

## Committee on WIPO Standards (CWS)

### Fourth Session

Geneva, May 12 to 16, 2014

### NEW WIPO STANDARD ON THE PRESENTATION OF NUCLEOTIDE AND AMINO ACID SEQUENCE LISTINGS USING EXTENSIBLE MARKUP LANGUAGE (XML)

*Document prepared by the Secretariat*

1. The Committee on WIPO Standards (CWS), at its first session held in October 2010, agreed to create Task No. 44 for the preparation of recommendations on the presentation of nucleotide and amino acid sequence listings based on eXtensible Markup Language (XML) for adoption as a WIPO standard. The CWS also decided to establish a task force to handle this Task (SEQL Task Force). The European Patent Office (EPO) was designated as the Task Force Leader. (See paragraphs 27 to 30 of document CWS/1/10, Task No. 44 in document CWS/3/12, the description of the Task is also provided in Annex I to the present document.)
2. Following the above decision by the CWS, representatives of 13 industrial property offices (IPO) and the International Bureau were nominated to participate in the Task Force. At its second and third sessions, the CWS noted the information that the EPO, in its capacity of the Task Force Leader, provided on the status of the SEQL Task Force discussion, including the work plan for preparation of the recommendations. (See documents CWS/2/5 and CWS/3/6.)
3. After the third session of the CWS, the SEQL Task Force continued its discussion in the Wiki forum. The report prepared by the Task Force Leader on the work carried out by the Task Force is reproduced in Annex I to the present document.
4. Following the above-mentioned request by the CWS, the SEQL Task Force has prepared a proposal of a new standard for consideration and approval by the CWS. The proposed name of the new standard is “WIPO Standard ST.26 – Recommended standard for the presentation of nucleotide and amino acid sequence listings using XML (eXtensible Markup Language)”. The draft new WIPO Standard ST.26, which contains the main body and five annexes, is reproduced in Annex II to the present document.

5. The SEQL Task Force was also requested by the CWS to liaise with the appropriate PCT body with regard to the possible impact of new Standard ST.26 on Annex C to the Administrative Instructions under the PCT (see paragraph 29(c) of document CWS/1/10). Provisions related to the transition from WIPO Standard ST.25 to the new WIPO Standard ST.26 are currently under discussion by the Task Force members. It is planned to present them for consideration by the CWS at its next session to be held in 2015 (see paragraph 10 “Roadmap” in Annex I to the present document).

6. IPOs are requested to postpone the preparations for implementation of the new WIPO Standard ST.26 until said provisions on the transition are approved by the CWS. Meanwhile, Standard ST.25 should continue to be used. Having this in mind, on the condition that the new standard is adopted at the present (fourth) session of the CWS, the Task Force proposes to include the following Editorial Note to the new standard:

*“Editorial Note by the International Bureau*

*“The CWS agreed to ask industrial property offices to postpone the preparations for implementation of this new WIPO Standard ST.26 until the recommendations for the transition from WIPO Standard ST.25 to the new Standard ST.26 is agreed on by the CWS at its fifth session to be held in 2015. Meanwhile, Standard ST.25 should continue to be used.*

*“The Standard is published for information purposes of industrial property offices and other interested parties.*

*“The Committee on WIPO Standards (CWS) adopted the present standard at [its fourth session on May 16, 2014].”*

7. *The CWS is invited to:*

*(a) note the status report on the work of SEQL Task Force provided in Annex I to the present document;*

*(b) adopt “WIPO Standard ST.26 – Recommended standard for the presentation of nucleotide and amino acid sequence listings using XML (eXtensible Markup Language)” as the name of the proposed standard;*

*(c) consider and adopt WIPO Standard ST.26, as reproduced in the Annex II to the present document;*

*(d) consider and approve the Editorial Note to be included in WIPO Standard ST.26 (see paragraph 6, above); and*

*(e) request the SEQL Task Force to prepare a proposal for the transition provisions, as referred to in paragraph 5, above, and present them for consideration and approval by the CWS at its fifth session.*

[Annexes follow]

## **REPORT ON THE PREPARATION OF A NEW WIPO STANDARD ON THE PRESENTATION OF NUCLEOTIDE AND AMINO ACID SEQUENCE LISTINGS USING EXTENSIBLE MARKUP LANGUAGE (XML)**

*Document prepared by the European Patent Office (EPO)*

### **BACKGROUND**

1. The Task Force on Sequence Listings was created by the Committee on WIPO Standards (CWS), at its first session (October 25 to 29, 2010), to deal with Task No. 44 (see paragraph 29 of document CWS/1/10):

“Prepare a recommendation on the presentation of nucleotide and amino acid sequence listings based on eXtensible Markup Language (XML) for adoption as a WIPO standard. The proposal of the new WIPO standard should be presented along with a report on the impact of the said standard on the current WIPO Standard ST.25, including the proposed necessary changes to Standard ST.25.”

2. The Task Force was also requested:

“To liaise with the appropriate PCT body with regard to the possible impact of such standard on Annex C to the Administrative Instructions under the PCT.”

3. The European Patent Office (EPO) was assigned the role of Task Force Leader and has since then held six rounds of discussions on WIPO's Wiki and submitted a final draft for public consultation. The principle of differentiating the technical aspects of ST.25 from Annex C (PCT Administrative Instructions) was agreed upon at the eighteenth session Meeting of International Authorities in February 2011 (see paragraphs 88 to 92 of document PCT/MIA/18/16) and at the fourth session of the PCT Working Group in June 2011 (see paragraphs 180 to 188 of document PCT/WG/4/17).

4. On the basis of the comments received from the Task Force members, a final round of discussions was held in order to achieve a common agreement on the Standard requirements.

### **PROGRESS REPORT**

5. The Task Force started operating in February 2011 on the basis of drafts prepared by the EPO. Many Offices participated in the process and posted useful comments on WIPO's related Wiki.

6. In March 2012, the Task Force finalized a draft of the standard that could be used by the offices to consult their respective public. Several significant issues were raised by public comments and they have been tackled in cooperation with the database providers DDBJ, EBI, NCBI.

7. The sixth round of discussions was finished on September 2013 and the draft incorporating the improvements resulting from the public consultation and further discussions among the Task Force members and Database providers, was posted on WIPO's wiki for a final review.

8. On the basis of the comments received from the Task Force members, a final round of discussions took place aimed at achieving a common agreement on the Standard requirements. On a provisional basis, the Task Force named this Standard ST.26. The main body and annexes proposed by the Task Force for consideration and approval of the CWS introduce the following improvements when compared to the current ST.25:

- (a) All (PCT) procedural issues are transferred to the PCT Administrative Instructions: the new standard is focused on technical aspects, thus to enable an optimal presentation of the sequence listings (the biotech-related part) and the appropriate format of the submission (namely XML);
- (b) The biotech-related part has been considerably improved to reflect modern industry standards, for example:
  - inclusion of modified nucleotides and amino acids not previously provided for (e.g. D-amino acids, PNA, morpholinos etc.) which have gained importance in industry and need to be electronically searchable;
  - clear instructions for gapped sequences and sequence variants;
  - clarification with regard to features and annotations;
  - consistency with latest public biological sequence repositories consortia requirements (INSDC and UniProt); and
  - the XML definition is self-contained and independent of ST.36 or ST.96.
- (c) The syntax provided by the Document Type Definition (DTD) used in the ST.26 increases the data accuracy and enables automatic data quality control.

9. The Task Force will continue to work on the transition aspects in 2014 and 2015 with the objective to submit for the consideration and approval of the CWS, at its fifth session, the recommendations for the transition from ST.25 to ST.26.

#### ROADMAP

10. A new round of discussions will continue after the CWS/4 session, focused on the preparation of the recommendations for the transition phase to be presented at the CWS session in 2015.

[Annex II follows]

**STANDARD ST.26**

RECOMMENDED STANDARD FOR THE PRESENTATION OF NUCLEOTIDE AND AMINO ACID SEQUENCE LISTINGS  
USING XML (EXTENSIBLE MARKUP LANGUAGE)

Final Draft

*Proposal presented by the SEQL Task Force for consideration and adoption at the CWS/4*

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## STANDARD ST.26

### RECOMMENDED STANDARD FOR THE PRESENTATION OF NUCLEOTIDE AND AMINO ACID SEQUENCE LISTINGS USING XML (EXTENSIBLE MARKUP LANGUAGE)

Final Draft

*Proposal presented by the SEQL Task Force for consideration and adoption at the CWS/4*

#### INTRODUCTION

1. This Standard defines the nucleotide and amino acid sequence disclosures in a patent application required to be included in a sequence listing, the manner in which those disclosures are to be characterized, and the Document Type Definition (DTD) for a sequence listing in XML (eXtensible Markup Language). It is recommended that industrial property offices accept any sequence listing compliant with this Standard filed as part of a patent application or in relation to a patent application.

2. The purpose of this Standard is to:

- (a) allow applicants to draw up a single sequence listing in a patent application acceptable for the purposes of both international and national or regional procedures;
- (b) enhance the accuracy and quality of presentations of sequences for easier dissemination, benefiting applicants, the public and examiners;
- (c) facilitate searching of the sequence data; and
- (d) allow sequence data to be exchanged in electronic form and introduced into computerized databases.

#### DEFINITIONS

3. For the purpose of this Standard, the expression:

- (a) "amino acid" means any amino acid that can be represented using any of the symbols set forth in Annex I (see Section 3, Table 3). Such amino acids include, inter alia, D-amino acids and amino acids containing modified or synthetic side chains. Amino acids will be construed as unmodified L-amino acids unless further described as modified according to paragraph 29.
- (b) "controlled vocabulary" is the terminology contained in this Standard that must be used when describing the features of a sequence, i.e., annotations of regions or sites of interest as set forth in Annex I.
- (c) "intentionally skipped sequence", also known as an empty sequence, refers to a placeholder to preserve the numbering of sequences in the sequence listing for consistency with the application disclosure, for example, where a sequence is deleted from the disclosure to avoid renumbering of the sequences in both the disclosure and the sequence listing.
- (d) "nucleotide" means any nucleotide or nucleotide analog that can be represented using any of the symbols set forth in Annex I (see Section 1, Table 1). Nucleotides may contain, inter alia, a modified or synthetic purine or pyrimidine base, or a modified or synthetic ribose or deoxyribose, and may be joined by a modified or synthetic 3' to 5' inter-nucleoside linkage, i.e., any chemical moiety that provides the same structural function as the phosphate moiety of DNA or RNA, such as a phosphorothioate moiety.
- (e) "residue" means any individual nucleotide or amino acid in a sequence.
- (f) "sequence identification number" means a unique number (integer) assigned to each sequence in the sequence listing.
- (g) "sequence listing" means a part of the description of the patent application as filed or a document filed subsequently to the application, which presents the disclosed nucleotide and/or amino acid sequence(s), along with any further description.
- (h) "specifically defined" means any nucleotide other than those represented by the symbol "n" and any amino acid other than those represented by the symbol "X" listed in Annex I.
- (i) "unknown" nucleotide or amino acid means that a single nucleotide or amino acid is present but its identity is unknown or not disclosed.

## SCOPE

4. This Standard establishes the requirements for the presentation of nucleotide and amino acid sequence listings of sequences disclosed in patent applications.
5. A sequence listing complying with this Standard (hereinafter sequence listing) contains a general information part and a sequence data part. The sequence listing must be presented as a single file in XML using the Document Type Definition (DTD) presented in Annex II. The purpose of the bibliographic information contained in the general information part is solely for association of the sequence listing to the patent application for which the sequence listing is submitted. The sequence data part is composed of one or more sequence data elements each of which contain information about one sequence. The sequence data elements include various feature keys and subsequent qualifiers based on the International Nucleotide Sequence Database Collaboration (INSDC) and UniProt specifications.
6. For the purpose of this Standard, a sequence for which inclusion in a sequence listing is required is one that is disclosed anywhere in an application by enumeration of its residues and is:
  - (a) an unbranched sequence or a linear portion of a branched sequence containing ten or more specifically defined nucleotides, wherein adjacent nucleotides are joined 3' to 5' (or 5' to 3'), or
  - (b) an unbranched sequence or a linear portion of a branched sequence containing four or more specifically defined amino acids, wherein adjacent amino acids are joined by peptide bonds.
7. A sequence listing must not include any sequences having fewer than ten specifically defined nucleotides, or fewer than four specifically defined amino acids.

## REFERENCES

8. References to the following Standards and resources are of relevance to this Standard:

International Nucleotide Sequence Database Collaboration (INSDC)	<a href="http://www.insdc.org/">http://www.insdc.org/</a> ;
ISO 639-1 - Codes for the representation of names of languages	Part 1: Alpha-2 code;
UniProt Consortium	<a href="http://www.uniprot.org/">http://www.uniprot.org/</a> ;
W3C XML 1.0	<a href="http://www.w3.org/">http://www.w3.org/</a> ;
WIPO Standard <a href="#">ST.2</a>	Standard Manner for Designating Calendar Dates by Using the Gregorian Calendar;
WIPO Standard <a href="#">ST.3</a>	Two-Letter Codes for the Representation of States, Other Entities and Intergovernmental Organizations;
WIPO Standard <a href="#">ST.16</a>	Identification of different kinds of patent documents;
WIPO Standard <a href="#">ST.25</a>	Presentation of nucleotide and amino acid sequence listings.

## PRESENTATION OF SEQUENCES

9. Each sequence must be assigned a separate sequence identification number. The sequence identification numbers must begin with number 1, and increase consecutively by integers. Where no sequence is present for a sequence identification number, i.e. an intentionally skipped sequence, "000" must be used in place of a sequence (see paragraph 58). The total number of sequences must be indicated in the sequence listing and must equal the total number of sequence identification numbers, whether followed by a sequence or by "000."

### *Nucleotide sequences*

10. A nucleotide sequence must be presented only by a single strand, in the 5'-end to 3'-end direction from left to right. The designations 5' and 3' must not be present in the sequence. A double-stranded nucleotide sequence disclosed by enumeration of the residues of both strands must be presented as:
  - (a) a single sequence or as two separate sequences, each assigned its own sequence identification number, where the two separate strands are fully complementary to each other, or
  - (b) two separate sequences, each assigned its own sequence identification number, where the two strands are not fully complementary to each other.
11. Numbering of the nucleotide positions must start at the first base of the sequence with number 1. It must be continuous through the whole sequence in the direction 5' to 3'.

12. The above numbering method for nucleotide sequences is also applicable to nucleotide sequences that are circular in configuration. In this case, the applicant must choose the nucleotide with which numbering begins.
13. All nucleotides in a sequence must be represented using the symbols set forth in Annex I (see Section 1, Table 1). Only lower case letters must be used. Any symbol used to represent a nucleotide is the equivalent of only one residue.
14. The symbol "t" will be construed as thymine in DNA and uracil in RNA. Uracil in DNA or thymine in RNA is considered a modified nucleotide and must be accompanied by a further description as provided by paragraph 18.
15. Where an ambiguity symbol (representing two or more alternative bases) is appropriate, the most restrictive symbol should be used. For example, if a base in a given position could be "a" or "g", then "r" should be used, rather than "n". The symbol "n" will be construed as any one of "a", "c", "g", or "t/u" except where it is used with a further description as provided by paragraphs 16 and 17 or 20. The symbol "n" may not be used to represent anything other than a nucleotide. A single modified or "unknown" nucleotide may be represented by the symbol "n", together with a further description, as provided in paragraphs 16 and 17 or 20.
16. Modified nucleotides should be represented in the sequence as the corresponding unmodified bases, i.e., "a", "c", "g" or "t" whenever possible. Any modified nucleotide in a sequence that cannot otherwise be represented by any other symbol in Annex I (see Section 1, Table 1), such as non-naturally occurring nucleotides, must be represented by the symbol "n". Where the symbol "n" is used to represent a modified nucleotide it is the equivalent of only one residue.
17. A modified nucleotide must be further described in the feature table (see paragraph 59 *et seq.*) using the feature key "modified\_base" and the mandatory qualifier "mod\_base" in conjunction with a single abbreviation from Annex I (see Section 2, Table 2) as the qualifier value; if the abbreviation is "OTHER", the complete unabbreviated name of the modified base must be provided as the value in a "note" qualifier. The abbreviations (or full names) provided in Annex I (see Section 2, Table 2) referred to above must not be used in the sequence itself.
18. Uracil in DNA or thymine in RNA are considered modified nucleotides and must be represented in the sequence as "t" and be further described in the feature table using the feature key "modified\_base", the qualifier "mod\_base" with "OTHER" as the qualifier value and the qualifier "note" with "uracil" or "thymine", respectively, as the qualifier value.
19. The following examples illustrate the presentation of modified nucleotides according to paragraphs 16 and 17 above:

Example 1: Modified nucleotide using an abbreviation from Annex I (see Section 2, Table 2)

```
<INSDFeature>
  <INSDFeature_key>modified_base</INSDFeature_key>
  <INSDFeature_location>15</INSDFeature_location>
  <INSDFeature_qualifiers>
    <INSDQualifier>
      <INSDQualifier_name>mod_base</INSDQualifier_name>
      <INSDQualifier_value>i</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qualifiers>
</INSDFeature>
```

Example 2: Modified nucleotide using "OTHER" from Annex I (see Section 2, Table 2)

```
<INSDFeature>
  <INSDFeature_key>modified_base</INSDFeature_key>
  <INSDFeature_location>4</INSDFeature_location>
  <INSDFeature_qualifiers>
    <INSDQualifier>
      <INSDQualifier_name>mod_base</INSDQualifier_name>
      <INSDQualifier_value>OTHER</INSDQualifier_value>
    </INSDQualifier>
    <INSDQualifier>
      <INSDQualifier_name>note</INSDQualifier_name>
      <INSDQualifier_value>xanthine</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qualifiers>
</INSDFeature>
```

20. Any "unknown" nucleotide must be represented by the symbol "n" in the sequence. An "unknown" nucleotide should be further described in the feature table (see paragraph 60 *et seq.*) using the feature key "unsure". The symbol "n" is the equivalent of only one residue.

21. A region containing a known number of contiguous “a”, “c”, “g”, “t”, or “n” residues for which the same description applies may be jointly described using the syntax “x.y” as the location descriptor in the element `INSDFeature_location` (see paragraphs 65 to 72). For presentation of sequence variants, i.e., deletions, insertions or substitutions, see paragraphs 92 to 97 .

22. The following example illustrates the presentation of a region of modified nucleotides for which the same description applies, according to paragraph 21 above:

```
<INSDFeature>
  <INSDFeature_key>modified_base</INSDFeature_key>
  <INSDFeature_location>358..485</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>mod_base</INSDQualifier_name>
      <INSDQualifier_value>OTHER</INSDQualifier_value>
    </INSDQualifier>
    <INSDQualifier>
      <INSDQualifier_name>note</INSDQualifier_name>
      <INSDQualifier_value>isoguanine</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>
```

#### *Amino acid sequences*

23. The amino acids in a protein or peptide sequence must be listed in the amino to carboxy direction from left to right. The amino and carboxy groups must not be represented in the sequence.

24. Numbering of amino acid positions must start at the first amino acid of the sequence, with number 1, including amino acids preceding the mature protein, for example, pre-sequences, pro-sequences, pre-pro-sequences and signal sequences. It must be contiguous through the whole sequence in the amino to carboxy direction.

25. All amino acids in a sequence must be represented using the symbols set forth in Annex I (see Section 3, Table 3). Only upper case letters must be used. Any symbol used to represent an amino acid is the equivalent of only one residue.

26. Where an ambiguity symbol (representing two or more amino acids in the alternative) is appropriate, the most restrictive symbol should be used. For example, if an amino acid in a given position could be aspartic acid or asparagine, the symbol “B” should be used, rather than “X”. The symbol “X” will be construed as any one of “A”, “R”, “N”, “D”, “C”, “Q”, “E”, “G”, “H”, “I”, “L”, “K”, “M”, “F”, “P”, “O”, “S”, “U”, “T”, “W”, “Y”, or “V”, except where it is used with a further description as provided by paragraphs 28 to 30 or 31 to 33. The symbol “X” may not be used to represent anything other than an amino acid. A single amino acid may be represented by the symbol “X”, together with a further description, as provided in paragraphs 28 to 30 or 31 to 33. For presentation of sequence variants, i.e., deletions, insertions, or substitutions, see paragraphs 92 to 97.

