XML4IP Task Force Meeting

Alexandria, USA, September 29 to October 3, 2014

Meeting REPORT

## INTRODUCTION

The XML4IP Task Force meeting took place in Alexandria from September 29 to October 3 2014. The following four offices/organizations were represented at the meeting: IPA, OHIM, USPTO and the International Bureau (IB). The adopted meeting agenda and participants list are reproduced as Annexes to this report.

The meeting was opened by the IB and welcome statements were made by Ms. Sharon Marsh, Deputy Commissioner for Trademarks, USPTO. Mr. Yun from the IB chaired the meeting.

## DISCUSSIONS, CONCLUSIONS, AND AgreementS

## MONDAY, SEPTEMBER 29, 2014

1. The International Bureau of WIPO (IB) opened the meeting to thank all parties present during the meeting. The IB stated that the main focus would be on developing Trademark international communications schemas. The IB also mentioned that several IPOs have experiences implementing the ST.96 schemas. It is important to help each other in implementing ST.96 in harmonized manner in order to facilitate data exchange among IPOs.
2. Ms. Sharon Marsh, Deputy Commissioner for Trademarks, welcomes task force members. Ms. Marsh emphasized the importance of the WIPO ST.96 Standard work. USPTO Trademark is using WIPO Standard ST.96 for Trademark Status & Document Retrieval (TSDR) and Electronic Official Gazette (EOG) based on WIPO ST.96 D3. Transferring data across the world following the Standard is important for the whole IP community including Offices and database providers.
3. The meeting agenda was adopted as proposed.

### *Agenda Item 4: Oral progress report on XML4IP Project*

1. The Task Force Leader delivered a progress report on the development of ST.96 since the last XML4IP Task Force meeting. He reminded that two PRFs are under discussions, one for ST.96 Main Body and the other for ST.96 Annex I (DRCs).
2. He also reported the latest update of ST.96 XML Schemas, Ver 1.0 D7.
   1. Task Force members are invited to comment on the D7 schemas.
   2. Comments are received comments from JPO. All Participants are invited to review and comment.
   3. All updates are based on comments from the November 2013 meeting.
   4. Geographic Regions changes frequently and will not be added to ST.96.
   5. Revise Annex II and Annex IV to reflect the changes based on D7.
   6. Annex IV is already available on WIPO WIKI.
   7. Updated the schematron based on Annex I DRC, Version D7 of ST.96. Available through WIPO SVN.
3. Future Schematron Enhancement
   1. Alexandre - asked if the schematron can validate Office implemented schemas and validate and compare against ST.96 schemas to analyze and document the differences. Future schematron will include this requirement if possible.
   2. Oxford Dictionary - Ensure that all terms exist in the Oxford dictionary. This check is free through the Oxford Dictionary Web Service. Future schematron will include this requirement if possible.
4. PVP - Plant Variety Protection
   1. Schemas are based on ST.96 components. WIPO ST.96 Common, patent, and Trademark components are potential components that can be used.
   2. UPOV is developing PVP XML Schemas, which will be available by end of this year. UPOV is another organization not part of WIPO.
5. CWS - WIPO Assembly occurred last week.
   1. Development agenda are still issues
   2. CWS Meeting will occur next week focusing on Technical aspect.

### *Agenda Item 5: Review of the list of XML schema components for Madrid System communication*

1. Madrid communication - Input (from IPO to IB)/Output (from IB to IPO)- IssueID-524
   1. Received reports from OHIM, UPSTO, WIPO, Rospatent, IP Australia.
   2. WIPO provided statistics on types of communications received
   3. CIPO - needs Hague and IRPI WSDL
   4. Place all MECA components in Trademark Namespace
2. Both Input MECA and Output MECA are needed at the same time to successfully complete MECA communications.
   1. The complete MECA schemas are preferred and then all IPOs can make the investment at that time.
   2. IB/Madrid proposed Top 5 Input MECA transactions
   3. IB/Madrid proposed all output MECA
   4. OHIM - will be able to start investing in MECA schemas 3 years from now.
   5. USPTO - proposed to create both Input and Output MECA schemas.
   6. Schema components need to cover: MGS- Madrid goods and services, Input MECA, Output MECA, IRPI - International registration Process Integration web service.
      1. WIPO - need to break the work into two groups
         * Create Input/Output MECA now
         * MGS (Madrid Goods and Services) and IRPI WSDLs will be addressed in future versions of the ST.96 standard
3. Participants s agree on Input MECA communications first:

Use descriptive names for these components in XML Schema

* 1. GP, TRANGP - Grant Protection
  2. IS
  3. RF
  4. FI
  5. EN
  6. OP
  7. EX

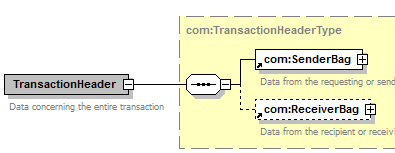
### *Agenda Item 6: Development of ST.96 XML Schema for Madrid System communication*

