

Japan Patent Office Experience on Deployment of Online Services



August 26-30, 2019

Information Technology Policy Planning Office



1. Basis of System Development

2. Outline of JPO System

3. One Portal Dossier Service



1. Basis of System Development

2. Outline of JPO System

3. One Portal Dossier Service



1. Basis of System Development

- Back Ground of Computerization
- Relevance of Law Amendment and System Development
- Relevance of Budget Department and System Development
- Schedule of Contract Procedure
- Schedule of System Development
- Outside Vendor

Background of Computerization



in 1989

345,140

1,014,382

in 1988

335,759

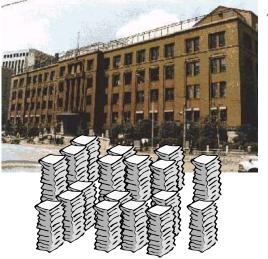
1.009.664

Before computerization...













in 1986

316,162

947,715

in 1985

299,851

921,715



in 1987

336,884

994.972



Filed in **paper**

Proceeded in **paper**

Published in **paper**

Retrieve in **paper**

Office's issues

- Resource to manage a pile of paper documents
- Delays (filing, examination including formality, publication)

Difficulties in retrieving prior art from paper gazettes

Background of JPO computerization



Benefits of computerization for each side, IP Office and users

Office's benefits

- Improvement of the effectiveness of business operations
- Guarantee of the accuracy of business operations

Public Users' benefits

- Improvement of procedural convenience in filing, etc.
- Timely and user-friendly utilization of IP information





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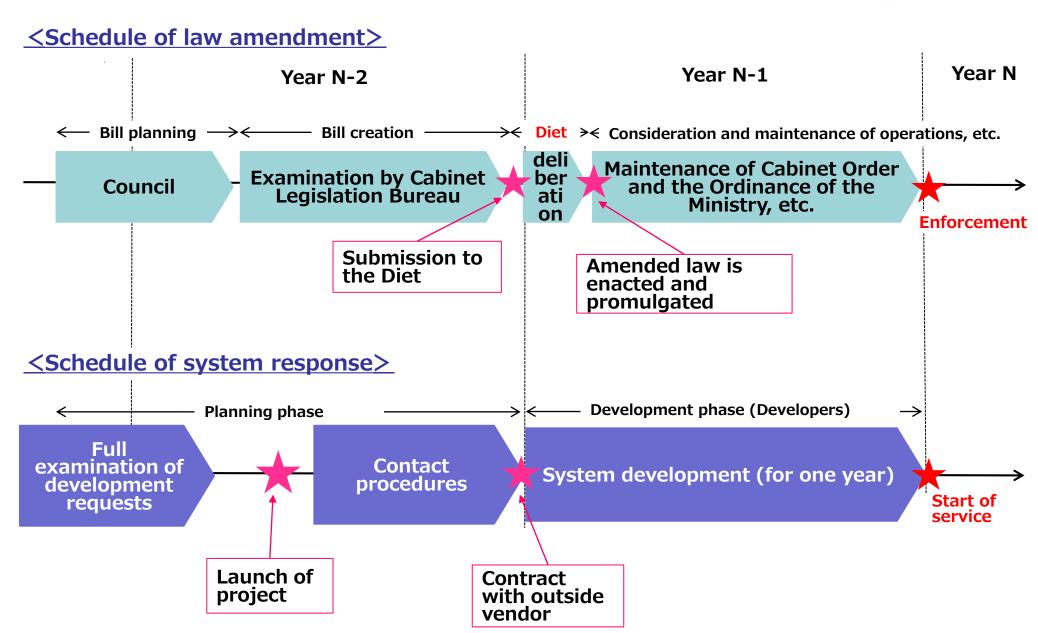
Relevance of Law Amendment and System Development



- Many operations at the IPO are carried out under the support of IT systems.
- There is necessity to consider whether or not there is <u>a system</u> revision (e.g. law amendment) when developing the IT system.
- Coordination among various departments is necessary to match the schedule of both a system revision and IT system development.

Relevance of Law Amendment and System Development







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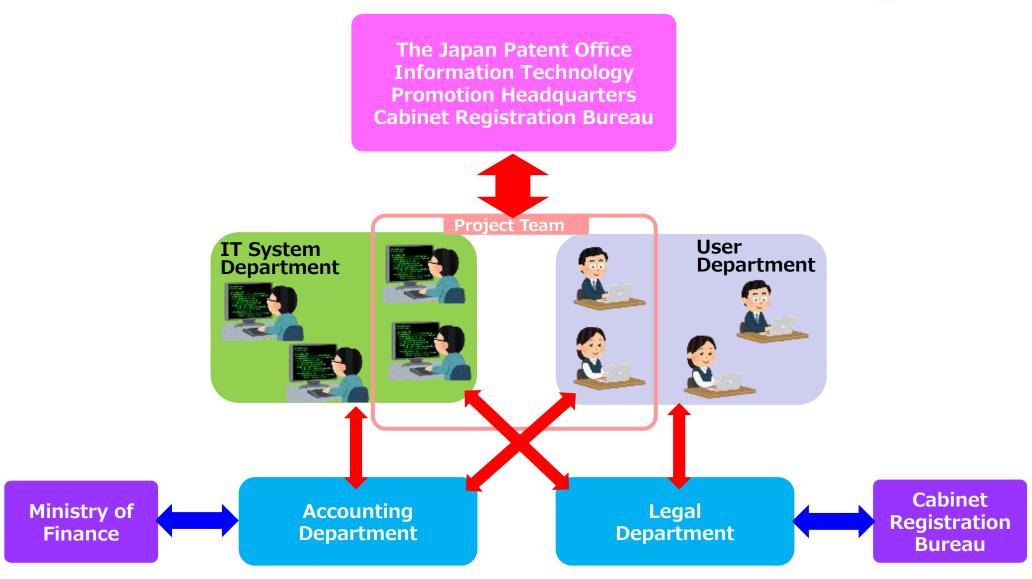
Relevance of Budget Department and System Development



- A system revision (e.g. law amendment) will be carried out by the cooperation between User department and Legal department.
- The IT system development for responding system revisions will be carried out by <u>a project team</u>, e.g. established by User and Information system department.
- The budget required for the IT system development will be adjusted by the project team, Information system and Accounting department in cooperation with each other.

Relevance of Budget Department and System Development







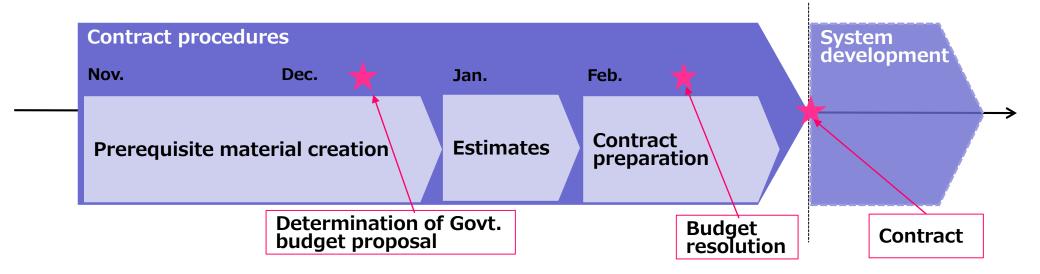
1. Basis of System Development

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Schedule of Contract Procedure



Contract procedures follow the process below.



Prerequisite material creation

Make operational requirements in detail, and create prerequisite material more in detail than when the budget request.

Estimates

Ask vendors for estimates in order to determine the contract amount.

Contract preparation

Create specifications by projects, carry out contract procedures by accounting departments.



