

Roving Seminar on WIPO Services and Initiatives



London, United Kingdom April 30, 2018

PARALLEL PROGRAM A (BUSINESSES)

Protecting Your Intellectual Property At Home And Abroad



The Hague System: Introduction and Future Developments





Ms. Päivi Lähdesmäki Head, Development and Promotion Section The Hague Registry World Intellectual Property Organization (WIPO)

London, April 30, 2018

Hague System: A Simple But Timeless Concept

The centralized acquisition and maintenance of industrial design rights by filing a single international application for a single international registration with effect in one or more designated Contracting Parties

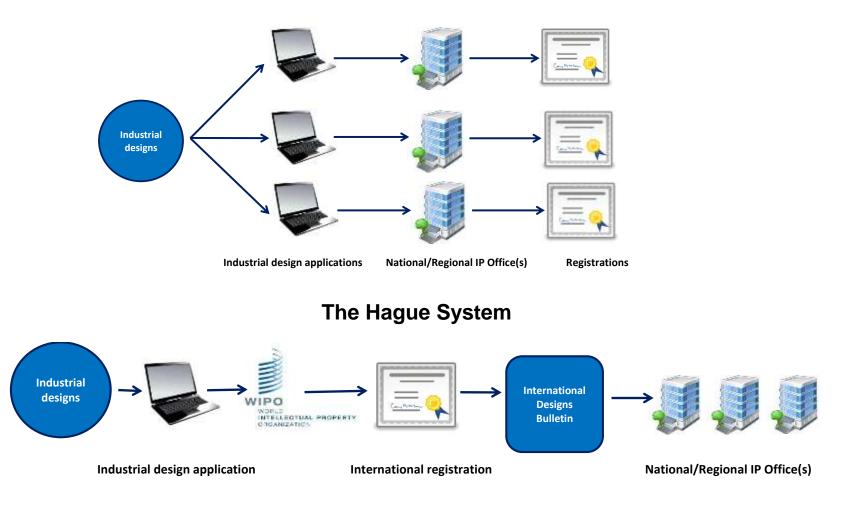


CTUAL PROPERTY

ANIZATION

Independent filings vs. Hague Route

Direct/Paris Route



Main Features of the Hague System



Simplicity

The Hague System enables holders to obtain protection for their designs with a minimum of formality



Cost-effectiveness

Payment of a single set of fees in one currency



Efficiency

Considerable facilitation of the subsequent management of the registration



Flexibility Right holders have more opportunities in targeting national, regional or global markets

What is the Hague System?

One to many relationships

• File a single international application for a single international registration in which one or more Contracting Parties are designated

"Bundle of rights"

 If no refusal, the resulting international registration has the <u>effect</u> of a grant of protection in each designated Contracting Party



The Hague System is a Procedural Arrangement

Issues such as:

the conditions for protection



the refusal procedure to be applied when deciding whether a design may be protected



the rights which result from protection

are governed by the law of each Contracting Party designated in an international registration

The International Application

In English, French or Spanish

May be filed directly with the International Bureau through the E-filing interface but also on paper

May comprise several different designs up to a maximum of 100 if they belong to the same class of the International Classification (Locarno)

One set of fees (in CHF) is to be paid



The Hague System Procedure: Role of the International Bureau



If the International Bureau finds that the international application does not fulfill the applicable requirements, it invites the applicant to make the required corrections within three months from the date of invitation sent by the International Bureau.

International registration has the same effect as a regularly-filed application in all designated Contracting Parties.

The Hague System Procedure (II)

Refusal by a designated Contracting Party

on same substantive grounds as for national/regional <u>filings</u>

must be communicated within time limit effect limited to territory of the member that has refused

International registration (where not refused)

no refusal = same rights as a local design registration a bundle of independent national/regional rights

advantages of central management

The Hague System Procedure (III)

Duration of protection: five years

Renewable at least twice

Longer renewal period, if allowed by the law of the designated Contracting Party

General Advantages of the Hague System

Hague System (international route)

<u>one</u> Office for filing <u>one</u> language <u>one</u> currency <u>one</u> international registration <u>one</u> renewal <u>one</u> modification foreign attorney or agent (first needed if refused)

National/regional route

<u>many</u> Offices for filing <u>many</u> languages <u>many</u> currencies <u>many</u> registrations <u>many</u> renewals <u>many</u> modifications foreign attorney or agent (first needed at filing)

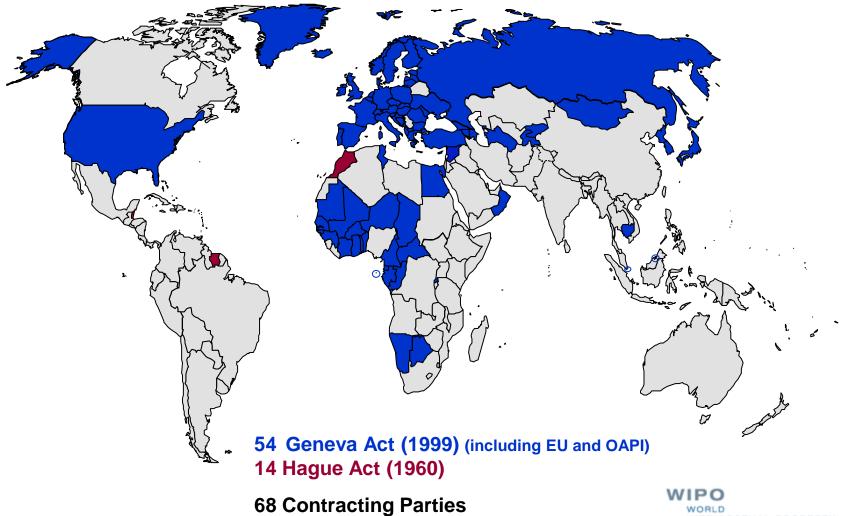




Going Global – Geographical Scope of the Hague System



Hague Union



Hague Union Members According to the Most Recent Applicable Act

Geneva Act (1999)

•African Intellectual Property Organization, Albania, Armenia, Azerbaijan, Bosnia and Herzegovina, Botswana, Brunei Darussalam, Bulgaria, Cambodia, Croatia, D.P.R. of Korea, Denmark, Egypt, Estonia, European Union, Finland, France, Georgia, Germany, Ghana, Hungary, Iceland, Japan, Kyrgyzstan, Latvia, Liechtenstein, Lithuania, Monaco, Mongolia, Montenegro, Namibia, Norway, Oman, Poland, Republic of Korea, Republic of Moldova, Romania, Russian Federation, Rwanda, Sao Tome and Principe, Serbia, Singapore, Slovenia, Spain, Syrian Arab Republic, Switzerland, Tajikistan, the former Y.R. of Macedonia, Tunisia, Turkey, Turkmenistan, Ukraine, United Kingdom* and the United States of America (54)

* The Geneva (1999) Act of the Hague Agreement Concerning the International Registration of Industrial Designs will come into force in respect of the United Kingdom on June 13, 2018.