1. MECA Communication Header and footer
   1. Different Header for Input and Output MECA.
   2. Participants agree on a single MECA Input schema containing all MECA in transactions.
   3. Participants agree on a single MECA Out XML Schema containing all MECA out transactions.
   4. The schema body will contain transactions types (EN, OP, GP, etc).
   5. Participants agreed on using meaningful transaction name instead of transaction code. For example, MadridApplication for TRANEN.
2. MECA communication from IP Office to WIPO
   1. Modify the schema as appropriate to create the implementation schemas for MECA communications.
   2. Namespace should be kept in the schemas
   3. Header Section
      1. Placed counts of in header section
      2. Add TransactionCountBag.TransactionCount - TransactionNameCategory (enumerated list) plus TransactionQuantity.
      3. Add new component, TransactionTotalQuantity
      4. Add new component, TransactionCountBag
      5. Option 1: Keep SenderBag
      6. Option 2: Use some components from SenderBag: Copy child elements of SenderBag: RequestProducer (IPOfficeCode), choice of RequestDate or RequestDatetime, and RequestReceivingOfficeCode.
   4. Need to identify transaction type and counts for each transaction
   5. Remove ReceiverBag component
   6. Footer component is not needed
3. Changes to some existing ST.96 D7 Components. Full mapping table will be provided later.
   1. ST.96 com:ReferenceNumber - update description to remove text related to EPO from the description.
   2. MadridSystemCategoryType needs a 3rd enumeration value: “Protocol article 9 sexies”
   3. CPO - new enumeration value: Partial Transfer to Tmk:BasicRecordCategoryType
   4. MARDESGR –Revise tmk:MarkDescriptionText and add optional attribute languageCode.
   5. Modify tmk:OtherDate (otherDateCategory attribute) from optional to mandatory.
4. Some new components for ST.96. Full mapping table will be provided later.
   1. TRANOP - tmk:PossibleOppositionNotification
   2. TRANGP - tmk:GrantProtection
   3. OFFICEUSE - OfficeSpecificContent
   4. REFDAT - RefusalPronouncedDate -
   5. TRANIS (TRANGP with date) - tmk:InterimStatus
   6. MAILDATE - MailDate
   7. TRANRF - tmk:TotalProvisionalRefusal
   8. TRANRF - tmk:PartialProvisionalRefusal
   9. tmk:GoodsServicesRefusalBag.GoodsServicesRefusal:
      1. Add new element, GoodsServicesRefusalCategory (Enumeration values: Refused and Not refused) (Description- Goods and Services is refused, and Goods and Services not refused)
   10. tmk:RefusalClassDescriptionBag.tmk:RefusalClassDescription (one or more)
   11. TRANFI - (Final Decision) - tmk:FinalTotalGrantProtection
   12. TRANFI - (Final Decision) - tmk:FinalPartialGrantProtection
   13. TRANFI - (Final Decision) - FinalTotalRefusal
   14. PROCESSED - CompletedProcessing
   15. NEWNAME - HolderRepresentativeChange
   16. BIRTH - MadridDesignation
   17. FEEDATE - FeeCalculationDate
   18. MARDUR - ProtectionPeriod
   19. SOUMARI - Tmk:MarkFeatureCategoryBag
   20. WEEKNO - Provisionally WeekNumber (or alternative InternationalBulletinNumber)
   21. PLCSEEGR - PreviousLicensee, but uses existing LicenseeType.
   22. CLASSES - ClassTotalQuantity
   23. OFFREF - Com:OfficeReference
   24. MECA Header: TransactionTotalQuantity
   25. MECA Header: TransactionCountBag.TransactionCount.MadridTransactionCategory
   26. MECA Header: TransactionCountBag.TransactionCount.ClassQuantity
   27. DUEDATE - new component, com:PaymentDueDate
   28. REPLY-BY-DATE - new component, ResponseDueDate
   29. FEEDATE - new component, FeeCalculationdate
   30. new component, MarkFeatureCategoryBag
5. General guideline in developing XML Schema for MECA In and MECA out transactions:
   1. Using existing components in latest version of ST.96, D7, as much as possible
   2. Remove TRAEDAT from the new schema
   3. Remove TRANSVE from the new schema
   4. Make all attributes elements. These elements should appear in the order as specified in the DTD be in the first part of each transaction schema.
6. TRANOP - tmk:PossibleOppositionNotification (Aggregate level component). Description: Notification of possible opposition after 18 month time limit.
   1. ~~TRAEDAT~~
   2. ~~TRANSVE~~
   3. OFFREF - Com:OfficeReference
   4. ORIGLAN - tmk:ApplicationLanguageCode
   5. INTREGN - tmk:InternationalRegistrationNumber
   6. NAMEADD - tmk:Holder (There can be more than one holder)
   7. OPPERS - tmk:OppositionPeriodStartDate
   8. OPPERE - tmk:OppositionPeriodEndDate
   9. DOCFILE –com:DocumentIncludedBag (mandatory)
   10. RPAD - com:CommentText (optional)
7. TRANGP - tmk:GrantProtection - Description - Statement of Grant of Patent where no notification of provisional refusal has been communicated.
   1. ~~TRAEDAT~~
   2. ~~TRANSVE~~
   3. OFFREF - Com:OfficeReference
   4. ORIGLAN - tmk:ApplicationLanguageCode
   5. INTREGN - tmk:InternationalRegistrationNumber
   6. NAMEADD - tmk:Holder (There can be more than one holder)
   7. ~~OPPOSS~~
   8. ~~OPPERE~~
   9. OFFICEUSE - OfficeSpecificContent
   10. DOCFILE - Com:DocumentIncludedBag (optional)
   11. RPAD - com:CommentText (optional)
8. TRANIS (TRANGP with date) - tmk:InterimStatus - Description: Interim status of the Trademark in a designated country.
   1. TRAEDAT
   2. TRANSVE
   3. OFFREF - com:OfficeReference
   4. ORIGLAN - tmk:ApplicationLanguageCode
   5. INTREGN - tmk:InternationalRegistrationNumber
   6. NAMEADD - tmk:Holder (There can be more than one holder)
   7. OPPERE- tmk:OppositionPeriodEndDate
   8. OFFICEUSE - OfficeSpecificContent
   9. DOCFILE - Com:DocumentIncludedBag
   10. RPAD - com:CommentText (optional)
9. TRANRF - TotalProvisionalRefusal - Description: Provisional refusal of all goods and services for which protection was requested.
   1. ~~TRAEDAT~~
   2. ~~TRANSVE~~
   3. OFFREF - Com:OfficeReference
   4. ORIGLAN - tmk:ApplicationLanguageCode
   5. INTREGN - tmk:InternationalRegistrationNumber
   6. Holder - optional –Not HolderBag. There can be more than one holder.
   7. REFDAT - RefusalPronouncedDate - Date the refusal was pronounced by the competent authority.
   8. OFFICEUSE - OfficeSpecificContent
   9. DOCFILE - Com:DocumentIncludedBag
   10. MAILDATE - MailDate - Description: the date mailed or transmitted electronically by the sending office
   11. RPAD - com:CommentText
10. TRANRF - tmk:PartialProvisionalRefusal - description: Partial provisional refusal of all goods and services for which protection was requested.
    1. ~~TRAEDAT~~
    2. ~~TRANSVE~~
    3. OFFREF - Com:OfficeReference
    4. ORIGLAN - tmk:ApplicationLanguageCode
    5. INTREGN - tmk:InternationalRegistrationNumber
    6. Create New component, tmk:GoodsServicesRefusalBag.GoodsServicesRefusal:
       1. Add new element, GoodsServicesRefusalCategory (Enumeration values: Refused and Not refused) (Description- Goods and Services is refused, and Goods and Services not refused)
       2. Add com:CommentText
       3. tmk: ClassDescriptionRefusalBag.tmk: ClassDescriptionRefusal (one or more)
          * Tmk:ClassNumber (mandatory)
          * Tmk:GoodsServicesDescriptionText (one or more)
    7. REFDAT - RefusalPronouncedDate - Date the refusal was pronounced by the competent authority.
    8. OFFICEUSE - OfficeSpecificContent
    9. DOCFILE - Com:DocumentIncludedBag
    10. MAILDATE - MailDate - The date mailed or transmitted electronically by the sending office.
    11. RPAD - com:CommentText
11. TRANFI - (Final Decision), tmk:FinalTotalGrantProtection (without any refusal); Description: A total granted protection following a provisional refusal.
    1. ~~TRAEDAT~~
    2. ~~TRANSVE~~
    3. OFFREF - Com:OfficeReference
    4. ORIGLAN - tmk:ApplicationLanguageCode
    5. INTREGN - tmk:InternationalRegistrationNumber
    6. tmk:Holder - not HolderBag- (There can be more than one holder)
    7. OFFICEUSE - OfficeSpecificContent
    8. DOCFILE –Com:DocumentIncludedBag (Mandatory)
    9. RPAD - com:CommentText
12. TRANFI - (Final Decision) - tmk:FinalPartialGrantProtection Desription: A partial granted protection following a provisional refusal.
    1. ~~TRAEDAT~~
    2. ~~TRANSVE~~
    3. OFFREF - Com:OfficeReference
    4. ORIGLAN - tmk:ApplicationLanguageCode
    5. INTREGN - tmk:InternationalRegistrationNumber
    6. Reuse tmk:GoodsServicesBag.GoodsServices:
    7. OFFICEUSE - OfficeSpecificContent
    8. DOCFILE - Com:DocumentIncludedBag
    9. RPAD - com:CommentText
13. TRANFI - (Final Decision), FinalTotalRefusal Description: Confirmation total refusal.
    1. ~~TRAEDAT~~
    2. ~~TRANSVE~~
    3. OFFREF - Com:OfficeReference
    4. ORIGLAN - tmk:ApplicationLanguageCode
    5. INTREGN - tmk:InternationalRegistrationNumber
    6. OFFICEUSE - OfficeSpecificContent
    7. DOCFILE - Com:DocumentIncludedBag (mandatory)
    8. RPAD - com:CommentText

## TUESDAY, SEPTEMBER 30, 2014

1. MAILDATE - Participants agreed on element name, MailDate. Description: The date mailed or transmitted electronically by the sending office.
2. ST.96 com:ReferenceNumber - update description to remove text related to EPO from the description.
3. OFFREF - Rename OFFREF to Com:OfficeReference Description: A reference identifier assigned by the sending office. Define as free format, as xsd:string and make optional.
4. OFFICEUSE - Participants agreed on element name, OfficeSpecificContent Description: Office specific data that could be used to format styled information or any other special needs. A freeform text field, define as xsd:string.
5. TransactionHeader -
   1. It was discussed how to define Transaction and its child components such as TransactionHeader for Madrid communication. One proposal was to leave TransactionHeader in common, but allow each office to define their own set of enumerated list. Another option presented was to add new components for Madrid transaction in tmk, e.g., MadridTransaction and MadridTransactionHeader
6. Transaction Header subcomponents. Add (Madrid)TransactionCountBag. (Madrid)TransactionCount - MadridTransactionCategory (enumerated list of transaction names) plus TransactionQuantity.
   1. TransactionTotalQuantity: The total number of transaction in the current delivery.
   2. MadridTransactionCategory - The type of transaction name being sent.

Create tmk:MadridTransactionCategoryType



Implementation

tmk:MadridTransactionHeader

Com:SenderBag

com:TransactionTotalQuantity

tmk:MadridTransactionCountBag

tmk:MadirdTransactionCount

Tmk:MadridTransactionCategory

com:TransactionTotalQuantity

One of the options to develop Madrid transaction components that participants discussed was to define common components as a union of tmk and dgn and restrict it for tmk and trademark and design components. For example, com: TransactionCategoryType union of Tmk:MadridTransactionCategoryType, dgn:HagueTransactionCategoryType. However, according to DRCs, common components cannot refer to tmk or dgn components. So participant agreed not to use this option.

