

**STANDARD ST.26**

**SEPTEMBER 2017 CHANGES**

**MAIN BODY**

Editorial Note, revised June 2017 - CWS/5

INTRODUCTION, SCOPE, REFERENCES, REPRESENTATION OF SEQUENCES and STRUCTURE OF THE SEQUENCE LISTING IN XML, revised June 2017 - CWS/5

**ANNEX I**

Sections 2, 3, 5, 6, 7, 8 and 9, revised June 2017 - CWS/5

**ANNEX II**

Revised June 2017 - CWS/5

**ANNEX III**

Revised June 2017 - CWS/5

**ANNEX VI**

Added June 2017 - CWS/5

## STANDARD ST.26

RECOMMENDED STANDARD FOR THE PRESENTATION OF NUCLEOTIDE AND AMINO ACID SEQUENCE LISTINGS  
USING XML (EXTENSIBLE MARKUP LANGUAGE)

Version **1.0.1.1**

~~Adopted~~ **Approved** by the Committee on WIPO Standards (CWS)  
at its ~~reconvened fourth session on March 24, 2016~~ **fifth session on June 2, 2017**

*Editorial Note prepared by the International Bureau*

**At its fifth session, the Committee on WIPO Standards (CWS) agreed that the transition from WIPO Standard ST.25 to Standard ST.26 takes place in January 2022. Meanwhile, Standard ST.25 should continue to be used.**

~~The Committee on WIPO Standards (CWS) agreed to ask industrial property offices to postpone the preparations for implementation of this new WIPO Standard ST.26 until the recommendations for the transition from WIPO Standard ST.25 to the new Standard ST.26 is agreed on by the CWS at its next session to be held in 2017. Meanwhile, Standard ST.25 should continue to be used.~~

The Standard is published for information purposes of industrial property offices and other interested parties.

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## STANDARD ST.26

### RECOMMENDED STANDARD FOR THE PRESENTATION OF NUCLEOTIDE AND AMINO ACID SEQUENCE LISTINGS USING XML (EXTENSIBLE MARKUP LANGUAGE)

Version **1.01.1**

~~Adopted~~ **Approved** by the Committee on WIPO Standards (CWS)  
at its ~~reconvened fourth session on March 24, 2016~~ **fifth session on June 2, 2017**

#### INTRODUCTION

1. This Standard defines the nucleotide and amino acid sequence disclosures in a patent application required to be included in a sequence listing, the manner in which those disclosures are to be **characterized/represented**, and the Document Type Definition (DTD) for a sequence listing in XML (eXtensible Markup Language). It is recommended that industrial property offices accept any sequence listing compliant with this Standard filed as part of a patent application or in relation to a patent application.

2. The purpose of this Standard is to:

- (a) allow applicants to draw up a single sequence listing in a patent application acceptable for the purposes of both international and national or regional procedures;
- (b) enhance the accuracy and quality of presentations of sequences for easier dissemination, benefiting applicants, the public and examiners;
- (c) facilitate searching of the sequence data; and
- (d) allow sequence data to be exchanged in electronic form and introduced into computerized databases.

#### DEFINITIONS

3. For the purpose of this Standard, the expression:

(a) “amino acid” means any amino acid that can be represented using any of the symbols set forth in Annex I (see Section 3, Table 3). Such amino acids include, inter alia, D-amino acids and amino acids containing modified or synthetic side chains. Amino acids will be construed as unmodified L-amino acids unless further described **in the feature table** as modified according to paragraph **29, 30**. **For the purpose of this standard, a peptide nucleic acid (PNA) residue is not considered an amino acid, but is considered a nucleotide as set forth in paragraph 3(g)(i)(2).**

(b) “controlled vocabulary” is the terminology contained in this Standard that must be used when describing the features of a sequence, i.e., annotations of regions or sites of interest as set forth in Annex I.

(c) “enumeration of its residues” means disclosure of a sequence in a patent application by listing, in order, each residue of the sequence, wherein:

- (i) the residue is represented by a name, abbreviation, symbol, or structure (e.g., HHHHHHQ or HisHisHisHisHisHisGln); or
- (ii) multiple residues are represented by a shorthand formula (e.g., His<sub>6</sub>Gln).

(d) “intentionally skipped sequence”, also known as an empty sequence, refers to a placeholder to preserve the numbering of sequences in the sequence listing for consistency with the application disclosure, for example, where a sequence is deleted from the disclosure to avoid renumbering of the sequences in both the disclosure and the sequence listing.

(e) “modified amino acid” means any amino acid as described in paragraph 3(a) other than L-alanine, L-arginine, L-asparagine, L-aspartic acid, L-cysteine, L-glutamine, L-glutamic acid, L-glycine, L-histidine, L-isoleucine, L-leucine, L-lysine, L-methionine, L-phenylalanine, L-proline, L-pyrrolysine, L-serine, L-selenocysteine, L-threonine, L-tryptophan, L-tyrosine, or L-valine.

(f) “modified nucleotide” means any nucleotide or as described in paragraph 3(g) other than deoxyadenosine 3'-monophosphate, deoxyguanosine 3'-monophosphate, deoxycytidine 3'-monophosphate, deoxythymidine 3'-monophosphate, adenosine 3'-monophosphate, guanosine 3'-monophosphate, cytidine 3'-monophosphate, or uridine 3'-monophosphate.

(g) “nucleotide analog” means any nucleotide or nucleotide analogue that can be represented using any of the symbols set forth in Annex I (see Section 1, Table 1). Nucleotides may contain, inter alia, wherein the nucleotide or nucleotide analogue contains:

(i) a backbone moiety selected from:

(1) 2' deoxyribose 5' monophosphate (the backbone moiety of a deoxyribonucleotide) or ribose 5' monophosphate (the backbone moiety of a ribonucleotide); or

(2) an analogue of a 2' deoxyribose 5' monophosphate or ribose 5' monophosphate, which when forming the backbone of a nucleic acid analogue, results in an arrangement of nucleobases that mimics the arrangement of nucleobases in nucleic acids containing a 2' deoxyribose 5' monophosphate or ribose 5' monophosphate backbone, wherein the nucleic acid analogue is capable of base pairing with a complementary nucleic acid; examples of nucleotide analogues include amino acids as in peptide nucleic acids, glycol molecules as in glycol nucleic acids, threofuranosyl sugar molecules as in threose nucleic acids, morpholine rings and phosphorodiamidate groups as in morpholinos, and cyclohexenyl molecules as in cyclohexenyl nucleic acids.

and

(ii) the backbone moiety is either:

(1) joined to a nucleobase, including a modified or synthetic purine or pyrimidine base, or a modified or synthetic ribose or deoxyribose, and may be joined by a modified or synthetic 3' to 5' inter-nucleoside linkage, i.e. any chemical moiety that provides the same structural function as the phosphate moiety of DNA or RNA, such as a phosphorothioate moiety; nucleobase; or

(2) lacking a purine or pyrimidine nucleobase when the nucleotide is part of a nucleotide sequence referred to as an “AP site” or an “abasic site”.

(h) “residue” means any individual nucleotide or amino acid or their respective analogues in a sequence.

(i) “sequence identification number” means a unique number (integer) assigned to each sequence in the sequence listing.

(j) “sequence listing” means a part of the description of the patent application as filed or a document filed subsequently to the application, which presents includes the disclosed nucleotide and/or amino acid sequence(s), along with any further description, as prescribed by this Standard.

(k) “specifically defined” means any nucleotide other than those represented by the symbol “n” and any amino acid other than those represented by the symbol “X”, listed in Annex I (see Section 1, Table 1, and Section 3, Table 3, respectively).

(l) “unknown” nucleotide or amino acid means that a single nucleotide or amino acid is present but its identity is unknown or not disclosed.

4. For the purpose of this Standard, the word(s):

(a) “may” refers to an optional or permissible approach, but not a requirement.

(b) “must” refers to a requirement of the Standard; disregard of the requirement will result in noncompliance.

(c) “must not” refers to a prohibition of the Standard.

(d) “should” refers to a strongly encouraged approach, but not a requirement.

(e) “should not” refers to a strongly discouraged approach, but not a prohibition.

## SCOPE

5. This Standard establishes the requirements for the presentation of nucleotide and amino acid sequence listings of sequences disclosed in patent applications.

6. A sequence listing complying with this Standard (hereinafter sequence listing) contains a general information part and a sequence data part. The sequence listing must be presented as a single file in XML using the Document Type Definition (DTD) presented in Annex II. The purpose of the bibliographic information contained in the general information part is solely for association of the sequence listing to the patent application for which the sequence listing is submitted. The sequence data part is composed of one or more sequence data elements each of which contain information about one sequence. The sequence data elements include various feature keys and subsequent qualifiers based on the International Nucleotide Sequence Database Collaboration (INSDC) and UniProt specifications.

7. For the purpose of this Standard, a sequence for which inclusion in a sequence listing is required is one that is disclosed anywhere in an application by enumeration of its residues and **can be represented as:**

(a) an unbranched sequence or a linear **portion/region** of a branched sequence containing ten or more specifically defined nucleotides, wherein adjacent nucleotides are joined **3' to 5' (or 5' to 3'), or by:**

**(i) a 3' to 5' (or 5' to 3') phosphodiester linkage; or**

**(ii) any chemical bond that results in an arrangement of adjacent nucleobases that mimics the arrangement of nucleobases in naturally occurring nucleic acids; or**

(b) an unbranched sequence or a linear **portion/region** of a branched sequence containing four or more specifically defined amino acids, wherein adjacent amino acids are joined by peptide bonds.

8. A sequence listing must not include **as a sequence assigned its own sequence identification number**, any sequences having fewer than ten specifically defined nucleotides, or fewer than four specifically defined amino acids.

#### REFERENCES

9. References to the following Standards and resources are of relevance to this Standard:

International Nucleotide Sequence Database Collaboration (INSDC)	<a href="http://www.insdc.org/">http://www.insdc.org/</a> ;
<b>International Standard</b> ISO 639-1:2002	Codes for the representation of names of languages - Part 1: Alpha-2 code;
UniProt Consortium	<a href="http://www.uniprot.org/">http://www.uniprot.org/</a> ;
W3C XML 1.0	<a href="http://www.w3.org/">http://www.w3.org/</a> ;
WIPO Standard <a href="#">ST.2</a>	Standard Manner for Designating Calendar Dates by Using the Gregorian Calendar;
WIPO Standard <a href="#">ST.3</a>	Two-Letter Codes for the Representation of States, Other Entities and Intergovernmental Organizations;
WIPO Standard <a href="#">ST.16</a>	Identification of different kinds of patent documents;
WIPO Standard <a href="#">ST.25</a>	Presentation of nucleotide and amino acid sequence listings.

#### **PRESENTATION/REPRESENTATION** OF SEQUENCES

10. Each sequence **encompassed by paragraph 7** must be assigned a separate sequence identification number, **including a sequence which is identical to a region of a longer sequence**. The sequence identification numbers must begin with number 1, and increase consecutively by integers. Where no sequence is present for a sequence identification number, i.e. an intentionally skipped sequence, "000" must be used in place of a sequence (see paragraph 58). The total number of sequences must be indicated in the sequence listing and must equal the total number of sequence identification numbers, whether followed by a sequence or by "000."

#### *Nucleotide sequences*

11. A nucleotide sequence must be **presented/represented** only by a single strand, in the 5'-end to 3'-end direction from left to right, **or in the direction from left to right that mimics the 5'-end to 3'-end direction**. The designations 5' and 3' **or any other similar designations** must not be **present/included** in the sequence. A double-stranded nucleotide sequence disclosed by enumeration of the residues of both strands must be **presented/represented** as:

(a) a single sequence or as two separate sequences, each assigned its own sequence identification number, where the two separate strands are fully complementary to each other, or

(b) two separate sequences, each assigned its own sequence identification number, where the two strands are not fully complementary to each other.

12. For the purpose of this Standard, the first nucleotide presented in the sequence with its residue position number 1. Numbering of positions must start at the first base of the sequence and must be continuous through the whole sequence in the direction 5' to 3'. The above numbering method for linear nucleotide sequences is also applicable to nucleotide sequences that are circular in configuration. In this case, the applicant must choose the nucleotide with which numbering begins in residue position number 1. Numbering is continuous throughout the entire sequence in the direction 5' to 3', or in the direction that mimics the direction 5' to 3'. The last residue position number must equal the number of nucleotides in the sequence.

13. All nucleotides in a sequence must be represented using the symbols set forth in Annex I (see Section 1, Table 1). Only lower case letters must be used. Any symbol used to represent a nucleotide is the equivalent of only one residue.

14. The symbol "t" will be construed as thymine in DNA and uracil in RNA. Uracil in DNA or thymine in RNA is considered a modified nucleotide and must be accompanied by a further description described in the feature table as provided by paragraph 48-19.

15. Where an ambiguity symbol (representing two or more alternative bases/nucleotides) is appropriate, the most restrictive symbol should be used, as listed in Annex I (section 1, Table 1). For example, if a base/nucleotide in a given position could be "a" or "g", then "r" should be used, rather than "n". The symbol "n" will be construed as any one of "a", "c", "g", or "t/u" except where it is used with a further description as provided by paragraphs 16 and 17 or 20-21. The symbol "n" may not be used to represent anything other than a nucleotide. A single modified or "unknown" nucleotide may be represented by the symbol "n", together with a further description in the feature table, as provided in paragraphs 16 and 17 or 20-21. For representation of sequence variants, i.e., alternatives, deletions, insertions, or substitutions, see paragraphs 92 to 98.

16. Modified nucleotides should be represented in the sequence as the corresponding unmodified bases/nucleotides, i.e., "a", "c", "g" or "t" whenever possible. Any modified nucleotide in a sequence that cannot otherwise be represented by any other symbol in Annex I (see Section 1, Table 1), i.e., an "other" nucleotide, such as a non-naturally occurring nucleotide, must be represented by the symbol "n". Where the symbol "n" is used to represent a modified nucleotide it is the equivalent of only one residue.

17. A modified nucleotide must be further described in the feature table (see paragraph 59-60 et seq.) using the feature key "modified\_base" and the mandatory qualifier "mod\_base" in conjunction with a single abbreviation from Annex I (see Section 2, Table 2) as the qualifier value; if the abbreviation is "OTHER", the complete unabbreviated name of the modified base/nucleotide must be provided as the value in a "note" qualifier. For a listing of alternative modified nucleotides, the qualifier value "OTHER" may be used in conjunction with a further "note" qualifier (see paragraphs 95 and 96). The abbreviations (or full names) provided in Annex I (see Section 2, Table 2) referred to above must not be used in the sequence itself.

18. A nucleotide sequence including one or more regions of consecutive modified nucleotides that share the same backbone moiety (see paragraph 3(g)(i)(2)), must be further described in the feature table as provided by paragraph 17. The modified nucleotides of each such region may be jointly described in a single INSDFeature element as provided by paragraph 22. The most restrictive unabbreviated chemical name that encompasses all of the modified nucleotides in the range or a list of the chemical names of all the nucleotides in the range must be provided as the value in the "note" qualifier. For example, a glycol nucleic acid sequence containing "a", "c", "g", or "t" nucleobases may be described in the "note" qualifier as "2,3-dihydroxypropyl nucleosides." Alternatively, the same sequence may be described in the "note" qualifier as "2,3-dihydroxypropyladenine, 2,3-dihydroxypropylthymine, 2,3-dihydroxypropylguanine, or 2,3-dihydroxypropylcytosine." Where an individual modified nucleotide in the region includes an additional modification, then the modified nucleotide must also be further described in the feature table as provided in paragraph 17.

