

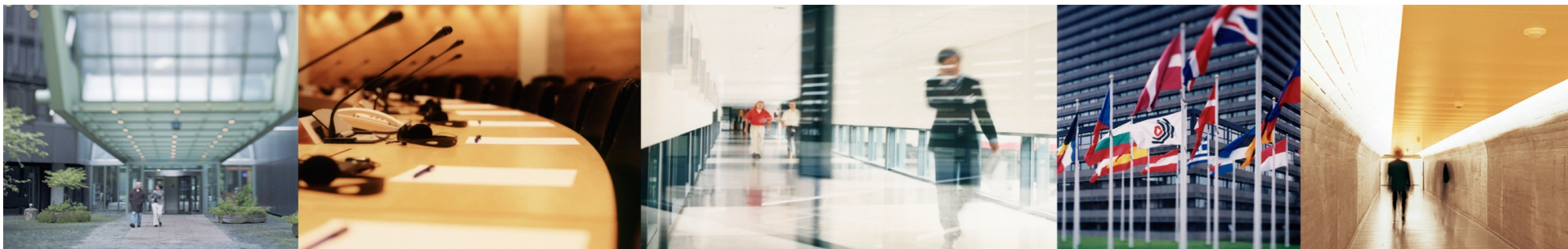


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WIPO Sub-regional Workshop for Patent Examiners on the Utilization of Examination Results of other Intellectual Property Offices

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Competitive Intelligence

competitive intelligence is the action of defining, gathering, analyzing, and distributing [intelligence](#) about products, customers, competitors and any aspect of the environment needed to support executives and managers in making strategic decisions for an organization.

Competitive Intelligence

- Competitive intelligence is an ethical and legal business practice, as opposed to industrial espionage which is illegal
- The focus is on the external business environment
- There is a process involved in gathering information, converting it into intelligence and then utilizing this in business decision making

Intelligence

Intelligence assessment is the development of forecasts of behaviour or recommended courses of action to the leadership of an organization, based on a wide range of available information sources both overt and covert. Assessments are developed in response to requirements declared by the leadership in order to inform decision making. .

Search Report - message, but for whom?

- Applicant
- Attorney
- Third Parties
- Public

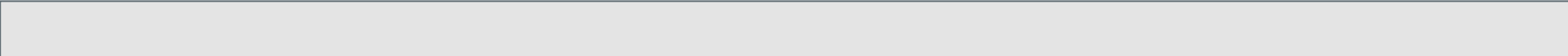
Search Report - when?

A1 Publication

Application Number
 EP 99 20 3729

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
A	EP 0 680 812 A (BOER BEHEER NIJMEGEN BV DE) 8 November 1995 (1995-11-08) * the whole document *	1, 10, 11	B2885/02 B2887/00 B2881/29
A	NL 9 400 663 A (BOER BEHEER NIJMEGEN BV DE) 1 December 1995 (1995-12-01) * the whole document *	1, 3	
A	DE 35 46 191 A (NETZSCH MASCHINENFABRIK) 2 July 1987 (1987-07-02) * the whole document *	1-3, 8	

A3 Publication



Search Report - where?

- **Espace**net
- European Patent Register

Search Report - content

X	WO 01/28189 A1 (SIEMENS AG) 19 April 2001 (19.04.2001), page 2, lines 22-33, page 3, lines 8-36, page 4, lines 19-25	1-20
X	US 5896575 A (HIGGINBOTHAM et al.) 20 April 1999 (20.04.1999), abstract; column 2, lines 15-53; fig. 1	1-20
X	US 5584054 A (TYNESKI et al.) 10 December 1996 (10.12.1996), abstract, column 1, line 38 - column 2, line 48, figs. 1 and 2	1-20
X	WO 97/41677 A1 (ERICSSON INC) 06 November 1997 (06.11.1997), abstract; summary; page 6, line 11 - page 7, line 2; figs. 1-3	1-20

Search Report - content

A	WO 03/042804 A1 (MYORIGO OY) 22 May 2003 (22.05.2003), the whole document	1-20
A	US 2004/0021681 A1 (LIAO) 05 February 2004 (05.02.2004), the whole document	1-20

Search Report - content

Y		5-7, 14-16
	* abstract; figures 1-14 *	
	* paragraphs [0001], [0007], [0012], [0014] - [0016], [0022] - [0024], [0029] - [0031], [0033], [0040] - [0043], [0047], [0049], [0050], [0056], [0057]	
	*	

Y	US 2002/118168 A1 (HINCKLEY KENNETH P [US] ET AL) 29 August 2002 (2002-08-29)	5-7, 14-16
	* abstract; figures 1-4 *	
	* paragraphs [0002], [0010], [0011], [0022] - [0026], [0029], [0031] - [0033]	
	*	

