# Session 2.4 Collaboration with Industries and University and Contribution to the society

Jun SUGIURA OIT OSAKA JAPAN

# Profile Jun SUGIURA



日本特許庁
(Japan Patent Office: JPO)
初代長官 高橋是清
(The first Commissioner
Korekiyo TAKAHASHI)



欧州特許庁 (**European Patent Office: EPO**) 欧州特許条約 (European Patent Convention: EPC) に基づき設立された 地域特許庁



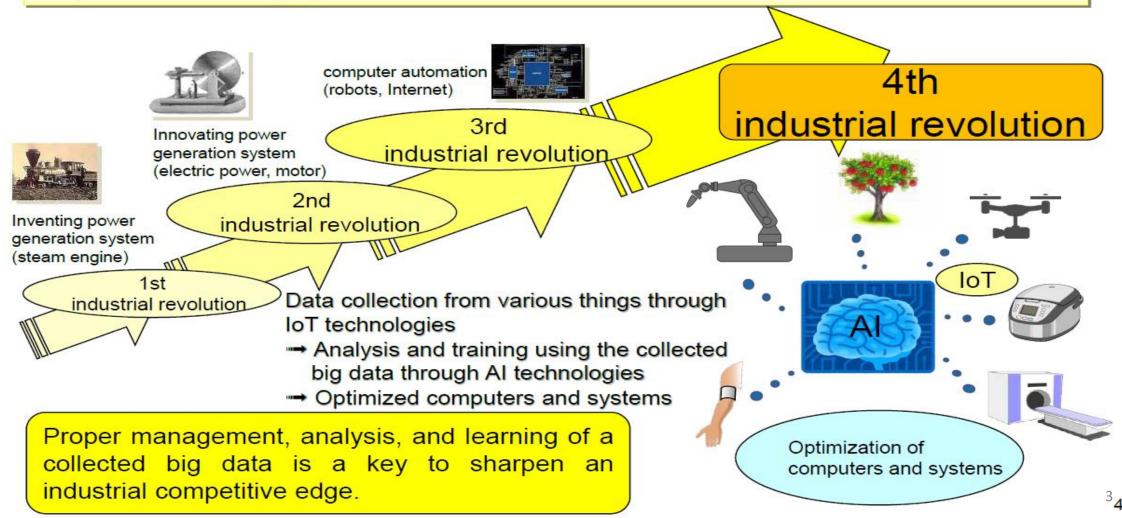


在モロッコ日本国大使館 (Ambassade du Japon au Maroc)

# Industry 4.0 with IoT and AI technologies etc.



 The fourth industrial revolution is expected with the use of Big Data and Al (Artificial Intelligence) through technological innovations in Al and IoT (Internet of Things) etc.

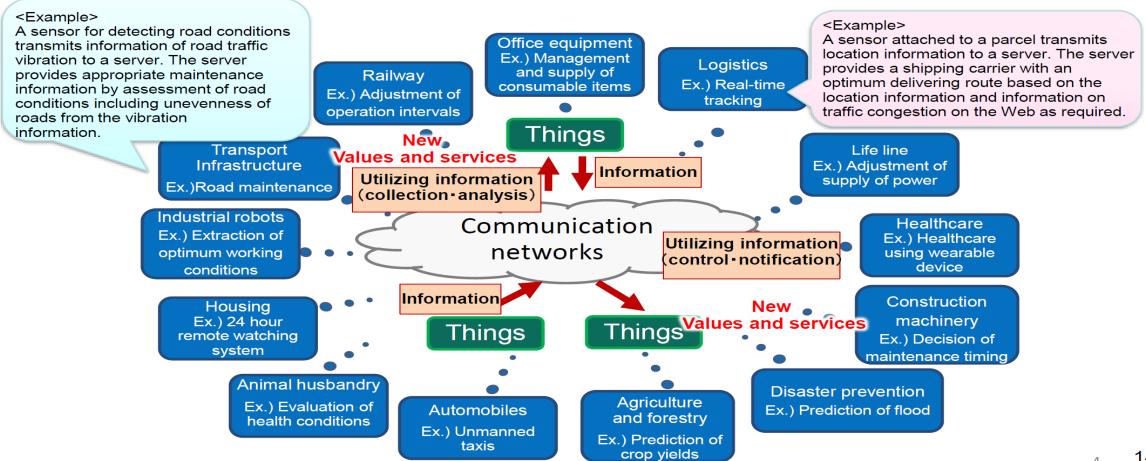


### 2 −1. About IoT related technologies



# Development of IoT related technologies

Rapid progress is being made in research & development and application to business of "technologies that utilize information obtained by connecting "Things" to networks, thereby finding new values and services" (IoT (Internet of Things) related technology).