19. Uracil in DNA or thymine in RNA are considered modified nucleotides and must be represented in the sequence as "t" and be further described in the feature table using the feature key "modified\_base", the qualifier "mod\_base" with "OTHER" as the qualifier value and the qualifier "note" with "uracil" or "thymine", respectively, as the qualifier value.

20. The following examples illustrate the presentation/representation of modified nucleotides according to paragraphs 16 and 17-18 above:

Example 1: Modified nucleotide using an abbreviation from Annex I (see Section 2, Table 2)

```
<INSDFeature>
  <INSDFeature_key>modified_base</INSDFeature_key>
  <INSDFeature_location>15</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>mod_base</INSDQualifier_name>
      <INSDQualifier_value>i</INSDQualifier_value>
```

```

    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>

```

Example 2: Modified nucleotide "xanthine" using "OTHER" from Annex I (see Section 2, Table 2)

```

<INSDFeature>
  <INSDFeature_key>modified_base</INSDFeature_key>
  <INSDFeature_location>4</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>mod_base</INSDQualifier_name>
      <INSDQualifier_value>OTHER</INSDQualifier_value>
    </INSDQualifier>
    <INSDQualifier>
      <INSDQualifier_name>note</INSDQualifier_name>
      <INSDQualifier_value>xanthine</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>

```

**Example 3: A nucleotide sequence composed of modified nucleotides encompassed by paragraph 3(g)(i)(2) with two individual nucleotides that include a further modification**

```

<INSDFeature>
  <INSDFeature_key>modified_base</INSDFeature_key>
  <INSDFeature_location>1..954</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>mod_base</INSDQualifier_name>
      <INSDQualifier_value>OTHER</INSDQualifier_value>
    </INSDQualifier>
    <INSDQualifier>
      <INSDQualifier_name>note</INSDQualifier_name>
      <INSDQualifier_value>2,3-dihydroxypropyl nucleosides</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>

<INSDFeature>
  <INSDFeature_key>modified_base</INSDFeature_key>
  <INSDFeature_location>439</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>mod_base</INSDQualifier_name>
      <INSDQualifier_value>i</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>

<INSDFeature>
  <INSDFeature_key>modified_base</INSDFeature_key>
  <INSDFeature_location>684</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>mod_base</INSDQualifier_name>
      <INSDQualifier_value>OTHER</INSDQualifier_value>
    </INSDQualifier>
    <INSDQualifier>
      <INSDQualifier_name>note</INSDQualifier_name>
      <INSDQualifier_value>xanthine</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>

```

21. Any "unknown" nucleotide must be represented by the symbol "n" in the sequence. An "unknown" nucleotide should be further described in the feature table (see paragraph 60 *et seq.*) using the feature key "unsure". The symbol "n" is the equivalent of only one residue.



22. A region containing a known number of contiguous “a”, “c”, “g”, “t”, or “n” residues for which the same description applies may be jointly described using a single INSDFeature element with the syntax “x..y” as the location descriptor in the element INSDFeature\_location (see paragraphs 66-64 to 72-71). For presentationrepresentation of sequence variants, i.e., deletions, insertions or substitutions, see paragraphs 92 to 97-98.

23. The following example illustrates the presentationrepresentation of a region of modified nucleotides for which the same description applies, according to paragraph 24-22 above:

```
<INSDFeature>
  <INSDFeature_key>modified_base</INSDFeature_key>
  <INSDFeature_location>358..485</INSDFeature_location>
  <INSDFeature_qualifiers>
    <INSDQualifier>
      <INSDQualifier_name>mod_base</INSDQualifier_name>
      <INSDQualifier_value>OTHER</INSDQualifier_value>
    </INSDQualifier>
    <INSDQualifier>
      <INSDQualifier_name>note</INSDQualifier_name>
      <INSDQualifier_value>isoguanine</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qualifiers>
</INSDFeature>
```

#### Amino acid sequences

24. The amino acids in a protein or peptide an amino acid sequence must be listed represented in the amino to carboxy direction from left to right. The amino and carboxy groups must not be represented in the sequence.

25. For the purpose of numbering amino acid positions must start at this Standard, the first amino acid of in the sequence, with its residue position number 1, including amino acids preceding the mature protein, for example, pre-sequences, pro-sequences, pre-pro-sequences and signal sequences. It must be contiguous through the whole. When amino acid sequences are circular in configuration, applicant must choose the amino acid in residue position number 1. Numbering is continuous through the entire sequence in the amino to carboxy direction.

26. All amino acids in a sequence must be represented using the symbols set forth in Annex I (see Section 3, Table 3). Only upper case letters must be used. Any symbol used to represent an amino acid is the equivalent of only one residue.

27. Where an ambiguity symbol (representing two or more amino acids in the alternative) is appropriate, the most restrictive symbol should be used. For example, if an amino acid in a given position could be aspartic acid or asparagine, the symbol “B” should be used, rather than “X”. The symbol “X” will be construed as any one of “A”, “R”, “N”, “D”, “C”, “Q”, “E”, “G”, “H”, “I”, “L”, “K”, “M”, “F”, “P”, “O”, “S”, “U”, “T”, “W”, “Y”, or “V”, except where it is used with a further description in the feature table as provided by paragraphs 28-29 to 30-31 or 34-32 to 33. The symbol “X” may must not be used to represent anything other than an amino acid. A single amino acid may be represented by the symbol “X”, together with a further description in the feature table, as provided in paragraphs 28-29 to 30-31 or 34-32 to 33. For presentationrepresentation of sequence variants, i.e., alternatives, deletions, insertions, or substitutions, see paragraphs 92 to 97-98.

28. Disclosed amino acid sequences separated by one or more blank spaces or internal terminator symbols, represented for example by “Ter” or asterisk “\*” or period “.”, in a disclosure or a blank space, must be presented included as separate sequences for each amino acid sequence that contains at least four specifically defined amino acids and is encompassed by paragraph 6-7. Each such separate sequence must be presented in the sequence listing with assigned its own sequence identification number, using only the symbols set forth in Annex I (see Section 3, Table 3). Terminator symbols and spaces must not be used included in sequences in a sequence listing (see paragraph 57).

29. Modified amino acids, including D-amino acids, should be represented in the sequence as the corresponding unmodified amino acids whenever possible. Any modified amino acid in a sequence that cannot otherwise be represented by any other symbol in Annex I (see Section 3, Table 3), i.e., an “other” amino acid, must be represented by “X”. The symbol “X” is the equivalent of only one residue.

30. A modified amino acid must be further described in the feature table (see paragraph 60 et seq.). Where applicable, the feature keys “CARBOHYD” or “LIPID” should be used together with the qualifier “NOTE”. The feature key “MOD\_RES” should be used for other post-translationally modified amino acids together with the qualifier “NOTE”; otherwise the feature key “SITE” together with the qualifier “NOTE” should be used. The value for the qualifier “NOTE” must either be an abbreviation set forth in Annex I (see Section 4, Table 4), or the complete, unabbreviated name of the modified amino acid. The abbreviations set forth in Table 4 referred to above or the complete, unabbreviated names must not be used in the sequence itself.

31. The following examples illustrate the presentationrepresentation of modified amino acids according to paragraph 29-30 above:

Example 1: Post-translationally modified amino acid

```
<INSDFeature>
  <INSDFeature_key>MOD_RES</INSDFeature_key>
  <INSDFeature_location>3</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>NOTE</INSDQualifier_name>
      <INSDQualifier_value>3-Hyp</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>
```

Example 2: Non post-translationally modified amino acid

```
<INSDFeature>
  <INSDFeature_key>SITE</INSDFeature_key>
  <INSDFeature_location>3</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>NOTE</INSDQualifier_name>
      <INSDQualifier_value>Orn</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>
```

Example 3: D-amino acid

```
<INSDFeature>
  <INSDFeature_key>SITE</INSDFeature_key>
  <INSDFeature_location>9</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>NOTE</INSDQualifier_name>
      <INSDQualifier_value>D-Arginine</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>
```

32. Any "unknown" or "other" amino acid not covered by paragraph 28 must be represented by the symbol "X" in the sequence. The symbol "X" is the equivalent of only one residue. An "unknown" amino acid designated as "X" must be further described in the feature table (see paragraph 60 *et seq.*) using the feature key "UNSURE" and optionally the qualifier "NOTE". An "other" amino acid designated as "X" must be further described using the feature key "SITE" or "MOD\_RES", as appropriate, and the qualifier "NOTE" with the complete, unabbreviated name of the "other" amino acid. The symbol "X" is the equivalent of only one residue.

33. The following examples illustrate the presentation of an "unknown" or "other" amino acid according to paragraphs 31 and paragraph 32 above:

Example 1: "unknown" amino acid.

```
<INSDFeature>
  <INSDFeature_key>UNSURE</INSDFeature_key>
  <INSDFeature_location>3</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>NOTE</INSDQualifier_name>
      <INSDQualifier_value>A or V</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>
```

Example 2: "other" amino acid.

```
<INSDFeature>
  <INSDFeature_key>SITE</INSDFeature_key>
  <INSDFeature_location>3</INSDFeature_location>
  <INSDFeature_quala>
    <INSDQualifier>
      <INSDQualifier_name>NOTE</INSDQualifier_name>
      <INSDQualifier_value>Homoserine</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_quala>
</INSDFeature>
```

34. A region containing a known number of contiguous “X” residues for which the same description applies may be jointly described using the syntax “x..y” as the location descriptor in the element INSDFeature\_location (see paragraphs 6564 to 7470). For presentation representation of sequence variants, i.e., deletions, insertions, or substitutions, see paragraphs 9293 to 9798.

#### *Presentation of special situations*

35. A sequence disclosed by enumeration of its residues that is constructed as a single continuous sequence from one or more non-contiguous segments of a larger sequence or of segments from different sequences must be included in the sequence listing as a single sequence with a single and assigned its own sequence identification number.

36. A sequence disclosed by enumeration of its residues that contains regions of specifically enumerated defined residues separated by one or more regions of contiguous “n” or “X” residues (see paragraphs 15 and 2627, respectively), wherein the exact number of “n” or “X” residues in each region is disclosed, must be included in the sequence listing as a single one sequence with a single and assigned its own sequence identification number.

37. A sequence disclosed by enumeration of its residues that contains regions of specifically enumerated defined residues separated by one or more gaps of an unknown or undisclosed number of residues must not be represented in the sequence listing as a single sequence. Each region of specifically defined residues that is encompassed by paragraph 7 must be included in the sequence listing as multiple a separate sequences. Each such separate sequence must contain one region of specifically enumerated residues with sequence and assigned its own sequence identification number, wherein the number of separate sequences is equal to the number of regions of specifically enumerated residues. Sequences containing gaps of an unknown or undisclosed number of residues must not be included in the sequence listing as a single sequence.

#### STRUCTURE OF THE SEQUENCE LISTING IN XML

38. In accordance with paragraph 56 above, an XML instance of a sequence listing file according to this Standard is composed of:

- (a) general information part, which contains information concerning the patent application to which the sequence listing is directed; and
- (b) sequence data part, which contains one or more sequence data elements, each of which, in turn contain information about one sequence.

An example of a sequence listing is provided in Annex III.

39. The sequence listing must be presented in XML 1.0 using the DTD presented in the Annex II “Document Type Definition for Sequence Listing”.

- (a) The first line of the XML instance must contain the XML declaration:

```
<?xml version="1.0" encoding="UTF-8"?>.
```

- (b) The second line of the XML instance must contain a document type (DOCTYPE) declaration:

```
<!DOCTYPE ST26SequenceListing PUBLIC "-//WIPO//DTD Sequence Listing 1.0//EN"
"ST26SequenceListing_V1_0.dtd">.
```

40. The entire electronic sequence listing must be contained within one file. The file must be encoded using Unicode UTF-8, with the following restrictions:

- (a) the information contained in the elements ApplicantName, InventorName and InventionTitle of the general information part, may be composed of any Unicode characters except the reserved characters, which must be replaced as set forth in paragraph 41; and

(b) the information contained in all other elements of the general information part and in all elements of the sequence data part must be composed of printable characters (including the space character) from the Unicode Basic Latin code table excluding the reserved characters, which must be replaced as set forth in paragraph 41, (i.e., limited to Unicode code points 0020, 0021, 0023 through 0026, 0028 through 003B, 003D, and 003F through 007E – see Annex IV), and the only character entities permitted are the predefined entities set forth in paragraph 41.

41. In an XML instance of a sequence listing, the following reserved characters must be replaced by the corresponding predefined entities when used in a value of an attribute or content of an element:

Reserved Character	Predefined Entities
<	&lt;
>	&gt;
&	&amp;
"	&quot;
'	&apos;

See paragraph 7271 for an example.

42. All mandatory elements must be populated (except as provided for in paragraph 58 for an intentionally skipped sequence). Optional elements for which content is not available should not appear in the XML instance, **except as provided for in paragraph 95 for representation of a deletion in a sequence in the value for the qualifier "replace"**.

#### Root element

43. The root element of an XML instance according to this Standard is the element `ST26SequenceListing`, having the following attributes:

Attribute	Description	Mandatory/Optional
<code>dtdVersion</code>	Version of the DTD used to create this file in the format "V#_#", e.g. "V1_0".	Mandatory
<code>fileName</code>	Name of the sequence listing file.	Optional
<code>softwareName</code>	Name of the software that generated this file.	Optional
<code>softwareVersion</code>	Version of the software that generated this file.	Optional
<code>productionDate</code>	Date of production of the sequence listing file (format "CCYY-MM-DD").	Optional

44. The following example illustrates the root element `ST26SequenceListing`, and its attributes, of an XML instance as per paragraph 43 above:

```
<ST26SequenceListing dtdVersion="V1_0" fileName="US11_405455_SEQ1.xml"
softwareName="SEQ1-software-name" softwareVersion="1.0" productionDate="2006-05-10">
{...}*
</ST26SequenceListing>
```

\*{...} represents the general information part and the sequence data part that have not been included in this example.

