

# 1. Overview of AIPN

- 2. Access to Dossier Information
- 3. Access to Patent Family and Legal Status
- 4. Demonstration
- 5. Exercises

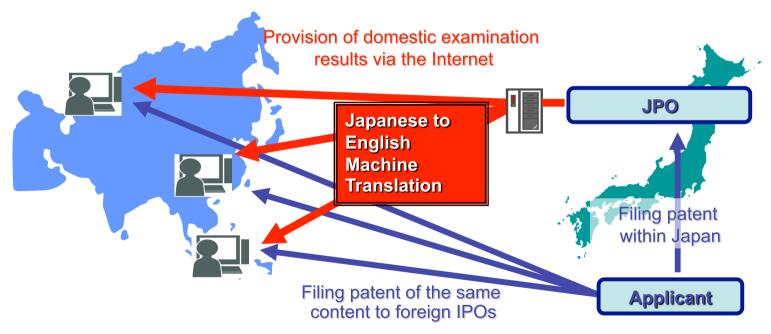


- AIPN is the Web based Service only for Examiners in the Foreign IP Offices.
- AIPN provides Dossier Information and Legal status of applications examined by JPO.
- Almost all Information is translated into English by Japanese-English Machine Translation.
- AIPN is the Useful Tool for Utilizing Examination Results done by JPO.



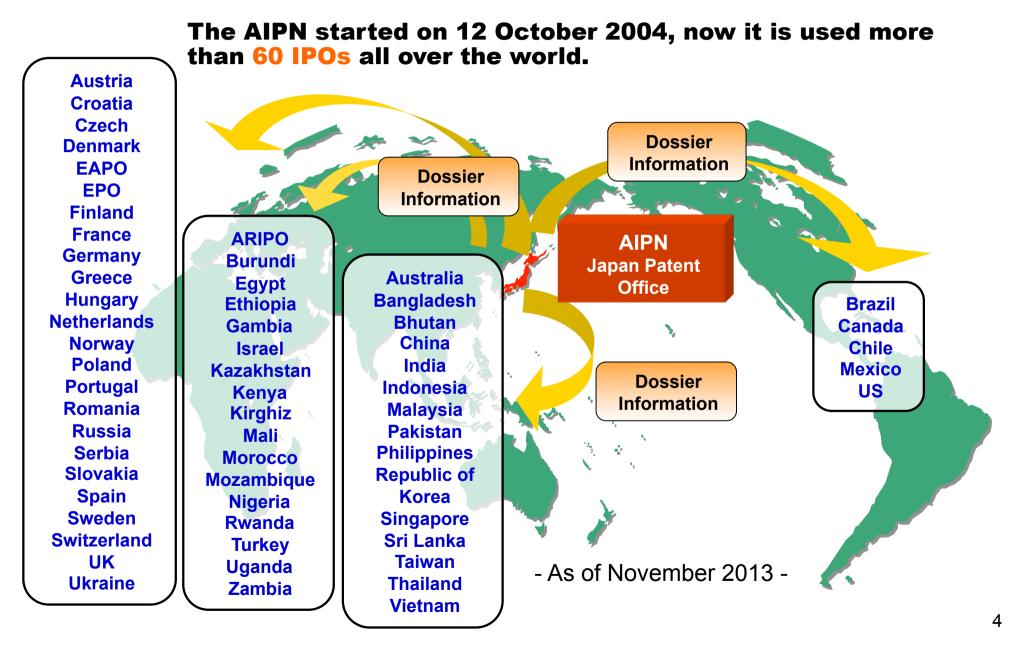
Advanced Industrial Property <u>Network (AIPN)</u> Provision of Japanese application information Providing JPO examination results to foreign IPOs in English
(1) Reduction of examination workload in foreign IPOs
(2) An aid for applicants' smooth obtainment of IP rights

#### **AIPN: Advanced Industrial Property Network**



#### **Registered IPOs**







Dossier Information

- Office Actions and other File Wrapper Information
- Legal Status of the Application and Prosecution history
- Cited Documents / Patent Family Information