Example,

<tmk:MadridTransactionCountBag

**Tmk**:Madrid**TransactionCount>**

tmk:MadridTransactionCategory>Grant Protection

Note: Common components cannot refer to Trademark, Patent components.

com:TransactionTotalQuantity>25

**/Tmk**:Madrid**TransactionCount>**

**Tmk**:Madrid**TransactionCount>**

MadridTransactionCategory>Madrid application

TransactionTotalQuantity>30

**/Tmk**:Madrid**TransactionCount>**

**Tmk**:Madrid**TransactionCount>**

MadridTransactionCategory>Possible opposition notification

TransactionTotalQuantity>35

**/Tmk**:Madrid**TransactionCount>**

</**Tmk**:MadridTransactionCountBag

### MECA Out - Transactions sent from IB to IP Office

1. PROCESSED - CompletedProcessing (Description: Transaction processing completed at WIPO)
   1. Move all attributes to element.
   2. DOCID - Com:RecordIdentifier
   3. REGEDAT - com:RecordEffectiveDate
   4. REGRDAT - Com:RecordFilingDate
   5. OFFREF - OfficeReference
   6. TRANTYP - Tmk:BasicRecordCategory
   7. HOLREF - Tmk:ApplicationReference
   8. INTREGN –tmk:InternationalRegistrationNumber. Delete RENPREF attribute.
2. NEWNAME - HolderRepresentativeChange Description: Each new name transaction contains details of a new holder or representative of an international Trademark.
   1. Moved all attributes to element.
   2. DOCID - Com:RecordIdentifier
   3. OFFREF - Com:OfficeReference
   4. HOLREF - Tmk:ApplicationReference
   5. REGEDAT - Com:RecordEffectiveDate
   6. REGRDAT - Com:RecordFilingDate
   7. INTREGN –tmk:InternationalRegistrationNumber
   8. TRANTYP - Tmk:BasicRecordCategory
   9. NOTDATE - com:RecordNotificationDate
   10. CORRGR - com:CorrespondenceAddress
   11. HOLGR - HolderBag for current holder and previous holder
   12. REPGR - com:Representative
   13. ~~REPCANI~~
   14. PHOLGR - Not needed, previous holder is already covered in HolderBag
3. BIRTH - MadridDesignation (Description - Designation, including subsequent designation, of international registration to contracting parties. Also known as request for extension of protection.)
   1. Reuse Trademark. Apply any missing components below to Trademark components
   2. Move all attributes to element
   3. ORIGLAN - Tmk:ApplicationLanguageCode - mandatory
   4. SECLANG - com:SecondLanguageCode - optional
   5. DOCID - com:RecordIdentifier - mandatory
   6. OOCD - tmk:OfficeOriginCode - mandatory - description: Office of origin. Type will be extended WIPO ST.3 code.
   7. REGEDAT - Com:RecordEffectiveDate -
   8. REGRDAT - com:RecordFilingDate
   9. DESUNDER - tmk:MadridSystemCategory
   10. ~~ART9SEXIES - Not needed in schema.~~
   11. INTREGD - tmk:InternationalRegistrationDate
   12. RENDATE - tmk:RenewalDate
   13. FEEDATE - new component, FeeCalculationdate. Define as date, no time (Description - The date used to calculate the fee.)
   14. EXPDATE - Com:ExpiryDate
   15. NOTDATE - Com:RecordNotificationDate
   16. TRANTYP - tmk:BasicRecordCategory
   17. OFFREF - Com:OfficeReference (optional)
   18. HOLREF - tmk:ApplicationReference -
   19. INTREGN - tmk:InternationalRegistrationNumber
   20. CORRGR - com:CorrespondenceAddress
   21. HOLGR - tmk:HolderBag
   22. REPGR - com:Representative
   23. IMAGE - tmk:MarkImage
   24. MARTRAN - MarkTransliteration
   25. SIGVRBL - MarkSignificantVerbalElementText
   26. STDMIND - tmk:MarkStandardCharacterIndicator
   27. ~~MARDUR - Removed from schema~~
   28. VIENNAGR - tmk:MarkImageCategory
   29. MARKCOLI - Com:ColourIndicator -
   30. THRDMAR - Tmk:MarkFeatureCategoryBag (3 D indicator)
   31. SOUMARI - Tmk:MarkFeatureCategoryBag
   32. TYPMARI - tmk:MarkCategory (Typical Mark)
   33. COLMARI –- New component, MarkFeatureCategoryBag (Color Mark)
   34. VRBLNOT - tmk:MarkVerbalElementSignificantIndicator
   35. PREREGG - tmk:PreviousRegistrationBag
   36. ~~COLCLAGR - Not needed in schema. Not needed it is a container~~
   37. COLCLAEN - tmk:MarkImageColourClaimedText
   38. COLPAREN - tmk:MarkImagePartClaimedText (new)
   39. MARDESGR –Revise tmk:MarkDescriptionText and add optional attribute languageCode.
   40. MARTRGR - MarkTranslationTextBag with languageCode attribute
   41. DISCLAIMGR - MarkDisclaimerBag
   42. ~~NATDECGR - Not needed in schema. Not used.~~
   43. BASICGS - Tmk:GoodsServicesBag
   44. BASGR - Tmk:BasicRegistrationApplicationBag
   45. PRIGR - Tmk:PriorityBag.Tmk:Priority
   46. SENGRP - Tmk:SeniorityBag

Modify existing DesignatedCountry. MadridSystemCategory.MadridSystemCategoryType to add a 3rd enumeration value:

* + 1. Agreement - (Revised Designation under the Madrid agreement.)
    2. Protocol - (Revised Description: Designation under the Madrid protocol)
    3. Protocol article 9 sexies (description - designation under the protocol by virtue of article 9 sexies)
  1. DESAG - DesignatedCountryBag.DesignagedCountry
  2. DESPG - DesignatedCountryBag.DesignagedCountry
  3. DESPG2 –DesignatedCountryBag.DesignagedCountry
  4. INTENTG - RecordUseIntentBag (Intent to use)

Map enumeration values to BasicRecordBag.BasicRecordCategoryType (From CBOP to INOP). Add one new enumeration value to BasicRecordCategoryType.

* 1. CBOP –– Partial ceasing effect
  2. LIO - Limitation
  3. RFOT - Total refusal - aleady there
  4. RFOP - partial refusal - arleady there
  5. FIOC - final confirmation refusal
  6. FIOO - Final other decision
  7. PCO - partial cancellation
  8. CPO - new enumeration value: Partial Transfer to BasicRecordCategoryType
  9. INOP - Partial invalidation
  10. LIMGR - Goods Services Limitation

1. DEATH - Change DEATH to MadridDesignationTermination (Description - Termination of protection in the designated contracting party).
   1. Move all attributes to element
   2. DOCID - Com:RecordIdentifier
   3. INTREGN - tmk:InternationalRegistrationNumber
   4. TRANTYP - Tmk:BasicRecordCategory
   5. REGRDAT - Com:RecordFilingDate
   6. OFFREF - Com:OfficeReference
   7. EXPDATE - Com:ExpiryDate
2. BasicRecordCategoryType –Mapping spreadsheet will be produced.
   1. IB prefers to have a unique enumerated list(simple type) for each transaction type (BIRTH, PROCESSED, DEATCH, etc.) For example: BasicRecordBirthCategory, BasicRecordDeathCategory, BasicRecordProcessedCategory. Madrid data must be able to be processed automatically.
   2. Enforcing the enumerations in the schema offers stronger validation