*General information part*

45. The elements of the general information part relate to patent application information, as follows:

Element	Description	Mandatory/ Optional
<p>ApplicationIdentification</p> <p>The ApplicationIdentification is composed of:</p> <p>IPOfficeCode</p> <p>ApplicationNumberText</p> <p>FilingDate</p>	<p>The application identification for which the sequence listing is submitted</p> <p>ST.3 Code of the office of filing</p> <p>The application identification as provided by the office of filing (e.g., PCT/IB2013/099999)</p> <p>The date of filing of the patent application for which the sequence listing is submitted (ST.2 format "CCYY-MM-DD", using a 4-digit calendar year, a 2-digit calendar month and a 2-digit day within the calendar month, e.g., 2015-01-31)</p>	<p>Mandatory when a sequence listing is furnished at any time following the assignment of the application number</p> <p>Mandatory</p> <p>Mandatory</p> <p>Mandatory when a sequence listing is furnished at any time following the assignment of a filing date</p>
ApplicantFileReference	A single unique identifier assigned by applicant to identify a particular application, typed in the characters as set forth in paragraph 40 (b)	Mandatory when a sequence listing is furnished at any time prior to assignment of the application number; otherwise, Optional
EarliestPriorityApplicationIdentification	The application identification of the earliest priority claim (also contains IPOfficeCode, ApplicationNumberText and FilingDate, see ApplicationIdentification above)	Mandatory where priority is claimed
ApplicantName	Name of the first mentioned applicant typed in the characters as set forth in paragraph 40 (a). This element includes the mandatory attribute languageCode as set forth in paragraph 47.	Mandatory
ApplicantNameLatin	Where ApplicantName is typed in characters other than those as set forth in paragraph 40 (b), a translation or transliteration of the name of the first mentioned applicant must also be typed in characters as set forth in paragraph 40 (b)	Mandatory where ApplicantName contains non-Latin characters

Element	Description	Mandatory/Optional
InventorName	Name of the first mentioned inventor typed in the characters as set forth in paragraph 40 (a). This element includes the mandatory attribute <code>languageCode</code> as set forth in paragraph 47.	Optional
InventorNameLatin	Where <code>InventorName</code> is typed in characters other than those as set forth in paragraph 40 (b), a translation or transliteration of the first mentioned inventor may also be typed in characters as set forth in paragraph 40 (b)	Optional
InventionTitle	Title of the invention typed in the characters as set forth in paragraph 40 (a) in the language of filing. A translation of the title of the invention into additional languages may be typed in the characters as set forth in paragraph 40 (a) using additional <code>InventionTitle</code> elements. This element includes the mandatory attribute <code>languageCode</code> as set forth in paragraph 48. The title of invention is preferably two to seven words.	Mandatory in the language of filing. Optional for additional languages.
SequenceTotalQuantity	The total number of all sequences in the sequence listing including intentionally skipped sequences (also known as empty sequences) (see paragraph 9.10).	Mandatory

46. The following examples illustrate the presentation of the general information part of the sequence listing as per paragraph 45 above:

Example 1: Sequence listing filed prior to assignment of the application identification and filing date

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE ST26SequenceListing PUBLIC "-//WIPO//DTD Sequence Listing 1.0//EN"
"ST26SequenceListing_V1_0.dtd">
<ST26SequenceListing dtdVersion="V1_0" fileName="Invention_SEQ1.xml"
softwareName="SQL-software-name" softwareVersion="1.0" productionDate="2015-05-10">
  <ApplicantFileReference>AB123</ApplicantFileReference>
  <EarliestPriorityApplicationIdentification>
    <IPOfficeCode>IB</IPOfficeCode>
    <ApplicationNumberText>PCT/IB2013/099999</ApplicationNumberText>
    <FilingDate>2014-07-10</FilingDate>
  </EarliestPriorityApplicationIdentification>
  <ApplicantName languageCode="en">GENOS Co., Inc.</ApplicantName>
  <InventorName languageCode="en">Keiko Nakamura</InventorName>
  <InventionTitle languageCode="en">SIGNAL RECOGNITION PARTICLE RNA AND
PROTEINS</InventionTitle>
  <SequenceTotalQuantity>9</SequenceTotalQuantity>
  <SequenceData sequenceIDNumber="1"> {...} * </SequenceData>
  <SequenceData sequenceIDNumber="2"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="3"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="4"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="5"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="6"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="7"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="8"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="9"> {...} </SequenceData>
</ST26SequenceListing>
```

\*{...} represents relevant information for each sequence that has not been included in this example.

**Example 2: Sequence listing filed after assignment of the application identification and filing date**

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE ST26SequenceListing PUBLIC "-//WIPO//DTD Sequence Listing 1.0//EN"
"ST26SequenceListing_V1_0.dtd">
<ST26SequenceListing dtDVersion="1_0" fileName="Invention_SEQ1.xml"
softwareName="SEQ1-software-name" softwareVersion="1.0" productionDate="2015-05-10">
  <ApplicationIdentification>
    <IPOfficeCode>US</IPOfficeCode>
    <ApplicationNumberText>14/999,999</ApplicationNumberText>
    <FilingDate>2015-01-05</FilingDate>
  </ApplicationIdentification>
  <ApplicantFileReference>AB123</ApplicantFileReference>
  <EarliestPriorityApplicationIdentification>
    <IPOfficeCode>IB</IPOfficeCode>
    <ApplicationNumberText>PCT/IB2014/099999</ApplicationNumberText>
    <FilingDate>2014-07-10</FilingDate>
  </EarliestPriorityApplicationIdentification>
  <ApplicantName languageCode="en">GENOS Co., Inc.</ApplicantName>
  <InventorName languageCode="en">Keiko Nakamura</InventorName>
  <InventionTitle languageCode="en">SIGNAL RECOGNITION PARTICLE RNA AND
PROTEINS</InventionTitle>
  <SequenceTotalQuantity>9</SequenceTotalQuantity>
  <SequenceData sequenceIDNumber="1"> {...} * </SequenceData>
  <SequenceData sequenceIDNumber="2"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="3"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="4"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="5"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="6"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="7"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="8"> {...} </SequenceData>
  <SequenceData sequenceIDNumber="9"> {...} </SequenceData>
</ST26SequenceListing>
```

\*{...} represents relevant information for each sequence that has not been included in this example.

**47.** The name of the applicant and, optionally, the name of the inventor must be indicated in the element `ApplicantName` and `InventorName`, respectively, as they are generally referred to in the language in which the application is filed. The appropriate language code (see [reference in paragraph 39 to ISO 639-1:2002](#)) must be indicated in the `languageCode` attribute for each element. Where the applicant name indicated contains characters other than those of the Latin alphabet as set forth in paragraph 40 (b), a transliteration or translation of the applicant name must also be indicated in characters of the Latin alphabet in the element `ApplicantNameLatin`. Where the inventor name indicated contains characters other than those of the Latin alphabet, a transliteration or a translation of the inventor name may also be indicated in characters of the Latin alphabet in the element `InventorNameLatin`.

**48.** The title of the invention must be indicated in the element `InventionTitle` in the language of filing and may also be indicated in additional languages using multiple `InventionTitle` elements (see table in paragraph 45). The appropriate language code (see [reference in paragraph 39 to ISO 639-1:2002](#)) must be indicated in the `languageCode` attribute of the element.

**49.** The following example illustrates the presentation of names and title of the invention as per paragraphs 47 and 48 above:

Example: Applicant name and inventor name are each presented in Japanese and Latin characters and the title of the invention is presented in Japanese, English and French

```
<ApplicantName languageCode="ja-ja">出願製薬株式会社</ApplicantName>
<ApplicantNameLatin>Shutsugan Pharmaceuticals Kabushiki Kaisha</ApplicantNameLatin>
<InventorName languageCode="ja-ja">特許 太郎</InventorName>
<InventorNameLatin>Taro Tokkyo</InventorNameLatin>
<InventionTitle languageCode="ja">efg タンパク質のためのをコードする マウス abcd-1 遺伝子
</InventionTitle>
```

```
<InventionTitle languageCode="en">Mus musculus abcd-1 gene for efg
protein</InventionTitle>
<InventionTitle languageCode="fr">Gène abcd-1 de Mus musculus pour protéine
efg</InventionTitle>
```

*Sequence data part*

50. The sequence data part must be composed of one or more `SequenceData` elements, each element containing information about one sequence.

51. Each `SequenceData` element must have a mandatory attribute `sequenceIDNumber`, in which the sequence identification number (see paragraph 910) for each sequence is contained. For example:

```
<SequenceData sequenceIDNumber="1">
```

52. The `SequenceData` element must contain a dependent element `INSDSeq`, consisting of further dependent elements as follows:

Element	Description	Mandatory/Not Included	
		Sequences	Intentionally Skipped Sequences
<code>INSDSeq_length</code>	Length of the sequence	Mandatory	Mandatory with no value
<code>INSDSeq_moltype</code>	Molecule type	Mandatory	Mandatory with no value
<code>INSDSeq_division</code>	Indication that a sequence is related to a patent application	Mandatory with the value "PAT"	Mandatory with no value
<code>INSDSeq_feature-table</code>	List of annotations of the sequence	Mandatory	Must NOT be included
<code>INSDSeq_sequence</code>	Sequence	Mandatory	Mandatory with the value "000"

53. The element `INSDSeq_length` must disclose the number of nucleotides or amino acids of the sequence contained in the `INSDSeq_sequence` element. For example:

```
<INSDSeq_length>8</INSDSeq_length>
```

54. The element `INSDSeq_moltype` must disclose the type of molecule that is being presented/represented. For nucleotide sequences, including nucleotide analogue sequences, the molecule type must be indicated as DNA or RNA. For protein or polypeptide/amino acid sequences, the molecule type must be indicated as AA. (This element is distinct from the qualifiers "mol\_type" and "MOL\_TYPE" discussed in paragraphs 55 and 9584). For example:

```
<INSDSeq_moltype>AA</INSDSeq_moltype>
```

55. Where a nucleotide sequence contains both DNA and RNA fragments, the value for `INSDSeq_moltype` must be "DNA." The combined DNA/RNA molecule must be further described in the feature table, using the feature key "source" and the mandatory qualifier "organism" with the value "synthetic construct" and the mandatory qualifier "mol\_type" with the value "other DNA". Each DNA and RNA fragment of the combined DNA/RNA molecule should be further described with the feature key "misc\_feature" and the qualifier "note", which indicates whether the fragment is DNA or RNA.

56. The following example illustrates the description of a nucleotide sequence containing both DNA and RNA fragments as per paragraph 55 above:

```
<INSDSeq>
  <INSDSeq_length>120</INSDSeq_length>
  <INSDSeq_moltype>DNA</INSDSeq_moltype>
  <INSDSeq_division>PAT</INSDSeq_division>
  <INSDSeq_feature-table>
    <INSDFeature>
      <INSDFeature_key>source</INSDFeature_key>
      <INSDFeature_location>1..120</INSDFeature_location>
      <INSDFeature_qual>
        <INSDQualifier>
          <INSDQualifier_name>organism</INSDQualifier_name>
```



```

        <INSDQualifier_value>synthetic construct</INSDQualifier_value>
    </INSDQualifier>
    <INSDQualifier>
        <INSDQualifier_name>mol_type</INSDQualifier_name>
        <INSDQualifier_value>other DNA</INSDQualifier_value>
    </INSDQualifier>
</INSDFeature_qual>
</INSDFeature>
<INSDFeature>
    <INSDFeature_key>misc_feature</INSDFeature_key>
    <INSDFeature_location>1..60</INSDFeature_location>
    <INSDFeature_qual>
        <INSDQualifier>
            <INSDQualifier_name>note</INSDQualifier_name>
            <INSDQualifier_value>DNA fragment</INSDQualifier_value>
        </INSDQualifier>
    </INSDFeature_qual>
</INSDFeature>
<INSDFeature>
    <INSDFeature_key>misc_feature</INSDFeature_key>
    <INSDFeature_location>61..120</INSDFeature_location>
    <INSDFeature_qual>
        <INSDQualifier>
            <INSDQualifier_name>note</INSDQualifier_name>
            <INSDQualifier_value>RNA fragment</INSDQualifier_value>
        </INSDQualifier>
    </INSDFeature_qual>
</INSDFeature>
</INSDSeq_feature-table>
<INSDSeq_sequence>
    cgaccacgcgtccgaggaaaccaaccatcacgtttgaggacttcgtgaaggaattggataatacccgctccctaccaaaggcg
    agcgccgactcattgctcctcgtaccgctcgagcggc
</INSDSeq_sequence>
</INSDSeq>

```

57. The element INSDSeq\_sequence must disclose the sequence. The residues in the sequence must be presented contiguously using only Only the appropriate symbols set forth in Annex I (see Section 1, Table 1 and Section 3, Table 3) must be included in the sequence. The sequence must not contain include numbers, punctuation or whitespace characters.

58. An intentionally skipped sequence must be presented included in the sequence listing and represented as follows:

- (a) the element SequenceData and its attribute sequenceIDNumber, with the sequence identification number of the skipped sequence provided as the value;
- (b) the elements INSDSeq\_length, INSDSeq\_moltype, INSDSeq\_division, present but with no value provided;
- (c) the element INSDSeq\_feature-table must not be included; and
- (d) the element INSDSeq\_sequence with the string "000" as the value.

59. The following example illustrates the presentation representation of an intentionally skipped sequence as per paragraph 58 above:

```

<SequenceData sequenceIDNumber="3">
  <INSDSeq>
    <INSDSeq_length/>
    <INSDSeq_moltype/>
    <INSDSeq_division/>
    <INSDSeq_sequence>000</INSDSeq_sequence>
  </INSDSeq>
</SequenceData>

```

*Feature table*

60. The feature table contains information on the location and roles of various regions within a particular sequence. A feature table is required for every sequence, except for any intentionally skipped sequence, in which case it must not be included. The feature table is contained in the element `INSDSeq_feature-table`, which consists of one or more `INSDFeature` elements.

61. Each `INSDFeature` element describes one feature, and consists of dependent elements as follows:

Element	Description	Mandatory/Optional
<code>INSDFeature_key</code>	A word or abbreviation indicating a feature	Mandatory
<code>INSDFeature_location</code>	Region of the presented sequence which corresponds to the feature	Mandatory
<code>INSDFeature_qual</code>	Qualifier containing auxiliary information about a feature	Mandatory where the feature key requires one or more qualifiers, e.g., source; otherwise, Optional

*Feature keys*

62. Annex I contains an exclusive listing of feature keys that must be used under this Standard, along with an exclusive listing of associated qualifiers and an indication as to whether those qualifiers are mandatory or optional. Section 5 of Annex I provides the exclusive listing of feature keys for nucleotide sequences and Section 7 provides the exclusive listing of feature keys for amino acid sequences.

*Mandatory feature keys*

63. The “source” feature key is mandatory for all nucleotide sequences and the “SOURCE” feature key is mandatory for all amino acid sequences, except for any intentionally skipped sequence. Each sequence must have a single “source” or “SOURCE” feature key spanning the entire sequence. Where a sequence originates from multiple sources, those sources may be further described in the feature table, using the feature key “misc\_feature” and the qualifier “note” for nucleotide sequences, and the feature key “REGION” and the qualifier “NOTE” for amino acid sequences.

64. ~~Certain feature keys require that another feature key, referred to as a “Parent Key”, be used along with those certain feature keys; for example, the “C\_region” feature key requires the “CDS” feature key (see Annex I, Section 5).~~

*Feature location*

64. The mandatory element `INSDFeature_location` must contain at least one location descriptor, which defines a site or a region corresponding to a feature of the sequence in the `INSDSeq_sequence` element, and may contain one or more location operator(s) (see paragraphs 6867 to 7470).

65. The location descriptor can be a single residue number, a site between two adjacent residue numbers, a region delimiting a contiguous span of residue numbers, or a site or region that extends beyond the specified residue or span of residues. Multiple location descriptors must be used in conjunction with a location operator when a feature corresponds to discontinuous sites or regions of the sequence (see paragraphs 6867 to 7470). The location descriptor must not include numbering for residues beyond the range of the sequence in the `INSDSeq_sequence` element.

66. The syntax for each type of location descriptor is indicated in the table below, where x and y are residue numbers, indicated as non-negative integers, not greater than the length of the sequence in the `INSDSeq_sequence` element, and x is less than y.

