

## International Registration

**Seminar on the Hague System for the  
International Registration of Industrial  
Designs: Practical Approach and How to  
Use It**

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# Topics Addressed

- Effects of an international registration
- Refusal and other office actions

# ■ EFFECTS OF INTERNATIONAL REGISTRATION

# Effects of an International Registration

- Horizontally (as many as designations):
  - Same effects as **under the applicable law** (*Article 14(1) and (2)*)
- Vertically (from filing to registration):
  - Effect as **application** (*Article 14(1)*) , plus ?
  - Effect as **grant of protection (registration)** (*Article 14(2)(a) and (b)*)

# Effects of an IR under the 1999 Act

- After publication (Article 14(1))  
= effects *as application* from the date of IR, *at least*
- If no refusal is notified (Article 14(2)(a))  
= effects *as a grant of protection*, in principle, *at the latest from the expiry date of the applicable refusal period (6 or 12 months)*
- If the refusal is withdrawn (Article 14(2)(b))  
= effects *as a grant of protection at the latest from the date on which the refusal was withdrawn*

# Effects of an IR under the 1999 Act

- “Two exceptions” to Article 14(2)(a)

*applicable through an additional declaration by a CP (whose Office is an “Examining Office” or whose law provides for an opposition procedure) opting for 12-month refusal period*

- Option 1 (Rule 18(1)(c)(i))

*The latest time at which protection must be granted may be extended up to 6 months after the expiry date of the 12-month refusal period*

*CPs: Spain, Turkey, USA*

# Effects of an IR under the 1999 Act

- “Two exceptions” to Article 14(2)(a) - *continued*

- Option 2 (Rule 18(1)(c)(ii))

Protection will be granted according to the law of the Contracting Party where a decision regarding the grant of protection was unintentionally not communicated within the applicable refusal period.

= *Protection can be granted even after the refusal period, but only in exceptional circumstances.*

*CPs: Japan, Republic of Korea, USA*

## ■ REFUSAL AND OTHER OFFICE ACTIONS



# Office Actions

- Refusal
- Withdrawal of Refusal
- Statement of Grant of Protection
- Invalidation

# Refusal: Basic principles

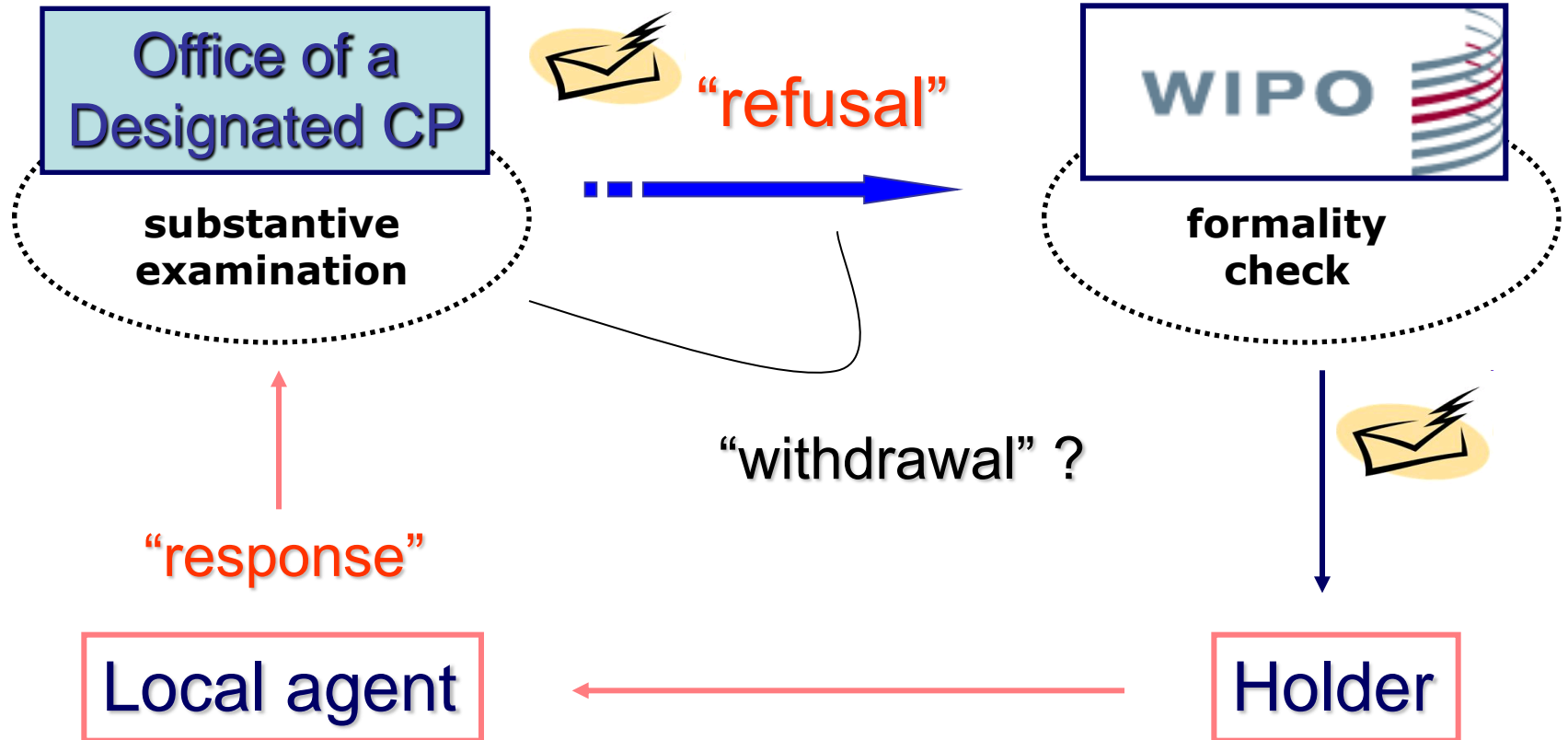
- **Within refusal period:** starting from the date of international publication
- **Provisional objection:** which may become a **final decision**, without further notification
- Languages: English, French or Spanish
- Total (for all the designs) or partial (for some of the designs only)

