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INVENTORSATTHEDAW NOFTHENEWMILLENN IUM: WIPO-IFIAINTERNATIO NALSYMPOSIUM

organizedby the World Intellectual Property Organization (WIPO)

and theInternationalFederationofInventors'Associations(IFIA)

incooperationwith theGovernmentofArgentina

and the Argentine Association of Inventors (AAI)

BuenosAires, September 5 to 8,2 000

WIPOPATENTINFORMAT IONSERVICESFORDEV ELOPINGCOUNTRIES

Document prepared by the International Bureau

INTRODUCTION

1. Patentdocumentscontaindescriptionsofscientificandtechnicalconceptsaswellas practicaldetailsofprocessesandapparat us.Beforethefulltechnologicalvalueofpatent documentscanbeappreciated,itisnecessarytounderstandwhypatentdocumentsare publishedandtheroletheyplayintheeconomicandtechnicaldevelopmentofacountry. Patentdocumentspossessboth alegalandatechnicalsignificancethatisnoteasyto differentiate.Itisthusessentialtounderstandthebasicconceptsofthepatentsysteminorder tofullydiscussthetechnologicalimpactpatentdocumentsmake.

INVENTIONANDPATENT

2. An"inve ntion" maybedescribedasanewsolutiontoatechnical problem. The problem maybeoldornew. But the solution, in order to merit the name of invention, must be an ewone, that is, one which has never been thought of before or at least, if thought of some one, not published by hims othat it became accessible to others.

by

- 3. The problem must be a "technical" one. The word "technical" has different meanings, depending on the context in which it is used. In connection within ventions, "technical" implies that the invention must be usable in practice, in industry, and that it cannot consist of the mere recognition of a law of nature (such recognition is called ascientific discovery and notate chool or given by the context of the mere recognition of a law of nature (such recognition is called ascientific discovery and notate chool or given by the context of the mere recognition of a law of the context of the mere recognition of a law of the context of the mere recognition of a law of the context of the contex
- 4. Aninvention—becauseitisusab leinindustry —iseconomicallyvaluable.Itenables industrytomakenewproducts,ormakeproductsmoreeconomically(faster,morecheaply), ortoimproveexistingproducts(bymakingthemmoreprecise,yieldingbetterorfasterresults whentheyareuse d).
- 5. Inventions are rarely the result of an accidental oran instantaneous stroke of genius. They are usually the result of long and hard thinking and experimentation with the precise aim and hope of arriving at an ew solution amounting to an invention. Inother words, inventions are usually the result of methodical research.
- 6. Itisnotonlyjust,butitisalsonecessary,inordertoencouragetheinvestmentrequired forresearch,thataninvention,oncemade,shouldbeallowedtobeused,atleast foralimited time,onlybythepersonwhomadeit(theinventor)orbytheenterpriseforwhichitwasmade (theemployeroftheinventor).Suchexclusivityofuseoftheinvention,foralimitedperiod oftime(maximum20years,generallyspeaking),is assuredtotheinventor(ortohis employer)bylaw,namelythepatentlaw,butonlyinthecountryorterritorysubjecttothe law.Inreturnforthegrantofapatent,theinventorplacesthetechnologicalinformation surroundinghisinventioninthep ublicdomain.Thisisachievedbytheindustrialproperty officepublishingapatentdocument.
- 7. Anextremelyimportantaspect,inparticularfortheusersofpatentinformationin developingcountries,isthefactthatanyinventionwhichisnotprotec tedinagivencountry, isconsideredasbeinginthepublicdomaininthatcountry.Inotherwords,thatinvention couldbeusedinthesaidcountryforitsowntechnologydevelopmentwithouttheriskof infringement.

NUMBEROFPATENTAPPLICATIONSAND GRANTS

8. Accordingtorecentstatistics, the number of patent applications which are held in the worldeachyear is wellower two million. Those applications result in the grant of more than half a million patents. The number of inventions which are overed by those patent applications and grants is much smallers inceeach invention gives rise to an average of two to three patent applications in different countries. The number of patent documents published each year, both applications and granted pate nts, is over two million, in many different languages. Below, statistics are given for the major patenting countries.

Patents	Applications	Grants
Japan	417974	147686
UnitedStatesofAmerica	236692	111984
Germany	175595	55053
UnitedKingdom	148209	44754
RepublicofKorea	129982	24579
Sweden	115000	19412
Spain	113767	20613
Switzerland	112852	18083
France	112631	50448
Austria	111224	16025
Finland	109437	2315
Denmark	109061	12103
Portugal	106687	7229
Luxembourg	106484	8981
Italy	91410	28096
Netherlands	90629	23794
Belgium	86645	17673
Ireland	83430	6889
Greece	82443	8555
Monaco	81270	3791
China	61382	3494
Canada	54446	7283
Sub-Totals	2737250	638840

- 9. TheabovefiguresarebasedonWIPOSt atisticsfor1997.Whereacountryisapartyto aninternationalorregionalarrangement,e.g.thePCTortheEPO,thefiguresincludeall internationalorregionalapplicationsinwhichthatcountrywasdesignated.Thesub -totalof patentapplications givenabovefor20countriesrepresentsover60%ofthetotalpatent applicationsfiledby106countriesandorganizationsin1997.
- 10. Therearenoexact statistics on the number of patent documents published so far from the beginning of the time when patents were first published. They can, however, be estimated at over 38 million. Normally, only the recent ones are of practical importance for those searching technological information; the older ones are frequently only of historical interest. Never the access to the older ones is an absolutence sity for any Industrial Property Office whose law requires it to passajudgement on the question of whether a given patent application related to an invention is, objectively, new, since such a judgement requires looking at all the existing patent documents likely to disclose a similar invention.

By observing these figures the case for the computerization of industrial property of fices seems to be evident.

ADVANTAGESOFPATENTDOCUMENTSASASOURCEOFI NFORMATIONFOR TECHNOLOGYASSESSMENT

- 11. Fortechnologyassessment, patent documents generally convey the most recent information. This is because applicants are in a hurry; usually the applicant who was the first to apply among several persons applying for a similar invention will be granted the patent, whereas the applications of the others will be denied; furthermore, only with a patent in his hand does an inventor have the maximum legal means a this disposal for contesting the use of his invention by others against his will; finally, an inventor with a patent usually can stipulate a higher sale sprice or royalty for selling or licensing his invention than if he does not, or does not yet, have a patent.
- 12. Patentdocumentsgenerallyhaveafairlyu niformstructure:theclaimsgivetheessence ofwhatisnew;thedescriptiongivesthebackgroundtotheinvention(whatwasknown beforetheinvention,i.e.,the"priorart"),anddefinesthedifferencebetweenthepre -existent technologyandwhatthe inventioncontributes,asanewmatter,asastepforward,to technologydevelopment;thismeans,amongotherthings,and,asdistinctfromscientificor technologicalarticles,thatthereaderofpatentdocumentsdoesnotfirsthavetofamiliarize himselfwith,andadjusthismentalprocessestothementalprocesses —differentforevery author—oftheauthorofascientificarticle;inotherwords,thisfairlyuniformstructureof patentdocumentsmakestheirreading,onceonegetsaccustomedtoit,genera llyeasier.
- 13. Patentdocumentsgenerallydisclosetechnologicalinformationbydescribingthe inventionsinaccordancewiththerequirementsoftheapplicablepatentlawandbyindicating theclaimednoveltyandinventivenessbyreferencetotheexistin gstateoftheart. They are thus sources of information not only on what is new (the invention) but also on what is already known (i.e., the state -of-the-art), and in many cases furnish a history, in summary form, of the technological development in the field to which they relate. Certain patent documents are published to gether with a search report showing a series of references found while carrying out a documentary search made to establish in a first in stance the level of novel ty of the claimed invention.
- 14. Patentdocumentsgenerallycovermostofwhatisnewandmostofwhatisworthwhile knowingabouttechnologicaldevelopment;thisisshownnotonlybythegreatnumberof patentsbutalsobythefactthattheycovereverybranch —bigorsmall,rel ativelysimpleor sophisticated—oftechnology.Naturallytherearecertaininventions,mainlyinthefieldof armsandwarfare,whicharenotorcannotbepatentedorarepatentedbutnotpublished becausetheirpublicationcouldbeprejudicialtonationa lsecurity.But,onthewhole,such inventionsconstitutearelativelysmallpercentageofalltheinventionsmade.
- 15. Patentdocumentsgenerallycontaininformationwhichisnotdivulgedinanyotherform of literature. Thus it is wrong to consider that relevant information contained in patent documents will come to one's notice by other means. An investigation made by the U.S. Patent and Trademark Officeshows that as much as 70% of the technology disclosed in U.S. patent documents from 1967 to 1972 had adnot been disclosed in non-patent literature.