1. Basis of System Development

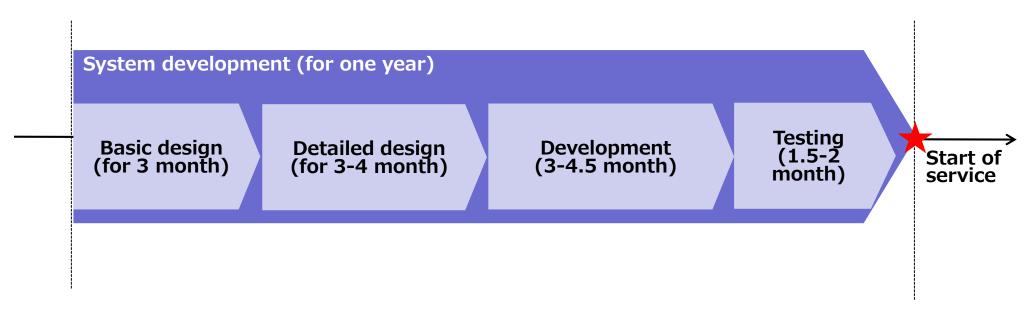
- Back Ground of Computerization
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Schedule of System Development



- The schedule of the system development is as follows.
- The start of service and enforcement of law take place at the same timing.

Schedule of one-year development



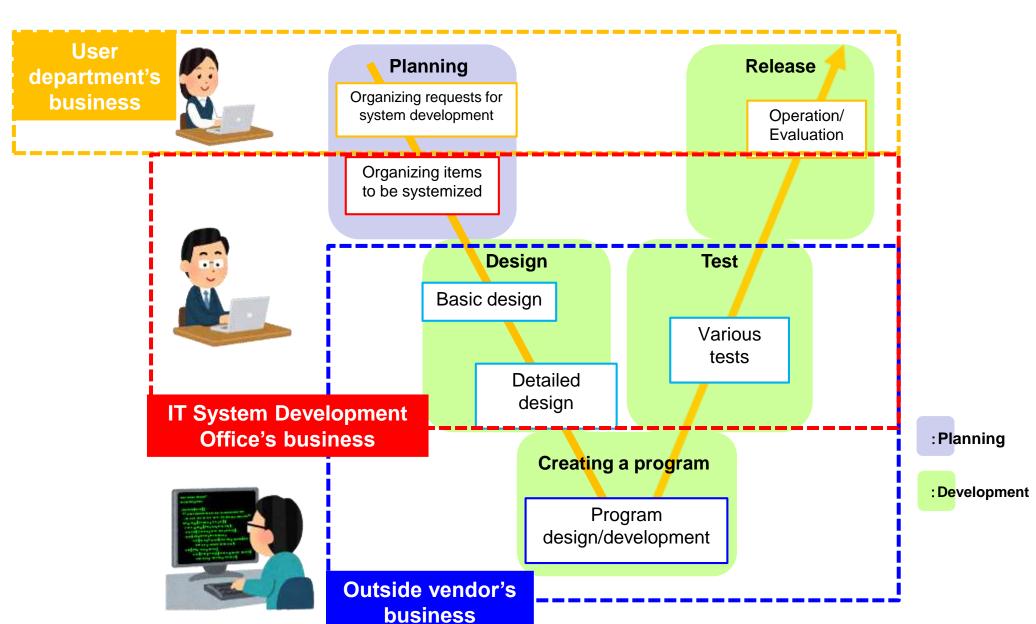


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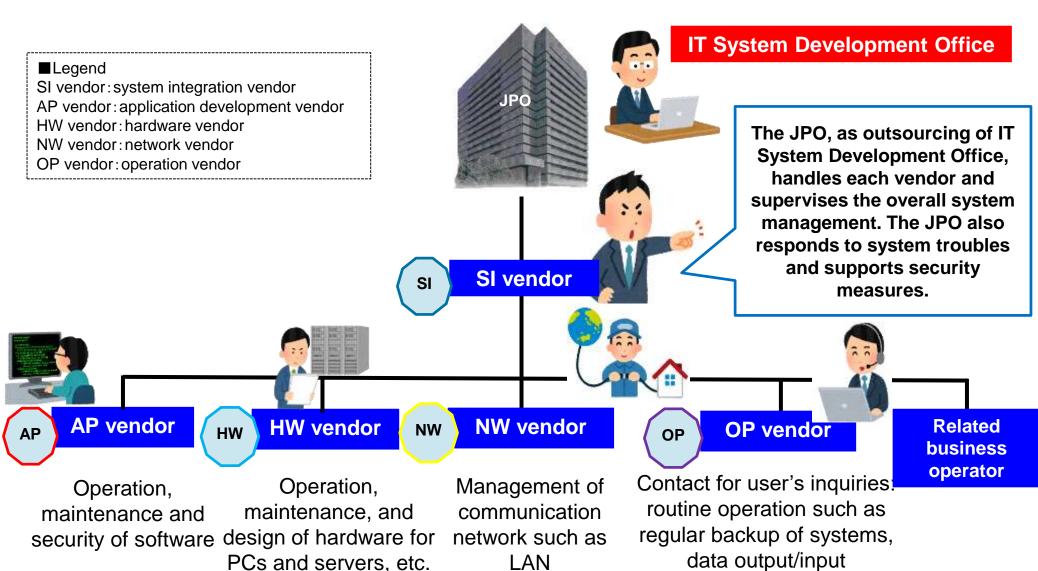
Outside Vendor (Outline)





Outside Vendor (Structure)





Conclusion of Basis of System Development



If the computerization of IPO can be realized,

- ✓ Both IP office and Public user will make a great profit
- ✓ Improvement of the effectiveness and accuracy for IPO side
- ✓ Improvement of procedural convenience, timely and user-friendly utilization of IP information for User side

When considering the IT system development

- ✓ Notice the necessity of cooperation with other related departments, e.g. legal, budget, etc.
- ✓ Also importance of the structure of outside vendor (In case of contracting with outside vendor)



1. Basis of System Development

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3. One Portal Dossier Service

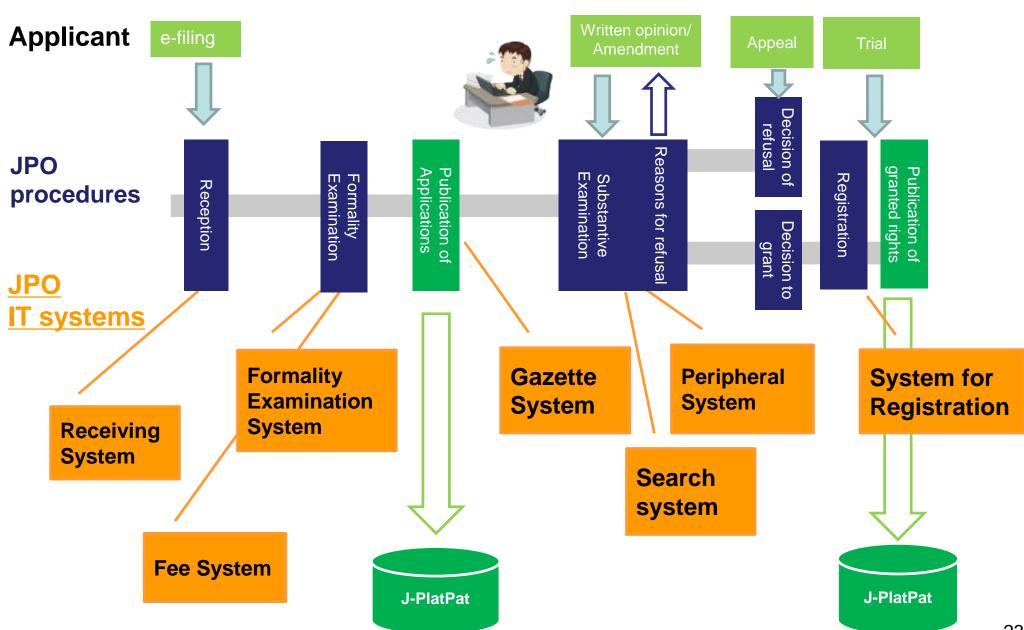


2. Outline of JPO Systems

- Receiving and Dispatch System
- Formality Examination System
- Gazette Editing System
- Public Dissemination
- Search System and Peripheral System

