Subitem 8.2. Reference is made to the explanations given under Form N° 1, subitem 5.2, Notes F1.10 and F1.11.

F4.17 Item 9 (Address for Service of the New Applicant and/or New Owner). Reference is made to the explanations given under Form N° 1, item 6, Note F1.12.

F4.18 Item 10 (Signature or Seal). Reference is made to the explanations given under Form N° 1, item 13, Notes F1.20 to F1.23.

F4.19 Subitem 10.5. This subitem should be omitted from the Individualized International Form of any Contracting Party which does not require the signature or seal of co-applicant or co-owner where change of ownership results from a contract.

F4.20 Item 11 (Fee). Reference is made to the explanations given under Form N° 1, item 14, Note F1.24.

F4.21 Item 12 (Additional Sheets and Attachments). Where, in the case referred to in Article 8(1)(d) (change in the person of one or more but not all of several co-applicants or co-owners), the express consent of any co-applicant or co-owner is required, that consent may be given on an additional sheet, or by adding the signature of the said co-applicant or co-owner on the request.

Note on Form No 5

(Request for Recordal of a Change in Inventorship)

F5.01 Title of the Form. In any Individualized International Form, the dots will be replaced by the name of the Office. The box containing a space for any reference indication(s)

allotted to the request is intended for the convenience of the applicant, owner and/or representative. Such indication is not mandatory.

F5.02 Item 1 (Request for Recordal). This item seems to be self-explanatory.

F5.03 Item 2 (Application(s) and/or Patent(s) Concerned), subitem 2.1. As regards the means of identification of an application the application number of which is not known, reference is made to the explanations given under Form N° 2, subitem 4.2.2, Note F2.06.

F5.04 Subitems 2.2 and 2.3. These subitems seem to be self-explanatory.

F5.05 Item 3 (Applicant(s) and/or Owner(s)). This item seems to be self-explanatory.

F5.06 Item 4 (Representative). Reference is made to the explanations given under Form N° 1, subitem 5.2, Notes F1.10 and F1.11.

F5.07 Item 5 (Address for Service). Reference is made to the explanations given under Form N° 1, Item 6, Note F1.12.

F5.08 Item 6 (Basis for Change in Inventorship), subitem 6.1. The correction of an error in the indication of the inventor should be requested on Form N° 7.

F5.09 Subitem 6.2. This subitem seems to be self-explanatory.

F5.10 Item 7 (Addition of Inventor(s) and item 8 (Deletion of Inventor(s)). These items seem to be self-explanatory.

F5.11 Item 8bis (Oath or Declaration as to Inventorship by Inventor to be Added). Reference is made to the explanations given under Form N° 1, item 12bis, Note F1.19.

F5.12 Item 9 (Signature or Seal). Reference is made to the explanations given under Form N° 1, item 13, Notes F1.20 to F1.23.

F5.13 Item 10 (Fee). Reference is made to the explanations given under Form N° 1, item 14, Note F1.24.

F5.14 Item 11 (Additional Sheets and Attachments). This item seems to be self-explanatory.

Note on Form N° 6
(Certificate of Transfer)

F6.01 Title of the Form. In any Individualized International Form, the dots will be replaced by the name of the Office.

F6.02 Item 1 (Certification). This certification, and the certificate of transfer as a whole, is intended to fulfill any requirement under Article 8(1)(b) that a request for recordal of a

change in ownership resulting from a contract that is submitted by the new applicant or new owner be accompanied by documentary evidence of the transfer.

F6.03 Item 2 (Application(s) and/or Patent(s) Concerned), subitem 2.1. As regards the means of identification of an application whose application number is not known, reference is made to the explanations given under Form N° 2, item 4.2.2, Note F2.06.

F6.04 Subitems 2.2 and 2.3. These subitems seem to be self-explanatory.

F6.05 Items 3 (Transferor(s)) and 4 (Transferee(s)). These items seem to be self-explanatory.

F6.06 Item 5 (Signature or Seal). Reference is made to the explanations given under Form N° 1, item 13, Notes F1.20 to F1.23.

F6.07 Item 6 (Additional Sheets and Attachments). Where, in the case referred to in Article 8(1)(d) (change in the person of one or more but not all of several co-owners), the express consent of any co-owner is required, that consent may be given on an additional sheet or by adding the signature of the said co-owner on the certificate of transfer.

Note on Form N° 7
(Request for Correction of Mistake(s))

F7.01 Title of the Form. In any Individualized International Form, the dots will be replaced by the name of the Office. The box containing a space for any reference indication(s) allotted to the request is intended for the convenience of the applicant, owner and/or representative. Such indication is not mandatory.

F7.02 Item 1 (Request for Correction). This item seems to be self-explanatory.

F7.03 Item 2 (Application(s) and/or Patent(s) Concerned), subitem 2.1. As regards the means of identification of an application the application number of which is not known, reference is made to the explanations given under Form N° 2, subitem 4.2.2, Note F2.06.

F7.04 Subitems 2.2 and 2.3. These subitems seem to be self-explanatory.

F7.05 Item 3 (Applicant(s) and/or Owner(s)). This item seems to be self-explanatory.

F7.06 Item 4 (Representative). Reference is made to the explanations given under Form N° 1, subitem 5.2, Notes F1.10 and F1.11.

F7.07 Item 5 (Address for Service). Reference is made to the explanations given under Form N°1, Item 6, Note F1.12.

F7.08 Item 6 (Indication of Mistake(s) and Correction(s)). This item seems to be self-explanatory.

F7.09 Item 7 (Signature or Seal). Reference is made to the explanations given under Form N° 1, item 13, Notes F1.20 to F1.23.

F7.10 Item 8 (Fee). Reference is made to the explanations given under Form N° 1, item 14, Note F1.24.

F7.11 Item 9 (Additional Sheets and Attachments). This item seems to be self-explanatory.

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