# Towards the Super-smart Society (Role of university)



- Human resource development, capable of responding to the 4th industrial revolution: (EDUCATION)
  - Practical education through industry-university-government collaboration based on the needs of industry
  - Strengthening mathematical and data science education
  - Compulsory education of "programming" in elementary school Recurrent education
- Construction of ecosystem of innovation: (R&D)
  - A university that is the base of knowledge and human resources, as a core, involves industry, so that research and development and venture are created spontaneously and continuously, so that the fruits of innovation can be invested in the next kind of innovation.
  - Base of Connected Industries

# Why are Universities important in Research and Development?



- ■Universities have 20% of total research funding.
- ■38% of researchers work for universities.
- ■The level of academic research is among the best in the world.



Source: Ministry of Internal Affairs and Communications, 'Research survey report on science and technology' (2017)



People expect universities to exploit their research outcome for the society.

Contribution to the Society became the 3rd mission of Universities.

# Trend of the academia-industry collaboration



### Public-Private Dialogue towards Investment for the Future (April 12, 2016)

The Prime Minister said, "Japan's universities are undergoing a transformation. We will strengthen the academia-industry collaboration system and aim to triple corporate investment in universities and research and development entities over the next decade."

## Significance of cooperate investment

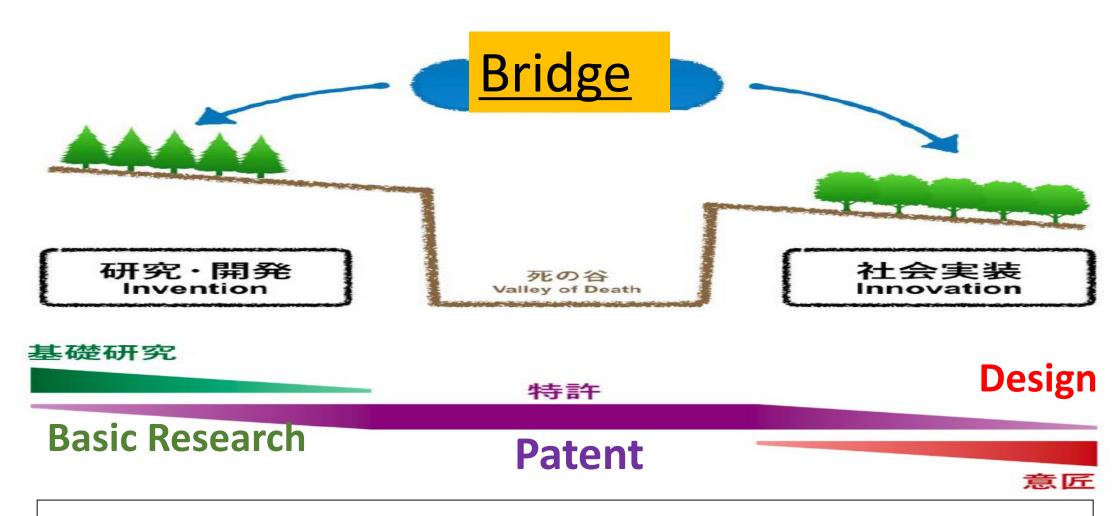
出典:首相官邸ホームページ (http://www.kantei.go.jp/jp/97\_abe/actions/201604/12kanmintaiwa.html)

- Companies' needs activate academic research.
- Universities contribute to the society by creating innovation.

# For the Scale up of Joint Research (Guideline)

- → Strengthen the management system in universities
- → Commitment to the results
- → Equitable sharing of expenditure