- 16. Manypatentdocumentscontainanabstract. Abstractsallowageneralideatobe formedofthecontentsofthedocumentwithinafewminutes, and in any case a much shorter time than would be required to read the full text of the patent document (an inventory of currently available, or planned, CD ROM products containing abstracts and full text patent documents, is given in Annex I).
- 17. Patentdocumentsbear "classificationsymbols." Forthepurposes of maintaining search files and performing searches for the state of the art, patent of fices classify patent documents according to the field of fields of technology to which their contents relate. A number of different classification systems exist. The International Patent Classification (IPC) has been established by an intergovernment alagreement, and is now applied by at least 50 Patent Offices.
- 18. Themainpartofthehighcostofprocessingandclassifyingpatentdocuments for buildingupsearch iles, and of keepingthe classification system up to date, is bornedirectly by the patent of fices which publish large numbers of patent documents; users other than the Patent Office itself thus have access to patent documentation without incurring, in ad their costs as users, the cost of maintaining, developing and classifying their own patent documentation collections.
- 19. Patentdocumentsbelongingtoagivenclassificationsubdivisioncontainahighly concentratedsupplyofusuallytechnicall yadvancedinformationonagiventechnologicalfield.
- 20. Patentdocumentsbearadatefromwhichconclusionscanbedrawnastotheageofan inventionandtothequestionofwhethertheinventionstheydescribearestillunderlegal protection. If the yarenolongerlegallyprotected, they can be used without the consent of the patentee.
- 21. Patentdocumentsmostlyindicatethenameandaddressoftheapplicant,thepatentee, andtheinventor,oratleastoneortwoofthosepersons. These indication sallowany potential license eto contact the persons concerned in order to find out under what conditions the technology may be transferred.
- 22. Patentdocumentsoftendisclosenotonlyconceptsconcerningthegeneralutilityofthe invention, but generally also give detailed information on the possibility of its practical application in industry.
- 23. Sincethetechnologicalinformationcontainedinpatentdocumentsisnotsecret,itcanbe freelyusedtosupportresearchanddevelopmentactivities;if agiveninventionisnotprotected byapatentinthecountryoftheuser(anditisobviousfromthestatisticsthatonlyaminorityof inventionsareeverprotectedinthemajorityofdevelopingcountries),thesaidinventioncan evenbeputtoindustria lapplicationinthatcountry,althoughtheresultsofthatindustrial applicationcannotbeexportedtoanothercountrywheretheinventionisprotectedbyapatent.
- 24. The above mentioned specific characteristics of patent documents make the meminently useful sources of technological information, with some clear advantages over other sources of information.

PUBLICATIONOFPATENTINFORMATION

- 25. Eachpublicationofapatentdocumentcouldbethebasisfornewtechnicaldevelopments of other inventor s. Without publication there would be no chance at all for the public to obtain information about new technical developments. It is therefore understood that informing the public is one of the task stobeful filled by an industrial property of fice. Int he last 30 years an important change has taken place. Most industrial property of fices have decided to increase their public information capacity and they have also realized that the task of informing the public might infuture be of equal importance to he task of granting patents and registering trademarks and designs.
- 26. Apatentsystemalwayshadandstillhastwofunctions.Functiononecouldbecalled the "protection function," function two "thein formation" function. "The fact that apatent gives an inventor exclusive rights in a special field and by doing so limits the possibilities of access to this special technology for other enterprises, is compensated by the information about the newly developed technology which is to be laid open by the inventor. This second function of the patent is not only the main cause for the continuous development of technology but is also of increasing importance for industrial property of fices.
- 27. Highqualityinformationsystemsarecreatedandpermanentlyupgra dedandhave becomeoneofthemaintasksofanationalpatentorganization. Forresearchand developmentactivitiesthisnewtaskmightbeofmoreimportancethantheoriginalmain functionofapatentoffice, namelythegranting of patents. Information is now one of the main products of nationale conomies.
- Inspiteoftheadvantagesandpossibilitiesofpatentpublicationsasasourceof technologicalinformation, itsuse is unexpectedly low. A testin 1985 dealing with this problemandconcerning technologyandinnovationinAustriafoundthatonly4% of the enterprisesused patent literature as an innovative instrument. It is of great interest that the influenceofpatentinformationincreasesinrelationtothesizeoftheresearchand developmentinstitutionortheenterprise; companies with more than 100 staff have a percentageof18.5.Enterprises with less than 100 staffusedpatentliteratureinonly2 -3%of all cases in the first stage of development. This result correlates with a much moreintensive patentactivityinlargerenterprises.Only5% of the enterprises of this study had 500 and more employees but 55% of the applications or iginated from this group. The "Info -Institut fürWirtschaftforschung"inMunichcarriedoutanotherinovationtest:patentliteratureasan innovativeinstrumentwasthelastofallpossibleitemstobechosenunder10possibilities.
- 29. Thelowutilizationofpatentinformationisregrettable, because it is a fact that in the EC billionsperyear —the British Patent Offices poke of about 20 billion Pounds —are wasted to develop things that are already developed and documented in the description of patent specifications. There is no comparable analysis for Austria but the trend is the same. 40% of the patent application sin Austria do not lead to a granted patent, because they do not contain any new aspects. Costs for development and staff could be cut if the relevant patent literature was consulted at an earlier stage in the development.
- 30. The 'Fraue nhoferinstitutfür Systemtechnikund Innovations for schung in Karlsruhe," Germany, has found out alot of possible reasons for the low use of patent publications as a source of information. Many users of patent information are not informed about patent litical senature. It is a general misunder standing that only basic inventions are protected. This is also one of the reasons why some people expect they have no chance of receiving a patent for their development.

- 31. Peoplearenotawarethatalsosmallimprovem entsaredisclosedinthedescriptions. Evenwhenpeopleknowpatentsasindustrialpropertyrights, thereseemstobenological connectionbetweenthefunctionofprotectionandinformation. A common misunderstanding is that people think they have to a ylicenses in any case when they use an invention. They forget that the industrial property right might have expired or that the invention may not be protected in their home country.
- 32. Withoutinformationaboutthestateoftheart, the risk is that t hesameproductwillbe developed a second time. This is an obstacle for technical and economic development and a second time. The second time is a second time of the second time of the second time. This is an obstacle for technical and economic development and a second time. This is an obstacle for technical and economic development and a second time.hindrancetoinnovation. Itisaspecialtaskofthenationalexamining patentofficestoassist smallandmediumsizeenterprisesandtoel iminatethisinnovationobstacle. Therefore the highlyqualifiedinformationservicesofthenationalindustrialpropertyofficesareespecially $important in those countries where small and medium size enterprises dominate. The {\it the model} is a constant of the {\it the model} in the {\it the model} is a constant of the {\it the model} in the {\it the model} is a constant of the {\it the model} in the {\it the model} is a constant of the {\it the model} in the {\it the model} is a constant of the {\it the model} in the {\it the model} is a constant of {\it the model} in the {\it the model} is a constant of {\it the model} in the {\it the model} is a constant of {\it the model} in the {\it the model}$ questionis, therefore, what atkindofservices should be offered to them by industrial property offices. We know that knowledge about services and especially about the usefulness of patent informationisnotveryhighintheseenterprises. Industrial property offices offering informationserviceshavetoincreasethepublic'sknowledgeaboutexistingservices, as well as knowledge about the useful ness of technical information and about the importance of the contraction ofinformationconcerningtrademarks, designs and patents on the market. This is commonly calledadvertising. Thereis nouse in having an excellent service no body knows about and whichthereforenobodyrequests.