Location descriptor type	Syntax	Description
Single residue number	x	Points to a single residue in the presented sequence.
Residue numbers delimiting a sequence span	x..y	Points to a continuous range of residues bounded by and including the starting and ending residues.
Residues before the first or beyond the last specified residue number	<x >x <x..y x..>y	Points to a region including a specified residue or span of residues and extending beyond a specified residue. The ‘<’ and ‘>’ symbols may be used with a single residue or the starting and ending residue numbers of a span of residues to indicate that a feature extends beyond the specified residue number.

Location descriptor type	Syntax	Description
A site between two adjoining residue numbers	$x^y$	Points to a site between two adjoining residues, e.g. endonucleolytic cleavage site. The position numbers for the adjacent residues are separated by a caret (^). The permitted formats for this descriptor are $x^{x+1}$ (for example 55 <sup>56</sup> ), or, for circular nucleotides, $x^1$ , where "x" is the full length of the molecule, i.e. 1000 <sup>1</sup> for circular molecule with length 1000.

**67.** A location operator is a prefix to either one location descriptor or a combination of location descriptors corresponding to a single but discontinuous feature, and specifies where the location corresponding to the feature on the indicated sequence is found or how the feature is constructed. A list of location operators is provided below with their definitions.

(a) Location operator for nucleotides and amino acids:

Location syntax	Location description
<code>join(location,location, ... location)</code>	The indicated locations are joined (placed end-to-end) to form one contiguous sequence.
<code>order(location,location, ... location)</code>	The elements are found in the specified order but nothing is implied about whether joining those elements is reasonable.

(b) Location operator for nucleotides only:

Location syntax	Location description
<code>complement(location)</code>	Indicates that the feature is located on the strand complementary to the sequence span specified by the location descriptor, when read in the 5' to 3' direction <b>or in the direction that mimics the 5' to 3' direction.</b>

**68.** The join and order location operators require that at least two comma-separated location descriptors be provided. Location descriptors involving sites between two adjacent residues, i.e.  $x^y$ , **may not** be used within a join or order location. Use of the join location operator implies that the residues described by the location descriptors are physically brought into contact by biological processes (for example, the exons that contribute to a coding region feature).

**69.** The location operator "complement" can be used for nucleotides only. "Complement" can be used in combination with either "join" or "order" within the same location. Combinations of "join" and "order" within the same location must not be used.

**70.** The following examples illustrate feature locations, as per paragraphs **65** to **70** above:

(a) locations for nucleotides and amino acids:

Location Example	Description
467	Points to residue 467 in the sequence.
123 <sup>124</sup>	Points to a site between residues 123 and 124.
340..565	Points to a continuous range of residues bounded by and including residues 340 and 565.
<1	Points to a feature location before the first residue.
<345..500	Indicates that the exact lower boundary point of a feature is unknown. The location begins at some residue previous to 345 and continues to and includes residue 500.
<1..888	Indicates that the feature starts before the first sequence residue and continues to and includes residue 888.
1..>888	Indicates that the feature starts at the first sequenced residue and continues beyond residue 888.

Location Example	Description
<code>join(12..78,134..202)</code>	Indicates that regions 12 to 78 and 134 to 202 should be joined to form one contiguous sequence.

(b) locations for nucleotides only:

Location example	Description
<code>complement(34..126)</code>	Starts at the <b>base nucleotide</b> complementary to 126 and finishes at the <b>base nucleotide</b> complementary to <b>base nucleotide</b> 34 (the feature is on the strand complementary to the presented strand).
<code>complement(join(2691..4571, 4918..5163))</code>	Joins <b>bases nucleotides</b> 2691 to 4571 and 4918 to 5163, then complements the joined segments (the feature is on the strand complementary to the presented strand).
<code>join(complement(4918..5163), complement(2691..4571))</code>	Complements regions 4918 to 5163 and 2691 to 4571, then joins the complemented segments (the feature is on the strand complementary to the presented strand).

71. In an XML instance of a sequence listing, the characters "<" and ">" in a location descriptor must be replaced by the appropriate predefined entities (see paragraph 41). For example:

```
Feature location "<1":
<INSDFeature_location>&lt;1</INSDFeature_location>

Feature location "1.>888":
<INSDFeature_location>1.&gt;888</INSDFeature_location>
```

#### Feature qualifiers

72. Qualifiers are used to supply information about features in addition to that conveyed by the feature key and feature location. There are three types of value formats to accommodate different types of information conveyed by qualifiers, namely:

- (a) free text (see paragraphs **86** and **87**);
- (b) controlled vocabulary or enumerated values (e.g. a number or date); and
- (c) sequences.

73. Section 6 of Annex I provides the exclusive listing of qualifiers and their specified value formats, if any, for each nucleotide feature key and Section 8 provides the exclusive listing of qualifiers for each amino acid feature key.

74. Any sequence encompassed by paragraph **67** which is provided as a qualifier value must be separately **listed** in the sequence listing **with and assigned** its own sequence identification number.

#### Mandatory feature qualifiers

75. One mandatory feature key, i.e., "source" for nucleotide sequences and "SOURCE" for amino acid sequences, requires two mandatory qualifiers, "organism" and "mol\_type" for nucleotide sequences and "ORGANISM" and "MOL\_TYPE" for amino acid sequences. Some optional feature keys also require mandatory qualifiers.

#### Qualifier elements

76. The element `INSDFeature_qual` contains one or more `INSDQualifier` elements. Each `INSDQualifier` element represents a single qualifier and consists of two dependent elements as follows:

Element	Description	Mandatory/Optional
<code>INSDQualifier_name</code>	Name of the qualifier (see Annex I, Sections 6 and 8)	Mandatory
<code>INSDQualifier_value</code>	Value of the qualifier, if any, in the specified format (see Annex I, Sections 6 and 8)	Mandatory, when specified (see Annex I, Sections 6 and 8)

**77.** The organism qualifier, i.e. “organism” for nucleotide sequences (see Annex I, Section 6) and “ORGANISM” for amino acid sequences (see Annex I, Section 8) must disclose the source, i.e., a single organism or origin, of the sequence **that is being presented**. Organism designations should be selected from a taxonomy database.

**78.** If the sequence is naturally occurring and the source organism has a Latin genus and species designation, that designation must be used as the qualifier value. The preferred English common name may be specified using the qualifier “note” for nucleotide sequences and the qualifier “NOTE” for amino acid sequences, but must not be used in the organism qualifier value.

**79.** The following examples illustrate the source of **presented sequences a sequence** as per paragraphs **78** and **79** above:

Example 1: Source for a nucleotide sequence

```
<INSDSeq_feature-table>
  <INSDFeature>
    <INSDFeature_key>source</INSDFeature_key>
    <INSDFeature_location>1..5164</INSDFeature_location>
    <INSDFeature_qual>
      <INSDQualifier>
        <INSDQualifier_name>organism</INSDQualifier_name>
        <INSDQualifier_value>Solanum lycopersicum</INSDQualifier_value>
      </INSDQualifier>
      <INSDQualifier>
        <INSDQualifier_name>note</INSDQualifier_name>
        <INSDQualifier_value>common name: tomato</INSDQualifier_value>
      </INSDQualifier>
      <INSDQualifier>
        <INSDQualifier_name>mol_type</INSDQualifier_name>
        <INSDQualifier_value>genomic DNA</INSDQualifier_value>
      </INSDQualifier>
    </INSDFeature_qual>
  </INSDFeature>
</INSDSeq_feature-table>
```

Example 2: Source for **a protein an amino acid** sequence

```
<INSDSeq_feature-table>
  <INSDFeature>
    <INSDFeature_key>SOURCE</INSDFeature_key>
    <INSDFeature_location>1..174</INSDFeature_location>
    <INSDFeature_qual>
      <INSDQualifier>
        <INSDQualifier_name>ORGANISM</INSDQualifier_name>
        <INSDQualifier_value>Homo sapiens</INSDQualifier_value>
      </INSDQualifier>
      <INSDQualifier>
        <INSDQualifier_name>MOL_TYPE</INSDQualifier_name>
        <INSDQualifier_value>protein</INSDQualifier_value>
      </INSDQualifier>
    </INSDFeature_qual>
  </INSDFeature>
</INSDSeq_feature-table>
```

**80.** If the sequence is naturally occurring and the source organism has a known Latin genus, but the species is unspecified or unidentified, then the organism qualifier value must indicate the Latin genus followed by “sp.”. For example:

```
<INSDQualifier_name>organism</INSDQualifier_name>
<INSDQualifier_value>Bacillus sp.</INSDQualifier_value>
```

**81.** If the **source of the** sequence is naturally **occurring occurring**, but the Latin organism genus and species designation is unknown, then the organism qualifier value must be indicated as “unidentified” followed by any known taxonomic information in the qualifier “note” for nucleotide sequences and the qualifier “NOTE” for amino acid sequences. For example:

```
<INSDQualifier_name>organism</INSDQualifier_name>
<INSDQualifier_value>unidentified</INSDQualifier_value>
<INSDQualifier_name>note</INSDQualifier_name>
<INSDQualifier_value>bacterium B8</INSDQualifier_value>
```

**82.** If the sequence is naturally occurring and the source organism does not have a Latin genus and species designation, such as a virus, then another acceptable scientific name (e.g. "Canine adenovirus type 2") must be used as the organism qualifier value. For example:

```
<INSDQualifier_name>organism</INSDQualifier_name>
<INSDQualifier_value>Canine adenovirus type 2</INSDQualifier_value>
```

**83.** If the sequence is not naturally occurring, the organism qualifier value must be indicated as "synthetic construct". Further information with respect to the way the sequence was generated may be specified using the qualifier "note" for nucleotide sequences and the qualifier "NOTE" for amino acid sequences. For example:

```
<INSDSeq_feature-table>
  <INSDFeature>
    <INSDFeature_key>SOURCE</INSDFeature_key>
    <INSDFeature_location>1..40</INSDFeature_location>
    <INSDFeature_qual>
      <INSDQualifier>
        <INSDQualifier_name>ORGANISM</INSDQualifier_name>
        <INSDQualifier_value>synthetic construct</INSDQualifier_value>
      </INSDQualifier>
      <INSDQualifier>
        <INSDQualifier_name>MOL_TYPE</INSDQualifier_name>
        <INSDQualifier_value>protein</INSDQualifier_value>
      </INSDQualifier>
      <INSDQualifier>
        <INSDQualifier_name>NOTE</INSDQualifier_name>
        <INSDQualifier_value>synthetic peptide used as assay for
antibodies</INSDQualifier_value>
      </INSDQualifier>
    </INSDFeature_qual>
  </INSDFeature>
</INSDSeq_feature-table>
```

**84.** The "mol\_type" qualifier for nucleotide sequences (see Annex I, Section 6) and "MOL\_TYPE" for amino acid sequences (see Annex I, Section 8) must disclose the type of molecule represented in the sequence. These qualifiers are distinct from the element `INSDSeq_moltype` discussed in paragraph 54:

(a) For a nucleotide sequence, the "mol\_type" qualifier value must be one of the following: "genomic DNA", "genomic RNA", "mRNA", "tRNA", "rRNA", "other RNA", "other DNA", "transcribed RNA", "viral cRNA", "unassigned DNA", or "unassigned RNA". If the sequence is not naturally occurring, i.e. the value of the "organism" qualifier is "synthetic construct", the "mol\_type" qualifier value must be either "other RNA" or "other DNA";

(b) For an amino acid sequences, the "MOL\_TYPE" qualifier value is "protein".

#### Free text

**85.** Free text is a type of value format for certain qualifiers (as indicated in Annex I), presented in the form of a descriptive text phrase that should preferably be in the English language.

**86.** The use of free text must be limited to a few short terms indispensable for the understanding of a characteristic of the sequence. For each qualifier, the free text must not exceed 1000 characters.

#### Coding sequences

**87.** The "CDS" feature key may be used to identify coding sequences, i.e. sequences of nucleotides which correspond to the sequence of amino acids in a protein and the stop codon. The element `INSDFeature_location` should identify the location of the "CDS" feature and must include the stop codon.

**88.** The "transl\_table" and "translation" qualifiers may be used with the "CDS" feature key (see Annex I). Where the "transl\_table" qualifier is not used, the use of the Standard Code Table (see Annex I, Section 9, Table 5) is assumed.

**89.** The "transl\_except" qualifier must be used with the "CDS" feature key and the "translation" qualifier to identify a codon that encodes either pyrrolysine or selenocysteine.

90. A protein amino acid sequence encoded by the coding sequence and disclosed in a “translation” qualifier that is encompassed by paragraph 67 must be included in the sequence listing and assigned its own sequence identification number and be presented in the sequence listing. The sequence identification number assigned to the protein amino acid sequence must be provided as the value in the qualifier “protein\_id” with the “CDS” feature key. The “ORGANISM” qualifier of the “SOURCE” feature key for the protein amino acid sequence must be identical to that of its coding sequence. For example:

```
<INSDSeq_feature-table>
  <INSDFeature>
    <INSDFeature_key>CDS</INSDFeature_key>
    <INSDFeature_location>1..507</INSDFeature_location>
    <INSDFeature_qual>
      <INSDQualifier>
        <INSDQualifier_name>transl_table</INSDQualifier_name>
        <INSDQualifier_value>1</INSDQualifier_value>
      </INSDQualifier>
      <INSDQualifier>
        <INSDQualifier_name>translation</INSDQualifier_name>
        <INSDQualifier_value>
MLVHLERTTIMFDFSSLINLPLIWGLLIAIAVLLYILMDGFDLIGILLPFAPSDKCRDHMISSIAFPWDGNETWLVLGGGGLFAA
FPLAYSILMPAFYIPIIIMLLGLIVRGVSFEFRFKAEGKYRRLWDYAFHFGSLGAAFCQGMILGAFIHGVEVNGRNFSGGQLM
        </INSDQualifier_value>
      </INSDQualifier>
      <INSDQualifier>
        <INSDQualifier_name>protein_id</INSDQualifier_name>
        <INSDQualifier_value>89</INSDQualifier_value>
      </INSDQualifier>
    </INSDFeature_qual>
  </INSDFeature>
</INSDSeq_feature-table>
```

#### Variants

91. A primary sequence and any variant of that sequence, each disclosed by enumeration of their residues and encompassed by paragraph 67, must each be presented included in the sequence listing with and assigned their own sequence identification number.

92. Any variant sequence, disclosed as a single sequence with enumerated alternative variant residues at one or more positions, must be included in the sequence listing and should be represented by a single sequence, wherein the enumerated alternative variant residues are represented by the most restrictive ambiguity symbol (see paragraphs 15 and 27).

93. Any variant sequence, disclosed only by reference to deletion(s), insertion(s), or substitution(s) in a primary sequence in the sequence listing, may should be presented included in the sequence listing. Where provided included in the sequence listing, such a variant sequence:

- (a) may be presented represented by annotation of the primary sequence, where it contains variation(s) at a single location or multiple distinct locations and the occurrence of those variations are independent;
- (b) should be presented represented as a separate sequence with and assigned its own sequence identification number, where it contains variations at multiple distinct locations and the occurrence of those variations are interdependent; and
- (c) must be presented represented as a separate sequence with and assigned its own sequence identification number, where it contains an inserted or substituted sequence that contains in excess of 1000 residues (see paragraph 87.86).

