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WORLD INTELLECTUAL PROPERTY ORGANIZATION GENEVA

WIPO

STANDINGCOMMITTEEO NCOPYRIGHT ANDRELATEDRIGHTS

NinthSession Geneva,June23to27,2003

PROTECTIONOFTHERI GHTSOFBROADCASTING ORGANI ZATIONS

Revised proposal submitted by the United States of America

TITLE

WIPOT reaty for the Protection of the Rights of Broadcasting, Cable casting and Webcasting Organizations

PREAMBLE

TheContractingParties,

*Desiring*todevelopandmaintainth@rotectionoftherightsofbroadcasting, cablecastingandwebcastingorganizationsinamanneraseffectiveanduniformaspossible withoutdiminishingtheprotectionaffordedtoworks,performancesandphonogramsincluded inbroadcasts,cablecastsandwe bcasts,

Recognizing then eed to introduce new international rules in order to provide a dequate solutions to the questions raised by economic, social, cultural and technological developments,

Recognizing the profound impact of the development and convergence of the development and communication technologies which have given rise to increasing possibilities and opportunities for unauthorized use of broadcasts, cable casts and we be casts both within and across frontiers,

*Recognizing*theneedtomaintainabalance betweentherightsofbroadcasting, cablecastingandwebcastingorganizationsandthelargerpublicinterest,particularly education,researchandaccesstoinformation,[asreflectedintheBerneConvention],

Stressing thedirectbenefitstoauthorsand holdersofrelatedrightsinworksandother protectedsubjectmattercontainedinbroadcasts, cablecastsandwebcastsbyprotecting the rightsofbroadcasting, cablecasting and webcasting organizations,

Haveagreed asfollows:

CHAPTERI

GENERALPROVI SIONS

Article1 RelationtoOtherConventionsandTreaties

1. Nothing in this Treaty shall derogate from existing obligations that Contracting Parties have to each other under existing copyright and related rights treaties, including but not limited to the Berne Convention for the Protection of Literary and Artistic Works (1971), the Agreement on Trade - Related Aspects of Intellectual Property Rights (TRIPS Agreement), the WIPO Copyright Treaty and WIPO Performances and Phonograms Treaty, Brussels Convention Relating to the Distribution of Programme - Carrying Signals Transmitted by Satellite, and the International Convention for the Protection of Performers, Producers of Phonograms and Broad casting Organizations done in Rome, 26 October 1961.

2. Protectiongra ntedunderthisTreatyshallleaveintactandshallinnowayaffectthe protectionofcopyrightorrelatedrightsinprogrammaterialincorporatedinbroadcasts, cablecastsorwebcasts.ConsequentlynoprovisionsofthisTreatymaybeinterpretedas prejudicingsuchprotection.

3. ThisTreatyshallnothaveanyconnectionwith,norshallitprejudiceanyrightsand obligationsunder,anyothertreaties.

Article2 Definitions

ForpurposesofthisTreaty:

(a) "Broadcasting" meansthetransmission by wire less means for public reception of sounds, images or sounds and images, or of the representations thereof; such transmission by satellite is also "broadcasting." Wireless transmission of encrypted signals is "broadcasting" where the means for decrypting are provided to the public by the broadcasting organization or with its consent. "Broadcasting" shall not be understood as including transmissions over computer networks or any transmissions where the time and place of reception may be individually chosen by members of the public;

(b) "Cablecasting" meansthetransmissionbywireforpublicreception of sounds, images, or sounds and images or of the representations thereof. Transmission by wire of encrypted signals is "cable casting" where the means for decrypting are provided to the public by the cable casting organization or with its consent. "Cable casting" shall not be understood as including transmissions over computer networks or any transmission where the time and place of reception may be individually chosen by members of the public;