USEOFPATENTINFORMATION

- 33. Themainusergroupsofpatentinformationare:
 - smallandmedium -sizeenterprises,
 - researchanddevelopmentinstitutions,
 - governmentalauthorities,
 - individualinventors,
 - professionalsinthefieldofpatents,e.g.administrators,oftechnicallibraries, patentagents,researchers,producersofdatabanks
 - educationalinstitutionsanduniver sitystudents
- 34. Themainneedsoftheusersinthefieldofpatentinformationare:
 - knowledgeaboutexistingprospectiveindustrialpropertyrightsinthecountry validity,ownership,...),particularlytoavoidinfringementactions,
 - knowledgeaboutthe stateoftheartinaspecifictechnologyinordertobeaware ofthelatestdevelopment,
 - assessmentofnoveltyandpatentabilityofowndevelopmentswithaviewto applyingforadomesticorforeignindustrialpropertyright,
 - evaluation of aspecific tec hnology and identification of possible licensors,
 - identificationofalternativetechnologyanditssources,
 - locationofsourcesofknow -howinaspecificfieldinagivencountry,
 - improvementofanexistingproductorprocess,
 - developmentofnewproductso rprocesses,
 - solutionofaspecifictechnicalproblem,
 - assessmentofaparticulartechnicalapproach(whetherithasbeentriedbeforeand mightbeworthpursuingorwhetheritwouldleadtowastefulduplicationof researcheffort),

- monitoringofactiviti esofcompetitorsbothwithinthecountryandabroad,
- surveyofthemarketinordertoidentifyagaportodiscovernewtrendsatan earlystage.

WIPOPATENTINFORMATIONSERVICESFORDEVELOPINGCOUNTRIES(WPIS) INTRODUCTION

35. One of the principal funct ions of the World Intellectual Property Organization (WIPO) is to offer technical assistance to developing countries. This also includes the access to and use of technological information contained in patent documents in order to accelerate their economic, so cial and cultural development.

SERVICES

- 36. Since 1975, WIPO has been operating its program to provide users indeveloping countries with technical information as contained in patent literature. The WIPO Patent Information Services for Developing Countries (WPIS) are offered free of charge on the basis of contributions made by some 15 industrial property offices in industrialized countries, as well as the European Patent Office and the International Bureau of WIPO itself, and include the provision of :
- (i) reportsonsearchesandinvestigationscarriedoutinpatentdocumentcollections and on -linedatabasestoestablishthestateoftheartinaspecifictechnology;
- (ii) searchandexaminationreportsofapplicationsforpatentsoftheAfricanRegio nal IndustrialPropertyOrganization(ARIPO)undertheHarareProtocol;
- (iii) searchandexaminationreportsofapplicationsforpatentsunderthe International Cooperationinthe Searchand Examination Inventions (ICSEI);
- (iv) informationonequival entpatentdocumentsandpatentliteraturecitedinearlier examinationproceduresoridentifiedindocumentarysearchescarriedoutbyotherpatentoffices;
 - (v) information on the legal status of published patent applications and granted patents;
 - (vi) copiesofindividualpatentdocuments.
- 37. Theseserviceshaveprovedtobehighlysuccessful, which is reflected in the number of users who regularly ask for information, as well as the number and diversity of requests received. From January 1 to Decemb er 31,1999,1334 search requests (including ICSEI) were received from 42 developing countries. So far, 106 countries and intergovernmental organizations have benefited from WIPO's free of-charge Patent Information Services. Consequently, WIPO has done its best to meet this increasing demand and to augment both the capacities allotted and the diversity of the services.
- Theprogramofprovisionofreportsonthestateoftheartcarriedoutinpatentdocument collectionsandonlinedatabaseshadstart edin1975incooperationwithAustriaandwas gradually extended to cover contributions from other do nor countries. Agreements for the provision of search reports have been concluded between WIPO and the industrial propertyofficesofthefollowingcount ries: Australia, Austria, Bulgaria, Canada, Finland, France, Germany, Japan, Norway, Russian Federation (former Soviet Union), Sweden, Switzerland and UnitedKingdom.Inaddition,otherofficesprovideassistanceinparticularcases,andsome reportsare provided by WIPO itself. The reports established by WIPO are made after carrying outon -linesearchesinOrbitandQuestelhostcomputers.Theon -linesearchesaremade possible by the courtesy of INPI, France, and Questel -Orbit.Itshouldbementioned thatby December 31,1999, more than 12,800 search reports were established and transmitted free -of-

chargetotherequestorsin92developingcountriesand14intergovernmentalorganizationsand countriesintransition. Thesereportsalsocoveredspecial requests for novelty search and substantive examination as to the patenta bility of patenta pplications indeveloping countries as well as special requests for search and examination of patenta pplications submitted by ARIPO. A cumulative survey of the number of requests for the state of the artreports received from each developing country and intergovernmental organization is in Annex II.

- 39. Thereare,inpractice,variousreasonsforrequestingsearchestobecarriedoutin collectionsofpatentdocum entsandon -linedatabases,eachofwhichrequiresaslightly differentapproachinthesearchmethodused.Someofthesearch"types"arebasically concernedwithtechnologicalinformationassuch,whileothersarealsodirectedtowards patentrightsand licenses.
- 40. WithintheframeworkoftheWPIS, searchescanbecarriedoutwhichmayserve:
- todeterminethegeneralstateoftheartforthesolutionofagiventechnicalproblemas
 backgroundinformationforR&Dactivitiesandinordertoknowwhat
 relevantpatent
 documentsalreadyexistinthefieldoftheresearchactivity;
- toidentifyalternativetechnologieswhichmayreplaceaknowntechnologyortoevaluatea specifictechnologywhichisbeingofferedforlicenseorwhichisbeingconsideredfo acquisition;
- to locate information about published patent documents involving specific companies or individuals, such as applicants, as signees, patentees or inventors;
- toassistindeterminingthenoveltyorlackofnoveltyoftheinventionclaimedina patent applicationorapatentalreadygranted,orevenofaninventionforwhichnoapplicationhas yetbeenfiled;
- tolocatedocumentsrelevanttothedeterminationnotonlyofnoveltybutalsoofother
 criteriaofpatentability,suchasthepresenceor absenceofinventivestep,meaningthealleged
 inventionisorisnotobvious,ortheachievementofusefulresultsortechnicalprogress;
- toidentifyamemberofa"patentfamily"whichcouldbeusefulinorderto
 - -findthecountriesinwhichagivenpa tentapplicationhasbeenfiled(ifpublished);
 - -locatethedocumentthatiswritteninadesiredlanguage;
 - -obtainalistofpriorartdocumentsor"ReferenceCited";
 - -estimate the importance of the invention by number of patent documents relating the same invention and being published in different countries or by industrial property organizations;

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- toobtaininformationonthevalidity(status)ofapublishedpatentapplicationoragranted
 patent,onagivendate,undertheapplicablepatentleg islationinoneormorecountries.
 Suchinformationcanassistinmakingdecisionsonexport,forexample,orinthe
 negotiationoflicenseagreements.Itcanalsogiveguidanceonthevalueattachedtoa
 particularpatentbythepatentee.
- 41. Inspecia lcases, WPIS offers substantive examination of patent applications pending in developing countries. The WPIS offers two specific possibilities: a search in the framework of the International Cooperation in the Search and Examination of Inventions (ICSEI) and the search service "Equivalent patent documents and citations."

