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Committee on WIPO Standards (CWS)

First Session Geneva, October 25 to 29, 2010

QUESTIONNAIRE ON APPLICATION NUMBERING (TASK NO. 30)

Document prepared by the Secretariat

- 1. The former Standards and Documentation Working Group (SDWG) of the Standing Committee on Information Technologies (SCIT), at its tenth session, in November 2008, agreed that the ST.10/C Task Force should prepare a questionnaire to survey industrial property offices (IPOs) regarding application numbers used. The issues to be addressed in the questionnaire were considered at the eleventh session of the SDWG, held in October 2009, on the basis of a proposal prepared by the Task Force. The SDWG requested the ST.10/C Task Force to prepare specific draft questionnaires for each of the three industrial property modalities (patents, trademarks and industrial designs) focusing only on application and priority application numbers used by the IPOs at present. (See documents SCIT/SDWG/10/12, paragraph 22, and SCIT/SDWG/11/14, paragraphs 23 26.)
- 2. In accordance with the above-mentioned request by the SDWG, the ST.10/C Task Force has prepared a draft questionnaire on application numbering for consideration and approval by the Committee on WIPO Standards (CWS) at its first session. The draft questionnaire is reproduced in the Annex to the present document. The report of the ST.10/C Task Force prepared by the Task Force Leader is published separately and is available on the WIPO website (http://www.wipo.int/meetings/en/details.jsp?meeting_id=20212).
- 3. With regard to the recommendations provided by WIPO Standard ST.13 for application numbers, the SDWG, at its eighth session, held in March 2007, agreed on maintaining, in the WIPO Handbook on Industrial Property Information Documentation (WIPO Handbook), a list of industrial property right type codes, internal use codes (within the nine-digit serial number) and the control character (check digit) that are unique to an individual IPO. The question was further discussed during ninth and tenth sessions of the SDWG and, according to the final decision taken by the SDWG at its eleventh session,

these issues were taken into consideration by the ST.10/C Task Force and are reflected in the draft questionnaire annexed hereto. (See documents SCIT/SDWG/8/14, paragraph 16; SCIT/SDWG/9/12, paragraph 19; SCIT/SDWG/10/12, paragraph 21; and SCIT/SDWG/11/14, paragraph 23.)

- 4. Taking into account the complexity of the survey and in order to simplify preparing the responses by the IPOs, the ST.10/C Task Force came to the conclusion that it would be more expedient to prepare one questionnaire for all industrial property modalities, while inviting IPOs to provide separate responses on each of the numbering systems implemented in their practice.
- 5. As stated in the report of the Leader of ST.10/C Task Force, it is proposed that if the questionnaire mentioned above is approved by the CWS, then the Committee will request the Secretariat to carry out the following subsequent actions:
 - prepare and issue a circular inviting IPOs to complete the questionnaire;
 - prepare summary of responses to the questionnaire and collated results of the survey; and
 - publish the finalized results of the survey in Part 7 of the WIPO Handbook.
- Considering that the WIPO STAD database, which is under development at present, will
 include a survey tool for conducting surveys, the Secretariat proposes that the survey
 referred to above is conducted once the said survey tool is operational, and subsequently
 published in WIPO STAD.
- 7. It should be noted that, at its eleventh session, the SDWG agreed that, once the present survey is completed, a new survey on application and priority application numbers used by the IPOs in the past would be conducted as the second stage of the exercise (see document SCIT/SDWG/11/14, paragraph 25).
 - 8. The CWS is invited to:
 - (a) consider and approve the questionnaire on application numbering as reproduced in the Annex to this document;
 - (b) consider and approve the actions to be undertaken by the Secretariat as specified in paragraph 5 above;
 - (c) consider and approve the proposal indicated in paragraph 6, above, regarding the time frame for the survey on application numbering systems currently used by IPOs; and
 - (d) establish a task requesting the ST.10/C Task Force to prepare a questionnaire for conducting a new survey as indicated in paragraph 7 above.