## WEDNESDAY, OCTOBER 1, 2014

1. PROLONG - InternationalRegistrationRenewal Description - Data related to the renewal of an international registration.
   1. Move all attributes to element
   2. DOCID - Com:RecordIdentifier
   3. INTREGN - tmk:InternationalRegistrationNumber
   4. TRANTYP - Tmk:BasicRecordCategory
   5. REGRDAT - Com:RecordFilingDate
   6. DESUNDER - tmk:MadridSystemCategory
   7. RENDATE - tmk:RenewalDate
   8. FEEDATE - FeeCalculationDate is a new component. Define as date, no time (The date used to calculate the fee.)
   9. OFFREF - Com:OfficeReference
   10. EXPDATE - com:ExpiryDate
2. CREATED - InternationalRegistrationCreation Description: Notification of creation of international registration to the office of origin.
   1. Move all attributes to element
   2. ORIGLAN - Tmk:ApplicationLanguageCode - mandatory
   3. DOCID - Com:RecordIdentifier
   4. OOCD - tmk:OfficeOriginCode
   5. REGEDAT - Com:RecordEffectiveDate
   6. EXPDATE - Com:ExpiryDate
   7. NOTDATE - Com:RecordNotificationDate
   8. TRANTYP - Tmk:BasicRecordCategory
   9. OFFREF - Com:OfficeReference
   10. HOLREF - ApplicationReference
   11. INTREGN - tmk:InternationalRegistrationNumber
   12. CORRGR - Com:CorrespondenceAddress
   13. HOLGR - Holder. HolderBag is not needed. There could be multiple.
   14. REPGR - com:Representative
   15. IMAGE - Tmk:MarkImage
   16. MARTRAN - MarkTransliteration
   17. SIGVRBL - MarkSignificantVerbalElementText
   18. STDMIND - tmk:MarkStandardCharacterIndicator
   19. MARDUR - new Component, ProtectionPeriod (Period of protection for intellectual property) the value will be in years or months (Need attribute to indicate value type)
   20. VIENNAGR - Tmk:MarkImageCategory
   21. MARKCOLI - Com:ColourIndicator
   22. THRDMAR - Tmk:MarkFeatureCategoryBag (3 D indicator)
   23. SOUMARI - Tmk:MarkFeatureCategoryBag (Sound)
   24. TYPMARI - Tmk:MarkCategory (Typical Mark)
   25. COLMARI - MarkFeatureCategory (Color Mark)
   26. VRBLNOT - New component (Mark cannot be translated)
   27. PREREGG - tmk:PreviousRegistrationBag
   28. ~~COLCLAGR - Not needed it is a container~~
   29. COLCLAEN - Tmk:MarkImageColourClaimedText
   30. COLPAREN - Tmk:MarkImagePartClaimedText
   31. MARDESGR - Revise tmk:MarkDescriptionText and add optional attribute languageCode.
   32. MARTRGR - MarkTranslationText
   33. DISCLAIMGR - MarkDisclaimerBag
   34. ~~NATDECGR - Not used.~~
   35. BASICGS - Tmk:GoodsServicesBag
   36. BASGR - Tmk:BasicRegistrationApplicationBag
   37. PRIGR - Tmk:Priority
   38. SENGRP - Seniority

Modify existing DesignatedCountry. MadridSystemCategory.MadridSystemCategoryType to add a 3rd enumeration value:

* + 1. Agreement - (Revised Designation under the Madrid agreement.)
    2. Protocol - (Revised Description: Designation under the Madrid protocol)
    3. Protocol article 9 sexies (description - designation under the protocol by virtue of article 9 sexies)
  1. DESAG - DesignatedCountry
  2. DESPG - DesignatedCountry
  3. DESPG2 - DesignatedCountry
  4. INTENTG - RecordUseIntentBag (Intent to use)

1. CORRECTION - TransactionCorrection - Description - A correction to a transaction previously submitted.)
   1. Move all attributes to element
   2. DOCID - Com:RecordIdentifier
   3. REGEDAT - Com:RecordEffectiveDate
   4. OFFREF - OfficeReference (optional)
   5. Unbounded choice of description of the change - Add CorrectionDescriptionBag-CorrectionDescriptionText (always in three languages - and add attribute languageCode)
      1. TEXTEN
      2. TEXTFR
      3. TEXTES
   6. GAZNUM - com:PublicationIdentifier
   7. INCORRECT - IncorrectContent Mandatory - (description - A transaction that was previously submitted with incorrect data.)
      1. BIRTH
      2. DEATH
      3. PROLONG
      4. RESTRIC
      5. NEWNAME
      6. NEWBASE
      7. COREATED
      8. PAID
   8. CORRECT - CorrectContent (The portion of the transaction that has been corrected. Multiple content can be changed or corrected.) Corrected Content Category
      1. Add element CorrectedContentCategory
      2. Values for CorrectContentCategory (CorrectContentCategoryType)
         * CHANGED-TYPE - Transaction category corrected- indicates transaction category has changed
         * CHANGED-CORR - Correspondence address corrected - Indicates the Correspondence Address has changed
         * CHANGED-REP - Representative corrected - Indicates the Representative has changed
         * CHANGED-OR-GS - Override goods and services corrected - Indicates an override Goods and Services text has changed
         * CHANGED-OR - Override corrected - Indicates an override (limitation / partial cancellation etc) has changed
         * CHANGED-BASIC - Basic registration or application detailed corrected - Indicates the Basic Registration / Application details have changed
         * CHANGED-DESGTN - Designation corrected - Indicates the Designations have changed
         * CHANGED-GS - Goods and services changed - Indicates the Basic list of Goods and Services has changed
         * CHANGED-HOLDER - Holder corrected -
         * CHANGED-IR - International registration corrected
         * CHANFGED-PRTY - Priority corrected
         * CHAGNED-IMAGE - Image corrected - Indicates the Mark Image has changed
         * CHANGED-IRTEXT - Marked text corrected - Indicates the Mark Texts (Colours, descriptions etc) have changed
      3. APPLIES TO ONE CHOICE FROM BELOW
         * BIRTH
         * DEATH
         * PROLONG
         * RESTRICT
         * NEWNAME
         * NEWBASE
         * CREATED
         * PAID
2. RESTRICT - ProtectionRestriction - Description - Restriction of protection
   1. Move all attributes to element
   2. ORIGLAN - Tmk:ApplicationLanguageCode - mandatory
   3. DOCID - Com:RecordIdentifier
   4. REGEDAT - Com:RecordEffectiveDate
   5. REGRDAT - Com:RecordFilingDate
   6. INTREGN - tmk:InternationalRegistrationNumber
   7. TRANTYP - Tmk: ProtectionRestrictionCategory
   8. OFFREF - Com:OfficeReference
   9. NOTDATE - Com:RecordNotificationDate
   10. Use Tmk:GoodsServiceLimitation
3. LICENSE-BIRTH - Rename to RecordLicence - Record of data related to a license of international registration. Check against existing Record License of ST.96 to see if it can be reused and USPTO will confirm.
   1. Move all attributes to element
   2. ORIGLAN - Tmk:ApplicationLanguageCode - mandatory
   3. DOCID - Com:RecordIdentifier
   4. LICID –LicenseIdentifier (LicenceBag)
   5. TRANTYP - Tmk:BasicRecordCategory
   6. INTREGN - tmk:InternationalRegistrationNumber
   7. REGRDAT - Com:RecordFilingDate
   8. NOTDATE - Com:RecordNotificationDate
   9. REGEDAT - Com:RecordEffectiveDate
   10. ALLGSI - tmk:GoodsServicesLimitationIndicator
   11. LICTYPE - com:LicenseCategory
   12. CORRGR - Correspondence Address
       1. CLID - PartyIdentifier
   13. HOLGR - Holder. Not HolderBag There could me more than on holder.
   14. LCSEEGR - tmk:Licensee
   15. REPGR - com:Representative
   16. LIMTO - GoodsServicesLimitation
   17. REMVD –GoodsServicesLimitation
   18. Unbounded choice - com:PeriodLimitationText
       1. DURTNEN
       2. DURTNFR
       3. DURTNES
4. LICENCE-NEWNAME - LicenseeRecordChange - (Change of name and/or address of licensee.)
   1. Move all attributes to element
   2. ORIGLAN - Tmk:ApplicationLanguageCode - mandatory
   3. DOCID - Com:RecordIdentifier
   4. LICID –LicenseIdentifier
   5. TRANTYP - Tmk:BasicRecordCategory
   6. INTREGN - tmk:InternationalRegistrationNumber
   7. REGRDAT - Com:RecordFilingDate
   8. NOTDATE - Com:RecordNotificationDate
   9. REGEDAT - Com:RecordEffectiveDate
   10. CORRGR - Correspondence Address
       1. CLID - PartyIdentifier
   11. HOLGR - Holder. Not HolderBag (1 or more multiple occurrence)
   12. LCSEEGR - tmk:Licensee (Licensee detail change)
   13. REPGR - use com:Representative
   14. PLCSEEGR - new component, PreviousLicensee, but uses existing LicenseeType.
5. NEWBASE - BasicRegistrationApplicationChange Description - Change to the basic registration or basic application on which an international registration is based.
   1. Move all attributes to element
   2. DOCID - Com:RecordIdentifier
   3. INTOFF - ReceivingOfficeCode
   4. REGEDAT - Com:RecordEffectiveDate
   5. NOTDATE - Com:RecordNotificationDate
   6. OFFREF - OfficeReference
   7. INTREGN - tmk:InternationalRegistrationNumber
   8. TRANTYP - Tmk:BasicRecordCategory
   9. BASGR - Tmk:BasicRegistrationApplicationBag
6. PAID Rename to SecondPartPayment. Description - Second part of a two part fee has been paid.
   1. Move all attributes to element
   2. DOCID - Com:RecordIdentifier
   3. INTREGN - tmk:InternationalRegistrationNumber
   4. TRANTYP - Tmk:BasicRecordCategory
   5. REGRDAT - Com:RecordFilingDate
   6. REGEDAT - Com:RecordEffectiveDate
   7. CLASSES - ClassTotalQuantity - Description - Total number of classes, e.g., for fee calculation.
   8. CORRGR - Correspondence Address
      1. CLID - PartyIdentifier
   9. HOLGR - Holder (1 or more multiple occurrence)
   10. REPGR - use com:Representative