Search Report Content

- X: novelty
- Y: inventive step
- A: technological background
- P: intermediate doc
- E: published after filing date
- D: cited in the application
- T: underlying theory
- O: non-written disclosure
- L: other reasons
- &: corresponding doc



**SUPPLEMENTARY
EUROPEAN SEARCH REPORT**

Application Number
EP 02 76 9780

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (IPC)
X	GERKEN G M ET AL: "Temporal integration of electrical stimulation of auditory nuclei in normal-hearing and hearing-impaired cat", HEARING RESEARCH, ELSEVIER SCIENCE PUBLISHERS, AMSTERDAM, NL, vol. 53, no. 1, 1 May 1991 (1991-05-01), pages 101-112, XP024394826, ISSN: 0378-5955, DOI: DOI:10.1016/0378-5955(91)90217-W [retrieved on 1991-05-01] * the whole document * -----	1,30	INV. A61N1/36 A61F11/04
			TECHNICAL FIELDS SEARCHED (IPC) A61N
The supplementary search report has been based on the last set of claims valid and available at the start of the search.			
1	Place of search The Hague	Date of completion of the search 1 March 2011	Examiner Loveniers, Kris
CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document	

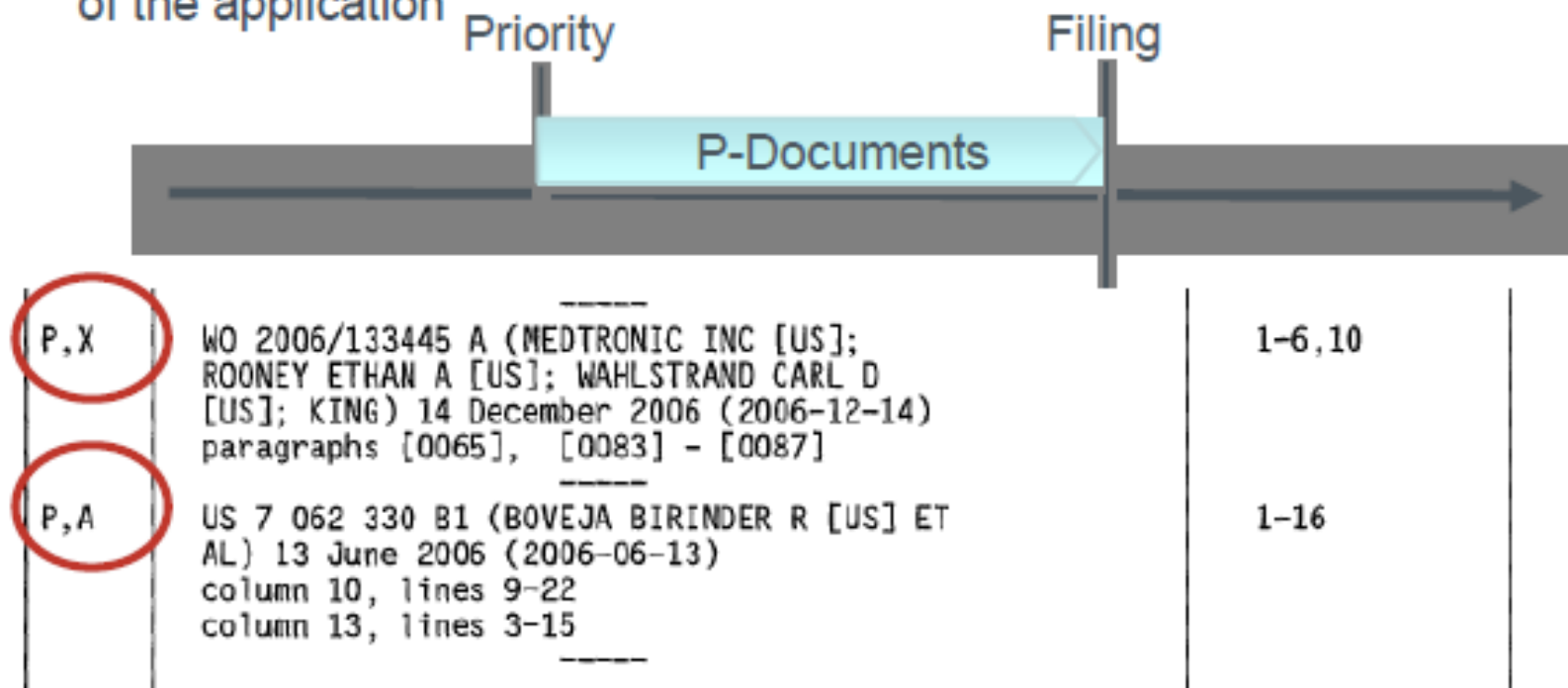
"&" documents

- Document member of the same family as other cited doc
e.g. referring to an abstract of a cited document when the abstract alone is not enough; usually for less accessible languages