### File Wrapper Information

# $\rightarrow$ available after the publication or register of the application

Legal Status, Cited Documents

→ twice a month

# Patent Family

 $\rightarrow$  irregularly updated





Access and input ID and password:



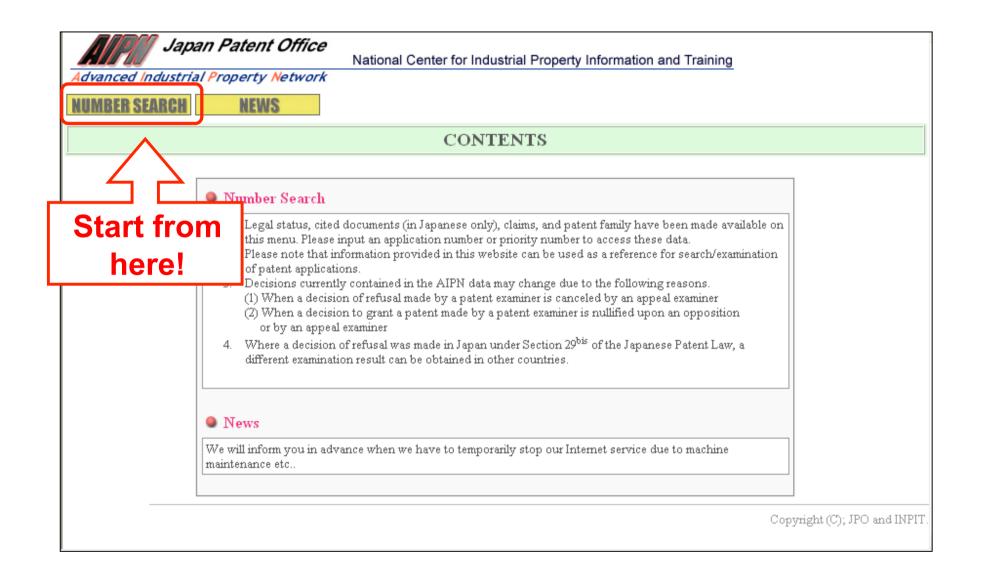
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	Number Search	
Search from JAPAN Type Document Number	ESE Application/Priority/Publication/Patent Number Patent Application/Priority Number e.g. Application/Priority Number : 2001-123456 or H13-123456 A, U, B, Y : 2001-123456 or H13-123456	<b>Search from JP No.</b> Application No. Priority No. Publication No. Patent No.
	A1 : WO1998-123456 or WO98-123456 U, B, Y : 3234567 • File Wrapper Information O Patent Family tent Family" when you search by PCT international publication number a pper information is not directly accessible.	5
Application Number Priority Number	R Application/Priority Number e.g. : US-2003-668357, WO-1998-US012345	Search from No. of Foreign Document Application No. Priority No.
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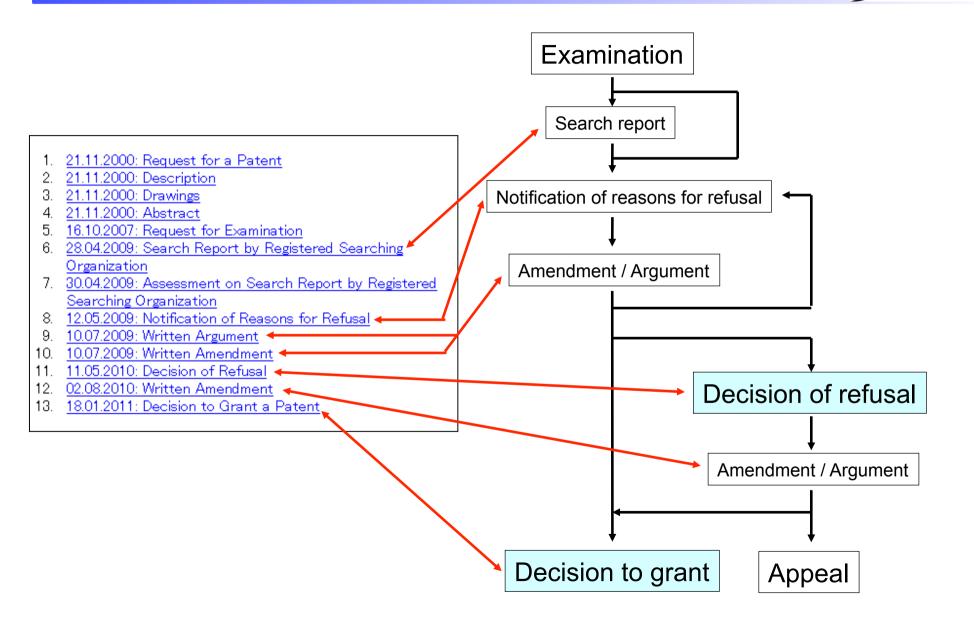
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Application Number Priority Number	
	e.g. : US-2003-668357, WO-1998-US012345