27. Amino acid sequences separated by one or more blank spaces or internal terminator symbols, for example, “Ter” or asterisk “\*” or period “.”, in a disclosure, must be presented as separate sequences for each amino acid sequence that contains at least four specifically defined amino acids and is encompassed by paragraph 6. Each such separate sequence must be presented in the sequence listing with its own sequence identification number, using only the symbols set forth in Annex I (see Section 3, Table 3). Terminator symbols and spaces must not be used in sequences in a sequence listing.

28. Modified amino acids, including D-amino acids, should be represented in the sequence as the corresponding unmodified amino acids whenever possible. Any modified amino acid in a sequence that cannot otherwise be represented by any other symbol in Annex I (see Section 3, Table 3), must be represented by “X”. The symbol “X” is the equivalent of only one residue.

29. A modified amino acid must be further described in a feature table (see paragraph 60 *et seq.*). The feature key “MOD\_RES” must be used for post-translationally modified amino acids together with the qualifier “NOTE” and the feature key “SITE” for other modified amino acids together with the qualifier “NOTE”. The value for the qualifier “NOTE” must either be an abbreviation set forth in Annex I (see Section 4, Table 4), or the complete, unabbreviated name of the modified amino acid. The abbreviations set forth in Table 4 referred to above or the complete, unabbreviated names must not be used in the sequence itself.

30. The following examples illustrate the presentation of modified amino acids according to paragraph 29 above:

#### Example 1: Post-translationally modified amino acid

```
<INSDFeature>
  <INSDFeature_key>MOD_RES</INSDFeature_key>
  <INSDFeature_location>3</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
```

```

        <INSDQualifier_name>NOTE</INSDQualifier_name>
        <INSDQualifier_value>3-Hyp</INSDQualifier_value>
    </INSDQualifier>
</INSDFeature_qual>
</INSDFeature>

```

**Example 2: Non post-translationally modified amino acid**

```

<INSDFeature>
  <INSDFeature_key>SITE</INSDFeature_key>
  <INSDFeature_location>3</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>NOTE</INSDQualifier_name>
      <INSDQualifier_value>Orn</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>

```

**Example 3: D-amino acid**

```

<INSDFeature>
  <INSDFeature_key>SITE</INSDFeature_key>
  <INSDFeature_location>9</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>NOTE</INSDQualifier_name>
      <INSDQualifier_value>D-Arginine</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>

```

31. Any “unknown” or “other” amino acid not covered by paragraph 28, must be represented by the symbol “X” in the sequence. The symbol “X” is the equivalent of only one residue.

32. An “unknown” amino acid designated as “X” must be further described in the feature table (see paragraph 60 *et seq.*) using the feature key “UNSURE” and optionally the qualifier “NOTE.” An “other” amino acid designated as “X” must be further described using the feature key “SITE” or “MOD\_RES”, as appropriate, and the qualifier “NOTE” with the complete, unabbreviated name of the “other” amino acid.

33. The following examples illustrate the presentation of “unknown” or “other” amino acids according to paragraphs 31 and 32 above:

**Example 1: “unknown” amino acid**

```

<INSDFeature>
  <INSDFeature_key>UNSURE</INSDFeature_key>
  <INSDFeature_location>3</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>NOTE</INSDQualifier_name>
      <INSDQualifier_value>A or V</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>

```

**Example 2: “other” amino acid**

```

<INSDFeature>
  <INSDFeature_key>SITE</INSDFeature_key>
  <INSDFeature_location>3</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>NOTE</INSDQualifier_name>
      <INSDQualifier_value>Homoserine</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>

```

34. A region containing a known number of contiguous "X" residues for which the same description applies may be jointly described using the syntax "x..y" as the location descriptor in the element `INSDFeature_location` (see paragraphs 65 to 71). For presentation of sequence variants, i.e., deletions, insertions, or substitutions, see paragraphs 92 to 97.

*Presentation of special situations*

35. A sequence disclosed by enumeration of its residues that is constructed as a single continuous sequence from one or more non-contiguous segments of a larger sequence or of segments from different sequences must be included in the sequence listing as a single sequence with a single sequence identification number.

36. A sequence disclosed by enumeration of its residues that contains regions of specifically enumerated residues separated by one or more regions of contiguous "n" or "X" residues (see paragraphs 15 and 26, respectively), wherein the exact number of residues in each region is disclosed, must be included in the sequence listing as a single sequence with a single sequence identification number.

37. A sequence disclosed by enumeration of its residues that contains regions of specifically enumerated residues separated by one or more gaps of an unknown or undisclosed number of residues must be included in the sequence listing as multiple, separate sequences. Each such separate sequence must contain one region of specifically enumerated residues with its own sequence identification number, wherein the number of separate sequences is equal to the number of regions of specifically enumerated residues. Sequences containing gaps of an unknown or undisclosed number of residues must not be included in the sequence listing as a single sequence.

STRUCTURE OF THE SEQUENCE LISTING IN XML

38. In accordance with paragraph 5 above, an XML instance of a sequence listing file according to this Standard is composed of:

(a) general information part, which contains information concerning the patent application to which the sequence listing is directed; and

(b) sequence data part, which contains one or more sequence data elements, each of which, in turn contain information about one sequence.

An example of a sequence listing is provided in Annex III.

39. The sequence listing must be presented in XML 1.0 using the DTD presented in the Annex II "Document Type Definition for Sequence Listing".

(a) The first line of the XML instance must contain the XML declaration:

```
<?xml version="1.0" encoding="UTF-8"?>.
```

(b) The second line of the XML instance must contain a document type (DOCTYPE) declaration:

```
<!DOCTYPE ST26SequenceListing PUBLIC "-//WIPO//DTD Sequence Listing 1.0//EN" "ST26SequenceListing_V1_0.dtd">.
```

40. The entire electronic sequence listing must be contained within one file. The file must be encoded using Unicode UTF-8, with the following restrictions:

(a) the information contained in the elements `ApplicantName`, `InventorName` and `InventionTitle` of the general information part, may be composed of any Unicode characters except the reserved characters, which must be replaced as set forth in paragraph 41;

(b) the information contained in all other elements of the general information part and in all elements of the sequence data part

- must be composed of printable characters (including the space character) from the Unicode Basic Latin code table excluding the reserved characters, which must be replaced as set forth in paragraph 41, (i.e. limited to Unicode code points 0020, 0021, 0023 through 0026, 0028 through 003B, 003D, and 003F through 007E – see Annex IV), and
- the only character entities permitted are the predefined entities set forth in paragraph 41.

41. In an XML instance of a sequence listing, the following reserved characters must be replaced by the corresponding predefined entities when used in a value of an attribute or content of an element:

Reserved Character	Predefined Entities
<	&lt;
>	&gt;
&	&amp;
"	&quot;
'	&apos;

See paragraph 72 for an example.

42. All mandatory elements must be populated (except as provided for in paragraph 58 for an intentionally skipped sequence). Optional elements, for which content is not available should not appear in the XML instance.

*Root element*

43. The root element of an XML instance according to this Standard is the element `ST26SequenceListing`, having the following attributes:

Attribute	Description	Mandatory/Optional
<code>dtdVersion</code>	Version of the DTD used to create this file in the format "V#_#", e.g. "V1_0".	Mandatory
<code>fileName</code>	Name of the sequence listing file.	Optional
<code>softwareName</code>	Name of the software that generated this file.	Optional
<code>softwareVersion</code>	Version of the software that generated this file.	Optional
<code>productionDate</code>	Date of production of the sequence listing file (format "CCYY-MM-DD").	Optional

44. The following example illustrates the root element `ST26SequenceListing`, and its attributes, of an XML instance as per paragraph 43 above:

```
<ST26SequenceListing dtdVersion="V1_0" fileName="US11_405455_SEQ1.xml"
softwareName="SEQ1-software-name" softwareVersion="1.0" productionDate="2006-05-10">
  {...}*
</ST26SequenceListing>
```

\*{...} represents the general information part and the sequence data part that have not been included in this example.

*General information part*

45. The elements of the general information part relate to patent application information, as follows:

Element	Description	Mandatory/ Optional
<p>ApplicationIdentification</p> <p>The ApplicationIdentification is composed of:</p> <p>IPOfficeCode</p> <p>ApplicationNumberText</p> <p>FilingDate</p>	<p>The application identification for which the sequence listing is submitted</p> <p>ST.3 Code of the office of filing</p> <p>The application identification as provided by the office of filing (e.g., PCT/IB2013/099999)</p> <p>The date of filing of the patent application for which the sequence listing is submitted (ST.2 format "CCYY-MM-DD", using a 4-digit calendar year, a 2-digit calendar month and a 2-digit day within the calendar month, e.g., 2015-01-31)</p>	<p>Mandatory when a sequence listing is furnished at any time following the assignment of the application number</p> <p>Mandatory</p> <p>Mandatory</p> <p>Mandatory when a sequence listing is furnished at any time following the assignment of a filing date</p>
<p>ApplicantFileReference</p>	<p>A single unique identifier assigned by applicant to identify a particular application, typed in the characters as set forth in paragraph 40 (b)</p>	<p>Mandatory when a sequence listing is furnished at any time prior to assignment of the application number; otherwise, Optional</p>
<p>EarliestPriorityApplicationIdentification</p>	<p>The application identification of the earliest priority claim (also contains IPOfficeCode, ApplicationNumberText and FilingDate, see ApplicationIdentification above)</p>	<p>Mandatory where priority is claimed</p>
<p>ApplicantName</p>	<p>Name of the first mentioned applicant typed in the characters as set forth in paragraph 40 (a). This element includes the mandatory attribute languageCode as set forth in paragraph 47.</p>	<p>Mandatory</p>
<p>ApplicantNameLatin</p>	<p>Where ApplicantName is typed in characters other than those as set forth in paragraph 40 b), a translation or transliteration of the name of the first mentioned applicant must also be typed in characters as set forth in paragraph 40 b)</p>	<p>Mandatory where ApplicantName contains non-Latin characters</p>
<p>InventorName</p>	<p>Name of the first mentioned inventor typed in the characters as set forth in paragraph 40 (a). This element includes the mandatory attribute languageCode as set forth in paragraph 47.</p>	<p>Optional</p>

Element	Description	Mandatory/Optional
InventorNameLatin	Where InventorName is typed in characters other than those as set forth in paragraph 40 b), a translation or transliteration of the first mentioned inventor may also be typed in characters as set forth in paragraph 40 b)	Optional
InventionTitle	Title of the invention typed in the characters as set forth in paragraph 40 (a) in the language of filing. A translation of the title of the invention into additional languages may be typed in the characters as set forth in paragraph 40 (a) using additional InventionTitle elements. This element includes the mandatory attribute languageCode as set forth in paragraph 48. The title of invention is preferably two to seven words.	Mandatory in the language of filing. Optional for additional languages.
SequenceTotalQuantity	The total number of all sequences in the sequence listing including intentionally skipped sequences (also known as empty sequences) (see paragraph 9).	Mandatory

46. The following examples illustrate the presentation of the general information part of the sequence listing as per paragraph 45 above:

Example 1: sequence listing filed prior to assignment of the application identification and filing date

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE ST26SequenceListing PUBLIC "-//WIPO//DTD Sequence Listing 1.0//EN"
"ST26SequenceListing_V1_0.dtd">
<ST26SequenceListing dtdVersion="V1_0" fileName="Invention_SEQ1.xml"
softwareName="SEQ1-software-name" softwareVersion="1.0" productionDate="2015-05-10">
  <ApplicantFileReference>AB123</ApplicantFileReference>
  <EarliestPriorityApplicationIdentification>
    <IPOfficeCode>IB</IPOfficeCode>
    <ApplicationNumberText>PCT/IB2013/099999</ApplicationNumberText>
    <FilingDate>2014-07-10</FilingDate>
  </EarliestPriorityApplicationIdentification>
  <ApplicantName languageCode="EN">GENOS Co., Inc.</ApplicantName>
  <InventorName languageCode="EN">Keiko Nakamura</InventorName>
  <InventionTitle languageCode="EN">SIGNAL RECOGNITION PARTICLE RNA AND
PROTEINS</InventionTitle>
  <SequenceTotalQuantity>9</SequenceTotalQuantity>
  <SequenceData sequenceIDNumber="1"> {...}*</SequenceData>
  <SequenceData sequenceIDNumber="2"> {...}</SequenceData>
  <SequenceData sequenceIDNumber="3"> {...}</SequenceData>
  <SequenceData sequenceIDNumber="4"> {...}</SequenceData>
  <SequenceData sequenceIDNumber="5"> {...}</SequenceData>
  <SequenceData sequenceIDNumber="6"> {...}</SequenceData>
  <SequenceData sequenceIDNumber="7"> {...}</SequenceData>
  <SequenceData sequenceIDNumber="8"> {...}</SequenceData>
  <SequenceData sequenceIDNumber="9"> {...}</SequenceData>
</ST26SequenceListing>
```

\*{...} represents relevant information for each sequence that has not been included in this example.

Example 2: sequence listing filed after assignment of the application identification and filing date

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE ST26SequenceListing PUBLIC "-//WIPO//DTD Sequence Listing 1.0//EN"
"ST26SequenceListing_V1_0.dtd">
<ST26SequenceListing dtdVersion="1_0" fileName="Invention_SEQL.xml"
softwareName="SEQL-software-name" softwareVersion="1.0" productionDate="2015-05-10">
  <ApplicationIdentification>
    <IPOfficeCode>US</IPOfficeCode>
    <ApplicationNumberText>14/999,999</ApplicationNumberText>
    <FilingDate>2015-01-05</FilingDate>
  </ApplicationIdentification>
  <ApplicantFileReference>AB123</ApplicantFileReference>
  <EarliestPriorityApplicationIdentification>
    <IPOfficeCode>IB</IPOfficeCode>
    <ApplicationNumberText>PCT/IB2014/099999</ApplicationNumberText>
    <FilingDate>2014-07-10</FilingDate>
  </EarliestPriorityApplicationIdentification>
  <ApplicantName languageCode="EN">GENOS Co., Inc.</ApplicantName>
  <InventorName languageCode="EN">Keiko Nakamura</InventorName>
  <InventionTitle languageCode="EN">SIGNAL RECOGNITION PARTICLE RNA AND
PROTEINS</InventionTitle>
  <SequenceTotalQuantity>9</SequenceTotalQuantity>
  <SequenceData sequenceIDNumber="1"> {...} * </SequenceData>
  <SequenceData sequenceIDNumber="2"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="3"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="4"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="5"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="6"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="7"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="8"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="9"> {...} </SequenceData>
</ST26SequenceListing>
```

\*{...} represents relevant information for each sequence that has not been included in this example.

47. The name of the applicant and, optionally, the name of the inventor must be indicated in the element `ApplicantName` and `InventorName`, respectively, as they are generally referred to in the language in which the application is filed. The appropriate language code (see paragraph 8 b) must be indicated in the `languageCode` attribute for each element. Where the applicant name indicated contains characters other than those of the Latin alphabet as set forth in paragraph 40 b), a transliteration or translation of the applicant name must also be indicated in characters of the Latin alphabet in the element `ApplicantNameLatin`. Where the inventor name indicated contains characters other than those of the Latin alphabet, a transliteration or a translation of the inventor name may also be indicated in characters of the Latin alphabet in the element `InventorNameLatin`.

48. The title of the invention must be indicated in the element `InventionTitle` in the language of filing and may also be indicated in additional languages using multiple `InventionTitle` elements (see table in paragraph 45). The appropriate language code (see paragraph 8 b) must be indicated in the `languageCode` attribute of the element.

49. The following example illustrates the presentation of names and title of the invention as per paragraphs 47 and 48 above:

Example: Applicant name and inventor name are each presented in Japanese and Latin characters and the title of the invention is presented in Japanese, English and French

```
<ApplicantName languageCode="JA">出願製薬株式会社</ApplicantName>
<ApplicantNameLatin>Shutsugan Pharmaceuticals Kabushiki Kaisha</ApplicantNameLatin>
<InventorName languageCode="JA">特許 太郎</InventorName>
<InventorNameLatin>Taro Tokkyo</InventorNameLatin>
<InventionTitle languageCode="JA"> efg タンパク質のためのマウス abcd-1 遺伝子
</InventionTitle>
<InventionTitle languageCode="EN"> Mus musculus abcd-1 gene for efg protein
</InventionTitle>
<InventionTitle languageCode="FR"> Gène abcd-1 de Mus musculus pour protéine efg
</InventionTitle>
```

*Sequence data part*

50. The sequence data part must be composed of one or more `SequenceData` elements, each element containing information about one sequence.

51. Each `SequenceData` element must have a mandatory attribute `sequenceIDNumber`, in which the sequence identification number (see paragraph 9) for each sequence is contained. For example:

```
<SequenceData sequenceIDNumber="1" >
```

52. The `SequenceData` element must contain a dependent element `INSDSeq`, consisting of further dependent elements as follows:

Element	Description	Mandatory/Not Included	
		Sequences	Intentionally Skipped Sequences
<code>INSDSeq_length</code>	Length of the sequence	Mandatory	Mandatory with no value
<code>INSDSeq_moltype</code>	Molecule type	Mandatory	Mandatory with no value
<code>INSDSeq_division</code>	Indication that a sequence is related to a patent application	Mandatory with the value "PAT"	Mandatory with no value
<code>INSDSeq_feature-table</code>	List of annotations of the sequence	Mandatory	Must NOT be included
<code>INSDSeq_sequence</code>	Sequence	Mandatory	Mandatory with the value "000"

53. The element `INSDSeq_length` must disclose the number of nucleotides or amino acids of the sequence contained in the `INSDSeq_sequence` element. For example:

```
<INSDSeq_length>8</INSDSeq_length>
```

54. The element `INSDSeq_moltype` must disclose the type of molecule that is being presented. For nucleotide sequences, the molecule type must be indicated as DNA or RNA. For protein or polypeptide sequences, the molecule type must be indicated as AA. (This element is distinct from the qualifiers "mol\_type" and "MOL\_TYPE" discussed in paragraphs 55 and 85). For example:

```
<INSDSeq_moltype>AA</INSDSeq_moltype>
```

55. Where a nucleotide sequence contains both DNA and RNA fragments, the value for `INSDSeq_moltype` must be "DNA." The combined DNA/RNA molecule must be further described in the feature table, using the feature key "source" and the mandatory qualifier "organism" with the value "synthetic construct" and the mandatory qualifier "mol\_type" with the value "other DNA." Each DNA and RNA fragment of the combined DNA/RNA molecule should be further described with the feature key "misc\_feature" and the qualifier "note", which indicates whether the fragment is DNA or RNA.

56. The following example illustrates the description of a nucleotide sequence containing both DNA and RNA fragments as per paragraph 55 above:

```
<INSDSeq>
  <INSDSeq_length>120</INSDSeq_length>
  <INSDSeq_moltype>DNA</INSDSeq_moltype>
  <INSDSeq_division>PAT</INSDSeq_division>
  <INSDSeq_feature-table>
    <INSDFeature>
      <INSDFeature_key>source</INSDFeature_key>
      <INSDFeature_location>1..120</INSDFeature_location>
      <INSDFeature_qual>
        <INSDQualifier>
          <INSDQualifier_name>organism</INSDQualifier_name>
          <INSDQualifier_value>synthetic construct</INSDQualifier_value>
        </INSDQualifier>
        <INSDQualifier>
          <INSDQualifier_name>mol_type</INSDQualifier_name>
          <INSDQualifier_value>other DNA</INSDQualifier_value>
        </INSDQualifier>
      </INSDFeature_qual>
    </INSDFeature>
    <INSDFeature>
      <INSDFeature_key>misc_feature</INSDFeature_key>
      <INSDFeature_location>1..60</INSDFeature_location>
      <INSDFeature_qual>
        <INSDQualifier>
          <INSDQualifier_name>note</INSDQualifier_name>
          <INSDQualifier_value>DNA fragment</INSDQualifier_value>
        </INSDQualifier>
      </INSDFeature_qual>
    </INSDFeature>
    <INSDFeature>
      <INSDFeature_key>misc_feature</INSDFeature_key>
      <INSDFeature_location>61..120</INSDFeature_location>
      <INSDFeature_qual>
        <INSDQualifier>
          <INSDQualifier_name>note</INSDQualifier_name>
          <INSDQualifier_value>RNA fragment</INSDQualifier_value>
        </INSDQualifier>
      </INSDFeature_qual>
    </INSDFeature>
  </INSDSeq_feature-table>
  <INSDSeq_sequence>
    cgaccacgcgtccgaggaaccaaccatcacgtttgaggacttcgtgaaggaattggataatacccgctccctacccaaatggcg
    agcgccgactcattgctcctcgtaccgctcgagcggc
  </INSDSeq_sequence>
</INSDSeq>
```

57. The element `INSDSeq_sequence` must disclose the sequence. The residues in the sequence must be presented contiguously using only the appropriate symbols set forth in Annex I (see Section 1, Table 1 and Section 3, Table 3). The sequence must not contain numbers, punctuation or whitespace characters.