Hague Act (1960)

•Belgium, Belize, Benin, Côte d'Ivoire, Gabon, Greece, Italy, Luxembourg, Mali, Morocco, Netherlands, Niger, Senegal and Suriname (14)



Geneva Act (1999)

Recent Accessions

Potential Accessions



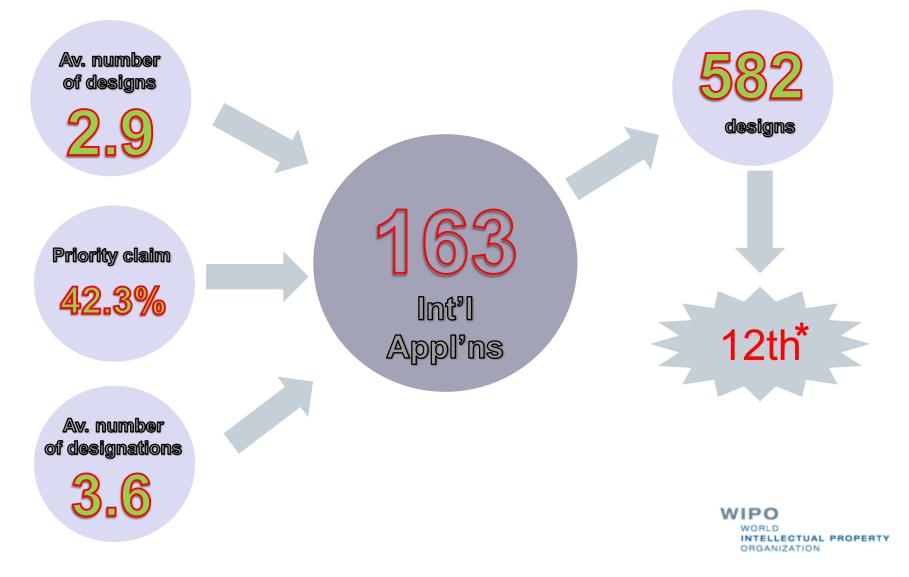
* The Geneva (1999) Act of the Hague Agreement Concerning the International Registration of Industrial Designs will come into force in respect of the United Kingdom on June 13, 2018.

Hague System : Current and Foreseen Coverage

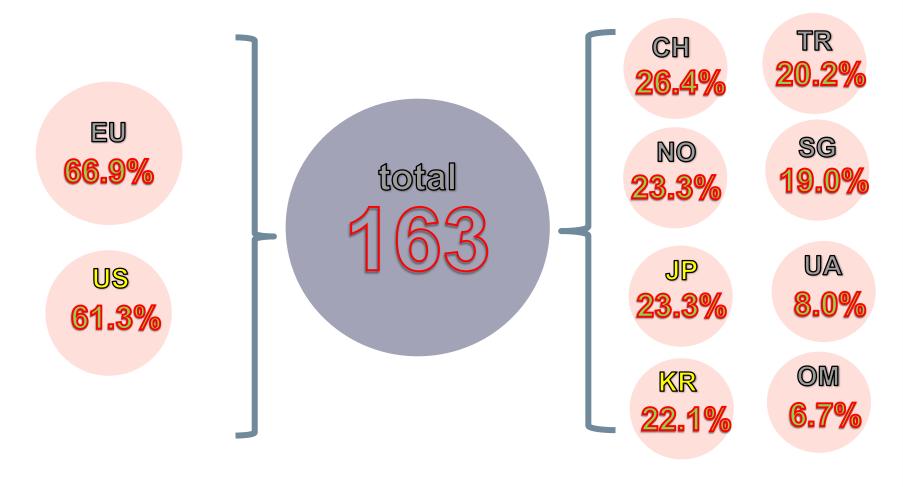


UK Filings in 2017-2018

(Jan.2017-Mar.2018)



Designations in 2017-2018 UK Filings: Top 10

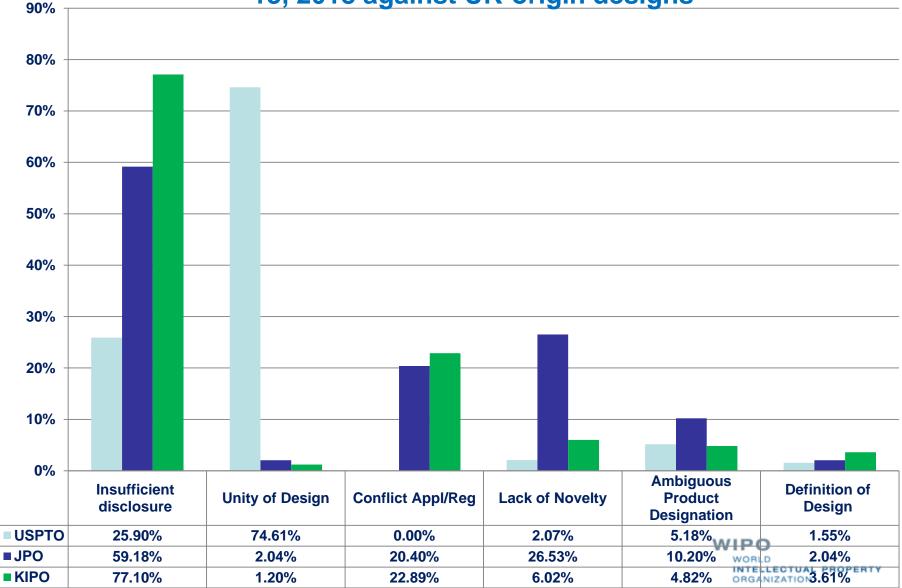


UK Filings in Examining Jurisdictions:

How Have They Been Faring?