# Refusal: Time frame

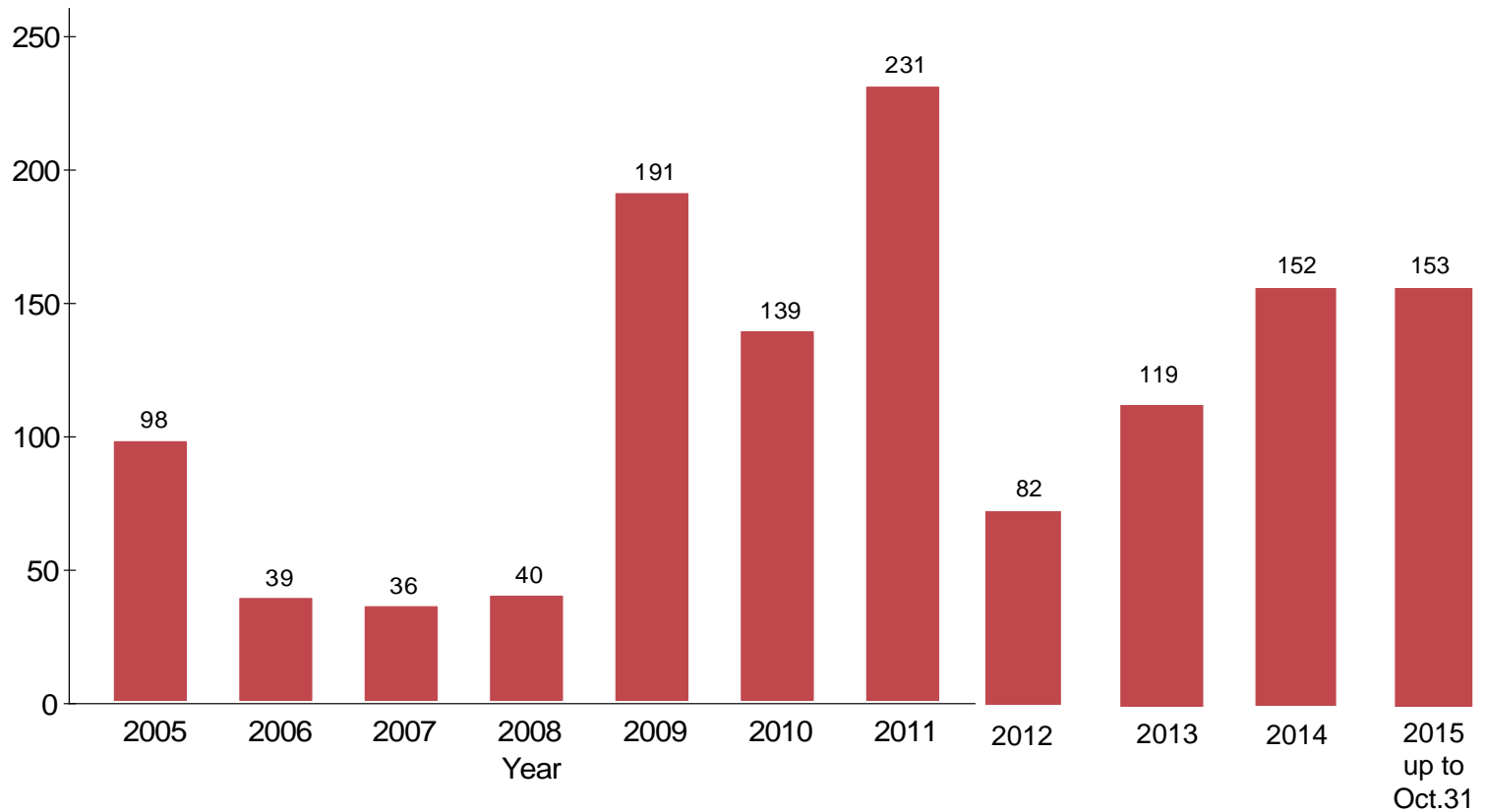
- Principle: **6 months** (Article 8(1) of the 1960 Act, Article 12(2) of the 1999 Act and Rule 18(1)(a))
- Exceptions (**the 1999 Act only**) (Rule 18(1)(b)): (which may be opted for by a Contracting Party whose Office is an “**Examining Office**” or whose law provides for an **opposition procedure**)