94. The table below indicates the proper use of feature keys and qualifiers for nucleic acid and amino acid variants:

Type of sequence	Feature Key	Qualifier	Use
Nucleic acid	variation	replace or note	Naturally occurring mutations and polymorphisms, e.g. alleles, RFLPs.
Nucleic acid	misc_difference	replace or note	Variability introduced artificially, e.g. by genetic manipulation or by chemical synthesis.

Type of sequence	Feature Key	Qualifier	Use
Amino acid	VAR_SEQ	NOTE	Variant produced by alternative splicing, alternative promoter usage, alternative initiation and ribosomal frameshifting.
Amino acid	VARIANT	NOTE	Any type of variant for which VAR_SEQ is not applicable.

95. Annotation of a **primary** sequence for a specific variant must include a feature key and qualifier, as indicated in the table above, and the feature location. **The value for the “replace” qualifier must be only a single alternative nucleotide or nucleotide sequence using only the symbols in set forth Section 1, Table 1. A listing of alternative variant residues may be provided as the value in the “note” or “NOTE” qualifier. In particular, a listing of alternative amino acids must be provided as the value in the “NOTE” qualifier where “X” is used in a sequence, but represents a subgroup of “any one of ‘A’, ‘R’, ‘N’, ‘D’, ‘C’, ‘Q’, ‘E’, ‘G’, ‘H’, ‘I’, ‘L’, ‘K’, ‘M’, ‘F’, ‘P’, ‘O’, ‘S’, ‘U’, ‘T’, ‘W’, ‘Y’, or ‘V’”.** A deletion must be represented by an empty qualifier value **for the qualifier “replace” or an indication in the “note” or “NOTE” that the residue may be deleted.** An inserted or substituted residue(s) must be provided in the “replace”, **“note”,** or “NOTE” qualifier. The value format for the “replace”, **“note”,** and “NOTE” qualifiers is free text and must not exceed 1000 characters, as provided in paragraph **9786.** See paragraph **9798** for sequences encompassed by paragraph **67** that are provided as an insertion or a substitution in a qualifier value. **A listing of alternative residues for an insertion or substitution may be provided as the qualifier value.**

96. The symbols set forth in Annex I (see Sections 1 to 4, Tables 1 to 4, respectively) should be used to represent variant residues where appropriate. **Where** **For the “note” or “NOTE” qualifier, where** the variant residue is a modified residue not set forth in Tables 2 or 4 of Annex I, the complete unabbreviated name of the modified residue must be provided as the qualifier value. **Modified residues must be further described in the feature table as provided in paragraph 17 or 30.**

97. The following examples illustrate the **presentation** **representation** of variants as per paragraphs **9293** to **9596** above:

**Example 1: Feature key “variation misc difference” for a substitution in a nucleotide enumerated alternative variant nucleotides. The “n” at position 53 of the sequence can be one of five alternative nucleotides. A cytosine replaces the nucleotide given in position 413 of the sequence.**

```
<INSDFeature>
  <INSDFeature_key>variation misc difference</INSDFeature_key>
  <INSDFeature_location>41353</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>replace note</INSDQualifier_name>
      <INSDQualifier_value>ew, cmm5s2u, mam5u, mcm5s2u, or p</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>
<INSDFeature>
  <INSDFeature_key>modified base</INSDFeature_key>
  <INSDFeature_location>53</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>mod base</INSDQualifier_name>
      <INSDQualifier_value>OTHER</INSDQualifier_value>
      <INSDQualifier_name>note</INSDQualifier_name>
      <INSDQualifier_value>cmm5s2u, mam5u, mcm5s2u, or p</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>
```

**Example 2: Feature key “misc\_difference” for a deletion in a nucleotide sequence. The nucleotide at position 413 of the sequence is deleted.**

```
<INSDFeature>
  <INSDFeature_key>misc_difference</INSDFeature_key>
  <INSDFeature_location>413</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>replace</INSDQualifier_name>
      <INSDQualifier_value></INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>
```



```

    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>

```

Example 3: Feature key "misc\_difference" for an insertion in a nucleotide sequence. The sequence "atgccaaatat" is inserted between positions 100 and 101 of the primary sequence.

```

<INSDFeature>
  <INSDFeature_key>misc_difference</INSDFeature_key>
  <INSDFeature_location>100^101</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>replace</INSDQualifier_name>
      <INSDQualifier_value>atgccaaatat</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>

```

Example 4: Feature key "VARIANT\_variation" for a substitution in an amino acid nucleotide sequence. The amino acid given in position 400 of the sequence can be replaced by A cytosine replaces the nucleotide given in position 413 of the sequence.

```

<INSDFeature>
  <INSDFeature_key>variation</INSDFeature_key>
  <INSDFeature_location>413</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>replace</INSDQualifier_name>
      <INSDQualifier_value>c</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>

```

Example 5: Feature key "VARIANT" for a substitution in an amino acid sequence. The amino acid given in position 100 of the sequence can be replaced by I, A, F, Y, aIle, MeIle, or Nle.

```

<INSDFeature>
  <INSDFeature_key>VARIANT</INSDFeature_key>
  <INSDFeature_location>100</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>NOTE</INSDQualifier_name>
      <INSDQualifier_value>I, A, F, Y, aIle, MeIle, or Nle</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>
<INSDFeature>
  <INSDFeature_key>MOD RES</INSDFeature_key>
  <INSDFeature_location>100</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>NOTE</INSDQualifier_name>
      <INSDQualifier_value>aIle, MeIle, or Nle</INSDQualifier_value>
    </INSDQualifier>
  </INSDFeature_qual>
</INSDFeature>

```

Example 6: Feature key "VARIANT" for a substitution in an amino acid sequence. The amino acid given in position 100 of the sequence can be replaced by any amino acid except for Lys, Arg or His.

```

<INSDFeature>
  <INSDFeature_key>VARIANT</INSDFeature_key>
  <INSDFeature_location>100</INSDFeature_location>
  <INSDFeature_qual>
    <INSDQualifier>
      <INSDQualifier_name>NOTE</INSDQualifier_name>

```

```
<INSDQualifier_value>not K, R, or H</INSDQualifier_value>  
</INSDQualifier>  
</INSDFeature_qual>  
</INSDFeature>
```

**98.** A sequence encompassed by paragraph **67** that is provided as an insertion or a substitution in a qualifier value for a primary sequence annotation must also be **presented included** in the sequence listing **with and assigned** its own sequence identification number.

[Annex I follows]

## ANNEX I

### CONTROLLED VOCABULARY

Version ~~1.01.1~~

~~Adopted~~ **Approved** by the Committee on WIPO Standards (CWS)  
at its ~~reconvened fourth session on March 24, 2016~~ **fifth session on June 2, 2017**

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## SECTION 1: LIST OF NUCLEOTIDES

The nucleotide base codes to be used in sequence listings are presented in Table 1. The symbol "t" will be construed as thymine in DNA and uracil in RNA when it is used with no further description. Where an ambiguity symbol (representing two or more bases in the alternative) is appropriate, the most restrictive symbol should be used. For example, if a base in a given position could be "a or g," then "r" should be used, rather than "n". The symbol "n" will be construed as "a or c or g or t/u" when it is used with no further description.

Table 1: List of nucleotides

Symbol	Nucleotide
a	adenine
c	cytosine
g	guanine
t	thymine in DNA/uracil in RNA (t/u)
m	a or c
r	a or g
w	a or t/u
s	c or g
y	c or t/u
k	g or t/u
v	a or c or g; not t/u
h	a or c or t/u; not g
d	a or g or t/u; not c
b	c or g or t/u; not a
n	a or c or g or t/u; "unknown" or "other"

## SECTION 2: LIST OF MODIFIED NUCLEOTIDES

The abbreviations listed in Table 2 are the only permitted values for the mod\_base qualifier. Where a specific modified nucleotide is not present in the table below, then the abbreviation "OTHER" must be used as its value. If the abbreviation is "OTHER," then the complete unabbreviated name of the modified base must be provided in a note qualifier. The abbreviations provided in Table 2 must not be used in the sequence itself.

Table 2: List of modified nucleotides

Abbreviation	Modified Nucleotide
ac4c	4-acetylcytidine
chm5u	5-(carboxyhydroxymethyl)uridine
cm	2'-O-methylcytidine
cmnm5s2u	5-carboxymethylaminomethyl-2-thiouridine
cmnm5u	5-carboxymethylaminomethyluridine
dhu	dihydrouridine
fm	2'-O-methylpseudouridine
gal q	beta-D-galactosylqueosine
gm	2'-O-methylguanosine
i	inosine
i6a	N6-isopentenyladenosine
m1a	1-methyladenosine
m1f	1-methylpseudouridine
m1g	1-methylguanosine
m1i	1-methylinosine
m22g	2,2-dimethylguanosine
m2a	2-methyladenosine
m2g	2-methylguanosine
m3c	3-methylcytidine

Abbreviation	Modified Nucleotide
m4c	N4-methylcytosine
m5c	5-methylcytidine
m6a	N6-methyladenosine
m7g	7-methylguanosine
mam5u	5-methylaminomethyluridine
mam5s2u	5-methoxyaminomethylmethylaminomethyl-2-thiouridine
man q	beta-D-mannosylqueosine
mcm5s2u	5-methoxycarbonylmethyl-2-thiouridine
mcm5u	5-methoxycarbonylmethyluridine
mo5u	5-methoxyuridine
ms2i6a	2-methylthio-N6-isopentenyladenosine
ms2t6a	N-((9-beta-D-ribofuranosyl-2-methylthiopurine-6-
mt6a	N-((9-beta-D-ribofuranosylpurine-6-yl)N-methyl-carbamoyl)threonine
mv	uridine-5-oxoacetic acid-methylester
o5u	uridine-5-oxyacetic acid (v)
osyw	wybutoxosine
p	pseudouridine
q	queosine
s2c	2-thiocytidine
s2t	5-methyl-2-thiouridine
s2u	2-thiouridine
s4u	4-thiouridine
m5u	5-methyluridine
t6a	N-((9-beta-D-ribofuranosylpurine-6-yl)carbamoyl)threonine
tm	2'-O-methyl-5-methyluridine
um	2'-O-methyluridine
yw	wybutosine
x	3-(3-amino-3-carboxypropyl)uridine, (acp3)u
OTHER	(requires note qualifier)

**SECTION 3: LIST OF AMINO ACIDS**

The amino acid codes to be used in sequence listings are presented in Table 3. Where an ambiguity symbol (representing two or more amino acids in the alternative) is appropriate, the most restrictive symbol should be used. For example, if an amino acid in a given position could be aspartic acid or asparagine, the symbol "B" should be used, rather than "X". The symbol "X" will be construed as any one of "A", "R", "N", "D", "C", "Q", "E", "G", "H", "I", "L", "K", "M", "F", "P", "O", "S", "U", "T", "W", "Y", or "V", when it is used with no further description.

Table 3: List of amino acids

<b>Symbol</b>	<b>Amino acid</b>
A	Alanine
R	Arginine
N	Asparagine
D	Aspartic acid (Aspartate)
C	Cysteine
Q	Glutamine
E	Glutamic acid (Glutamate)
G	Glycine
H	Histidine
I	Isoleucine
L	Leucine
K	Lysine
M	Methionine
F	Phenylalanine
P	Proline
O	Pyrrolysine
S	Serine
U	Selenocysteine
T	Threonine
W	Tryptophan
Y	Tyrosine
V	Valine
B	Aspartic acid or Asparagine
Z	Glutamine or Glutamic acid
J	Leucine or Isoleucine
X	unknown or other A or R or N or D or C or Q or E or G or H or I or L or K or M or F or P or O or S or U or T or W or Y or V; "unknown" or "other"

**SECTION 4: LIST OF MODIFIED AND UNUSUAL AMINO ACIDS**

Table 4 lists the only permitted abbreviations for a modified or unusual amino acid in the mandatory qualifier "NOTE" for feature keys "MOD\_RES" or "SITE". The value for the qualifier "NOTE" must be either an abbreviation from this table, where appropriate, or the complete, unabbreviated name of the modified amino acid. The abbreviations (or full names) provided in this table must not be used in the sequence itself.

Table 4: List of modified and unusual amino acids

<b>Abbreviation</b>	<b>Modified or Unusual Amino acid</b>
Aad	2-Aminoadipic acid
bAad	3-Aminoadipic acid
bAla	beta-Alanine, beta-Aminopropionic acid
Abu	2-Aminobutyric acid
4Abu	4-Aminobutyric acid, piperidinic acid
Acp	6-Aminocaproic acid
Ahe	2-Aminoheptanoic acid
Aib	2-Aminoisobutyric acid
bAib	3-Aminoisobutyric acid
Apm	2-Aminopimelic acid
Dbu	2,4-Diaminobutyric acid
Des	Desmosine
Dpm	2,2'-Diaminopimelic acid
Dpr	2,3-Diaminopropionic acid
EtGly	N-Ethylglycine
EtAsn	N-Ethylasparagine
Hyl	Hydroxylysine
aHyl	allo-Hydroxylysine
3Hyp	3-Hydroxyproline
4Hyp	4-Hydroxyproline
Ide	Isodesmosine
aIle	allo-Isoleucine
MeGly	N-Methylglycine, sarcosine
MeIle	N-Methylisoleucine
MeLys	6-N-Methyllysine
MeVal	N-Methylvaline
Nva	Norvaline
Nle	Norleucine
Orn	Ornithine

## SECTION 5: FEATURE KEYS FOR NUCLEIC ACID SEQUENCES

This paragraph section contains the list of allowed feature keys to be used for nucleic acid nucleotide sequences, and lists mandatory and optional qualifiers. The feature keys are listed in alphabetic order. The feature keys can be used for either DNA or RNA unless otherwise indicated under "Molecule scope". Some feature keys include a "Parent Key" designation; when a parent key is indicated in the description of a feature key, it is mandatory that the designated parent key be used. Certain Feature Keys may be appropriate for use with artificial sequences in addition to the specified "organism scope".

Feature key names must be used in the XML instance of the sequence listing exactly as they appear following "Feature key" in the descriptions below, except for the feature keys 3'UTR and 5'UTR. See "Comment" in the description for the 3'UTR and 5'UTR feature keys.