(c) "Webcasting" meansthemaking accessible of transmissions of the same sounds, images, or sounds and images or the representations thereof, by wire or wireless means over a computer network at substantially thesa metime. Such transmissions, when encrypted, shall be considered as "webcasting" where the means for decrypting are provided to the public by the webcasting or ganization or with its consent. We bcasting and other computer network transmissions, whether by wire or wireless means, shall not be understood as "broad casting" or "cable casting";

(d) A"broadcastingorganization"a"cablecastingorganization"ora"webcasting organization" meansthelegalentity that takes the initiative and has the responsibil ity for: (i) the first transmission to the public of sounds, images or sounds and images or the representations thereof; and (ii) the assembly and scheduling of the content of the transmission; for purposes of Article 7, a "broad casting organization" sh all include a legal entity that takes the initiative and has the responsibility for the assembly and scheduling of the content of a signal transmitted to another broad casting organization prior to broad casting.

(e) "Rebroadcasting" means the simultaneous broadcasting by one broadcasting organization of the broadcast, cable cast or we be cast of another broadcast ing, cable cast ing or we be cast ing organization;

(f) "Cableretransmission" means the simultaneous transmission to the public by wire of the broadcast, c able cast or we be cast of another broadcasting, cable cast ingore be cast ing organization;

(g) "Computernetworkretransmission" meansthesimultaneoustransmission by wire or wireless means over computer networks of the broadcast, cable cast of an ot broadcast ing, cable cast ing or ganization;

(h) "Publicrendition" of abroadcast, cable castor we be cast means making the transmission or a fix ation of abroadcast, cable cast or we be cast audible or visible or audible and visible in places acc essible to the public;

(i) "Fixation" means the embodiment of sounds, images, or sounds and images, or of the representations thereof, from which they can be perceived, reproduced or communicated through a device.

Article3 BeneficiariesofProtectionU nderThisTreaty

1. Contracting Parties shall accord protection provided under this Treaty to broadcasting, cable casting and we be casting organizations that are national softheother Contracting Parties.

2. NationalsofotherContractingPartiesshallbe understoodtobethosebroadcasting, cablecastingandwebcastingorganizationsthatmeeteitherofthefollowingconditions:

 $(a) \quad The head quarters of the broad casting, cable casting or we be casting organization is situated in another Contracting Party, or$

(b) Abroadcast, cable cast or webcast is transmitted from or by a facility situated in another Contracting Party. In the case of satellite broad casting, a facility shall be construed to be situated where the sounds, images, or sounds and images, or the representations thereof, or accompanying analog or digital data, intended for direct public reception are introduced, under the control and responsibility of the broad casting, cable casting or webcasting or ganization, into an uninterrupted chain of communication on leading to the satellite and down towards the earth.

Article4 NationalTreatment

Subject to Article 5(g) (ii) of this Treaty, each Contracting Party shall accord to nationals of other Contracting Parties, as defined in Article 3(2), the right swhich their respective laws do now or may here after grant to the irnationals, in respect to for oad casts, cable casts or we be casts for which such nationals are protected under this Treaty, as well as the right specifically granted by this Treaty.

CHAPTERII

RIGHTSOFBROADCASTING,CABLECASTINGANDWEBCASTING ORGANIZATIONS

Article5 SpecificProtections

Broadcasting, cable casting and we be asting organizations shall enjoy the exclusive right to authorize and prohibit:

(a) Therebroadcastingoftheirbroadcasts, cablecastsorwebcasts;

(b) The computer network retransmission of their broadcasts, cable casts or we be casts;

(c) The cable retransmission of their broadcasts, cable casts or we be casts;

(d) The deferred transmission for public reception by wire or wireless means, including by means of a computer network, of their broadcasts, cable casts or we be casts from fix ations of their broadcasts, cable casts or we be casts;

(e) Thefixationoftheirbroadcasts, cablecastsorwebcasts;

(f) The reproduction of their broadcas ts, cable casts or we be casts from fix ations made: (1) without their consent; or (2) pursuant to Article 8 when such reproduction would not be permitted by that Article;