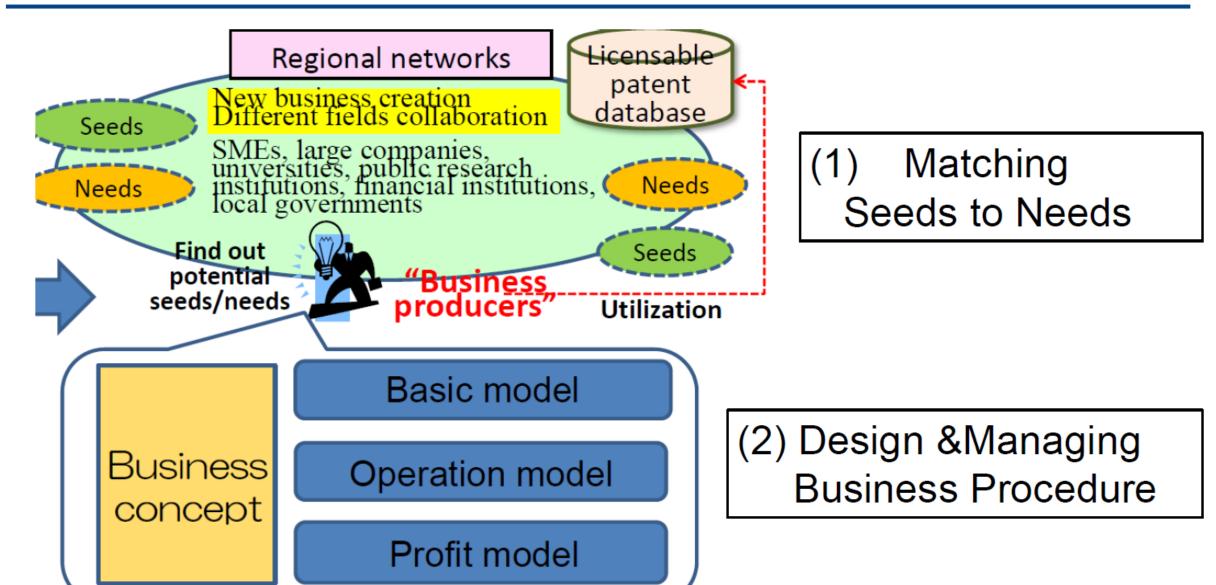
# Invention (idea), Inovation(products) and IP



The roll of IP is to bridge Invention to Inovation

# Basic Business aspect for Tech. transfer

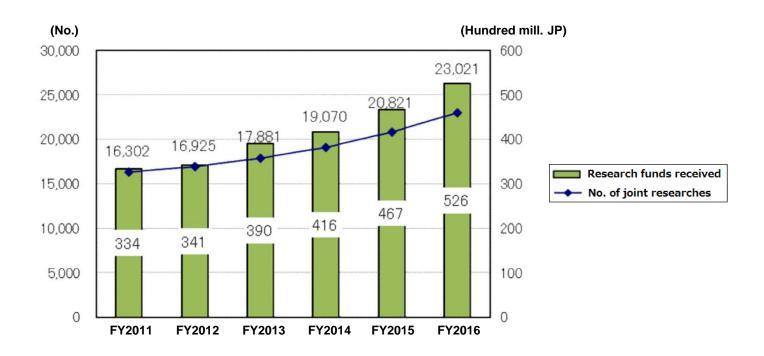




# **Achievements of Joint Researches at Universities**



- ➤ In fiscal year 2016, the number of joint researches between universities and private companies was 23,021 increasing 10.6% or 2,200 compared to the previous fiscal year. ➤ The amount of research funds received by universities for joint research with private companies was around 52.6 billion yen, increasing 12.6% or 5.9 billion yen compared to the previous fiscal year.



Source: The "FY2016 Current State of Industry-Academia Collaboration in Japan" issued by the Ministry of Education, Culture, Sports, Science and Technology

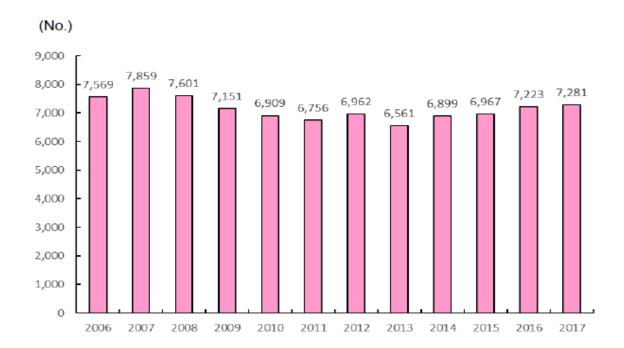
<sup>\*</sup> Universities include national, public, and private universities or colleges, including junior colleges, public and private colleges of technology, and inter-university research institutes.

# **Trends in Patent Applications Filed by Universities**



➤ In 2017, the number of patent applications filed by universities in Japan was 7,281, marking a 0.8% increase from the previous year.