58. An intentionally skipped sequence must be presented as follows:

- (a) the element `SequenceData` and its attribute `sequenceIDNumber`, with the sequence identification number of the skipped sequence provided as the value;
- (b) the elements `INSDSeq_length`, `INSDSeq_moltype`, `INSDSeq_division`, present but with no value provided;
- (c) the element `INSDSeq_feature-table` must not be included; and
- (d) the element `INSDSeq_sequence` with the string "000" as the value.

59. The following example illustrates the presentation of an intentionally skipped sequence as per paragraph 58 above:

```
<SequenceData sequenceIDNumber="3">
  <INSDSeq>
    <INSDSeq_length/>
    <INSDSeq_moltype/>
    <INSDSeq_division/>
    <INSDSeq_sequence>000</INSDSeq_sequence>
  </INSDSeq>
</SequenceData>
```

#### Feature table

60. The feature table contains information on the location and roles of various regions within a particular sequence. A feature table is required for every sequence, except for any intentionally skipped sequence, in which case it must not be included. The feature table is contained in the element `INSDSeq_feature-table`, which consists of one or more `INSDFeature` elements.

61. Each `INSDFeature` element describes one feature, and consists of dependent elements as follows:

Element	Description	Mandatory/Optional
<code>INSDFeature_key</code>	A word or abbreviation indicating a feature	Mandatory
<code>INSDFeature_location</code>	Region of the presented sequence which corresponds to the feature	Mandatory
<code>INSDFeature_qual</code>	Qualifier containing auxiliary information about a feature	Mandatory where the feature key requires one or more qualifiers, e.g., source; otherwise, Optional

#### Feature keys

62. Annex I contains an exclusive listing of feature keys that must be used under this Standard, along with an exclusive listing of associated qualifiers and an indication as to whether those qualifiers are mandatory or optional. Section 5 of Annex I provides the exclusive listing of feature keys for nucleotide sequences and Section 7 provides the exclusive listing of feature keys for amino acid sequences.

#### Mandatory feature keys

63. The "source" feature key is mandatory for all nucleotide sequences and the "SOURCE" feature key is mandatory for all amino acid sequences, except for any intentionally skipped sequence. Each sequence must have a single "source" or "SOURCE" feature key spanning the entire sequence. Where a sequence originates from multiple sources, those sources may be further described in the feature table, using the feature key "misc\_feature" and the qualifier "note" for nucleotide sequences, and the feature key "REGION" and the qualifier "NOTE" for amino acid sequences.

64. Certain feature keys require that another feature key, referred to as a "Parent Key", be used along with those certain feature keys; for example, the "C\_region" feature key requires the "CDS" feature key (see Annex I, Section 5).

#### Feature location

65. The mandatory element `INSDFeature_location` must contain at least one location descriptor, which defines a site or a region corresponding to a feature of the sequence in the `INSDSeq_sequence` element, and may contain one or more location operator(s) (see paragraphs 68 to 71).

66. The location descriptor can be a single residue number, a site between two adjacent residue numbers, a region delimiting a contiguous span of residue numbers, or a site or region that extends beyond the specified residue or span of residues. Multiple location descriptors must be used in conjunction with a location operator when a feature corresponds to discontinuous sites or regions of the sequence (see paragraphs 68 to 71). The location descriptor must not include numbering for residues beyond the range of the sequence in the `INSDSeq_sequence` element.

67. The syntax for each type of location descriptor is indicated in the table below, where x and y are residue numbers, indicated as non-negative integers, not greater than the length of the sequence in the `INSDSeq_sequence` element, and x is less than y.

Location descriptor type	Syntax	Description
Single residue number	x	Points to a single residue in the presented sequence.
Residue numbers delimiting a sequence span	x..y	Points to a continuous range of residues bounded by and including the starting and ending residues.
Residues before the first or beyond the last specified residue number	<x >x <x..y x..>y	Points to a region including a specified residue or span of residues and extending beyond a specified residue. The '<' and '>' symbols may be used with a single residue or the starting and ending residue numbers of a span of residues to indicate that a feature extends beyond the specified residue number.
A site between two adjoining residue numbers	x^y	Points to a site between two adjoining residues, e.g. endonucleolytic cleavage site. The position numbers for the adjacent residues are separated by a caret (^). The permitted formats for this descriptor are x^x+1 (for example 55^56), or, for circular nucleotides, x^1, where "x" is the full length of the molecule, i.e. 1000^1 for circular molecule with length 1000.

68. A location operator is a prefix to either one location descriptor or a combination of location descriptors corresponding to a single but discontinuous feature, and specifies where the location corresponding to the feature on the indicated sequence is found or how the feature is constructed. A list of location operators is provided below with their definitions.

(a) Location operator for nucleotides and amino acids:

Location syntax	Location description
join(location,location, ... location)	The indicated locations are joined (placed end-to-end) to form one contiguous sequence.
order(location,location, ... location)	The elements are found in the specified order but nothing is implied about whether joining those elements is reasonable.

(b) Location operator for nucleotides only:

Location syntax	Location description
complement(location)	Indicates that the feature is located on the strand complementary to the sequence span specified by the location descriptor, when read in the 5' to 3' direction.

69. The join and order location operators require that at least two comma-separated location descriptors be provided. Location descriptors involving sites between two adjacent residues, i.e. x^y, may not be used within a join or order location. Use of the join location operator implies that the residues described by the location descriptors are physically brought into contact by biological processes (for example, the exons that contribute to a coding region feature).

70. The location operator "complement" can be used for nucleotides only. "Complement" can be used in combination with either "join" or "order" within the same location. Combinations of "join" and "order" within the same location must not be used.

71. The following examples illustrate feature locations, as per paragraphs 65 to 70 above:

(a) locations for nucleotides and amino acids:

Location Example	Description
467	Points to residue 467 in the sequence.
123^124	Points to a site between residues 123 and 124.
340..565	Points to a continuous range of residues bounded by and including residues 340 and 565.
<1	Points to a feature location before the first residue.

Location Example	Description
<345..500	Indicates that the exact lower boundary point of a feature is unknown. The location begins at some residue previous to 345 and continues to and includes residue 500.
<1..888	Indicates that the feature starts before the first sequence residue and continues to and includes residue 888.
1..>888	Indicates that the feature starts at the first sequenced residue and continues beyond residue 888.
join(12..78,134..202)	Indicates that regions 12 to 78 and 134 to 202 should be joined to form one contiguous sequence.

(b) locations for nucleotides only:

Location example	Description
complement(34..126)	Start at the base complementary to 126 and finish at the base complementary to base 34 (the feature is on the strand complementary to the presented strand).
complement(join(2691..4571, 4918..5163))	Joins bases 2691 to 4571 and 4918 to 5163, then complements the joined segments (the feature is on the strand complementary to the presented strand).
join(complement(4918..5163), complement(2691..4571))	Complements regions 4918 to 5163 and 2691 to 4571, then joins the complemented segments (the feature is on the strand complementary to the presented strand).

72. In an XML instance of a sequence listing, the characters "<" and ">" in a location descriptor must be replaced by the appropriate predefined entities (see paragraph 41). For example:

```
Feature location "<1":
<INSDFeature_location>&lt;1</INSDFeature_location>

Feature location "1..>888":
<INSDFeature_location>1..&gt;888</INSDFeature_location>
```

#### Feature qualifiers

73. Qualifiers are used to supply information about features in addition to that conveyed by the feature key and feature location. There are three types of value formats to accommodate different types of information conveyed by qualifiers, namely:

- (a) free text (see paragraphs 86 and 87);
- (b) controlled vocabulary or enumerated values (e.g. a number or date); and
- (c) sequences.

74. Section 6 of Annex I provides the exclusive listing of qualifiers and their specified value formats, if any, for each nucleotide feature key and Section 8 provides the exclusive listing of qualifiers for each amino acid feature key.

75. Any sequence encompassed by paragraph 6 which is provided as a qualifier value must be separately listed in the sequence listing with its own sequence identification number.

#### Mandatory feature qualifiers

76. One mandatory feature key, i.e., "source" for nucleotide sequences and "SOURCE" for amino acid sequences, requires two mandatory qualifiers, "organism" and "mol\_type" for nucleotide sequences and "ORGANISM" and "MOL\_TYPE" for amino acid sequences. Some optional feature keys also require mandatory qualifiers.

#### Qualifier elements

77. The element `INSDFeature_qual` contains one or more `INSDQualifier` elements. Each `INSDQualifier` element represents a single qualifier and consists of two dependent elements as follows:

Element	Description	Mandatory/Optional
INSDQualifier_name	Name of the qualifier (see Annex I, Sections 6 and 8)	Mandatory
INSDQualifier_value	Value of the qualifier, if any, in the specified format (see Annex I, Sections 6 and 8)	Mandatory, when specified (see Annex I, Sections 6 and 8)

78. The organism qualifier, i.e. "organism" for nucleotide sequences (see Annex I, Section 6) and "ORGANISM" for amino acid sequences (see Annex I, Section 8) must disclose the source, i.e., a single organism or origin, of the sequence that is being presented. Organism designations should be selected from a taxonomy database.

79. If the sequence is naturally occurring and the source organism has a Latin genus and species designation, that designation must be used as the qualifier value. The preferred English common name may be specified using the qualifier "note" for nucleotide sequences and the qualifier "NOTE" for amino acid sequences, but must not be used in the organism qualifier value.

80. The following examples illustrate the source of presented sequences as per paragraphs 78 and 79 above:

Example 1: Source for a nucleotide sequence

```
<INSDSeq_feature-table>
  <INSDFeature>
    <INSDFeature_key>source</INSDFeature_key>
    <INSDFeature_location>1..5164</INSDFeature_location>
    <INSDFeature_qualifiers>
      <INSDQualifier>
        <INSDQualifier_name>organism</INSDQualifier_name>
        <INSDQualifier_value>Solanum lycopersicum</INSDQualifier_value>
      </INSDQualifier>
      <INSDQualifier>
        <INSDQualifier_name>note</INSDQualifier_name>
        <INSDQualifier_value>common name: tomato</INSDQualifier_value>
      </INSDQualifier>
      <INSDQualifier>
        <INSDQualifier_name>mol_type</INSDQualifier_name>
        <INSDQualifier_value>genomic DNA</INSDQualifier_value>
      </INSDQualifier>
    </INSDFeature_qualifiers>
  </INSDFeature>
</INSDSeq_feature-table>
```

Example 2: Source for a protein sequence

```
<INSDSeq_feature-table>
  <INSDFeature>
    <INSDFeature_key>SOURCE</INSDFeature_key>
    <INSDFeature_location>1..174</INSDFeature_location>
    <INSDFeature_qualifiers>
      <INSDQualifier>
        <INSDQualifier_name>ORGANISM</INSDQualifier_name>
        <INSDQualifier_value>Homo sapiens</INSDQualifier_value>
      </INSDQualifier>
      <INSDQualifier>
        <INSDQualifier_name>MOL_TYPE</INSDQualifier_name>
        <INSDQualifier_value>protein</INSDQualifier_value>
      </INSDQualifier>
    </INSDFeature_qualifiers>
  </INSDFeature>
</INSDSeq_feature-table>
```

81. If the sequence is naturally occurring and the source organism has a known Latin genus, but the species is unspecified or unidentified, then the organism qualifier value must indicate the Latin genus followed by "sp.". For example:

```
<INSDQualifier_name>organism</INSDQualifier_name>
<INSDQualifier_value>Bacillus sp.</INSDQualifier_value>
```

82. If the source of the sequence is natural, but the Latin organism genus and species designation is unknown, then the organism qualifier value must be indicated as “unidentified” followed by any known taxonomic information in the qualifier “note” for nucleotide sequences and the qualifier “NOTE” for amino acid sequences. For example:

```
<INSDQualifier_name>organism</INSDQualifier_name>
<INSDQualifier_value>unidentified</INSDQualifier_value>
<INSDQualifier_name>note</INSDQualifier_name>
<INSDQualifier_value>bacterium B8</INSDQualifier_value>
```

83. If the sequence is naturally occurring and the source organism does not have a Latin genus and species designation, such as a virus, then another acceptable scientific name (e.g. “Canine adenovirus type 2”) must be used as the organism qualifier value. For example:

```
<INSDQualifier_name>organism</INSDQualifier_name>
<INSDQualifier_value>Canine adenovirus type 2</INSDQualifier_value>
```

84. If the sequence is not naturally occurring, the organism qualifier value must be indicated as “synthetic construct”. Further information with respect to the way the sequence was generated may be specified using the qualifier “note” for nucleotide sequences and the qualifier “NOTE” for amino acid sequences. For example:

```
<INSDSeq_feature-table>
  <INSDFeature>
    <INSDFeature_key>SOURCE</INSDFeature_key>
    <INSDFeature_location>1..40</INSDFeature_location>
    <INSDFeature_qual>
      <INSDQualifier>
        <INSDQualifier_name>ORGANISM</INSDQualifier_name>
        <INSDQualifier_value>synthetic construct</INSDQualifier_value>
      </INSDQualifier>
      <INSDQualifier>
        <INSDQualifier_name>MOL_TYPE</INSDQualifier_name>
        <INSDQualifier_value>protein</INSDQualifier_value>
      </INSDQualifier>
      <INSDQualifier>
        <INSDQualifier_name>NOTE</INSDQualifier_name>
        <INSDQualifier_value>synthetic peptide used as assay for
antibodies</INSDQualifier_value>
      </INSDQualifier>
    </INSDFeature_qual>
  </INSDFeature>
</INSDSeq_feature-table>
```

85. The “mol\_type” qualifier for nucleotide sequences (see Annex I, Section 6) and “MOL\_TYPE” for amino acid sequences (see Annex I, Section 8) must disclose the type of molecule represented in the sequence. These qualifiers are distinct from the element `INSDSeq_moltype` discussed in paragraph 54:

(a) For a nucleotide sequence, the “mol\_type” qualifier value must be one of the following: “genomic DNA”, “genomic RNA”, “mRNA”, “tRNA”, “rRNA”, “other RNA”, “other DNA”, “transcribed RNA”, “viral cRNA”, “unassigned DNA”, or “unassigned RNA”. If the sequence is not naturally occurring, i.e. the value of the “organism” qualifier is “synthetic construct”, the “mol\_type” qualifier value must be either “other RNA” or “other DNA”;

(b) For an amino acid sequences, the “MOL\_TYPE” qualifier value is “protein”.

#### *Free text*

86. Free text is a type of value format for certain qualifiers (as indicated in Annex I), presented in the form of a descriptive text phrase that should preferably be in the English language.

87. The use of free text must be limited to a few short terms indispensable for the understanding of a characteristic of the sequence. For each qualifier, the free text must not exceed 1000 characters.

#### *Coding sequences*

88. The “CDS” feature key may be used to identify coding sequences, i.e. sequences of nucleotides which correspond to the sequence of amino acids in a protein and the stop codon. The element `INSDFeature_location` should identify the location of the “CDS” feature and must include the stop codon.

89. The “transl\_table” and “translation” qualifiers may be used with the “CDS” feature key (see Annex I). Where the “transl\_table” qualifier is not used, the use of the Standard Code Table (see Annex I, Section 9, Table 5) is assumed.

90. A protein sequence encoded by the coding sequence and disclosed in a “translation” qualifier that is encompassed by paragraph 6 must be assigned its own sequence identification number and be presented in the sequence listing. The sequence identification number assigned to the protein sequence must be provided as the value in the qualifier “protein\_id” with the “CDS” feature key. The “ORGANISM” qualifier of the “SOURCE” feature key for the protein sequence must be identical to that of its coding sequence. For example:

```
<INSDSeq_feature-table>
  <INSDFeature>
    <INSDFeature_key>CDS</INSDFeature_key>
    <INSDFeature_location>1..507</INSDFeature_location>
    <INSDFeature_qualifiers>
      <INSDQualifier>
        <INSDQualifier_name>transl_table</INSDQualifier_name>
        <INSDQualifier_value>1</INSDQualifier_value>
      </INSDQualifier>
      <INSDQualifier>
        <INSDQualifier_name>translation</INSDQualifier_name>
        <INSDQualifier_value>
          MLVHLERTTIMFDSSLINLPLIWGLLIAIAVLLYILMDGFDLIGIGILLPFAPSDKCRDHMISSIAPFWDGNETWLVLGGGGLFAA
          FPLAYSILMPAFYIPIIIMLLGLIVRGVSEFRFKAEGKYRRLWDYAFHFGLGAAFCQGMILGAFIHGVEVNGRNFSGGQLM
        </INSDQualifier_value>
      </INSDQualifier>
      <INSDQualifier>
        <INSDQualifier_name>protein_id</INSDQualifier_name>
        <INSDQualifier_value>89</INSDQualifier_value>
      </INSDQualifier>
    </INSDFeature_qualifiers>
  </INSDFeature>
</INSDSeq_feature-table>
```

#### Variants

91. A primary sequence and any variant of that sequence, each disclosed by enumeration of their residues and encompassed by paragraph 6 must be presented in the sequence listing with their own sequence identification number.

92. Any variant sequence, disclosed only by reference to deletion(s), insertion(s), or substitution(s) in a primary sequence in the sequence listing, may be presented in the sequence listing. Where provided in the sequence listing, such a variant sequence:

(a) may be presented by annotation of the primary sequence, where it contains variation(s) at a single location or multiple distinct locations and the occurrence of those variations are independent;

(b) should be presented as a separate sequence with its own sequence identification number, where it contains variations at multiple distinct locations and the occurrence of those variations are interdependent; and

(c) must be presented as a separate sequence with its own sequence identification number, where it contains an inserted or substituted sequence that contains in excess of 1000 residues (see paragraph 87).

93. The table below indicates the proper use of feature keys and qualifiers for nucleic acid and amino acid variants:

Type of sequence	Feature Key	Qualifier	Use
Nucleic acid	variation	replace	Naturally occurring mutations and polymorphisms, eg., Alleles, RFLPs.
Nucleic acid	misc_difference	replace	Variability introduced artificially, e.g., by genetic manipulation or by chemical synthesis.
Amino acid	VAR_SEQ	NOTE	Variant produced by alternative splicing, alternative promoter usage, alternative initiation and ribosomal frameshifting.
Amino acid	VARIANT	NOTE	Any type of variant for which VAR_SEQ is not applicable.

94. Annotation of a primary sequence for a specific variant must include a feature key and qualifier, as indicated in the table above, and the feature location. A deletion must be represented by an empty qualifier value. An inserted or substituted residue(s) must be provided in the "replace" or "NOTE" qualifier. The value format for the "replace" and "NOTE" qualifiers is free text and must not exceed 1000 characters, as provided in paragraph 87. See paragraph 97 for sequences encompassed by paragraph 6 that are provided as an insertion or a substitution in a qualifier value. A listing of alternative residues for an insertion or substitution may be provided as the qualifier value.

95. The symbols set forth in Annex I (see Sections 1 to 4, Tables 1 to 4, respectively) should be used to represent variant residues where appropriate. Where the variant residue is a modified residue not set forth in Tables 2 or 4 of Annex I, the complete unabbreviated name of the modified residue must be provided as the qualifier value.