(g) (i) Thepublicrenditionoftheirbroadcasts, cablecastsorwebcastsof audiovisual sounds and images in places accessible to the public against payment of an entrance fee; it shall be a matter for the domestic law of the Party where protection of this right is claimed to determine the conditions under which it may be exercised;

(ii) AnyContractingPartymay,inanotificationdeposited with the Director General of WIPO, declare that it will apply the provisions of paragraph (i) only in respect of certain communications, or that it will limit their application in some other way, or that it will not apply these provisions at all. If a Contracting Partymakes such a declaration, the other Contracting Parties shall not be obliged to grant the right referred to in paragraph (i) to broad casting, cable casting or we be casting or ganizations whose head quarters are in that State.

Article6

RightstoProhibit

Broadcasting, cable casting and we be casting organizations shall have the right to prohibit the following acts:

(a) Themakingavailabletothepublicofunauthorizedfixationsoftheirbr oadcasts, cablecastsorwebcasts, bywireorwirelessmeans, insuchawaythatmembersofthepublic mayaccess them from a place and a taime individually chosen by them,

(b) Thereproduction of unauthorized fix ations of their broadcasts, cable casts or webcasts,

(c) The distribution to the public and importation of reproductions of unauthorized fix ations of their broadcasts, cable casts or we be casts.

Article7 ProtectioninRelationtoSignalsPriortoBroadcasting, CablecastingorWebcasting

Broadcasting, cable casting and webcasting organizations shall also enjoy ad equate and effective legal protection against any acts referred to in Articles 5 and 6 in relation to their signals prior to broad casting, cable casting or webcasting.

Article8^{*} LimitationsandExceptions

1. Therightsofbroadcasting, cablecasting and webcasting organizations set for thin Articles 5, 6, and 7 shall leave intact and shall innow a yaffect the protection of copyright or related rights in programmaterial incorporated in b roadcasts, cable casts or webcasts.

2. ContractingPartiesmay, in their national legislation, provide for the same kinds of limitations or exceptions with regard to the protection of broadcasting, cable casting and webcasting organizations as they provid effort, in their national legislation, in connection with the protection of copyright in literary and artistic works and with the protection of the related rights of performers and producers of phonograms.

3. ContractingPartiesshallconfineanylimitati onsoforexceptionstorightsprovidedfor inthisTreatytocertainspecialcaseswhichdonotconflictwithanormalexploitationofthe broadcast, cablecastorwebcastanddonotunreasonablyprejudicethelegitimateinterestsof thebroadcasting, cable ecasting or webcasting organizations asset for thherein.

4. Ifon[thedateoftheDiplomaticConference],aContractingPartyhasinforce limitationsandexceptionstotherightsconferredinArticle5(a) -(c)inrespectof non-commercialbroadcasting organizations,itmaymaintainsuchlimitationsandexceptions.

^{*} TheagreedstatementconcerningArticle10(onLimitationsandExceptions)oftheWIPO CopyrightTreatyisapplicable *mutatismutandis* alsotoArticle8(2)and8(3)(onLimitations andExceptions)oftheWIPOTreatyf ortheProtectionoftheRightsofBroadcasting, CablecastingandWebcastingOrganizations.Thetextoftheagreedstatementconcerning Article10oftheWCTreadsasfollows:"ItisunderstoodthattheprovisionsofArticle 10 permitContractingParties tocarryforwardandappropriatelyextendintothedigital environmentlimitationsandexceptionsintheirnationallawswhichhavebeenconsidered acceptableundertheBerneConvention.Similarly,theseprovisionsshouldbeunderstoodto permitContract ingPartiestodevisenewexceptionsandlimitationsthatareappropriateinthe digitalnetworkenvironment.

[&]quot;ItisalsounderstoodthatArticle10(2)neitherreducesnorextendsthescopeofapplicabilityof thelimitationsandexceptionspermittedbyth eBerneConvention."

Article9 TermofProtection

The term of protection to be granted to broadcasting, cable casting and we be casting organizations under this Treaty shall a statle ast 50 years until the end of a period computed from the end of the year in which the broadcast, cable cast or we be cast to okplace.