X	<p><u>TW 572 378 Y</u> (QUANTA COMPUTER INC) 11 January 2004 (2004-01-11)</p> <p><u>abstract</u> & <u>US 2004/263399 A1</u> (LIN HUEI ET AL) 30 December 2004 (2004-12-30) the whole document</p>	1-10, 13-18, 20-30
X	<p><u>PATENT ABSTRACTS OF JAPAN</u> vol. 2000, no. 26, 1 July 2002 (2002-07-01)</p> <p>& <u>JP 2001 244715 A</u> (SONY CORP), 7 September 2001 (2001-09-07) the whole document</p>	1-10, 13-18, 20-30

"P" documents

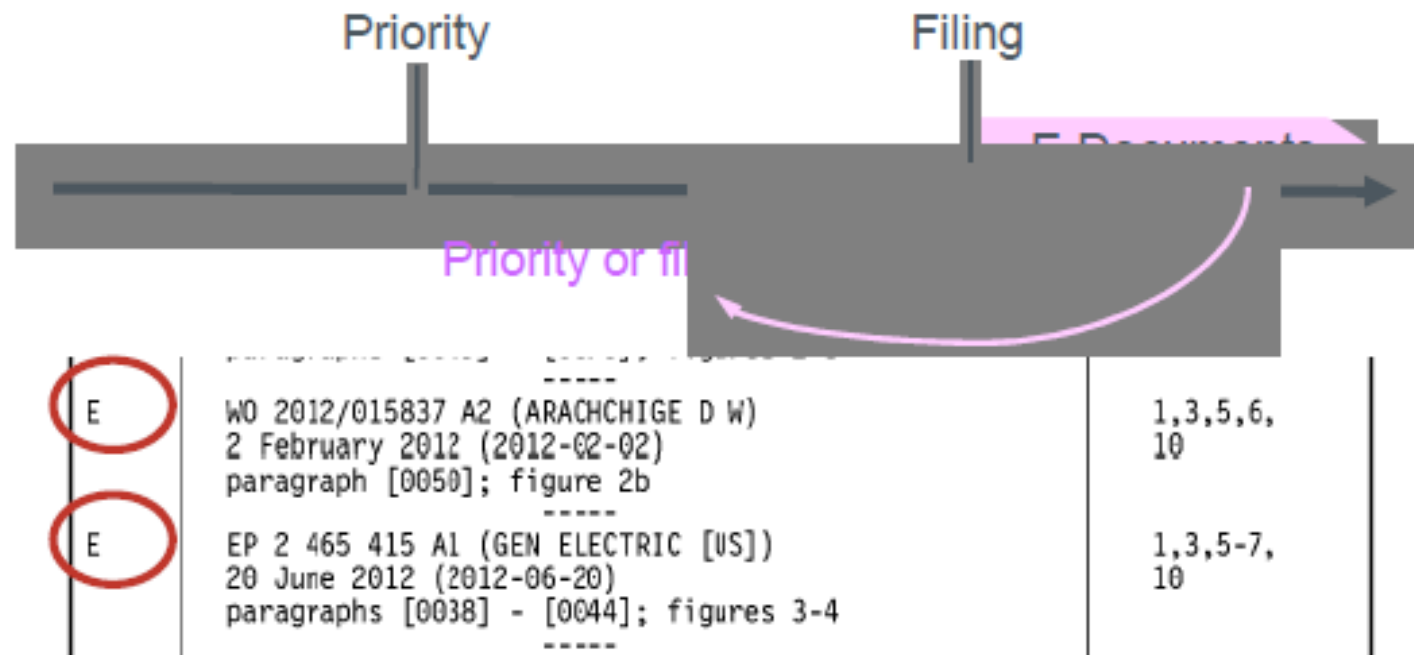
- Documents published **after the priority date but before the date of filing** of the application



- Can become relevant e.g. if the claimed priority date proofs not to be valid

"E" documents

- Documents published **after the filing date** of the application but
 - with filing or priority date prior to the filing date of application and
 - containing novelty destroying subject-matter



- Can become relevant for novelty ([Art. 54\(3\) EPC](#))

Other documents

- "D" documents: cited in the application
- "T" documents:
 - published too late but
 - giving a good explanation of the theory underlying the claimed invention and/or
 - showing that reasoning underlying the claimed invention is incorrect
- "O" documents:
 - refer to an oral disclosure
 - both date of oral disclosure and the date of publication of the written report should be mentioned.

Summary

- when familiar with a SR, it provides you a quick way of retrieving information about other possibly very relevant documents
- It is published as A1, A3 (or A4)
- Different codes (X, Y, A, ...) exist to indicate the relevance of the cited documents
- a supplementary SR (A4) means that another search authority has performed the search in the PCT phase ◊ check also the international search report
- a partial SR means that not everything is searched;
◊ for what and why, see sheets B and C

Broccoli Case



<https://register.epo.org/application?number=EP99915886>