

Managing an International Registration

Seminar on the Hague System for the International Registration of Industrial Designs

Yin WU

Examiner
Operations service
The Hague Registry

Geneva June 23, 2016

Types of Changes

01 Renewal Prolonging protection

Renunciation;LimitationReduce protection scope

O3 Change in Ownership
Transfer rights

Holder's name/address;
Representative
Update Info.



Major advantages

One request

One language (English, French, Spanish)

One office (International Bureau)

One payment (one set of fees)

One currency (Swiss francs)





Article 17 of the 1999 Act
Article 11 of the 1960 Act
Rules 23-25 of the Common Regulations



Renewal

Duration of Protection

- Initial term: five years
- Minimum duration
 - ➤ 1960 Act: 10 years (5 + 5)
 - ➤ 1999 Act: 15 years (5 + 5 + 5)
- maximum duration in each CPs:

(see declarations of CPs:

http://www.wipo.int/hague/en/declarations/declarations.html)



Present Renewal Request

■ Paper form: DM/4

E-renewal:

https://webaccess.wipo.int/erenewal_dm/IndexController?lang=EN

- Launched in December 2010
- Easy to use
- Automatically calculate the renewal fees
- Online payment (current account or credit card)



Procedure for Renewal

- Unofficial expiry notice (6 months before)
- Contents of the request
 - international registration number
 - name and address of the holder
 - scope of renewal
 - signature
 - payment of fees
- **Grace period**: within six months after the expiry date (additional surcharge)



Scope of Renewal

- Total renewal
- Partial renewal
 - for SOME of the designated CPs only
 - for SOME of the designs only

Designated CPs	Design 1	Design 2	Design 3
СН	✓	V	✓
EM	V		V
TR	✓	V	✓



Scope of Renewal

- Total renewal
- Partial renewal
 - for SOME of the designated CPs only
 - for SOME of the designs only
- **E-renewal:** « tailor-made » renewal

Designated CPs	Design 1	Design 2	Design 3
СН	V		✓
EM		✓	
TR		✓	✓



Conditions for Renewal

Possible

- maximum period expired
- > refusal recorded

NOT Possible

- > renunciation recorded
- > limitation recorded
- > invalidation recorded

The International
Design System

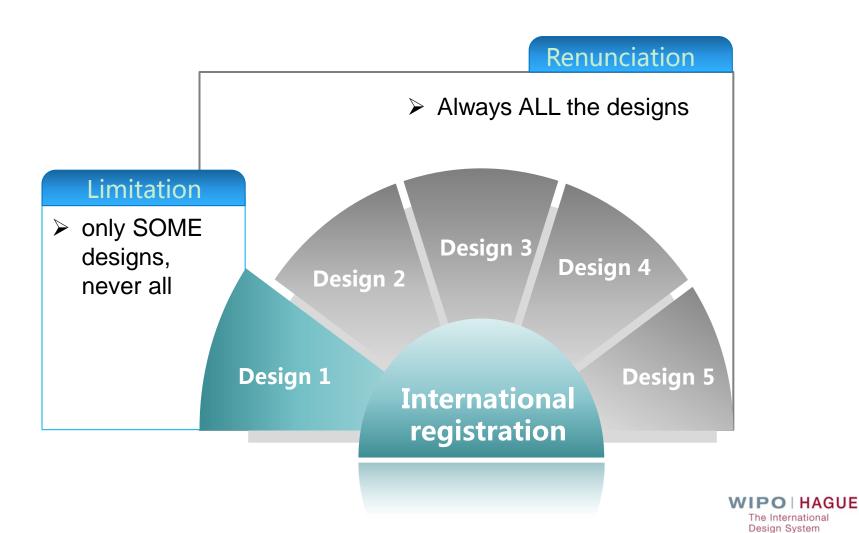
Renunciation and Limitation



Renunciation and Limitation



Renunciation and Limitation



RENUNCIATION

Article 16(1)(iv) of the 1999 Act
Article 13 of the 1960 Act
Rule 21 of the Common Regulations