Number of Patent Applications Filed by Universities



Top 10 Filers
(Universities 2017)

Name of University	
University of Tokyo	310
Tohoku University	276
Kyoto University	229
Osaka University	210
Tokyo Institute of Technology	194
Nagoya University	164
Kyushu University	158
Hokkaido University	116
Keio University	113
Shinshu University	103
	University of Tokyo Tohoku University Kyoto University Osaka University Tokyo Institute of Technology Nagoya University Kyushu University Hokkaido University Keio University

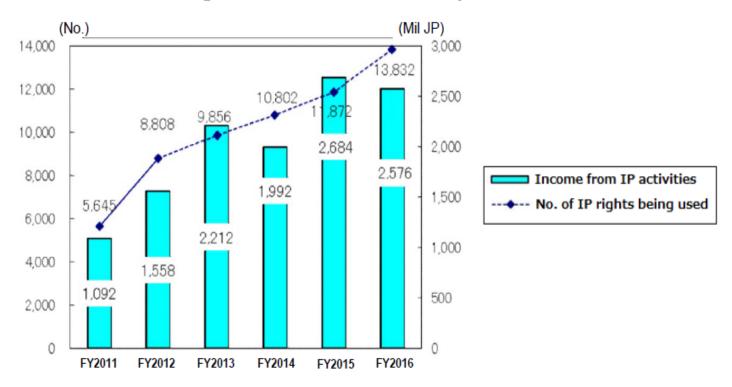
Source: JPO Annual Report 2017

# Income from IP Activities by Universities

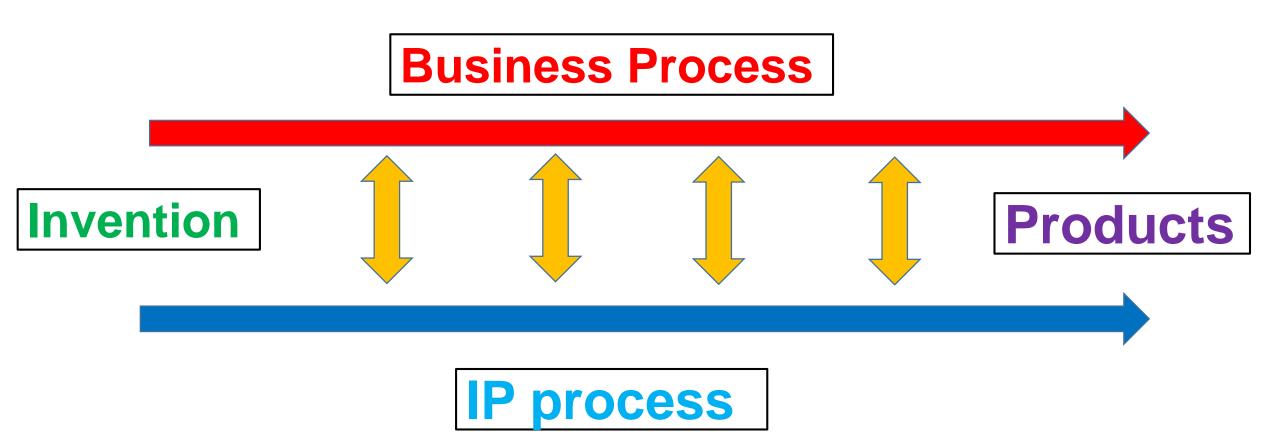


- The number of IP rights and income from IP activities by universities have been on the rise.
- In fiscal year 2016, which ended in March 2017, income from IP activities by universities reached 2.576 billion yen, marking a 4.0% decrease from the previous fiscal year.
- Also, the number of IP rights being actually used by universities reached a record high of 13,832 increasing 16.5% year-over-year.

### Changes in the Number of Patent Rights and Income from IP Activities by Universities



# Collaboration Business expert with IP expert



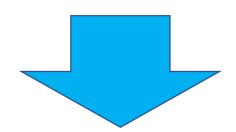
# The basic function of IP right (1)

### 知的財産と知的財産権 与特許庁 JAPAN PATENT OFFICE バリア・参入障壁 第三者が参入不可 (知的財産権) (競合企業との価格 競争が起こらない) 知的財産 研究成果、技術、ノウハウ、ア イデア、デザイン、ブランド、 植物の新品種、文芸・学術・美 術・音楽・プログラムなどの精 神的作品等の知的創作物 価格決定力による これからの知財入 価格の維持 門~変革の時代 の普遍的知識~ 山口大学 知的財産権の防備有り 知的財産センター (「まね」を許さない) 自分だけが使える状態(模倣を許さない)

The principle roll of IP Is

Exclusive right for Idea

(IP itself does not make Profit)