The period may be extended up to **12 months**

# Refusal Mechanism



# Statistics – Total Number of Refusals



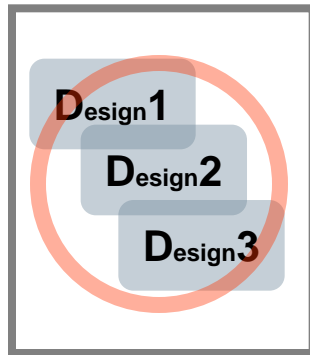
Source: WIPO Statistics Database

# Refusal Ground: No formal ground

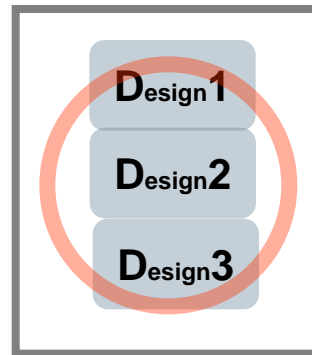
- Principle:  
substantive grounds only (incl. opposition)
  
- Exceptions:
  - requirement as to unity of design (Article 13) \*
  - specific views of the design not furnished  
(Rule 9(3)(a)) \*
  
- \* Declarations possible only under the 1999 Act

# Unity of design: Declaration under Article 13(1)

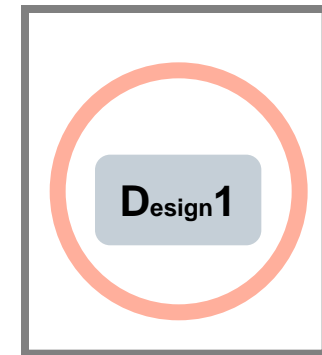
If the applicable law provides for any of the following types of requirement at the time it becomes party to the 1999 Act



Each of the designs must conform to a requirement of **unity of design, unity of production or unity of use** (have a similarity in appearance, production or use of the product)



Each of the designs must **belong to a set of products**



**Only one design** may be the subject of a single application

The CP may make a declaration under Article 13(1) of the 1999 Act.

The CP may issue a refusal if the specified requirement is not complied.

# Specific views: Declaration under Rule 9(3)(a)

## International Bureau

**No requirement** as to the types of views. A single reproduction is enough.

One perspective view

Front view + top view + right side view

Front view + one perspective view

etc.

## The law of the CP

If the law provides for certain types of views that must be submitted, **the CP may specify, in the declaration, the views required and the circumstances in which they are required.**

Six orthographic views

(Front view + Top view + Right side view + Left side view + Rear view + Bottom view)

Two perspective views showing a whole appearance of the product

etc.





# Refusal Ground: Typical substantive grounds

- Definition of an industrial design
  - Type of design not protected (*ex. “logo”*)
  - Disclosure insufficient to figure out the design
- Public order and morality
- Conflict with prior applications / registrations
- Novelty
- Creativity / Non-obviousness

# Withdrawal of Refusal / Statement of grant of protection

## ■ After refusal

- Notification of withdrawal of refusal (Rule 18(4))
- Statement of grant of protection (Rule 18bis(2))