- 42. ICSEI, which started in December 1983, assist spatent of fices of developing countries in assessing novel ty and inventive step of patent applications filed with them. An ecessary prerequisite of using ICSEI is the availability of the complete text of the patent application which should be transmitted to the International Bureau of WIPO in either English, French, Germanor Russian.
- 43. Thispossibility, which complements the servic esrendered under ICSEI, has been used successfully inmore than 1000 cases by such countries as Argentina, Cambodia, Colombia, Ecuador, Ghana, Guatemala, Indonesia, Jordan, Kenya, Lebanon, Lesotho, Libya, Republicof Korea, Madagascar, Malaysia, Mauritius , Mexico, Morocco, Philippines, Peru, Sri Lanka, Trinidadand Tobago, Tunisia, Turkey, Uruguay, Venezuela, Viet Nam, the former Yugoslavia and Zimbabweand intends to assist industrial property of fices of developing countries in examining patentapplications pending with the mand filed in their respective countries.
- 44. Onthebasisofthesedata, the International Bureauitself can proceed to search on for equivalent patent documents published in other countries or by regional or international patent authorities. In the case that equivalent patent documents were published and patent literature was cited during the examination procedure in other offices (normally in the form of search reports annexed to the published patent applications or a scitation slisted on the first page of the granted patent), the International Bureause cures copies of both the search reports and patent documents cited therein.
- 45. The cooperation program with the African Regional Industrial Property Organization in examining A RIPO patent applications has been specifically adapted to the provisions of the Harare Protocolon Patents and Industrial Designs of December 10,1982, and its Implementing Regulations.
- The WIPO Patent Information Services for Developing Countries alsoprovidefree copies of full text of any published patentor patent application as may be specifically requested by the users from developing countries. In case are quested document is published byacountryinalanguagenotfamiliartotherequester, W **IPOendeavorstoidentifythe** description of the same invention contained in another corresponding patent document publishedbyanothercountryinEnglish.Ifnocorrespondingpatentisavailableinalanguage familiartotherequester, WIPOseekstoobta in the translation of the abstract in Englishwhereveravailable.Some30countriesprovidefree -of-chargecopiesoftheirpatent documents; however, the main suppliers of free copies are Austria, France, Germany, Japan, Portugal, the Russian Federation, Switzerland, United Kingdom, United States of America, EuropeanPatentOffice(EPO)andWIPO.Since1984, starting date of this copy service, WIPOhasreceivedmorethan2,800requestsfrom50developingcountriesandmorethan 44,000copiesofpatentdo cumentspublishedby37countrieshavebeensuppliedandmailed free-of-chargetotherequesters.

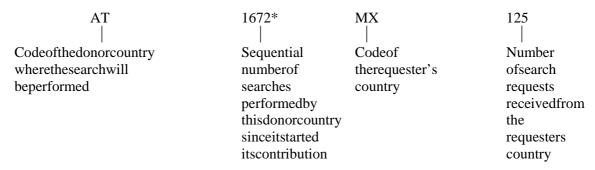
PROCEDURESTOBEFOLLOWEDFORSUBMITTINGAREQUESTUNDERTHEWPIS

- 47. Allrequests should be submitted to the International Bureau of WIPO in Geneva and should comply with a certain number of requirements which are to be found in the WIPO information brochure "WIPO Patent Information Services for Developing Countries (WPIS)."
- 48. Inordertofacilitatecompliancewiththesaidrequirements, aprinted form is to be used as the first page of the request. Search requests can be submitted in English, French, German, Russian or Spanish.

- 49. Itmustalsobeborneinmindthatitdependstoalargeextentonthequalityandclarity ofthedescription, the summary, the drawings (if applicable), as well as the correct spelling of names and the completeness of bibliographic data, whether as ear chan be carried out and whether satisfactory results can be expected within a reasonable period of time or only after time-consuming investigations.
- 50. Thesearchiscarriedoutbyacompetenttechnicalexpert,mostlyapatentexaminerin oneofthecontributingpatentoffices. Asarule, this examiner uses these archfiles of his special field and other documentation availab leat the patent of ficelibrary. These archis normally carriedout without undue delay. Copies of relevant documents found in the course of these archare annexed to the search report. Samplese arch requests, and the corresponding search reports, are iven in the WIPO information brochure "WPIS," which contains some further guidelines on the formulation of search requests, including sample requests which have been properly formulated as well as the forms to be used when submitting requests to the International Bureau of WIPO.

WIPOPROCEDUREINPROCESSINGAREOUEST

- 51. Immediatelyafterthereceiptofarequest, a first evaluation is performed at WIPO on the quality and clarity of the description, the summary of the technological problem on which the report is requested, the drawings (if applicable), as well as whether the search should be limited as to period, countries, languages and symbols of the International Patent Classification. In some cases, the International Bureau contacts the requester askin gfor complementary information before sending the request to the industrial property of fice (IPO) of one of the donor countries.
- 52. Oncetheevaluationoftherequesthasbeencompleted, it is registered using the following code; for example "AT -1672/MX-125":



*ICSEI and ARIPO searches are not included in these figures.

Incasetherequestisnotproperlyorclearlyformulated, therequestisnotproperlyorclearlyformulated, therequestisnotprotect each of informed that, in order to process his/herrequest, additional information is required. Requests may be refused if they relate to sensitive military purposes.

- 53. Afterevaluationandregistration, therequests are sent to the dono rountries' IPOs according to subject, language and geographic preferences pointed out by the donor country. In some cases, requests may have to be translated, in particular in the case of those received in Spanish as the donor countries' IPOs donot process searches in this language.
- 54. ThedatesofreceiptatWIPO, and the sending off to the donor offices of the search requests, are registered, as well as the date of receipt of each search report containing copies of the relevant patent documents ment ioned in the report from the donor country; the dates of sending these documents to the requester are also registered in the file for possible future requests, statistics, etc. These archieves and documents mentioned in the mare then sent to

thereque sterswithanaccompanyingletterencouragingthemtocontacttheInternational Bureauonceagainshouldthereportnotfullymeettheirneedsand/orshouldtheywishto receiveadditionalinformationonthesubjectmatteroftheinitialrequest.

- 55. Asi milarprocedureisfollowedwhenreceivingrequestsforsearchandexamination reportsonpatentapplicationsunderICSEIorthecooperationprogramwiththeAfrican RegionalIndustrialPropertyOrganization(ARIPO).
- 56. Requestsforinformationonequiva lentpatentdocumentsandpatentfamiliesare processeddirectlybytheInternationalBureaubyon -linesearchesinQUESTEL -ORBIT;the informationissenttotherequesterbyfaxorviaelectronicmailimmediately.Owingtothe cooperationbetweenIPOso fallcountries,copiesofequivalentpatentdocumentsorofthe patentfamiliesarealsoreceivedbytheInternationalBureauandsentontotherequester.
- 57. Informationconcerningthelegalstatus(validity)onagivendateofpublishedpatent applicationsandgrantedpatentsundertheapplicablepatentlegislationincertaincountriesis alsoobtainedusingtheWIPOPatentInformationServices.Forthemoment,thisserviceis restrictedtopatentdocumentspublishedbytheIPOsofAustralia,France, Spain,Switzerland, UnitedKingdom,UnitedStatesofAmericaandbytheEuropeanPatentOfficeandsome developingcountries.
- 58. Requestsforcopiesofindividualpatentdocumentsareregisteredandthecopiesare orderedfromtheIPOsofthedonorcount ries.Itshouldbementionedthatallcountries contributetothisservice,butparticularlytheIPOsofAustria,Australia,Canada,France, Germany,Japan,Portugal,RussianFederation,Spain,Switzerland,UnitedKingdom,United StatesofAmericaandthe EuropeanPatentOfficesupplyanimportantnumberofcopiesof patentdocumentsfromtheircollections.
- 59. AllWIPOPatentInformationServicesarebeingcomputerizedtofacilitatethehandling ofrequests,acceleratetheirprocessingandkeepcontrolo feverysinglerequest.Different software,likePARADOXandEXCEL,arebeingused.
- 60. Inallcases,requestersoftheWIPOPatentInformationServicewillbeadvisedon whethertheirrequestswillbeprocessed.Furthermore,accordingtothepolicyof the InternationalBureauofWIPO,nocopiesofpatentdocuments,searchreportsorother informationrelatedtoarms,chemicalwarfare,militarytechnologies,etc.willbefurnished withintheframeworkofthesefree -of-chargeservices.

