

Assemblies of the Member States of WIPO

Sixty-Second Series of Meetings
Geneva, October 4 to 8, 2021

LIST OF DECISIONS ADOPTED BY THE PROGRAM AND BUDGET COMMITTEE

Document prepared by the Secretariat

1. The present document contains the “List of Decisions Adopted by the Program and Budget Committee” at its thirty-second session (July 12 to 16, 2021) (document WO/PBC/32/7) and at its thirty-third session (September 13 to 17, 2021) (document WO/PBC/33/14).
2. *The Assemblies of WIPO, each as far as it is concerned, are invited to:*
 - (i) *take note of the “List of Decisions Adopted by the Program and Budget Committee” (documents WO/PBC/32/7 and WO/PBC/33/14); and*
 - (ii) *approve the recommendations made by the Program and Budget Committee as contained in the same documents.*

[Documents WO/PBC/32/7 and
WO/PBC/33/14 follow]

Program and Budget Committee

Thirty-Second Session
Geneva, July 12 to 16, 2021

LIST OF DECISIONS

prepared by the Secretariat

AGENDA ITEM 1. OPENING OF THE SESSION

AGENDA ITEM 2. ADOPTION OF THE AGENDA

document WO/PBC/32/1.

The Program and Budget Committee (PBC) adopted the agenda (document WO/PBC/32/1).

AGENDA ITEM 3. ELECTION OF AN ACTING VICE-CHAIR OF THE PROGRAM AND BUDGET COMMITTEE (PBC)

The Program and Budget Committee (PBC) decided not to take action on this agenda item.

AGENDA ITEM 4. WIPO PERFORMANCE REPORT 2020

document WO/PBC/32/2.

The Program and Budget Committee (PBC), having reviewed the WIPO Performance Report (WPR) for 2020 (document WO/PBC/32/2), and recognizing its nature as a self assessment of the Secretariat, recommended to the Assemblies of WIPO, each as far as it is concerned, to take note of the positive financial performance and Programs' progress towards achieving the expected results in 2020.

AGENDA ITEM 5. FINANCIAL SITUATION AS OF END 2020: PRELIMINARY RESULTS

document WO/PBC/32/INF/1.

The Program and Budget Committee (PBC) took note of the contents of the document (WO/PBC/32/INF/1).

AGENDA ITEM 6. MEDIUM-TERM STRATEGIC PLAN (MTSP) 2022-2026

document WO/PBC/32/3.

The Program and Budget Committee (PBC) recommended to the Assemblies of WIPO, each as far as it is concerned, to take note of the Medium-Term Strategic Plan (MTSP) 2022-2026 (document WO/PBC/32/3).

AGENDA ITEM 7. DRAFT PROPOSED PROGRAM OF WORK AND BUDGET FOR 2022/23

document WO/PBC/32/4.

The Program and Budget Committee (PBC), having completed a comprehensive first review by Sector, as well as Annexes and Appendices, of the draft proposed Program of Work and Budget for the 2022/23 biennium (document WO/PBC/32/4):

- (i) Welcomed the streamlining of the Draft Proposed Program of Work and Budget for 2022/23 facilitating readability, transparency and accountability;*
- (ii) Emphasized the importance of WIPO prioritizing its COVID-19 response in the biennium 2022/23, including the initiatives outlined in the P&B document and as further clarified by the Director General in his opening statement, ensuring adequate resources to assist Member States in addressing the COVID-19 pandemic and its consequences and in laying the foundations for post COVID-19 economic recovery efforts;*
- (iii) Underlined the importance of promoting diversity and inclusivity in the field of intellectual property, including gender balance and geographical diversity, through WIPO's initiatives, programs and within its own organization;*
- (iv) Agreed to the following modifications proposed by Member States:*
 - addition of SDGs by Strategic Pillar to the Results Framework Chart (page 8);*
 - change of document reference for the definition of development expenditure (A/55/4) in the footnote (page 8);*
 - update of Table 6 and the Resources by Cost Category table for Brands and Designs (page 29) to reflect the correction in the "Publishing" and "Contractual Services" cost-categories related to the promotion of the Hague System;*
 - addition of a cross-organizational KPI: "No. of national, sub-regional, and regional projects, including those implemented through partnership*

frameworks, that have achieved their expected benefits or completed important milestones” for ER 4.1 in the PT and CCI Sectors;

- *addition of two cross-organizational KPIs: (i) No. of matches between green technology seekers and providers via the WIPO GREEN platform and through Acceleration Projects; and (ii) No. of WIPO Re:Search R&D collaborations advancing through clinical R&D phases for ER 3.3. in the RND Sector;*
- *update of insert on WIPO’s response to COVID-19 and implementation strategies of the Global Challenges and Partnerships Sector and in collaboration with other Sectors to include additional initiative(s) to utilize the Organization’s know-how and its partnerships towards WIPO’s COVID-19 response, and strengthen resources allocated to Expected Results 2.2, 2.4, 3.3 and 4.4 accordingly;*
- *the inclusion of KPI: (i) percentage of WIPO Flagship Publications for which the Executive Summary is translated into all official UN languages, target 100 %, (ii) percentage of WIPO global publications on substantive IP topics published in 2022/2023 and translated into all official UN languages, target 100 %, (iii) implementation of pilots within the framework of the Revised Language Policy roadmap, Phase 1 for ER 1.1 in the AFM Sector;*
- *Provide a breakdown of the resources associated with ER 3.1 for promotion of the Global IP Systems; and*
- *Include a comparison of development expenditure by Sector 2022/23 versus 2020/21 and a breakdown of the development expenditure by ER and Sector.*

(v) Requested the Secretariat to issue a revised version of the draft proposed Program of Work and Budget for the 2022/23 biennium based on (iv).

AGENDA ITEM 8. UNITED NATIONS SUSTAINABLE DEVELOPMENT GROUP (UNSDG) MEMBERSHIP

document WO/PBC/32/5.

The Program and Budget Committee (PBC):

- (i) requested the Secretariat to continue to engage with the Development Coordination Office to obtain additional clarifications on the impact of UNSDG membership on WIPO’s work; and*
- (ii) requested the Secretariat to submit a report of the Secretariat’s further consultations referred to in paragraph (i) above in order to facilitate the discussion on the decision on UNSDG membership at the 33rd session of the Program and Budget Committee.*

AGENDA ITEM 9. REVISED POLICY ON LANGUAGES AT WIPO

document WO/PBC/32/6.

The Program and Budget Committee (PBC);

- (i) took note of the contents of the present document; and*
- (ii) recommended to the Assemblies of WIPO, each as far as it is concerned, the adoption of the proposed Revised Language Policy set out in Sections III, IV, V and VI, above.*

AGENDA ITEM 10. TERMS OF REFERENCE OF THE 2021 EVALUATION OF WIPO EXTERNAL OFFICES

The Program and Budget Committee (PBC) took note of the interventions and with the aim to develop the Terms of Reference (ToR) for the Evaluation of WIPO External Offices, requested the Secretariat:

- To invite all interested Member States to send their views in writing on the preparations of the ToR; and*
- To provide an update on the status and progress of submissions by Member States at the 33rd session of the PBC for further discussion and consideration.*

AGENDA ITEM 11. METHODOLOGY FOR ALLOCATION OF INCOME AND EXPENDITURE BY UNION

The Program and Budget Committee (PBC) took note of the interventions and decided to continue the discussion on the methodology for allocation of income and expenditure by Union at the 33rd session of the PBC.

[End of document]

Program and Budget Committee

Thirty-Third Session
Geneva, September 13 to 17, 2021

LIST OF DECISIONS

prepared by the Secretariat

AGENDA ITEM 1. OPENING OF THE SESSION

AGENDA ITEM 2. ADOPTION OF THE AGENDA

document WO/PBC/33/1.

