



## **Topic 7: Further Retrieval of Examination Work Products: USPTO GD, WIPO CASE**

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Head, International Cooperation on Examination and Training Section

**Casablanca  
Mars 20, 2018**

# Agenda

- Further dossier retrieval options
  - USPTO Global Dossier
  - PATENTSCOPE
  - WIPO CASE
- Further retrieval options for citations
- Comparing citations in CCD

# Further secondary access platforms

"One-stop shop" file inspection platforms:

## ■ **Global Dossier** (= IP5 One Portal Dossier)

- Access to all communications between offices/examiners and applicants for IP5 Offices (EPO, JPO, KIPO, SIPO, USPTO) & WIPO

- “On the fly”, i.e. up-to-date

- Access to dossiers of WIPO CASE (AU, CA, IL already now, more in future)

- Accessible through

- links in Espacenet, EP-Register

- Stand alone USPTO Global Dossier website

- Embedded in PATENTSCOPE

## ■ **WIPO CASE**

- Access to IP5 file wrappers (integration of Global Dossier)

- Plus (currently) GB, CA, AU, IL, SG

# Global Dossier in Espacenet


## Family list: KR20090079203 (A) — 2009-07-21

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21 application(s) for: KR20090079203 (A)

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### 1. SECURE UNIVERSAL TRANSACTION SYSTEM

★ Inventor:	Applicant:	CPC:	IPC:	Publication info:	Priority date:
FRANCHI JOHN FRANCO [US]	FRANCHI JOHN FRANCO [US]	<u>G06F21/32</u> <u>G06F21/34</u> <u>G06Q20/12</u> (+2)	G06K7/00 G06K9/00	KR20090079203 (A) 2009-07-21  <a href="#">Global Dossier</a>	2006-09-18

### 2. Secure transaction system

★ Inventor:	Applicant:	CPC:	IPC:	Publication info:	Priority date:
FRANCHI JOHN FRANCO [GB]	FRANCHI JOHN FRANCO [GB]	<u>G06F21/32</u> <u>G06F21/34</u> <u>G06Q20/12</u> (+2)	(IPC1-7): G05B19/00	AR062800 (A1) 2008-12-03	2006-09-18

# Global Dossier links in EP-Register

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### EP2570899

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- Patent family**
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### Patent family: EP2570899

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Type	Publication No.	Date	Type
Equivalent	US2013069890	21.03.2013	A1
	Priority number	Date	
	CN201110289572	18.09.2011	

Type	Publication No.	Date	Type
Equivalent	KR101452954B	21.10.2014	B1
	KR20130030721	27.03.2013	A
	Priority number	Date	
	CN201110289572	18.09.2011	

Type	Publication No.	Date	Type
Equivalent	JP5686777B	18.03.2015	B2

# Global Dossier at USPTO

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Office WIPO Type PCT Application


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**PCT/US2014/052705** 12 Members in Patent Family (12 currently shown) 📄 ⬇️

	Office	Application	Applicant	Title	App. Date	Priority #	Pub. #	Pub. Date	Action
⚠️	PE	0002862016			08/26/2014	US 61870089	PE 03542016 A1	05/11/2016	
⚠️	MX	20160024				US PCT/US14/52705 US 61870089	MX 2016002410 A	05/31/2016	
⚠️	EA	20169047				US PCT/US14/52705 US 61870089	EA 201690475 A1	06/30/2016	
⚠️	AR	P1401002			08/26/2014	US 61870089	AR 097461 A1	03/16/2016	
⊕	AU	2014311324 <a href="#">View Dossier</a>	1) Red Leaf Resources, Inc.,	Gas transport composite barrier	08/26/2014	US PCT/US14/52705 US 61870089	AU 2014311324 A1 AU 2014311324 B2	04/07/2016 11/10/2016	📁 (2) <span style="float: right;"><a href="#">Add to ★</a></span>
⚠️	AP	201609052			08/26/2014	US PCT/US14/52705 US 61870089	AP 201609052 D0	02/29/2016	
⊕	US	14469062 <a href="#">View Dossier</a>	1) Patten, James W. 2) Bunger, James W. 3) Seely, Dan	GAS TRANSPORT COMPOSITE BARRIER	08/26/2014	US 61870089 US 14469062	US 20150053269 A1	02/26/2015	📁 (1) <span style="float: right;"><a href="#">Add to ★</a></span>
⚠️	CA	2922019			08/26/2014	US PCT/US14/52705 US 61870089	CA 2922019 A1	03/05/2015	

"+" indicates that AU dossier is accessible

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**IP Office Type**

- All IP5 Offices
- EPO
- SIPO
- KIPO
- JPO
- USPTO
- Non-IP5 Offices

# WIPO CASE

Family table

## WIPO CASE

Application Number \* PCT/US2014/052705 Extended Simple e.g.: ( IL224004, GB1108683.2 )