PRESENTSITUATION OF CONTRIBUTIONS TO AND QUANTITATIVE DEVELOPMENT OF THE SERVICES

61. OnDecember 31,1999, agreements on contributions to the WPIS were inforce with and/or regular contributions were made by the following industrial property of fices:

Australia: Acapa cityof 20 searches on the state of the art per year has been put at the disposal of the International Bureau. Furthermore, the Australian Industrial Property Organization is providing information on the legal status of Australian patent documents, as well as copies of Australian patent documents that WIPO cannot obtain from other contributing of fices.

Austria: The Austrian Patent Office continues to provide up to 70 reports on the state of the art, including examination reports under the ICSE I and copi esof patent documents contained in its files.

 ${\it Bulgaria}: The Patent Office of the Republic of Bulgaria furnishes up to 15 search reports annually.$

Canada: The Canadian Intellectual Property Office contributes by performing up to 25 search and examination reports on patenta pplications filed by ARIPO under the Harare Protocol. The Office also provides copies of Canadian patent documents.

Finland: The Finnish National Board of Patentsan d Registration provides 15 state-of-the-artsearch reports per year.

France: The National Institute of Industrial Property (INPI) continues providing copies of French patent documents and furnishing information on the legal status of such documents. Since January 1990, INPI has been contributing to the WIPOP at ent Information Services for Developing Countries by preparing up to 24 search reports per year. Furthermore, the International Bureau has limited free of-charge access to the INPI computerized databases a vailable through QUESTEL - ORBIT, for the benefit of developing countries.

Germany: The German Patent Office provides up to 100 searches annually, including search and examination reports on ARIPO patent applications. It also furnishes copies of German patent documents.

Japan: The Japanese Patent Office furnishes up to 100 search reports for the 1999 fiscally earending on March 31,2000. This Office also provides 200 copies of Japanese patent documents annually.

Norway: The Norwegian Patent Office provides up to 15 searches annually.

 $\label{lem:portugal:portugal:portugueseNationalInstitute} Portugal: The Portuguese National Institute of Industrial Property provides a large number of copies of patent documents available in its collection.$

RussianFederation: Anannualtotalof125search and examination reports—including 100state-of-the-artsearches—have been made available. Furthermore, the State Patent Agency provides up to 1,000 copies of Russian patent documents per year.

Spain: The Registry of Industrial Property provides copies of Spanish patent documents, as well as information on their legal status.

Sweden: The Swedish Patent Office continues to provide approximately 50 search reports per year in accordance with the agreement concluded to that effect on November 16, 1979. Since the beginning of 1984, this contribution has been included among the activities planned within the Trust Fund Agreement concluded between WIPO and the Swedish International Development Authority (SIDA).

Switzerland: The Swiss Federal Intellectual Property Office is contributing to the WPIS by furnishing up to 72 search reports annually. This Office continues to provide information on the legal status of Swiss patent documents and copies of patent documents to the extent that they are contained in its files.

 $\label{lem:continued} United Kingdom ? The United Kingdom Patent Office continues to provide up to 50 searches annually and up to 400 copies of British patent documents per year as well as information on the legal status of British patent documents.$

 $\label{lem:continuous} United States Patent and Trademark Office provides \\ copies of American patent documents.$

 $\label{lem:theorem} \textit{The European Patent Office} \quad : This of fice provides information on the legal status of European patent documents and copies of published European patent and copies of published Eur$

Othercountries: Inaddition, other offices have provided assistance in particular cases, especially infurnishing free copies of their national patent documents which were not obtainable from offices contributing regularly to the WPIS , this is the case of Belgium, Brazil, China, India, Mexico, The Netherlands, etc...

- 62. ItshouldbementionedthattheseAgreementshavebeenflexible,especiallysoin1994 and1995,whentheInternationalBureaustartedreceivinganincreasingnumber ofrequests exceedingthenormalcontributionsofthedonorcountries.Owingtothegenerous exceptionalcontributionsofAustria,Canada,FinlandandSwitzerland,theInternational Bureauhasbeenabletoprocessalltherequestsreceiveduntilnow.
- From the start of the Program in 1975 up to December 31, 1999, atotal of 12,867 search requestswerereceivedfrom92developingcountries:Algeria,Argentina,Bangladesh,Benin, Bhutan, Bolivia, Botswana, Brazil, Burkina Faso, Burundi, Cabo Verde, Cambo dia. Cameroon, Chad, Chile, China, Colombia, Congo, Costa Rica, Côted' Ivoire, Cuba, DemocraticPeople'sRepublicofKorea,DemocraticRepublicofCongo,Dominican Republic, Ecuador, Egypt, El Salvador, Ethiopia, Gabon, Ghana, Guatemala, Guinea, Guinea Bissau, Guyana, Honduras, India, Indonesia, Iran (Islamic Republicof), Iraq, Jamaica, Jordan, Kenya, Kuwait, Laos, Lebanon, Lesotho, Libya, Madagascar, Malawi, Malaysia, Mali, Mauritania, Mauritius, Mexico, Mongolia, Morocco, Nepal, Nicaragua, Niger, Nigeria .Oatar. Oman, Pakistan, Panama, Paraguay, Peru, Philippines, Republicof Korea, Rwanda, Saint Lucia, Senegal, Singapore, Somalia, Sri Lanka, Sudan, Surinam, Swaziland, Syria, Thailand, TrinidadandTobago,Tunisia,Turkey,UnitedArabEmirates,Uganda,Un itedRepublicof Tanzania, Uruguay, Venezuela, VietNam, Yemen, Yugoslavia, Zambia, Zimbabwe; 2 countries with economies in transition and 14 international organizations on behalf of users in theirmemberscountries:AIDMO,ALADI,ARCT,ARIPO,CEDARE(CED),CDC,ECA, ESCAP,FASRC,IFIA,OAPI,OAU,UNIDOandWHO.
- 64. From 1975 to December 31,1999, atotal of 12,028 search reports were delivered. Two hundred and fifty two of these search reports were provided by Australia, 2,061 by Austria, 43 by Bulgaria, 158 by Canada, 258 by Finland, 154 by France, 1,747 by Germany, 1,265 by Japan, 62 by Norway, 1043 by the Russian Federation, 857 by Sweden, 846 by Switzerland, 120 by the United Kingdom, 40 by the EPO, and in 1,020 cases, the International Bureau itsel carried outsearches, mainly to identify equivalent patent documents, by using its own access to computerized databases. Additionally, the International Bureau prepared 2102 preliminary reports (121 in 1995, 538 in 1996, 360 in 1997, 426 in 1998 and 657 in 1999) based on online searches (see Annex I).
- 65. AnnexII contains a cumulative survey showing the number of requests for state -of-the-artreports and searches for equivalent patent documents received by the International Bureau from each developing ountry since the beginning of the Program in September 1975 (ICSEI examination requests included).