The Program and Budget Committee (PBC) adopted the agenda (document WO/PBC/33/1).

AGENDA ITEM 3. ELECTION OF AN ACTING VICE-CHAIR OF THE PROGRAM AND BUDGET COMMITTEE (PBC)

The Program and Budget Committee (PBC) decided not to take action on this agenda item.

AGENDA ITEM 4. REPORT BY THE WIPO INDEPENDENT ADVISORY OVERSIGHT COMMITTEE (IAOC)

document WO/PBC/33/2 Rev.

AGENDA ITEM 5. PROPOSED REVISIONS OF THE SELECTION PROCEDURE FOR THE MEMBERS OF THE WIPO INDEPENDENT ADVISORY OVERSIGHT COMMITTEE (IAOC)

document WO/PBC/33/3.

The Program and Budget Committee (PBC) recommended to the WIPO General Assembly:

- (i) to approve the proposed revision of the selection procedure for the members of the WIPO Independent Advisory Oversight Committee (IAOC) (addition of Annex IV to the Financial Regulations and Rules), as amended during the 33rd session of the PBC and attached to this document; and*
- (ii) to approve the proposed amendments to the Terms of Reference of the WIPO Independent Advisory Oversight Committee (IAOC), as amended during the 33rd session of the PBC and attached to this document.*

AGENDA ITEM 6. PROPOSED REVISIONS TO THE WIPO INTERNAL OVERSIGHT CHARTER

document WO/PBC/33/4.

The Program and Budget Committee (PBC) recommended to the WIPO General Assembly to approve the proposed amendments to the Internal Oversight Charter contained in Annexes I and II of Document WO/PBC/33/4.

AGENDA ITEM 7. REPORT BY THE EXTERNAL AUDITOR

document WO/PBC/33/5.

The Program and Budget Committee (PBC) recommended to the Assemblies of WIPO, each as far as it is concerned, to take note of the "Report by the External Auditor" (document WO/PBC/33/5).

AGENDA ITEM 8. ANNUAL REPORT BY THE DIRECTOR OF THE INTERNAL OVERSIGHT DIVISION (IOD)

document WO/PBC/33/6.

The Program and Budget Committee (PBC) recommended to the WIPO General Assembly to take note of the "Annual Report by the Director of the Internal Oversight Division (IOD)" (document WO/PBC/33/6).

AGENDA ITEM 9. PROGRESS REPORT ON THE IMPLEMENTATION OF THE JOINT INSPECTION UNIT (JIU)'S RECOMMENDATIONS

document WO/PBC/33/7.

The Program and Budget Committee (PBC):

- (i) took note of the present report (document WO/PBC/33/7);*

(ii) welcomed and endorsed the Secretariat's assessment of the status of the implementation of recommendations under:

- JIU/REP/2020/8 (Recommendation 2);
- JIU/REP/2020/1 (Recommendations 1, 5, 6, 7, 8, 9 and 10);
- JIU/REP/2019/6 (Recommendations 4 and 6); as set out in the present report;

(iii) welcomed and took note of the Secretariat's assessment of the JIU benchmarks on risk management;

(iv) called on the Secretariat to propose assessments for the open recommendations made by the Joint Inspection Unit (JIU) for Member States' consideration; and

(v) requested the Secretariat to include detailed information on the implementation of JIU Recommendations addressed to the Executive Head in future progress reports.

AGENDA ITEM 10. ANNUAL FINANCIAL STATEMENTS 2020; STATUS OF THE PAYMENT OF CONTRIBUTIONS AS AT JUNE 30, 2021

(a) ANNUAL FINANCIAL STATEMENTS 2020

document WO/PBC/33/8.

The Program and Budget Committee (PBC) recommended to the Assemblies of WIPO, each as far as it is concerned, to approve the "Annual Financial Report and Financial Statements 2020" (document WO/PBC/33/8).

(b) UPDATE ON INVESTMENTS

(c) STATUS OF THE PAYMENT OF CONTRIBUTIONS AS AT JUNE 30, 2021

document WO/PBC/33/9.

The Program and Budget Committee (PBC) took note of the "Status of the Payment of Contributions as at June 30, 2021" (document WO/PBC/33/9).

AGENDA ITEM 11. ANNUAL REPORT ON HUMAN RESOURCES

document WO/PBC/33/INF/1.

AGENDA ITEM 12. PROPOSED PROGRAM OF WORK AND BUDGET FOR 2022/23

document WO/PBC/33/10.

The Program and Budget Committee recommended to the Assemblies of WIPO, each as far as it is concerned, the approval of the Proposed Program of Work and Budget for 2022/23 (document WO/PBC/33/10) with WIPO's Response to COVID-19, pages 16-19 (English version), as amended during the 33rd session of the PBC.

CAPITAL MASTER PLAN FOR 2022-31

document WO/PBC/33/11.

The Program and Budget Committee (PBC), noting that this proposal constitutes a transitional proposal towards fully implementing the recommendations from the External Auditor, recommended to the Assemblies of WIPO, each as far as it is concerned, to approve, from the WIPO Reserves, the funding of the projects presented in the CMP 2022-23 for the biennium 2022/23, amounting to a total of 19.971 million Swiss francs.

AGENDA ITEM 13. UNITED NATIONS SUSTAINABLE DEVELOPMENT GROUP (UNSDG) MEMBERSHIP

document WO/PBC/33/12.

The Program and Budget Committee (PBC) recommended to the WIPO General Assembly to accept the invitation to become a member of the UNSDG and to request the Secretariat to provide annual reporting in the WIPO Performance Report (WPR) on the implementation, achievements, challenges and policy development impacts of WIPO's UNSDG membership.

AGENDA ITEM 14. UPDATE ON THE STATUS AND PROGRESS OF SUBMISSIONS BY MEMBER STATES ON VIEWS ON THE PREPARATIONS OF THE TERMS OF REFERENCE OF THE 2021 EVALUATION OF WIPO EXTERNAL OFFICES

document WO/PBC/33/13 and WO/PBC/33/13 Add.

The Program and Budget Committee (PBC) took note of the update on the status and progress of submissions made by Member States on views on the preparations of the Terms of Reference (ToR) of the 2021 Evaluation of WIPO External Offices and requested the Secretariat:

- *to develop a preliminary draft of the ToR taking into account the above-mentioned submissions by Member States reflecting all views contained therein and all relevant documents, including but not limited to the Guiding Principles regarding WIPO External Offices (document A/55/INF/11) and the Report of the External Auditor (document WO/PBC/31/3); and*
- *to provide a preliminary draft to Member States at least 6 months before the 34th session of the PBC with the aim of discussing and further developing common understanding about the ToR's content and taking a decision on the ToR at the 34th session of the PBC.*

AGENDA ITEM 15. METHODOLOGY FOR ALLOCATION OF INCOME AND EXPENDITURE BY UNION

The Program and Budget Committee (PBC) decided to continue the discussion on the methodology for the allocation of income and expenditure by Union at the 34th session of the PBC.