#### **Result – Document List**



ENGLISH       JAPANESE       MISTRANSLATION       FEEDBACK         Note: Japanese environment is required to properly display Japanese characters.       You must install and use a TIFF image plug-in on your system in order to view image files directly.
Patent application number 2003–123456
File Wrapper Document List – Click document title to display contents. The dates in the list are shown in the format of "dd.mm.yyyy"
<ul> <li>Application data and Transaction history</li> </ul>
<ol> <li><u>28.04.2003: Request for a Patent</u></li> <li><u>28.04.2003: Description</u></li> <li><u>28.04.2003: Drawings</u></li> <li><u>28.04.2003: Abstract</u></li> </ol>
<ul> <li><u>:</u>: Certified or Appended Information</li> <li><u>14.02.2005: Request for Examination</u></li> <li><u>:</u>: Certified or Appended Information</li> </ul>
<ol> <li><u>28.09.2007: Search Report by Registered Searching Organization</u></li> <li><u>01.10.2007: Assessment on Search Report by Registered Searching Organization</u></li> <li><u>09.10.2007: Notification of Reasons for Refusal</u></li> </ol>
9. <u>05.12.2007: Written Withdrawal of Application</u> <u> : Certified or Appended Information</u>
 [Translation done.]

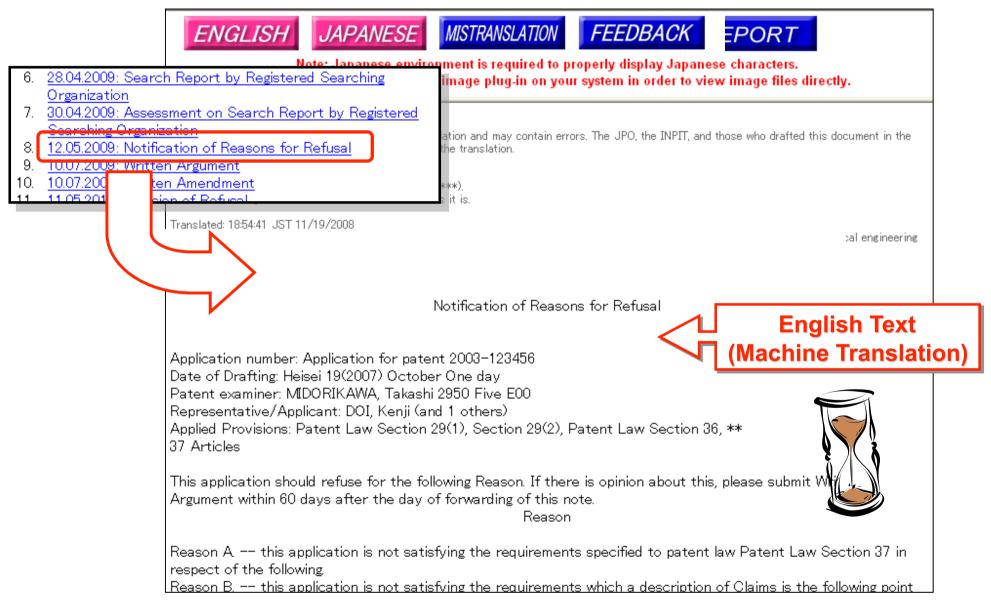
#### **File Wrapper Information and Examination Procedure**