Renunciation

- Always concerns ALL designs
- May relate to SOME or ALL designated CPs
- Request form: DM/5 (mandatory)
 - international registration number
 - name of the holder recorded
 - concerned designated CP(s)
 - signature
 - payment of fees



Renunciation

- Total renunciation:
 - ALL designated CPs

Designated CPs	Design 1	Design 2	Design 3
СН	V	V	V
EM	V	~	~
TR	V	V	V



Renunciation

- Total renunciation:
 - ALL designated CPs
- Partial renunciation:
 - SOME designated CPs

Designated CPs	Design 1	Design 2	Design 3
СН	✓	✓	✓
EM	V	V	V
TR	✓	✓	✓



LIMITATION

Article 16(1)(v) of the 1999 Act Rule 21 of the Common Regulations



Limitation

- Request form: DM/3 (mandatory)
- Only concerns **SOME** of the designs, **NEVER ALL**
 - > ALL designated CPs
 - > **SOME** of the designated CPs

Designated CPs	Design 1	Design 2	Design 3
СН	✓	✓	V
EM	✓	V	V
TR	✓	V	~



CHANGE IN OWNERSHIP

Article 16(1)(i) and (2) of the 1999 Act Article 12 of the 1960 Act Rules 21-21*bis* of the Common Regulations



Present the Request

- Request form: DM/2 (mandatory)
- Who can present the request?
 - presented and signed by the holder;
 - presented by the new owner, provided that:
 - signed by the holder, or
 - signed by the new owner + attestation from competent authority of the holder's CP that the new owner appears to be the successor in title of the holder



Contents of the Request

- Contents of the request:
 - international registration number
 - name of the holder recorded
 - name and address of the new owner
 - entitlement of the *new* owner
 - scope of change
 - must be signed by the holder
 - payment of fees



Entitlement of the new owner

- The new owner must be entitled to file an international application
 - Nationality
 - Domicile
 - Real and effective industrial or commercial establishment
 - Habitual residence (the 1999 Act only)
- There must be a common treaty between the new owner's CP(s) and each designated CP



Can the new owner be recorded as the new holder?

Current holder



a member of EU



Designated CPs









1960 Act



1999 Act



1960 Act



Result of change in ownership

Previous holder



Designated Countries







New holder



1960 Act

Designated Countries





1960 Act



Can the new owner be recorded as the new holder?

Current holder



a member of EU



Designated CPs







New owner



1999 Act





Result of change in ownership

Previous holder



Designated Countries



New holder



1999 Act

Designated Countries







1960/1999 Acts

1999 Act



Scope of Change in Ownership

- Total change
- Partial change
 - for SOME of the designated CPs only
 - for SOME of the designs only

Designated CPs	Design 1	Design 2	Design 3
СН	✓	V	✓
EM	V		V
TR	✓	V	✓



Scope of Change in Ownership

- Total change
- Partial change
 - for SOME of the designated CPs only
 - for SOME of the designs only
 - « tailor-made » change

Designated CPs	Design 1	Design 2	Design 3
СН		✓	
EM		✓	
TR			



DM/2 Item 6 - Scope

(a)	des	AL CHANGE IN OWNERSHIP the change in ownership is to be recorded for all the Contracting Parties gnated in the international registration(s) indicated in item 1, and for all the industrial designs included in sucl mational registration(s));
(b)	PAF	RTIAL CHANGE IN OWNERSHIP
	(i)	the change in ownership is to be recorded for the designated Contracting Parties indicated below (if no Contracting Party is indicated, it will be understood that the change in ownership is to be recorded in respect of all the designated Contracting Parties), and/or
	(ii)	the change in ownership is to be recorded for the designs indicated below (indicate only the number of the designs); if no designs are indicated, it will be understood that the change in ownership is to be recorded in respect of all designs:
	•••••	