[Annex follows]

Questionnaire on Application Numbering

INTRODUCTION

- The revised version of WIPO Standard ST.13 was adopted by the Standards and Documentation Working Group (SDWG) of the Standing Committee on Information Technologies (SCIT) in February 2008. This revision introduced guidelines on a prototype numbering format of application numbers, including guidelines for coding the types of industrial property rights (IPRs), codes for internal use, control numbers, etc.
- 2. Application numbers are assigned by industrial property offices (IPOs) in order to identify each application received, and are used by applicants and other offices, for instance, when the priority is claimed. It is important that IPOs, applicants, and users of IP information are always able to identify application numbers, and particularly priority application numbers, as well as their parts, in a unique, clear, and unambiguous manner.
- 3. The term priority application number in the context of this questionnaire is the reference to an application for a patent, trademark, design or any other IPR, previously received by the Office/Organization, and to which the applicant wishes to refer in a further application, usually filed with another Office/Organization. Generally, these numbers are provided on priority documents or priority certificates.
- 4. IPOs use a variety of codes in their application numbers, for instance, for coding types of IPRs, regional filing information, and check digits. The codes used by each IPO are unique, and the detailed information on them, as well as on the application number format, might be very important for other IPOs to facilitate their subsequent procedures, e.g. when claiming a priority right.
- 5. The SDWG requested the International Bureau to conduct a survey on application and priority application numbering systems currently used by the IPOs. The International Bureau should also maintain a list of codes for the types of IPRs, the codes for internal use and the control numbers (check digits) used by the IPOs in the WIPO Handbook on Industrial Property Information and Documentation (WIPO Handbook).
- 6. The main part of the present Questionnaire (Questions 1 11) is devoted to application numbering systems. Question 12 concentrates on priority application numbering in details. Question 13 relates to the compliance of the IPO's practices with WIPO Standard ST.13. Examples of application numbers and priority application numbers along with relevant remarks are to be provided in response to Question 14.
- 7. Please note that the objective of this questionnaire is to collect information about numbering systems currently implemented in the IPOs. It is expected that once this exercise is completed the ST.10/C Task Force will prepare a questionnaire to conduct a second survey to collect information about numbering systems used by the IPOs in the past.

BACKGROUND DOCUMENTS

- 8. The following WIPO Standards are of relevance to this survey:
 - WIPO Standard ST.13: http://www.wipo.int/standards/en/pdf/03-13-01.pdf
 - WIPO Standard ST.10/C: http://www.wipo.int/standards/en/pdf/03-10-c.pdf
- 9. Part 7.2 "Numbering systems" of the WIPO Handbook could be also helpful when you prepare your response to this questionnaire: http://www.wipo.int/standards/en/part_07.html.
- 10. Working materials of the SDWG and the ST.10/C Task Force are available on the following web-pages: http://www.wipo.int/meetings/en/topic.jsp?group_id=120, http://www.wipo.int/cws/en/taskforce/st10c/background.htm.

INSTRUCTIONS REGARDING COMPLETION OF THIS QUESTIONNAIRE

One copy per numbering system

Offices administering the variety of IPRs might have more than one numbering system. Firstly the person who responds to the Questionnaire on behalf of the Office/Organization has to determine the number of different application numbering systems implemented in the Office/Organization. Let this number be N.

Example 1:

A given office administers trademarks, industrial designs, and different kinds of patents (national patents, PCT, plant patents).

All kinds of patent applications have a numbering system which looks like this:

10 2008 123456 patent application filed in 2008 with the serial number 123456

12 2008 666777 plant patent application filed in the same year with serial

number 666777

14 2008 987654 PCT international application filed in 2008 with serial number 987654

At the same office, trademark and industrial design applications follow a different numbering system:

123456 T 08 trademark application with serial number 123456 filed in 2008

123456 D 08 industrial design application filed in the same year.

Thus, the Office has two numbering systems, N=2

Next, please copy the following pages (3 to 14, Questions 1 - 14 and Contact details) N times, and fill in a copy for each numbering system your Office/Organization uses. Please enter the number of the copy (and the total number of copies) in the header on each page.