## THURSDAY, OCTOBER 2, 2014

1. ENOTIF (components of MECA out, MadridIBToOffice) Attributes should be created and moved to MadridTransactionHeader as appropriate.
   1. Move all attributes to element
   2. CPCD - ReceivingOfficeCode
   3. GAZNO - PublicationIdentifier
   4. WEEKNO - new component, Provisionally WeekNumber
   5. PUBDATE - PublicationDate
   6. NOTDATE - Com:RecordNotificationDate
   7. NOTLANG - New component, NotificationLanguage and define using ISOLanguageCode
   8. BIRTHCOUNT - TransactionCountBag
   9. CORRECTIONCOUNT - TransactionCountBag
   10. CREATEDCOUNT - TransactionCountBag
   11. DEATHCOUNT - TransactionCountBag
   12. IRREGCOUNT - TransactionCountBag
   13. NEWBASECOUNT - TransactionCountBag
   14. NEWNAMECOUNT - TransactionCountBag
   15. RESTRICCOUNT - TransactionCountBag
   16. PROCESSEDCOUNT - TransactionCountBag
   17. PROLONGCOUNT - TransactionCountBag
   18. LICENSE-BIRTHCOUNT - TransactionCountBag
   19. LICENSE-NEWNAMECOUNT - TransactionCountBag
   20. PAIDCOUNT - TransactionCountBag
2. MECA Out Transaction Category. The following categories in the ST.96 category should replace corresponding TRANTYP com:BasicRecordCategory above.

| **MECA Value** | **MECA Transaction** | **ST.96 Enumeration Value** | **ST.96 Description** | **ST.96 Category** |
| --- | --- | --- | --- | --- |
| LIN | Restrict | Limitation | Limitation | ProtectionRestrictionCategory |
| PCN | Restrict | Partial cancellation | Partial Cancellation | ProtectionRestrictionCategory |
| CBNP | Restrict | Partial ceasing of effect | Partial ceasing of effect of basic registration or application | ProtectionRestrictionCategory |
| CPN | Restrict | Partial change of ownership | Partial change of ownership | ProtectionRestrictionCategory |
| FINO | Restrict | Final decision | Final decision used for EM only | ProtectionRestrictionCategory |
| HRN | Restrict | Restriction of a holders right of disposal | Restriction of a holders right of disposal | ProtectionRestrictionCategory |
| ENN | Birth | Registration | Registration | MadridDesignationCategory |
| EXN | Birth | Subsequent designation | Subsequent designation | MadridDesignationCategory |
| CPN | Birth | Partial change of ownership | Partial change of ownership | MadridDesignationCategory |
| FUN | Birth | Merger | Merger | MadridDesignationCategory |
| OBN | Birth | Subsequent designation resulting from conversion | Subsequent designation resulting from conversion | MadridDesignationCategory |
| RAN | Death | Cancellation | Cancellation | MadridDesignationTerminationCategory |
| REN2 | Death | Non renewal of trademark | Non renewal of trademark | MadridDesignationTerminationCategory |
| REN3 | Death | Non renewal of contracting party | Non renewal of contracting party | MadridDesignationTerminationCategory |
| ~~EEN2~~ | ~~Death~~ | ~~Non renewal of contracting party under rule 40.3~~ | ~~Non renewal of contracting party under rule 40.3~~ | MadridDesignationTerminationCategory |
| CBNT | Death | Total ceasing of effect | Total ceasing of effect of basic registration or application | MadridDesignationTerminationCategory |
| RNN | Death | Renunciation | Renunciation | MadridDesignationTerminationCategory |
| CPN | Death | Partial change of ownership | Partial change of ownership | MadridDesignationTerminationCategory |
| FUN | Death | Merger | Merger | MadridDesignationTerminationCategory |
| P2N | Death | Second payment not paid | Second payment not paid | MadridDesignationTerminationCategory |
| REN | Prolong | Renewal | Renewal | InternationalRegistrationRenewalCategory |
| RCN | Prolong | Complementary renewal | Complementary renewal | InternationalRegistrationRenewalCategory |
| ~~EEN1~~ | ~~Prolong~~ | ~~Renewal under rule 40.3~~ | ~~Renewal under rule 40.3~~ |  |
| MTN | NewName | Change of holder name or address | Change of holder name or address | HolderRepresentativeChangeCategory |
| TRN | NewName | Change of ownership | Change of ownership | HolderRepresentativeChangeCategory |
| MAN | NewName | Change of representative | Change of representative | HolderRepresentativeChangeCategory |
| LIN | NewName | Limitation | Change of representative at time of limitation | HolderRepresentativeChangeCategory |
| PCN | NewName | Partial cancellation | Change of representative at time of partial cancellation | HolderRepresentativeChangeCategory |
| DBN | NewBase | Division of basic registration | Division of basic registration | BasicRegistrationApplicationChangeCategory |
| ENN | Created | Registration | Registration | InternationalRegistrationCreationCategory |
| CPN | Created | Partial change of ownership | Partial change of ownership | InternationalRegistrationCreationCategory |
| REN | Processed | Renewal | Renewal | CompletedProcessingCategory |
| RCN | Processed | Complementary renewal | Complementary renewal | CompletedProcessingCategory |
| TRN | Processed | Change of ownership | Change of ownership | CompletedProcessingCategory |
| EXN | Processed | Subsequent designation | Subsequent designation | CompletedProcessingCategory |
| OBN | Processed | Subsequent designation resulting from conversion | Subsequent designation resulting from conversion | CompletedProcessingCategory |
| LIN | Processed | Limitation | Limitation | CompletedProcessingCategory |
| PCN | Processed | Partial cancellation | Partial cancellation | CompletedProcessingCategory |
| RNN | Processed | Renunciation | Renunciation | CompletedProcessingCategory |
| DBN | Processed | Change of basic numbers | Division or merger of basic registration or application numbers | CompletedProcessingCategory |
| CBNP | Processed | Partial ceasing of effect of basic registration | Partial ceasing of effect of basic registration | CompletedProcessingCategory |
| CBNT | Processed | Total ceasing of effect of basic registration | Total ceasing of effect of basic registration | CompletedProcessingCategory |
| CEN | Processed | Continuation of effects | Continuation of effects | CompletedProcessingCategory |
| CBN1 | Processed | Possible ceasing of effect | Judicial actions or proceedings under rule 22-1-b which are taking place in the office of origin | CompletedProcessingCategory |
| CBN2 | Processed | Ceasing of effect | Facts and decisions under rule 22-1-a and -c | CompletedProcessingCategory |
| HRN | Processed | Restriction of a holders right of disposal | Restriction of a holders right of disposal | CompletedProcessingCategory |
| ~~EEN1~~ | ~~Processed~~ | ~~Renewal under Rule 40.3~~ | ~~Renewal under Rule 40.3~~ | CompletedProcessingCategory |
| FUN | Processed | Merger | Merger | CompletedProcessingCategory |
| FBN | Processed | Replacement of a national registration | Replacement of a national registration by an international registration | CompletedProcessingCategory |
| RTN | Processed | Refusal of a change in ownership | Refusal of a change in ownership | CompletedProcessingCategory |
| MAN | Processed | Appointment of a representative | Appointment of a representative | CompletedProcessingCategory |
| MTN | Processed | Change of holder name and or address | Change of holder name and/or address | CompletedProcessingCategory |
| RAN | Processed | Cancellation | Cancellation | CompletedProcessingCategory |
| CPN | Processed | Partial change of ownership | Partial change of ownership | CompletedProcessingCategory |
| OPN | Processed | Notification of possible opposition | Notification of opposition periods beyond 18 months | CompletedProcessingCategory |
| RIN | Processed | Correction | Correction | CompletedProcessingCategory |
| GPN | Processed | Grant of protection | Grant of protection | CompletedProcessingCategory |
| GPON | Processed | Grant of protection subject to opposition | Grant of protection subject to opposition | CompletedProcessingCategory |
| GP2N | Processed | Grant of protection after the expiry of the opposition period | Grant of protection after the expiry of the opposition period | CompletedProcessingCategory |
| APNE | Processed | Request for review or appeal expired | Request for review or appeal expired | CompletedProcessingCategory |
| APNW | Processed | Request for review or appeal withdrawn | Request for review or appeal withdrawn | CompletedProcessingCategory |
| APNL | Processed | Request for review or appeal lodged | Request for review or appeal lodged | CompletedProcessingCategory |
| RFNT | Processed | Total refusal | Total refusal | CompletedProcessingCategory |
| RFNP | Processed | Partial refusal | Partial refusal | CompletedProcessingCategory |
| FINC | Processed | Final decision confirming the original refusal | Final decision confirming the original refusal | CompletedProcessingCategory |
| FINV | Processed | Final decision reversing the original refusal | Final decision reversing the original refusal | CompletedProcessingCategory |
| FINO | Processed | Other final decision | Other Final decision - usually a partial reversal | CompletedProcessingCategory |
| FINP | Processed | Final decision refusing some of the goods and services | Final decision refusing some of the goods and services | CompletedProcessingCategory |
| FINT | Processed | Final decision refusing all of the goods and services | Final decision refusing all of the goods and services | CompletedProcessingCategory |
| INNP | Processed | Partial invalidation | Partial invalidation | CompletedProcessingCategory |
| INNT | Processed | Total invalidation | Total invalidation | CompletedProcessingCategory |
| DIN | Processed | Disclaimer | Disclaimer | CompletedProcessingCategory |
| NLC | Processed | New licence | New licence | CompletedProcessingCategory |
| LLC | Processed | Licensee name and or address change | Licensee name and/or address change | CompletedProcessingCategory |
| LNN | Processed | Limitation refused | Declaration that a limitation has no effect | CompletedProcessingCategory |
| R18NP | Processed | Final decision partially granting protection | Final decision under rule 18 partially granting protection | CompletedProcessingCategory |
| R18NV | Processed | Final decision totally granting protection | Final decision under rule 18 totally granting protection | CompletedProcessingCategory |
| R18NT | Processed | Final decision totally refusing protection | Final decision under rule 18 totally refusing protection | CompletedProcessingCategory |
| FDNP | Processed | Further decision partially granting protection | Further decision under rule 18 partially granting protection | CompletedProcessingCategory |
| FDNV | Processed | Further decision totally granting protection | Further decision under rule 18 totally granting protection | CompletedProcessingCategory |
| FDNT | Processed | Further decision totally refusing protection | Further decision under Rule 18 totally refusing protection | CompletedProcessingCategory |
| ISN | Processed | Intermediate status regarding protection | Intermediate status regarding protection | CompletedProcessingCategory |
| NLC | LicenceBirth | New licence | New licence | RecordLicenceCategory |
| LLC | LicenceNewname | Licensee name or address change | Licensee name or address change | LicenseeRecordChangeCategory |
| P2P | Paid | Second payment paid | Second payment paid | SecondPartPaymentCategory |
| EN | IRREGROUP | Application | Application | IrregularityNotificationCategory |
| AP | IRREGROUP | Refusal appeal details | Refusal appeal details | IrregularityNotificationCategory |
| CB | IRREGROUP | Ceasing of effect | Ceasing of effect | IrregularityNotificationCategory |
| CE | IRREGROUP | Continuation of effects | Continuation of effects | IrregularityNotificationCategory |
| DI | IRREGROUP | Disclaimer | Disclaimer | IrregularityNotificationCategory |
| EX | IRREGROUP | Subsequent designation | Subsequent designation | IrregularityNotificationCategory |
| FI | IRREGROUP | Final decision | Final decision | IrregularityNotificationCategory |
| GP | IRREGROUP | Grant of protection | Grant of protection | IrregularityNotificationCategory |
| IN | IRREGROUP | Invalidation | Invalidation | IrregularityNotificationCategory |
| LI | IRREGROUP | Limitation | Limitation | IrregularityNotificationCategory |
| MA | IRREGROUP | Appointment of representative | Appoint/renuncia repres | IrregularityNotificationCategory |
| MB | IRREGROUP | Change name or address of representative | Change name/address repr | IrregularityNotificationCategory |
| MT | IRREGROUP | Change of holder name or address | Change of holder name | IrregularityNotificationCategory |
| OP | IRREGROUP | Notification of possible opposition | Opposition period Extn | IrregularityNotificationCategory |
| PC | IRREGROUP | Partial cancellation | Partial cancellation | IrregularityNotificationCategory |
| RA | IRREGROUP | Total cancellation | Total cancellation | IrregularityNotificationCategory |
| RC | IRREGROUP | Complementary renewal | Complementary Renewal | IrregularityNotificationCategory |
| RF | IRREGROUP | Refusal | Refusal | IrregularityNotificationCategory |
| RN | IRREGROUP | Renunciation | Renunciation | IrregularityNotificationCategory |
| RT | IRREGROUP | Refusal of change Holder | Refusal Of Change Holder | IrregularityNotificationCategory |
| TR | IRREGROUP | Change of ownership | Change of ownership | IrregularityNotificationCategory |
|  |  | Abandon |  | IrregularityNotificationCategory |