Outline of JPO Systems





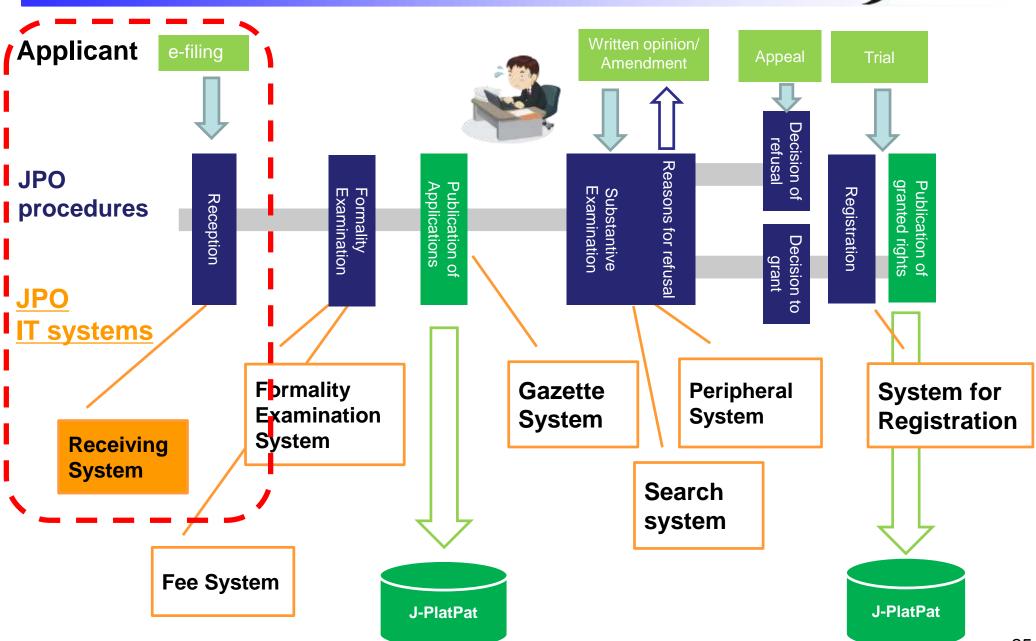


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Outline of JPO Systems





Receiving and Dispatch System



■ What is "the Receiving and Dispatch System"?

[Receiving System]

The system which accepts applications from applicants

[Dispatch System]

The system which notifies applicants about outgoing documents, e.g. notification of reasons for refusal

- Why should these systems be computerized?
- What are any advantages for computerizing?

[IPO Side]

- Need to store a great number of applications in paper
- Difficult to search a target document
- Vulnerable to disaster, e.g. fire, flood.

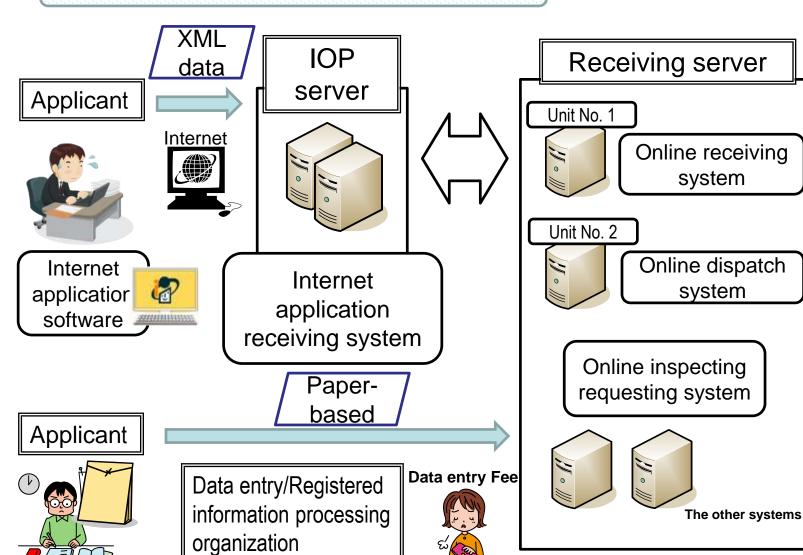
[User Side]

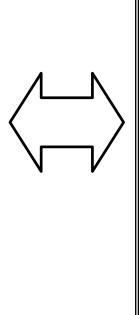
Need to bring application documents to IPO or send them in paper

