

## *Topic 7:*

# *Interpreting and Utilizing Search and Examination Reports (ISRs, WOs, IPERs, etc.)*

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**Aug.2014**

**JAPAN PATENT OFFICE**

- **I**nternational **S**earch **R**eport (ISR)
- **W**ritten **O**pinion of the **I**nternational **S**earching **A**uthority (WOISA)  
(International Preliminary Report on Patentability (Chapter I) (IPRP(I)))

*International Searching Authority (ISA)*

- **I**nternational **P**reliminary **E**xamination **R**eport (IPER)  
(International Preliminary Report on Patentability (Chapter II) (IPRP(II)))

*International Preliminary Examining Authority (IPEA)*

## ■ ISR

- The result of the international search are recorded in the International Search Report.
- The Search Report is published by the International Bureau.
- The Search Report serves as a basis for any examination of the International application by the designated Offices.

(PCT ISPE guidelines 16.01)

## ■ WOISA

- Its primary role is to identify whether or not the claimed invention appears to be novel, involve an inventive step (be non-obvious) and be industrially applicable.

(PCT ISPE guidelines 17.02)

## ■ IPER

- Assuming that international preliminary examination is demanded, an IPER is drawn up at the end of the process of examination, taking into account amendments or observations that the applicant has made during the process.

(PCT ISPE guidelines 17.08)

- **I**nternational **S**earch **R**eport (ISR)
- **W**ritten **O**pinion of the **I**nternational **S**earching **A**uthority (WOISA)  
(International Preliminary Report on Patentability (Chapter I) (IPRP(I)))  
  
International **S**earching **A**uthority (ISA)
- **I**nternational **P**reliminary **E**xamination **R**eport (IPER)  
(International Preliminary Report on Patentability (Chapter II) (IPRP(II)))  
  
International **P**reliminary **E**xamining **A**uthority(IPEA)

## ■ Front Page

### ➤ Basic information

- International application number
- International Filing Date
- Earliest Priority Date
- Name of the applicant

<b>Applicant's or agent's file reference</b> 5 6 7 8 H	<b>FOR FURTHER ACTION</b>	see Form PCT/ISA/220 as well as, where applicable, item 5 below.
<b>International application No.</b> PCT/JP2013/999999	<b>International filing date</b> ( <i>day/month/year</i> ) 01 . 02 . 2013	<b>(Earliest) Priority Date</b> ( <i>day/month/year</i> ) 01 . 02 . 2012
<b>Applicant</b> PATENT CORPORATION		

- Basis of the Report
- Certain claims were found unsearchable
- Unity of invention is lacking

1. **Basis of the report**

a. With regard to the **language**, the international search was carried out on the basis of:

the international application in the language in which it was filed.

a translation of the international application into \_\_\_\_\_ which is the language of  
a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).

b.  This international search report has been established taking into account the **rectification of an obvious mistake** authorized by or notified to this Authority under Rule 91 (Rule 43.6bis(a)).

c.  With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, see Box No. I

2.  **Certain claims were found unsearchable** (see Box No. II).

3.  **Unity of invention is lacking** (see Box No. III).

- Observation where certain claims were found unsearchable.
  - The international application relates to a subject matter which the ISA is not required to search (Article 17(2)(a)(i))
    - Scientific and mathematical theories
    - Plant or animal varieties or essentially biological processes for the production of plants and animals, other than microbiological processes and the products of such processes
    - Schemes, rules or methods of doing business, performing purely mental acts or playing games
    - Methods for treatment of the human or animal body by surgery or therapy, as well as diagnostic methods
    - Mere presentation of information
    - Computer programs to the extent that the ISA is not equipped to search prior art concerning such programs
  - The description, the claims or the drawings fail to comply with the prescribed requirements to such an extent that a meaningful search cannot be carried out. (Article 17(2)(a)(ii))

## ■ Observation where certain claims were found unsearchable.

### Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: 8

because they relate to subject matter not required to be searched by this Authority, namely:

The subject matter of claim 8 relates to a method of doing business, which does not require an international search by the International Searching Authority in accordance with PCT Article 17(2) (a) (i) and Rule 39.1(iii).

2.  Claims Nos.:

because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3.  Claims Nos.:

because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).