1. TRANEN - MadridApplication - Description: Application for International Registration under Madrid System.

~~TRAEDAT N8 1 Effective date of a transaction version~~

~~TRANSVE N7.1 1 Transaction version number~~

OFFREF - OfficeReference

HOLREF - ApplicationReference

ORIGLAN - Tmk:ApplicationLanguageCode

NAMEADD Com:CorrespondenceAddress, ApplicantRepresentative,

~~<GENPOWI> A2 0:1 Representative appointment code~~

BASGR BasicRegistrationApplicationBag,

PRIGR tmk:PriorityBag.tmk:Priority

SENGRP - Tmk:SeniorityBag

MARKVE MarkSignificantVerbalElementText

STDMIND - tmk:MarkStandardCharacterIndicator

COLMARI New component, MarkFeatureCategoryBag

COLIND com:ColourIndicator

COLCLAI Tmk:MarkImageColourClaimedText

COLCLAT Tmk:MarkImageColourClaimedText

COLPAR Tmk:MarkImageColourPartClaimedText

COLPART Tmk:MarkImageColourPartClaimedText

MARTREN - MarkTranslation

MARTRFR - MarkTranslation

MARTRES - MarkTranslation

MARTRAN - MarkTransliteration

THRDMAR - Tmk:MarkFeatureCategoryBag

SOUMARI - Tmk:MarkFeatureCategoryBag (Sound)

NOTTRANS - tmk:MarkVerbalElementSignificantIndicator

THRDMAR - Tmk:MarkFeatureCategoryBag

DISCLAI - MarkDisclaimerBag

DISCLAIT - MarkDisclaimerBag

SOUMARI - Tmk:MarkFeatureCategoryBag

TYPMARI - MarkCategory

MARDESC - tmk:MarkDescriptionBag

MARDETR - tmk:MarkDescriptionBag

GSHEAD - Tmk:GoodsServicesBag.CommentText

GSHEADT - tmk:GoodsServicesBag

GSGR - Tmk:GoodsServicesBag

GSFOOT - Tmk:GoodsServicesBag

GSFOOTT - Tmk:GoodsServicesBag

DESG - DesignatedCountryBag

LIMGR - BasicRecord.GoodsServices LimitationBag

APPSIGI - com:SignatureBag

APPSIGD - Com:SignatureBag

REPSIGI - Com:SignatureBag

REPSIGD - Com:SignatureBag

OFFRECD - com:ReceivingOfficeDate

FEEAMT –Com:PaymentBag

PYMG - Com:PaymentBag

IMAGEFILE - Tmk:MarkImageBag.Tmk:MarkImage.ImageFileName

DOCFILE - Com:DocumentIncludedBag

RPAD - com:CommentText

1. TRANEX Rename to SubsequentDesignation - Description: Designation subsequent to international registration.

~~TRAEDAT N8 1 Effective date of a transaction version~~

~~TRANSVE N7.1 1 Transaction version number~~

OFFREF - OfficeReference

HOLREF - ApplicationReference

ORIGLAN - Tmk:ApplicationLanguageCode

INTREGN - tmk:InternationalRegistrationNumber

NAMEADD –Holder and Representative is optional

DESG - DesignatedCountryBag

DCPCD - country code

~~PNATRG - Remove this component~~

~~INTTOUS - Remove this component~~

Add Com:SecondLanguageCode element

LIMGR - BasicRecord.GoodsServices LimitationBag

SENGRP - Tmk:SeniorityBag

PROTRAN - New component, ProposedTranslation - description - translation proposed by applicant for goods and services.