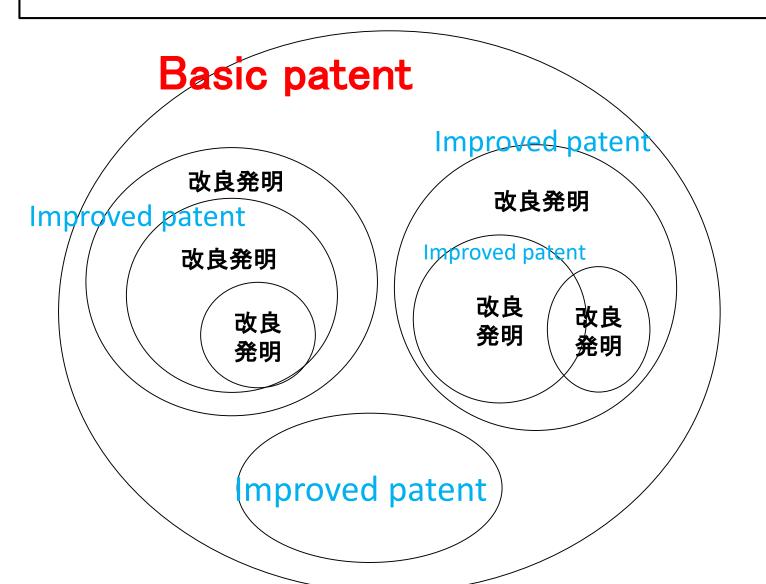


It Is

The business

which make the profit

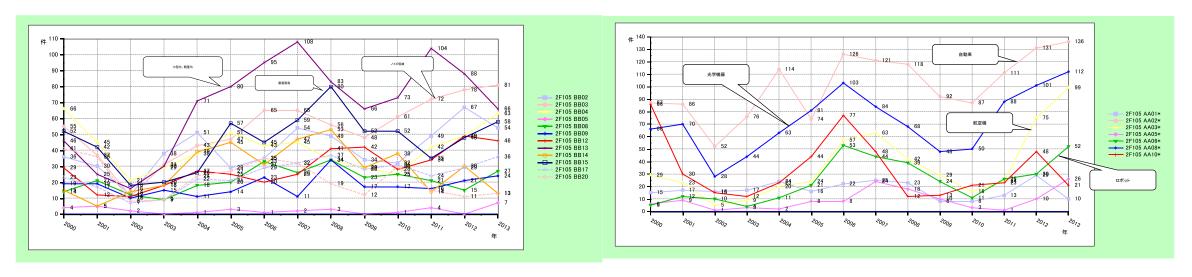
# The basic function of IP right (2) Basic patent vs. Improved patent



Not only
Basic patent
But,
Improved patent has
also
Exclusive right

# The basic function of IP right (3) Utilization of patent information

# Capture development trends in specific technical fields



Trends by number of applications on purpose

Trends by number of applications on usage

→ Develop development indicators of universities and companies.

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# **Basic IP aspect for Tech, transfer**



Before launching projects



Initial Stage of Projects



Stage of Advancing Projects



Final Stage of Projects



After completing projects

# Establish IP Policy

# Establish a base for IP management

- Support making rules on IP in the project.
- Set up a decision making body on intellectual Property.
- Formulate IP strategy.

# Enhance IP management

- Extract all of the inventions out of the projects.
- Support creating a strong patent portfolio.

# Review achievements of IP activities and share information

- Get the picture of all of the IP from the project.
- Confirm the way of IP management and exploitation after the project completion.