Article10 ObligationsConcerningTechnologicalMeasures

ContractingPartiesshallprovideadequateandeffectivelegalremediesagainstthe circumventionofeffectivetechnologicalmeasuresthatareusedbybroadcasting,cablecasting andwebcastingorganizationsinconnectionwiththeexerciseoftheirrightsunderthisTreaty andthatrestrictacts,inrespectoftheirbroadcasts,cablecastsorwebcasts, whicharenot authorizedbythebeneficiaryconcernedorpermittedbylaw.

Article11 ObligationsConcerningRightsManagementInformation

1. ContractingPartiesshallprovideadequateandeffectivelegalremediesagainstany personknowinglyperforming anyofthefollowingactsknowing,orwithrespecttocivil remedies,havingreasonablegroundstoknow,thatitwillinduce,enable,facilitateorconceal aninfringementofanyrightorviolationofanyprohibitioncoveredbythisTreaty:

(a) Toremove oralteranyelectronic rightsmanagement information without authority;

(b) Todistribute, importford is tribution, retransmit, communicate or make available to the public, without authority, broadcasts, cable casts, we be casts or fix at ions thereof, know in that electronic rights management information has been removed or altered without authority.

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2. AsusedinthisArticle, "rightsmanagementinformation" meansinformationprovided by the broad casting, cable casting or web casting organization which identi fiess uch organization, the broad cast, cable castor web cast, the owner of any right in the broad cast, cable castor web cast, or information about the terms and conditions of use of the broad cast, cable castor web cast, and any numbers or codes that represent such information, when any of these items of information is attached to or associated with the broad cast, cable castor web cast.

Article12 Formalities

The enjoyment and exercise of the rights provided in this Treaty shall not be subject to any formality.

Article13 Reservations

Subject to the provisions of Article 5 (g) (ii), no reservation stoth is Treaty shall be permitted.

Article14 ApplicationinTime

Contracting parties shall apply the provisions of Article 18 of the Berne Convention, *mutatismutandis*, to the rights of broadcasting, cable casting and we be casting organizations provided for in this Treaty.

Article15 ProvisionsonEnforcementofRights

1. Contracting Parties shall under take to adopt, in accordance with their legal systems, the measures necessary to ensure the application of this Treaty.

2. ContractingPartiesshallensurethatenforcementproceduresareavailableundertheir lawssoastopermiteffectiveactionagainstanyactofinfringementofrightsorviolation againstan yprohibitioncoveredbythisTreaty,includingexpeditiousremediestoprevent infringementsorviolations,whichconstituteadeterrenttofurtherinfringementsand violations.

CHAPTERIII

ADMINISTRATIVEANDFINALCLAUSES

Article 16 Assembly

1. (a) TheContractingPartiesshallhaveanAssembly.

 $(b) \quad Each Contracting Party shall be represented by one delegate who may be assisted by alternate delegates, advisors and experts.$

(c) The expenses of each delegation shall be borne by the Contracting Party that has appointed the delegation. The Assembly may ask the World Intellectual Property Organization (herein after referred to as "WIPO") to grant financial assistance to facilitate the participation of delegations of Contracting Parties that are regarded as developing countries in conformity with the established practice of the General Assembly of the United Nations or that are countries in transition to amarket economy.

2. (a) TheAssemblyshalldealwithmattersconcerningthemaintenanceand developmentofthisTreatyandtheapplicationandoperationofthisTreaty.

(b) TheAssemblyshallperform the functional located to it under Article 18(2) in respect of the admission of certain intergovernmental organizations to be come party to this Treaty.

(c) The Assembly shall decide the convocation of any diplomatic conference for the revision of this Treaty and give the necessary instructions to the Director General of WIPO for the preparation of such diplomatic conference.

3. (a) EachContractingPartyth atisaStateshallhaveonevoteandshallvoteonlyinits ownname.