USPTO, JPO & KIPO - Refusal Grounds Representative sample of refusal recorded up to March 13, 2018 against UK-origin designs



Source: Internal WIPO

WIPO's Reaction to Help Users

Intelligent **E-filing** system to keep it simple

- Prevention of omission or systemic mistakes
- Prepopulated declarations and claim
- Links to national websites for guidance
 - on prior art issues
 - the applicable level of fees (USPTO)
 - on related design practice (JPO and KIPO)



Guidance on Reproductions

Disclosure criteria may differ depending on jurisdiction. This new Guidance is a useful tool to help applicants forestall possible refusals on the ground of insufficient disclosure of an industrial design by Examining Offices.

Prepared in consultation with Examining Offices under the Hague System and several user organizations

Detailed guidance on how to prepare and provide reproductions to overcome the most common refusal issues

- Not enough views
- Unclear representations of the claimed design
- Unclear relief or contours of surfaces of a three-dimensional product
- Difference in form/color between the representations of the claimed design

Information on which guidance should be taken into account when designating specific Contracting Parties

Not self-sufficient or all inclusive

Guidance on Preparing and Providing Reproductions in Order to Forestall Possible Refusals on the Ground of Insufficient Disclosure of an Industrial Design by Examining Offices available at: http://www.wipo.int/edocs/hagdocs/en/2016/hague_2016_9.pdf

Hague Express Database

Hague Express

The Hague Express Database, updated weekly, includes bibliographical data and, as far as international registrations governed exclusively or partly by the 1999 and/or by the 1960 Act(s) of the Hague Agreement are concerned, reproductions of industrial designs relating to international

registrations that have been recorded in the International Register and published in the International Designs Bulletin as of issue No. 1/1999. International registrations that have lapsed are not removed from the database.

SEARCH BY	Design	Names Numbers Dates Cour	ntry	F	FILTE	R BY Des	ignation	Locarno Clas	Reg	Date × Co	ontracting P	arty ×	
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1	DM/046674	HYSEK JÖRG	1999-02-03	03-01	Etui pour montre	AN, EG, ES, ID, MA, TN, V	-1	
3	DM/082429	CARTIER CREATION STUDIO SA	2013-12-13	10-02	1. Watch: 2. Watch case; 3. Watch dial; 4. Watch: 5. Watch bracelet; 6. Watch dial; 7. Watch dial	CH,EM,SG,TR	8	6)
1	DM/083367	FRANCK MULLER WATCHLAND SA	2014-04-09	10-02	1. Montre-bracelet	EM,MC,SG	া	S.
9	DM/065362	SWATCH AG (SWATCH SA) (SWATCH LTD.)	2004-05-12	10-02	1. Montre-bracelet	BQ.CW,EG.ID,SX,TN,I	1	-
9	DM/073485	BÉDAT & CO SA	2010-03-26	10-02	Watch	BX,KP,CH,EM,LI,OA,S	1	-
	DM/073351	HUBLOT SA, GENÊVE	2010-03-18	10-02	Watch	CH,EM,SG	1	0
3	DM/073317	OMEGA SA (OMEGA AG) (OMEGA LTD.)	2010-02-12	10-02	Watch	BZ,MA,MC,ME,AL,AM,	1	:0)
9	DM/072570	ALEXIS BARTHELAY (SOCIÉTÉ ANONYME)	2009-10-20	10-02	Watch	MA,CH,EG,EM,OM,SG	1	

Global Design Database

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5	D0807718	USID	SUMTONO ELECTRIC HAROMETAL CORP	2018-01-18	08-03	US.008-420 Cutting tool	US	1	12-42
1	D0827764	USD	Shallcrost, Richard Scott	2018-01-16	10-64	US.D10-865 NPTAIN thread identification kit	US	1	
	D0807777	USD	Fibi, Inc.	2018-01-18	11-01	US D11-014. US D11-128 Receptade inset for a vesarable filness band system	US	1	01
1	E0807772	USD	EASYREAD TIME TEACHER LIMITED	2018-01-15	10-07	US D11-126 Cicci	US	1	\odot
	D0907795	USD	Brunowick Corporation	2018-01-16	12-16	USD12-159 Short absorbing hub assembly for a marine propulsion appointing to 2004-34	US	1	60
						03.009.434			

E-Filing Portfolio Manager

WIPO World Intellectual property organization	Contact us My account	English 🖕
	WIPO HAGUE	

Welcome ASTON88

Portfolio Status	File an interna	ational application	Sent application(s)	Unsent applicati	on(s)			
Number of application(s) sent:46Number of application(s) unsent:10	Application	n(s) sent to the Inter	national Bureau					
E-Filing Manager Menu			(1	of 5) 🛛 🖪 🤜	12345	I		
E-Filing Application E-Filing Communications (0 unread) E-Filing Tutorial		WIPO reference	Filing date \$	Applicant(s) \$	Locarno Class	Designation(s)	Status \$	A.R.
	1	WIPO4059	05/08/2016	Jack Smith	6	JP, KR, RS, SG, SN, SY, US	Examination	PDF
	2	WIPO4151	05/08/2016	New Designs SA	2	DE, ES, GH, JP, US	Registered	PDF
	3	WIPO4146	27/07/2016	Helen Williams	3	JP, KR, TR, UA, US	Abandonned	POF

Improvement of the E-Filing Interface



Receive and download notifications from the IB relating to international applications



Send corrections to irregularities or defects



Retrieve in real-time current status of IA

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Indication of access code obtained from the IP office of first filing, so that the IP office of the designated Contracting Party is able to access the priority document via the WIPO Digital Access Service (DAS).