Online receiving system



IOP server and receiving server



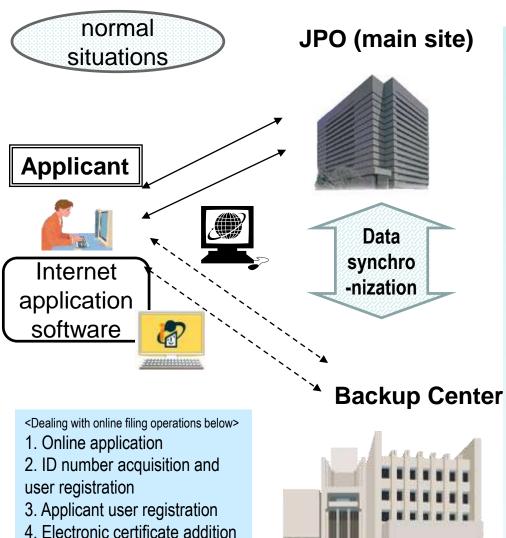


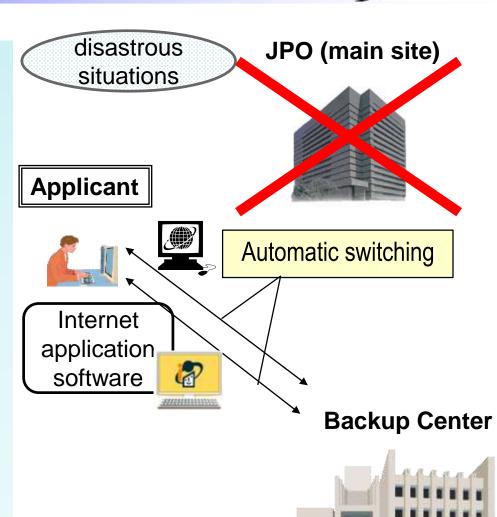
Subsequent systems

Backup Center

5. Application history information inquiry

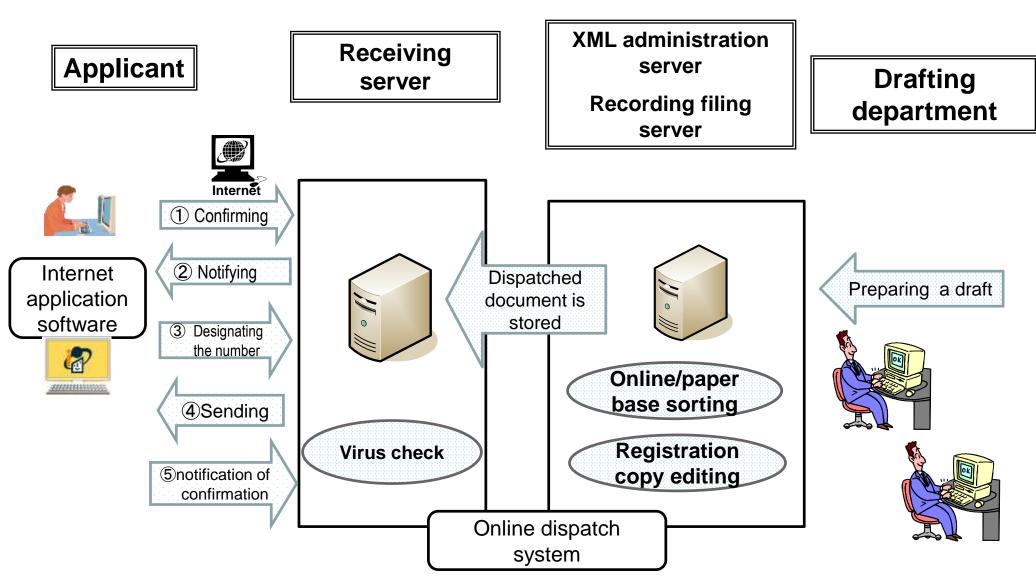






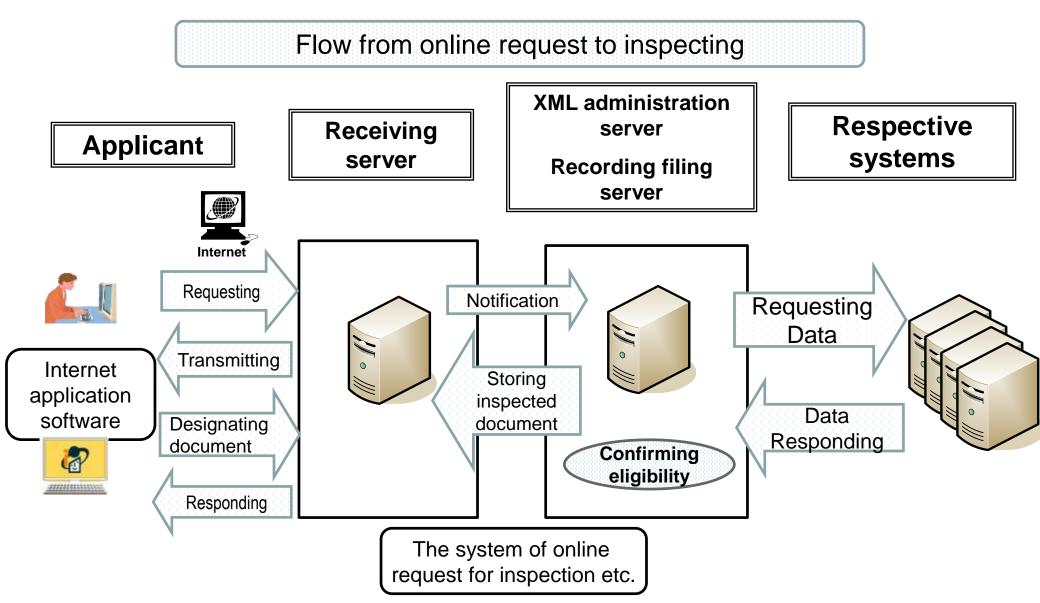
Online dispatch system





Online request for inspection





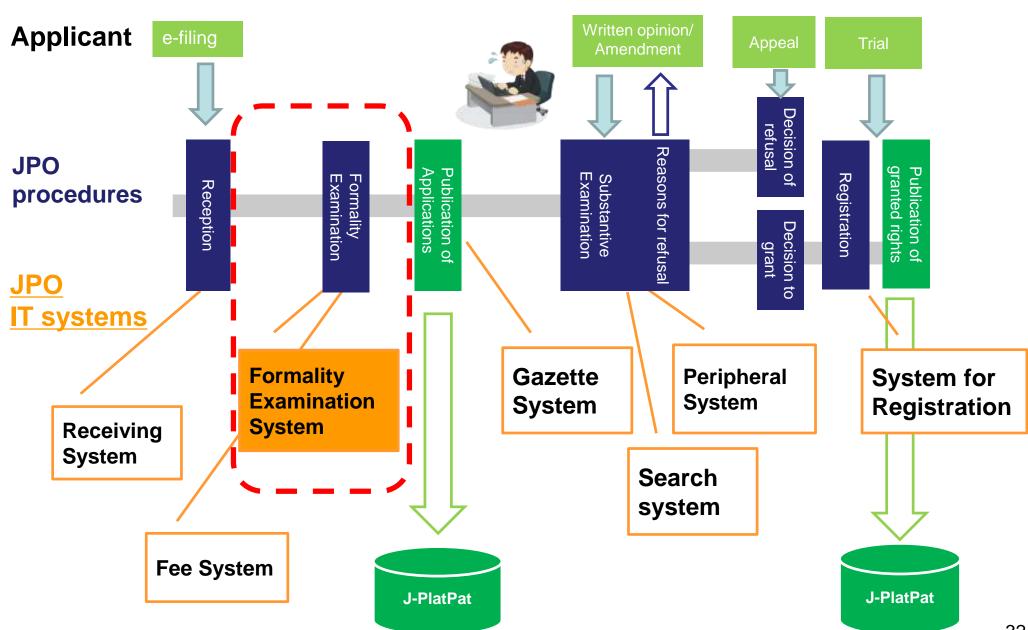


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Outline of JPO Systems





Formality Examination System



What is the Formality Examination System?

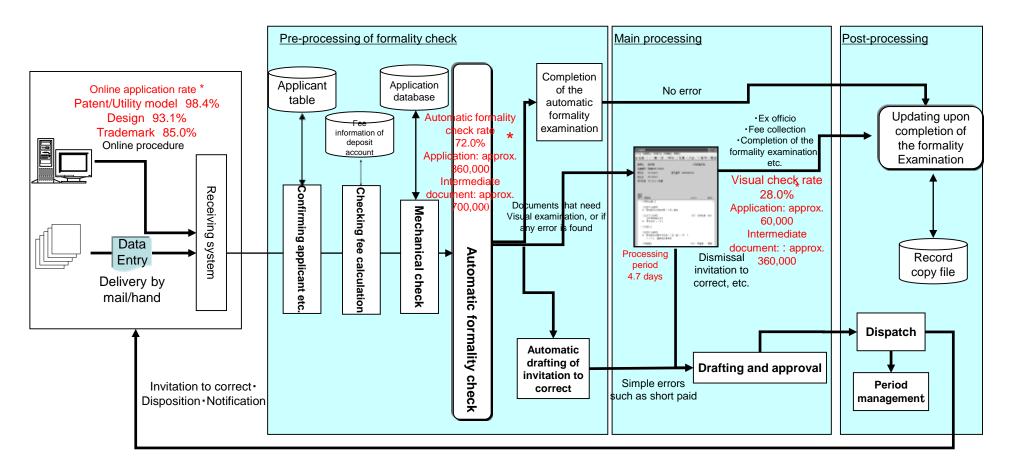
[Formality Examination System]
The system which checks whether the descriptions of application documents are correct or not briefly

- **■** Why should these systems be computerized?
- What are any advantages for computerizing?
- Need to check a great number of contents by manpower
- Difficult to prevent the human error, e.g. over looking
- ※ XMLization of documents would help the accuracy improvement of the Formality Examination