### 5.1. Feature Key attenuator

**Definition** 1) region of DNA at which regulation of termination of transcription occurs, which controls the expression of some bacterial operons;  
2) sequence segment located between the promoter and the first structural gene that causes partial termination of transcription

**Optional qualifiers** allele  
gene  
gene\_synonym  
map  
note  
operon  
phenotype

**Organism scope** prokaryotes

**Molecule scope** DNA

### 5.1. Feature Key C\_region

**Definition** constant region of immunoglobulin light and heavy chains, and T-cell receptor alpha, beta, and gamma chains; includes one or more exons depending on the particular chain

**Optional qualifiers** allele  
gene  
gene\_synonym  
map  
note  
product  
pseudogene  
pseudogene  
standard\_name

**Parent Key** CDS

**Organism scope** eukaryotes

### 5.3. Feature Key CAAT\_signal

**Definition** CAAT box; part of a conserved sequence located about 75 bp up-stream of the start point of eukaryotic transcription units which may be involved in RNA polymerase binding; consensus=GG(C or T)CAATCT [1,2]

**Optional qualifiers** allele  
gene  
gene\_synonym  
map  
note



Organism scope eukaryotes and eukaryotic viruses

Molecule scope DNA

References [1] Efstratiadis, A. et al. Cell 21, 653-668 (1980)  
[2] Nevins, J.R. "The pathway of eukaryotic mRNA formation" Ann Rev Biochem 52, 441-466 (1983)

5.2.	Feature Key	CDS
	Definition	coding sequence; sequence of nucleotides that corresponds with the sequence of amino acids in a protein (location includes stop codon); feature may include amino acid conceptual translation
	Optional qualifiers	allele artificial_location codon_start EC_number exception function gene gene_synonym map note number operon product protein_id pseudo pseudogene ribosomal_slippage standard_name translation transl_except transl_table trans_splicing
	Comment	codon_start qualifier has valid value of 1 or 2 or 3, indicating the offset at which the first complete codon of a coding feature can be found, relative to the first base of that feature; transl_table defines the genetic code table used if other than the standard or universal genetic code table; genetic code exceptions outside the range of the specified tables are reported in transl_except qualifier; only one of the qualifiers translation and, pseudogene or pseudo are permitted with a CDS feature key; when the translation qualifier is used, the protein_id qualifier is mandatory if the translation product contains four or more specifically defined amino acids
5.3.	Feature Key	centromere
	Definition	region of biological interest identified identified as a centromere and which has been experimentally characterized
	Optional qualifiers	note standard_name
	Comment	the centromere feature describes the interval of DNA that corresponds to a region where chromatids are held and a kinetochore is formed

5.4.	Feature Key	D-loop
	Definition	displacement loop; a region within mitochondrial DNA in which a short stretch of RNA is paired with one strand of DNA, displacing the original partner DNA strand in this region; also used to describe the displacement of a region of one strand of duplex DNA by a single stranded invader in the reaction catalyzed by RecA protein
	Optional qualifiers	allele gene gene_synonym map note
	Molecule scope	DNA
5.5.	Feature Key	D_segment
	Definition	Diversity segment of immunoglobulin heavy chain, and T-cell receptor beta chain
	Optional qualifiers	allele gene gene_synonym map note product pseudo pseudogene standard_name
	Organism scope	eukaryotes
	Organism scope	eukaryotes
	5.8. Feature Key	enhancer
	Definition	a cis-acting sequence that increases the utilization of (some) eukaryotic promoters, and can function in either orientation and in any location (upstream or downstream) relative to the promoter
	Optional qualifiers	allele bound_moiety gene gene_synonym map note standard_name
	Organism scope	eukaryotes and eukaryotic viruses
5.6.	Feature Key	exon
	Definition	region of genome that codes for portion of spliced mRNA, rRNA and tRNA; may contain 5'UTR, all CDSS and 3' UTR
	Optional qualifiers	allele EC_number function gene gene_synonym map note number product pseudo pseudogene

	standard_name	
	trans_splicing	
5.10. Feature Key	GC_signal	
Definition	GC box; a conserved GC-rich region located upstream of the start point of eukaryotic transcription units which may occur in multiple copies or in either orientation; consensus=GGGCGG	
Optional qualifiers	allele	
	gene	
	gene_synonym	
	map	
	note	
Organism scope	eukaryotes and eukaryotic viruses	
<hr/>		
5.7. Feature Key	gene	
Definition	region of biological interest identified as a gene and for which a name has been assigned	
Optional qualifiers	allele	
	function	
	gene	
	gene_synonym	
	map	
	note	
	operon	
	product	
	pseudo	
	pseudogene	
	phenotype	
	standard_name	
	trans_splicing	
Comment	the gene feature describes the interval of DNA that corresponds to a genetic trait or phenotype; the feature is, by definition, not strictly bound to its positions at the ends; it is meant to represent a region where the gene is located.	
<hr/>		
5.8. Feature Key	iDNA	
Definition	intervening DNA; DNA which is eliminated through any of several kinds of recombination	
Optional qualifiers	allele	
	function	
	gene	
	gene_synonym	
	map	
	note	
	number	
	standard_name	
Molecule scope	DNA	
Comment	e.g., in the somatic processing of immunoglobulin genes.	

---

5.9.	Feature Key	intron
	Definition	a segment of DNA that is transcribed, but removed from within the transcript by splicing together the sequences (exons) on either side of it
	Optional qualifiers	allele function gene gene_synonym map note number pseudo pseudogene standard_name trans_splicing

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5.10.	Feature Key	J_segment
	Definition	joining segment of immunoglobulin light and heavy chains, and T-cell receptor alpha, beta, and gamma chains
	Optional qualifiers	allele gene gene_synonym map note product pseudo pseudogene standard_name
	<b>Organism scope</b>	<b>eukaryotes</b> <del>Parent Key CDS</del>
	<del>Organism scope</del>	<del>eukaryotes</del>

5.15.	Feature Key	LTR
	Definition	<del>long terminal repeat, a sequence directly repeated at both ends of a defined sequence, of the sort typically found in retroviruses</del>
	Optional qualifiers	<del>allele function gene gene_synonym map note standard_name</del>

5.11.	Feature Key	mat_peptide
	Definition	mature peptide or protein coding sequence; coding sequence for the mature or final peptide or protein product following post-translational modification; the location does not include the stop codon (unlike the corresponding CDS)
	Optional qualifiers	allele EC_number function gene gene_synonym map note product pseudo pseudogene standard_name
5.12.	Feature Key	misc_binding
	Definition	site in nucleic acid which covalently or non-covalently binds another moiety that cannot be described by any other binding key (primer_bind or protein_bind)
	Mandatory qualifiers	bound_moiety
	Optional qualifiers	allele function gene gene_synonym map note
	Comment	note that the <b>regulatory</b> feature key <b>RBS_isand regulatory_class</b> qualifier with the value <b>"ribosome_binding_site"</b> must be used for <b>describing</b> ribosome binding sites
5.13.	Feature Key	misc_difference
	Definition	featured sequence differs from the presented sequence at this location and cannot be described by any other Difference key ( <b>unsure</b> , variation, or modified_base)
	Optional qualifiers	allele clone compare gene gene_synonym map note phenotype replace standard_name
	Comment	the misc_difference feature key <b>should must</b> be used to describe variability introduced artificially, e.g. by genetic manipulation or by chemical synthesis; use the replace qualifier to annotate a deletion, insertion, or substitution. <b>The variation feature key must be used to describe naturally occurring genetic variability.</b>

5.14.	Feature Key	misc_feature
	Definition	region of biological interest which cannot be described by any other feature key; a new or rare feature
	Optional qualifiers	allele function gene gene_synonym map note number phenotype product pseudo pseudogene standard_name
	Comment	this key should not be used when the need is merely to mark a region in order to comment on it or to use it in another feature's location
5.15.	Feature Key	misc_recomb
	Definition	site of any generalized, site-specific or replicative recombination event where there is a breakage and reunion of duplex DNA that cannot be described by other recombination keys or qualifiers of source key (proviral)
	Optional qualifiers	allele gene gene_synonym map note <b>recombination_class</b> standard_name
	Molecule scope	DNA
5.16.	Feature Key	misc_RNA
	Definition	any transcript or RNA product that cannot be defined by other RNA keys (prim_transcript, precursor_RNA, mRNA, 5'UTR, 3'UTR, exon, CDS, sig_peptide, transit_peptide, mat_peptide, intron, polyA_site, ncrna, rRNA and tRNA)
	Optional qualifiers	allele function gene gene_synonym map note operon product pseudo pseudogene standard_name trans_splicing
5.22.	Feature Key	misc_signal
	Definition	any region containing a signal controlling or altering gene function or expression that cannot be described by other signal keys (promoter, CAAT_signal, TATA_signal, -35_signal, -10_signal, GC_signal, RBS, polyA_signal, enhancer, attenuator, terminator, and rep_origin)

Optional qualifiers allele  
function  
gene  
gene\_synonym  
map  
note  
operon  
phenotype  
standard\_name

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5.17.	Feature Key	misc_structure
	Definition	any secondary or tertiary nucleotide structure or conformation that cannot be described by other structure keys (stem_loop and D-loop)
	Optional qualifiers	allele function gene gene_synonym map note standard_name

---

5.18.	Feature Key	mobile_element
	Definition	region of genome containing mobile elements
	Mandatory qualifiers	mobile_element_type
	Optional qualifiers	allele function gene gene_synonym map note rpt_family rpt_type standard_name

---

5.19.	Feature Key	modified_base
	Definition	the indicated nucleotide is a modified nucleotide and should be substituted for by the indicated molecule (given in the mod_base qualifier value)
	Mandatory qualifiers	mod_base
	Optional qualifiers	allele frequency gene gene_synonym map note
	Comment	value for the mandatory mod_base qualifier is limited to the restricted vocabulary for modified base abbreviations in Section 2 of this Annex.

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5.20.	Feature Key	mRNA
	Definition	messenger RNA; includes 5' untranslated region (5'UTR), coding sequences (CDS, exon) and 3' untranslated region (3'UTR)
	Optional qualifiers	allele artificial_location function gene gene_synonym map note operon product pseudo pseudogene standard_name trans_splicing
5.21.	.Feature Key	ncRNA
	Definition	a non-protein-coding gene, other than ribosomal RNA and transfer RNA, the functional molecule of which is the RNA transcript
	Mandatory qualifiers	ncRNA_class
	Optional qualifiers	allele function gene gene_synonym map note operon product pseudo pseudogene standard_name trans_splicing
	Comment	the ncRNA feature <b>is must</b> not <b>be</b> used for ribosomal and transfer RNA annotation, for which the rRNA and tRNA feature keys <b>should must</b> be used, respectively
5.22.	Feature Key	N_region
	Definition	extra nucleotides inserted between rearranged immunoglobulin segments
	Optional qualifiers	allele gene gene_synonym map note product pseudo pseudogene standard_name
	Parent Key	CDS
	Organism scope	eukaryotes



5.23.	Feature Key	operon
	Definition	region containing polycistronic transcript including a cluster of genes that are under the control of the same regulatory sequences/ <del>promoter</del> promoter and in the same biological pathway
	Mandatory qualifiers	operon
	Optional qualifiers	allele function map note phenotype pseudo pseudogene standard_name
5.24.	Feature Key	oriT
	Definition	origin of transfer; region of a DNA molecule where transfer is initiated during the process of conjugation or mobilization
	Optional qualifiers	allele bound_moiety direction gene gene_synonym map note rpt_family rpt_type rpt_unit_range rpt_unit_seq standard_name
	Molecule scope	DNA
	Comment	rep_origin <del>should</del> must be used <del>for</del> to describe origins of replication; direction qualifier has legal values <del>RIGHT</del> , <del>LEFT</del> left, right, and <del>BOTH</del> both, however only <del>RIGHT</del> left and <del>LEFT</del> right are valid when used in conjunction with the oriT feature; origins of transfer can be present in the chromosome; plasmids can contain multiple origins of transfer

5.31.	Feature Key	polyA_signal
	Definition	recognition region necessary for endonuclease cleavage of an RNA transcript that is followed by polyadenylation; consensus=AATAAA [1]
	Optional qualifiers	allele gene gene_synonym map note
	Organism scope	eukaryotes and eukaryotic viruses
	References	[1] Proudfoot, N. and Brownlee, G.G. Nature 263, 211-214 (1976)

5.32.

5.25.	Feature Key	polyA_site
	Definition	site on an RNA transcript to which will be added adenine residues by post-transcriptional polyadenylation
	Optional qualifiers	allele gene gene_synonym map note
	Organism scope	eukaryotes and eukaryotic viruses
5.26.	Feature Key	precursor_RNA
	Definition	any RNA species that is not yet the mature RNA product; may include <b>ncRNA, rRNA, tRNA</b> , 5' untranslated region (5'UTR), coding sequences (CDS, exon), intervening sequences (intron) and 3' untranslated region (3'UTR)
	Optional qualifiers	allele function gene gene_synonym map note operon product standard_name trans_splicing
	Comment	used for RNA which may be the result of post-transcriptional processing; if the RNA in question is known not to have been processed, use the prim_transcript key
5.27.	Feature Key	prim_transcript
	Definition	primary (initial, unprocessed) transcript; <b>includes may include ncRNA, rRNA, tRNA</b> , 5' untranslated region (5'UTR), coding sequences (CDS, exon), intervening sequences (intron) and 3' untranslated region (3'UTR)
	Optional qualifiers	allele <b>function</b> <b>gene</b> <b>gene_synonym</b> <b>map</b> <b>note</b> operon standard_name

5.28.	Feature Key	primer_bind
	Definition	non-covalent primer binding site for initiation of replication, transcription, or reverse transcription; includes site(s) for synthetic e.g., PCR primer elements
	Optional qualifiers	allele gene gene_synonym map note standard_name PCR_conditions
	Comment	used to annotate the site on a given sequence to which a primer molecule binds - not intended to represent the sequence of the primer molecule itself; PCR components and reaction times may be stored under the PCR_conditions qualifier; since PCR reactions most often involve pairs of primers, a single primer_bind key may use the order(location,location) operator with two locations, or a pair of primer_bind keys may be used

5.29.	Feature Key	promoterpropeptide
	Definition	region on a DNA molecule involved in RNA polymerase binding to initiate transcription
	Optional qualifiers	allele
	Definition	propeptide coding sequence; coding sequence for the domain of a proprotein that is cleaved to form the mature protein product. bound_moiety function gene gene_synonym map note operon phenotype product pseudo pseudogene standard_name
	Molecule scope	DNA

5.30.	Feature Key	protein_bind
	Definition	non-covalent protein binding site on nucleic acid
	Mandatory qualifiers	bound_moiety
	Optional qualifiers	allele function gene gene_synonym map note operon standard_name
	Comment	note that RBS is the regulatory feature key and regulatory_class qualifier with the value "ribosome_binding_site" must be used for to describe ribosome binding sites

5.31.	Feature Key	<b>RBS</b> regulatory
	Definition	ribosome binding site
	Optional Definition	any region of a sequence that functions in the regulation of transcription, translation, replication or chromatin structure;
	Mandatory qualifiers	allele regulatory_class gene gene_synonym map note pseudo pseudogene standard_name
	References	[1] Shine, J. and Dalgarno, L. Proc Natl Acad Sci USA 71, 1342-1346 (1974) [2] Gold, L. et al. Ann Rev Microb 35, 365-403 (1981)
	Comment	in prokaryotes, known as the Shine-Dalgarno sequence; is located 5 to 9 bases upstream of the initiation codon; consensus GGAGGT [1,2]
5.32.	Feature Key	repeat_region
	Definition	region of genome containing repeating units
	Optional qualifiers	allele function gene gene_synonym map note rpt_family rpt_type rpt_unit_range rpt_unit_seq satellite standard_name standard_name
5.33.	Feature Key	rep_origin
	Definition	origin of replication; starting site for duplication of nucleic acid to give two identical copies
	Optional qualifiers	allele direction gene gene_synonym map note standard_name
	Comment	direction qualifier has valid values: <b>RIGHT</b> , <b>LEFT</b> left, right, or <b>BOTH</b> both