96. The following examples illustrate the presentation of variants as per paragraphs 92 to 95 above:

Example 1: Feature key "variation" for a substitution in a nucleotide sequence.  
A cytosine replaces the nucleotide given in position 413 of the sequence.

```
<INSDFeature>
  <INSDFeature_key>variation</INSDFeature_key>
  <INSDFeature_location>413</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>replace</INSDQualifier_name>
      <INSDQualifier_value>c</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>
```

Example 2: Feature key "misc\_difference" for a deletion in a nucleotide sequence.  
The nucleotide at position 413 of the sequence is deleted.

```
<INSDFeature>
  <INSDFeature_key>misc_difference</INSDFeature_key>
  <INSDFeature_location>413</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>replace</INSDQualifier_name>
      <INSDQualifier_value></INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>
```

Example 3: Feature key "misc\_difference" for an insertion in a nucleotide sequence.  
The sequence "atgccaaatat" is inserted between positions 100 and 101 of the primary sequence.

```
<INSDFeature>
  <INSDFeature_key>misc_difference</INSDFeature_key>
  <INSDFeature_location>100^101</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>replace</INSDQualifier_name>
      <INSDQualifier_value>atgccaaatat</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>
```

Example 4: Feature key "VARIANT" for a substitution in an amino acid sequence -  
The amino acid given in position 100 of the sequence can be replaced by I, A, F, Y, alle, Melle, or Nle.

```
<INSDFeature>
  <INSDFeature_key>VARIANT</INSDFeature_key>
  <INSDFeature_location>100</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>NOTE</INSDQualifier_name>
      <INSDQualifier_value>I, A, F, Y, alle, Melle, or Nle
    </INSDQualifier_value>
  </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>
```

Example 5: Feature key "VARIANT" for a substitution in an amino acid sequence:  
The amino acid given in position 100 of the sequence can be replaced by any amino acid except for Lys, Arg or His.

```
<INSDFeature>  
  <INSDFeature_key>VARIANT</INSDFeature_key>  
  <INSDFeature_location>100</INSDFeature_location>  
  <INSDFeature_qual>  
    <INSDQualifier>  
      <INSDQualifier_name>NOTE</INSDQualifier_name>  
      <INSDQualifier_value>not K, R, or H</INSDQualifier_value>  
    </INSDQualifier>  
  </INSDFeature_qual>  
</INSDFeature>
```

97. A sequence encompassed by paragraph 6 that is provided as an insertion or a substitution in a qualifier value for a primary sequence annotation must also be presented in the sequence listing with its own sequence identification number.

[Annex I to ST.26 follows]

**ST.26 - ANNEX I**

CONTROLLED VOCABULARY

Final Draft

*Proposal presented by the SEQL Task Force for consideration and adoption at the CWS/4*

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## SECTION 1: LIST OF NUCLEOTIDES

The nucleotide base codes to be used in sequence listings are presented in Table 1. The symbol "t" will be construed as thymine in DNA and uracil in RNA when it is used with no further description. Where an ambiguity symbol (representing two or more bases in the alternative) is appropriate, the most restrictive symbol should be used. For example, if a base in a given position could be "a or g," then "r" should be used, rather than "n". The symbol "n" will be construed as "a or c or g or t/u" when it is used with no further description.

Table 1: List of nucleotides

Symbol	Nucleotide
a	adenine
c	cytosine
g	guanine
t	thymine in DNA/uracil in RNA (t/u)
m	a or c
r	a or g
w	a or t/u
s	c or g
y	c or t/u
k	g or t/u
v	a or c or g; not t/u
h	a or c or t/u; not g
d	a or g or t/u; not c
b	c or g or t/u; not a
n	a or c or g or t/u; unknown or other

## SECTION 2: LIST OF MODIFIED NUCLEOTIDES

The abbreviations listed in Table 2 are the only permitted values for the mod\_base qualifier. Where a specific modified nucleotide is not present in the table below, then the abbreviation "OTHER" must be used as its value. If the abbreviation is "OTHER," then the complete unabbreviated name of the modified base must be provided in a note qualifier. The abbreviations provided in Table 2 must not be used in the sequence itself.

Table 2: List of modified nucleotides

Abbreviation	Modified Nucleotide
ac4c	4-acetylcytidine
chm5u	5-(carboxyhydroxymethyl)uridine
cm	2'-O-methylcytidine
cmnm5s2u	5-carboxymethylaminomethyl-2-thiouridine
cmnm5u	5-carboxymethylaminomethyluridine
d	dihydrouridine
fm	2'-O-methylpseudouridine
gal q	beta,D-galactosylqueosine
gm	2'-O-methylguanosine
i	inosine
i6a	N6-isopentenyladenosine
m1a	1-methyladenosine
m1f	1-methylpseudouridine
m1g	1-methylguanosine
m1i	1-methylinosine
m22g	2,2-dimethylguanosine
m2a	2-methyladenosine
m2g	2-methylguanosine
m3c	3-methylcytidine
m5c	5-methylcytidine
m6a	N6-methyladenosine
m7g	7-methylguanosine
mam5u	5-methylaminomethyluridine

Abbreviation	Modified Nucleotide
mam5s2u	5-methoxyaminomethyl-2-thiouridine
man q	beta,D-mannosylqueosine
mcm5s2u	5-methoxycarbonylmethyl-2-thiouridine
mcm5u	5-methoxycarbonylmethyluridine
mo5u	5-methoxyuridine
ms2i6a	2-methylthio-N6-isopentenyladenosine
ms2t6a	N-((9-beta-D-ribofuranosyl-2-methyltiopurine-6-yl)carbamoyl)threonine
mt6a	N-((9-beta-D-ribofuranosylpurine-6-yl)N-methyl-carbamoyl)threonine
mv	uridine-5-oxyacetic acid-methylester
o5u	uridine-5-oxyacetic acid (v)
osyw	wybutoxosine
p	pseudouridine
q	queosine
s2c	2-thiocytidine
s2t	5-methyl-2-thiouridine
s2u	2-thiouridine
s4u	4-thiouridine
t6a	N-((9-beta-D-ribofuranosylpurine-6-yl)carbamoyl)threonine
tm	2'-O-methyl-5-methyluridine
um	2'-O-methyluridine
yw	wybutosine
x	3-(3-amino-3-carboxypropyl)uridine, (acp3)u
OTHER	(requires note qualifier)

## SECTION 3: LIST OF AMINO ACIDS

The amino acid codes to be used in sequence are presented in Table 3. Where an ambiguity symbol (representing two or more amino acids in the alternative) is appropriate, the most restrictive symbol should be used. For example, if an amino acid in a given position could be aspartic acid or asparagine, the symbol "B" should be used, rather than "X". The symbol "X" will be construed as any one of "A", "R", "N", "D", "C", "Q", "E", "G", "H", "I", "L", "K", "M", "F", "P", "O", "S", "U", "T", "W", "Y", or "V", when it is used with no further description.

Table 3: List of amino acids

Symbol	Amino acid
A	Alanine
R	Arginine
N	Asparagine
D	Aspartic acid (Aspartate)
C	Cysteine
Q	Glutamine
E	Glutamic acid (Glutamate)
G	Glycine
H	Histidine
I	Isoleucine
L	Leucine
K	Lysine
M	Methionine
F	Phenylalanine
P	Proline
O	Pyrolysine
S	Serine
U	Selenocysteine
T	Threonine
W	Tryptophan
Y	Tyrosine
V	Valine
B	Aspartic acid or Asparagine
Z	Glutamine or Glutamic acid
J	Leucine or Isoleucine
X	unknown or other

## SECTION 4: LIST OF MODIFIED AND UNUSUAL AMINO ACIDS

Table 4 lists the only permitted abbreviations for a modified or unusual amino acid in the mandatory qualifier "NOTE" for feature keys "MOD\_RES" or "SITE". The value for the qualifier "NOTE" must be either an abbreviation from this table, where appropriate, or the complete, unabbreviated name of the modified amino acid. The abbreviations (or full names) provided in this table must not be used in the sequence itself.

Table 4: List of modified and unusual amino acids

Abbreviation	Modified or Unusual Amino acid
Aad	2-Aminoadipic acid
bAad	3-Aminoadipic acid
bAla	beta-Alanine, beta-Aminopropionic acid
Abu	2-Aminobutyric acid
4Abu	4-Aminobutyric acid, piperidinic acid
Acp	6-Aminocaproic acid
Ahe	2-Aminoheptanoic acid
Aib	2-Aminoisobutyric acid
bAib	3-Aminoisobutyric acid
Apm	2-Aminopimelic acid
Dbu	2,4-Diaminobutyric acid
Des	Desmosine
Dpm	2,2'-Diaminopimelic acid
Dpr	2,3-Diaminopropionic acid
EtGly	N-Ethylglycine
EtAsn	N-Ethylasparagine
Hyl	Hydroxylysine
aHyl	allo-Hydroxylysine
3Hyp	3-Hydroxyproline
4Hyp	4-Hydroxyproline
Ide	Isodesmosine
alle	allo-Isoleucine
MeGly	N-Methylglycine, sarcosine
Melle	N-Methylisoleucine
MeLys	6-N-Methyllysine
MeVal	N-Methylvaline
Nva	Norvaline
Nle	Norleucine
Orn	Ornithine

## SECTION 5: FEATURES KEYS FOR NUCLEIC SEQUENCES

This paragraph contains the list of allowed feature keys to be used for nucleotide sequences, and lists mandatory and optional qualifiers. The feature keys are listed in alphabetic order. The feature keys can be used for either DNA or RNA unless otherwise indicated under "Molecule scope". Some feature keys include a 'Parent Key' designation; when a parent key is indicated in the description of a feature key, it is mandatory that the designated parent key be used. Certain Feature Keys may be appropriate for use with artificial sequences in addition to the specified "organism scope".

Feature key names must be used in the XML instance of the sequence listing exactly as they appear following "Feature key" in the descriptions below, except for the feature keys 3'UTR and 5'UTR. See "Comment" in the description for the 3'UTR and 5'UTR feature keys.

---

5.1.	Feature Key	attenuator
	Definition	1) region of DNA at which regulation of termination of transcription occurs, which controls the expression of some bacterial operons; 2) sequence segment located between the promoter and the first structural gene that causes partial termination of transcription
	Optional qualifiers	allele gene gene_synonym map note operon phenotype
	Organism scope	prokaryotes
	Molecule scope	DNA

---

5.2.	Feature Key	C_region
	Definition	constant region of immunoglobulin light and heavy chains, and T-cell receptor alpha, beta, and gamma chains; includes one or more exons depending on the particular chain
	Optional qualifiers	allele gene gene_synonym map note product pseudo pseudogene standard_name
	Parent Key	CDS
	Organism scope	eukaryotes

---

5.3.	Feature Key	CAAT_signal
	Definition	CAAT box; part of a conserved sequence located about 75 bp up-stream of the start point of eukaryotic transcription units which may be involved in RNA polymerase binding; consensus=GG(C or T)CAATCT [1,2]
	Optional qualifiers	allele gene gene_synonym map note
	Organism scope	eukaryotes and eukaryotic viruses
	Molecule scope	DNA
	References	[1] Efstratiadis, A. et al. Cell 21, 653-668 (1980) [2] Nevins, J.R. "The pathway of eukaryotic mRNA formation" Ann Rev Biochem 52, 441-466 (1983)

---

5.4.	Feature Key	CDS
	Definition	coding sequence; sequence of nucleotides that corresponds with the sequence of amino acids in a protein (location includes stop codon); feature may include amino acid conceptual translation
	Optional qualifiers	allele artificial_location codon_start EC_number exception function gene gene_synonym map note number operon product protein_id pseudo pseudogene ribosomal_slippage standard_name translation transl_except transl_table trans_splicing
	Comment	codon_start qualifier has valid value of 1 or 2 or 3, indicating the offset at which the first complete codon of a coding feature can be found, relative to the first base of that feature; transl_table defines the genetic code table used if other than the standard or universal genetic code table; genetic code exceptions outside the range of the specified tables are reported in transl_except qualifier; only one of the qualifiers translation and pseudo are permitted with a CDS feature key; when the translation qualifier is used, the protein_id qualifier is mandatory if the translation product contains four or more amino acids

---

5.5.	Feature Key	centromere
	Definition	region of biological interest indentified as a centromere and which has been experimentally characterized
	Optional qualifiers	note standard_name
	Comment	the centromere feature describes the interval of DNA that corresponds to a region where chromatids are held and a kinetochore is formed

---

5.6.	Feature Key	D-loop
	Definition	displacement loop; a region within mitochondrial DNA in which a short stretch of RNA is paired with one strand of DNA, displacing the original partner DNA strand in this region; also used to describe the displacement of a region of one strand of duplex DNA by a single stranded invader in the reaction catalyzed by RecA protein
	Optional qualifiers	allele gene gene_synonym map note
	Molecule scope	DNA

---

5.7.	Feature Key	D_segment
	Definition	Diversity segment of immunoglobulin heavy chain, and T-cell receptor beta chain
	Optional qualifiers	allele gene gene_synonym map note product pseudo pseudogene standard_name
	Parent Key	CDS
	Organism scope	eukaryotes

---

5.8.	Feature Key	enhancer
	Definition	a cis-acting sequence that increases the utilization of (some) eukaryotic promoters, and can function in either orientation and in any location (upstream or downstream) relative to the promoter
	Optional qualifiers	allele bound_moiety gene gene_synonym map note standard_name
	Organism scope	eukaryotes and eukaryotic viruses

---

5.9.	Feature Key	exon
	Definition	region of genome that codes for portion of spliced mRNA, rRNA and tRNA; may contain 5'UTR, all CDSs and 3' UTR
	Optional qualifiers	allele EC_number function gene gene_synonym map note number product pseudo pseudogene standard_name trans_splicing

---

5.10.	Feature Key	GC_signal
	Definition	GC box; a conserved GC-rich region located upstream of the start point of eukaryotic transcription units which may occur in multiple copies or in either orientation; consensus=GGGCGG
	Optional qualifiers	allele gene gene_synonym map note
	Organism scope	eukaryotes and eukaryotic viruses

---

5.11.	Feature Key	gene
	Definition	region of biological interest identified as a gene and for which a name has been assigned
	Optional qualifiers	allele function gene gene_synonym map note operon product pseudo pseudogene phenotype standard_name trans_splicing
	Comment	the gene feature describes the interval of DNA that corresponds to a genetic trait or phenotype; the feature is, by definition, not strictly bound to its positions at the ends; it is meant to represent a region where the gene is located.

---

5.12.	Feature Key	iDNA
	Definition	intervening DNA; DNA which is eliminated through any of several kinds of recombination
	Optional qualifiers	allele function gene gene_synonym map note number standard_name
	Molecule scope	DNA
	Comment	e.g., in the somatic processing of immunoglobulin genes.

---

5.13.	Feature Key	intron
	Definition	a segment of DNA that is transcribed, but removed from within the transcript by splicing together the sequences (exons) on either side of it
	Optional qualifiers	allele function gene gene_synonym map note number pseudo pseudogene standard_name trans_splicing

---

5.14.	Feature Key	J_segment
	Definition	joining segment of immunoglobulin light and heavy chains, and T-cell receptor alpha, beta, and gamma chains
	Optional qualifiers	allele gene gene_synonym map note product pseudo pseudogene standard_name
	Parent Key	CDS
	Organism scope	eukaryotes

---

5.15.	Feature Key	LTR
	Definition	long terminal repeat, a sequence directly repeated at both ends of a defined sequence, of the sort typically found in retroviruses
	Optional qualifiers	allele function gene gene_synonym map note standard_name

---

5.16.	Feature Key	mat_peptide
	Definition	mature peptide or protein coding sequence; coding sequence for the mature or final peptide or protein product following post-translational modification; the location does not include the stop codon (unlike the corresponding CDS)
	Optional qualifiers	allele EC_number function gene gene_synonym map note product pseudo pseudogene standard_name

---

5.17.	Feature Key	misc_binding
	Definition	site in nucleic acid which covalently or non-covalently binds another moiety that cannot be described by any other binding key (primer_bind or protein_bind)
	Mandatory qualifiers	bound_moiety
	Optional qualifiers	allele function gene gene_synonym map note
	Comment	note that the feature key RBS is used for ribosome binding sites

---

5.18.	Feature Key	misc_difference
	Definition	featured sequence differs from the presented sequence at this location and cannot be described by any other Difference key (unsure, variation, or modified_base)
	Optional qualifiers	allele clone compare gene gene_synonym map note phenotype replace standard_name
	Comment	the misc_difference feature key should be used to describe variability introduced artificially, e.g. by genetic manipulation or by chemical synthesis; use the replace qualifier to annotate a deletion, insertion, or substitution.

---

5.19.	Feature Key	misc_feature
	Definition	region of biological interest which cannot be described by any other feature key; a new or rare feature
	Optional qualifiers	allele function gene gene_synonym map note number phenotype product pseudo pseudogene standard_name
	Comment	this key should not be used when the need is merely to mark a region in order to comment on it or to use it in another feature's location

---

5.20.	Feature Key	misc_recomb
	Definition	site of any generalized, site-specific or replicative recombination event where there is a breakage and reunion of duplex DNA that cannot be described by other recombination keys or qualifiers of source key (proviral)
	Optional qualifiers	allele gene gene_synonym map note standard_name
	Molecule scope	DNA

---

5.21.	Feature Key	misc_RNA
	Definition	any transcript or RNA product that cannot be defined by other RNA keys (prim_transcript, precursor_RNA, mRNA, 5'UTR, 3'UTR, exon, CDS, sig_peptide, transit_peptide, mat_peptide, intron, polyA_site, ncrRNA, rRNA and tRNA)
	Optional qualifiers	allele function gene gene_synonym map note operon product pseudo pseudogene standard_name trans_splicing

---

5.22.	Feature Key	misc_signal
	Definition	any region containing a signal controlling or altering gene function or expression that cannot be described by other signal keys (promoter, CAAT_signal, TATA_signal, -35_signal, -10_signal, GC_signal, RBS, polyA_signal, enhancer, attenuator, terminator, and rep_origin)
	Optional qualifiers	allele function gene gene_synonym map note operon phenotype standard_name

---

5.23.	Feature Key	misc_structure
	Definition	any secondary or tertiary nucleotide structure or conformation that cannot be described by other Structure keys (stem_loop and D-loop)
	Optional qualifiers	allele function gene gene_synonym map note standard_name

---

5.24.	Feature Key	mobile_element
	Definition	region of genome containing mobile elements
	Mandatory qualifiers	mobile_element_type
	Optional qualifiers	allele function gene gene_synonym map note rpt_family rpt_type standard_name

---

5.25.	Feature Key	modified_base
	Definition	the indicated nucleotide is a modified nucleotide and should be substituted for by the indicated molecule (given in the mod_base qualifier value)
	Mandatory qualifiers	mod_base
	Optional qualifiers	allele frequency gene gene_synonym map note
	Comment	value for the mandatory mod_base qualifier is limited to the restricted vocabulary for modified base abbreviations in Section 2 of this Annex.