Overview of Formality Examination System

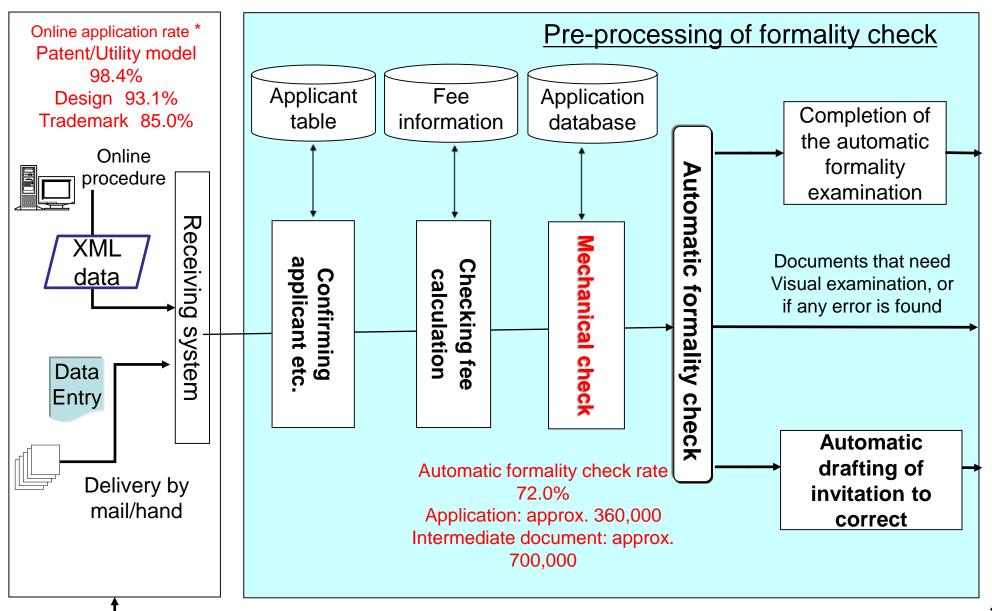


*As these are figures at the time of machine processing, they are different from those in the annual statistic



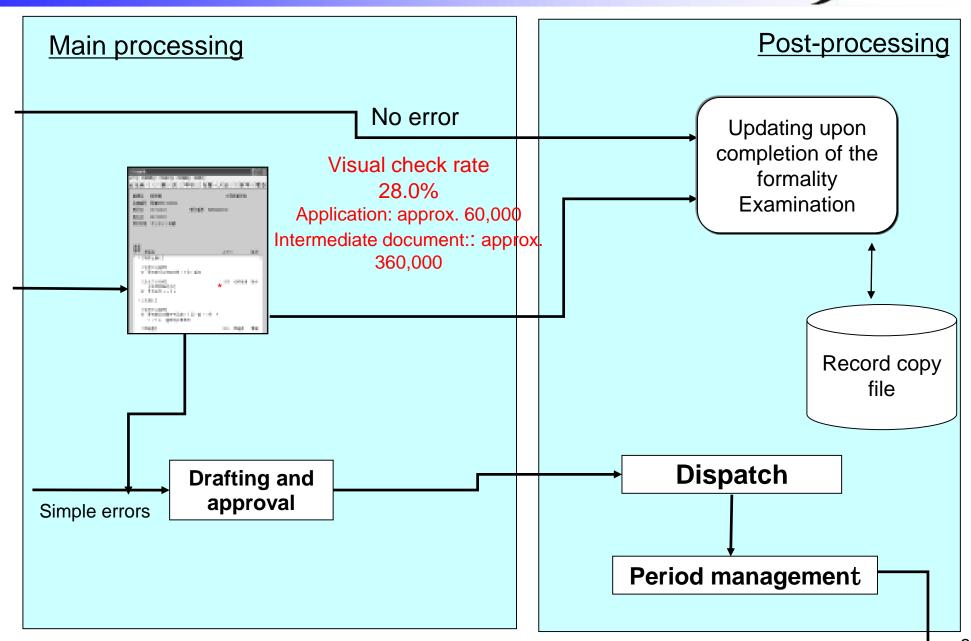
Overview of Formality Examination System





Overview of Formality Examination System





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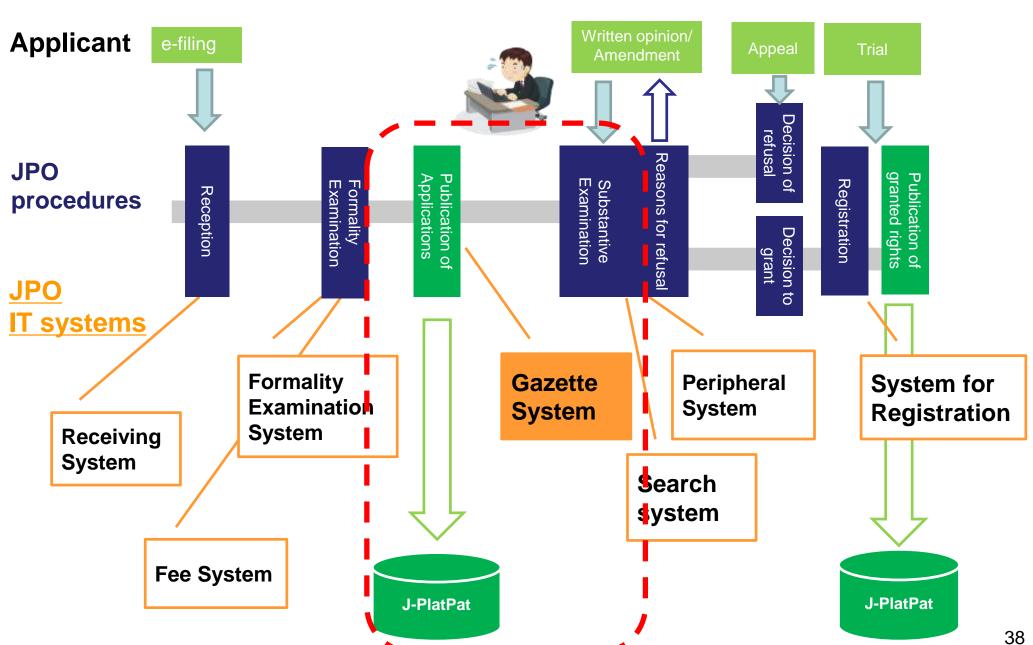


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Outline of JPO Systems





Gazette Editing System



What is the Gazette Editting System?

[Gazette Editting System]

The system which makes publication documents (Gazzette) based on information of application documents

- Why should these systems be computerized?
- What are any advantages for computerizing?

[IPO Side]

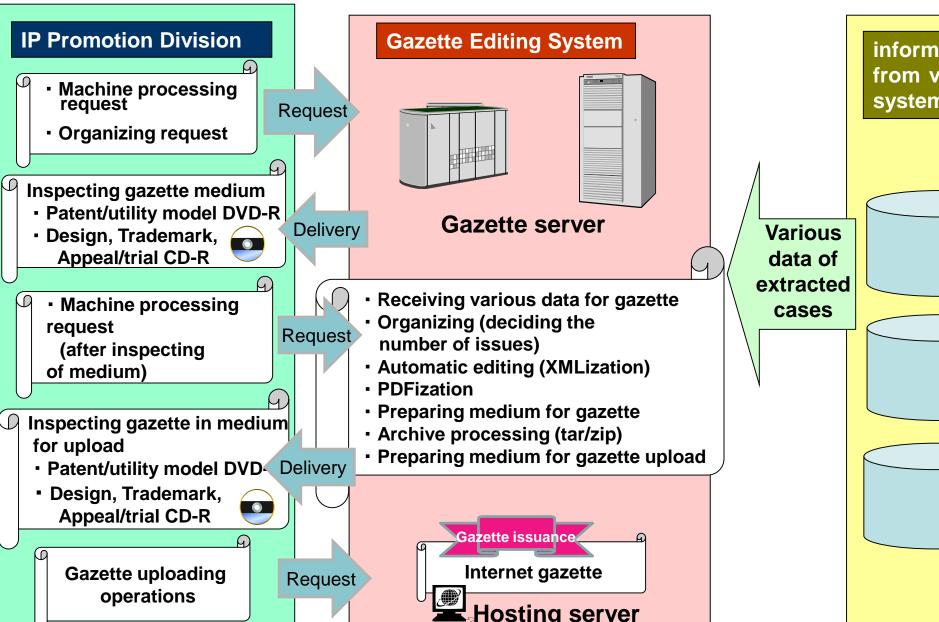
- Need to manage a great number of publication documents every time
- Difficult to disseminate