[Annex follows]

**SELECTION PROCEDURE FOR THE MEMBERS OF THE
WIPO INDEPENDENT ADVISORY OVERSIGHT COMMITTEE**

AND

**TERMS OF REFERENCE OF
THE WIPO INDEPENDENT ADVISORY OVERSIGHT COMMITTEE**

Comparative Tables

Key to color-coding in Column 2:

Secretariat proposed revisions agreed to by the PBC

PBC amendments to Secretariat's proposed revisions

<i>Selection Procedure for the Members of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat (July 29, 2021)</i>	<i>Selection Procedure for the Members of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat, as amended by the PBC (September 15, 2021)</i>
A. INTRODUCTION	A. INTRODUCTION
1. This Annex sets out the selection procedure for members of the Independent Advisory Oversight Committee (IAOC). Vacant seats on the IAOC shall be filled via a competitive recruitment process administered by a Selection Panel (Panel) established especially for that purpose. The Program and Budget Committee (PBC) will take a decision on the appointment of the new members of the IAOC based on the Panel's recommendations, once the selection procedure has been concluded.	1. This Annex sets out the selection procedure for members of the Independent Advisory Oversight Committee (IAOC). Vacant seats on the IAOC shall be filled via a competitive recruitment process administered by a Selection Panel (Panel) established especially for that purpose. The Program and Budget Committee (PBC) will take a decision on the appointment of the new members of the IAOC based on the Panel's recommendations, once the selection procedure has been concluded.
B. ESTABLISHMENT OF THE SELECTION PANEL	B. ESTABLISHMENT OF THE SELECTION PANEL
2. The Panel shall be composed of seven members. The Director General shall invite each Regional Group of countries of WIPO Member States to nominate one suitable individual from that Group to constitute the seven-member Panel. There can be no more than one member of the Panel of any given nationality. The members of the Panel shall ensure their availability to carry out their mandate throughout the entire recruitment process.	2. The Panel shall be composed of seven members. The Director General shall invite each Regional Group of countries of WIPO Member States to nominate one suitable individual from that Group to constitute the seven-member Panel. There can be no more than one member of the Panel of any given nationality. The members of the Panel shall ensure their availability to carry out their mandate throughout the entire recruitment process.
3. The members shall elect from amongst themselves a Chair and Vice-Chair of the Panel.	3. The members shall elect from amongst themselves a Chair and Vice-Chair of the Panel.
4. If, for some reason, a member of the Panel is unable to continue with her or his membership, the Chair of the PBC shall appoint as a new member of the Panel another individual from the same Regional Group, upon the recommendation of such Group. If, for any reason, no representative of a given Regional Group can be appointed as a member of the Panel to replace the outgoing member, the Chair of the PBC shall decide on the designation.	4. If, for some reason, a member of the Panel is unable to continue with her or his membership, the Chair of the PBC shall appoint as a new member of the Panel another individual from the same Regional Group, upon the recommendation of such Group. If, for any reason, no representative of a given Regional Group can be appointed as a member of the Panel to replace the outgoing member, the Chair of the PBC shall decide on the designation.
5. The Director General shall appoint a member of the Secretariat of WIPO as Secretary to the Panel. The Secretary's functions shall include the notification of meetings, distribution of documentation for each meeting, preparation of draft reports of the meetings, recording of the decisions of the Panel and any other function the Director General or the Panel may determine. The Secretary shall not have the right to vote.	5. The Director General shall appoint a member of the Secretariat of WIPO as Secretary to the Panel. The Secretary's functions shall include the notification of meetings, distribution of documentation for each meeting, preparation of draft reports of the meetings, recording of the decisions of the Panel and any other function the Director General or the Panel may determine. The Secretary shall not have the right to vote.

<i>Selection Procedure for the Members of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat (July 29, 2021)</i>	<i>Selection Procedure for the Members of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat, as amended by the PBC (September 15, 2021)</i>
6. The Panel shall continue to exist until the PBC adopts a decision appointing the new members of the IAOC, at which time the Panel shall be disbanded and cease to have any function. A new Panel shall be constituted for each recruitment process.	6. The Panel shall continue to exist until the PBC adopts a decision appointing the new members of the IAOC, at which time the Panel shall be disbanded and cease to have any function. A new Panel shall be constituted for each recruitment process.
C. MANDATE AND FUNCTIONING OF THE SELECTION PANEL	C. MANDATE AND FUNCTIONING OF THE SELECTION PANEL
7. The Panel is responsible for administering a competitive recruitment process to fill vacant seats on the IAOC. Based on the overall criteria set out in the vacancy announcement, the Panel is tasked with conducting a rigorous assessment of the candidates, in order to identify those who are most suitable. Expertise as well as geographical distribution, rotation, and gender balance should guide the selection process. After the Panel's assessment, it shall make its recommendations to the PBC as to the appointment of the candidate(s) as members of the IAOC.	7. The Panel is responsible for administering a competitive recruitment process to fill vacant seats on the IAOC. Based on the overall criteria set out in the vacancy announcement, the Panel is tasked with conducting a rigorous assessment of the candidates, in order to identify those who are most suitable. Expertise as well as geographical distribution, rotation, and gender balance should guide the selection process. After the Panel's assessment, it shall make its recommendations to the PBC as to the appointment of the candidate(s) as members of the IAOC.
8. The Panel shall define its own rules of procedure, detailing, amongst other things, its functioning and working methods.	8. The Panel shall define its own rules of procedure, detailing, amongst other things, its functioning and working methods.
9. The Panel shall work in an independent manner, while maintaining transparency in its work. The Panel may benefit from the advice of experts as and when deemed necessary. Members of the WIPO Secretariat shall be available to the Panel to provide assistance and advice upon request.	9. The Panel shall work in an independent manner, while maintaining transparency in its work. The Panel may benefit from the advice of experts as and when deemed necessary. Members of the WIPO Secretariat shall be available to the Panel to provide assistance and advice upon request.
10. The deliberations of the Panel shall be confidential.	10. The deliberations of the Panel shall be confidential.
D. VACANCY ANNOUNCEMENT	D. VACANCY ANNOUNCEMENT
11. The vacancy announcement shall be based on the provisions of Annex III that deal with the membership and qualifications of the IAOC.	11. The vacancy announcement shall be based on the provisions of Annex III that deal with the membership and qualifications of the IAOC.
12. The Panel shall finalize the vacancy announcement, an initial draft of which shall be provided by the Secretary. The vacancy announcement shall indicate, amongst other things, the following: the number of seats to be filled; the duration of the appointment; and, the essential qualifications, skills and experience that are required to undertake the role. With a view to ensuring representation of each of the seven Regional Groups of Member States on the IAOC, the vacancy announcement shall also specify which Regional Groups require a new member, and indicate that priority shall be given to the selection of a candidate from each of those Regional Groups.	12. The Panel shall finalize the vacancy announcement, an initial draft of which shall be provided by the Secretary. The vacancy announcement shall indicate, amongst other things, the following: the number of seats to be filled; the duration of the appointment; and, the essential qualifications, skills and experience that are required to undertake the role. With a view to ensuring representation of each of the seven Regional Groups of Member States on the IAOC, the vacancy announcement shall also specify which Regional Groups require a new member, and indicate that priority shall be given to the selection of a candidate from each of those Regional Groups.