---

5.26.	Feature Key	mRNA
	Definition	messenger RNA; includes 5' untranslated region (5' UTR), coding sequences (CDS, exon) and 3' untranslated region (3' UTR)
	Optional qualifiers	allele artificial_location function gene gene_synonym map note operon product pseudo pseudogene standard_name trans_splicing

---

5.27.	Feature Key	ncRNA
	Definition	a non-protein-coding gene, other than ribosomal RNA and transfer RNA, the functional molecule of which is the RNA transcript
	Mandatory qualifiers	ncRNA_class
	Optional qualifiers	allele function gene gene_synonym map note operon product pseudo pseudogene standard_name trans_splicing
	Comment	the ncRNA feature is not used for ribosomal and transfer RNA annotation, for which the rRNA and tRNA feature keys should be used, respectively

---

5.28.	Feature Key	N_region
	Definition	extra nucleotides inserted between rearranged immunoglobulin segments
	Optional qualifiers	allele gene gene_synonym map note product pseudo pseudogene standard_name
	Parent Key	CDS
	Organism scope	eukaryotes

---

5.29.	Feature Key	operon
	Definition	region containing polycistronic transcript including a cluster of genes that are under the control of the same regulatory sequences/promotor and in the same biological pathway
	Mandatory qualifiers	operon
	Optional qualifiers	allele function map note phenotype pseudo pseudogene standard_name

---

5.30.	Feature Key	oriT
	Definition	origin of transfer; region of a DNA molecule where transfer is initiated during the process of conjugation or mobilization
	Optional qualifiers	allele bound_moiety direction gene gene_synonym map note rpt_family rpt_type rpt_unit_range rpt_unit_seq standard_name
	Molecule Scope	DNA
	Comment	rep_origin should be used for origins of replication; direction qualifier has legal values RIGHT, LEFT and BOTH, however only RIGHT and LEFT are valid when used in conjunction with the oriT feature; origins of transfer can be present in the chromosome; plasmids can contain multiple origins of transfer

---

5.31.	Feature Key	polyA_signal
	Definition	recognition region necessary for endonuclease cleavage of an RNA transcript that is followed by polyadenylation; consensus=AATAAA [1]
	Optional qualifiers	allele gene gene_synonym map note
	Organism scope	eukaryotes and eukaryotic viruses
	References	[1] Proudfoot, N. and Brownlee, G.G. Nature 263, 211-214 (1976)

---

5.32.	Feature Key	polyA_site
	Definition	site on an RNA transcript to which will be added adenine residues by post-transcriptional polyadenylation
	Optional qualifiers	allele gene gene_synonym map note
	Organism scope	eukaryotes and eukaryotic viruses

---

5.33.	Feature Key	precursor_RNA
	Definition	any RNA species that is not yet the mature RNA product; may include 5' untranslated region (5'UTR), coding sequences (CDS, exon), intervening sequences (intron) and 3' untranslated region (3'UTR)
	Optional qualifiers	allele function gene gene_synonym map note operon product standard_name trans_splicing
	Comment	used for RNA which may be the result of post-transcriptional processing; if the RNA in question is known not to have been processed, use the prim_transcript key

---

5.34.	Feature Key	prim_transcript
	Definition	primary (initial, unprocessed) transcript; includes 5' untranslated region (5'UTR), coding sequences (CDS, exon), intervening sequences (intron) and 3' untranslated region (3'UTR)
	Optional qualifiers	allele function gene gene_synonym map note operon standard_name

---

5.35.	Feature Key	primer_bind
	Definition	non-covalent primer binding site for initiation of replication, transcription, or reverse transcription; includes site(s) for synthetic e.g., PCR primer elements
	Optional qualifiers	allele gene gene_synonym map note standard_name PCR_conditions
	Comment	used to annotate the site on a given sequence to which a primer molecule binds - not intended to represent the sequence of the primer molecule itself; PCR components and reaction times may be stored under the PCR_conditions qualifier; since PCR reactions most often involve pairs of primers, a single primer_bind key may use the order(location,location) operator with two locations, or a pair of primer_bind keys may be used

---

5.36.	Feature Key	promoter
	Definition	region on a DNA molecule involved in RNA polymerase binding to initiate transcription
	Optional qualifiers	allele bound_moiety function gene gene_synonym map note operon phenotype pseudo pseudogene standard_name
	Molecule scope	DNA

---

5.37.	Feature Key	protein_bind
	Definition	non-covalent protein binding site on nucleic acid
	Mandatory qualifiers	bound_moiety
	Optional qualifiers	allele function gene gene_synonym map note operon standard_name
	Comment	note that RBS is used for ribosome binding sites

---

5.38.	Feature Key	RBS
	Definition	ribosome binding site
	Optional qualifiers	allele gene gene_synonym map note standard_name
	References	[1] Shine, J. and Dalgarno, L. Proc Natl Acad Sci USA 71, 1342-1346 (1974) [2] Gold, L. et al. Ann Rev Microb 35, 365-403 (1981)
	Comment	in prokaryotes, known as the Shine-Dalgarno sequence: is located 5 to 9 bases upstream of the initiation codon; consensus GGAGGT [1,2]

---

5.39.	Feature Key	repeat_region
	Definition	region of genome containing repeating units
	Optional qualifiers	allele function gene gene_synonym map note rpt_family rpt_type rpt_unit_range rpt_unit_seq satellite standard_name

---

5.40.	Feature Key	rep_origin
	Definition	origin of replication; starting site for duplication of nucleic acid to give two identical copies
	Optional qualifiers	allele direction gene gene_synonym map note standard_name
	Comment	direction qualifier has valid values: RIGHT, LEFT, or BOTH

---

5.41.	Feature Key	rRNA
	Definition	mature ribosomal RNA; RNA component of the ribonucleoprotein particle (ribosome) which assembles amino acids into proteins
	Optional qualifiers	allele function gene gene_synonym map note operon product pseudo standard_name
	Comment	rRNA sizes should be annotated with the product qualifier

---

5.42.	Feature Key	S_region
	Definition	switch region of immunoglobulin heavy chains; involved in the rearrangement of heavy chain DNA leading to the expression of a different immunoglobulin class from the same B-cell
	Optional qualifiers	allele gene gene_synonym map note product pseudo pseudogene standard_name
	Parent Key	misc_signal
	Organism scope	eukaryotes

---

5.43.	Feature Key	sig_peptide
	Definition	signal peptide coding sequence; coding sequence for an N-terminal domain of a secreted protein; this domain is involved in attaching nascent polypeptide to the membrane leader sequence
	Optional qualifiers	allele function gene gene_synonym map note product pseudo pseudogene standard_name

---

5.44. Feature Key	source
Definition	identifies the source of the sequence; this key is mandatory; every sequence will have a single source key spanning the entire sequence
Mandatory qualifiers	organism mol_type
Optional qualifiers	cell_line cell_type chromosome clone clone_lib collected_by collection_date cultivar dev_stage ecotype environmental_sample germline haplogroup haplotype host identified_by isolate isolation_source lab_host lat_lon macronuclear map mating_type note organelle PCR_primers plasmid pop_variant proviral rearranged segment serotype serovar sex strain sub_clone sub_species sub_strain tissue_lib tissue_type variety
Molecule scope	any

---

5.45. Feature Key	stem_loop
Definition	hairpin; a double-helical region formed by base-pairing between adjacent (inverted) complementary sequences in a single strand of RNA or DNA
Optional qualifiers	allele function gene gene_synonym map note operon standard_name

---

5.46.	Feature Key	STS
	Definition	sequence tagged site; short, single-copy DNA sequence that characterizes a mapping landmark on the genome and can be detected by PCR; a region of the genome can be mapped by determining the order of a series of STSs
	Optional qualifiers	allele gene gene_synonym map note standard_name
	Molecule scope	DNA
	Parent key	misc_binding
	Comment	STS location to include primer(s) in primer_bind key or primers

---

5.47.	Feature Key	TATA_signal
	Definition	TATA box; Goldberg-Hogness box; a conserved AT-rich septamer found about 25 bp before the start point of each eukaryotic RNA polymerase II transcript unit which may be involved in positioning the enzyme for correct initiation; consensus=TATA(A or T)A(A or T) [1,2]
	Optional qualifiers	allele gene gene_synonym map note
	Organism scope	eukaryotes and eukaryotic viruses
	Molecule scope	DNA
	References	[1] Efstratiadis, A. et al. Cell 21, 653-668 (1980) [2] Corden, J., et al. "Promoter sequences of eukaryotic protein-encoding genes" Science 209, 1406-1414 (1980)

---

5.48.	Feature Key	telomere
	Definition	region of biological interest identified as a telomere and which has been experimentally characterized
	Optional qualifiers	note rpt_type rpt_unit_range rpt_unit_seq standard_name
	Comment	the telomere feature describes the interval of DNA that corresponds to a specific structure at the end of the linear eukaryotic chromosome which is required for the integrity and maintenance of the end; this region is unique compared to the rest of the chromosome and represents the physical end of the chromosome

---

5.49.	Feature Key	terminator
	Definition	sequence of DNA located either at the end of the transcript that causes RNA polymerase to terminate transcription
	Optional qualifiers	allele gene gene_synonym map note operon standard_name
	Molecule scope	DNA

---

5.50.	Feature Key	tmRNA
	Definition	transfer messenger RNA; tmRNA acts as a tRNA first, and then as an mRNA that encodes a peptide tag; the ribosome translates this mRNA region of tmRNA and attaches the encoded peptide tag to the C-terminus of the unfinished protein; this attached tag targets the protein for destruction or proteolysis
	Optional qualifiers	allele function gene gene_synonym map note product pseudo pseudogene standard_name tag_peptide

---

5.51.	Feature Key	transit_peptide
	Definition	transit peptide coding sequence; coding sequence for an N-terminal domain of a nuclear-encoded organellar protein; this domain is involved in post-translational import of the protein into the organelle
	Optional qualifiers	allele function gene gene_synonym map note product pseudo pseudogene standard_name

---

5.52.	Feature Key	tRNA
	Definition	mature transfer RNA, a small RNA molecule (75-85 bases long) that mediates the translation of a nucleic acid sequence into an amino acid sequence
	Optional qualifiers	allele anticodon function gene gene_synonym map note product pseudo pseudogene standard_name trans_splicing

---

5.53.	Feature Key	unsure
	Definition	author is unsure of exact sequence in this region
	Optional qualifiers	allele compare gene gene_synonym map note replace
	Comment	use the replace qualifier to annotate a deletion, insertion, or substitution.

---

5.54.	Feature Key	V_region
	Definition	variable region of immunoglobulin light and heavy chains, and T-cell receptor alpha, beta, and gamma chains; codes for the variable amino terminal portion; can be composed of V_segments, D_segments, N_regions, and J_segments
	Optional qualifiers	allele gene gene_synonym map note product pseudo pseudogene standard_name
	Parent Key	CDS
	Organism scope	eukaryotes

---

5.55.	Feature Key	V_segment
	Definition	variable segment of immunoglobulin light and heavy chains, and T-cell receptor alpha, beta, and gamma chains; codes for most of the variable region (V_region) and the last few amino acids of the leader peptide
	Optional qualifiers	allele gene gene_synonym map note product pseudo pseudogene standard_name
	Parent Key	CDS
	Organism scope	eukaryotes

---

5.56.	Feature Key	variation
	Definition	a related strain contains stable mutations from the same gene (e.g., RFLPs, polymorphisms, etc.) which differ from the presented sequence at this location (and possibly others)
	Optional qualifiers	allele compare frequency gene gene_synonym map note phenotype product replace standard_name
	Comment	used to describe alleles, RFLP's, and other naturally occurring mutations and polymorphisms; variability arising as a result of genetic manipulation (e.g. site directed mutagenesis) should be described with the misc_difference feature; use the replace qualifier to annotate a deletion, insertion, or substitution

---

5.57.	Feature Key	3'UTR
	Definition	region at the 3' end of a mature transcript (following the stop codon) that is not translated into a protein
	Optional qualifiers	allele function gene gene_synonym map note standard_name trans_splicing
	Comment	The apostrophe character has special meaning in XML, and must be substituted with "&apos;" in the value of an element. Thus "3'UTR" must be represented as "3&apos;UTR" in the XML file, i.e., <INSDFeature_key>3&apos;UTR</INSDFeature_key>.

---

5.58.	Feature Key	5'UTR
	Definition	region at the 5' end of a mature transcript (preceding the initiation codon) that is not translated into a protein
	Optional qualifiers	allele function gene gene_synonym map note standard_name trans_splicing
	Comment	The apostrophe character has special meaning in XML, and must be substituted with "&apos;" in the value of an element. Thus "5'UTR" must be represented as "5&apos;UTR" in the XML file, i.e., <INSDFeature_key>5&apos;UTR</INSDFeature_key>.

---

5.59.	Feature Key	-10_signal
	Definition	Pribnow box; a conserved region about 10 bp upstream of the start-point of bacterial transcription units which may be involved in binding RNA polymerase; consensus=TAtAaT [1,2,3,4]
	Optional qualifiers	allele gene gene_synonym map note operon standard_name
	Organism scope	prokaryotes
	Molecule scope	DNA
	References	[1] Schaller, H., Gray, C., and Hermann, K. Proc Natl Acad Sci USA 72, 737-741 (1974) [2] Pribnow, D. Proc Natl Acad Sci USA 72, 784-788 (1974) [3] Hawley, D.K. and McClure, W.R. "Compilation and analysis of Escherichia coli promoter DNA sequences" Nucl Acid Res 11, 2237-2255 (1983) [4] Rosenberg, M. and Court, D. "Regulatory sequences involved in the promotion and termination of RNA transcription" Ann Rev Genet 13, 319-353 (1979)

---

5.60.	Feature Key	-35_signal
	Definition	a conserved hexamer about 35 bp upstream of the start.point of bacterial transcription units; consensus=TTGACa or TGTTGACA
	Optional qualifiers	allele gene gene_synonym map note operon standard_name
	Organism scope	prokaryotes
	Molecule scope	DNA
	References	[1] Takanami, M., et al. Nature 260, 297-302 (1976) [2] Moran, C.P., Jr., et al. Molec Gen Genet 186, 339-346 (1982) [3] Maniatis, T., et al. Cell 5, 109-113 (1975)

## SECTION 6: DESCRIPTION OF QUALIFIERS FOR NUCLEIC SEQUENCES

This section contains the list of qualifiers to be used for features in nucleotide sequences. The qualifiers are listed in alphabetic order.

Where a Value format of "none" is indicated in the description of a qualifier (e.g. germline), the INSDQualifier\_value element must not be used.

---

6.1.	Qualifier	allele
	Definition	name of the allele for the given gene
	Value format	free text
	Example	<INSDQualifier_value>adh1-1</INSDQualifier_value>
	Comment	all gene-related features (exon, CDS etc) for a given gene should share the same allele qualifier value; the allele qualifier value must, by definition, be different from the gene qualifier value; when used with the variation feature key, the allele qualifier value should be that of the variant.

---

6.2.	Qualifier	anticodon
	Definition	location of the anticodon of tRNA and the amino acid for which it codes
	Value format	(pos:<location>,aa:<amino_acid>,seq<text>) where location is the position of the anticodon and <amino_acid> is the abbreviation for the amino acid encoded and seq is the sequence of the anticodon
	Example	<INSDQualifier_value>(pos:34..36,aa:Phe,seq:aaa)</INSDQualifier_value> <INSDQualifier_value>(pos:join(5,495..496,aa:Leu,seq:taa)</INSDQualifier_value> <INSDQualifier_value>(pos:complement(4156..4158),aa:Glu,seq:ttg)</INSDQualifier_value>

---

6.3.	Qualifier	bound_moiety
	Definition	name of the molecule/complex that may bind to the given feature
	Value format	free text
	Example	<INSDQualifier_value>GAL4</INSDQualifier_value>
	Comment	Multiple bound_moiety qualifiers are legal on "promoter" and "enhancer" features. A single bound_moiety qualifier is legal on the "misc_binding", "orit" and "protein_bind" features.

---

6.4.	Qualifier	cell_line
	Definition	cell line from which the sequence was obtained
	Value format	free text
	Example	<INSDQualifier_value>MCF7</INSDQualifier_value>

---

6.5.	Qualifier	cell_type
	Definition	cell type from which the sequence was obtained
	Value format	free text
	Example	<INSDQualifier_value>leukocyte</INSDQualifier_value>

6.6.	Qualifier	chromosome
	Definition	chromosome (e.g. Chromosome number) from which the sequence was obtained
	Value format	free text
	Example	<INSDQualifier_value>1</INSDQualifier_value> <INSDQualifier_value>X</INSDQualifier_value>
6.7.	Qualifier	clone
	Definition	clone from which the sequence was obtained
	Value format	free text
	Example	<INSDQualifier_value>lambda-hIL7.3</INSDQualifier_value>
	Comment	not more than one clone should be specified for a given source feature; where the sequence was obtained from multiple clones it may be further described in the feature table using the feature key misc_feature and a note qualifier to specify the multiple clones.
6.8.	Qualifier	clone_lib
	Definition	clone library from which the sequence was obtained
	Value format	free text
	Example	<INSDQualifier_value>lambda-hIL7</INSDQualifier_value>
6.9.	Qualifier	codon_start
	Definition	indicates the offset at which the first complete codon of a coding feature can be found, relative to the first base of that feature.
	Value format	1 or 2 or 3
	Example	<INSDQualifier_value>2</INSDQualifier_value>
6.10.	Qualifier	collected_by
	Definition	name of persons or institute who collected the specimen
	Value format	free text
	Example	<INSDQualifier_value>Dan Janzen</INSDQualifier_value>
6.11.	Qualifier	collection_date
	Definition	date that the specimen was collected
	Value format	DD-Mmm-YYYY, Mmm-YYYY or YYYY
	Example	<INSDQualifier_value>21-Oct-1952</INSDQualifier_value> <INSDQualifier_value>Oct-1952</INSDQualifier_value> <INSDQualifier_value>1952</INSDQualifier_value>
	Comment	full date format DD-Mmm-YYYY is preferred; where day and/or month of collection is not known either "Mmm-YYYY" or "YYYY" can be used; three-letter month abbreviation can be one of the following: Jan, Feb, Mar, Apr, May, Jun, Jul, Aug, Sep, Oct, Nov, Dec.

---

6.12.	Qualifier	compare
	Definition	Reference details of an existing public INSD entry to which a comparison is made
	Value format	[accession-number.sequence-version]
	Example	<INSDQualifier_value>AJ634337.1</INSDQualifier_value>
	Comment	This qualifier may be used on the following features: misc_difference, unsure, and variation. Multiple compare qualifiers with different contents are allowed within a single feature. This qualifier is not intended for large-scale annotation of variations, such as SNPs.

---

6.13.	Qualifier	cultivar
	Definition	cultivar (cultivated variety) of plant from which sequence was obtained
	Value format	free text
	Example	<INSDQualifier_value>Nipponbare</INSDQualifier_value> <INSDQualifier_value>Tenuifolius</INSDQualifier_value> <INSDQualifier_value>Candy Cane</INSDQualifier_value> <INSDQualifier_value>IR36</INSDQualifier_value>
	Comment	'cultivar' is applied solely to products of artificial selection; use the variety qualifier for natural, named plant and fungal varieties.

---

6.14.	Qualifier	dev_stage
	Definition	if the sequence was obtained from an organism in a specific developmental stage, it is specified with this qualifier
	Value format	free text
	Example	<INSDQualifier_value>fourth instar larva</INSDQualifier_value>

---

6.15.	Qualifier	direction
	Definition	direction of DNA replication
	Value format	left, right, or both where left indicates toward the 5' end of the sequence (as presented) and right indicates toward the 3' end
	Example	<INSDQualifier_value>LEFT</INSDQualifier_value>
	Comment	The values left, right, and both are permitted when the direction qualifier is used to annotate a rep_origin feature key. However, only left and right values are permitted when the direction qualifier is used to annotate an oriT feature key. The values are case-insensitive, i.e. both "RIGHT" and "right" are valid.