[User Side]

- Need to manage a great number of publication documents
- Difficult to retrieve a target document (poor accessibility)

Gazette Editing System job image (outline)





information from various systems

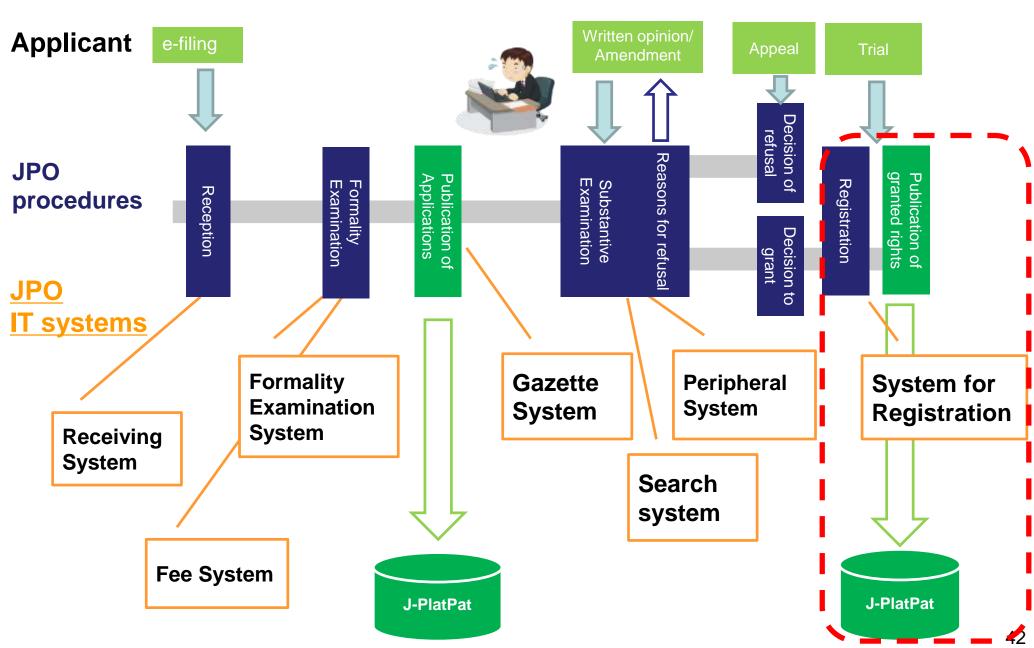


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Outline of JPO Systems





Public Dissemination



■ What is the Public Dissemination?

[Public Dissemination]

The system which conveys patent information to users, e.g. companies, universities, research institutes, foreign applicants

- **■** Why should these systems be computerized?
- What are any advantages for computerizing?

[IPO Side]

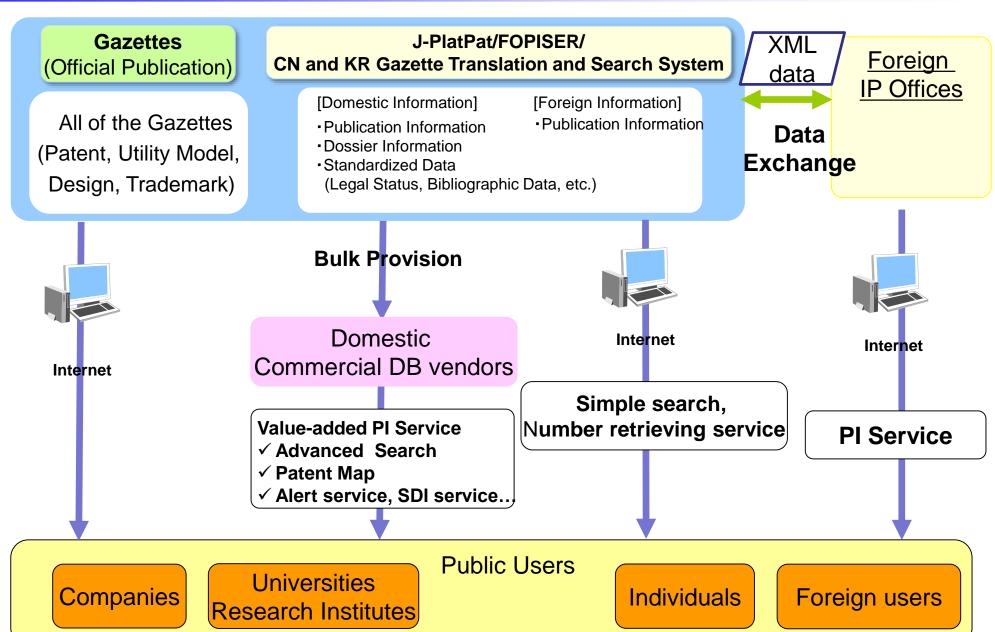
There is a limit to convey information in paper

[User Side]

Difficult to get a target information in paper, especially users in remote area

Framework of Public Dissemination of Data





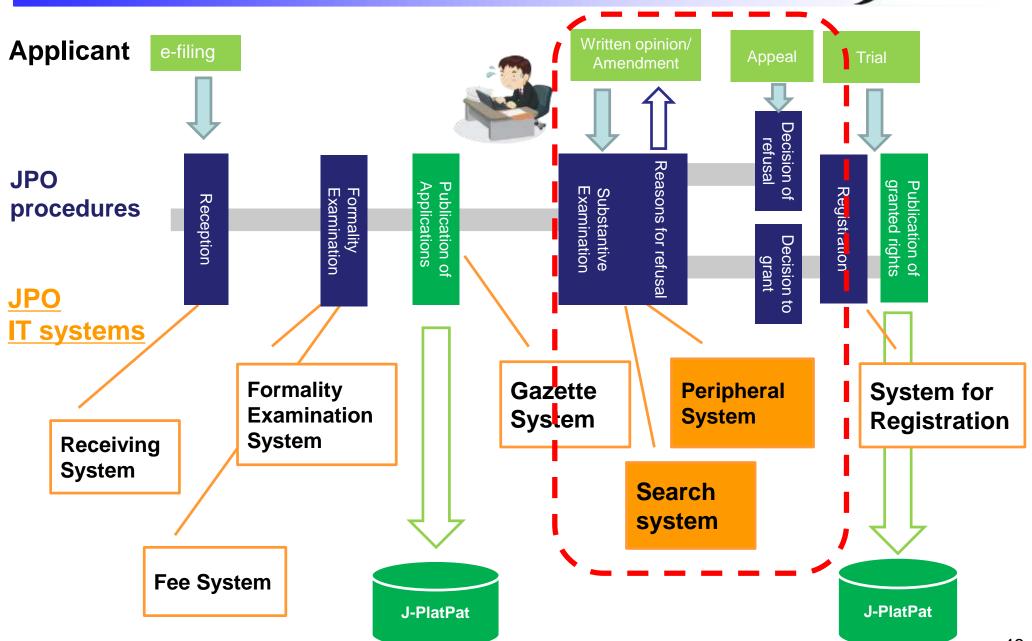


1. Outline of JPO Systems

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Outline of JPO Systems





Search System and Peripheral System



What is the Search System?