- 66. FromtheestablishmentofICSEIinDecember1983uptoDecember31,1999,atotalof 1022 examinationrequestswerereceivedfrom29(seepa.42)countr ies:ByDecember31,1999, 795 searchandexaminationreportshadbeenpreparedbyAustria(233),Finland(12),Germany (72),RussianFederation(346),Sweden(130)andEPO(2),andforwardedtotherequesting offices.
- 67. UpuntilDecember31,1999,assi stanceinexaminationofARIPOpatentapplications hadbeenrequestedin777cases.Sincethestartoftheprogramin1984,atotalof625search andexaminationreportshavebeenprovidedbytheindustrialpropertyofficesofAustria (246),Canada(128), Germany(176),RussianFederation(41),Sweden(23),UnitedKingdom (9)andEPO(2).In117cases,throughonlinesearches,patentdocumentscouldbeidentified withprioritydataprovidedbyARIPO.Thecopiesofthedocumentsfoundweresentto ARIPO.
- 68. The difference between these archrequests and reports provided (839) represents 6.5% of the total and in most cases, is due to the fact that additional detailed information was requested from the donor countries or WIPO and was not provided by there questor. If it is considered that about 200 search reports were being processed by the donor countries, the difference only represents 5%.
- 69. Information on the legal status of patent documents was requested in only a few cases, and the International Bu reauwas able to provide the information with the help of the contributing of fices.
- Incooperation with the industrial property offices of several donor countries, WIPO has continued to supply free copies of specific patent document supon request by d countries.FromJanuary1986,thedateatwhichtheInternationalBureaustartedtoestablisha statisticalsurveyonthisservice,uptoDecember31,1999,requestsforcopiesofpatent documentswerereceivedfromthefollowing64developingc ountries: Algeria, Argentina, Bolivia, Botswana, Brazil, Burundi, Chile, China, Colombia, Congo, Costa Rica, Côted' Ivoire, Cuba, Democratic People's Republic of Korea, Ecuador, Egypt, Ethiopia, El Salvador, Ghana, Guatemala, Guinea Bissau, Honduras, India, Indonesia, Iran, Iraq, Jamaica, Jordan, Kenya, Lebanon, Libya, Madagascar, Malaysia, Mauritius, Mexico, Mongolia, Morocco, Nicaragua, Nigeria, Pakistan, Panama, Paraguay, Peru, Philippines, Republicof Korea, Saudi Arabia, Senegal, Singapore, Sri - Lanka, Sudan, Thailand, Trinidadand Tobago, Tunisia, Uganda, Uruguay, United Arab Emirates, United Republic of Tanzania, Turkey, Venezuela, Viet Nam, Yemen, Yugoslavia, Zambia, Zimbabwe, two countries with economies intransition and, on behalfoftheirmember states, from three intergovernmental organizations: ARCT, ARIPO, FASCR. Atotalof48,406copiesofpatentdocuments were requested and the International Bureauwasabletosatisfyvirtuallyalloftherequests. Onlyinvery exceptional cases, like in case of very old documents or of patent documents not published in multiple copies and normally notcontained in search files, copies could not be provided. Some requests also referred to patent documentspublishedinlanguagesunlikelytobeundersto odbytherequestor.Insuchcases,the InternationalBureauattemptedtoidentifyandsupplycopiesofthecorrespondingpatentsorofthe abstractsinthedesiredlanguages. Itshouldbenotedthatin 1994 and 1998, these copies were furnishedmainlyb ythefollowingIndustrialPropertyOffices,intheproportionsindicated:

the

	1994	1998
Austria	7%	9%
Germany	<1%	7%
UnitedStatesofAmerica	34%	26%
Japan	5%	4%
Portugal	6%	3%
UnitedKingdom	8%	6%
Switzerland	6%	29%
EPO	26%	4%
WIPO	6%	7%

STATISTICALANALYSIS

- 71. Thedatagivenbelowwereobtainedbyanalyzing1,748searchrequestssubmittedto theInternationalBureauduringtheperiod1997 -1998.Theygivethefollowinginteresting picture(forcomparisonpurposes ,theresultsof1995 -1996[1321requests],1993 -1994[646 requests]and1991 -1992[318 requests]havebeenincluded).
- 72. According to the statements made by the users when submitting their requests, the main purpose for requesting the report was:

purposerorrequestingtnereportwas:	<u>97-98</u>	95-96	93-94	91-92*
- toassistinthedecision -making processconcerningindustrialproperty proceedings(e.g.filingofapatent application,etc.)	86%	<u>93-90</u> 88%	53-54	36%
 toformabasisfordevelopingresearch anddevelopment activitiesbyidentifying thesolutionsalreadyknowntoa technologicalproblem. 	12%	6%	9%	36%
- toassistplanningintheuseof newtechnology.	<1%	<1%	<1%	40%
 toassistinovercomingdifficultiesincertain technologicalstepsofatechnologyalready implementedbytherequestor 	<1%	<1%	2%	31%
 toassesstechnologyand/orequipment tobeorbeingpurchased 	<1%	<1%	2%	9%
- toassessresultsreachedunderacurrent researchanddevelopmentproj ect	<1%	<1%	2%	6%
- severalintendedpurposesforthe searchreportrequested	<1	4%	29%	-

^{*}Therequestorspointedoutseveralpurposes

73. The distributions how ninthetable points out that the main purpose of these archreport is to assist IPOs, organizations or individuals in the decision industrial property proceedings. In previous studies (1988 - 1990), the distributions how nabove demonstrated that, for users indeveloping countries, the legal aspect of patent literature

wasofalmostequalimportanceasthetechnicalinformationaspect. This was also significant inview of an earlier analysis established by the International Bureau in the 1980's in which the technical information aspect largely over ode the legal aspect. The sechanges could be seen as new developments in view of the demands of the users towards the WIPOP at ent Information Services and shows proof of an increase duse of the WPIS by industrial property of fices indeveloping countriest on eet their information needs.

74. Thetechnicalfieldsinwhichthesaid1,748requestsfortheperiod1997 -1998,were carriedoutbycontributingofficescanbegroupedasfollows:

Technicalfield (accordingtoIPCsections)	Percentage				
(accordington esections)	<u>97-98</u>	<u>95-96</u>	<u>93-94</u>	<u>91-92</u>	
'Humannecessities(A)	26	23	32	23	
.Chemistry;metallurgy(C)	22	22	21	24	
.Performingoperations,transporting(B)	18	18	19	19	
Electricity(H)	6	5	14	3	
.Physics(G)	6	5	5	5	
.Fixedconstructions(E)	8	7	5	6	
.Otherornotspecified	14	20	4	20	

- 75. Inmostcases77%in1997 -1998,87%in1995 -96and92%in1993 -94,threeormore patentdocumentsweresuppliedwiththesearchreportandin23%ofthecasesnon -patent literaturewasincluded(15%in1995 -1996and11%in1993 -1994).
- 76. The "final users" of these archreports can be categorized in the following proportions:

	95-96	93-94
87%	89%	76%
12%	8%	22%
1%	3%	2%
	12%	12% 8%

Withregardtotheregions in which users are located, the following sequence was revealed:

REGION/YEAR	97-98	95-96	93-94	91-92
Asia&Pacific	21%	48%	43%	53%
LatinAmerica	62%	38%	32%	30%
Africa	13%	8%	19%	12%
ArabCountries	4%	9%	6%	2%

77. TheInternationalBureaucontinuouslyreceiveslettersandcommentsfromusers concerningsearchreportsandotherservicesprovided.Itshouldbenotedthat,inmostcases, thetechnologicalinformationsubmittedwiththesearchreportmeetstheneedsofr equesters atahighlevel;onlyinexceptionalcases,theinformationprovidedwasconsiderednotuseful.