5.34.	Feature Key	rRNA
	Definition	mature ribosomal RNA; RNA component of the ribonucleoprotein particle (ribosome) which assembles amino acids into proteins
	Optional qualifiers	allele function gene gene_synonym map note operon product pseudo pseudogene standard_name
	Comment	rRNA sizes should be annotated with the product qualifier
5.35.	Feature Key	S_region
	Definition	switch region of immunoglobulin heavy chains; involved in the rearrangement of heavy chain DNA leading to the expression of a different immunoglobulin class from the same B-cell
	Optional qualifiers	allele gene gene_synonym map note product pseudo pseudogene standard_name
	Parent Key	misc_signal
	Organism scope	eukaryotes
5.36.	Feature Key	sig_peptide
	Definition	signal peptide coding sequence; coding sequence for an N-terminal domain of a secreted protein; this domain is involved in attaching nascent polypeptide to the membrane leader sequence
	Optional qualifiers	allele function gene gene_synonym map note product pseudo pseudogene standard_name

5.37.	Feature Key	source
	Definition	identifies the source of the sequence; this key is mandatory; every sequence will have a single source key spanning the entire sequence
	Mandatory qualifiers	organism mol_type
	Optional qualifiers	cell_line cell_type chromosome clone clone_lib collected_by collection_date cultivar dev_stage ecotype environmental_sample germline haplogroup haplotype host identified_by isolate isolation_source lab_host lat_lon macronuclear map mating_type note organelle PCR_primers plasmid pop_variant proviral rearranged segment serotype serovar sex strain sub_clone sub_species sub_strain tissue_lib tissue_type variety
	Molecule scope	any
5.38.	Feature Key	stem_loop
	Definition	hairpin; a double-helical region formed by base-pairing between adjacent (inverted) complementary sequences in a single strand of RNA or DNA
	Optional qualifiers	allele function gene gene_synonym map note operon standard_name

5.39.	Feature Key	STS
	Definition	sequence tagged site; short, single-copy DNA sequence that characterizes a mapping landmark on the genome and can be detected by PCR; a region of the genome can be mapped by determining the order of a series of STSs
	Optional qualifiers	allele gene gene_synonym map note standard_name
	Molecule scope	DNA
	Parent key	misc_binding
	Comment	STS location to include primer(s) in primer_bind key or primers
5.47.	Feature Key	TATA_signal
	Definition	TATA box; Goldberg-Hogness box; a conserved AT-rich septamer found about 25 bp before the start point of each eukaryotic RNA polymerase II transcript unit which may be involved in positioning the enzyme for correct initiation; consensus=TATA(A or T)A(A or T) [1,2]
	Optional qualifiers	allele gene gene_synonym map note
	Organism scope	eukaryotes and eukaryotic viruses
	Molecule scope	DNA
	References	[1] Efstratiadis, A. et al. Cell 21, 653-668 (1980) [2] Corden, J., et al. "Promoter sequences of eukaryotic protein encoding genes" Science 209, 1406-1414 (1980)
5.40.	Feature Key	telomere
	Definition	region of biological interest identified as a telomere and which has been experimentally characterized
	Optional qualifiers	note rpt_type rpt_unit_range rpt_unit_seq standard_name
	Comment	the telomere feature describes the interval of DNA that corresponds to a specific structure at the end of the linear eukaryotic chromosome which is required for the integrity and maintenance of the end; this region is unique compared to the rest of the chromosome and represents the physical end of the chromosome

---

5.49. Feature Key terminator

Definition sequence of DNA located either at the end of the transcript that causes RNA polymerase to terminate transcription

Optional qualifiers allele  
gene  
gene\_synonym  
map  
note  
operon  
standard\_name

Molecule scope DNA

---

5.41. Feature Key tmRNA

Definition transfer messenger RNA; tmRNA acts as a tRNA first, and then as an mRNA that encodes a peptide tag; the ribosome translates this mRNA region of tmRNA and attaches the encoded peptide tag to the C-terminus of the unfinished protein; this attached tag targets the protein for destruction or proteolysis

Optional qualifiers allele  
function  
gene  
gene\_synonym  
map  
note  
product  
pseudo  
pseudogene  
standard\_name  
tag\_peptide

---

5.42. Feature Key transit\_peptide

Definition transit peptide coding sequence; coding sequence for an N-terminal domain of a nuclear-encoded organellar protein; this domain is involved in post-translational import of the protein into the organelle

Optional qualifiers allele  
function  
gene  
gene\_synonym  
map  
note  
product  
pseudo  
pseudogene  
standard\_name



5.43.	Feature Key	tRNA
	Definition	mature transfer RNA, a small RNA molecule (75-85 bases long) that mediates the translation of a nucleic acid sequence into an amino acid sequence
	Optional qualifiers	allele anticodon function gene gene_synonym map note product pseudo pseudogene standard_name trans_splicing
5.44.	Feature Key	unsure
	<del>Definition</del>	<del>author is unsure of exact sequence in this region</del>
	Definition	a small region of sequenced bases, generally 10 or fewer in its length, which could not be confidently identified. Such a region might contain called bases (a, t, g, or c), or a mixture of called-bases and uncalled-bases ('n').
	Optional qualifiers	allele compare gene gene_synonym map note replace
	Comment	use the replace qualifier to annotate a deletion, insertion, or substitution.
5.45.	Feature Key	V_region
	Definition	variable region of immunoglobulin light and heavy chains, and T-cell receptor alpha, beta, and gamma chains; codes for the variable amino terminal portion; can be composed of V_segments, D_segments, N_regions, and J_segments
	Optional qualifiers	allele gene gene_synonym map note product pseudo pseudogene standard_name
	<del>Parent Key</del>	<del>CDS</del>
	Organism scope	eukaryotes

5.46.	Feature Key	V_segment
	Definition	variable segment of immunoglobulin light and heavy chains, and T-cell receptor alpha, beta, and gamma chains; codes for most of the variable region (V_region) and the last few amino acids of the leader peptide
	Optional qualifiers	allele gene gene_synonym map note product pseudo pseudogene standard_name
	<del>Parent Key</del>	<del>CDS</del>
	<del>Organism scope</del>	<del>eukaryotes</del>
5.47.	Feature Key	variation
	Definition	a related strain contains stable mutations from the same gene (e.g., RFLPs, polymorphisms, etc.) which differ from the presented sequence at this location (and possibly others)
	Optional qualifiers	allele compare frequency gene gene_synonym map note phenotype product replace standard_name
	Comment	used to describe alleles, RFLP's, and other naturally occurring mutations and polymorphisms; <b>use the replace qualifier to annotate a deletion, insertion, or substitution;</b> variability arising as a result of genetic manipulation (e.g. site directed mutagenesis) <b>should</b> be described with the misc_difference feature; <del>use the replace qualifier to annotate a deletion, insertion, or substitution</del>
5.48.	Feature Key	3'UTR
	Definition	<b>1) region at the 3' end of a mature transcript (following the stop codon) that is not translated into a protein;</b> <b>2) region at the 3' end of an RNA virus (following the last stop codon) that is not translated into a protein;</b>
	Optional qualifiers	allele function gene gene_synonym map note standard_name trans_splicing
	Comment	The apostrophe character has special meaning in XML, and must be substituted with "&apos;" in the value of an element. Thus "3'UTR" must be represented as "3&apos;UTR" in the XML file, i.e., <INSDFeature_key>3&apos;UTR</INSDFeature_key>.

5.49. Feature Key	5'UTR
Definition	<p><b>1)</b> region at the 5' end of a mature transcript (preceding the initiation codon) that is not translated into a protein;</p> <p><b>2)</b> region at the 5' end of an RNA virus (preceding the first initiation codon) that is not translated into a protein.</p>
Optional qualifiers	<p>allele</p> <p>function</p> <p>gene</p> <p>gene_synonym</p> <p>map</p> <p>note</p> <p>standard_name</p> <p>trans_splicing</p>
Comment	<p>The apostrophe character has special meaning in XML, and must be substituted with "&amp;apos;" in the value of an element. Thus "5'UTR" must be represented as "5&amp;apos;UTR" in the XML file, i.e., &lt;INSDFeature_key&gt;5&amp;apos;UTR&lt;/INSDFeature_key&gt;.</p>

**5.59. Feature Key -10\_signal**

**Definition** Pribnow box; a conserved region about 10 bp upstream of the start point of bacterial transcription units which may be involved in binding RNA polymerase; consensus=TATAAAT [1,2,3,4]

**Optional qualifiers** allele  
gene  
gene\_synonym  
map  
note  
operon  
standard\_name

**Organism scope** prokaryotes

**Molecule scope** DNA

**References** [1] Schaller, H., Gray, C., and Hermann, K. Proc Natl Acad Sci USA 72, 737-741 (1974)  
[2] Pribnow, D. Proc Natl Acad Sci USA 72, 784-788 (1974)  
[3] Hawley, D.K. and McClure, W.R. "Compilation and analysis of Escherichia coli promoter DNA sequences" Nucl Acid Res 11, 2237-2255 (1983)  
[4] Rosenberg, M. and Court, D. "Regulatory sequences involved in the promotion and termination of RNA transcription" Ann Rev Genet 13, 319-353 (1979)

5.60. Feature Key -35\_signal

Definition a conserved hexamer about 35 bp upstream of the start point of bacterial transcription units; consensus=TTGACA or TGTTGACA

Optional qualifiers allele  
gene  
gene\_synonym  
map  
note  
operon  
standard\_name

Organism scope prokaryotes

Molecule scope DNA

References [1] Takanami, M., et al. Nature 260, 297-302 (1976)  
[2] Moran, C.P., Jr., et al. Molec Gen Genet 186, 339-346 (1982)  
[3] Maniatis, T., et al. Cell 5, 109-113 (1975)

SECTION 6: DESCRIPTION OF QUALIFIERS FOR NUCLEIC ACID SEQUENCES

This section contains the list of qualifiers to be used for features in nucleic acid/nucleotide sequences. The qualifiers are listed in alphabetic order.

Where a Value format of "none" is indicated in the description of a qualifier (e.g. germline), the INSDQualifier\_value element must not be used.

**PLEASE NOTE:** Any qualifier value provided for a qualifier with a "free text" value format may require translation for National/Regional procedures.

6.1.	Qualifier	allele
	Definition	name of the allele for the given gene
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>adh1-1</INSDQualifier_value>
	Comment	all gene-related features (exon, CDS etc) for a given gene should share the same allele qualifier value; the allele qualifier value must, by definition, be different from the gene qualifier value; when used with the variation feature key, the allele qualifier value should be that of the variant.
6.2.	Qualifier	anticodon
	Definition	location of the anticodon of tRNA and the amino acid for which it codes
	Value format	(pos:<location>,aa:<amino_acid>,seq:<text>) where <location> is the position of the anticodon and <amino_acid> is the three letter abbreviation for the amino acid encoded and <seq<text> is the sequence of the anticodon
	Example	<INSDQualifier_value>(pos:34..36,aa:Phe,seq:aaa)</INSDQualifier_value> <INSDQualifier_value>(pos:join(5,495..496),aa:Leu,seq:taa)</INSDQualifier_value> <INSDQualifier_value>(pos:complement(4156..4158),aa:Glu,seq:ttg)</INSDQualifier_value>
6.3.	Qualifier	bound_moiety
	Definition	name of the molecule/complex that may bind to the given feature
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>GAL4</INSDQualifier_value>
	Comment	Multiple bound_moiety qualifiers are legal on "promoter" and "enhancer" features. A single bound_moiety qualifier is legal on the "misc_binding", "orit" and "protein_bind" features.
6.4.	Qualifier	cell_line
	Definition	cell line from which the sequence was obtained
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>MCF7</INSDQualifier_value>

6.5.	Qualifier	cell_type
	Definition	cell type from which the sequence was obtained
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>leukocyte</INSDQualifier_value>
6.6.	Qualifier	chromosome
	Definition	chromosome (e.g. Chromosome number) from which the sequence was obtained
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>1</INSDQualifier_value> <INSDQualifier_value>X</INSDQualifier_value>
6.7.	Qualifier	clone
	Definition	clone from which the sequence was obtained
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>lambda-hIL7.3</INSDQualifier_value>
	Comment	a source feature must not contain more than one clone <del>should be specified for a given source feature</del> qualifier; where the sequence was obtained from multiple clones it may be further described in the feature table using the feature key misc_feature and a note qualifier to specify the multiple clones.
6.8.	Qualifier	clone_lib
	Definition	clone library from which the sequence was obtained
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>lambda-hIL7</INSDQualifier_value>
6.9.	Qualifier	codon_start
	Definition	indicates the offset at which the first complete codon of a coding feature can be found, relative to the first base of that feature.
	Value format	1 or 2 or 3
	Example	<INSDQualifier_value>2</INSDQualifier_value>
6.10.	Qualifier	collected_by
	Definition	name of persons or institute who collected the specimen
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>Dan Janzen</INSDQualifier_value>

6.11.	Qualifier	collection_date
	Definition	date that the specimen was collected.
	Value format	<del>DD-Mmm-YYYY, Mmm-MM-DD, YYYY-MM</del> or YYYY
	Example	<INSDQualifier_value> <del>21-Oct-1952-10-21</del> </INSDQualifier_value> <INSDQualifier_value> <del>Oct-1952-10</del> </INSDQualifier_value> <INSDQualifier_value>1952</INSDQualifier_value>
	Comment	<del>full date format DD-Mmm-YYYY is preferred; where day and/or month of collection is not known either "Mmm-YYYY" or "YYYY" can be used; three-letter month abbreviation can be one of the following: Jan, Feb, Mar, Apr, May, Jun, Jul, Aug, Sep, Oct, Nov, Dec.</del>
	<del>6.12.</del>	Comment 'YYYY' is a four-digit value representing the year. 'MM' is a two-digit value representing the month. 'DD' is a two-digit value representing the day of the month.
6.12.	Qualifier	compare
	Definition	Reference details of an existing public INSD entry to which a comparison is made
	Value format	[accession-number.sequence-version]
	Example	<INSDQualifier_value>AJ634337.1</INSDQualifier_value>
	Comment	This qualifier may be used on the following features: misc_difference, unsure, and variation. Multiple compare qualifiers with different contents are allowed within a single feature. This qualifier is not intended for large-scale annotation of variations, such as SNPs.
6.13.	Qualifier	cultivar
	Definition	cultivar (cultivated variety) of plant from which sequence was obtained
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>Nipponbare</INSDQualifier_value> <INSDQualifier_value>Tenuifolius</INSDQualifier_value> <INSDQualifier_value>Candy Cane</INSDQualifier_value> <INSDQualifier_value>IR36</INSDQualifier_value>
	Comment	'cultivar' is applied solely to products of artificial selection; use the variety qualifier for natural, named plant and fungal varieties.
6.14.	Qualifier	dev_stage
	Definition	if the sequence was obtained from an organism in a specific developmental stage, it is specified with this qualifier
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>fourth instar larva</INSDQualifier_value>

6.15.	Qualifier	direction
	Definition	direction of DNA replication
	Value format	left, right, or both where left indicates toward the 5' end of the sequence (as presented) and right indicates toward the 3' end
	Example	<INSDQualifier_value>left</INSDQualifier_value>
	Comment	The values left, right, and both are permitted when the direction qualifier is used to annotate a rep_origin feature key. However, only left and right values are permitted when the direction qualifier is used to annotate an oriT feature key. <del>The values are case insensitive, i.e. both "RIGHT" and "right" are valid.</del>
6.16.	Qualifier	EC_number
	Definition	Enzyme Commission number for enzyme product of sequence
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>1.1.2.4</INSDQualifier_value> <INSDQualifier_value>1.1.2.-</INSDQualifier_value> <INSDQualifier_value>1.1.2.n</INSDQualifier_value>
	Comment	valid values for EC numbers are defined in the list prepared by the Nomenclature Committee of the International Union of Biochemistry and Molecular Biology (NC-IUBMB) (published in Enzyme Nomenclature 1992, Academic Press, San Diego, or a more recent revision thereof). The format represents a string of four numbers separated by full stops; up to three numbers starting from the end of the string can may be replaced by dash "-" to indicate uncertain assignment. Symbol "n" can may be used in the last position instead of a number where the EC number is awaiting assignment. Please note that such incomplete EC numbers are not approved by NC-IUBMB.
6.17.	Qualifier	ecotype
	Definition	a population within a given species displaying genetically based, phenotypic traits that reflect adaptation to a local habitat
	Value Format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>Columbia</INSDQualifier_value>
	Comment	an example of such a population is one that has adapted hairier than normal leaves as a response to an especially sunny habitat. 'Ecotype' is often applied to standard genetic stocks of Arabidopsis thaliana, but it can be applied to any sessile organism.