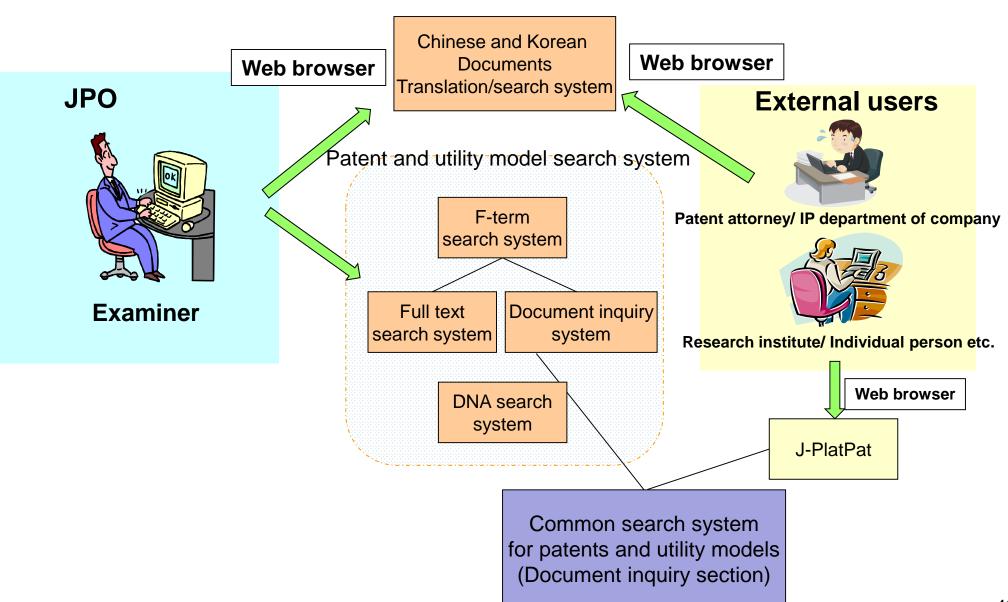
[Search System]

The system which examiners use for searching documents of prior art

- **■** Why should these systems be computerized?
- What are any advantages for computerizing?
- Difficult to manage a great number of publication documents for searching
- Very inefficient for examiners to search in paper

Overview of patent & utility model search system





Conclusion of the Outline of JPO Systems



By computerization of documents

- ✓ A great number of applications can be processed at each phase
- ✓ Users can use various services on the web which the office provides

And more

- ✓ For processing a great number of applications efficiently
- ✓ For reducing the work of applicants and improving the convenience
- ✓ For exchanging data to the other offices

*XMLization of documents about imcoming/outgoing is also important

Table of Contents



1. Basis of System Development

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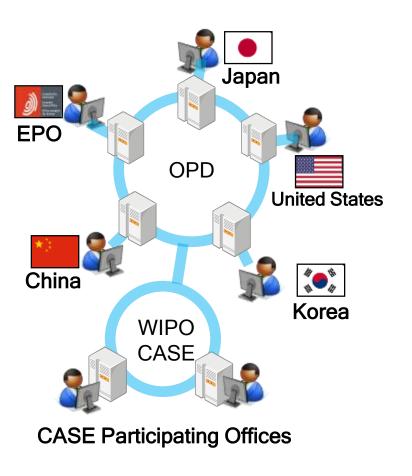
3. One Portal Dossier Service

Japanese Patent Process Flow: Detail of Search



Utilization of Foreign Dossier Information

- ✓ JPO examiners retrieve foreign dossier information via One Portal Dossier (OPD).
- ✓ Workload of examination is reduced.
- ✓ Quality of examination can be improved by utilizing foreign Dossier Information.





Global Dossier: Linkage to JPO's internal systems

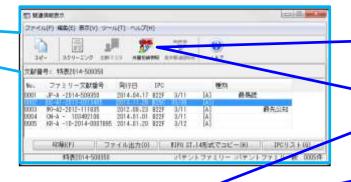


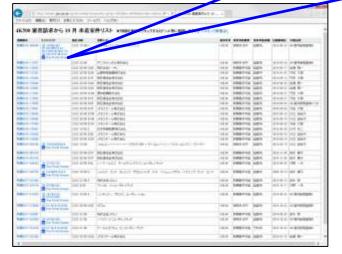
- > JPO has developed linkage between internal systems and the OPD.
- Usability for JPO's examiners is significantly improved.





Search and View System





Application Management System (List of Applications)

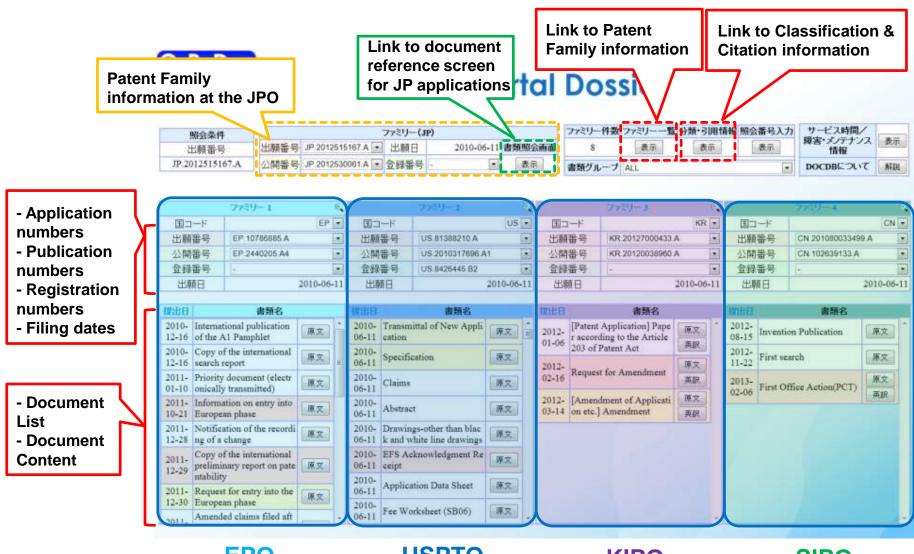




Examination Assistance System

Description of "One Portal Screen," a Main Screen of OPD at the JPO





EPO Dossier Info.

USPTO Dossier Info.

KIPO Dossier Info.

SIPO Dossier Info.

Scenarios: Inquiry of the Dossier Information Using OPD



Scenario 1:
want to investigate the status of corresponding patent family application(s) subject to the examination at the foreign IP Office(s).

Scenario 2: want to check the exam result(s) of (a) foreign patent document(s) cited in a search report. Scenario 3: want to easily view exam information of the document(s) found during a prior art search.



Access to search exam information on (a) patent family application(s) of the national application(s)



Access to search exam information on the foreign application(s)



Access from other system



1. Inquiry using an application number / publication number of the national application(s)



2. Inquiry using an application number / publication number of the foreign application(s)



3. Direct jump to OPD from other system

Scenario 1: Inquiry by a National Application/Publication Number





Scenario 2: Inquiry by a Foreign Application/Publication Number





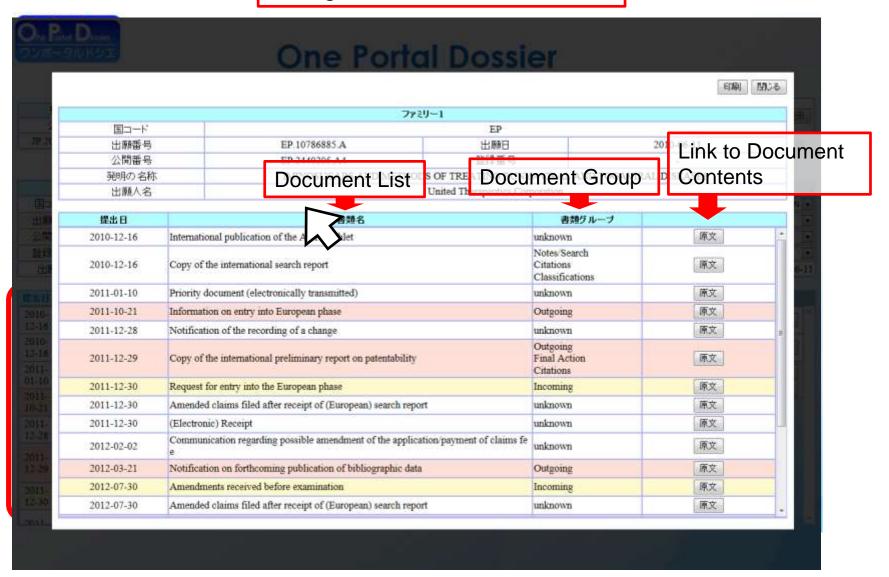
Scenario 3: Direct jump to OPD from other system (Access from Cluster Search System)