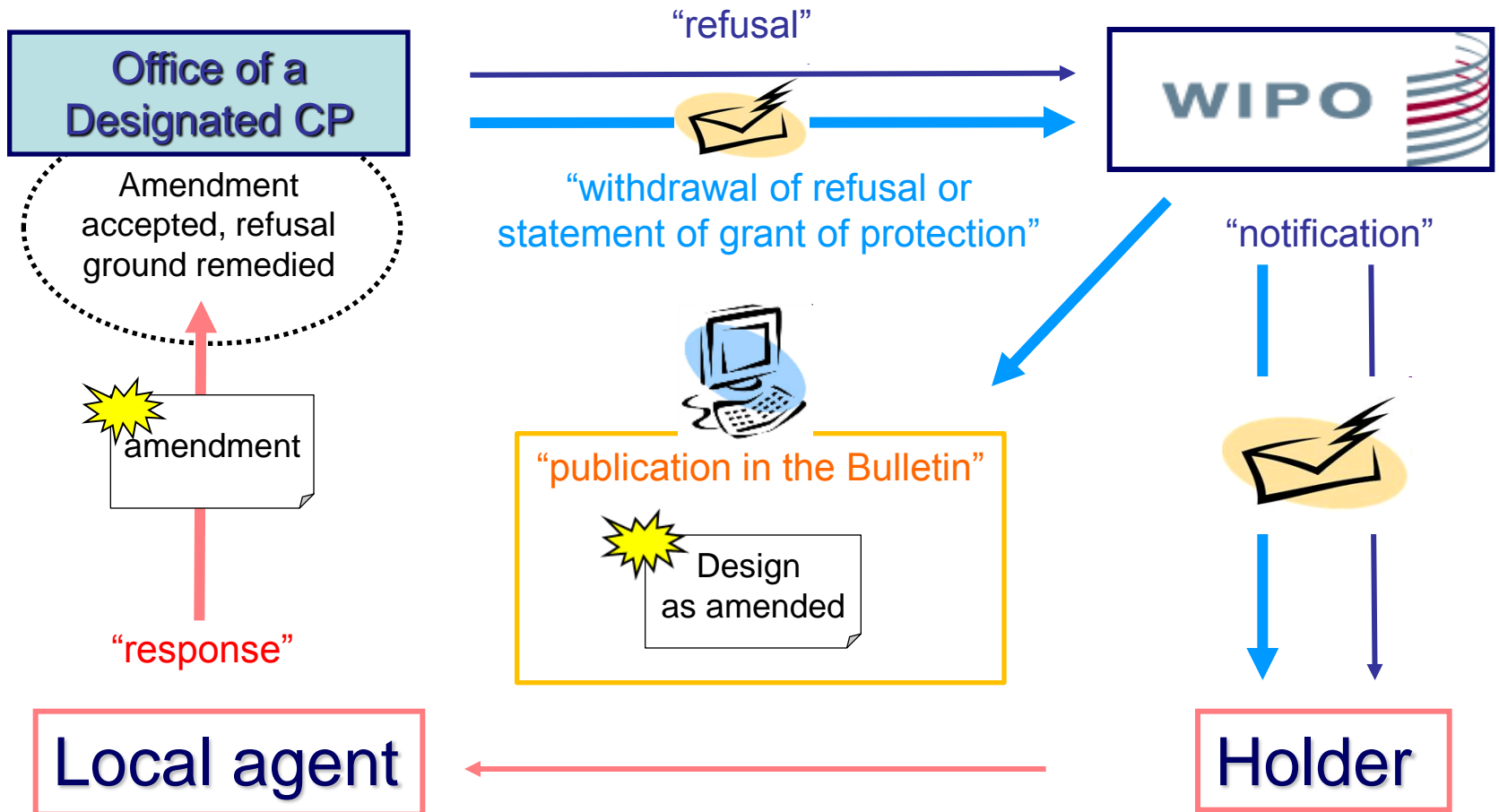
## ■ Where no refusal

- Statement of grant of protection (Rule 18bis(1))
  - *within the applicable refusal period*
  - *optional but recommended*

# Withdrawal of Refusal / Statement of grant of protection: Amendments of design

- Where [amendments](#) are made to the design in a procedure before the Office, and the design as amended is accepted, a statement of grant of protection (or notification of withdrawal of refusal) [must](#) be sent, with [the information concerning the amendments](#) (new Rules 18(4) and 18*bis*(1) and (2)).
- Information concerning the amendments will be made [publicly available by the IB](#), through the Bulletin.

# Withdrawal of Refusal / Statement of grant of protection: Amendments of design



# Invalidation

- **Office or court decision** which is made after producing protection (Rule 19)
- Remarks:
  - Outside the “Refusal” mechanism
  - “Post-grant opposition” included
  - Opportunity to defend the right
  - Only the **final decision**



**WIPO | HAGUE**

The International  
Design System

**THANK YOU !**