(b) AnyContractingPartythatisanintergovernmentalorganizationmayparticipate inthevote,inplaceofitsMemberStates,withanumberofvotesequaltothenumberofits MemberStatesthatarepartytothisTreaty.Nosuchintergovernmentalorganizationshall participateinthevoteifanyoneofitsMemberStatesexercisesitsrighttovoteand vice versa.

4. The Assembly shall meet in ordinary session once very two years up on convocation by the Director General of WIPO.

5. TheAssemblyshallestablishitsownrulesofprocedure,includingtheconvocation of extraordinarysessions,therequirementsofaquorumand,subjecttotheprovisionsofthis Treaty,therequiredmajor ityforvariouskindsofdecisions.

Article 17 InternationalBureau

The International Bureau of WIPO shall perform the administrative tasks concerning the Treaty.

Article 18 EligibilityforBecomingPartytotheTreaty

1. Any Member State of WIPO may become party to this Treaty, provided that such state is a party to the WIPO Copyright Treaty and the WIPO Performances and Phonograms Treaty.

2. The Assembly may decide to admit any intergovernmental organization to be come party to this Treaty which declares that it is competent in respect of, and has its own legislation binding on all its Member States on, matters covered by this Treaty and that it has been duly authorized, in accordance with its internal procedures, to be come party to this Treaty.

3. The European Union, having made the declaration referred to in the preceding paragraph in the Diplomatic Conference that has a dopted this Treaty, may be come party to this Treaty subject to paragraph 1 of this Article.

Article 19 RightsandObligations UndertheTreaty

Subject to any specific provision stothe contrary in this Treaty, each Contracting Party shallen joyall of the rights and assume all of the obligations under this Treaty.

Article 20 SignatureoftheTreaty

ThisTreatyshallbeopen forsignatureuntilDecember 31, 200_,byanyMemberState ofWIPOandbytheEuropeanUnion.

Article 21 EntryintoForceoftheTreaty

 $This Treaty shall enter into force three months after __instruments of ratification or accession by States have bee \\ ndeposited with the Director General of WIPO.$

Article 22 EffectiveDateofBecomingPartytotheTreaty

ThisTreatyshallbind

(a) The___StatesreferredtoinArticle 21,fromthedateonwhichthisTreatyhas enteredintoforce;

(b) EachotherSta tefromtheexpirationofthreemonthsfromthedateonwhichthe StatehasdepositeditsinstrumentwiththeDirectorGeneralofWIPO;

(c) TheEuropeanUnion,fromtheexpirationofthreemonthsafterthedepositofits instrumentofratificationoracce ssionifsuchinstrumenthasbeendepositedaftertheentry intoforceofthisTreatyaccordingtoArticle 21,or,threemonthsaftertheentryintoforceof thisTreatyifsuchinstrumenthasbeendepositedbeforetheentryintoforceofthisTreaty;

(d) Anyotherintergovernmentalorganization that is admitted to be come party to this Treaty, from the expiration of three months after the deposit of its instrument of accession.

Article 23 DenunciationoftheTreaty

This Treaty may be denounced by any Constraint party by notification addressed to the Director General of WIPO. Any denunciation shall take effect one year from the date on which the Director General of WIPO received the notification.

Article 24 LanguagesoftheTreaty

1. ThisTreatyiss ignedinasingleoriginalinEnglish,Arabic,Chinese,French,Russian andSpanishlanguages,theversionsinalltheselanguagesbeingequallyauthentic.

2. Anofficial text in any language other than those referred to in paragraph (1) shall be establised by the Director General of WIPO on the request of an interested party, after consultation with all the interested parties. For the purposes of this paragraph, "interested party" means any Member State of WIPO whose official language, or one of whose official languages, is involved and the European Union, and any other intergovernmental organization that may be come party to this Treaty, if one of its official languages is involved.

Article 25 Depositary

TheDirectorGeneralofWIPOisthedepositary ofthisTreaty.

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