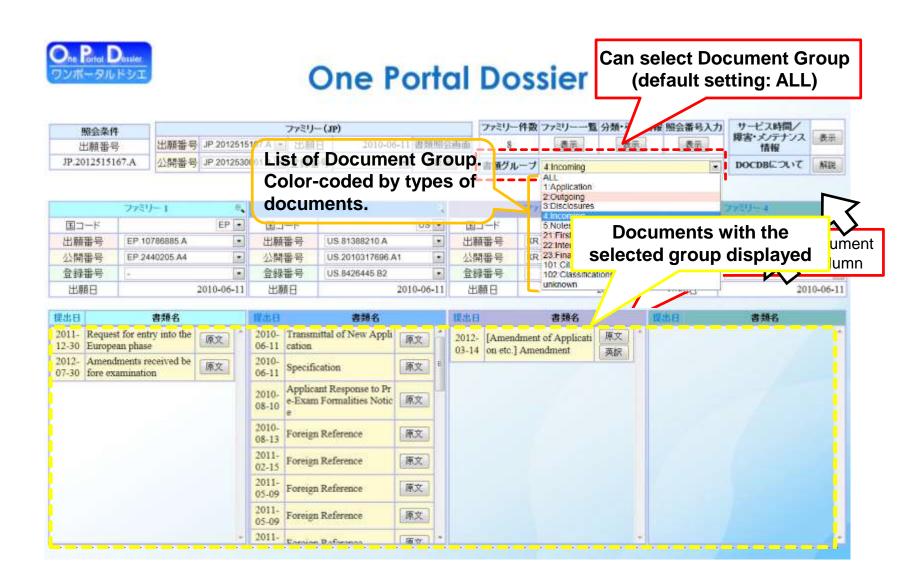




Enlarged View of Document List

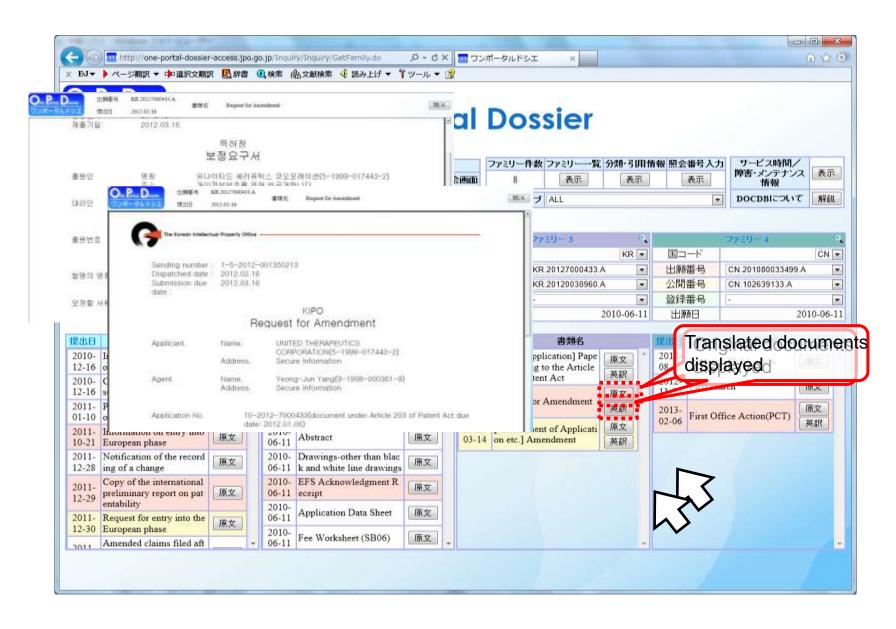






Reference to Document Contents





Reference to a List of Family Applications

ファミリー(JP)



サービス時間/



One Portal Dossier

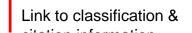
ファミリー件数 ファミリー一覧 分類・引用情報 懸会番号入力



Dossier information of selected family applications

Reference to Classification & Citation Information





登録番号



Classification&Citation Information

Classification information. IPC and non-IPC can be displayed.

<出願番号>

<表示設定>

±をクリックして公開/登録番号を表示

■ EP.10786885.A
 ■ US.201313761583.A
 ■ US.81388210.A
 ■ KR.20127000433.A
 ■ CN.201080033499.A

Application numbers of family applications.
Numbers of related gazettes can be also displayed.

2分類・引	用情報>	
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出期番号

EP 10786885 A

- 分類情報	- Later Hungary			
IPC IPC		オリジナル		
A61K31/445	A61K31/445	CPC		
A01N43/40				
A61P31/12				

公開番号

EP 2440205 A1

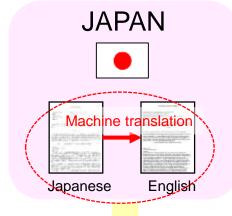
項借	カテゴリ	公開番号	形式	公知日	引用箇所	請求項
1	XP	WO.2010027996.A1	docdb			
2	E	WO 2010109330.A2	docdb	-		2
3	x	WO 2006077427.A2	doedb	E	2	1 - 2
項番	カテゴリ	MEHTA ANAND ET AL: "In	指文献 ino mage that or	a lana tamia but	引用箇所	請求項
1	Y	more potent as antivirals, in vit N-n-nonyl DNJ*, ANTIVIRAL CHEMOTHERAPY, BLACKWELL SCIENTIFIC I 5, 1 September 2002 (2002-09-01), ISSN: 0956-3202	0.	2		

Document information which has been cited.

Actions for Work Sharing and International Cooperation



Provision of JPO's search/examination results (Dossier Information)



69 IPOs around the world can refer to JPO's dossier information via AIPN.

JPO exchanges its dossier information with IP5 Offices via **One Portal Dossier** System.

WIPO-CASE participants can refer to IP5 Offices' dossier information via WIPO-CASE in addition to that of AU, CA, GB, IL, IN, NZ and WO.

One Portal Dossier

IP5's Dossier sharing system



EPO

China

Korea

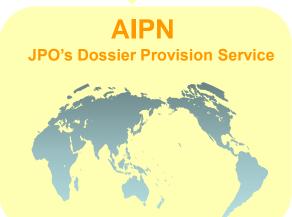
Linkage

WIPO-CASE

WIPO's Dossier sharing system

In addition to IP5, 27 countries/organizations as of April 2018.

*Participating offices excluding IP5 Offices: AU, AZ, BN, KH, CA, CL, CR, EG, GB, IN, ID, IL, LA, MY, MN, NZ, PG, PH, PT, SG, TH, VN, PE, UA, GE, EAPO, WIPO



Merits for Dossier Information sharing

- (1) Reduce workload in examination at IPOs
- (2) Give IP rights quickly and properly

Conclusion of One Portal Dossier Service



- JPO provides the examination information for many offices by using the OPD, WIPO-CASE, etc.
 - ✓ For reducing the redundant search and improving the efficiency by providing them
 - ✓ JPO expects a lot of countries will participate the WIPO-CASE as the providing office
- The interface of the JPO-OPD system is devised in detail
 - ✓ For improving the convenience for users



Thank you