<i>Selection Procedure for the Members of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat (July 29, 2021)</i>	<i>Selection Procedure for the Members of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat, as amended by the PBC (September 15, 2021)</i>
<p>13. The WIPO Secretariat shall be responsible for the advertisement of the vacancy. It shall invite applications from interested candidates through a dual-track approach, namely by:</p> <ul style="list-style-type: none"> a) Placing open advertisements on WIPO and United Nations websites and other websites and/or in publications. b) Sending a communication from the Director General to all Member States inviting applications from interested candidates and nominations from Member States, on the understanding that such applications/nominations will not be given precedence and will be treated in the same manner as applications received in response to open advertisements. 	<p>13. The WIPO Secretariat shall be responsible for the advertisement of the vacancy. It shall invite applications from interested candidates through a dual-track approach, namely by:</p> <ul style="list-style-type: none"> a) Placing open advertisements on WIPO and United Nations websites and other websites and/or in publications. b) Sending a communication from the Director General to all Member States inviting applications from interested candidates and nominations from Member States, on the understanding that such applications/nominations will not be given precedence and will be treated in the same manner as applications received in response to open advertisements.
<p>14. Interested candidates who are nominated by a Member State shall be required to submit their application through WIPO's online recruitment system.</p>	<p>14. Interested candidates who are nominated by a Member State shall be required to submit their application through WIPO's online recruitment system.</p>
<p>E. ASSESSMENT OF THE CANDIDATES</p>	<p>E. ASSESSMENT OF THE CANDIDATES</p>
<p>15. All applications/nominations received through this dual-track approach shall be submitted to the Panel.</p>	<p>15. All applications/nominations received through this dual-track approach shall be submitted to the Panel.</p>
<p>16. Following the closure of the vacancy announcement, the Panel shall screen all applications/nominations received from the Regional Groups that are to be given priority in the vacancy announcement, and determine the eligibility of those candidates by reference to the requirements stipulated in the vacancy announcement. The Panel shall ensure that those candidates possess the relevant mandatory qualifications, competencies and experience outlined in the vacancy announcement. If necessary, the Panel may invite relevant external expert(s) to assist them in this task and request funding from the WIPO Secretariat for this purpose.</p>	<p>16. Following the closure of the vacancy announcement, the Panel shall screen all applications/nominations received from the Regional Groups that are to be given priority in the vacancy announcement, and determine the eligibility of those candidates by reference to the requirements stipulated in the vacancy announcement. The Panel shall ensure that those candidates possess the relevant mandatory qualifications, competencies and experience outlined in the vacancy announcement. If necessary, the Panel may invite relevant external expert(s) to assist them in this task and request funding from the WIPO Secretariat for this purpose.</p>
<p>17. If there are no eligible candidates from the Regional Group(s) that require(s) a new member, the Panel shall be obliged to assess candidates from the Regional Groups that are not given priority in the vacancy announcement, in the manner as outlined in the preceding paragraph.</p>	<p>17. If there are no eligible candidates from the Regional Group(s) that require(s) a new member, the Panel shall be obliged to assess candidates from the Regional Groups that are not given priority in the vacancy announcement, in the manner as outlined in the preceding paragraph.</p>
<p>18. By reference to the vacancy announcement, the Panel shall develop an evaluation matrix in consultation with the IAOC and with the support of the Secretary. The evaluation matrix provides for an assessment of the individual skills, as well as an assessment of the candidate's contribution to the collective skills of the IAOC.</p>	<p>18. By reference to the vacancy announcement, the Panel shall develop an evaluation matrix in consultation with the IAOC and with the support of the Secretary. The evaluation matrix provides for an assessment of the individual skills, as well as an assessment of the candidate's contribution to the collective skills of the IAOC.</p>
<p>19. The Panel shall send the finalized evaluation matrix to the IAOC, together with the applications of the eligible candidates, for a ranking-based assessment on the basis of that matrix. When forwarding the applications to the IAOC, the Panel shall suppress some selected identifying information, such as name and nationality, of the candidates for a fair and impartial assessment.</p>	<p>19. The Panel shall send the finalized evaluation matrix to the IAOC, together with the applications of the eligible candidates, for a ranking-based assessment on the basis of that matrix. When forwarding the applications to the IAOC, the Panel shall suppress some selected identifying information, such as name and nationality, of the candidates for a fair and impartial assessment.</p>

<i>Selection Procedure for the Members of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat (July 29, 2021)</i>	<i>Selection Procedure for the Members of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat, as amended by the PBC (September 15, 2021)</i>
20. The IAOC shall undertake the assessment of the eligible candidates using the evaluation matrix. The members of the IAOC shall notify the Panel, via its Secretary, of any potential conflict of interest of which they may become aware throughout the assessment exercise, despite the anonymized applications.	20. The IAOC shall undertake the assessment of the eligible candidates using the evaluation matrix. The members of the IAOC shall notify the Panel, via its Secretary, of any potential conflict of interest of which they may become aware throughout the assessment exercise, despite the anonymized applications.
21. Upon completion of the task, the IAOC shall send its assessment back to the Panel. On receipt, the Panel shall regroup the candidates according to the Regional Groups, and establish a shortlist.	21. Upon completion of the task, the IAOC shall send its assessment back to the Panel. On receipt, the Panel shall regroup the candidates according to the Regional Groups, and establish a shortlist.
22. The Panel shall interview the short-listed candidates (preferably via videoconferencing) to ensure the collegiality, as well as the right mix of skills and expertise, in the overall composition of the IAOC. The Panel shall also ensure that the candidates possess the relevant personal qualities stipulated in the vacancy announcement. Due consideration should also be given to the availability, commitment and professionalism of the candidates. The Panel shall ensure that adequate records are taken during the interviews.	22. The Panel shall interview the short-listed candidates (preferably via videoconferencing) to ensure the collegiality, as well as the right mix of skills and expertise, in the overall composition of the IAOC. The Panel shall also ensure that the candidates possess the relevant personal qualities stipulated in the vacancy announcement. Due consideration should also be given to the availability, commitment and professionalism of the candidates. The Panel shall ensure that adequate records are taken during the interviews.
23. The Panel may also decide to administer a written test, or other forms of testing. If necessary, the Panel may request the assistance of the IAOC, and/or other relevant expert(s) to assist it in these tasks. If external expertise is required, the Panel may request funding from the WIPO Secretariat for this purpose.	23. The Panel may also decide to administer a written test, or other forms of testing. If necessary, the Panel may request the assistance of the IAOC, and/or other relevant expert(s) to assist it in these tasks. If external expertise is required, the Panel may request funding from the WIPO Secretariat for this purpose.
24. For the purposes of making its recommendation(s) to the PBC, the Panel shall perform a ranking exercise on the pool of short-listed candidates taking into account the application, performance during the interview, and any written test or other forms of testing.	24. For the purposes of making its recommendation(s) to the PBC, the Panel shall perform a ranking exercise on the pool of short-listed candidates taking into account the application, performance during the interview, and any written test or other forms of testing.
25. If, following the above selection procedure, there is no qualified candidate available from a required Regional Group, the Panel shall be obliged to assess candidates from the Regional Groups that are not given priority in the vacancy announcement, in the manner as outlined in paragraph 16, and shall resume the process from paragraph 18 onwards. At the end of that exercise, the Panel shall identify the highest-ranking candidate, irrespective of her or his regional representation.	25. If, following the above selection procedure, there is no qualified candidate available from a required Regional Group, the Panel shall be obliged to assess candidates from the Regional Groups that are not given priority in the vacancy announcement, in the manner as outlined in paragraph 16, and shall resume the process from paragraph 18 onwards. At the end of that exercise, the Panel shall identify the highest-ranking candidate, irrespective of her or his regional representation.