New Hague Information Tools

New functionalities available at www.wipo.int/hague

Contact Hague Form

- 1. Single point of contact for users;
- 2. History

Hague Member Profiles Database

- 1. Compilation of data;
- 2. Search tool





Success Stories from the UK /



Thank You!

www.wipo.int/hague/en





Annex: Some Statistics



International Registrations - 2017



5,041 international registrations were inscribed containing 19,241 designs



3.66% decrease compared to the respective period in 2016 in the number of registrations



9.3% increase compared to the respective period in 2016 in the number of designs



International Applications - 2017



5,213 international applications were received containing 19,429 designs (max. 100 designs / application)



6.27 % decrease compared to the respective period in 2016 in the number of applications



3.8% growth compared to the respective period in 2016 in the number of designs



2017 - Five Most Popular Classes in International Registrations



Class 14 Recording, communication or information retrieval equipment 579 registrations (11.5%)



Class 12 Means of transport or hoisting 451 registrations (9.0%)



Class 6 Furnishing 368 registrations (7.3%)



Class 10

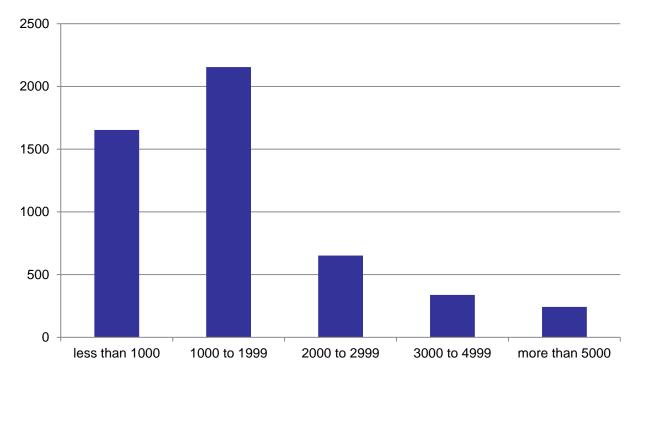
Clocks and watches and other measuring instruments, checking and signaling instruments

363 registrations (7.2%)



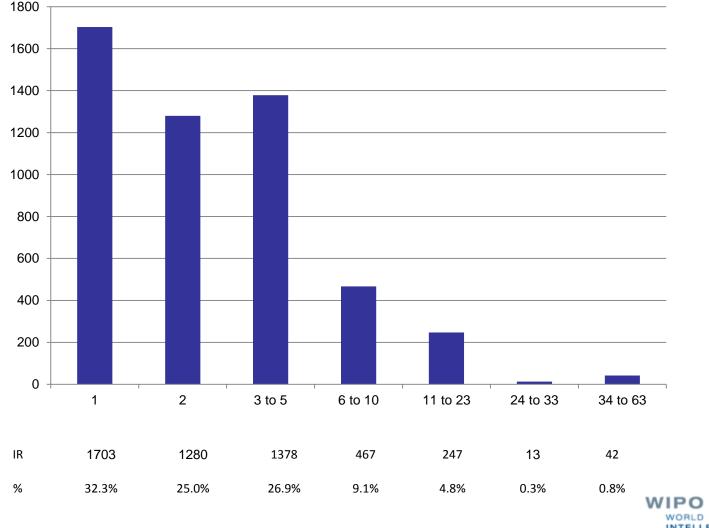
Class 26 Lighting apparatus 326 registrations (6.5%)

Amount of Fees Paid per International Registration (2017)

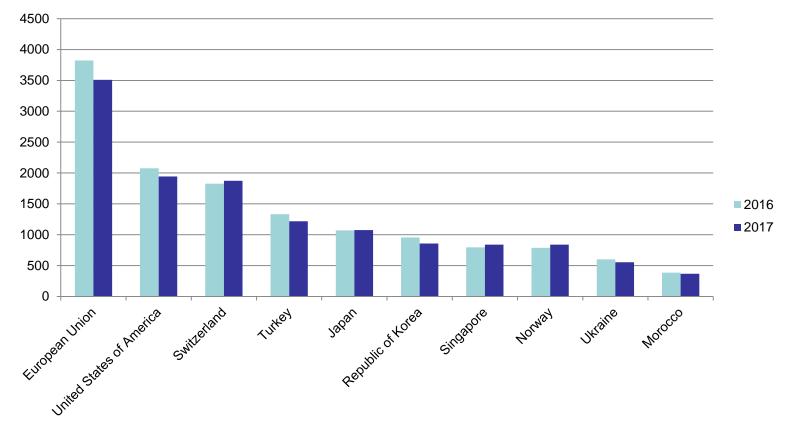


IR	1653	2154	652	339	243
%	33.8%	42.7%	12.9%	6.7%	4.8%

Designations in International Registrations (2017)



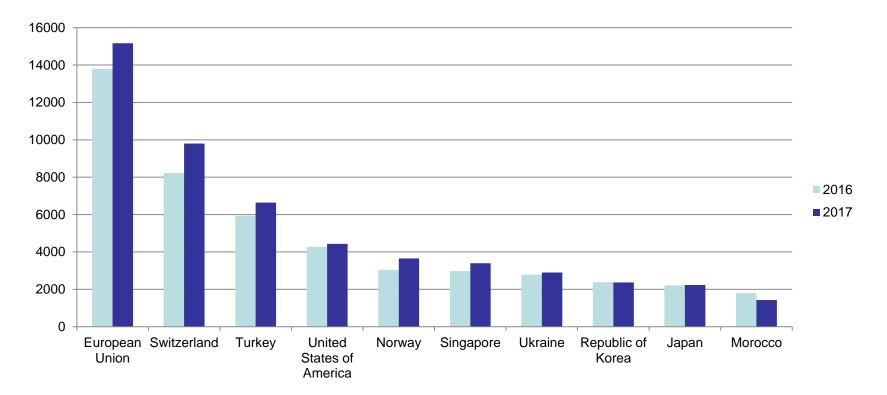
Most Designated Contracting Parties in 2017 (international registrations)



* Since the effective accession (May 13, 2015)