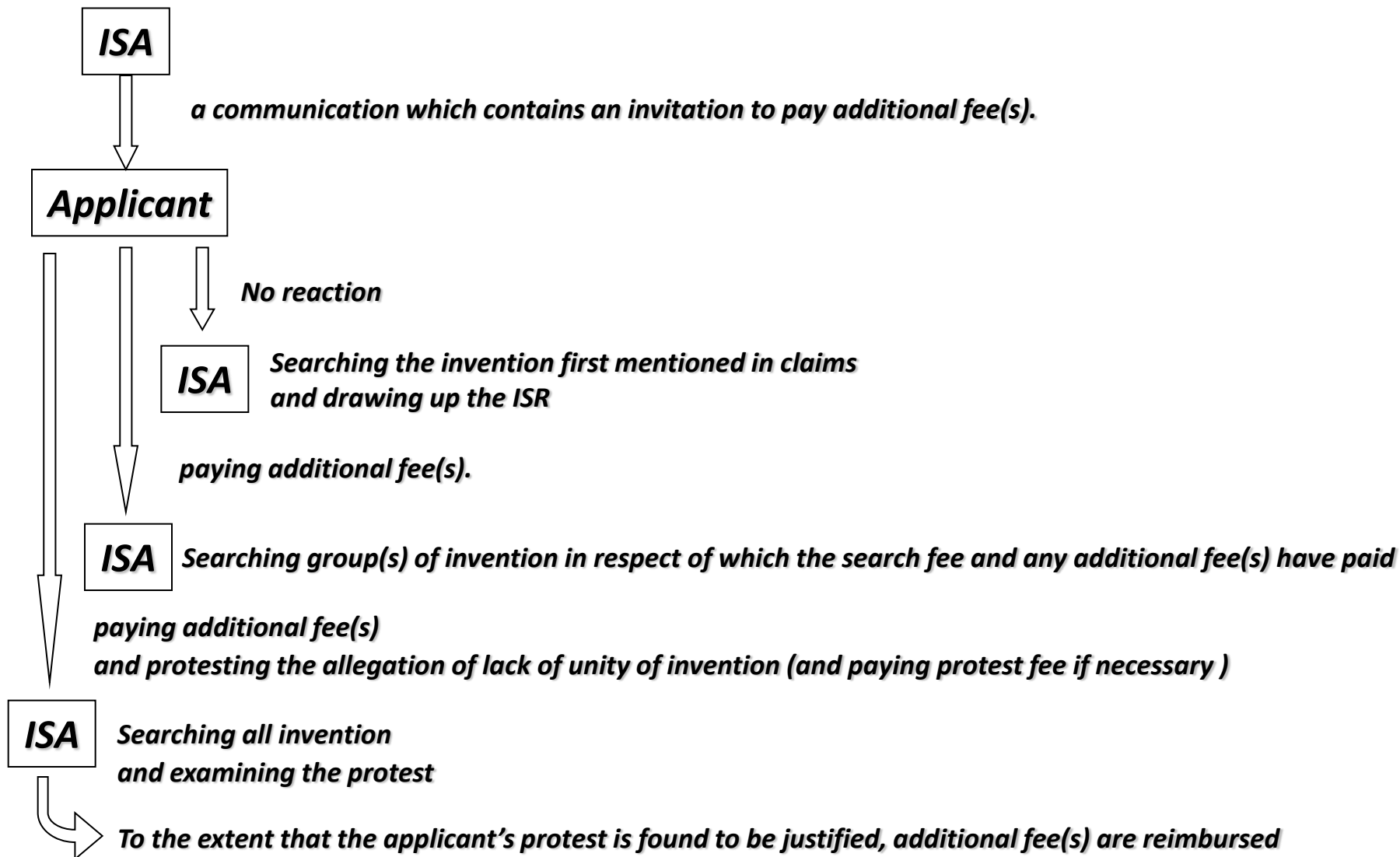
## ■ Lack of Unity of Invention

- The international application shall relate to one invention only or to a group of inventions so linked as to form a single general inventive concept ("requirement of unity of invention").
- If the ISA considers that the international application does not comply with the requirement of unity of invention, it shall invite the applicant to pay additional fees.

## ■ Example

- Claim1 : A process of manufacture comprising step **A** and **B**
- Claim2 : Apparatus specifically designed for carrying out step **A**
- Claim3 : Apparatus specifically designed for carrying out step **B**

- If the ISA considers that the international application does not comply with the requirement of unity of invention.