In the Example 1 above, the first copy of pages 3 to 14, relating to patents, would be marked "1 of 2", the second copy of pages 3 to 14 relating to trademarks and designs would be marked "2 of 2".

Help/Questions

If you require further clarifications or any assistance in filling out the questionnaire, please contact the International Bureau at cws.mail@wipo.int. It would be appreciated if a reference to "Questionnaire on application numbering" is quoted in all correspondence.

Please copy the following pages to fill in a survey for each numbering system implemented in your	Co	у	_ of
Office/Organization. See introductory part for explanation.	Please copy the following pages to fill in a survey for each numbering system implement Office/Organization. See introductory part for explanation.	ed in y	our/

This copy of the questionnaire relates to one of numbering systems implemented in your Office/Organization. Please provide below contact details of the person the International Bureau can contact regarding the response on a given numbering system.

CONTACT DETAILS

Name:	
Industrial Property Office (IPO):	(ST.3 two-letter country/organization code)
Email address:	

TYPES OF INTELLECTUAL PROPERTY RIGHTS (IPRs) COVERED BY THIS COPY OF THE QUESTIONNAIRE

Question 1

Please indicate which types of IPRs are numbered using this system

_		
\sqcup	(a)	Patents (excluding the types listed below)
Ш	(b)	International applications filed under the PCT (PCT international phase)
	(c)	International patent applications under the PCT (PCT applications in the national
		phase)
	(d)	Provisional patent applications (Provisional patents)
	(e)	Innovation/simple/short-term/petty patent applications (Innovations)
	(f)	Plant patents
	(g)	Design patents
	(h)	SPCs (Supplementary Protection Certificates)
	(i)	Utility Models / Utility certificates
	(j)	International utility model applications under the PCT (PCT applications in the national
		phase)
	(k)	Trademarks
	(I)	Industrial designs
	(m)	Layout-designs (topographies) of integrated circuits
	(n)	Other (please specify):
	(o)	Other (please specify):
	(p)	Other (please specify):

Example 2:

Relating to Example 1 in the introductory section, the office would have copied this page and the following pages twice, one – for the numbering system used for patent (and patent-like) applications, and another – for the numbering system used fortrade mark and design applications.

In the first copy, the office would tick all check boxes for the patents it numbers using the first system (i.e. boxes a, b, and f).

In the second copy, the office would then tick the check boxes for trademarks and designs (k, l) which are numbered using the second system.

Please specify when this current numbering system was first introduced at your office (date or year):

Сору с	of								
PARTS OF APPLICATION NUMBERS									
WIPO Standard ST.13 provides that the indispensable part of an application number should consa code for the type of IPR, the year designation, and the serial number.	ist of								
Question 2									
Which of these parts does your Office/Organization's application numbering system, covered by this copy of the questionnaire, consist of (please check the appropriate boxes)? If the numbering system in question has additional parts, please specify them briefly:									
Code for the type of IPR Year designation Serial number Additional part/s:									
Example 3: A fictional office has three sub-offices A, B, and C and codes the receiving office in the application number as an additional part. Then the office would indicate "receiving office code" in the last line.									
Additional comments, if any:									
ORDERING OF PARTS									
WIPO Standard ST.13 recommends the ordering (sequence) of parts of an application number to <type> <year> <serial number="">.</serial></year></type>	be:								
Question 3									
Please indicate what is the ordering of the parts of an application number in the numbering system covered by this copy of the questionnaire:	m								
<pre>Sequence <type><year><serial number=""> Other (please specify):</serial></year></type></pre>									
Example 4:									
In the case described in Example 3 above, a different sequence could be <pre><year><receiving office=""><type><serial number=""></serial></type></receiving></year></pre>									
Additional comments, if any:									