78. Concerningthetimedelaybetweensubmittingtherequestandreceivingthesearch resultsfromtheIPOsofthedifferentdonorcountries,the InternationalBureaucouldcalculate thefollowingaveragesfortheyears1993 -1994(totalof646reports),1995 -1996(totalof1,321reports)and1997 -1998(totalof1748reports).

TABLE1(NumberofIPOsrequiringthistime)

TIME/YEAR	97-98	95-96	93-94
lessthan3months	6	6	3
between3 -4months	3	3	5
between4 -6months	3	3	1
morethan6months	1	1	1

- 79. ItshouldbementionedthatinpreviousstudiespreparedbytheInternationalBureau concerningthismatter(1991),mostoftherequestorsexpress edtheopinionthatthedelaywas asexpected,butabout40% of the users were not fully satisfied, pointing out that the response time was ratherlong. It is important to note that compared to 1995, the number of donors delivering these archieves with inless than three months has doubled.
- 80. Itshouldalsobementionedthat,inseveralcases,thedonorofficecontactedthe InternationalBureautoobtaincomplementaryinformation.Inthesecases,thedelaywas considerablyextendedbecauseofthetim erequiredtocontacttherequesterandhis/her sendingofthenecessaryadditionalinformation.

WPISwouldhaveamountedtomorethan16milliondollarshadtheybeenmade availableonacommercialbasis.

[Annexes follow]

WIPO/IFIA/BUE/00/9.a

ANNEXI WIPOINVENTORYOFPERIODI CALLYPUBLISHEDCD -ROMPRODUCTS CONTAININGPATENTORTRADEMARKINFORMATION

Office/ Organization	Nameof CD-ROM product	Frequency of publication	Contents	Recording Mode	Coverage	Price(Annualsubscription unlessotherwisestated; postagenotincluded)
EUROPEAN PATENTOFFICE (EP)	ESPACE/EP-A*	Weekly	EPapplications;full -textbibliographicdata	-Facsimile -Character(data)	1978 1979 1980 1981–82 1983–99	EURO51 EURO306 EURO613 EURO766 EURO869
	ESPACE/EP-B*	Weekly	EPpatent:full -text,bibiographicdata	-Facsimile -Character(data)	1980 1981 1982–84 1985–87 1988–99	EURO51 EURO153 EURO511 EURO920 EURO1431
	ESPACE/First	Bi-monthly	EP,WOfrontpages,bibliographicdata	-Facsimile -Character(data)	1978–79 1980–81 1982–85 1986–87 1988–99	EURO 20 EURO51 EURO66 EURO86 EURO178
	ESPACE/ACCESS EP-B	Quarterly	EPpatents:bibliographicdata,firstclaimsandcitations	-Character(data)	1991 →	EURO306
	ESPACE/ACCESS EUROPE	Quarterly	BE,LI,LU,NL,CH,UK,bibliographicdata	-Character(data)	1985 →	EURO153
	ESPACE BENELUX	Monthly	1stpublicationdoc'sfromBE,NL,LU	-Facsimile	1991 →	EURO255
	ESPACECH	Monthly	CHpatentdocuments	-Facsimile	1990 →	EURO224
	ESPACEDK	Quarterly	DKpatentdocuments	-Facsimile	1990–92 1993 →	EURO255 EURO639
	ESPACEPRECES		PatentsfromBU,CZ,HU,LT,LV,PO,RO,SK	-Facsimile	1993–97 1998 →	EURO102 EURO204
	ESPACEIT	Monthly	ITpatentapplication slaidopenforpublicinspection	-Facsimile	1993–94	EURO511
	ESPACEPT	-	PTpatentdocuments	-Facsimile		
	ESPACEASEAN		PatentsfromMY,ID,SG,TH,PH	-Facsimile	1994	Prototype
	ESPACEIN		PatentsfromIN	-Facsimile	1991	Prototype
	BULLETIN	Bi-monthly	EPappl's:bibliographic+legalstatusdata	-Character(data)	1978 →	EURO306
	LEGAL	Sixmonths	Boardofappeal,treaties,etc.	-Character(data)	1978 →	EURO153

^{*} Specialdiscountfordevelopingcountries

WIPOINVENTORYOFPERIODICALLYPUBLISHEDCD -ROMPRODUCTS CONTAININGPATENTORTRADEMARKINFORMATION

Office/ Organization	Nameof product	Frequency of publication	Contents	Recording Mode	Coverage	Price(Annualsubscription unlessotherwisestated; postagenotincluded)
EUROPEAN PATENTOFFICE/ AUSTRIA(EP/AT)	ESPACE/AT		ATfull -text,bibliographicdataofpatents+utilitymode ls (1996→)	-Facsimile -Character(data)	1990–91 1992–99	DM400+postage ContactAustrian PatentOffice
EUROPEAN PATENTOFFICE/ GERMANY(EP/DE)	ESPACE/DE		DEfull -text,bibliographicdataofA,C,T,Udocuments	-Facsimile -Character(data)		ContactBundes druckerei (493025982205)
EUROPEAN PATENTOFFICE/ UNITEDKINGDOM (EP/GB)	ESPACE/UK*	Everymonth (approx.)	GBfull -text,bibiographicdata,PCTappl.enteringthe nationalphaseonlygetaGBfrontpage	-Facsimile -Character(data)	1979–82 1983–99 1979–89	Set:£970 £890 £7,700
EUROPEANPATENT OFFICE/SPAIN(EP/ES)	ESPACE-ES	Quaterly	ESfull -text,bibiograhicdata	-Facsimile -Character(data)	1990–99	EURO587
EUROPEANPATENT OFFICE/SLOVENIA (EP/SI)	ESPACE/SI	Every4 months	SIfull -text,bibliographicdata	-Facsimile -Character(data)	1992 →>	?
EUROPEANPATENT OFFICE/WIPO(WO)	ESPACE/WORLD*	Fortnightly	PCTfull -text,bibliographicdata	-Facsimile -Character(data)	1978–89 1990–94 1995–99	Set:Sfr.10,450 EUR O705 EURO869
	ACCESS-A*	Quarterly	EPapplications:bibliographicdata&abstractsin English/WOapplications:bibliographicdata&abstracts inEnglishandinFrench	-Character(data)	1978 →	EURO153
EUROPEANPATENT OFFICE/SPAIN/WIPO (EP/ES/WO)	DOPALES-Primeras	Yearly	FirstpagesofLatin -Americanpatents	-Facsimile -Character(data)	1991–95	DM500
EUROPEANPATENT OFFICE/USPTO	GLOBALPat	Yearly	Textanddrawingsfromfirstpagesofpatents	-Facsimile -Character(data)	1971_96 1997 →	EURO1150 EURO191