## ■ Observation where unity of invention lacking

This International Searching Authority found multiple inventions in this international application, as follows:

D1 (JP 987654 A) discloses an apparatus including "A". Therefore, claim 1 lack novelty over D1 and involves no special technical features. Thus there are 2 inventions in the claims of this application.

Note that claim1, which involves no special technical features, is grouped into invention 1.

(invention 1) claim 1-7, 9-15

(invention 2) claim 16-20

**Basis**

- As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
- As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
- As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
- No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Applicant's  
Reaction**

### Remark on Protest

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.

**Remark of  
Protest**

- Classification and Fields searched
- Minimum documentation Searched
- Documentation Searched Other than Minimum Documentation
- Electronic Database Consulted

*Not the same*

## A. CLASSIFICATION OF SUBJECT MATTER

Int.Cl. G06Q50/00 (2012.01) i

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

Int.Cl. G06Q50/00, G06Q10/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Published examined utility model applications of Japan 1922-1996  
Published unexamined utility model applications of Japan 1971-2005  
Registered utility model specifications of Japan 1996-2005  
Published registered utility model applications of Japan 1994-2005

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

CA (STN), [?root?\*74/SX]

## ■ Documentation

- Citation category, citation of the document, identification of relevant claim numbers

## ■ Citation of the Documentation

- WIPO Standard ST.14

## ■ Patent Family

- Sign &

C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	JP 2010-987654 A (PCT SYSTEM CORP)	1-7
Y	2010.10.07, paragraphs [0026]-[0030]	9-13
A	& US 6543210 A, column 5, lines 5-30	14-20
	<b>Patent Family</b>	
Y	JP 2009-111111 A (INDUSTRIAL PROPERTY INC) 2009.09.28, Claim 1, Figure 1	9-13
	& WO 2007/222222 A1	

## ■ Category Code

- X
- Y
- A
- E
- O
- P
- L

(WIPO Standards ST.14 <http://www.wipo.int/standards/en/pdf/03-14-01.pdf>)

C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	JP 2010-987654 A (PCT SYSTEM CORP)	1-7
Y	2010.10.07, paragraphs [0026]-[0030]	9-13
A	& US 6543210 A, column 5, lines 5-30	14-20
Y	JP 2009-111111 A (INDUSTRIAL PROPERTY INC) 2009.09.28, Claim 1, Figure 1 & WO 2007/222222 A1	9-13

## ■ Relevant to claim numbers

### ➤ Relationship Between Documentation and Claims

- Each citation should include a reference to the claims to which it relates.
- It is also possible for the same document to represent a different category with the respect to different claims.

#### C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	JP 2010-987654 A (PCT SYSTEM CORP)	1-7
Y	2010.10.07, paragraphs [0026]-[0030]	9-13
A	& US 6543210 A, column 5, lines 5-30	14-20
Y	JP 2009-111111 A (INDUSTRIAL PROPERTY INC) 2009.09.28, Claim 1, Figure 1 & WO 2007/222222 A1	9-13

## ■ Patent Family

<i>Cited document</i>	<i>Publication date</i>	<i>Patent Family</i>
JP 2010-987654 A	2010.10.07	US 6543210 A
JP 2009-111111 A	2009.09.28	WO 2007/222222 A1
US 0123456 B1	2013.02.15	DE 1123456 A1 FR 2123456 A1
JP 4321567 B2	2006.02.20	Family: none
JP 5-222222 U	1993.07.01	Family: none



- International Search Report (ISR)
- **W**ritten **O**pinion of the **I**nternational **S**earching **A**uthority (WOISA)  
(International Preliminary Report on Patentability (Chapter I) (IPRP(I)))

International Searching Authority (ISA)

- International Preliminary Examination Report (IPER)  
(International Preliminary Report on Patentability (Chapter II) (IPRP(II)))

International Preliminary Examining Authority (IPEA)

## ■ Front Page

### ➤ Basic information

- The international application number
- Name of the applicant
- The international filing date
- The claimed priority date

Applicant's or agent's file reference 5 6 7 8 H		<b>FOR FURTHER ACTION</b> See paragraph 2 below	
International application No. PCT/JP2013/999999	International filing date (day/month/year) 01.02.2013	Priority date (day/month/year) 01.02.2012	
International Patent Classification (IPC) or both national classification and IPC Int.Cl. G06Q50/00 (2012.01) i			
Applicant PATENT CORPORATION			