					Anne	x, page 5		
					Сору	of		
LEN	NGTH (FIXED/VARIABLE)							
	PO Standard ST.13 recommends using a fix gits for the year designation, and 9 digits fo							
Que	estion 4							
a)	Does your Office/Organization use a nur application numbers?	mbering sys	ten	n of fixed or variable le Variable	ength for			
b)	If you checked "Fixed", please indicate the number of characters used for each part of the application number specified in Question 2.							
	Part of application number: Code for the type of IPR: Year designation: Serial number: Other: Other: Other: Example 5: For the case described in Example follows: - code for the type of IP right - year designation - serial number - Other: receiving office code			Number of characters ve, the response could	 If be as			
c)	If you checked "Variable", please explair application numbers:	n briefly how	/ th	e characters are distril	buted in th	е		

Example 6:

The serial number can have up to 6 digits.

The distribution is: type (2), year (4), serial number (1-6)

Additional comments, if any:

									Сору	of
CODE	CODES FOR TYPE OF IPR									
	IPO Standard ST.13 recommends coding the type of IPR as an indispensable part of the application umber. The following codes are provided for in the Standard:									
	 10-19: patent applications 10: applications for patents for inventions 11: applications for patents from Patent Cooperation Treaty (PCT) applications (PC applications in the national phase) 12-19: office use 									
	20-29:	20: ap	•	or utili	ty models ty models fi	rom PC	СТ арр	olications		
	30-89: office use (applications for other IPRs: industrial designs, trademarks, layout-designs (topographies) of integrated circuits, SPCs, etc.)									
	90-99: reserved for use by the International Bureau of WIPO 91: international applications filed under the PCT in the international phase									
Ques	tion 5									
a)	Does your Office/Organization include the code for the type of IPR in the application number? Yes No									
If you	r answe	r was "No"	, please sk	ip the	remainder o	of this o	questic	on and contin	ue with Quest	ion 6
b)			hether you			ion use	es lette	ers, numerals	or both for co	ding the
		Only lett	ers		Only nume	rals		Both letters	and numerals	
		<u>xample 7</u> : U" for utility	/ model wo	uld be	"Only letter	rs"; "20	" for u	utility models -	- "only numera	ls"
c)	separa	te part of th		on nui	mber in Que				ecify the type or riefly where the	
	7		•	-	n the serial del. All oth				rs in the range	
d)					our Office/O of the ques			uses in applic	ation numbers	for the
			<u>Code</u>			<u>IPR</u>	<u>.</u>			
									- -	

							Сору	of
		Example 9:						
		For the scenario	o described iu	n Example 1	the table would	d he filled in as f	follows:	
		For copy 1 of 2 applications)		•				
		Code	IP right					
		10	patents					
		12	plant pate	nts				
		14	PCT appli					
		For copy 2 of 2			m relating to trs	ademarks and d	esians)	
		Code	IP right	ibering dyolei	mrolating to tre	idomarko aria a	ooigno _j	
		T	trademark	1 0				
		, D	industrial (_				
		D	iriuustriai (uesigns				
Addi	itional	comments, if a	n y :					
YEA	R DES	SIGNATION						
caler corre (elec	ndar. I espond tronic	ndard ST.13 recon Furthermore, it realing digits in the a storage, exchanged display or print p	ecommends the application nu ge or identification	hat if an IPO ımber can be	does not wish t set to "0000" fo	o provide a yea or the machine-ı	r designat readable f	form
Que	stion (5						
a)	Does	s your Office/Org	anization cod	le year inform	nation in the app	plication numbe	r?	
				Yes	☐ No			
	(i)	year? ☐ Yes	was "Yes", do		:e/Organization	use four digits	for coding	j the
	(ii)	_ ☐ Gregor	was "Yes", ad ian Calendar please specif	: <u> </u>		s the information		
	(iii)	If your answer ☐ Year of ☐ Other (oded?			
	(iv)	part of the appl	lication numb information o	er for the yea	r designation (a	evertheless prov as specified in C gits are set for th	Question 2	2),
			sponding digi eadable form	ts are set to "	0000" for the	☐ Yes	☐ No)
			sponding digition on (print and	ts "0000" are display)	provided for	☐ Yes	□No	ı

