# DRAFT QUESTIONNAIRE ON THE CONTENT AND FUNCTIONALITIES OF SYSTEMS FOR PROVIDING ACCESS TO PUBLICLY AVAILABLE PATENT INFORMATION

## GLOSSARY

Basic patent information – published patent applications and / or granted patents

Publication – In line with [Part 8 of the WIPO Handbook](http://www.wipo.int/standards/en/pdf/08-01-01.pdf), the terms “publication” and “published” are used in the sense of making available:

a patent document to the public for inspection or supplying a copy on request;

multiple copies of a patent document produced on, or by, any medium (e.g., paper, film, magnetic tape or disc, optical disc, online database, computer network, etc.).

Legal status information – information about events that may affect the grant, scope, term, ownership, or other legal aspects of a patent or patent application.

## PART 1

This part of the survey covers basic availability and coverage of online data systems.

### Core Information

### Q1. Does your Office provide access to basic patent information (published applications or granted patents) online?

[ ]  YES [ ]  NO

### Q2. How can users access basic patent information online? Mark all that apply:

[ ]  Online queries with no login required

[ ]  Online queries with free login (no payment required)

[ ]  Online queries with paid subscription or contract required

[ ]  Online queries only in designated locations, such as a public search facility

[ ]  No online queries, only bulk data downloads are available

### Q3. Who can access this information online?

[ ]  Information is only available to patent attorneys admitted to practice before the Office

[ ]  Information is only available to residents of the Office's country or region

[ ]  Information is available to anyone

[ ]  Other: (Please specify:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ )

### Q4. Does your Office/Organization make authority files of published patent documents available as per WIPO Standard ST.37?

[ ]  YES [ ]  NO

Comment:

### Q5. Are there any significant gaps in coverage of the authority file information?

[ ]  YES [ ]  NO

If yes, please explain, for example missing data sources, time frames for substantial delays in updates, or other reasons for gaps.

Comment:

### Q6. What types of patent information from your Office are available online? Please select all that apply:

|  |
| --- |
| [ ]  Unpublished applications (limited info made available before publication) |
| [ ]  Published applications |
| [ ]  Granted patents |
| [ ]  Legal status information |
| [ ]  Corrections |
| [ ]  Priority information |
| [ ]  Patent Term Extensions or Supplementary Protection Certificates |
| [ ]  Patent family information  |
| [ ]  File inspection |
| [ ]  Court decisions |
| [ ]  Reassignments |
| [ ]  Licensing information |
| [ ]  Official Gazette |
| Other: (Please specify:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_) |
|  |

Please provide URLs where the available types of information can be accessed online:

### Q7. What languages do the online systems for patent information indicated above use for the user interface and search?

* 1. Basic Patent information (applications and grants)

[language selection list] \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* 1. Legal status information

same as (a), or:

[language selection list] \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

### Q8. What time periods does the available online data cover for the following types of patent information? If the data has gaps or is incomplete, please note so under Remarks.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Type of Information** | **From****(date)** | **To****(date)** | **Not available** | **Remarks** |
| Published applications |  |  |  |  |
| Granted patents |  |  |  |  |
| Legal Status information |  |  |  |  |
| Corrections |  |  |  |  |
| Priority information |  |  |  |  |
| Patent Term Extensions or Supplementary Protection Certificates |  |  |  |  |
| Patent family information  |  |  |  |  |
| File inspection |  |  |  |  |
| Court decisions |  |  |  |  |
| Reassignments |  |  |  |  |
| Licensing information |  |  |  |  |
| Official Gazette |  |  |  |  |
| Other:  |  |  |  |  |

### Q9. Is the following legal status information available in online systems:

YES / NO / PARTIAL for each

[ ]  Whether the patent is granted

[ ]  Whether the patent is currently in force

[ ]  PCT national phase entry date

[ ]  PCT national phase non-entry date

[ ]  Fee payments

[ ]  Change of ownership

[ ]  Pre- and post-grant events following court decisions.

[ ]  Other information

Comments:

### Q10. How often is each type of patent information updated online?

|  |  |
| --- | --- |
| **Type of Information** | **Update frequency (multiple choice: realtime, daily, weekly, biweekly, monthly, quarterly, other)** |
| Published applications |  |
| Granted patents |  |
| Legal Status information |  |
| Corrections |  |
| Priority information |  |
| Patent Term Extensions or Supplementary Protection Certificates |  |
| Patent family information  |  |
| File inspection |  |
| Court decisions |  |
| Assignments |  |
| Licensing information |  |
| Official Gazette |  |

## PART 2

This part of the survey covers more detailed information about the features of online data systems.

### Access Conditions

### Q11. Please explain any other conditions or requirements for access to basic patent information, such as limits on the number of queries, number of results, or data limits.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

### Q12. Are fees required for access to particular types of information?

[ ]  YES [ ]  NO

If yes, please indicate for which information and explain the payment procedure.

### Q13. Are the online interfaces for different languages synchronized? Please indicate the reference language and any differences in the data available in different languages (for example, in the frequency of updates, coverage, search tools, etc.).

1. Basic Patent information (applications and grants)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Legal status-specific information

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

### Content

### Q14. Are documents available for download in a searchable text format? This refers only to the content of the document which can be represented as text. Do not consider images or figures in your answer. If a document has both images and text, answer Yes if all the text elements are searchable.