## ■ Basis of the Written Opinion

Box No. I	Basis of this opinion
1.	<p>With regard to the <b>language</b>, this opinion has been established on the basis of:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> the international application in the language in which it was filed.</li><li><input type="checkbox"/> a translation of the international application into _____ which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).</li></ul>

## ■ Priority

***We need to consider  
whether or not priority claim  
is valid.***

### Box No. II      Priority

1.  The validity of the priority claim has not been considered because the International Searching Authority does not have in its possession a copy of the earlier application whose priority has been claimed or, where required, a translation of that earlier application. This opinion has nevertheless been established on the assumption that the relevant date (Rules 43*bis*.1 and 64.1) is the claimed priority date.
2.  This opinion has been established as if no priority had been claimed due to the fact that the priority claim has been found invalid (Rules 43*bis*.1 and 64.1). Thus for the purposes of this opinion, the international filing date indicated above is considered to be the relevant date.
3. Additional observations, if necessary:

## ■ Non-Establishment of Opinion

### Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non obvious), or to be industrially applicable have not been examined in respect of:

the entire international application.

claims Nos. 8

because:

the said international application, or the said claims Nos. 8  
relate to the following subject matter which does not require an international search (*specify*):

The subject matter of claim 8 relates to a method of doing business, which does not require an international search by the International Searching Authority in accordance with PCT Article 17(2) (a) (i) and Rule 39.1(iii).

the description, claims or drawings (indicate particular elements below) or said claims Nos. \_\_\_\_\_  
are so unclear that no meaningful opinion could be formed (*specify*):

the claims, or said claims Nos. \_\_\_\_\_ are so inadequately supported  
by the description that no meaningful opinion could be formed (*specify*):

## ■ Lack of Unity of Invention

**Box No. IV Lack of unity of invention**

1.  In response to the invitation (Form PCT/ISA/206) to pay additional fees the applicant has, within the applicable time limit:

- paid additional fees.
- paid additional fees under protest and, where applicable, the protest fee.
- paid additional fees under protest but the applicable protest fee was not paid.
- not paid additional fees.

***Applicant's  
Reaction***

2.  This Authority found that the requirement of unity of invention is not complied with and chose not to invite the applicant to pay additional fees.

3. This Authority considers that the requirement of unity of invention in accordance with Rule 13.1, 13.2 and 13.3 is

- complied with.
- not complied with for the following reasons:

D1 (JP 987654 A) discloses an apparatus including "A". Therefore, claim 1 lack novelty over D1 and involves no special technical features. Thus there are 2 inventions in the claims of this application.  
Note that claim1, which involves no special technical features, is grouped into invention 1.

(invention 1) claim 1-7, 9-15

(invention 2) claim 16-20

***Basis***

4. Consequently, this opinion has been established in respect of the following parts of the international application:

- all parts.
- the parts relating to claims Nos. 1-7, 9-20

***Result***

## Reasoned Statement and Citations

**Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Statement

Novelty (N)	Claims	9-20	YES
	Claims	1-7	NO
Inventive step (IS)	Claims	14-20	YES
	Claims	1-7, 9-13	NO
Industrial applicability (IA)	Claims	1-7, 9-20	YES
	Claims		NO

	N	IS	IA
Claims 1-7	No	No	Yes
Claims 9-13	Yes	No	Yes
Claims 14-20	Yes	Yes	Yes

## 2. Citations and explanations:

- D1: JP 2010-987654 A (PCT SYSTEM CORP)  
2010.10.07, paragraphs [0026]-[0030]  
& US 6543210 A, column 5, lines 5-30
- D2: JP 2009-111111 A (INDUSTRIAL PROPERTY INC)  
2009.09.28, Claim 1, Figure 1  
& WO 2007/222222 A1
- D3: JP 4321567 B2 (PATEMARU COMPANY)  
2006.02.20, the whole document  
(Family: none)
- D4: Microfilm of the specification and drawings annexed  
to the written application of Japanese Utility Model  
Application No. 222222/1992 (Laid-open No. 111111/1993)  
(UTILITY MODEL INC),  
1993.07.01, the whole document,  
(Family: none)

***citations***

The subject matters of claim 1-7 are not novel and do not involve an inventive step in view of D1 (see paragraph [0026]-[0030], figure 7) cited in the ISR.