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#### **Example of a Retrieved Document**





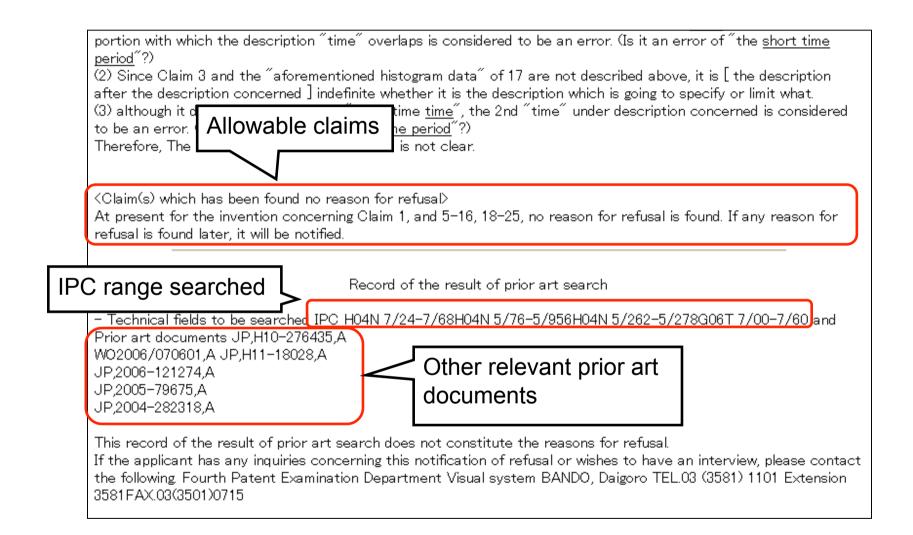
#### **Notification of Reasons for Refusal (1)**



2 The claimed invention(s) in the ear Patent Law Article 29 (1)(iii) for the re	Article number 29(1)(iii): Novelty	cation should not be granted a patent under the provision of s/have been deemed to be identical with the invention(s)					
	ilable to the public through electr	he public through electric telecommunication lines in Japan cation should not be granted a patent under the provision of have easily been made by persons who have common on the basis of the invention(s) described in the distributed elecommunication lines in Japan or other foreign countries					
	s objected to	cuments etc." for cited documents etc.)					
Reason: Detecting a motion vector to all using the subregion which is a part of object block is described in 2, 3 Cited document: 1 or 2 Remarks:cited document 1, and 2. Refer to 0017-0018 of cited document 2, such as 0032 to 0033 paragraph of cited document 1, and Fig3, 0029 to 0033 paragraph, Fig2, and Fig5 etc in particular ("a representation block" of cited document 1 and "the pattern of a representative point" of cited document 2 correspond to "subregion" of an application concerned).							
1. JP,2005-167852,A 2. JP,H6-14316,A	Cited documents	Reasoned statement					

#### **Notification of Reasons for Refusal (2)**







- 29(1) Statutory inventions / industrial applicability
- 29(1)(iii) Novelty
- 29(2) Inventive step
- 29bis Secret prior art
- 39 Double patent
- 36(6)(ii) Clarity of claims
- 36(6)(i) Support requirement
- 36(4)(i) Enablement requirement
- 37 Unity of inventions
- 17bis(iii) New matters in amendment

#### **Major Document Categories**



- X Document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- Y Document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
  - Y1 Most relevant Y-category document
  - ➤Y2 Document combined with Y1
- A Document defining the general state of the art which is not considered to be of particular relevance
- E Earlier application or patent but published on or after the international filing date



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#### **Retrieving Patent Family Information**