	Copy of
Addi	tional comments, if any:
SERI	AL NUMBER
recon be en seque neces region	ne serial number as an indispensable part of the application number, WIPO Standard ST.13 numends a fixed length of nine digits to be used at each office's discretion. All nine digits should apployed in the machine-readable form. Leading zeros may be omitted for presentation. Gaps in cential numbering schemes are permitted. The order of assignment of serial numbers does not essarily need to reflect the order of registration. Starting at 1 each year is not necessary. When nal filing information forms a part of the application number, this information must be coded in the wo positions of the serial number.
Ques	tion 7
a)	Does your Office/Organization use a serial number in application numbers?
	☐ Yes ☐ No
	If your answer was "No", please skip the remainder of this question and continue with Question 8.
b)	Does your Office/Organization use a serial number of fixed or variable length?
	☐ Fixed ☐ Variable
	If your answer was "Variable", please explain briefly:
	Example 10: For the case described in Example 6 above the response could be: The serial number begins at 100 each year, and can reach up to six digits at the end of a year. No leading zeros are used.
c)	Are the numbers sequential?
	☐ Yes ☐ No
d)	Are there gaps in the numbering sequences?
	☐ Yes ☐ No
	If your answer was "Yes", please specify briefly how the gaps can occur:
	Example 11: Paper filings begin at 1 each year, electronic filings begin at 50000. At the end of the year, there is usually a gap of about 15000 between the last paper filing and the first electronic filing.
e)	Are leading zeros omitted for presentation (print and display)?
	☐ Yes ☐ No

	Copy of							
f)	Does your Office/Organization use an annual numbering system (i.e. a serial number that restarts every year)?							
	☐ Yes ☐ No							
	If the answer was "Yes", does the numbering start at "1" each year?							
	☐ Yes ☐ No							
Addi	tional comments, if any:							
COD	E FOR INTERNAL USE							
was f in the numb	e IPOs include regional filing information (i.e. the sub-office or state office in which the application iled) in the application number. WIPO Standard ST.13 states that this information can be coded a 9 digit serial number and if included it must be located at the first two positions of the serial her. In this case, these two positions can also be characters. If a country code is used for fying different member offices of intergovernmental organizations, WIPO Standard ST.3 applies.							
Ques	tion 8							
a)	Does your Office/Organization include codes for internal use in the application number?							
	Yes No No							
	If your answer was "No", please skip the remainder of this question and continue with Question 9.							
b)	Where does your Office/Organization code this information?							
	☐ As a part of the serial number							
	Please identify in what position/s this information is coded (leftmost position = 1).							
	Example 12: In digits 1 and 2 of the serial number.							
	☐ As a separate part of the application number (see Questions 2 and 3)							
c)	Which kinds of characters (alphabetic and or numeric) does your Office/Organization use for codes for internal use?							
	□ Numeric characters only							
	☐ Alphabetic characters only ☐ Both numerical and alphabetic characters							