The subject matters of claim 9-13 do not appear to involve an inventive step in view of D1 and D2 (see claim 1, figure 1) cited in the ISR. Employing the feature [...A...] disclosed in D2 to the invention of D1 in order to constitute the present invention would have been easily conceived by the person skilled in the art.

The subject matters of claim 14-20 are neither disclosed in any of the documents cited in the ISR nor obvious to a person skilled in the art. None of the prior art documents cited in the ISR describes [...B...], and it was not obvious for the person skilled in the art to employ that the technical feature [...B...], which presents advantageous effects in that [...C...].

***explanations***



## ■ Certain Defects in the International Application

- In the case where defects exist **in the form or contents** of the international application.

### **Box No. VII    Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

The term [...D...] used in the description (page 12, lines 12) is not academic term.

## ■ Certain Observations on the International Application

- the **clarity** of the claims, the description, and the drawing.
- The question whether the claims are fully **supported** by the description.

### Box No. VIII Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

The feature of claim 7 is not referred to in the description. Therefore, claim 7 is not supported by the description as required by Article 6.

Fig.1 is unclear. That is, it does not adequately indicate the invention of claim 7.

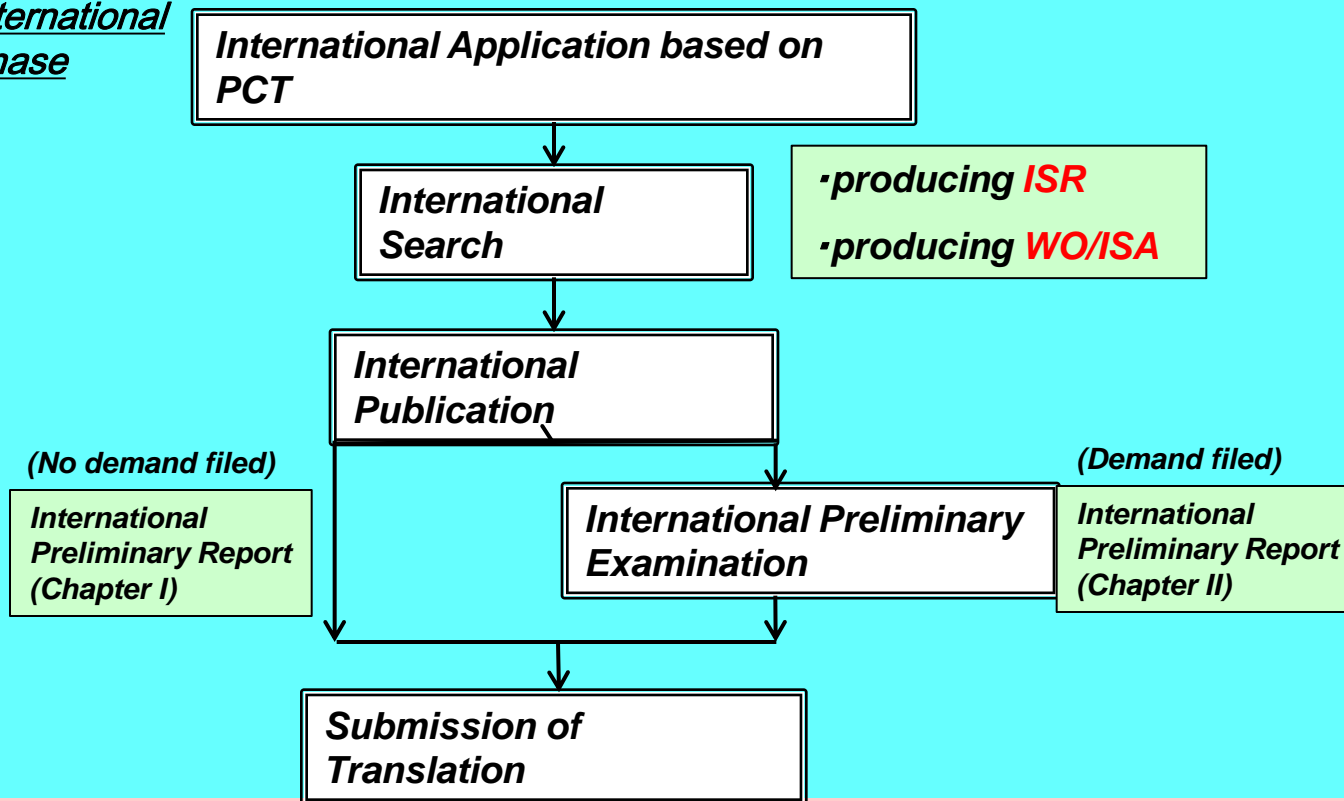
- International Search Report (ISR)
- Written Opinion of the International Searching Authority (WOISA)  
(International Preliminary Report on Patentability (Chapter I) (IPRP(I)))