6.16.	Qualifier	EC_number
	Definition	Enzyme Commission number for enzyme product of sequence
	Value format	free text
	Example	<INSDQualifier_value>1.1.2.4</INSDQualifier_value> <INSDQualifier_value>1.1.2.-</INSDQualifier_value> <INSDQualifier_value>1.1.2.n</INSDQualifier_value>
	Comment	valid values for EC numbers are defined in the list prepared by the Nomenclature Committee of the International Union of Biochemistry and Molecular Biology (NC-IUBMB) (published in Enzyme Nomenclature 1992, Academic Press, San Diego, or a more recent revision thereof).The format represents a string of four numbers separated by full stops; up to three numbers starting from the end of the string can be replaced by dash "." to indicate uncertain assignment. Symbol "n" can be used in the last position instead of a number where the EC number is awaiting assignment. Please note that such incomplete EC numbers are not approved by NC-IUBMB.
6.17.	Qualifier	ecotype
	Definition	a population within a given species displaying genetically based, phenotypic traits that reflect adaptation to a local habitat
	Value Format	free text
	Example	<INSDQualifier_value>Columbia</INSDQualifier_value>
	Comment	an example of such a population is one that has adapted hairier than normal leaves as a response to an especially sunny habitat. 'Ecotype' is often applied to standard genetic stocks of Arabidopsis thaliana, but it can be applied to any sessile organism.
6.18.	Qualifier	environmental_sample
	Definition	identifies sequences derived by direct molecular isolation from a bulk environmental DNA sample (by PCR with or without subsequent cloning of the product, DGGE, or other anonymous methods) with no reliable identification of the source organism. Environmental samples include clinical samples, gut contents, and other sequences from anonymous organisms that may be associated with a particular host. They do not include endosymbionts that can be reliably recovered from a particular host, organisms from a readily identifiable but uncultured field sample (e.g., many cyanobacteria), or phytoplasmas that can be reliably recovered from diseased plants (even though these cannot be grown in axenic culture)
	Value format	none
	Comment	used only with the source feature key; source feature keys containing the environmental_sample qualifier should also contain the isolation_source qualifier. Sequences including environmental_sample must not include the strain qualifier.
6.19.	Qualifier	exception
	Definition	indicates that the coding region cannot be translated using standard biological rules
	Value format	One of the following controlled vocabulary phrases: RNA editing rearrangement required for product annotated by transcript or proteomic data
	Example	<INSDQualifier_value>RNA editing</INSDQualifier_value> <INSDQualifier_value>rearrangement required for product</INSDQualifier_value>
	Comment	only to be used to describe biological mechanisms such as RNA editing; protein translation of a CDS with an exception qualifier will be different from the according conceptual translation; must not be used where transl_except qualifier would be adequate, e.g. in case of stop codon completion use.

6.20.	Qualifier	frequency
	Definition	frequency of the occurrence of a feature
	Value format	free text representing the proportion of a population carrying the feature expressed as a fraction
	Example	<INSDQualifier_value>23/108</INSDQualifier_value> <INSDQualifier_value>1 in 12</INSDQualifier_value> <INSDQualifier_value>0.85</INSDQualifier_value>
6.21.	Qualifier	function
	Definition	function attributed to a sequence
	Value format	free text
	Example	<INSDQualifier_value>essential for recognition of cofactor </INSDQualifier_value>
	Comment	The function qualifier is used when the gene name and/or product name do not convey the function attributable to a sequence.
6.22.	Qualifier	gene
	Definition	symbol of the gene corresponding to a sequence region
	Value format	free text
	Example	<INSDQualifier_value>ilvE</INSDQualifier_value>
	Comment	Use gene qualifier to provide the gene symbol; use standard_name qualifier to provide the full gene name.
6.23.	Qualifier	gene_synonym
	Definition	synonymous, replaced, obsolete or former gene symbol
	Value format	free text
	Example	<INSDQualifier_value>Hox-3.3</INSDQualifier_value> in a feature where the gene qualifier value is Hoxc6
	Comment	used where it is helpful to indicate a gene symbol synonym; when used, a primary gene symbol must always be indicated in a gene qualifier
6.24.	Qualifier	germline
	Definition	the sequence presented has not undergone somatic rearrangement as part of an adaptive immune response; it is the unrearranged sequence that was inherited from the parental germline
	Value format	none
	Comment	germline qualifier should not be used to indicate that the source of the sequence is a gamete or germ cell; germline and rearranged qualifiers cannot be used in the same source feature; germline and rearranged qualifiers should only be used for molecules that can undergo somatic rearrangements as part of an adaptive immune response; these are the T-cell receptor (TCR) and immunoglobulin loci in the jawed vertebrates, and the unrelated variable lymphocyte receptor (VLR) locus in the jawless fish (lampreys and hagfish); germline and rearranged qualifiers should not be used outside of the Craniata (taxid=89593)

6.25.	Qualifier	haplogroup
	Definition	name for a group of similar haplotypes that share some sequence variation. Haplogroups are often used to track migration of population groups.
	Value format	free text
	Example	<INSDQualifier_value>H*</INSDQualifier_value>
6.26.	Qualifier	haplotype
	Definition	name for a specific set of alleles that are linked together on the same physical chromosome. In the absence of recombination, each haplotype is inherited as a unit, and may be used to track gene flow in populations.
	Value format	free text
	Example	<INSDQualifier_value>Dw3 B5 Cw1 A1</INSDQualifier_value>
6.27.	Qualifier	host
	Definition	natural (as opposed to laboratory) host to the organism from which sequenced molecule was obtained
	Value format	free text
	Example	<INSDQualifier_value>Homo sapiens</INSDQualifier_value> <INSDQualifier_value>Homo sapiens 12 year old girl</INSDQualifier_value> <INSDQualifier_value>Rhizobium NGR234</INSDQualifier_value>
6.28.	Qualifier	identified_by
	Definition	name of the expert who identified the specimen taxonomically
	Value format	free text
	Example	<INSDQualifier_value>John Burns</INSDQualifier_value>
6.29.	Qualifier	isolate
	Definition	individual isolate from which the sequence was obtained
	Value format	free text
	Example	<INSDQualifier_value>Patient #152</INSDQualifier_value> <INSDQualifier_value>DGGE band PSBAC-13</INSDQualifier_value>
6.30.	Qualifier	isolation_source
	Definition	describes the physical, environmental and/or local geographical source of the biological sample from which the sequence was derived
	Value format	free text
	Examples	<INSDQualifier_value>rumen isolates from standard Pelleted ration-fed steer #67</INSDQualifier_value> <INSDQualifier_value>permanent Antarctic sea ice</INSDQualifier_value> <INSDQualifier_value>denitrifying activated sludge from carbon_limited continuous reactor</INSDQualifier_value>
	Comment	used only with the source feature key; source feature keys containing an environmental_sample qualifier should also contain an isolation_source qualifier

6.31. Qualifier	lab_host
Definition	scientific name of the laboratory host used to propagate the source organism from which the sequenced molecule was obtained
Value format	free text
Example	<INSDQualifier_value>Gallus gallus</INSDQualifier_value> <INSDQualifier_value>Gallus gallus embryo</INSDQualifier_value> <INSDQualifier_value>Escherichia coli strain DH5 alpha</INSDQualifier_value> <INSDQualifier_value>Homo sapiens HeLa cells</INSDQualifier_value>
Comment	the full binomial scientific name of the host organism should be used when known; extra conditional information relating to the host may also be included
6.32. Qualifier	lat_lon
Definition	geographical coordinates of the location where the specimen was collected
Value format	free text - degrees latitude and longitude in format "d[d.ddd] N S d[dd.ddd] W E"
Example	<INSDQualifier_value>47.94 N 28.12 W</INSDQualifier_value> <INSDQualifier_value>45.0123 S 4.1234 E</INSDQualifier_value>
6.33. Qualifier	macronuclear
Definition	if the sequence shown is DNA and from an organism which undergoes chromosomal differentiation between macronuclear and micronuclear stages, this qualifier is used to denote that the sequence is from macronuclear DNA
Value format	none
6.34. Qualifier	map
Definition	genomic map position of feature
Value format	free text
Example	<INSDQualifier_value>8q12-13</INSDQualifier_value>
6.35. Qualifier	mating_type
Definition	mating type of the organism from which the sequence was obtained; mating type is used for prokaryotes, and for eukaryotes that undergo meiosis without sexually dimorphic gametes
Value format	free text
Examples	<INSDQualifier_value>MAT-1</INSDQualifier_value> <INSDQualifier_value>plus</INSDQualifier_value> <INSDQualifier_value>-</INSDQualifier_value> <INSDQualifier_value>odd</INSDQualifier_value> <INSDQualifier_value>even</INSDQualifier_value>"
Comment	mating_type qualifier values male and female are valid in the prokaryotes, but not in the eukaryotes; for more information, see the entry for the sex qualifier.

6.36.	Qualifier	mobile_element_type
	Definition	type and name or identifier of the mobile element which is described by the parent feature
	Value format	<mobile_element_type>[:<mobile_element_name>] where <mobile_element_type> is one of the following: transposon retrotransposon integron insertion sequence non-LTR retrotransposon SINE MITE LINE other
	Example	<INSDQualifier_value>transposon:Tnp9</INSDQualifier_value>
	Comment	mobile_element_type is legal on mobile_element feature key only. Mobile element should be used to represent both elements which are currently mobile, and those which were mobile in the past. Value "other" for <mobile_element_type> requires a <mobile_element_name>
6.37.	Qualifier	mod_base
	Definition	abbreviation for a modified nucleotide base
	Value format	modified base abbreviation chosen from this Annex, Table 2
	Example	<INSDQualifier_value>m5c</INSDQualifier_value> <INSDQualifier_value>OTHER</INSDQualifier_value>
	Comment	specific modified nucleotides not found in Section 2 of this Annex are annotated by entering OTHER as the value for the mod_base qualifier and including a note qualifier with the full name of the modified base as its value
6.38.	Qualifier	mol_type
	Definition	molecule type of sequence
	Value format	One chosen from the following: genomic DNA genomic RNA mRNA tRNA rRNA other RNA other DNA transcribed RNA viral cRNA unassigned DNA unassigned RNA
	Example	<INSDQualifier_value>genomic DNA</INSDQualifier_value> <INSDQualifier_value>other RNA</INSDQualifier_value>
	Comment	mol_type qualifier is mandatory on the source feature key; the value "genomic DNA" does not imply that the molecule is nuclear (e.g. organelle and plasmid DNA should be described using "genomic DNA"); ribosomal RNA genes should be described using "genomic DNA"; "rRNA" should only be used if the ribosomal RNA molecule itself has been sequenced; values "other RNA" and "other DNA" should be applied to synthetic molecules, values "unassigned DNA", "unassigned RNA" should be applied where in vivo molecule is unknown.

6.39.	Qualifier	ncRNA_class
	Definition	a structured description of the classification of the non-coding RNA described by the ncRNA parent key
	Value format	TYPE where TYPE is one of the following controlled vocabulary terms or phrases: antisense_RNA autocatalytically_spliced_intron ribozyme hammerhead_ribozyme lncRNA RNase_P_RNA RNase_MRP_RNA telomerase_RNA guide_RNA asiRNA scrRNA sirRNA mirRNA pirRNA snRNA snRNA SRP_RNA" vault_RNA Y_RNA other
	Example	<INSDQualifier_value>autocatalytically_spliced_intron </INSDQualifier_value> <INSDQualifier_value>asiRNA</INSDQualifier_value> <INSDQualifier_value>scrRNA</INSDQualifier_value> <INSDQualifier_value>other</INSDQualifier_value>
	Comment	specific ncRNA types not yet in the ncRNA_class controlled vocabulary can be annotated by entering "other" as the ncRNA_class qualifier value, and providing a brief explanation of novel ncRNA_class in a note qualifier
6.40.	Qualifier	note
	Definition	any comment or additional information
	Value format	free text
	Example	<INSDQualifier_value>A comment about the feature</INSDQualifier_value>
6.41.	Qualifier	number
	Definition	a number to indicate the order of genetic elements (e.g. exons or introns) in the 5' to 3' direction
	Value format	free text (with no whitespace characters)
	Example	<INSDQualifier_value>4</INSDQualifier_value> <INSDQualifier_value>6B</INSDQualifier_value>
	Comment	text limited to integers, letters or combination of integers and/or letters represented as a data value that contains no whitespace characters; any additional terms should be included in a standard_name qualifier. Example: a number qualifier with a value of 2A and a standard_name qualifier with a value of long

6.42.	Qualifier	operon
	Definition	name of the group of contiguous genes transcribed into a single transcript to which that feature belongs
	Value format	free text
	Example	<INSDQualifier_value>lac</INSDQualifier_value>
	Comment	valid only on Prokaryota-specific features
6.43.	Qualifier	organelle
	Definition	type of membrane-bound intracellular structure from which the sequence was obtained
	Value format	One of the following controlled vocabulary terms and phrases: chromatophore hydrogenosome mitochondrion nucleomorph plastid mitochondrion:kinetoplast plastid:chloroplast plastid:apicoplast plastid:chromoplast plastid:cyanelle plastid:leucoplast plastid:proplastid,
	Examples	<INSDQualifier_value>chromatophore</INSDQualifier_value> <INSDQualifier_value>hydrogenosome</INSDQualifier_value> <INSDQualifier_value>mitochondrion</INSDQualifier_value> <INSDQualifier_value>nucleomorph</INSDQualifier_value> <INSDQualifier_value>plastid</INSDQualifier_value> <INSDQualifier_value>mitochondrion:kinetoplast</INSDQualifier_value> <INSDQualifier_value>plastid:chloroplast</INSDQualifier_value> <INSDQualifier_value>plastid:apicoplast</INSDQualifier_value> <INSDQualifier_value>plastid:chromoplast</INSDQualifier_value> <INSDQualifier_value>plastid:cyanelle</INSDQualifier_value> <INSDQualifier_value>plastid:leucoplast</INSDQualifier_value> <INSDQualifier_value>plastid:proplastid</INSDQualifier_value>
6.44.	Qualifier	organism
	Definition	scientific name of the organism that provided the sequenced genetic material, if known, or the available taxonomic information if the organism is unclassified; or an indication that the sequence is a synthetic construct
	Value format	free text
	Example	<INSDQualifier_value>Homo sapiens</INSDQualifier_value>

6.45.	Qualifier	PCR_primers
	Definition	PCR primers that were used to amplify the sequence. A single /PCR_primers qualifier should contain all the primers used for a single PCR reaction. If multiple forward or reverse primers are present in a single PCR reaction, multiple sets of fwd_name/fwd_seq or rev_name/rev_seq values will be present
	Value format	[fwd_name: XXX1, ]fwd_seq: xxxxx1,[fwd_name: XXX2, ]fwd_seq: xxxxx2, [rev_name: YYY1, ]rev_seq: yyyyy1,[rev_name: YYY2, ]rev_seq: yyyyy2</INSDQualifier_value>
	Example	<INSDQualifier_value>fwd_name: C01P1, fwd_seq: ttgatttttggtcayccwgaagt, rev_name: C01R4, rev_seq: ccwvytardcctarraartgttg</INSDQualifier_value> <INSDQualifier_value>fwd_name: hoge1, fwd_seq: cgkgtgtatcttact, rev_name: hoge2, rev_seq: cg&lt;i>g&lt;i>gtgtatcttact</INSDQualifier_value> <INSDQualifier_value>fwd_name: C01P1, fwd_seq: ttgatttttggtcayccwgaagt, fwd_name: C01P2, fwd_seq: gatacacaggtcayccwgaagt, rev_name: C01R4, rev_seq: ccwvytardcctarraartgttg</INSDQualifier_value>
	Comment	fwd_seq and rev_seq are both mandatory; fwd_name and rev_name are both optional. Both sequences should be presented in 5'>3' order. The sequences should be given in the symbols from Section 1 of this Annex, except for the modified bases; those must be enclosed within angle brackets < >. In XML, the angle brackets < and > must be substituted with &lt; and &gt; since they are reserved characters in XML.
6.46.	Qualifier	phenotype
	Definition	phenotype conferred by the feature, where phenotype is defined as a physical, biochemical or behavioural characteristic or set of characteristics
	Value format	free text
	Example	<INSDQualifier_value>erythromycin resistance</INSDQualifier_value>
6.47.	Qualifier	plasmid
	Definition	name of naturally occurring plasmid from which the sequence was obtained, where plasmid is defined as an independently replicating genetic unit that cannot be described by chromosome or segment qualifiers
	Value format	free text
	Example	<INSDQualifier_value>pC589</INSDQualifier_value>
6.48.	Qualifier	pop_variant
	Definition	name of subpopulation or phenotype of the sample from which the sequence was derived
	Value format	free text
	Example	<INSDQualifier_value>pop1</INSDQualifier_value> <INSDQualifier_value>Bear Paw</INSDQualifier_value>
6.49.	Qualifier	product
	Definition	name of the product associated with the feature, e.g. the mRNA of an mRNA feature, the polypeptide of a CDS, the mature peptide of a mat_peptide, etc.
	Value format	free text
	Example	<INSDQualifier_value>trypsinogen</INSDQualifier_value> (when qualifier appears in CDS feature) <INSDQualifier_value>trypsin</INSDQualifier_value> (when qualifier appears in mat_peptide feature) <INSDQualifier_value>XYZ neural-specific transcript</INSDQualifier_value> (when qualifier appears in mRNA feature)

6.50.	Qualifier	protein_id
	Definition	protein sequence identification number, an integer used in a sequence listing to designate the protein sequence encoded by the coding sequence identified in the corresponding CDS feature key
	Value format	an integer greater than zero
	Example	<INSDQualifier_value>89</INSDQualifier_value>
6.51.	Qualifier	proviral
	Definition	this qualifier is used to flag sequence obtained from a virus or phage that is integrated into the genome of another organism
	Value format	none
6.52.	Qualifier	pseudo
	Definition	indicates that this feature is a non-functional version of the element named by the feature key
	Value format	none
	Comment	The qualifier pseudo should be used to describe non-functional genes that are not formally described as pseudogenes, e.g. CDS has no translation due to other reasons than pseudogenisation events. Other reasons may include sequencing or assembly errors. In order to annotate pseudogenes the qualifier pseudogene must be used, indicating the TYPE of pseudogene.
6.53.	Qualifier	pseudogene
	Definition	indicates that this feature is a pseudogene of the element named by the feature key
	Value format	TYPE where TYPE is one of the following controlled vocabulary terms or phrases: processed unprocessed unitary allelic unknown
	Example	<INSDQualifier_value>processed</INSDQualifier_value> <INSDQualifier_value>unprocessed</INSDQualifier_value> <INSDQualifier_value>unitary</INSDQualifier_value> <INSDQualifier_value>allelic</INSDQualifier_value> <INSDQualifier_value>unknown</INSDQualifier_value>
	Comment	Definitions of TYPE values: processed - the pseudogene has arisen by reverse transcription of a mRNA into cDNA, followed by reintegration into the genome. Therefore, it has lost any intron/exon structure, and it might have a pseudo-polyA-tail. unprocessed - the pseudogene has arisen from a copy of the parent gene by duplication followed by accumulation of random mutation. The changes, compared to their functional homolog, include insertions, deletions, premature stop codons, frameshifts and a higher proportion of non-synonymous versus synonymous substitutions. unitary - the pseudogene has no parent. It is the original gene, which is functional in some species but disrupted in some way (indels, mutation, recombination) in another species or strain. allelic - a (unitary) pseudogene that is stable in the population but importantly it has a functional alternative allele also in the population. i.e., one strain may have the gene, another strain may have the pseudogene. MHC haplotypes have allelic pseudogenes. unknown - the submitter does not know the method of pseudogenisation.

6.54.	Qualifier	rearranged
	Definition	the sequence presented in the entry has undergone somatic rearrangement as part of an adaptive immune response; it is not the unrearranged sequence that was inherited from the parental germline
	Value format	none
	Comment	The rearranged qualifier should not be used to annotate chromosome rearrangements that are not involved in an adaptive immune response; germline and rearranged qualifiers cannot be used in the same source feature; germline and rearranged qualifiers should only be used for molecules that can undergo somatic rearrangements as part of an adaptive immune response; these are the T-cell receptor (TCR) and immunoglobulin loci in the jawed vertebrates, and the unrelated variable lymphocyte receptor (VLR) locus in the jawless fish (lampreys and hagfish); germline and rearranged qualifiers should not be used outside of the Craniata (taxid=89593)
6.55.	Qualifier	replace
	Definition	indicates that the sequence identified in a feature's location is replaced by the sequence shown in the qualifier's value; if no sequence (i.e., no value) is contained within the qualifier, this indicates a deletion
	Value format	free text
	Example	<INSDQualifier_value>a</INSDQualifier_value> <INSDQualifier_value></INSDQualifier_value> - for a deletion
6.56.	Qualifier	ribosomal_slippage
	Definition	during protein translation, certain sequences can program ribosomes to change to an alternative reading frame by a mechanism known as ribosomal slippage
	Value format	none
	Comment	a join operator, e.g.: [join(486..1784,1787..4810)] should be used in the CDS spans to indicate the location of ribosomal_slippage
6.57.	Qualifier	rpt_family
	Definition	type of repeated sequence; "Alu" or "kpn", for example
	Value format	free text
	Example	<INSDQualifier_value>Alu</INSDQualifier_value>

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6.58.	Qualifier	rpt_type
	Definition	organization of repeated sequence
	Value format	One of the following controlled vocabulary terms: tandem inverted flanking terminal direct dispersed other
	Example	<INSDQualifier_value>INVERTED</INSDQualifier_value>
	Comment	the values are case-insensitive, i.e. both "INVERTED" and "inverted" are valid; Definitions of the values: tandem - a repeat that exists adjacent to another in the same orientation; inverted - a repeat which occurs as part of a set (normally a part) organized in the reverse orientation; flanking - a repeat lying outside the sequence for which it has functional significance (eg. transposon insertion target sites); terminal - a repeat at the ends of and within the sequence for which it has functional significance (eg. transposon LTRs); direct - a repeat that exists not always adjacent but is in the same orientation; dispersed - a repeat that is found dispersed throughout the genome; other - a repeat exhibiting important attributes that cannot be described by other values.