* GSHEADT- Tmk:GoodsServicesBag (mandatory)
* GSGR - Tmk:GoodsServicesBag
* GSFOOT - Tmk:GoodsServicesBag
* COLCLAT - ColourClaimedText (optional)
* PRIGR - Tmk:PriorityBag (optional)

MARTREN - MarkTranslation

MARTRFR - MarkTranslation

COLPAR Tmk:MarkImageColourPartClaimedText

COLPART - Translation

HOLSIGI - Com:SignatureBag

HOLSIGD - Com:SignatureBag

REPSIGI - Com:SignatureBag

REPSIGD - Com:SignatureBag

OFFRECD - com:ReceivingOfficeDate

FEEAMT - Com:PaymentBag

PYMG - Com:PaymentBag

DOCFILE - Com:DocumentIncludedBag

RPAD - com:CommentText

1. TRANNT - (MECCA IN) SecondPartPaymentRequest - Description - Request for payment of second part of two part fee.
   1. ~~TRAEDAT N8 1 Effective date of a transaction version~~
   2. ~~TRANSVE N7.1 1 Transaction version number~~
   3. OFFREF - OfficeReference
   4. ORIGLAN - Tmk:ApplicationLanguageCode
   5. INTREGN - tmk:InternationalRegistrationNumber
   6. NAMEADD –Holder (multiple holder) This needs to be revisited –Issue ID 510. We may use Tmk:HolderBag here.
   7. DUEDATE - new component, com:PaymentDueDate - Description - Date by which a payment must be made.
   8. CLASSES - New component, ClassTotalQuantity - Description - Total number of classes, e.g., for fee calculation.
   9. DOCFILE - Com:DocumentIncludedBag
   10. RPAD - com:CommentText
2. HOLDER:
   1. Rename Tmk:HolderBag to tmk:HolderChangeBag - Holder (1 or more) and make PreviousHolder(1 or more) mandatory.
   2. Tmk:RecordTransfer.RecordTransferType– Change Tmk:HolderBag to use HolderChangeBag
   3. Every other component in ST.96 will user HolderBag.
   4. Add new Element Tmk:HolderBag containing 1 or more Holder.
3. MECA IN - IF Transaction is initiated from applicant, HolderBag and Representative are needed
4. IF Transaction is initiated by IB, only Holder is needed.
5. TRANCB - Create PartialCeasingEffect Description - Partial ceasing of effect of basic registration or basic application within 5 years of international registration.
   1. ~~TRAEDAT N8 1 Effective date of a transaction version~~
   2. ~~TRANSVE N7.1 1 Transaction version number~~
   3. OFFREF - OfficeReference
   4. ORIGLAN - Tmk:ApplicationLanguageCode
   5. Choice InternationalRegistrationNumber and BasicRegistrationApplicationBag (optional) or BasicRegistrationApplicationBag
   6. NAMEADD - Holder
   7. NOPROTECTN - Remove - No longer needed
   8. Tmk:GoodsServicesBag
   9. FACDAT - com:RecordEffectiveDate
   10. FACTS - tmk:FactDecisionText
   11. CANIND - RequestCancellationIndicator - Request to cancel the international registration to the extent applicable.
   12. OFFICEUSE - OfficeSpecificContent
   13. DOCFILE - Com:DocumentIncludedBag
   14. RPAD - com:CommentText
6. TRANCB - Create TotalCeasingEffect Description - Total ceasing of effect of basic registration or basic application within 5 years of international registration.
   1. ~~TRAEDAT N8 1 Effective date of a transaction version~~
   2. ~~TRANSVE N7.1 1 Transaction version number~~
   3. OFFREF - OfficeReference
   4. ORIGLAN - Tmk:ApplicationLanguageCode
   5. Choice InternationalRegistrationNumber and BasicRegistrationApplicationBag (optional) or BasicRegistrationApplicationBag
   6. NAMEADD - Holder
   7. NOPROTECTN - Remove - No longer needed
   8. FACDAT - com:RecordEffectiveDate
   9. FACTS - tmk:FactDecisionText
   10. CANIND - RequestCancellationIndicator - Request to cancel the international registration to the extent applicable.
   11. OFFICEUSE - OfficeSpecificContent
   12. DOCFILE - Com:DocumentIncludedBag
   13. RPAD - com:CommentText
7. MadridOfficeToIB (MECA In) - Description - Electronic communication under Madrid system from Office to IB.
8. MadridIBToOffice (MECA Out, ENOTIF) Description - Electronic communication under Madrid system from IB to Office.
9. TRANIV - PartialInvalidation Description - Partial invalidation in the contracting parties.
   1. ~~TRAEDAT N8 1 Effective date of a transaction version~~
   2. ~~TRANSVE N7.1 1 Transaction version number~~
   3. OFFREF - OfficeReference
   4. ORIGLAN - Tmk:ApplicationLanguageCode
   5. INTREGN - tmk:InternationalRegistrationNumber
   6. NAMEADD - Holder (1 or more holder)
   7. Tmk:GoodsServicesBag
   8. REFDAT - RefusalPronouncedDate
   9. ~~NOAPPEAL - Removed - not needed~~
   10. REFAUTH - AuthorityName Description - Name of authority. (Note -Could be court, appeal board, judge).
   11. OFFICEUSE - OfficeSpecificContent
   12. DOCFILE - Com:DocumentIncludedBag
   13. RPAD - com:CommentText
10. TRANIV - TotalInvalidation Description - Total invalidation in the contracting parties.
    1. ~~TRAEDAT N8 1 Effective date of a transaction version~~
    2. ~~TRANSVE N7.1 1 Transaction version number~~
    3. OFFREF - OfficeReference
    4. ORIGLAN - Tmk:ApplicationLanguageCode
    5. INTREGN - tmk:InternationalRegistrationNumber
    6. NAMEADD - Holder (1 or more holder)
    7. REFDAT - RefusalPronouncedDate
    8. ~~NOAPPEAL - Removed - not needed~~
    9. REFAUTH - AuthorityName Description - Name of authority. (Note -Could be court, appeal board, judge).
    10. OFFICEUSE - OfficeSpecificContent
    11. DOCFILE - Com:DocumentIncludedBag
    12. RPAD - com:CommentText
11. TRANSN - SeniorityClaimDecision Description - Decision on seniority claims.
    1. ~~TRAEDAT N8 1 Effective date of a transaction version~~
    2. ~~TRANSVE N7.1 1 Transaction version number~~
    3. OFFREF - OfficeReference
    4. ORIGLAN - tmk:ApplicationLanguageCode
    5. INTREGN - tmk:InternationalRegistrationNumber
    6. SENGRP - tmk:SeniorityBag
    7. DOCFILE - Com:DocumentIncludedBag
    8. RPAD - com:CommentText
12. TRANIR - IrregularityResponse Description - Response to irregularity notice issued by WIPO.
    1. ~~TRAEDAT N8 1 Effective date of a transaction version~~
    2. ~~TRANSVE N7.1 1 Transaction version number~~
    3. OFFREF - OfficeReference
    4. HOLREF - Tmk:ApplicationReference
    5. ORIGLAN - Tmk:ApplicationLanguageCode
    6. Unboundound choice
       1. DOCID - Com:RecordIdentifier
       2. INTREGN - Tmk:InternationalRegistrationNumber
       3. BASGR - Tmk:BasicRegistrationApplicationBag
    7. APPSIGI - com:SignatureBag
    8. APPSIGD - com:SignatureBag
    9. REPSIGI - com:SignatureBag
    10. REPSIGD - com:SignatureBag
    11. FEEAMT - com:PaymentBag
    12. PYMG - Com:PaymentBag
    13. Unbounded
        1. DOCFILE - com:DocumentIncludedBag
        2. IMAGEFILE - Tmk:MarkImageBag.Tmk:MarkImage.ImageFileName
        3. TEXT - new component, ResponseText - Response text.
    14. RPAD - com:CommentText