International Searching Authority (ISA)

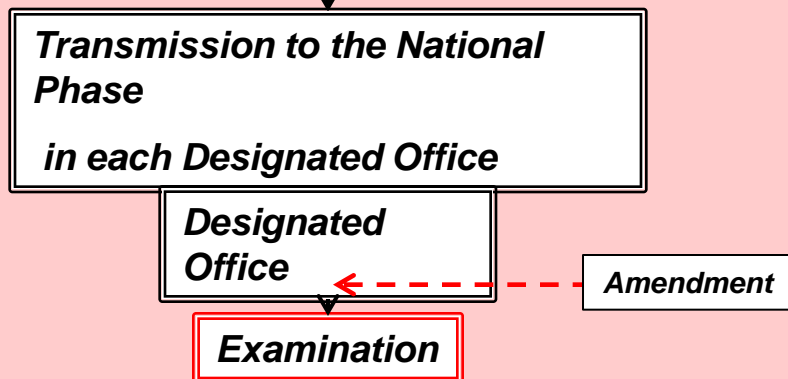
- International Preliminary Examination Report (IPER)  
(International Preliminary Report on Patentability (Chapter II) (IPRP(II)))

International Preliminary Examining Authority (IPEA)

## International Phase



## National Phase



# IPER ( IPRP(II) ) ( cover sheet & Box No.I )

## PATENT COOPERATION TREATY

### PCT

#### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference	<b>FOR FURTHER ACTION</b> See Form PCT/IPEA/416	
International application No.	International filing date ( <i>day/month/year</i> )	Priority date ( <i>day/month/year</i> )
International Patent Classification (IPC) or national classification and IPC		
Applicant		

1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 5 sheets, including this cover sheet.

3. This report is also accompanied by ANNEXES, comprising:

- a.  (sent to the applicant and to the International Bureau) a total of 8 sheets, as follows:
- sheets of the description, claims and/or drawings which have been amended and/or sheets containing rectifications authorized by this Authority, unless those sheets were superseded or cancelled, and any accompanying letters (see Rules 46.5, 66.8, 70.16, 91.2, and Section 607 of the Administrative Instructions).
  - sheets containing rectifications, where the decision was made by this Authority not to take them into account because they were not authorized by or notified to this Authority at the time when this Authority began to draw up this report, and any accompanying letters (Rules 66.4bis, 70.2(e), 70.16 and 91.2).
  - superseded sheets and any accompanying letters, where this Authority either considers that the superseding sheets contain an amendment that goes beyond the disclosure in the international application as filed, or the superseding sheets were not accompanied by a letter indicating the basis for the amendments in the application as filed, as indicated in item 4 of Box No. I and the Supplemental Box (see Rule 70.16(b)).

b.  (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) \_\_\_\_\_, containing a sequence listing, in electronic form only, as indicated in the Supplemental Box Relating to Sequence Listing (see paragraph 3bis of Annex C of the Administrative Instructions).

4. This report contains indications relating to the following items:

- Box No. I Basis of the report
- Box No. II Priority
- Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- Box No. IV Lack of unity of invention
- Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- Box No. VI Certain documents cited
- Box No. VII Certain defects in the international application
- Box No. VIII Certain observations on the international application

Date of submission of the demand	Date of completion of this report
Name and mailing address of the IPEA/IP	Authorized officer
Facsimile No.	Telephone No.