<p><i>Selection Procedure for the Members of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat (July 29, 2021)</i></p>	<p><i>Selection Procedure for the Members of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat, as amended by the PBC (September 15, 2021)</i></p>
<p>F. CONFIRMATION OF SUITABILITY AND AVAILABILITY</p> <p>26. Prior to the finalization of its report, the Panel shall request the Secretary to undertake the following tasks in respect of the candidate(s) to be recommended to the PBC:</p> <ul style="list-style-type: none"> a) Carry out all relevant background checks. Once completed, said background checks shall be provided to the Panel for its examination. b) Request the candidate(s) to declare any significant impairment to her or his independence, objectivity and impartiality, including past and/or current conflicts of interest, should they be appointed. c) Request confirmation from the candidate(s) as to their availability in respect of the term of office, should they be appointed. 	<p>F. CONFIRMATION OF SUITABILITY AND AVAILABILITY</p> <p>26. Prior to the finalization of its report, the Panel shall request the Secretary to undertake the following tasks in respect of the candidate(s) to be recommended to the PBC:</p> <ul style="list-style-type: none"> a) Carry out all relevant background checks. Once completed, said background checks shall be provided to the Panel for its examination. b) Request the candidate(s) to declare any significant impairment to her or his independence, objectivity and impartiality, including past and/or current conflicts of interest, should they be appointed. c) Request confirmation from the candidate(s) as to their availability in respect of the term of office, should they be appointed.
<p>G. RECOMMENDATION AND APPOINTMENT</p> <p>27. The Panel shall make its final recommendation(s) to the PBC, via a detailed report, the draft of which shall be prepared by the Secretary. The report shall be approved by the Chair and by each member of the Panel. The Panel shall also attach to its report curricula vitae for all individuals being recommended for appointment to the IAOC.</p>	<p>G. RECOMMENDATION AND APPOINTMENT</p> <p>27. The Panel shall make its final recommendation(s) to the PBC, via a detailed report, the draft of which shall be prepared by the Secretary. The report shall be approved by the Chair and by each member of the Panel. The Panel shall also attach to its report curricula vitae for all individuals being recommended for appointment to the IAOC.</p>
<p>28. The PBC will take the final decision on the composition of the IAOC by appointing the candidate(s), following the Panel's recommendation(s).</p>	<p>28. The PBC will take the final decision on the composition of the IAOC by appointing the candidate(s), following the Panel's recommendation(s).</p>
<p>H. ROSTER/POOL OF EXPERTS</p> <p>29. All the short-listed candidates, as ranked by the Panel, shall be included in a roster/pool of experts for future use in exceptional circumstances, as described in paragraphs 30 and 31 below.</p>	<p>H. ROSTER/POOL OF EXPERTS</p> <p>29. All the short-listed candidates, as ranked by the Panel, shall be included in a roster/pool of experts for future use in exceptional circumstances, as described in paragraphs 30 and 31 below.</p>
<p>30. If, during the time that elapses between the PBC taking its final decision and the commencement of an appointed candidate's term, said candidate unexpectedly becomes unfit for office, or unable or unwilling to assume the responsibility, despite the confirmation received under Section F above, the next highest-ranking available candidate from that region based on the Panel's assessment will be appointed. In case there is no such alternative candidate available, the highest-ranking available candidate in the Panel's assessment will be appointed, irrespective of her or his regional representation.</p>	<p>30. If, during the time that elapses between the PBC taking its final decision and the commencement of an appointed candidate's term, said candidate unexpectedly becomes unfit for office, or unable or unwilling to assume the responsibility, despite the confirmation received under Section F above, the next highest-ranking available candidate from that region based on the Panel's assessment will be appointed. In case there is no such alternative candidate available, the highest-ranking available candidate in the Panel's assessment will be appointed, irrespective of her or his regional representation.</p>

<i>Selection Procedure for the Members of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat (July 29, 2021)</i>	<i>Selection Procedure for the Members of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat, as amended by the PBC (September 15, 2021)</i>
<p>31. In the case of the resignation or demise of a member of the IAOC while serving her or his term, the vacant seat will be filled, to the extent possible, by the highest-ranking available candidate from the same Regional Group. If this is not possible, the vacant seat should be filled by the highest-ranking available candidate irrespective of her or his regional representation. The same methodology will be applied if a seat becomes vacant as a result of a member becoming unfit for office, or unable or unwilling to fulfil her or his duties.</p>	<p>31. In the case of the resignation or demise of a member of the IAOC while serving her or his term, the vacant seat will be filled, to the extent possible, by the highest-ranking available candidate from the same Regional Group. If this is not possible, the vacant seat should be filled by the highest-ranking available candidate irrespective of her or his regional representation. The same methodology will be applied if a seat becomes vacant as a result of a member becoming unfit for office, or unable or unwilling to fulfil her or his duties.</p>
<p>32. Member States will review the Selection Procedure for members of the IAOC as necessary to ensure it remains fit for purpose.</p>	<p>32. Member States will review the Selection Procedure for members of the IAOC as necessary to ensure it remains fit for purpose.</p>

<i>Terms of Reference of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat (July 29, 2021)</i>	<i>Terms of Reference of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat, as amended by the PBC (September 15, 2021)</i>
<p>A. PREAMBLE</p> <p>1. In September 2005, the WIPO General Assembly approved the establishment of a WIPO Audit Committee. In September 2010, the WIPO General Assembly approved a change to the title of the Committee to the Independent Advisory Oversight Committee (IAOC) and amended its composition and rotation procedures.</p>	<p>A. PREAMBLE</p> <p>1. In September 2005, the WIPO General Assembly approved the establishment of a WIPO Audit Committee. In September 2010, the WIPO General Assembly approved a change to the title of the Committee to the Independent Advisory Oversight Committee (IAOC) and amended its composition and rotation procedures.</p>
<p>B. ROLES AND RESPONSIBILITIES</p> <p>2. The IAOC is a subsidiary body of the WIPO General Assembly and of the Program and Budget Committee. It serves in an independent expert advisory capacity and assists the WIPO General Assembly and the Program and Budget Committee in fulfilling their oversight responsibilities.</p>	<p>B. ROLES AND RESPONSIBILITIES</p> <p>2. The IAOC is a subsidiary body of the WIPO General Assembly and of the Program and Budget Committee. It serves in an independent expert advisory capacity and assists the WIPO General Assembly and the Program and Budget Committee in fulfilling their oversight responsibilities.</p>