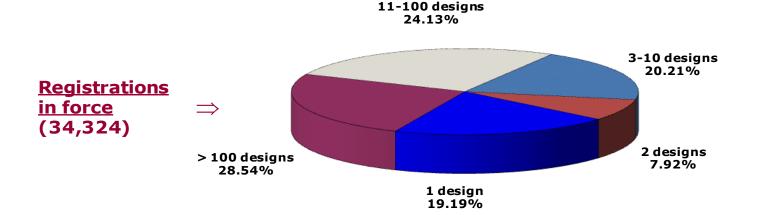


Most designated Contracting Parties in 2017 (number of designs recorded)

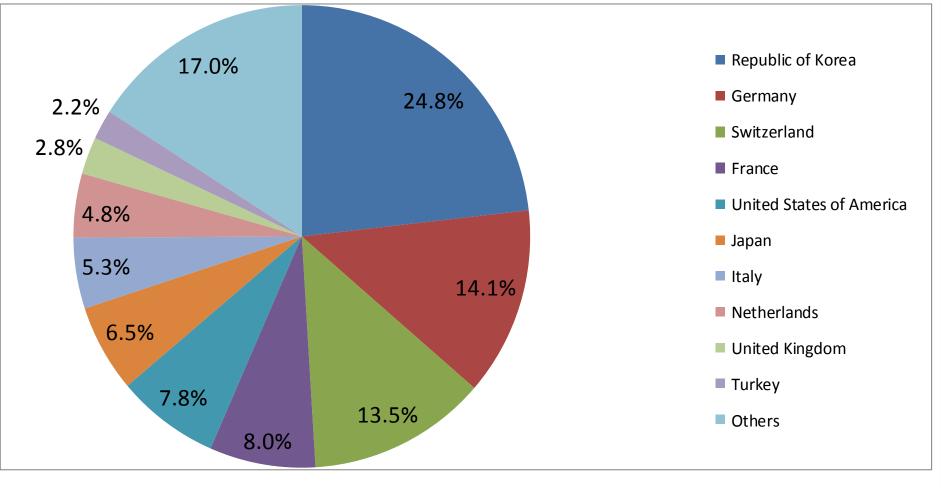


International Registrations in Force in the International Register (on December 31, 2017)

Industrial Designs	Industrial designs by right-holder	Number of right-holders	
<mark>Right-holders</mark> ⇒ (9,805)	1 design 2 designs 3-10 designs 11-100 designs > 100 designs	6558 1360 1498 328 31	67.19% 13.87% 15.28% 3.35% 0.32%
	All	9805	100.00%

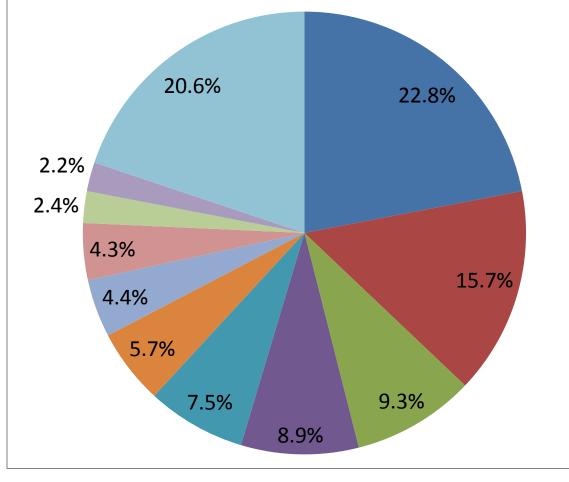


Origin of Filers of International Applications (by Country of Address of the Applicant) - 2017



Origin of Filers per Designs in International Applications

(by Country of Address of the Applicant) - 2017



- Germany
- Switzerland
- Republic of Korea
- United States of America
- France
- Italy
- Japan
- Netherlands
- Belgium
- Turkey
- Others

The Madrid System Introduction and Future Developments





Mr. Matthew Forno, Senior Counsellor, Madrid Information and Promotion Division, Madrid Registry, Brands and Designs Sector (BDS), WIPO

London, April 30, 2018

The Madrid System is Convenient

Access a centralized filing and management procedure

- File one application, in one language and pay one set of fees for protection in multiple markets
- Expand protection to new markets as your business strategy evolves



The Madrid System is Cost-Effective

- File an international application, which is the equivalent of a bundle of national applications, effectively saving time and money
 - Avoid paying for translations into multiple languages or working through the administrative procedures of multiple IP Offices



The Madrid System is Global

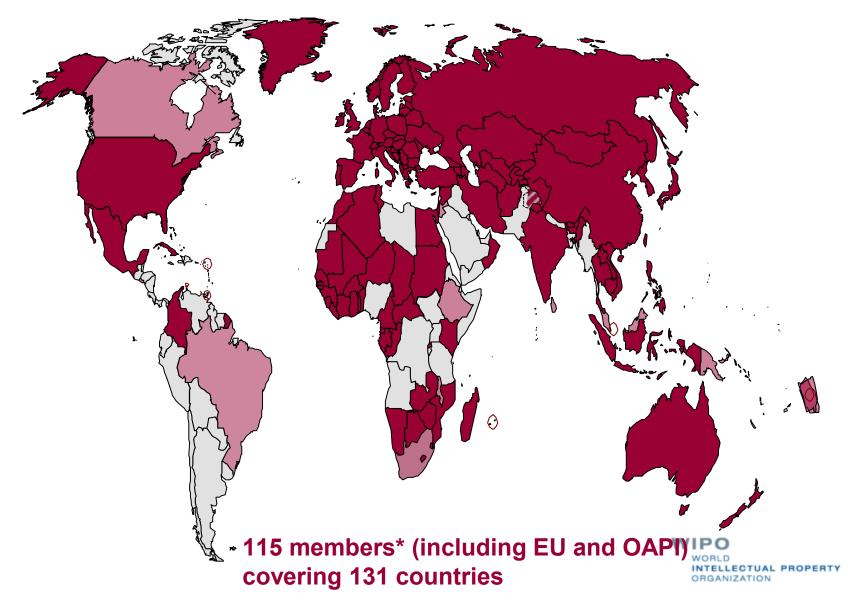
WIPO

RGANIZATION

LLECTUAL PROPERTY

- Currently: 117 countries covered by the 101 members
- Markets that represent more than 80% of world trade
 - Recent accessions include:
 - 2014: OAPI and Zimbabwe
 - 2015: Algeria, Cambodia, The Gambia and Lao People's Democratic Republic
 - 2016: Brunei Darussalam
 - 2017: Thailand, Indonesia
 - 2018: Islamic Republic of Afghanistan