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PCTUS2014052705

Time Line Tabular View Family Citations Discussion

Application/Priority #	Filing/National Entry Date	Publications	PCT Application #
ARP140103202 US61/870,089	2014-08-26 2013-08-26	AR 097461.A1.2016-03-16	
AU2014311324 US61/870,089	2014-08-26 2013-08-26	AU 2014311324.B2.2016-11-10 , AU 2014311324.A1.2016-04-07	PCT/US2014/052705.
<b>PCT/US2014/052705</b> US61/870,089	2014-08-26 2013-08-26	WO 2015/091250.A1.2015-02-05	
CN201480046997.2 US61/870,089	2014-08-26 2013-08-26	CN 105492095.A.2016-04-13	PCT/US2014/052705.
MY2016700460 US61/870,089	2014-08-26 2013-08-26	MY PI 2016700460.A.2015-02-26	PCT/US2014/052705.2014-08-26
MX2016002410 US61/870,089	2016-02-24 2013-08-26	MX 2016002410.A.2016-05-31	
IN6520/MUMNP/2016 US61/870,089	2016-02-25 2013-08-26	IN 201627006520.A.2016-07-22	PCT/US2014/052705.2014-08-26

AU family member

# WIPO CASE

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Application Number ▾ \* PCT/US2014/052705 **Extended** **Simple** e.g.: ( PCT/JP2013/081486, PCT/US2013/071150 ) **Compare** **Maximize** **Close all tabs**

PCT/US2014052705

**AU2014311324** ×

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Document Name	Date ↕	Pages/Size	<input type="checkbox"/>  
CORRO OUT	2016-10-28	0.2 MB	<input type="checkbox"/>    
CLAIM	2016-10-27	0.12 MB	<input type="checkbox"/>    
DESCRIPTION	2016-10-27	1.47 MB	<input type="checkbox"/>    
AMENDMENT	2016-10-18	1.49 MB	<input type="checkbox"/>    
AMENDMENT	2016-10-18	0.89 MB	<input type="checkbox"/>    
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AMENDMENT	2016-10-18	0.5 MB	<input type="checkbox"/>    

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**AU dossier**



# 'GD' in Patentscope (WIPO CASE data)

WIPO PATENTSCOPE  
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1. (AU2014311324) Gas transport composite barrier

National Biblio. Data Description Claims Drawings Documents

Published Application		
		View
AU2014311324A1	AU20160407	<a href="#">ZIP(XML + TIFFs)</a>
AU2014311324B2	AU20161110	<a href="#">ZIP(XML + TIFFs)</a>

Global Dossier		
Legal date	Description	Download
28.10.2016	CORRO OUT	<a href="#">PDF</a>
27.10.2016	CLAIM	<a href="#">PDF</a>
27.10.2016	DESCRIPTION	<a href="#">PDF</a>
18.10.2016	AMENDMENT	<a href="#">PDF</a>
18.10.2016	AMENDMENT	<a href="#">PDF</a>
18.10.2016	AMENDMENT	<a href="#">PDF</a>
18.10.2016	AMENDMENT	<a href="#">PDF</a>
18.10.2016	AMENDMENT	<a href="#">PDF</a>
18.10.2016	AMENDMENT	<a href="#">PDF</a>
18.10.2016	AMENDMENT	<a href="#">PDF</a>
30.08.2016	EXAM CORRO	<a href="#">PDF</a>
15.03.2016	ABSTRACT	<a href="#">PDF</a>
15.03.2016	ABSTRACT	<a href="#">PDF</a>

# Australian Register AusPat

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2014311324

· Gas transport composite barrier

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▸ Specification/e-Register

▾ eDossier

Document Date	Document Title	Document Type	Document Status	File Size (KB)	<input type="checkbox"/>
2017-02-23	 <a href="#">Standard Patent Certificate 23-02-2017</a>	CORRO OUT	FILED	1260	<input type="checkbox"/>
2017-02-23	 <a href="#">Standard Patent Notice of Grant 23-02-2017</a>	CORRO OUT	FILED	129	<input type="checkbox"/>
2017-01-03	Cover Sheet Patent ITP Payment 03-01-2017 SGBI-0001149186	OTHER	FILED	3	
2017-01-03	SPEO-0002145542 - Main Document	CORRO IN	FILED	10	
2016-10-31	ITP	CORRO OUT	FILED	120	
2016-10-31	ITP	CORRO OUT	FILED	120	
2016-10-28	 <a href="#">Notice of Acceptance and Bibliographic Attachment 28-10-2016</a>	CORRO OUT	FILED	207	<input type="checkbox"/>

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# Patentscope 'GD' data coverage as of Jan 2018

	<b>Data coverage for OPD services</b>
<b>EPO</b>	Patent applications filed on 01.06.1978 and onwards
<b>JPO</b>	Patent applications filed after 1990
<b>CA</b>	Patent applications published since 01.01.2008 <b>Note:</b> Only limited sets of documents are available (examination reports and search reports) for applications published between January 2008 and September 2015.
<b>AU</b>	Patent applications filed after 2006
<b>US</b>	Patent application filed on Jan 1, 2003 and onwards. Prior to 2003, applications are available on a case by case basis.

Thank you

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