<p>3. The responsibilities of the IAOC are:</p> <p>(a) With regard to Financial Reporting:</p> <p>(i) To advise on the implication for WIPO of issues and trends apparent in the financial statements and in the WIPO Performance Report;</p> <p>(ii) To discuss with Management changes to accounting policies and accounting standards.</p> <p>(b) With regard to Risk Management and Internal Controls:</p> <p>(i) To review and advise on the quality and effectiveness of risk management procedures;</p> <p>(ii) To review and advise on the adequacy and effectiveness of the internal control framework;</p> <p>(iii) To review and advise on proposed amendments to the Financial Regulations and Rules.</p> <p>(c) With regard to External Audit:</p> <p>(i) To exchange information and views with the External Auditor on their overall audit strategy, significant risks and proposed workplans;</p> <p>(ii) To establish a mechanism for discussing with the External Auditor significant audit findings and recommendations;</p> <p>(iii) To consider the Report of the External Auditor and provide comments thereon for consideration by the Program and Budget Committee;</p> <p>(iv) To review the management action in response to the external audit findings and recommendations.</p> <p>(d) With regard to Internal Oversight:</p> <p>(i) To review, at its last session of the previous year, and advise on the proposed workplan of the Internal Oversight Division (IOD), ascertaining coordination with the External Audit workplan;</p> <p>(ii) To review the implementation of the IOD workplan and the results of internal and external assessments and advise on the quality, effectiveness and efficiency of the internal oversight function and on its organizational independence;</p> <p>(iii) To advise the Director, IOD in cases of significant impairment to his or her independence and objectivity, including conflicts of interest;</p> <p>(iv) To review and advise on proposed internal oversight policies and manuals;</p>	<p>3. The responsibilities of the IAOC are:</p> <p>(a) With regard to Financial Reporting:</p> <p>(i) To advise on the implication for WIPO of issues and trends apparent in the financial statements and in the WIPO Performance Report;</p> <p>(ii) To discuss with Management changes to accounting policies and accounting standards.</p> <p>(b) With regard to Risk Management and Internal Controls:</p> <p>(i) To review and advise on the quality and effectiveness of risk management procedures;</p> <p>(ii) To review and advise on the adequacy and effectiveness of the internal control framework;</p> <p>(iii) To review and advise on proposed amendments to the Financial Regulations and Rules.</p> <p>(c) With regard to External Audit:</p> <p>(i) To exchange information and views with the External Auditor on their overall audit strategy, significant risks and proposed workplans;</p> <p>(ii) To establish a mechanism for discussing with the External Auditor significant audit findings and recommendations;</p> <p>(iii) To consider the Report of the External Auditor and provide comments thereon for consideration by the Program and Budget Committee;</p> <p>(iv) To review the management action in response to the external audit findings and recommendations.</p> <p>(d) With regard to Internal Oversight:</p> <p>(i) To review, at its last session of the previous year, and advise on the proposed workplan of the Internal Oversight Division (IOD), ascertaining coordination with the External Audit workplan;</p> <p>(ii) To review the implementation of the IOD workplan and the results of internal and external assessments and advise on the quality, effectiveness and efficiency of the internal oversight function and on its organizational independence;</p> <p>(iii) To advise the Director, IOD in cases of significant impairment to his or her independence and objectivity, including conflicts of interest;</p> <p>(iv) To review and advise on proposed internal oversight policies and manuals;</p>
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<p>(v) To review and advise in the implementation of internal oversight recommendations;</p> <p>(vi) To review periodically, in consultation with the Director, IOD, the WIPO Internal Oversight Charter and recommend amendments, if any, for consideration by the Program and Budget Committee;</p> <p>(vii) To advise the Director General on the appointment and dismissal, if any, of the Director, IOD, including by reviewing the proposed vacancy announcement and the list of pre-screened candidates, and to provide comments to assist the Coordination Committee when considering the endorsement of the proposed appointment;</p> <p>(viii) To provide input to the Director General into the performance appraisal of the Director, IOD;</p> <p>(ix) To provide advice in case of allegations of misconduct against the Director General in accordance with the Internal Oversight Charter (paragraphs 24, 41 and 42);</p> <p>(x) To provide advice in case of allegations of misconduct against the Director, IOD, in accordance with the Internal Oversight Charter (paragraph 22). No investigative proceedings into allegations against the Director, IOD or previous incumbents shall be initiated without the concurrence of the IAOC;</p> <p>(xi) To review allegations of misconduct against IOD personnel or former IOD staff members and advise the Director, IOD on how to proceed.</p> <p>(e) With regard to Ethics:</p> <p>(i) To review, at its last session of the previous year, and advise on the proposed workplan of the Ethics Office;</p> <p>(ii) To review the implementation of the work plan of the Ethics Office and advise on the quality, effectiveness and efficiency of the ethics function;</p> <p>(iii) To advise the Chief Ethics Officer in cases of significant impairment to his or her independence and objectivity, including conflicts of interest;</p> <p>(iv) To review and advise on proposed ethics policies;</p> <p>(v) To advise the Director General on the appointment and dismissal, if any, of the Chief Ethics Officer, including by</p>	<p>(v) To review and advise in the implementation of internal oversight recommendations;</p> <p>(vi) To review periodically, in consultation with the Director, IOD, the WIPO Internal Oversight Charter and recommend amendments, if any, for consideration by the Program and Budget Committee;</p> <p>(vii) To advise the Director General on the appointment and dismissal, if any, of the Director, IOD, including by reviewing the proposed vacancy announcement and the list of pre-screened candidates, and to provide comments to assist the Coordination Committee when considering the endorsement of the proposed appointment;</p> <p>(viii) To provide input to the Director General into the performance appraisal of the Director, IOD;</p> <p>(ix) To provide advice in case of allegations of misconduct against the Director General in accordance with the Internal Oversight Charter (paragraphs 24, 41 and 42);</p> <p>(x) To provide advice in case of allegations of misconduct against the Director, IOD, in accordance with the Internal Oversight Charter (paragraph 22). No investigative proceedings into allegations against the Director, IOD or previous incumbents shall be initiated without the concurrence of the IAOC;</p> <p>(xi) To review allegations of misconduct against IOD personnel or former IOD staff members and advise the Director, IOD on how to proceed.</p> <p>(e) With regard to Ethics:</p> <p>(i) To review, at its last session of the previous year, and advise on the proposed workplan of the Ethics Office;</p> <p>(ii) To review the implementation of the work plan of the Ethics Office and advise on the quality, effectiveness and efficiency of the ethics function;</p> <p>(iii) To advise the Chief Ethics Officer in cases of significant impairment to his or her independence and objectivity, including conflicts of interest;</p> <p>(iv) To review and advise on proposed ethics policies;</p> <p>(v) To advise the Director General on the appointment and dismissal, if any, of the Chief Ethics Officer, including by</p>
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<i>Terms of Reference of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat (July 29, 2021)</i>	<i>Terms of Reference of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat, as amended by the PBC (September 15, 2021)</i>
<p>reviewing the proposed vacancy announcement and the list of pre-screened candidates;</p> <p>(vi) To provide input to the Director General into the performance appraisal of the Chief Ethics Officer.</p> <p>(f) Other:</p> <p>(i) To review and advise on proposed policies or on particular activities or projects, as requested by the WIPO General Assembly or the Program and Budget Committee;</p> <p>(ii) To make recommendations to the Program and Budget Committee on matters within its Terms of Reference, as it considers appropriate.</p>	<p>reviewing the proposed vacancy announcement and the list of pre-screened candidates;</p> <p>(vi) To provide input to the Director General into the performance appraisal of the Chief Ethics Officer.</p> <p>(f) Other:</p> <p>(i) To review and advise on proposed policies or on particular activities or projects, as requested by the WIPO General Assembly or the Program and Budget Committee;</p> <p>(ii) To make recommendations to the Program and Budget Committee on matters within its Terms of Reference, as it considers appropriate.</p>
<p>C. MEMBERSHIP AND QUALIFICATIONS</p> <p>4. The IAOC shall, to the maximum extent possible , be composed of seven members, from each of the seven Regional Groups of WIPO Member States. The seven members will be appointed by the Program and Budget Committee following a selection process carried out by a Selection Panel set up for this purpose, to be assisted by the current IAOC.</p>	<p>C. MEMBERSHIP AND QUALIFICATIONS</p> <p>4. The IAOC shall, to the maximum extent possible, be composed of seven members, from each of the seven Regional Groups of WIPO Member States. The seven members will be appointed by the Program and Budget Committee following a selection process carried out by a Selection Panel set up for this purpose, to be assisted by the current IAOC. <i>In the event that the IAOC cannot be composed of seven members from each of the seven Regional Groups, the Member States shall be consulted, and take a decision on the matter.</i></p>