Form PCT/IPEA/409 (cover sheet) (July 2011)

#### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. \_\_\_\_\_

##### Box No. I Basis of the report

1. With regard to the **language**, this report is based on:
- the international application in the language in which it was filed
  - a translation of the international application into \_\_\_\_\_, which is the language of a translation furnished for the purposes of:
    - international search (Rules 12.3(a) and 23.1(b))
    - publication of the international application (Rule 12.4(a))
    - international preliminary examination (Rule 55.2(a) and/or 55.3(a))
2. With regard to the **elements** of the international application, this report is based on (*replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report*):
- the international application as originally filed/furnished
  - the description:
    - pages 1-65 \_\_\_\_\_ as originally filed/furnished.
    - pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
    - pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
  - the claims:
    - nos. \_\_\_\_\_ as originally filed/furnished.
    - nos.\* \_\_\_\_\_ as amended (together with any statement) under Article 19
    - nos.\* 1, 3-18 \_\_\_\_\_ received by this Authority on 16.03.2013
    - nos.\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
  - the drawings:
    - pages figures 1-32 \_\_\_\_\_ as originally filed/furnished.
    - pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
    - pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
  - a sequence listing – see Supplemental Box Relating to Sequence Listing.
3.  The amendments have resulted in the cancellation of:
- the description, pages \_\_\_\_\_
  - the claims, Nos. 2 \_\_\_\_\_
  - the drawings, sheets/figs \_\_\_\_\_
  - the sequence listing (*specify*): \_\_\_\_\_
4.  This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since either they are considered to go beyond the disclosure as filed, or they were not accompanied by a letter indicating the basis for the amendments in the application as filed, as indicated in the Supplemental Box (Rule 70.2(c) and (c-bis)):
- the description, pages \_\_\_\_\_
  - the claims, Nos. \_\_\_\_\_
  - the drawings, sheets/figs \_\_\_\_\_
  - the sequence listing (*specify*): \_\_\_\_\_
5.  This report has been established:
- taking into account the **rectification of an obvious mistake** authorized by or notified to this Authority under Rule 91 (Rules 66.1(d-bis) and 70.2(e)).
  - without taking into account the **rectification of an obvious mistake** authorized by or notified to this Authority under Rule 91 (Rules 66.1bis and 70.2(e)).
6.  Supplementary international search report(s) from Authority(ies) \_\_\_\_\_ has/have been received and taken into account in establishing this report (Rule 45bis.8(b) and (c)).
- \* *If item 4 applies, some or all of those sheets may be marked "superseded."*

Form PCT/IPEA/409 (Box No. I) (July 2011)

# IPER ( IPRP(II) ) ( cover sheet )

1. This report is the international preliminary examination report, established by this International Pre-Examination Authority under Article 35 and transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 5 sheets, including this cover sheet.
3. This report is also accompanied by ANNEXES, comprising:
  - a.  (sent to the applicant and to the International Bureau) a total of 8
    - sheets of the description, claims and/or drawings which have been amended and/or authorized by this Authority, unless those sheets were superseded or cancelled, and Rules 46.5, 66.8, 70.16, 91.2, and Section 607 of the Administrative Instructions).
    - sheets containing rectifications, where the decision was made by this Authority because they were not authorized by or notified to this Authority at the time when they

PATENT COOPERATION TREATY  
PCT  
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY  
(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference	FOR FURTHER ACTION	See Form PCT/BEA/416
International application No.	International filing date (day/month/year)	Priority date (day/month/year)
International Patent Classification (IPC) or national classification and IP		
Applicant		

1. This report is the international preliminary examination report, established by this International Pre-Examination Authority under Article 35 and transmitted to the applicant according to Article 36.

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- sheets containing rectifications, where the decision was made by this Authority not to take them into account because they were not authorized by or notified to this Authority at the time when this Authority began to draw up this report, and any accompanying letters (Rules 66.8, 70.16, 70.18 and 91.2).
- approved sheets and any accompanying letters, where this Authority either considers that the approved sheets contain an amendment that goes beyond the disclosure in the international application as filed, or the accompanying sheets were not accompanied by a letter indicating the basis for the amendments in the application as filed, as indicated in item 4 of Box No. I and the Supplemental Box (see Rule 70.16(b)).

b.  (to the International Bureau only) a total of (indicate type and number of electronic sheets): \_\_\_\_\_ sheets, as indicated in the Supplemental Box (see Rule 70.16(b)). (continued on the reverse side of this form only, as indicated in the Supplemental Box (see Rule 70.16(b)).) (See also Section 607 of Annex A of the Administrative Instructions).