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6.59.	Qualifier	rpt_unit_range
	Definition	location (range) of a repeating unit
	Value format	<base_range> - where <base_range> is the first and last base (separated by two dots) of a repeating unit
	Example	<INSDQualifier_value>202..245</INSDQualifier_value>
	Comment	used to indicate the base range of the sequence that constitutes a repeating unit within the region specified by the feature keys oriT and repeat_region.

---

6.60.	Qualifier	rpt_unit_seq
	Definition	identity of a repeat sequence
	Value format	free text
	Example	<INSDQualifier_value>aagggc</INSDQualifier_value> <INSDQualifier_value>ag(5)tg(8)</INSDQualifier_value> <INSDQualifier_value>(AAAGA)6(AAAA)1(AAAGA)12</INSDQualifier_value>
	Comment	used to indicate the literal sequence that constitutes a repeating unit within the region specified by the feature keys oriT and repeat_region

6.61.	Qualifier	satellite
	Definition	identifier for a satellite DNA marker, compose of many tandem repeats (identical or related) of a short basic repeated unit
	Value format	<satellite_type>[:<class>][ <identifier>] - where <satellite_type> is one of the following: satellite; microsatellite; minisatellite
	Example	<INSDQualifier_value>satellite: S1a</INSDQualifier_value> <INSDQualifier_value>satellite: alpha</INSDQualifier_value> <INSDQualifier_value>satellite: gamma III</INSDQualifier_value> <INSDQualifier_value>microsatellite: DC130</INSDQualifier_value>
	Comment	many satellites have base composition or other properties that differ from those of the rest of the genome that allows them to be identified.
6.62.	Qualifier	segment
	Definition	name of viral or phage segment sequenced
	Value format	free text
	Example	<INSDQualifier_value>6</INSDQualifier_value>
6.63.	Qualifier	serotype
	Definition	serological variety of a species characterized by its antigenic properties
	Value format	free text
	Example	<INSDQualifier_value>B1</INSDQualifier_value>
	Comment	used only with the source feature key; the Bacteriological Code recommends the use of the term 'serovar' instead of 'serotype' for the prokaryotes; see the International Code of Nomenclature of Bacteria (1990 Revision) Appendix 10.B "Infraspecific Terms".
6.64.	Qualifier	serovar
	Definition	serological variety of a species (usually a prokaryote) characterized by its antigenic properties
	Value format	free text
	Example	<INSDQualifier_value>0157:H7</INSDQualifier_value>
	Comment	used only with the source feature key; the Bacteriological Code recommends the use of the term 'serovar' instead of 'serotype' for prokaryotes; see the International Code of Nomenclature of Bacteria (1990 Revision) Appendix 10.B "Infraspecific Terms".

6.65.	Qualifier	sex
	Definition	sex of the organism from which the sequence was obtained; sex is used for eukaryotic organisms that undergo meiosis and have sexually dimorphic gametes
	Value format	free text
	Examples	<INSDQualifier_value>female</INSDQualifier_value> <INSDQualifier_value>male</INSDQualifier_value> <INSDQualifier_value>hermaphrodite</INSDQualifier_value> <INSDQualifier_value>unisexual</INSDQualifier_value> <INSDQualifier_value>bisexual</INSDQualifier_value> <INSDQualifier_value>asexual</INSDQualifier_value> <INSDQualifier_value>monoecious</INSDQualifier_value> [or monocious] <INSDQualifier_value>dioecious</INSDQualifier_value> [or diecious]
	Comment	The sex qualifier should be used (instead of mating_type qualifier) in the Metazoa, Embryophyta, Rhodophyta & Phaeophyceae; mating_type qualifier should be used (instead of sex qualifier) in the Bacteria, Archaea & Fungi; neither sex nor mating_type qualifiers should be used in the viruses; outside of the taxa listed above, mating_type qualifier should be used unless the value of the qualifier is taken from the vocabulary given in the examples above
6.66.	Qualifier	standard_name
	Definition	accepted standard name for this feature
	Value format	free text
	Example	<INSDQualifier_value>dotted</INSDQualifier_value>
	Comment	use standard_name qualifier to give full gene name, but use gene qualifier to give gene symbol (in the above example gene qualifier value is Dt).
6.67.	Qualifier	strain
	Definition	strain from which sequence was obtained
	Value format	free text
	Example	<INSDQualifier_value>BALB/c</INSDQualifier_value>
	Comment	entries including strain qualifier must not include the environmental_sample qualifier
6.68.	Qualifier	sub_clone
	Definition	sub-clone from which sequence was obtained
	Value format	free text
	Example	<INSDQualifier_value>lambda-hIL7.20g</INSDQualifier_value>
	Comment	not more than one sub_clone should be specified for a given source feature; to indicate that the sequence was obtained from multiple sub_clones, multiple source features should be given
6.69.	Qualifier	sub_species
	Definition	name of sub-species of organism from which sequence was obtained
	Value format	free text
	Example	<INSDQualifier_value>lactis</INSDQualifier_value>

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6.70.	Qualifier	sub_strain
	Definition	name or identifier of a genetically or otherwise modified strain from which sequence was obtained, derived from a parental strain (which should be annotated in the strain qualifier). sub_strain from which sequence was obtained
	Value format	free text
	Example	<INSDQualifier_value>abis</INSDQualifier_value>
	Comment	If the parental strain is not given, this should be annotated in the strain qualifier instead of sub_strain. For example, either a strain qualifier with the value K-12 and a substrain qualifier with the value MG1655 or a strain qualifier with the value MG1655

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6.71.	Qualifier	tag_peptide
	Definition	base location encoding the polypeptide for proteolysis tag of tmRNA and its termination codon
	Value format	<base_range> - where <base_range> provides the first and last base (separated by two dots) of the location for the proteolysis tag
	Example	<INSDQualifier_value>90..122</INSDQualifier_value>
	Comment	it is recommended that the amino acid sequence corresponding to the tag_peptide be annotated by describing a 5' partial CDS feature; e.g. CDS with a location of <90..122

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6.72.	Qualifier	tissue_lib
	Definition	tissue library from which sequence was obtained
	Value format	free text
	Example	<INSDQualifier_value>tissue library 772</INSDQualifier_value>

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6.73.	Qualifier	tissue_type
	Definition	tissue type from which the sequence was obtained
	Value format	free text
	Example	<INSDQualifier_value>liver</INSDQualifier_value>

6.74.	Qualifier	transl_except
	Definition	translational exception: single codon the translation of which does not conform to genetic code defined by organism or transl_table.
	Value format	(pos: location, aa: <amino_acid>) where <amino_acid> is the amino acid coded by the codon at the base_range position
	Example	<INSDQualifier_value>(pos: 213..215, aa: Trp) </INSDQualifier_value> <INSDQualifier_value>(pos: 462..464, aa: OTHER) </INSDQualifier_value> <INSDQualifier_value>(pos: 1017, aa: TERM) </INSDQualifier_value> <INSDQualifier_value>(pos: 2000..2001, aa: TERM) </INSDQualifier_value> <INSDQualifier_value>(pos: X22222: 15..17, aa: Ala) </INSDQualifier_value>
	Comment	if the amino acid is not one of the specific amino acids listed in Section 3 of this Annex, use OTHER as <amino_acid> and provide the name of the unusual amino acid in a note qualifier; for modified amino-acid selenocysteine use three letter code 'Sec' (one letter code 'U' in amino-acid sequence) for <amino_acid>; for partial termination codons where TAA stop codon is completed by the addition of 3' A residues to the mRNA either a single base_position or a base_range is used for the location, see the third and fourth examples above, in conjunction with a note qualifier indicating 'stop codon completed by the addition of 3' A residues to the mRNA'.
6.75.	Qualifier	transl_table
	Definition	definition of genetic code table used if other than universal or standard genetic code table. Tables used are described in this Annex
	Value format	<integer> where <integer> is the number assigned to the genetic code table
	Example	<INSDQualifier_value>3</INSDQualifier_value> - example where the yeast mitochondrial code is to be used
	Comment	if the transl_table qualifier is not used to further annotate a CDS feature key, then the CDS is translated using the Standard Code (i.e. Universal Genetic Code). Genetic code exceptions outside the range of specified tables are reported in transl_except qualifiers.
6.76.	Qualifier	trans_splicing
	Definition	indicates that exons from two RNA molecules are ligated in intermolecular reaction to form mature RNA
	Value format	none
	Comment	should be used on features such as CDS, mRNA and other features that are produced as a result of a trans-splicing event. This qualifier should be used only when the splice event is indicated in the "join" operator, e.g. join(complement(69611..69724), 139856..140087)
6.77.	Qualifier	translation
	Definition	one-letter abbreviated amino acid sequence derived from either the standard (or universal) genetic code or the table as specified in a transl_table qualifier and as determined by an exception in the transl_except qualifier
	Value format	contiguous string of one-letter amino acid abbreviations from Section 3 of this Annex, "X" is to be used for AA exceptions.
	Example	<INSDQualifier_value>MASTFPPWYRGCASTPSLKGLIMCTW</INSDQualifier_value>
	Comment	to be used with CDS feature only; must be accompanied by protein_id qualifier when the translation product contains four or more amino acids; see transl_table for definition and location of genetic code Tables; only one of the qualifiers translation, pseudo and pseudogene are permitted to further annotate a CDS feature.

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6.78.	Qualifier	variety
	Definition	variety (= varietas, a formal Linnaean rank) of organism from which sequence was derived.
	Value format	free text
	Example	<INSDQualifier_value>insularis</INSDQualifier_value>
	Comment	use the cultivar qualifier for cultivated plant varieties, i.e., products of artificial selection; varieties other than plant and fungal varieties should be annotated via a note qualifier, e.g. with the value <INSDQualifier_value>breed: Cukorova</INSDQualifier_value>

## SECTION 7: FEATURE KEYS FOR AMINO ACID SEQUENCES

This section contains the list of allowed feature keys to be used for amino acid sequences. The feature keys are listed in alphabetic order.

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7.1.	Feature Key	ACT_SITE
	Definition	Amino acid(s) involved in the activity of an enzyme
	Optional qualifiers	NOTE
	Comment	Each amino acid residue of the active site should be annotated separately with the ACT_SITE feature key. The corresponding amino acid residue number should be provided as the location descriptor in the feature location element.

---

7.2.	Feature Key	BINDING
	Definition	Binding site for any chemical group (co-enzyme, prosthetic group, etc.). The chemical nature of the group is indicated in the NOTE qualifier
	Mandatory qualifiers	NOTE
	Comment	Examples of values for the "NOTE" qualifier: "Heme (covalent)" and "Chloride." Where appropriate, the feature keys CA_BIND, DNA_BIND, METAL, and NP_BIND should be used rather than BINDING.

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7.3.	Feature Key	CA_BIND
	Definition	Extent of a calcium-binding region
	Optional qualifiers	NOTE

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7.4.	Feature Key	CARBOHYD
	Definition	Glycosylation site
	Mandatory qualifiers	NOTE
	Comment	This key describes the occurrence of the attachment of a glycan (mono- or polysaccharide) to a residue of the protein. If the nature of the reducing terminal sugar is known, its abbreviation is shown between parentheses. If three dots '...' follow the abbreviation this indicates an extension of the carbohydrate chain. Conversely no dots means that a monosaccharide is linked. The type of linkage (C-, N- or O-linked) to the protein is indicated in the "NOTE" qualifier. Examples of values used in the "NOTE" qualifier: O-linked (GlcNAc); C-linked (Man); N-linked (GlcNAc...); and O-linked (Glc...).

7.5.	Feature Key	CHAIN
	Definition	Extent of a polypeptide chain in the mature protein
	Optional qualifiers	NOTE
7.6.	Feature Key	COILED
	Definition	Extent of a coiled-coil region
	Optional qualifiers	NOTE
7.7.	Feature Key	COMPBIAIS
	Definition	Extent of a compositionally biased region
	Optional qualifiers	NOTE
7.8.	Feature Key	CONFLICT
	Definition	Different sources report differing sequences.
	Optional qualifiers	NOTE
7.9.	Feature Key	CROSSLNK
	Definition	Post translationally formed amino acid bonds.
	Mandatory qualifiers	NOTE
	Comment	Covalent linkages of various types formed between two proteins (interchain cross-links) or between two parts of the same protein (intrachain cross-links); except for cross-links formed by disulfide bonds, for which the "DISULFID" feature key is to be used. For an interchain cross-link, the location descriptor in the feature location element is the residue number of the amino acid cross-linked to the other protein. For an intrachain cross-link, the location descriptors in the feature location element are the residue numbers of the cross-linked amino acids in conjunction with the "join" location operator, e.g. "join(42,50)." The NOTE qualifier indicates the nature of the cross-link; at least specifying the name of the conjugate and the identity of the two amino acids involved. Examples of values for the "NOTE" qualifier: "Isoglutamyl cysteine thioester (Cys-Gln);" "Beta-methylanthionine (Cys-Thr);" and "Glycyl lysine isopeptide (Lys-Gly) (interchain with G-cter in ubiquitin)"
7.10.	Feature Key	DISULFID
	Definition	Disulfide bond
	Optional qualifiers	NOTE
	Comment	For an interchain disulfide bond, the location descriptor in the feature location element is the residue number of the cysteine linked to the other protein. For an intrachain cross-link, the location descriptors in the feature location element are the residue numbers of the linked cysteines in conjunction with the "join" location operator, e.g. "join(42,50)". For interchain disulfide bonds, the NOTE qualifier indicates the nature of the cross-link, by identifying the other protein, for example, "Interchain (between A and B chains)"

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7.11.	Feature Key	DNA_BIND
	Definition	Extent of a DNA-binding region
	Mandatory qualifiers	NOTE
	Comment	The nature of the DNA-binding region is given in the NOTE qualifier. Examples of values for the "NOTE" qualifier: "Homeobox" and "Myb 2"

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7.12.	Feature Key	DOMAIN
	Definition	Extent of a domain, which is defined as a specific combination of secondary structures organized into a characteristic three-dimensional structure or fold
	Mandatory qualifiers	NOTE
	Comment	The domain type is given in the NOTE qualifier. Where several copies of a domain are present, the domains are numbered. Examples of values for the "NOTE" qualifier: "Ras-GAP" and "Cadherin 1"

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7.13.	Feature Key	HELIX
	Definition	Secondary structure: Helices, for example, Alpha-helix; 3(10) helix; or Pi-helix
	Optional qualifiers	NOTE
	Comment	This feature is used only for proteins whose tertiary structure is known. Only three types of secondary structure are specified: helices (key HELIX), beta-strands (key STRAND) and turns (key TURN). Residues not specified in one of these classes are in a 'loop' or 'random-coil' structure.

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7.14.	Feature Key	INIT_MET
	Definition	Initiator methionine
	Optional qualifiers	NOTE
	Comment	The location descriptor in the feature location element is "1". This feature key indicates the N-terminal methionine is cleaved off. This feature is not used when the initiator methionine is not cleaved off.

---

7.15.	Feature Key	INTRAMEM
	Definition	Extent of a region located in a membrane without crossing it
	Optional qualifiers	NOTE

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7.16.	Feature Key	LIPID
	Definition	Covalent binding of a lipid moiety
	Mandatory qualifiers	NOTE
	Comment	The chemical nature of the bound lipid moiety is given in the NOTE qualifier, indicating at least the name of the lipidated amino acid. Examples of values for the "NOTE" qualifier: "N-myristoyl glycine"; "GPI-anchor amidated serine" and "S-diacylglycerol cysteine."

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7.17.	Feature Key	METAL
	Definition	Binding site for a metal ion.
	Mandatory qualifiers	NOTE
	Comment	The NOTE qualifier indicates the nature of the metal. Examples of values for the "NOTE" qualifier: "Iron; catalytic" and "Copper".

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7.18.	Feature Key	MOD_RES
	Definition	Posttranslational modification of a residue
	Mandatory qualifiers	NOTE
	Comment	The chemical nature of the modified residue is given in the NOTE qualifier, indicating at least the name of the post-translationally modified amino acid. If the modified amino acid is listed in Table 4 of this Annex, the abbreviation may be used in place of the the full name. Examples of values for the "NOTE" qualifier: "N-acetylalanine"; "3-Hyp"; and "MeLys" or "N-6-methyllysine"

---

7.19.	Feature Key	MOTIF
	Definition	Short (up to 20 amino acids) sequence motif of biological interest
	Optional qualifiers	NOTE

---

7.20.	Feature Key	MUTAGEN
	Definition	Site which has been experimentally altered by mutagenesis
	Optional qualifiers	NOTE

---

7.21.	Feature Key	NON_STD
	Definition	Non-standard amino acid
	Optional qualifiers	NOTE
	Comment	This key describes the occurrence of non-standard amino acids selenocysteine (U) and pyrrolysine (O) in the amino acid sequence.

---

7.22.	Feature Key	NON_TER
	Definition	The residue at an extremity of the sequence is not the terminal residue
	Optional qualifiers	NOTE
	Comment	If applied to position 1, this means that the first position is not the N-terminus of the complete molecule. If applied to the last position, it means that this position is not the C-terminus of the complete molecule.

---

7.23.	Feature Key	NP_BIND
	Definition	Extent of a nucleotide phosphate-binding region
	Mandatory qualifiers	NOTE
	Comment	The nature of the nucleotide phosphate is indicated in the NOTE qualifier. Examples of values for the "NOTE" qualifier: "ATP" and "FAD".