<i>Terms of Reference of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat (July 29, 2021)</i>	<i>Terms of Reference of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat, as amended by the PBC (September 15, 2021)</i>
<p>5. The rotation mechanism for the IAOC members will be as follows:</p> <ul style="list-style-type: none"> (a) All members of the IAOC shall be appointed for a term of three years, renewable once. No member of the IAOC shall serve for more than six years in aggregate; (b) Each departing member of the IAOC shall, in principle, be replaced by a candidate from the same Regional Group to which he or she belongs. If the departing member belongs to a Regional Group that already has another representative, he/she shall be replaced by a candidate originating from the Regional Group(s) not represented in the Committee. However, in case there is no candidate available from the Regional Group concerned, who meets the criteria established in the vacancy announcement, then the position shall be filled by the highest ranking candidate irrespective of his or her regional representation; (c) The selection process as described in Annex IV shall apply; (d) In case of resignation or demise of a member of the IAOC during his or her term, or if he or she becomes unfit for office, or unable or unwilling to fulfil their duties, a roster/pool of experts identified during the selection process may be used, in order to appoint a replacement to complete the remainder of the term of office. 	<p>5. The rotation mechanism for the IAOC members will be as follows:</p> <ul style="list-style-type: none"> (a) All members of the IAOC shall be appointed for a term of three years, renewable once. No member of the IAOC shall serve for more than six years in aggregate; (b) Each departing member of the IAOC shall, in principle, be replaced by a candidate from the same Regional Group to which he or she belongs. If the departing member belongs to a Regional Group that already has another representative, he/she shall be replaced by a candidate originating from the Regional Group(s) not represented in the Committee. However, in case there is no candidate available from the Regional Group concerned, who meets the criteria established in the vacancy announcement, then the position shall be filled by the highest ranking candidate irrespective of his or her regional representation; (c) The selection process as described in Annex IV shall apply; (d) In case of resignation or demise of a member of the IAOC during his or her term, or if he or she becomes unfit for office, or unable or unwilling to fulfil their duties, a roster/pool of experts identified during the selection process may be used, in order to appoint a replacement to complete the remainder of the term of office.
<p>6. Members of the IAOC shall possess relevant qualifications and at least 10 years of relevant and recent professional experience at the senior management level, for example, in audit, evaluation, finance, accounting, risk management, investigations, legal affairs, information technology, ethics, human resources management and administration. They shall also possess relevant personal qualities, such as independence, objectivity, impartiality, integrity and strong ethical values. Members of the IAOC should display commitment, and professionalism, and be available to carry out their mandate. They must have strong communication skills and be fluent in English, while a working knowledge of other WIPO official languages is an advantage.</p>	<p>6. Members of the IAOC shall possess relevant qualifications and at least 10 years of relevant and recent professional experience at the senior management level, for example, in audit, evaluation, finance, accounting, risk management, investigations, legal affairs, information technology, ethics, human resources management and administration. They shall also possess relevant personal qualities, such as independence, objectivity, impartiality, integrity and strong ethical values. Members of the IAOC should display commitment, and professionalism, and be available to carry out their mandate. They must have strong communication skills and be fluent in English, while a working knowledge of other WIPO official languages is an advantage.</p>

<i>Terms of Reference of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat (July 29, 2021)</i>	<i>Terms of Reference of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat, as amended by the PBC (September 15, 2021)</i>
<p>7. The overall composition of the IAOC shall reflect collegiality, as well as the right mix of skills and expertise, taking into consideration gender balance. The IAOC should collectively possess the following competencies:</p> <ul style="list-style-type: none"> (a) Technical or specialist knowledge of issues pertinent to the Organization's business; (b) Public and private sector experience in managing organizations and businesses of similar size and complexity; (c) Understanding of the wider relevant environments in which the Organization operates, including its objectives, culture and structure; (d) Detailed understanding of the Organization's governance environment and accountability structures; (e) Oversight or management experience at a senior level in the United Nations system; (f) International and/or intergovernmental experience. 	<p>7. The overall composition of the IAOC shall reflect collegiality, as well as the right mix of skills and expertise, taking into consideration gender balance. The IAOC should collectively possess the following competencies:</p> <ul style="list-style-type: none"> (a) Technical or specialist knowledge of issues pertinent to the Organization's business; (b) Public and private sector experience in managing organizations and businesses of similar size and complexity; (c) Understanding of the wider relevant environments in which the Organization operates, including its objectives, culture and structure; (d) Detailed understanding of the Organization's governance environment and accountability structures; (e) Oversight or management experience at a senior level in the United Nations system; (f) International and/or intergovernmental experience.
<p>8. Members shall serve in their personal capacity; they cannot delegate their duties and may not be represented by any other person in the sessions of the Committee. In performing their duties, members shall not seek or receive instructions from any Government or any other party.</p>	<p>8. Members shall serve in their personal capacity; they cannot delegate their duties and may not be represented by any other person in the sessions of the Committee. In performing their duties, members shall not seek or receive instructions from any Government or any other party.</p>
<p>9. Members of the IAOC shall sign a statement of disclosure of interest.</p>	<p>9. Members of the IAOC shall sign a statement of disclosure of interest.</p>
<p>10. New members should have, or should acquire by a structured induction program organized by the WIPO Secretariat in consultation and with the participation of Member States, an understanding of the objectives of the Organization, its structure and its culture, and the relevant rules governing it.</p>	<p>10. New members should have, or should acquire by a structured induction program organized by the WIPO Secretariat in consultation and with the participation of Member States, an understanding of the objectives of the Organization, its structure and its culture, and the relevant rules governing it.</p>
<p>11. Members of the IAOC and their immediate family members shall not be eligible for employment at WIPO either directly or indirectly during their mandate period and for up to five years after their mandate period. Equally, members of the IAOC shall not have served as a staff member of WIPO within five years of joining the Committee.</p>	<p>11. Members of the IAOC and their immediate family members shall not be eligible for employment at WIPO either directly or indirectly during their mandate period and for up to five years after their mandate period. Equally, members of the IAOC shall not have served as a staff member of WIPO within five years of joining the Committee.</p>
<p>D. CHAIRPERSONSHIP</p>	<p>D. CHAIRPERSONSHIP</p>
<p>12. The members of the IAOC shall elect annually a Chairperson and a Vice-Chairperson. In the event of the chairpersonship becoming vacant during the term, the Vice-Chairperson shall assume the office of the Chairperson until the expiration of the predecessor's term and members shall elect another Vice-Chairperson. In the event of both the Chairperson and the Vice-Chairperson being absent, the remaining members may designate an Acting Chairperson from among themselves to conduct the meeting or the entire session.</p>	<p>12. The members of the IAOC shall elect annually a Chairperson and a Vice-Chairperson. In the event of the chairpersonship becoming vacant during the term, the Vice-Chairperson shall assume the office of the Chairperson until the expiration of the predecessor's term and members shall elect another Vice-Chairperson. In the event of both the Chairperson and the Vice-Chairperson being absent, the remaining members may designate an Acting Chairperson from among themselves to conduct the meeting or the entire session.</p>