3. This report contains indications relating to the following items:

- Box No. I Basis of the report
- Box No. II Priority
- Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- Box No. IV Lack of unity of invention
- Box No. V Reasoned statement under Article 35(1) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- Box No. VI Certain documents cited
- Box No. VII Certain defects in the international application
- Box No. VIII Certain observations on the international application

Date of submission of the demand	Date of completion of this report
Name and mailing address of the IPEA/IP	Authorized officer
Facsimile No.	Telephone No.

Form PCT/BEA/416P (cover sheet) (July 2011)

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3. This report is also accompanied by ANNEXES, comprising:
  - a. (sent to the applicant and to the International Bureau) a total of 8 sheets, as follows: sheets of the description, claims and/or drawings which have been amended etc.

# IPER ( IPRP(II) ) ( cover sheet )

*next slide*

4. This report contains indications relating to the following items:

<input checked="" type="checkbox"/>	Box No. I	Basis of the report
<input type="checkbox"/>	Box No. II	Priority
<input type="checkbox"/>	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
<input type="checkbox"/>	Box No. IV	Lack of unity of invention
<input checked="" type="checkbox"/>	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step and industrial applicability, citations and explanations supporting such statement
<input type="checkbox"/>	Box No. VI	Certain documents cited
<input type="checkbox"/>	Box No. VII	Certain defects in the international application
<input type="checkbox"/>	Box No. VIII	Certain observations on the international application

PATENT COOPERATION TREATY  
PCT  
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY  
(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference		FOR FURTHER ACTION	See Form PCT/ISA/416
International application No.	International filing date (day/month/year)	Priority date (day/month/year)	
International Patent Classification (IPC) or national classification and IPC			
Applicant			

1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 5 sheets, including this cover sheet.

3. This report is also accompanied by ANNEXES, comprising:

a.  (sent to the applicant and to the International Bureau) a total of 8 sheets, as follows:

- sheets of the description, claim and/or drawings which have been amended and/or sheets containing recifications authorized by the Authority, unless these sheets were approved or cancelled, and any accompanying letters (see Rules 46.5, 66.3, 70.16, 71.2, and Section 607 of the Administrative Instructions).
- sheets containing recifications, where the decision was made by this Authority not to take them into account because they were not authorized by or notified to the Authority at the time when this Authority began to draw up the report, and any accompanying letters (Rules 66.10b, 70.17a, 70.18 and 71.2).
- superseded sheets and any accompanying letters, where this Authority either considers that the superseding sheets contain an amendment that goes beyond the disclosure in the international application as filed, or the superseding sheets were not accompanied by a letter indicating the basis for the amendments in the application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. (see Rule 70.16c)

b.  (sent to the International Bureau only) a total of (indicate type and number of electronic content):

in the Supplemental Box: (indicate type and number of electronic content):

4. This report contains indications relating to the following items:

- Box No. I Basis of the report
- Box No. II Priority
- Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- Box No. IV Lack of unity of invention
- Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step and industrial applicability, citations and explanations supporting such statement
- Box No. VI Certain documents cited
- Box No. VII Certain defects in the international application
- Box No. VIII Certain observations on the international application

Date of completion of the demand: \_\_\_\_\_ Date of completion of this report: \_\_\_\_\_

Name and mailing address of the applicant: \_\_\_\_\_ Authorized officer: \_\_\_\_\_

Telephone No. \_\_\_\_\_ Telephone No. \_\_\_\_\_

Form PCT/ISA/409 (cover sheet) (July 2011)

*= WO's style*

# IPER ( IPRP(II) ) ( Box No.I Basis of the report )

2. With regard to the **elements** of the international application, this report is based on (*replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report*):

the international application as originally filed/furnished

the description:

pages 1 - 65 as originally filed/furnished.

pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

the claims:

nos. \_\_\_\_\_ as originally filed/furnished.

nos.\* \_\_\_\_\_ as amended (together with any statement) under Article 19

nos.\* 1, 3-18 received by this Authority on 16.03.2013

nos.\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

the drawings:

pages figures 1-32 as originally filed/furnished.

pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

3.  The amendments have resulted in the cancellation of:

the description, pages \_\_\_\_\_

the claims, Nos. 2



**Thank you!**