^{*} Specialdiscountfordevelopingcountries

WIPOINVENTORYOFPERIODICALLYPUBLISHEDCD -ROMPRODUCTS CONTAININGPATENTORTRADEMARKINFORMATION

Office/ Organization	Nameofproduct	Frequency of publication	Contents	Recording Mode	Coverage	Price(Annualsubscription unlessotherwisestated; postagenotinclude d)
CHINA (CN)	CNPATABSDAT (Chinese)	Quarterly	BibliographicdataandabstractsofPatentapplications+ utilitymodels+designs	-Character(data)	1985→	US\$1500
	CNPATACCESS (English)	Quarterly	Bibliographicdataandabstractsofpatentapplications + utilitymodels	-Character(data)	1985 →	US\$1500
	CPAS (Chinese)	Weeklyor bi-weeklys	Fulltext;patentapplicationsandutilitymodels	-Facsimile	1985–1993 1994	US\$3900 US\$4300
GERMANY (DE)	DEPAROM T2	Weekly	GermantranslationofEPOpate ntsdesignatingGermany	-Facsimile -Character(data)	Nov.93 →	DM2,200
	DEPAROM U	Monthly 16issuesper year	Registeredutilitymodels	-Facsimile -Character(data)	1995 →	DM1,300
	DEPAROM ACT	Weekly	Unexaminedpatentapplications, Grantedpatents, Germantranslation(uponrequest)ofEPOandPCT applicationsdesignatingGermany (approx.1000docs/year)	-Facsimile -Character(data)	1995 →	DM4,900 ACT+U=DM5,900 ACT+U+T2= DM 7,900
	DEMAS		Germantrademarks	-Facsimile -Character(dat a)	1996 →	?
SPAIN (ES)	CD-CIBEPAT	Quarterly	ES,AR,CO,MX+otherLatinAmericancountries (bibliogr.data,abstracts)	-Character(data)	1969 →	US\$750+VAT
FRANCE (FR)	BREF*	Bi-monthly	EP,FR,PCTabstractsinFrench,maindrawings	-Mixedmode	1989 →	FF2,500
	COSMOS*	Bi-weekly	FulltextofFRapplications, bibliogr.data+abstracts searchable	-Mixedmode	1994 →	FF7,000
CANADA (CA)	CanadianLaid - open Applications	Weekly	FulltextCALaid -openapplications	-Mixedmode	1999 →	CAN\$1,500
	CanadianGranted Patents	Weekly	FulltextCAGrantedpatents	-Mixedmode	1999 →	CAN\$1,200

WIPOINVENTORYOFPERIODICALLYPUBLISHEDCD -ROMPRODUCTS CONTAININGPATENTORTRADEMARKINFORMATION

Office/ Organization	Nameofproduct	Frequency of publication	Contents	Recording Mode	Coverage	Price(Annualsubscription unlessotherwisestated; postagenotincluded)
HUNGARY (HU)	HUNPATHECA	Quarterly	HUbibliographicdata,(1896 -),abstracts(1971 -),main drawing(1971 -)claims(1992 -) App.(1990 -),publication(1990 -)Englishtitles(1977 -)	-Character(data) -Drawings CCITTG4	1896 →	US\$300
JAPAN (JP)	JPOCD -ROM	twice weekly	Publishednon -examinedpatent+utilitymodel applicationsandregisteredutilitymodelappl.	-Mixedmode	1993 →	Y20,600/disc
	JPOCD -ROM B&Y	Weekly	Publishedexaminedpatent+utilitymodelapplications Patentappeals	-Mixedmode	1994 →	Y13,500/disc
	PAJCD -ROM	Backfile	AbstractsinEnglishofpublishedunexaminedpatent applicationsinIPCClassleveldescendingorder33,000 documents/disc99discs	-Mixedmode MIMOSA	1976–1993	EURO71 /disc
		Frontfile Monthly	AbstractsinEnglishofpublishedunexaminedpatent applications Numericalorder,30,000docs/disc		1994→	EURO858
KOREA(Republic of)(KR)	KPA		KRpatentabstracts(examinedapplications)	-Character	1979 →	?
MEXICO(MX)	BANAPA	Bi-yearly	-Bibliographicdata+abstractsofpatents, certificates of invention+utilitymodelsBibliographicdataofindustrialdesignsBibliographicdata+abstractsofpublished applications	-Character(data)	1980–92 1976–92 Dec.199 1– 1992	US\$500/disc
	ESPACE/ME	Monthly	MEfull -textbibliographicdataofpatentapplications	-Facsimile -Character(data)	1991 1996 →	Prototype ?

WIPOINVENTORYOFPERIODICALLYPUBLISHEDCD -ROMPRODUCTS CONTAININGPATENTORTRADEMARKINFORMATION

Office/ Organization	Nameofproduct	Frequency of publication	Contents	Recording M ode	Coverage	Price(Annualsubscription unlessotherwisestated; postagenotincluded)
RUSSIA(RU)	Fullpatentandappl specifications		FulltextinRussian	-Mixedmode	1996	US\$3,290
	Specificationsof RUpatents	Everythree months	-FulltextsinRussia n -Bibliographicdata,titles+abstracts	-Mixedmode	1994–1995	US\$1,990
	AbstractsofRU patentsinEnglish	Everythree months	TextsinEnglishwithdrawings	-Mixedmode	1994-1995 1996	US\$1,000 US\$900
UNITEDSTATES OFAMERICA (US)	PatentsBIB	Bi-monthly	USpatentsbibliographicdata	-Character(data)	1969→(1)	US\$300
	PatentsCLASS	Bi-monthly	ClassificationofUSpatents	-Character(data)	1790 →(1)	US\$300
	PatentsASIGN	Bi-montly	ChangesinownershiprecordedatPTO	-Character(data)	1981 →	US\$200
	PatentsASSIST	Quarterly	Varioussearchtools	-Character(data)	Variable	US\$200
	USAPat	3discs/week	FacsimileimagesofUSpatents	-Facsimile	1994 →	US\$2,400 (150discs/year)
	PatentsSNAP	Annually	Concordancebetweenpatentnumb ersandtheirapplication serialnumber	-Character(data)	1977 → Appl.data	US\$50/disc
	TM/Pending	Bi-monthly	PendingTrademark	-Character(data)	Pending TMs	US\$300
	TM/Registered	Bi-monthly	ActiveTrademarks	-Character(data)	ActiveTMs	US\$ 300
	TM/ASIGN	Bi-monthly	ChangesinownershiprecordedatPTO	-Character(data)	1995 →	US\$300
	PTOSampler	Irregularly	SamplefilesfromPTOCD -ROMproductsshownabove	-Facsimile -Character(data)	Variable	FreeofCharge

(1)abstractsfor3year spreceedingpublication

WIPOINVENTORYOFPERIODICALLYPUBLISHEDCD -ROMPRODUCTS CONTAININGPATENTORTRADEMARKINFORMATION

Office/ Organization	Nameofproduct	Frequency of publication	Contents	Recording Mode	Coverage	Price(Annualsubscription unlessotherwisestated; postagenotincluded)
WIPO(WO)*	ESPACEWORLD	Fortnightly	PCTfull -text,bibliographicdata	-Facsimile -Character(data)	1978–89	SF10,450
	ESPACEOAPI		OAPI -Patentdocuments	-Facsimile	1967→	
	IPC:CLASS* (3rd)edition)	Onceevery 5years	IPC1 -6(fulltext),Catchwordindexes,Rev.concordance data,Symbols data(E,F,G,H,R,S)	-Character(data)	IPC 1970–99	SF700(MS -DOS) SF900(Windows) SpecialpricesforIPOffices
	JOPAL	Yearly	JOPALdata	-Character(data)	1981–92	SF250
	IP-LEX	Quarterly	Laws+treatiesinthefieldofintellectualproperty	-Character(data)	Worldwide	SF1,500
	ART.6 ter	Yearly	DataconcerningArt.6 ter oftheParisConvention	-Facsimile -Character(data)	Member Statesof theParis Con– ventionand WTO	SF150
	ROMARIN	Monthly	Bibliographicdata+figurativeelements(2di scs)	-Facsimile -Character(data)	1992→ Complete Int'lTM Register	SF2,200
	ROMARIN-BX		BXtrademarks	-Facsimile -Character(data)		Prototype
	ROMARIN-Latin America		LAtrademarks	-Facsimile -Character(data)		Prototype

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^{*} Specialdiscountfordevelopingcountries

WIPOINVENTORYOFPERIODICALLYPUBLISHEDCD -ROMPRODUCTS CONTAININGPATENTORTRADEMARKINFORMATION

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	PatentImages - Chemical	Fortnightly	USchemicalpatentsfullimages	-Facsimile	1974–75 1976–95 1996	\$1,900 \$2,750 \$2,850
	RetroChem	Bi-annual	USchemicalpatents, frontpage	-Character(data)	1976–95	\$1,000
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ANNEXIII