[ ]  YES [ ]  NO [ ]  PARTIAL (some text elements not searchable)

### Q15. Do the information systems provide access to updated versions of patent documents when changes are made after publication (e.g. “as granted” rather than “as filed”)?

[ ]  YES [ ]  NO

### Q16. Which parts of patent documents are updated:

[ ]  complete document,

[ ]  claims,

[ ]  description,

[ ]  other:

### Q17. Is the event history (list of relevant legal status information) for a given document available in online systems?

## FUNCTIONALITY

### Systems provided by your Office/Organization

### Q18. Are the following features available in the online systems in question?

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Patent Applications** | **Patent Grants** | **Legal status data** |
| Basic search |  |  |  |
| Advanced search |  |  |  |
| Field combination search |  |  |  |
| Semantic search |  |  |  |
| Machine translations |  |  |  |

### Q19. Are the following fields available for search in the online systems in question?

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Patent Applications** | **Patent Grants** | **Searchable in English or Latin character set?** |
| Patent publication number |  |  |  |
| Patent application number |  |  |  |
| Priority date |  |  |  |
| Priority number |  |  |  |
| Applicant name  |  |  |  |
| Inventor name  |  |  |  |
| PCT application number |  |  |  |
| PCT publication number |  |  |  |
| Title |  |  |  |
| Abstract |  |  |  |
| Claims |  |  |  |
| Description |  |  |  |

### Q20. For machine translations, please indicate which languages are translated:

From (original document languages): [language selection list]

Other: (Please specify )

To (translated output languages): [language selection list]

Other: (Please specify )

### Q21. Which sections of the document are translated:

[ ]  Entire document

[ ]  Title

[ ]  Abstract

[ ]  Description

[ ]  Claims

Other: (Please specify:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)

### Q22. What number formats are accepted when searching by application or publication numbers? Please provide examples of the numbers accepted by the system.

### Q23. Are WIPO ST.3 country codes or WIPO ST.16 kind-of-document codes used as part of the search term when searching by application or publication number?

[ ]  Yes, the codes are required

[ ]  Yes, the codes are optional

[ ]  No, the codes are not accepted when searching

### Q24. Do the online systems have a single interface for the available types of patent documents? For example, for published patent applications, granted patents, patent term extensions, fee payments.

[ ]  YES [ ]  NO

### Q25. Do the online systems have a single interface for different types of industrial property rights? For example patents for inventions, plant patents, design patents, inventors’ certificates, utility certificates, utility models, patents of addition and others.

[ ]  YES [ ]  NO

### Q26. If there are separate websites or portals for these other types of industrial property, please list them.

### Q27. Do the online systems contain information on documents issued under international or regional IP protection systems, which have (potential) effect in your jurisdiction?

[ ]  YES [ ]  NO

### Q28. If so, what types of documents are available, e.g. translations?

### Q29. Is this data searchable in the same online systems as national documentation?

[ ]  Yes, international / regional data is available and searchable

[ ]  International / regional data is available in the online systems, but not searchable

[ ]  No, international / regional data is only available in other systems

[ ]  The national online systems provide links to the international / regional systems

Comments:

### Systems provided by other Offices/Organizations

### Q30. What functionality would you like to see in the systems provided by other Offices?

|  |  |  |
| --- | --- | --- |
|  | **For full descriptions** | **For legal status** |
| Possibility to translate content into other languages |  |  |
| Search by bibliographic data fields |  |  |
| Full text search |  |  |
| Possibility to download documents in various formats |  |  |
| Alerting |  |  |
| Other. Please specify |  |  |

### Q31. What is your desired update frequency for data from other Offices:

|  |  |  |
| --- | --- | --- |
|  | **For full descriptions** | **For legal status** |
| Immediate publication of all changes |  |  |
| Daily updates |  |  |
| Weekly updates  |  |  |
| Other. Please specify |  |  |

### Q32. Should the other Offices’ systems support machine-to-machine communication (such as web services) for exchanging data with your Office?

For full descriptions:

[ ]  YES [ ]  NO [ ]  NO PREFERENCE

For legal status information:

[ ]  YES [ ]  NO [ ]  NO PREFERENCE

### Q33. Should the other Offices’ systems provide search capabilities for national data sets and data published by other Offices (with an interface in the national language)?

For full descriptions:

[ ]  YES [ ]  NO [ ]  NO PREFERENCE

For legal status information:

[ ]  YES [ ]  NO [ ]  NO PREFERENCE

### Q34. Is there a user guide for searching (such as explanation of fields or examples) available in the system?

[ ]  YES [ ]  NO

### Q35. Is there a clear indication of which information in the official publication is issued by your Office/Organization, or a disclaimer indicating which information is from other sources?

## FUTURE PLANS

### Q36. Please briefly describe your future plans with respect to your Office’s online systems, their content and functionality.

### Q37.  In which of the following areas are WIPO recommendations the most needed and/or most effective:

|  |  |  |
| --- | --- | --- |
|  | **For full descriptions** | **For legal status** |
| Composition of published information |  |  |
| Frequency of updates |  |  |
| Availability of information and/or interfaces in other languages |  |  |
| Information retrieval functionality |  |  |
| Other. Please specify |  |  |

[End of Annex and of document]