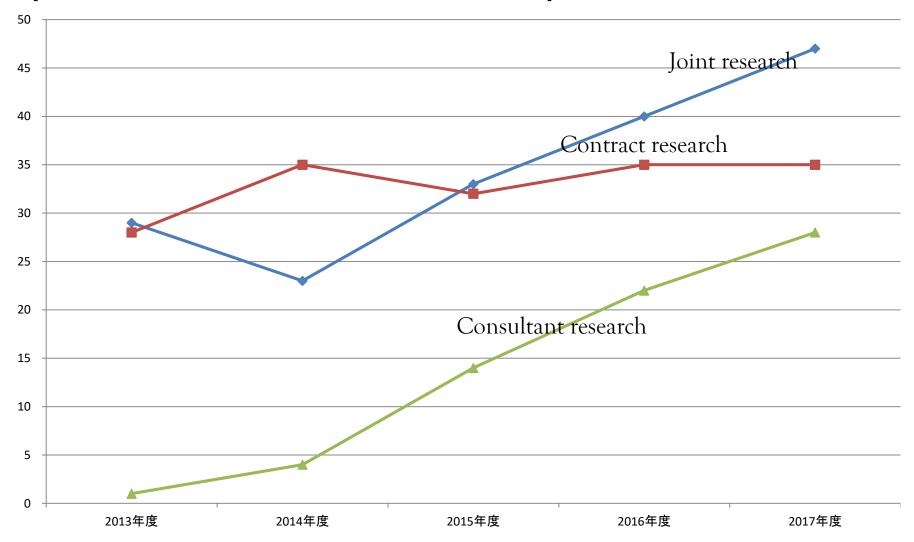
	University	Company
purpose	Education, research, social contribution	Business, social contribution
	Acquisition of research funds Publication of research results Student development	New business Defense of company's products etc
Attribution of research results	<ul> <li>Share by equity according to the degree of contribution</li> <li>Change stance by the created results</li> </ul>	Share by equity according to the degree of contribution
Implementation of research results	About the result of sharing, University would like to change stance depending on the result.	Companies want to use created results exclusively
Compensation for self- implementation	University want companies to pay compensation fee , regardless of whether companies implement the patent or not	<ul><li>(1) If a company monopolizes, pays compensation fee if sales or profits come out.</li><li>(2) If the company is non-monopolized, no compensation will be paid.</li></ul>
Patent application expenses	If the company implement the patent, the company should pay the patent cost	Companies want to pay patent cost according to their share
For publication of research results	University would like to publish in principle as an academic achievement.	<ul> <li>Until the research results are marketed,</li> <li>basically companies want to avoid publishing.</li> <li>companies want to close the core area</li> <li>technology and make it know-how</li> </ul>
Stance against Student handling	University conclude a contract with students according to the rules of the university. As University can't manage students, Professor supervise students.	<ul> <li>Companies allow the student's participation in condition that University taking on the responsibility of the students.</li> <li>Companies allow students to take part in the reserch in condition that Students have the same obligation as the university</li> </ul>