Accession Outlook 2018/19



How the Madrid System Works

The International Trademark Registration Process





Application through your Office of origin

- To be entitled to use the Madrid System, you must:
 - Have a real and effective industrial or commercial establishment in, or
 - Be domiciled in, or
 - Be a national of a member of the Madrid System
- Before filing an international application, you need to have registered or filed an application (basic mark) in your Office of origin
- Submit an **international application** through this same IP Office, which will certify and forward it to WIPO





Formal examination by WIPO

- WIPO conducts a formalities examination
- Once requirements have been met, the mark is recorded in the International Register
- WIPO sends a certificate of international registration to the holder and notifies the IP Offices, of the designated Contracting Parties (dCP), in which protection is sought
 - The scope of protection is not known at this stage. It is only determined **after substantive examination** and decision by the IP Offices, as outlined in Stage 3



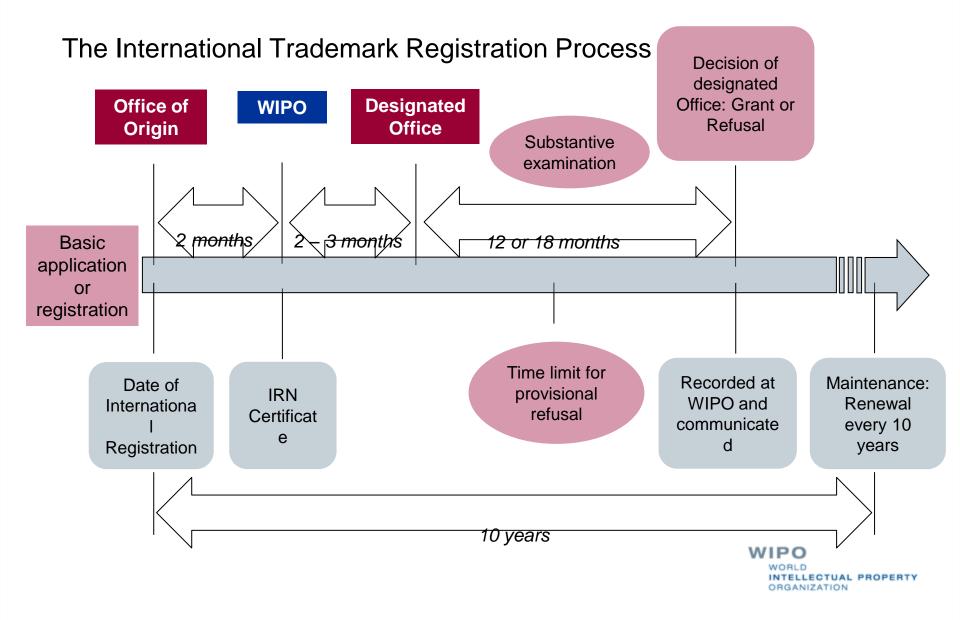


Substantive examination by IP Offices (Office of the dCP)

- IP Offices make a decision within 12 or 18 months in accordance with their legislation. WIPO records the decisions and notifies you
- If an IP Office refuses to protect your mark, it will not affect the decisions of other offices. You can contest a refusal decision before the IP Office concerned
- If an IP Office accepts to protect your mark, it will issue statement of grant of protection
- The international registration is valid for 10 years. Renew directly with WIPO with effect in the dCPs



Timeline





Fees are payable to WIPO in Swiss francs

Basic fee*

- 653 Swiss francs b/w reproduction of mark
- 903 Swiss francs color reproduction of mark

Fees for designated Contracting Parties (dCP)

Standard fees – complementary (100 Swiss francs per dCP) and supplementary (100 Swiss francs per class beyond 3) OR

Individual fees where this is declared

* Applicants from Least Developed Countries benefit from a 90% reduction in the basic fee





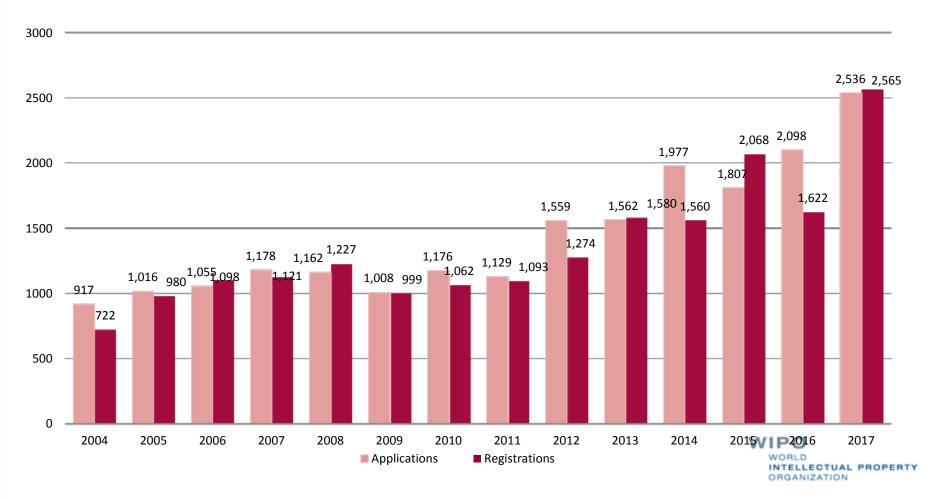
International Registrations

Average Number of Designations	6.7	
Average Number of Classes	2.47	
Average Fee	CHF 2,968	
All Fees	70% < CHF 3,000	