<i>Terms of Reference of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat (July 29, 2021)</i>	<i>Terms of Reference of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat, as amended by the PBC (September 15, 2021)</i>
<p>E. REIMBURSEMENT OF COSTS</p> <p>13. Members will not be remunerated for activities undertaken in their capacity as members of the Committee. However, WIPO shall reimburse Committee members, in accordance with WIPO Financial Regulations and Rules, for any travel and subsistence costs that are necessarily incurred in relation to participation in Committee and other official meetings.</p>	<p>E. REIMBURSEMENT OF COSTS</p> <p>13. Members will not be remunerated for activities undertaken in their capacity as members of the Committee. However, WIPO shall reimburse Committee members, in accordance with WIPO Financial Regulations and Rules, for any travel and subsistence costs that are necessarily incurred in relation to participation in Committee and other official meetings.</p>
<p>F. INDEMNITY OF MEMBERS</p> <p>14. Committee members will be indemnified from actions taken against them as a result of activities performed in the course of exercising their responsibilities as members of the Committee, as long as such activities are performed in good faith and with due diligence.</p>	<p>F. INDEMNITY OF MEMBERS</p> <p>14. Committee members will be indemnified from actions taken against them as a result of activities performed in the course of exercising their responsibilities as members of the Committee, as long as such activities are performed in good faith and with due diligence.</p>
<p>G. MEETING AND QUORUM</p> <p>15. The IAOC will meet regularly every quarter in formal session at WIPO headquarters. In exigent circumstances, the Committee may decide to consider issues through virtual consultations and come to conclusions that will have the same force as conclusions arrived at during its regular sessions.</p>	<p>G. MEETING AND QUORUM</p> <p>15. The IAOC will meet regularly every quarter in formal session at WIPO headquarters. In exigent circumstances, the Committee may decide to consider issues through virtual consultations and come to conclusions that will have the same force as conclusions arrived at during its regular sessions.</p>
<p>16. A minimum of four members of the IAOC are required to be present for a meeting of the Committee to be quorate.</p>	<p>16. A minimum of four members of the IAOC are required to be present for a meeting of the Committee to be quorate.</p>
<p>17. The IAOC may invite officials of the WIPO Secretariat or others to attend its sessions.</p>	<p>17. The IAOC may invite officials of the WIPO Secretariat or others to attend its sessions.</p>
<p>18. The IAOC shall meet at least once a year in private sessions with the Director General, the Director, Human Resources Management Department, the Controller, the Director, Internal Oversight Division, the Chief Ethics Officer, the Ombudsperson and the External Auditor, respectively.</p>	<p>18. The IAOC shall meet at least once a year in private sessions with the Director General, the Director, Human Resources Management Department, the Controller, the Director, Internal Oversight Division, the Chief Ethics Officer, the Ombudsperson and the External Auditor, respectively.</p>
<p>H. REPORTING AND REVIEW</p> <p>19. The IAOC shall keep Member States informed of its work on a regular basis. In particular, following each of its formal sessions the Committee shall organize an information meeting with representatives of WIPO Member States and submit a report to the Program and Budget Committee.</p>	<p>H. REPORTING AND REVIEW</p> <p>19. The IAOC shall keep Member States informed of its work on a regular basis. In particular, following each of its formal sessions the Committee shall organize an information meeting with representatives of WIPO Member States and submit a report to the Program and Budget Committee.</p>
<p>20. The IAOC shall submit an annual report to the Program and Budget Committee and to the WIPO General Assembly, summarizing its activities, assessments and conclusions. The annual report shall also include the IAOC's comments on the Report of the External Auditor for consideration by the Program and Budget Committee. To this end, the IAOC shall receive a signed copy of the External Auditor's Report at least four weeks prior to the session of the Program and Budget Committee.</p>	<p>20. The IAOC shall submit an annual report to the Program and Budget Committee and to the WIPO General Assembly, summarizing its activities, assessments and conclusions. The annual report shall also include the IAOC's comments on the Report of the External Auditor for consideration by the Program and Budget Committee. To this end, the IAOC shall receive a signed copy of the External Auditor's Report at least four weeks prior to the session of the Program and Budget Committee.</p>
<p>21. The Chairperson or other members designated by the Chairperson shall attend ex officio, relevant meetings of the General Assembly and of the Program and Budget Committee. At the invitation of other WIPO committees, the Chairperson or other members designated by the Chairperson may attend meetings of such committees.</p>	<p>21. The Chairperson or other members designated by the Chairperson shall attend ex officio, relevant meetings of the General Assembly and of the Program and Budget Committee. At the invitation of other WIPO committees, the Chairperson or other members designated by the Chairperson may attend meetings of such committees.</p>

<i>Terms of Reference of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat (July 29, 2021)</i>	<i>Terms of Reference of the WIPO Independent Advisory Oversight Committee proposed by the Secretariat, as amended by the PBC (September 15, 2021)</i>
<p>I. SELF-ASSESSMENT</p> <p>22. The IAOC shall perform, at least every two years, a self-assessment relative to the Committee's purpose and mandate to ensure it is operating effectively.</p>	<p>I. SELF-ASSESSMENT</p> <p>22. The IAOC shall perform, at least every two years, a self-assessment relative to the Committee's purpose and mandate to ensure it is operating effectively.</p>
<p>J. SECRETARY OF THE COMMITTEE</p> <p>23. The WIPO Secretariat, in consultation with the IAOC, shall designate a Secretary to the IAOC who shall provide logistical and technical assistance to the Committee. Furthermore, the IAOC may retain external consultants, as necessary, in a support capacity.</p>	<p>J. SECRETARY OF THE COMMITTEE</p> <p>23. The WIPO Secretariat, in consultation with the IAOC, shall designate a Secretary to the IAOC who shall provide logistical and technical assistance to the Committee. Furthermore, the IAOC may retain external consultants, as necessary, in a support capacity.</p>
<p>24. Such assistance entails preparing for and attending the sessions of the Committee and assisting with preparing draft reports or any correspondence. Such assistance may also entail research and background position papers in preparation for the sessions of the Committee, as may be requested by the Committee.</p>	<p>24. Such assistance entails preparing for and attending the sessions of the Committee and assisting with preparing draft reports or any correspondence. Such assistance may also entail research and background position papers in preparation for the sessions of the Committee, as may be requested by the Committee.</p>
<p>25. The performance appraisal of the IAOC Secretary shall be done with input from and in consultation with the Chairperson of the IAOC.</p>	<p>25. The performance appraisal of the IAOC Secretary shall be done with input from and in consultation with the Chairperson of the IAOC.</p>
<p>K. BUDGET</p> <p>26. WIPO shall include in its biennial budget a specific allocation for the IAOC, providing for the costs associated with the Committee's mandated activities, namely four formal sessions of four to five days each in principle, attendance by IAOC members at Program and Budget Committee sessions, at the General Assembly, and at other meetings as required, support by the IAOC Secretary, and, as required, external consultants.</p>	<p>K. BUDGET</p> <p>26. WIPO shall include in its biennial budget a specific allocation for the IAOC, providing for the costs associated with the Committee's mandated activities, namely four formal sessions of four to five days each in principle, attendance by IAOC members at Program and Budget Committee sessions, at the General Assembly, and at other meetings as required, support by the IAOC Secretary, and, as required, external consultants.</p>
<p>L. INFORMATION REQUIREMENTS</p> <p>27. Well in advance of each session, the WIPO Secretariat shall provide the Committee with documents and information related to its Agenda, and any other relevant information. The Committee shall have unhindered access to all staff and consultants of the Organization, as well as access to records.</p>	<p>L. INFORMATION REQUIREMENTS</p> <p>27. Well in advance of each session, the WIPO Secretariat shall provide the Committee with documents and information related to its Agenda, and any other relevant information. The Committee shall have unhindered access to all staff and consultants of the Organization, as well as access to records.</p>
<p>M. AMENDMENTS TO THE TERMS OF REFERENCE</p> <p>28. Previous revisions to these Terms of Reference have been approved by the WIPO General Assembly in September 2007, September 2010, September 2011, October 2012, October 2015, and October 2018. The latest revision has been approved by the WIPO General Assembly in October 2021 (document [reference to be inserted]).</p>	<p>M. AMENDMENTS TO THE TERMS OF REFERENCE</p> <p>28. Previous revisions to these Terms of Reference have been approved by the WIPO General Assembly in September 2007, September 2010, September 2011, October 2012, October 2015, and October 2018. The latest revision has been approved by the WIPO General Assembly in October 2021 (document [reference to be inserted]).</p>
<p>29. Member States will review, at least every three years, the role and responsibilities, functioning and membership of the IAOC. To facilitate that review, the IAOC shall periodically review its Terms of Reference and recommend amendments as appropriate, for consideration by the Program and Budget Committee. Notwithstanding this periodic review, Member States may request such review to be put on the agenda of any session of the Program and Budget Committee.</p>	<p>29. Member States will review, at least every three years, the role and responsibilities, functioning and membership of the IAOC. To facilitate that review, the IAOC shall periodically review its Terms of Reference and recommend amendments as appropriate, for consideration by the Program and Budget Committee. Notwithstanding this periodic review, Member States may request such review to be put on the agenda of any session of the Program and Budget Committee.</p>

[End of Annex and of document]