		Сору	of
	Example 13:		
	Three sub-offices in towns A, B, and C, as assumed in Example 3, collows Sub-office in town A: Code "a" Sub-office in town B: Code "b"	uld be coded	d as:
	Sub-office in town C: Code "c"		
Addi	itional comments, if any:		
CON	ITROL NUMBER (CHECK DIGIT)		
shou	O Standard ST.13 recommends the following basic rules for control numbers (ald consist of a single numeral, be in a computer-readable form, and be located nest to the right) of the nine-digit serial number.		
Ques	stion 9		
a)	Does your Office/Organization use a control number (check digit)?		
	Yes, it is a part of the application number Yes, but it is not a part of the application number No		
	If your answer was "No", please skip the remainder of this question and con- Question 10.	tinue with	
b)	Where is the control number located? Please indicate/describe the position	of the check	digit.
	After the application number In part , of the application number	per	
	Example 14:		
	For the system with fixed length of the application numbers		
	In part <serial number="">, digit in position 6 (leftmost position is 1) of application number</serial>	of the	
	For the system with variable length of the application number		
	In part < serial number >, last digit of the application number		
c)	What characters are used as control number (check digit) in your Office/Org	anization?	
	Single numeric character		
	Single alphabetic character		
	Single alphanumeric characterControl number consists of more than one character (please explain)):	
	Example 15: The last answer could be:		_

"The control number can be a number from 1 to 12, or the letter A."

		Сору	of						
d)	Please specify when the control number / check digit is used: In the computer-readable form only								
	For presentation only (print and display) Both in the computer-readable form and for presentation								
e)	What is the algorithm for computing the control number(s)/character(s)?								
			_ _ _						
Additional comments, if any:									
ОТНЕ	ER INFORMATION								
Ques	tion 10								
Do the application numbers described in the above numbering system contain any other information not already covered in this questionnaire? If so, please describe briefly:									
	Example 16: Our office additionally codes e-filing information in the application number. E-f serial numbers 50000-100000, where 50000-74999 are e-filings using epoline, 75000-10000 are e-filings using PCT-SAFE.								
SEPA	RATORS								
applic recom for pre	Standard ST.13 provides that separators may be used for separating different ation number (the type of IPR, the year designation, and the serial number). The mends that separators are not included in the computer-readable form, and shesentation. According to the Standard the following elements may be used as a "/", a hyphen "-" or a space "".	he Standar ould be us	rd ed only						
Ques	tion 11								
a)	Does your Office/Organization use one or more separators in application numbers of the separators of the se	bers?							
	If your answer was "No", please skip the remainder of this question and contin Question 12.	ue with							
b)	Please indicate what separators does your Office/Organization use Slash '/' Hyphen '-' Space ' ' Other (please specify)								

										Сору	OI
c)	Pleas	se describ	e how these sep	ara	tors are ı	used (se	e exa	mple below)):		
		year des number a	17: the type of IPR in ignation and the cand the check diginal in the ch	seri git ti	ial numb here is a	er there i dot. <11	i s a sl PR t <u>y</u>	ash and bet vpe>space	ween the	serial	1
d)	Pleas	se specify	, in which format	s se	eparators	are use	d:				
] For pr	computer-readal esentation only (n the computer-re	prin	t and dis	play)	prese	entation			
Additional comments, if any:											
NUMBERING OF PRIORITY APPLICATIONS											
Ques	tion 1	2									
a)			ce/Organization rapplication num							ty applica	ation
			Ye	S			No				
		ır answer tion 13.	was "Yes", pleas	se s	kip the re	emainde	r of thi	is question a	and contir	nue with	
b)	Office	e/Organiz	e below the syst ation and indicate dications.					-	•	-	
	(i)		priority applicational parts, if any):	on n	umbers	(please o	check	the appropr	iate boxe	s and sp	ecify
			Code for the typ Year designatio Serial number Additional part/s	n	f IP right						
	(ii)	Ordering	g of parts								
			According to ST Other (please s			> <year< td=""><td>><se:< td=""><td>rial numb</td><td>er></td><td></td><td></td></se:<></td></year<>	> <se:< td=""><td>rial numb</td><td>er></td><td></td><td></td></se:<>	rial numb	er>		