7.24.	Feature Key	PEPTIDE
	Definition	Extent of a released active peptide
	Optional qualifiers	NOTE
7.25.	Feature Key	PROPEP
	Definition	Extent of a propeptide
	Optional qualifiers	NOTE
7.26.	Feature Key	REGION
	Definition	Extent of a region of interest in the sequence
	Optional qualifiers	NOTE
7.27.	Feature Key	REPEAT
	Definition	Extent of an internal sequence repetition
	Optional qualifiers	NOTE
7.28.	Feature Key	SIGNAL
	Definition	Extent of a signal sequence (prepeptide)
	Optional qualifiers	NOTE
7.29.	Feature Key	SITE
	Definition	Any interesting single amino-acid site on the sequence that is not defined by another feature key. It can also apply to an amino acid bond which is represented by the positions of the two flanking amino acids
	Mandatory qualifier	NOTE
	Comment	When SITE is used to annotate a modified amino acid the value for the qualifier "NOTE" must either be an abbreviation set forth in Section 4 of this Annex, Table 4, or the complete, unabbreviated name of the modified amino acid.
7.30.	Feature Key	SOURCE
	Definition	Identifies the source of the sequence; this key is mandatory; every sequence will have a single SOURCE feature spanning the entire sequence
	Mandatory qualifiers	MOL_TYPE ORGANISM
	Optional qualifiers	NOTE

7.31.	Feature Key	STRAND
	Definition	Secondary structure: Beta-strand; for example Hydrogen bonded beta-strand or residue in an isolated beta-bridge
	Optional qualifiers	NOTE
	Comment	This feature is used only for proteins whose tertiary structure is known. Only three types of secondary structure are specified: helices (key HELIX), beta-strands (key STRAND) and turns (key TURN). Residues not specified in one of these classes are in a 'loop' or 'random-coil' structure.
7.32.	Feature Key	TOPO_DOM
	Definition	Topological domain
	Optional qualifiers	NOTE
7.33.	Feature Key	TRANSMEM
	Definition	Extent of a transmembrane region
	Optional qualifiers	NOTE
7.34.	Feature Key	TRANSIT
	Definition	Extent of a transit peptide (mitochondrion, chloroplast, thylakoid, cyanelle, peroxisome etc.)
	Optional qualifiers	NOTE
7.35.	Feature Key	TURN
	Definition	Secondary structure Turns, for example, H-bonded turn (3-turn, 4-turn or 5-turn)
	Optional qualifiers	NOTE
	Comment	This feature is used only for proteins whose tertiary structure is known. Only three types of secondary structure are specified: helices (key HELIX), beta-strands (key STRAND) and turns (key TURN). Residues not specified in one of these classes are in a 'loop' or 'random-coil' structure.
7.36.	Feature Key	UNSURE
	Definition	Uncertainties in the amino acid sequence
	Optional qualifiers	NOTE
	Comment	Used to describe region(s) of an amino acid sequence for which the authors are unsure about the sequence presentation.
7.37.	Feature Key	VARIANT
	Definition	Authors report that sequence variants exist.
	Optional qualifiers	NOTE

---

7.38.	Feature Key	VAR_SEQ
	Definition	Description of sequence variants produced by alternative splicing, alternative promoter usage, alternative initiation and ribosomal frameshifting
	Optional qualifiers	NOTE

---

7.39.	Feature Key	ZN_FING
	Definition	Extent of a zinc finger region
	Mandatory qualifiers	NOTE
	Comment	The type of zinc finger is indicated in the NOTE qualifier. For example: "GATA-type" and "NR C4-type"

## SECTION 8: QUALIFIERS FOR AMINO ACID SEQUENCES

This section contains the list of allowed qualifiers to be used for amino acid sequences.

---

8.1.	Qualifier	MOL_TYPE
	Definition	In vivo molecule type of sequence
	Value format	protein
	Example	<INSDQualifier_value>protein</INSDQualifier_value>
	Comment	The "MOL_TYPE" qualifier is mandatory on the SOURCE feature key.

---

8.2.	Qualifier	NOTE
	Definition	Any comment or additional information
	Value format	free text
	Example	<INSDQualifier_value>Heme (covalent)</INSDQualifier_value>
	Comment	The "NOTE" qualifier is mandatory for the feature keys: BINDING; CARBOHYD; CROSSLNK; DISULFID; DNA_BIND; DOMAIN; LIPID; METAL; MOD_RES; NP_BIND and ZN_FING

---

8.3.	Qualifier	ORGANISM
	Definition	Scientific name of the organism that provided the peptide
	Value format	free text
	Example	<INSDQualifier_value>Homo sapiens</INSDQualifier_value>
	Comment	The "ORGANISM" qualifier is mandatory for the SOURCE feature key.







**ST.26 - ANNEX II**

DOCUMENT TYPE DEFINITION FOR SEQUENCE LISTING (DTD)

Final Draft

*Proposal presented by the SEQL Task Force for consideration and adoption at the CWS/4*

```
<?xml version="1.0" encoding="UTF-8"?>
<!--Annex II of ST.26, Document Type Definition (DTD) for Sequence Listing

This entity may be identified by the PUBLIC identifier:
*****
PUBLIC "-//WIPO//DTD SEQUENCE LISTING 1.0//EN" "ST26SequenceListing_V1_0.dtd"
*****

*****

* PUBLIC DTD URL

* http://www.wipo.int/standards/DTD/ST26SequenceListing_V1_0.dtd
*****

Recommended Standard for the presentation of nucleotide and amino acid sequence listings
using XML (eXtensible Markup Language)

*****
* CONTACTS
*****

xml.standards@wipo.int

Date draft created: 2014-03-11

*****
* NOTES
*****
The sequence data part is a subset of the complete INSDC DTD that only covers
the requirements of WIPO Standard ST.26.

*****
* REVISION HISTORY
*****
2014-03-11

Final draft for adoption.
*****

ST26SequenceListing
*****
* ROOT ELEMENT
*****
-->
<!ELEMENT ST26SequenceListing ((ApplicantFileReference | (
    ApplicationIdentification,ApplicantFileReference?)),
    EarliestPriorityApplicationIdentification?,(ApplicantName,
    ApplicantNameLatin?)?,(InventorName,InventorNameLatin?)?,
    InventionTitle+,SequenceTotalQuantity,SequenceData+) >

<!--The elements ApplicantName and InventorName are optional in this DTD to facilitate
the conversion between various encoding schemes-->
<!ATTLIST ST26SequenceListing
    dtdVersion CDATA #REQUIRED
    fileName CDATA #IMPLIED
    softwareName CDATA #IMPLIED
    softwareVersion CDATA #IMPLIED
    productionDate CDATA #IMPLIED >

<!--ApplicantFileReference
```

Applicant's or agent's file reference, mandatory if application identification not provided.

```
-->  
<!ELEMENT ApplicantFileReference (#PCDATA) >
```

```
<!--ApplicationIdentification  
Application identification for which the sequence listing is submitted, when available.  
-->
```

```
<!ELEMENT ApplicationIdentification (IPOfficeCode?,ApplicationNumberText,  
    FilingDate?) >
```

```
<!--EarliestPriorityApplicationIdentification  
Application identification of the earliest claimed priority, which Contains IPOfficeCode,  
ApplicationNumberText and FilingDate elements.  
-->
```

```
<!ELEMENT EarliestPriorityApplicationIdentification (IPOfficeCode?,  
    ApplicationNumberText,FilingDate?) >
```

```
<!--ApplicantName  
The name of the first mentioned applicant in characters set forth in paragraph 40 a) of the  
ST.26 main body document.  
-->
```

```
<!--languageCode: Appropriate language code from ISO 639-1 - Codes for the representation  
of names of languages - Part 1: Alpha-2  
-->
```

```
<!ELEMENT ApplicantName (#PCDATA) >  
<!ATTLIST ApplicantName  
    languageCode CDATA #REQUIRED >
```

```
<!--ApplicantNameLatin  
Where ApplicantName is typed in characters other than those as set forth in paragraph 40  
b), a translation or transliteration of the name of the first mentioned applicant must also  
be typed in characters as set forth in paragraph 40 b).  
-->
```

```
<!ELEMENT ApplicantNameLatin (#PCDATA) >
```

```
<!--InventorName  
Name of the first mentioned inventor typed in the characters as set forth in paragraph 40  
a).-->
```

```
<!--languageCode: Appropriate language code from ISO 639-1 - Codes for the representation  
of names of languages - Part 1: Alpha-2  
-->
```

```
<!ELEMENT InventorName (#PCDATA) >  
<!ATTLIST InventorName  
    languageCode CDATA #REQUIRED >
```

```
<!--InventorNameLatin  
Where InventorName is typed in characters other than those as set forth in paragraph 40 b),  
a translation or transliteration of the first mentioned inventor may also be typed in  
characters as set forth in paragraph 40 b).  
-->
```

```
<!ELEMENT InventorNameLatin (#PCDATA) >
```

```
<!--InventionTitle  
Title of the invention typed in the characters as set forth in paragraph 40 a) in the  
language of filing. A translation of the title of the invention into additional languages  
may be typed in the characters as set forth in paragraph 40 a) using additional  
InventionTitle elements. Preferably two to seven words.  
-->
```

```
<!--languageCode: Appropriate language code from ISO 639-1 - Codes  
for the representation of names of languages - Part 1: Alpha-2  
-->
```

```
<!ELEMENT InventionTitle (#PCDATA) >  
<!ATTLIST InventionTitle  
    languageCode CDATA #REQUIRED >
```

```
<!--SequenceTotalQuantity  
Indicates the total number of sequences in the document.  
Its purpose is to be quickly accessible for automatic processing.  
-->
```

```
<!ELEMENT SequenceTotalQuantity (#PCDATA) >
```

```
<!--SequenceData  
Data for individual Sequence.
```

For intentionally skipped sequences see the ST.26 main body document.

```
-->
<!ELEMENT SequenceData (INSDSeq) >
<!ATTLIST SequenceData
    sequenceIDNumber CDATA #REQUIRED >

<!--IPOfficeCode
ST.3 code. For example, if the application identification is PCT/IB2013/099999, then
IPOfficeCode value will be IB.
-->
<!ELEMENT IPOfficeCode (#PCDATA) >

<!--ApplicationNumberText
The application identification as provided by the office of filing (eg. PCT/IB2013/099999)
-->
<!ELEMENT ApplicationNumberText (#PCDATA) >

<!--FilingDate
The date of filing of the patent application for which the sequence listing is submitted
ST.2 format (paragraphs 7 (a) and 11) "CCYY-MM-DD", using a 4-digit calendar year, a 2-
digit calendar month and a 2-digit day within the calendar month, e.g., 2015-01-31
-->
<!ELEMENT FilingDate (#PCDATA) >
```

```
<!--*****
* INSD Part
*****
```

The purpose of the INSD part of this DTD is to define a customized DTD for sequence listings to support the work of IP offices while facilitating the data exchange with the public repositories.

The INSD part is subset of the INSD DTD v1.4 and as such can only be used to generate an XML instance as it will not support the complete INSD structure.

This part is based on:

The International Nucleotide Sequence Database (INSD) collaboration.

INSDSeq provides the elements of a sequence as presented in the GenBank/EMBL/DDBJ-style flatfile formats. Not all elements are used here.

```
-->
```

```
<!--INSDSeq
Sequence data.
-->
<!ELEMENT INSDSeq (INSDSeq_length,INSDSeq_moltype,INSDSeq_division,
    INSDSeq_other-seqids?,INSDSeq_feature-table?,INSDSeq_sequence) >
```

```
<!--INSDSeq_length
-->
<!ELEMENT INSDSeq_length (#PCDATA) >
```

```
<!--INSDSeq_moltype
Admissible values: DNA, RNA, AA
-->
<!ELEMENT INSDSeq_moltype (#PCDATA) >
```

```
<!--INSDSeq_division
Indication that a sequence is related to a patent application. Must be populated with the
value PAT.
-->
<!ELEMENT INSDSeq_division (#PCDATA) >
```

```
<!--INSDSeq_other-seqids
In the context of data exchange with database providers, the Patent Offices should populate
for each sequence the element INSDSeq_other-seqids with one INSDSeqid containing a
reference to the corresponding published patent and the sequence identification.
-->
<!ELEMENT INSDSeq_other-seqids (INSDSeqid?) >
```

```
<!--INSDSeq_feature-table
Information on the location and roles of various regions within a particular sequence.
Whenever the element INSDSeq_feature-table is used, it must contain at least one feature.
```

```
-->
<!ELEMENT INSDSeq_feature-table (INSDFeature+) >

<!--INSDSeq_sequence
The residues of the sequence. The sequence must not contain numbers, punctuation or
whitespace characters.
-->
<!ELEMENT INSDSeq_sequence (#PCDATA) >

<!--INSDSeqid
Intended for the use of Patent Offices in data exchange only.

Format:
pat|{office code}|{publication number}|{document kind code}|{Sequence identification
number}

where office code is the code of the IP office publishing the patent document, publication
number is the publication number of the application or patent, document kind code is the
letter codes to distinguish patent documents as defined in ST.16 and Sequence
identification number is the number of the sequence in that application or patent

Example:
pat|WO|2013999999|A1|123456

This represents the 123456th sequence from WO patent publication No. 2013999999 (A1)
-->
<!ELEMENT INSDSeqid (#PCDATA) >

<!--INSDFeature
Description of one feature.
-->
<!ELEMENT INSDFeature (INSDFeature_key,INSDFeature_location,INSDFeature_qual?) >

<!--INSDFeature_key
A word or abbreviation indicating a feature.
-->
<!ELEMENT INSDFeature_key (#PCDATA) >

<!--INSDFeature_location
Region of the presented sequence which corresponds to the feature.
-->
<!ELEMENT INSDFeature_location (#PCDATA) >

<!--INSDFeature_qual
List of qualifiers containing auxiliary information about a feature.
-->
<!ELEMENT INSDFeature_qual (INSDQualifier*) >

<!--INSDQualifier
Additional information about a feature.
For coding sequences and variants see the ST.26 main body document.
-->
<!ELEMENT INSDQualifier (INSDQualifier_name,INSDQualifier_value?) >

<!--INSDQualifier_name
Name of the qualifier.
-->
<!ELEMENT INSDQualifier_name (#PCDATA) >

<!--INSDQualifier_value
Value of the qualifier.
-->
<!ELEMENT INSDQualifier_value (#PCDATA) >
```

[Annex III to ST.26 follows]

## ST.26 - ANNEX III

## SEQUENCE LISTING SPECIMEN (XML file)

Final Draft

*Proposal presented by the SEQL Task Force for consideration and adoption at the CWS/4*

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE ST26SequenceListing PUBLIC "-//WIPO//DTD SEQUENCE LISTING 1.0//EN"
ST26SequenceListing_V1_0.dtd">
<ST26SequenceListing dtdVersion="V1_0" fileName="AnnexIII_Sequence_Listing_Specimen.xml"
softwareName="SEQL-software-name" softwareVersion="1.0" productionDate="2013-12-17">
  <ApplicationIdentification>
    <IPOfficeCode>IB</IPOfficeCode>
    <ApplicationNumberText>PCT/IB2015/099999</ApplicationNumberText>
    <FilingDate>2015-01-31</FilingDate>
  </ApplicationIdentification>
  <ApplicantFileReference>AB123</ApplicantFileReference>
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    <ApplicationNumberText>PCT/IB2014/111111</ApplicationNumberText>
    <FilingDate>2014-01-30</FilingDate>
  </EarliestPriorityApplicationIdentification>
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  <ApplicantNameLatin>Shutsugan Pharmaceuticals Kabushiki Kaisha</ApplicantNameLatin>
  <InventorName languageCode="JA">特許 太郎</InventorName>
  <InventorNameLatin>Taro Tokkyo</InventorNameLatin>
  <InventionTitle languageCode="JA">efgタンパク質のためのマウスabcd-1遺伝子</InventionTitle>
  <InventionTitle languageCode="EN">Mus musculus abcd-1 gene for efg protein
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```

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```

```

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      </INSDQualifier>
      <INSDQualifier>
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          <INSDQualifier>
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```

```
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          </INSDQualifier>
          <INSDQualifier>
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          </INSDQualifier_value>
          </INSDQualifier>
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[Annex IV to ST.26 follows]

**ST.26 - ANNEX IV**

CHARACTER SUBSET FROM THE UNICODE BASIC LATIN CODE TABLE

Final Draft

*Proposal presented by the SEQL Task Force for consideration and adoption at the CWS/4*

The ampersand character (0026) is only permitted as part of a predefined entity or as part of a numeric character reference (&#nnnn;). The quotation mark (0022), the apostrophe (0027), the less-than sign (003C), and the greater-than sign (003E) are not permitted and must be represented by their predefined entities.

Unicode code point	Character	Name
0020		SPACE
0021	!	EXCLAMATION MARK
0023	#	NUMBER SIGN
0024	\$	DOLLAR SIGN
0025	%	PERCENT SIGN
0026	&	AMPERSAND
0028	(	LEFT PARENTHESIS
0029	)	RIGHT PARENTHESIS
002A	*	ASTERISK
002B	+	PLUS SIGN
002C	,	COMMA
002D	-	HYPHEN-MINUS
002E	.	FULL STOP
002F	/	SOLIDUS
0030	0	DIGIT ZERO
0031	1	DIGIT ONE
0032	2	DIGIT TWO
0033	3	DIGIT THREE
0034	4	DIGIT FOUR
0035	5	DIGIT FIVE
0036	6	DIGIT SIX
0037	7	DIGIT SEVEN
0038	8	DIGIT EIGHT
0039	9	DIGIT NINE
003A	:	COLON
003B	;	SEMICOLON
003D	=	EQUALS SIGN
003F	?	QUESTION MARK
0040	@	COMMERCIAL AT
0041	A	LATIN CAPITAL LETTER A
0042	B	LATIN CAPITAL LETTER B
0043	C	LATIN CAPITAL LETTER C
0044	D	LATIN CAPITAL LETTER D
0045	E	LATIN CAPITAL LETTER E
0046	F	LATIN CAPITAL LETTER F
0047	G	LATIN CAPITAL LETTER G
0048	H	LATIN CAPITAL LETTER H
0049	I	LATIN CAPITAL LETTER I
004A	J	LATIN CAPITAL LETTER J
004B	K	LATIN CAPITAL LETTER K
004C	L	LATIN CAPITAL LETTER L
004D	M	LATIN CAPITAL LETTER M
004E	N	LATIN CAPITAL LETTER N
004F	O	LATIN CAPITAL LETTER O
0050	P	LATIN CAPITAL LETTER P
0051	Q	LATIN CAPITAL LETTER Q
0052	R	LATIN CAPITAL LETTER R
0053	S	LATIN CAPITAL LETTER S
0054	T	LATIN CAPITAL LETTER T
0055	U	LATIN CAPITAL LETTER U

Unicode code point	Character	Name
0056	V	LATIN CAPITAL LETTER V
0057	W	LATIN CAPITAL LETTER W
0058	X	LATIN CAPITAL LETTER X
0059	Y	LATIN CAPITAL LETTER Y
005A	Z	LATIN CAPITAL LETTER Z
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005C	\	REVERSE SOLIDUS
005D	]	RIGHT SQUARE BRACKET
005E	^	CIRCUMFLEX ACCENT
005F	_	LOW LINE
0060	`	GRAVE ACCENT
0061	a	LATIN SMALL LETTER A
0062	b	LATIN SMALL LETTER B
0063	c	LATIN SMALL LETTER C
0064	d	LATIN SMALL LETTER D
0065	e	LATIN SMALL LETTER E
0066	f	LATIN SMALL LETTER F
0067	g	LATIN SMALL LETTER G
0068	h	LATIN SMALL LETTER H
0069	i	LATIN SMALL LETTER I
006A	j	LATIN SMALL LETTER J
006B	k	LATIN SMALL LETTER K
006C	l	LATIN SMALL LETTER L
006D	m	LATIN SMALL LETTER M
006E	n	LATIN SMALL LETTER N
006F	o	LATIN SMALL LETTER O
0070	p	LATIN SMALL LETTER P
0071	q	LATIN SMALL LETTER Q
0072	r	LATIN SMALL LETTER R
0073	s	LATIN SMALL LETTER S
0074	t	LATIN SMALL LETTER T
0075	u	LATIN SMALL LETTER U
0076	v	LATIN SMALL LETTER V
0077	w	LATIN SMALL LETTER W
0078	x	LATIN SMALL LETTER X
0079	y	LATIN SMALL LETTER Y
007A	z	LATIN SMALL LETTER Z
007B	{	LEFT CURLY BRACKET
007C		VERTICAL LINE
007D	}	RIGHT CURLY BRACKET
007E	~	TILDE

[Annex V to ST.26 follows]

## ST.26 - ANNEX V

### ADDITIONAL DATA EXCHANGE REQUIREMENTS (FOR PATENT OFFICES ONLY)

Final Draft

*Proposal presented by the SEQL Task Force for consideration and adoption at the CWS/4*

In the context of data exchange with database providers (INSID members), the Patent Offices should populate for each sequence the element `INSDSeq_other-seqids` with one `INSDSeqid` containing a reference to the corresponding published patent and the sequence identification number in the following format:

`pat|{office code}|{publication number}|{document kind code}|{sequence identification number}`

where office code is the code of the IP office publishing the patent document as set forth in ST.3; document kind code is the code for the identification of different kinds of patent documents as set forth in ST.16; publication number is the publication number of the application or patent; and Sequence identification number is the number of the sequence in that application or patent.

Example:

`pat|WO|2013999999|A1|123456`

Which would be translated into a valid XML instance as:

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</INSDSeq_other-seqids>
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Where "123456" is the 123456th sequence from the WO publication no. 2013999999 (A1).

[End of Annex II and of document]