## FRIDAY, OCTOBER 3, 2014

### *Agenda Item 7: Review of the ST.96 XML Schema Design Components for Hague System communication*

1. Review of XML Schema Components for Hague System Communication
   1. CIPO asks if there are plans to add Hague components to ST.96
   2. CIPO has decided to use ST.96. CIPO using ST.96 for Trademark.
   3. CIPO also would like to use ST.96 for Design
   4. KIPO joined Hague and has begun using ST.96 D6 for sending Hague Data from KIPO to IB. KIPO prefers to use ST.96
   5. IB only has Hague DTD at the moment.
   6. China, Russia may use ST.96 for Hague
   7. UKIPO plans to work on Design next after Patent.
   8. IB - Roger
   9. Hague is different from Madrid. Hague does not have Office of Origin.
   10. There are no regulations on communications - right now communication is based on paper.
   11. Generally agree to extend ST.96 to cover Hague.
   12. Communications:
       1. IB to IPO - Bilateral communications between IB and IP Office.
       2. IPO to IB
       3. Communications between all three entities: IB, IP Office, and applicant.
   13. Potential schemas:
       1. Similar to TRANEN -
       2. Grant protection
       3. Refusal
       4. Bulletin communication - easier for IP Office to extract data.
       5. Determine priority for the different schema components
       6. Discuss plans Hague schema components at London meeting and how to create these schema components.
   14. IB receives application data from IP Offices.
   15. First communication is between applicant and IB. Subsequent communications will be between applicant and an IP Office.
   16. Hague Applications can be filed directly with IB or an IP Office via paper or efile through WIPO site.
   17. There is no agreement on electronic communication, but it is not stopping us from creating XML Schemas similar to work created for Madrid.
   18. Begin with ST.96 schema for communication from Office to IB. Need to design schemas with KIPO, JPO, and other offices.
       1. Australia - currently not yet part of Hague Agreement.
          * What is the advantage?
            + IB will perform translation to other languages
            + Dealing with WIPO will be more simpler than communication with all the applicants
            + Big saving for an IP Office if applicants file through WIPO.
       2. KIPO - submitted Hague Design based on D6 to IB.
       3. USPTO - applicants can file Hague Applications in paper or electronic at USPTO or IB.
          * Applicants are interested because they can file in US and can get protection in other countries
          * Incur costs - changes to filing system, processing system, and publication systems.
          * US applicant will receive a Design Patent.
          * Hague application resulting in US Design Patent.
       4. JPO - plans to join April 1, 2015.
       5. China - join date is unknown
2. UKIPO needs clarification on using Opposition for Design
   1. IP Australia - has pregrant opposition for all Registrations (patent, trademark). Gives 2 to 3 months for opposition during pregrant.
   2. After grant, it may not be called opposition, but may be called appeal
3. OHIM has filing, formality, and registration, but no opposition. No opposition for design, only invalidation.
   1. Applicant can oppose only after registration, which is invalidation.
4. IP Australia prefers to keep Opposition. IP Australia has post registration opposition. A person may oppose the granting of application or registration application.

### *Agenda Item 6: Development of ST.96 XML Schema for Madrid System communication (Cont’)*

1. IRREGROUP - IrregularityNotification Description - Notification of irregularity to Offices from WIPO.
   1. Move all attributes to elements
   2. ORIGLAN - Tmk:ApplicationLanguageCode
   3. REPLY-BY-DATE - new component, ResponseDueDate - The date by which a response must be received.
   4. Modify tmk:OtherDate (otherDateCategory attribute) from optional to mandatory.
   5. These are other potential options for consideration:
      1. Option 1: Remove Tmk:OtherDate
      2. Option 2: Potentially remove enumeration value related to irregularity from Tmk:OtherDate.OtherDateCategoryType -
   6. LETTER-ID - new component, NotificationIdentifier Description - Identifier of notification such as tracking number.
   7. DOCID - Com:RecordIdentifier
   8. OFFREF - Com:OfficeReference
   9. HOLREF - tmk:ApplicationReference
   10. INTREGN - tmk:InternationalRegistrationNumber
   11. TRANTYP - Tmk: IrregularityNotificationCategory
       1. Need enumerations: IrregularityNotificationCategory contains the following values:
       2. The type of transaction which caused the irregularities: Update the values in the table.
          * EN - Application
          * AP - Refusal appeal details
          * CB - Ceasing of effect
          * CE - Continuation of effects
          * DI - Disclaimer
          * EX - Subsequent designation
          * FI - Final decision
          * GP - Grant of protection
          * IN - Invalidation
          * LI - Limitation
          * MA - Appoint/renuncia repres
          * MB - Change name/address repr
          * MT - Change of holder name
          * OP - Opposition period Extn
          * PC - Partial cancellation
          * RA - Total cancellation
          * RC - Complementary Renewal
          * RF - Refusal
          * RN - Renunciation
          * RT - Refusal Of Change Holder
          * TR - Change of ownership
          * Abandon - International Application or Subsequent designation has been abandoned by WIPO.
   12. PAY-BY-DATE - PaymentDueDate
   13. CONTACT-NAME - Contact
   14. CONTACT-PHONE - Contact
   15. CONTACT-EMAIL - Contact
   16. SENT-DATE - MailDate
   17. IRREG - new component, IrregularityBag.Irregularity Description - Details of a defect, failure, or mistake.
       1. Move attributes to element
       2. IRRNUM - new component, IrregularityIdentifier - description - Irregularity Identifier issued by WIPO.
       3. WHO - ResponsiblePartyCategory Description - Party responsible for correcting the irregularity.
          * Enumeration values:
            + Applicant - Description - Applicant
            + Office - Description Office
            + Applicant or office - Description - Applicant or office
            + Applicant via office - Description - Applicant via office
            + None - description - Irregularity is an observation or uncorrectable
       4. CONSEQUENCE - IrregularityConsequenceCategory Description - Consequenences if the irregualarity is not corrected.

Action Item: We may need to separate to create two separate content model - Responded or not responded. IB Madrid will provide proposal to provide current enumeration values and description.

* + - * Current Enumeration values from DTD:

1 = Observation

2 = If no reply WIPO proceeds

3 = If no reply application is abandoned

4 = If no reply application is abandoned, reply affects date of application

5 = Irregularity is no correctable

* + 1. Unbounded TEXT - IrregularityDescriptionText Description: Description of irregularity.
  1. FEES - Com:PaymentBag Add new element, Payment.FeePaidTotalAmount Description: Total value of the fee already paid. Using com:AmountType. Define similar to com:FeePayableTotalAmount.
     1. AMOUNT-PAID (covered under payment bag)
     2. ONE OR MORE FEEAMOUNT (covered under payment bag)

1. Abandon - AbandonmentNotification Description
   1. Move all attributes to elements
   2. ORIGLAN - Tmk:ApplicationLanguageCode
   3. LETTER-ID - new component, NotificationIdentifier Description - Identifier of notification such as tracking number.
   4. DOCID - Com:RecordIdentifier
   5. OFFREF - Com:OfficeReference
   6. HOLREF - tmk:ApplicationReference
   7. INTREGN - tmk:InternationalRegistrationNumber
   8. SENT-DATE - MailDate
   9. TRANTYP - Tmk:BasicRecordCategory

### *Agenda Item 8: Discussion on open issues regarding ST.96 XML Trademark and Design Components*

1. Due to lack of time, participants had no time to discuss the pending issues. Therefore, the Task Force Leader asked participants to review them and provide comments via the TF Wiki.

### *Agenda Item 9: Adoption of report*

1. The meeting minutes was reviewed at the meeting and will be approved via email.

## ACTION ITEMS

1. The following items were agreed for further actions:
   1. USPTO will validate schema against meeting notes - provide schema on Tuesday October 7,2014.
   2. IB will post draft schema on WIKI and invite participants to comment on October 8, 2014
   3. IB will post meeting notes on WIKI and invite comment
   4. IB/Madrid will complete remaining transactions of MECA In by of October 17 2014, which IPO use.
   5. OHIM will have internal discussions on the schema and provide comments by October 20, 2014.
   6. IB/Hague will provide the list of document for transactions for Hague System for which the XML4IP TF will consider at the upcoming London Meeting developing ST.96 Schemas, on October 30th, 2014.

[Annex I follows]

## ANNEX I: ADOPTED AGENDA

1. Opening of the session - General information
2. Welcome to delegations (USPTO)
3. Adoption of the agenda
4. Oral progress report on XML4IP Project (WO/IB)
5. Review of the list of XML schema components for Madrid System communication
6. Development of ST.96 XML Schema for Madrid System communication
7. Review of the ST.96 XML Schema Design Components for Hague System communication
8. Discussion on open issues regarding ST.96 XML Trademark and Design Components
9. Adoption of the report
10. Closing of the session

[Annex II follows]

## ANNEX II: PARTICIPANTS LIST

|  |  |
| --- | --- |
| **NAME** | **OFFICE / ORGANIZATION** |
| Jeff LI | IPA, AU |
| Alexandre TRAN | OHIM, EM |
| Karen STRZYZ | USPTO, TM Policy and Petitions |
| Tammie DIGGS | USPTO, Office of TM Program Control |
| Sharon MARSH | USPTO, Deputy Commissioner for Trademarks |
| Glen BROWN | USPTO |
| Kathryn TINDLE | USPTO, US |
| Steve BECKMANN | USPTO, US |
| Narith TITH | USPTO, US |
| Li WANG | USPTO, US |
| Honwei Li | USPTO, US |
| Sunil GERA | USPTO, US |
| Young-Woo YUN | IB/WIPO |
| Roger HOLBERTON | IB/Madrid |

[End of document]