 (iii) Length Fixed Variable If you checked "fixed", please indicate the number of characters used for eather priority application number. Part of priority application number Code for the type of IPR: 	ch part of
- If you checked "fixed", please indicate the number of characters used for eather priority application number. - Part of priority application number - Code for the type of IPR:	ch part of
the priority application number. Part of priority application number Code for the type of IPR: Number of characters	ch part of
Code for the type of IPR:	
Year designation: Serial number: Other: Other: Other:	- - - -
 If you checked "Variable", please explain briefly how the digits are distribute priority application numbers: 	d in
(iv) Please summarize below the discrepancies between formats and presentation following parts of priority application and application numbers, if any. Code for the type of IPR: Year designation: Serial number: Code for internal use: Control numbers (check digits): Other information: Use of separators:	of the
Example 18: In the case described in Example 17 above the application number is "10 2010/345678.4", the Office could recommend using presentation "10 2010 345678 P" as the priority application number. Then the discrepancies take place for: Code for the type of IPR: In priority application numbers the type of IPR is coded with both letter and numerals Control numbers (check digits):	ers
There is no check digit in priority application numbers Use of separators: Only spaces are used as separators in priority application numbers Other fields, i.e. Year designation, Serial number, Code for internal use, Other information, remain empty. Additional comments, if any:	and

Copy	of	Ī
COPy	O.	

COMPLIANCE WITH WIPO STANDARD ST.13

Ques	Question 13				
 Does the numbering system, covered by this copy of the questionna Standard ST.13? 			copy of the questionnaire, co	onform to WIPO	
		Yes	☐ Partly	□ No	
(i) If your answer to the Question 13(a) was from the Standard:				as "Partly", please indicate w	hich parts deviate
			— se described in Examples Deviations in the ordering	3 and 4 above the response of parts, additional part for re	
	(ii)	•	` ,	as "No", does your Office/Org VIPO Standard ST.13? If so,	
	(iii)		er to the Question 13(a) we guidelines according to V	as "Yes", when did your Offic VIPO Standard ST.13?	e/Organization
Addi	tional	comments, if	any:		

EXAMPLES OF APPLICATION NUMBERS AND PRIORITY APPLICATION NUMBERS

Question 14

Please fill in the table below.

<u>Note</u>: In the column "Remarks", in addition to providing information on the type, serial number, code for internal use, and control number, please explain the general idea of the structure and/or characteristic of your application numbering system under the bullet "Description of the numbering system", if needed.

Country or Organization	Example of application number	Recommended presentation in abbreviated form as a priority application number	Remarks
XX Name of Office/ Organization			Used from (date) - Description of the numbering system - Type of IP rights (Position:) - Year designation (Position:) - Serial number (Position:) - Code for Internal Use (Position:) - Control Number/Check Digit (Position:) Further remarks if any:

Copy of

<u>Example 20</u>: Table filled in by various Offices

Country or Organization	Example of application number	Recommended presentation as a priority application number	Remarks
EP European Patent Office	79100953.3	79100953	(Used from XXXX) - Explanation of numbering system - Type of IP rights (Position: N/A) - Year designation (Position: 1-2) The first two digits indicate the last two numbers of the year of filing of the application Serial number (Position: 5-8) - Code for Internal Use (Position: 3-4) - Control Number/Check Digit (Position: 9, after the dot)

Country or Organization	Example of application number	Recommended presentation as a priority application number	Remarks
DE German Patent and Trademark Office (DPMA)	10 2004 000 001.7 20 2004 000 001.3 50 2004 000 001.4 60 2004 000 001.9	10 2004 000 001 20 2004 000 001 U	Used from 2004 - Explanation of numbering system - Type of IP rights (Position: 1-2) 10: DE patent application. 11: PCT patent application in the national phase. 12: SPC application 20: Utility models 21: Utility models resulting from PCT applications 22: topographies 50: Patents granted by EPO, filed in German 60: Patents granted by EPO, filed in English or French - Year designation (Position: 3-6) - Serial number (Position: 7-12) - Code for Internal Use (Position: N/A)
			- Control Number (Check Digit) (Position: 13, after the dot)