DM/2 Item 8 - Signature

(0)	Identify the signatory by checking the appropriate box:
(a)	(i) Holder (transferor) (ii) Representative of the holder (transferor)
	(iii) New owner (transferee) (iv) Representative of the new owner (transferee)
(b)	Name:
(c)	Signature and/or seal:
(d)	Date of signature (dd/mm/yyyy):
	Name of the person to contact, if necessary:



DM/2 Item 8 – Signature NOT Accepted

8		NATURE AND/OR SEAL d note 1 on cover page)
	(a)	Identify the signatory by checking the appropriate box:
l		(i) Holder (transferor)
1		(ii) Representative of the holder (fransferor)
1		(III) New owner (transferee)
ļ		(iv) Representative of the new owner (transferate)
	(b)	Name: Johannes SEIRINGER
	(c)	Signature and/or seel:
	(d)	Date of eignature (dd/mrn/yyyy):
		Name of the person to contact, if necessary.

	SIGNATURE ET/OU SCEAU (voir la note 1 aur la page de couverture)		
a)	Qua	ilité du signataire (cocher la case qui convient) :	
	i) li) iii)	Titulaire (le cédant) Mandateire du titulaire (le cédant) Nouveau propriétaire (le cessionnaire)	
	iv)	Mandataire du nouveau propriétaire (le cessionnaire)	
b)	Nom	: Cabinet GERMAIN & MAUREAU	
c)	Sign	nature et/ou sceau :	
d)	Date	de la signature (jj/mm/aaaa): o7 [c] [15	
	Nom	de la personne à contacter, si nécessaire : Nicolas PELESE	



Change in Ownership has NO Effect

■ Article 16(2) of the 1999 Act

- has NO effect in a CP until the Office received the statements or documents specified in the declaration
 - > OAPI, DK, KR, US
- recording of a partial change in ownership is <u>NOT allowed</u>

Rule 21 bis Declaration

- Declaration must be sent to the IB within 6 months
- IB records the Declaration and modify the IR
- IR concerned shall <u>remain in the name of the transferor</u>
- IB notifies transferor and transferee



CHANGE IN NAME AND/OR ADDRESS OF THE HOLDER

Article 16(1)(ii) of the 1999 Act Rules 21 of the Common Regulations



Procedure

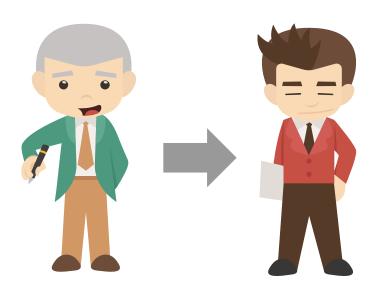
- Request form: DM/6 (mandatory)
 - international registration number
 - name of the holder recorded
 - the change in name and/or address of the holder
 - signature
 - payment of fees



Change in Ownership & Change in Name

Change in Ownership

From A to B



Change in Name of holder

the SAME holder





APPOINTMENT, CHANGE OR CANCELLATION OF REPRESENTATIVE

Article 16(1)(iii) of the 1999 Act Rules 3 and 21 of the Common Regulations



Appointment, change or cancellation of representative

■Request form:

- appoint a representative: DM/7
- change in name and/or address: DM/8
- cancel a recorded representative: DM/9
 - canceled *ex officio* where a new representative has been appointed;
 - canceled ex officio where a change of ownership has been recorded

■No fee applies



Tips

- Link of request forms:
 - http://www.wipo.int/hague/en/forms/
- Handwriting NOT allowed
- MUST signed by holder or representative recorded
- Irregularity shall be remedied within 3 months
- Change is recorded when complying with the requirements
- Fee calculator:
 - http://www.wipo.int/hague/en/fees/calculator.jsp
- Schedule and Payment of fees:
 - http://www.wipo.int/hague/en/fees/sched.htm





The International Design System

THANK YOU!

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