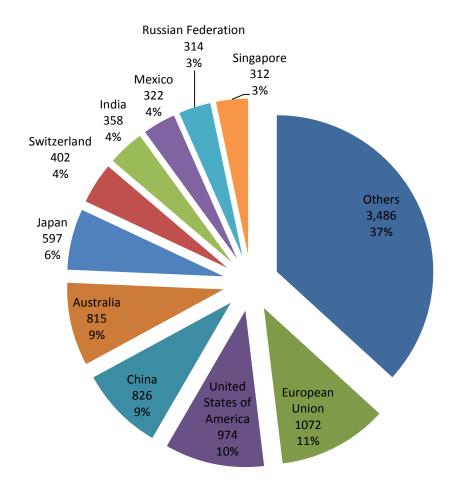


International Applications and Registrations: United Kingdom

International Applications and Registrations by Office of Origin: UK



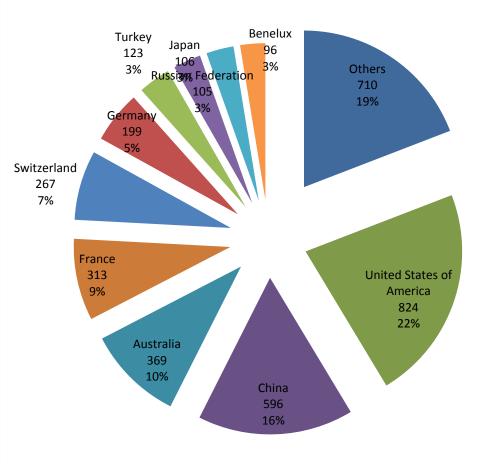
Top Designated Contracting Parties: UK Holders



Designations in international registrations & subsequent designations by DCPs, Country of Holder: United Kingdom (2016)



Designations of United Kingdom by Country of Holder



Designations of United Kingdom in international registrations & subsequent designations by Country of Holder (2016)

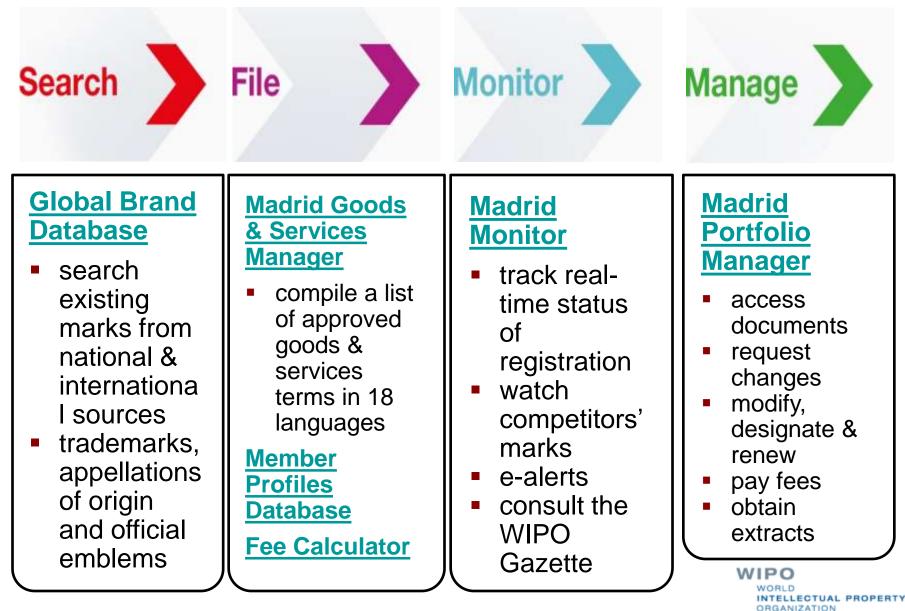


Website and E-Services

- The Madrid Website provides information on how to search before filing, file an application, monitor and manage registrations, and how to pay fees.
- Madrid E-Services are available to assist users at each stage of their mark's lifecycle.



E-Services



Recent Developments

- Accession of Islamic Republic of Afghanistan
- Rule Changes in the Common Regulations
- Classification Guidelines
- WIPO Current Account
- <u>Madrid Monitor</u> integrates <u>ROMARIN</u> (the <u>WIPO Gazette</u>, <u>Madrid</u> <u>E-Alert</u> and <u>Real-time Status</u>
- Member Profiles Database
- Contact Madrid service (online form) Nov. 1, 2017
- Madrid System webinars



Classification Guidelines

Purpose – to decrease irregularities

Describes WIPO classification practices

Divided into three sections:



- General information Nice Classification and Madrid
- Classification principles applied by WIPO
- Practical information on the acceptable format to list indications of goods and services



WIPO Current Account Changes

- No minimum number of transactions
- Initial payment of CHF 2,000
- Minimum balance notification sent to users if balance is less than CHF 200
- A form to open the account available on the website
- Email address required
- Account statement sent by email only



NEW – Contact Madrid



Single point of contact



Standardized input data

Mandatory fields Input fields allow better understanding of needs



Quick & automated distribution to relevant team Speedy processing of requests

Keep Updated on the Madrid System

- Visit the Madrid Website <u>www.wipo.int/madrid/en</u>
- Register to free Madrid Webinars
- Subscribe to <u>Madrid Notices</u>, our legal and news updates
- Sign up for <u>Madrid Highlights</u>





Thank you for your attention

matthew.forno@wipo.int



Introduction to the Patent Cooperation Treaty (PCT)





Mr. Michael Richardson, Director, PCT Business Development Division, PCT Legal and International Affairs Department, Patents and Technology Sector (PTS), WIPO

London, April 30, 2018



WHY INTERNATIONAL PROTECTION?