# OIT Four faculties in three Campus



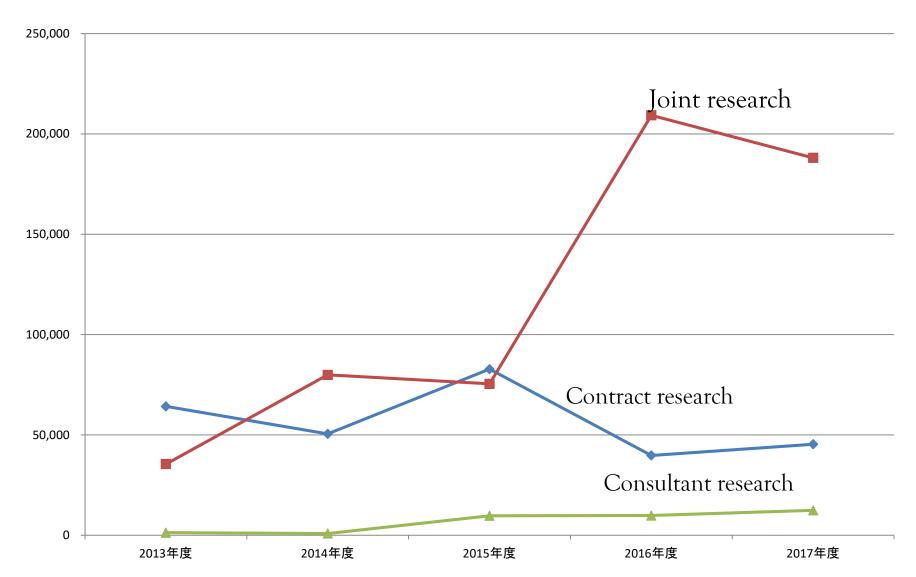


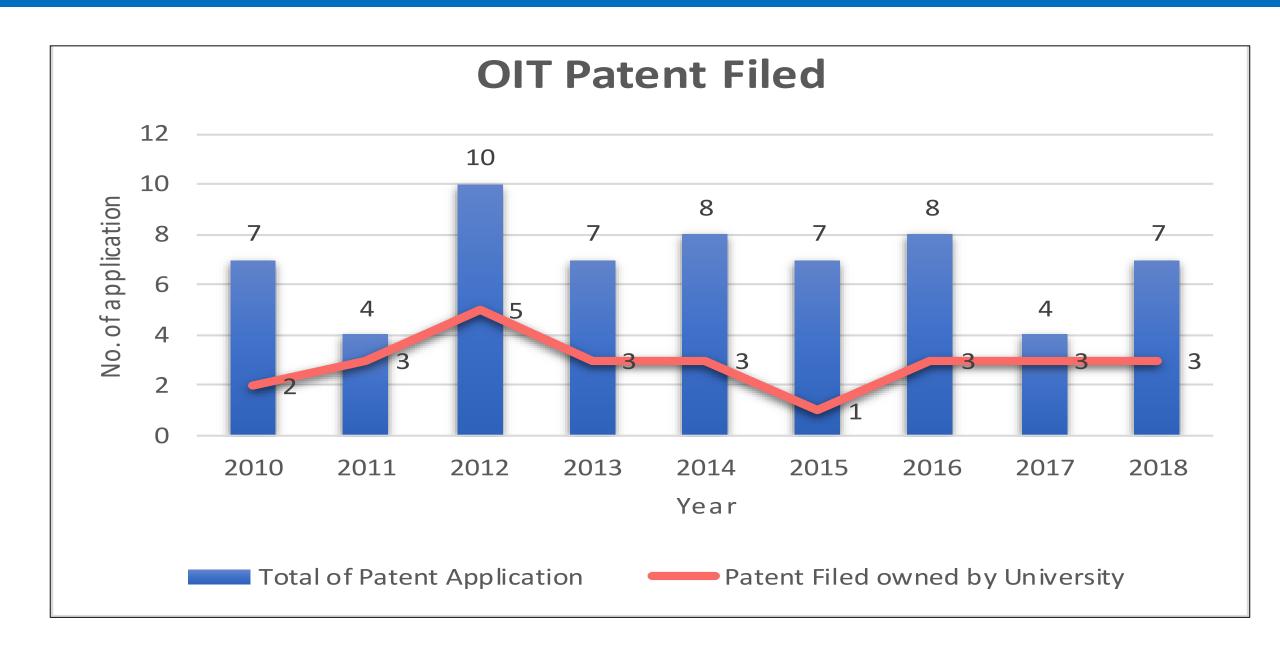
# (Number from 2013 to 2017 FY)



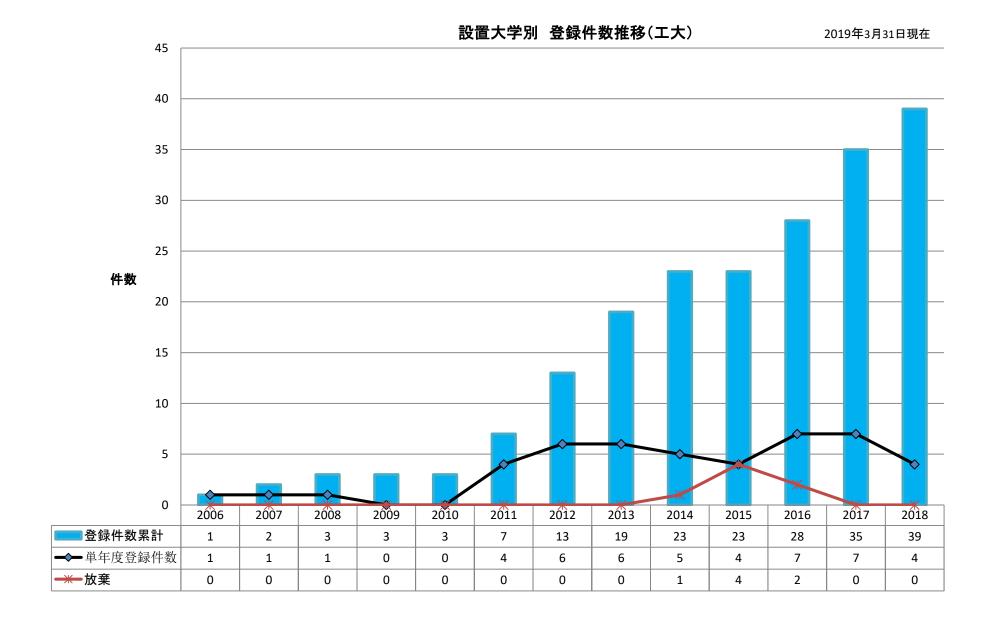


# (Income from 2013 to 2017 FY (1000 yen ))





# Patent Registered Number of OIT



# Characteristics of Industry-Academia Collaboration of the OIT

# 1. Wide variety scheme

- (1) By Engineering Div.
- (2) By Design Div.
- (3) By IP Div.
- 1 "Engineering + Design + IP" team collaborate service
  - a. OIT-P, b. X-port
- ② Services offered directly by IP Div.
- Consultation
- Seminar & Education
- Collaboration with bank

# 2. Characteristics of collaboration

- (1) Not only for big company but also for SME
- (2) Focus on client's needs
- (3) Find the client based on collaboration with local chamber of commercial & BANKS