Country or Organization	Example of application number	Recommended presentation as a priority application number	Remarks
DK	PA 1998 01234	1998 01234	Used from 1998 - Explanation of numbering system - Type of IP rights (Position: 1-2) These letter codes do not appear on the first pages of the published documents. The letter "U" indicating applications for utility model appears only in the Recommended Presentation in Abbreviated Form as a Priority Application Number. PA: Patent application BA: Utility model applications - Year designation (Position: 3-6) The numerals in the third to sixth positions represent the year of filing of the application. - Serial number (Position: 7-11) The last five numerals represent a serial number assigned to the application. - Code for Internal Use (Position: N/A) - Control Number (Check Digit) (Position: N/A)
Denmark	BA 1998 00123	1998 00123 U	

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Country or	Example of	Recommended	Remarks
Organization	application	presentation as a priority	
	number	application number	
MX	GT/a/2003/000001	GT/a/2003/000001	Introduced on January 1, 2000
Mexico	GT/f/2003/000001 GT/f/2003/001234	GT/f/2003/001234	Introduced on January 1, 2000
MEXICO	GT/t/2003/000321	GT/t/2003/001234 GT/t/2003/000321	Evalenation of numbering quaters
	GT/u/2003/000321 GT/u/2003/123456	GT/u/2003/000321 GT/u/2003/123456	- Explanation of numbering system The application number is composed of four
	G1/u/2003/123456	G1/u/2003/123430	
	II /c/2003/00001	II /a/2002/00004	elements, which represent the minimum
	JL/a/2003/000001	JL/a/2003/000001	significant part of the application number, as
	JL/f/2003/001234	JL/f/2003/001234	described below:
	JL/t/2003/000321	JL/t/2003/000321	- Type of IP rights (Position: 3)
	JL/u/2003/123456	JL/u/2003/123456	One alphanumeric character in lower case letter
		N. / /2222/2222/	to indicate the type of industrial property right
	NL/a/2003/000001	NL/a/2003/000001	application:
	NL/f/2003/001234	NL/f/2003/001234	"a" for patent applications;
	NL/t/2003/000321	NL/t/2003/000321	"f" for industrial design or model applications;
	NL/u/2003/123456	NL/u/2003/123456	"t" for applications for layout-designs
			(topographies) of integrated circuits;
	PA/a/2003/000001	PA/a/2003/000001	"u" for utility model applications.
	PA/f/2003/001234	PA/f/2003/001234	, , , , , , , , , , , , , , , , , , , ,
	PA/t/2003/000321	PA/t/2003/000321	- Year designation (Position: 4-7)
	PA/u/2003/123456	PA/u/2003/123456	Four digits to indicate, in compliance with the
			Gregorian calendar, the year of filing of the
	YU/a/2003/000001	YU/a/2003/000001	application; Examples: 1991, 1999, 2001, 2003
	YU/f/2003/001234	YU/f/2003/001234	- Serial number (Position: 8-13)
	YU/t/2003/000321	YU/t/2003/000321	Six digits to indicate a sequential number
	YU/u/2003/123456	YU/u/2003/123456	identifying a single application. This sequential
			number is fixed in length and may be completed
			by leading zeros, if necessary. Examples:
			123456, 000001, 004321.
			123430, 000001, 004321.
			- Code for Internal Use (Position: 1-2)
			Two alphanumeric characters in capital letters to
			indicate the receiving office of the application:
			"GT" for the Regional Office of Zona Bajío (León,
			Guanajuato);
			"JL" for the Regional Office of Zona Occidente
			(Zapopan, Jalisco);
			"NL" for the Regional Office of Zona Norte
			(Monterrey, Nuevo León);
			"PA" for the Central Office (México, Federal
			District);
			"YU" for the regional Office of Zona Sureste
			(Mérida, Yucatán).
			Control Number (Check Digit) (Begition: N/A)
			- Control Number (Check Digit) (Position: N/A)

[End of Annex and of document]