International Patent Protection

- Attract investors
- Increase bargaining power
- Strengthen your market position
- Licensing opportunities







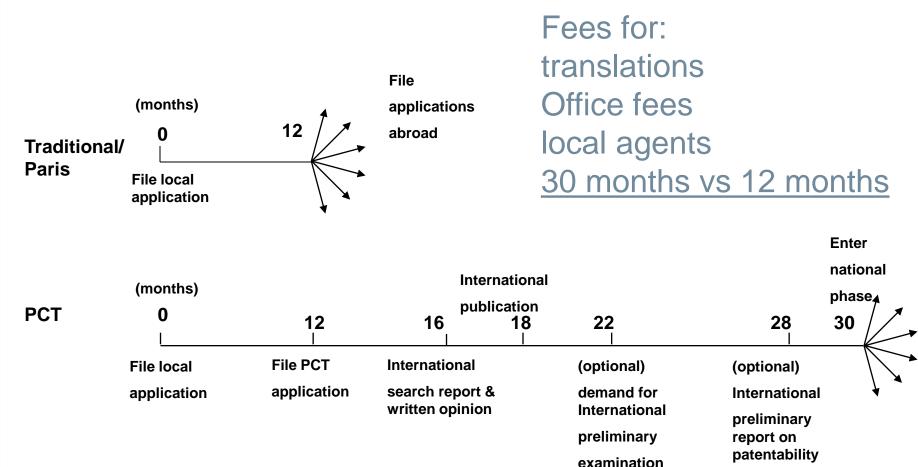


Questions

- Do you <u>really</u> know whether your invention is new and patentable?
- Do you <u>really</u> know whether there is a market?
- Do you <u>really</u> know where that market could be?
- Do you have a realistic strategy to develop that market?
- Including enough consideration of design and branding?
- Do you have the capacity or partners to finalize a product and supply the market?

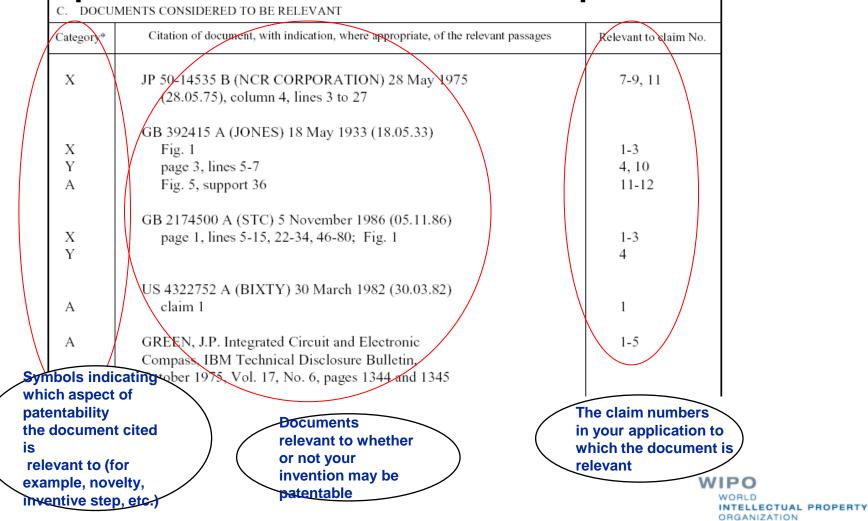


Postpone Costs



Strong Basis for Patenting Decisions

Example: PCT International Search Report



Summary of Advantages

Not an international patent, but (for most) a safer and more efficient route into the international patent system

Postpone costs

- Strong basis for patenting decisions
- Opportunity for centralized amendments
- Protection from certain inadvertent errors
- Harmonizes formal requirements
- Can result (if PCT reports are positive) in accelerated national phase processing

Key Messages

- Study the market properly
- Get professional assistance early
- Find good partners
- But don't disclose your invention before filing your application without a non-disclosure agreement
- Think about the effect of branding and appearance as well as technical functionality
- Consider the differences in markets worldwide

Use the PCT if it helps you to do this effectively

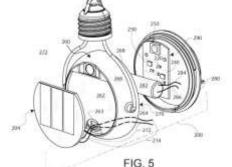
PCT TESTIMONIALS

Testimonial: Start-up

Nokero (produces solar-powered lights which replace kerosene lamps and candles used in developing and least-developed countries--it has so far distributed over 1.4 million lights in 120 countries and won a United States Patent and Trademark Office's Patents for Humanity Award)

"When it comes to patenting, because we operate in so many different markets, we use WIPO's Patent Cooperation Treaty (PCT). Every start-up has limited funds and <u>the PCT is a great mechanism for delaying</u> patent filing costs, allowing time to test the market and overcome any unforeseen technical problems. Without the PCT, protecting an invention in international markets would be a high-risk strategy with huge upfront costs."





Source: WIPO Magazine, February 2016

Testimonial: Inventor

Professor Shuji Nakamura—co-winner of the 2014 Nobel Prize for Physics for his work on blue LED technology



"... The PCT is critical for these early stage technologies because <u>it gives us the opportunity to protect our patents</u> <u>globally while allowing the market and the technology to</u> <u>mature further</u> before determining which countries might be most valuable to commercial partners."



Testimonial: Large Company

Qualcomm:

- Started in 1985 with 7 people
- Today more than 170 offices in more than 40 countries, and 33,000 employees
- \$25.3 billion in revenue in FY 2015
- <u>#5 user of PCT in 2017: 2,163 PCT applications published</u>



"Over the past 25 years, Qualcomm has been one of the largest users of the PCT system. To date we have filed more than 9,000 patent applications. International patent applications are important to the protection of innovations around the globe. The PCT helps put innovation into practice by providing a simple and cost-effective way to file international patent applications. The PCT is critical for Qualcomm because we are, above all, an innovation company....[PCT] has been a vital partner in the success of our company and the growth of the wireless industry."

> WIPO WORLD INTELLECTUAL PROPERTY ORGANIZATION

CEO Paul Jacobs, 2011



RECENT AND FUTURE DEVELOPMENTS

PCT Changes from July 2017

National Offices <u>required</u> to provide national phase entry information (Rules 86 and 95)

better information on worldwide scope of protection

- Receiving Offices required to forward search and classification information from priority applications (Rules 12*bis*, 23*bis* & 41)
 - Intended to improve work-sharing
 - Some exceptions



Future Developments

Further improvements to electronic filing and processing

- Don't use fax!
- Better integrated payment systems
- Integration with patent management systems
- Color drawings
- Full text applications
- Continued efforts towards quality of international search
- IP5 collaborative search and examination
- Fee reductions for universities?



More Information

www.wipo.int/pct/en

- Applicant's Guide
- Monthly Newsletter
- Videos
- Distance learning course
- Webinars
- Seminar calendar