# 3. Fusion of education and industry-academia collaboration for the OIT students

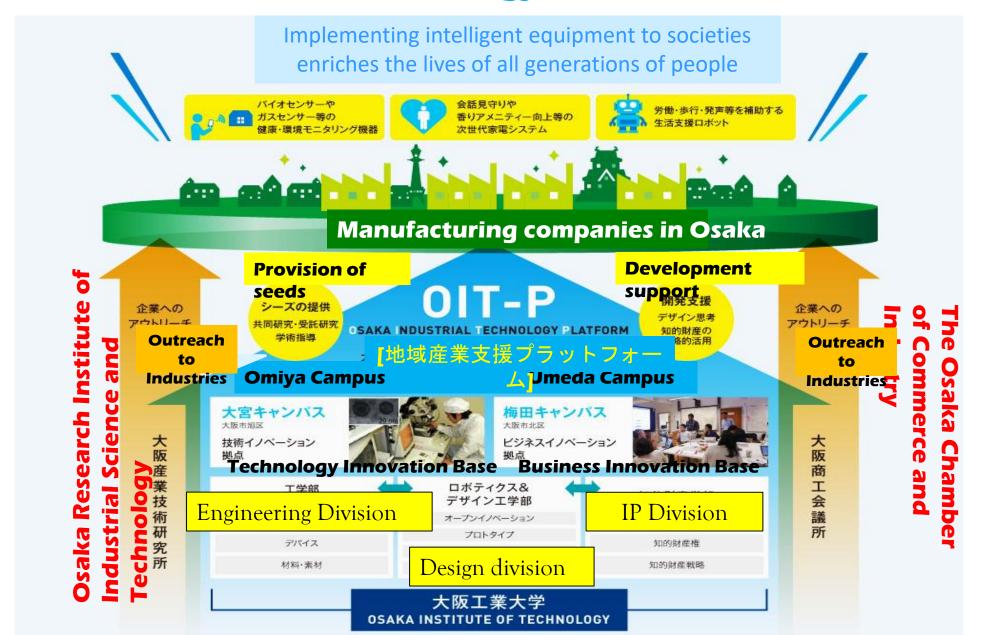
- Ai-Spec: Problem solution oriented contest
- Patent Idea contest:

Utilization of stocked patent

to some business

# **Osaka Industrial Technology - Platform**





# X-port Project



# An open innovation base founded by

# Osaka Chamber of Commerce and OIT



## Facility services for members

- ◆ Offer apparatus (ex. 3-D printer, laser processing) to make "Prototype products"
- ◆Conferance hall, Study rooms

At the center of OSAKA City

# Content of Projects

- 1. Exchange project
  (1) Business Plan contest, (2) Net working program, (3) Project Based learning program with students
- 2. Training project
  - (1) Design thinking (2) Innovation Mechanism, (3) IP basic knowledge for business
- 3. Prototype production support
- 4. Overseas collaboration program
- 5. IP related advice

# Intellectual property related advice service

Free consultation

- Individual consultation
- Introduction some special organization

Realize business,
Joint research, TT

subject	contents
Human resource development support	<ul><li>Seminar, Symposium,</li><li>Visit teaching</li><li>IP education for researchers</li></ul>
IP management suggestion	<ul><li>IP management Manual</li><li>Support for Tech Transfer</li><li>Internal development</li></ul>
Innovation support	<ul> <li>Patent , Design, Trade mark, Copy right, Trade secret</li> <li>Litigation</li> <li>Survey prior art, Paten map</li> </ul>

# Contribution wit local society "KAWAKAMI Village" in NARA Pref.







# By the OIT students renovation of Primary School through usiing local Wood(YOSHINO SUGI).









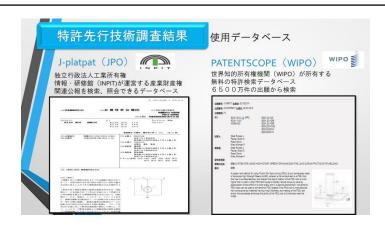
# New Idea Contests prepared for the OIT students at OSAKA

# Ai-Spec:

Problem solution oriented contest

## Proposal:

Teaching "How to use Patent Data base to SME researchers."



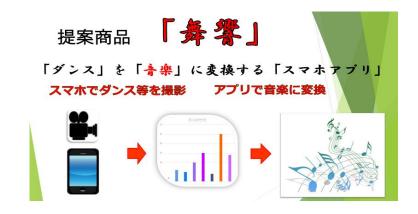


### **Patent Idea contest:**

Utilization of stocked patent to some business

## **Proposal**

Applied a human movement watching technology to a music creation system







# OIT of the students, by the students, for the students

# ご清聴ありがとうございました。 Thank you very much for your attention

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杉浦 淳