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	) Search from JAPAN	ESE Application/Priority/Publication/Patent Number
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#### Patent Family & Legal Status Window



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	Searche	ed Number :	2003-12345	56 Legal status, (	Cited documents				
	Patent Fam	ily							
	No. Kii	nd Publica	ation No.	Publ. Date	Application No.	Appl. Date	Related	Information	L
	1 A	. <u>JP 200</u> 4	4-164561	10.06.2004	JP 2003-012345	6 28.04.2003	al s	Detent Er	
	2 F.	<u>US 7</u>	014374	21.03.2006	US 2003-067012	25.09.2003		Расенс га	mily List
	3 A	1 US 200	4218209	04.11.2004	US 2003-067012	25.09.2003			
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ſ	Cited D	oruments							
	No.	Kind	Docume	ent No.	Patent Family				
	1	А	<u>JP 07-9</u>	98637	Patent Family			Cited D	oumonto
	2	A	<u>JP 2000-</u>	-20275	Patent Family				ocuments
	3	A	<u>JP 05-1</u>	27850	Patent Family			~	

## **Document View (PAJ)**



Cited	Documents					
No.	Kind	Document No.	Patent Family			
1	A	JP 07-98637	Patent Family	Japanese Full Doci	ument	
2	A	<u>JP 2000-20275</u>	Patent Family	an manager and manager	anny gane	
3	A	TD of 127850	Patent Family			
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			PAT	ENT ABSTRACT	IS OF JAPAN	
				(11)Publication number :	2004-164561	
				(43)Date of publication of app	plication : 10.06.2004	
		(51)Int.CI.		G06F 3/12 B41J 29/00		
				B41J 29/38		
				B41J 29/42		
				H04N 5/76		
		(21)Application	n number : 2003-123456	(71)Applicant :	SEIKO EP SON CORP	
		(22)Date of filin	ng: <b>28.04.2003</b>	(72)Inventor :	HAMAGUCHI YOSHIHARU TORIGOE YUSUKE	
		20ND via vitas				
		(30)Priority Priority number	r : 2002279423 Priority date : 2	25 09 2002 Priority country	7 · IP	=
		(37)Abstract: PROBLEM TO can perform rec without setup of switching repro be connected. SOLUTION: A	TUS AND METHOD FOR REPRO BE SOLVED: To provide an appar ording processing for communica of reproduction conditions and ac oduction conditions using commu- setting value table 23 is stored in are set in the setting value table 2	ratus and a method for reprod ation information from externs quire appropriate printing ou mication protocols of an exter an EEPROM 15, and printing	al devices tput by mal device to	8

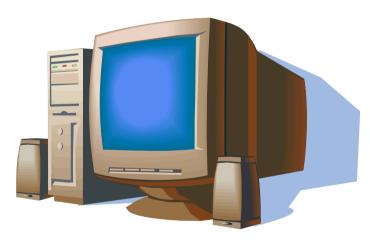


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# ◆ JP 2003-309194 (application number)



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#### **Exercises**



### Let's try to get information of applications below!

- (1) JP 2002-183822 (application number)
- (2) JP 2002-575840 (application number)
- Check point
  - Is the application granted or refused?
  - What reasons for refusal (article numbers) are notified?
  - How many patent families are there?
  - How many prior art documents are actually used in Notification of reasons for refusal?

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# (1) JP 2002-183822

- Refused.
- Article 29(2) (Inventive step) is notified.
- 3 patent families (JP 2004-30094, US 6856754, US 2004005139)
- 7 prior art documents are used (JP 2000-341627, etc.)

# (2) JP 2002-575840

- Granted (after once refused).
- Article 29(1) Statutory inventions), 36(6)(ii) (Clarity of claims), 29bis (Secret prior art), 29(2) (Inventive step) are notified.
- 8 patent families (JP 4297193, JP 4239591, JP 2008-217831, etc.)
- 5 prior art documents are used (Appl. JP 2001-34870, etc.)





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# Thank you for your attention!