6.18.	Qualifier	environmental_sample
	Definition	identifies sequences derived by direct molecular isolation from a bulk environmental DNA sample (by PCR with or without subsequent cloning of the product, DGGE, or other anonymous methods) with no reliable identification of the source organism. Environmental samples include clinical samples, gut contents, and other sequences from anonymous organisms that may be associated with a particular host. They do not include endosymbionts that can be reliably recovered from a particular host, organisms from a readily identifiable but uncultured field sample (e.g., many cyanobacteria), or phytoplasmas that can be reliably recovered from diseased plants (even though these cannot be grown in axenic culture)
	Value format	none
	Comment	used only with the source feature key; source feature keys containing the environmental_sample qualifier should also contain the isolation_source qualifier. <b>Sequences; a source feature</b> including <b>the</b> environmental_sample <b>qualifier</b> must not include the strain qualifier.
6.19.	Qualifier	exception
	Definition	indicates that the coding region cannot be translated using standard biological rules
	Value format	One of the following controlled vocabulary phrases: RNA editing rearrangement required for product annotated by transcript or proteomic data
	Example	<INSDQualifier_value>RNA editing</INSDQualifier_value> <INSDQualifier_value>rearrangement required for product</INSDQualifier_value>
	Comment	only to be used to describe biological mechanisms such as RNA editing; protein translation of a CDS with an exception qualifier will be different from the <b>according</b> <b>corresponding</b> conceptual translation; must not be used where transl_except qualifier would be adequate, e.g. in case of stop codon completion use.
6.20.	Qualifier	frequency
	Definition	frequency of the occurrence of a feature
	Value format	free text representing the proportion of a population carrying the feature expressed as a fraction <b>(NOTE: this value may require translation for National/Regional procedures)</b>
	Example	<INSDQualifier_value>23/108</INSDQualifier_value> <INSDQualifier_value>1 in 12</INSDQualifier_value> <INSDQualifier_value>0.85</INSDQualifier_value>
6.21.	Qualifier	function
	Definition	function attributed to a sequence
	Value format	free text <b>(NOTE: this value may require translation for National/Regional procedures)</b>
	Example	<INSDQualifier_value>essential for recognition of cofactor </INSDQualifier_value>
	Comment	The function qualifier is used when the gene name and/or product name do not convey the function attributable to a sequence.

6.22.	Qualifier	gene
	Definition	symbol of the gene corresponding to a sequence region
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>ilvE</INSDQualifier_value>
	Comment	Use gene qualifier to provide the gene symbol; use standard_name qualifier to provide the full gene name.
6.23.	Qualifier	gene_synonym
	Definition	synonymous, replaced, obsolete or former gene symbol
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>Hox-3.3</INSDQualifier_value> in a feature where the gene qualifier value is Hoxc6
	Comment	used where it is helpful to indicate a gene symbol synonym; when <b>the gene synonym qualifier is</b> used, a primary gene symbol must always be indicated in a gene qualifier
6.24.	Qualifier	germline
	Definition	the sequence presented has not undergone somatic rearrangement as part of an adaptive immune response; it is the unrearranged sequence that was inherited from the parental germline
	Value format	none
	Comment	germline qualifier <b>should must</b> not be used to indicate that the source of the sequence is a gamete or germ cell; germline and rearranged qualifiers <b>cannot must not</b> be used in the same source feature; germline and rearranged qualifiers <b>should must</b> only be used for molecules that can undergo somatic rearrangements as part of an adaptive immune response; these are the T-cell receptor (TCR) and immunoglobulin loci in the jawed vertebrates, and the unrelated variable lymphocyte receptor (VLR) locus in the jawless fish (lampreys and hagfish); germline and rearranged qualifiers should not be used outside of the Craniata (taxid=89593)
6.25.	Qualifier	haplogroup
	Definition	name for a group of similar haplotypes that share some sequence variation. Haplogroups are often used to track migration of population groups.
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>H*</INSDQualifier_value>

6.26.	Qualifier	haplotype
	Definition	name for a specific set of alleles that are linked together on the same physical chromosome. In the absence of recombination, each haplotype is inherited as a unit, and may be used to track gene flow in populations.
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>Dw3 B5 Cw1 A1</INSDQualifier_value>
6.27.	Qualifier	host
	Definition	natural (as opposed to laboratory) host to the organism from which sequenced molecule was obtained
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>Homo sapiens</INSDQualifier_value> <INSDQualifier_value>Homo sapiens 12 year old girl</INSDQualifier_value> <INSDQualifier_value>Rhizobium NGR234</INSDQualifier_value>
6.28.	Qualifier	identified_by
	Definition	name of the expert who identified the specimen taxonomically
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>John Burns</INSDQualifier_value>
6.29.	Qualifier	isolate
	Definition	individual isolate from which the sequence was obtained
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>Patient #152</INSDQualifier_value> <INSDQualifier_value>DGGE band PSBAC-13</INSDQualifier_value>
6.30.	Qualifier	isolation_source
	Definition	describes the physical, environmental and/or local geographical source of the biological sample from which the sequence was derived
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Examples	<INSDQualifier_value>rumen isolates from standard Pelleted ration-fed steer #67</INSDQualifier_value> <INSDQualifier_value>permanent Antarctic sea ice</INSDQualifier_value> <INSDQualifier_value>denitrifying activated sludge from carbon_limited continuous reactor</INSDQualifier_value>
	Comment	used only with the source feature key; source feature keys containing an environmental_sample qualifier should also contain an isolation_source qualifier

6.31.	Qualifier	lab_host
	Definition	scientific name of the laboratory host used to propagate the source organism from which the sequenced molecule was obtained
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>Gallus gallus</INSDQualifier_value> <INSDQualifier_value>Gallus gallus embryo</INSDQualifier_value> <INSDQualifier_value>Escherichia coli strain DH5 alpha</INSDQualifier_value> <INSDQualifier_value>Homo sapiens HeLa cells</INSDQualifier_value>
	Comment	the full binomial scientific name of the host organism should be used when known; extra conditional information relating to the host may also be included
6.32.	Qualifier	lat_lon
	Definition	geographical coordinates of the location where the specimen was collected
	Value format	free text - degrees latitude and longitude in format "d[d.ddd] N S d[dd.ddd] W E" (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>47.94 N 28.12 W</INSDQualifier_value> <INSDQualifier_value>45.0123 S 4.1234 E</INSDQualifier_value>
6.33.	Qualifier	macronuclear
	Definition	if the sequence shown is DNA and from an organism which undergoes chromosomal differentiation between macronuclear and micronuclear stages, this qualifier is used to denote that the sequence is from macronuclear DNA
	Value format	none
6.34.	Qualifier	map
	Definition	genomic map position of feature
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>8q12-q13</INSDQualifier_value>
6.35.	Qualifier	mating_type
	Definition	mating type of the organism from which the sequence was obtained; mating type is used for prokaryotes, and for eukaryotes that undergo meiosis without sexually dimorphic gametes
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Examples	<INSDQualifier_value>MAT-1</INSDQualifier_value> <INSDQualifier_value>plus</INSDQualifier_value> <INSDQualifier_value>-</INSDQualifier_value> <INSDQualifier_value>odd</INSDQualifier_value> <INSDQualifier_value>even</INSDQualifier_value>"
	Comment	mating_type qualifier values male and female are valid in the prokaryotes, but not in the eukaryotes; for more information, see the entry for the sex qualifier.

6.36.	Qualifier	mobile_element_type
	Definition	type and name or identifier of the mobile element which is described by the parent feature
	Value format	<mobile_element_type>[:<mobile_element_name>] where <mobile_element_type> is one of the following: transposon retrotransposon integron insertion sequence non-LTR retrotransposon SINE MITE LINE other
	Example	<INSDQualifier_value>transposon:Tnp9</INSDQualifier_value>
	Comment	mobile_element_type is legal on mobile_element feature key only. Mobile element should be used to represent both elements which are currently mobile, and those which were mobile in the past. Value "other" for <mobile_element_type> requires a <mobile_element_name>
6.37.	Qualifier	mod_base
	Definition	abbreviation for a modified nucleotide base
	Value format	modified base abbreviation chosen from this Annex, <a href="#">Table Section 2</a>
	Example	<INSDQualifier_value>m5c</INSDQualifier_value> <INSDQualifier_value>OTHER</INSDQualifier_value>
	Comment	specific modified nucleotides not found in Section 2 of this Annex are annotated by entering OTHER as the value for the mod_base qualifier and including a note qualifier with the full name of the modified base as its value

6.38.	Qualifier	mol_type
	Definition	molecule type of sequence
	Value format	One chosen from the following: genomic DNA genomic RNA mRNA tRNA rRNA other RNA other DNA transcribed RNA viral cRNA unassigned DNA unassigned RNA
	Example	<INSDQualifier_value>genomic DNA</INSDQualifier_value> <INSDQualifier_value>other RNA</INSDQualifier_value>
	Comment	mol_type qualifier is mandatory on the source feature key; the value "genomic DNA" does not imply that the molecule is nuclear (e.g. organelle and plasmid DNA <b>should</b> be described using "genomic DNA"); ribosomal RNA genes <b>should</b> be described using "genomic DNA"; "rRNA" <b>should</b> only be used if the ribosomal RNA molecule itself has been sequenced; values "other RNA" and "other DNA" <b>should</b> be applied to synthetic molecules, values "unassigned DNA", "unassigned RNA" <b>should</b> be applied where in vivo molecule is unknown.
6.39.	Qualifier	ncrna_class
	Definition	a structured description of the classification of the non-coding RNA described by the ncrna parent key
	Value format	TYPE where TYPE is one of the following controlled vocabulary terms or phrases: antisense_RNA autocatalytically_spliced_intron ribozyme hammerhead_ribozyme lncRNA RNase_P_RNA RNase_MRP_RNA telomerase_RNA guide_RNA asiRNA scrRNA sirRNA mirRNA pirRNA snRNA SRP_RNA vault_RNA Y_RNA other
	Example	<INSDQualifier_value>autocatalytically_spliced_intron </INSDQualifier_value> <INSDQualifier_value>siRNA</INSDQualifier_value> <INSDQualifier_value>scrRNA</INSDQualifier_value> <INSDQualifier_value>other</INSDQualifier_value>
	Comment	specific ncrna types not yet in the ncrna_class controlled vocabulary <b>can</b> be annotated by entering "other" as the ncrna_class qualifier value, and providing a brief explanation of novel ncrna_class in a note qualifier

6.40.	Qualifier	note
	Definition	any comment or additional information
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>A comment about the feature</INSDQualifier_value>
6.41.	Qualifier	number
	Definition	a number to indicate the order of genetic elements (e.g. exons or introns) in the 5' to 3' direction
	Value format	free text (with no whitespace characters) (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>4</INSDQualifier_value> <INSDQualifier_value>6B</INSDQualifier_value>
	Comment	text limited to integers, letters or combination of integers and/or letters represented as a data value that contains no whitespace characters; any additional terms should be included in a standard_name qualifier. Example: a number qualifier with a value of 2A and a standard_name qualifier with a value of "long"
6.42.	Qualifier	operon
	Definition	name of the group of contiguous genes transcribed into a single transcript to which that feature belongs
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>lac</INSDQualifier_value>
	Comment	<del>valid only on Prokaryota-specific features</del>

6.43.	6.43.	Qualifier	organelle
	Definition	type of membrane-bound intracellular structure from which the sequence was obtained	
	Value format	One of the following controlled vocabulary terms and phrases: chromatophore hydrogenosome mitochondrion nucleomorph plastid mitochondrion:kinetoplast plastid:chloroplast plastid:apicoplast plastid:chromoplast plastid:cyanelle plastid:leucoplast plastid:proplastid	
	Examples	<INSDQualifier_value>chromatophore</INSDQualifier_value> <INSDQualifier_value>hydrogenosome</INSDQualifier_value> <INSDQualifier_value>mitochondrion</INSDQualifier_value> <INSDQualifier_value>nucleomorph</INSDQualifier_value> <INSDQualifier_value>plastid</INSDQualifier_value> <INSDQualifier_value>mitochondrion:kinetoplast</INSDQualifier_value> <INSDQualifier_value>plastid:chloroplast</INSDQualifier_value> <INSDQualifier_value>plastid:apicoplast</INSDQualifier_value> <INSDQualifier_value>plastid:chromoplast</INSDQualifier_value> <INSDQualifier_value>plastid:cyanelle</INSDQualifier_value> <INSDQualifier_value>plastid:leucoplast</INSDQualifier_value> <INSDQualifier_value>plastid:proplastid</INSDQualifier_value>	
6.44.	Qualifier	organism	
	Definition	scientific name of the organism that provided the sequenced genetic material, if known, or the available taxonomic information if the organism is unclassified; or an indication that the sequence is a synthetic construct	
	Value format	free text <b>(NOTE: this value may require translation for National/Regional procedures)</b>	
	Example	<INSDQualifier_value>Homo sapiens</INSDQualifier_value>	



6.45.	Qualifier	PCR_primers
	Definition	PCR primers that were used to amplify the sequence. A single PCR_primers qualifier should contain all the primers used for a single PCR reaction. If multiple forward or reverse primers are present in a single PCR reaction, multiple sets of fwd_name/fwd_seq or rev_name/rev_seq values will be present
	Value format	[fwd_name: XXX1, ]fwd_seq: xxxxx1,[fwd_name: XXX2, ]fwd_seq: xxxxx2, [rev_name: YYY1, ]rev_seq: yyyyy1,[rev_name: YYY2, ]rev_seq: yyyyy2</INSDQualifier_value>
	Example	<INSDQualifier_value>fwd_name: CO1P1, fwd_seq: ttgattttttgttcayccwgaagt,rev_name: CO1R4, rev_seq: ccwvytardcctarraartgttg</INSDQualifier_value> <INSDQualifier_value>fwd_name: hoge1, fwd_seq: cgkgtgtatcttact, rev_name: hoge2, rev_seq: cg<i>&lt;&gt;&gt;gtgtatcttact</INSDQualifier_value> <INSDQualifier_value>fwd_name: CO1P1, fwd_seq: ttgattttttgttcayccwgaagt, fwd_name: CO1P2, fwd_seq: gatacacaggtcayccwgaagt, rev_name: CO1R4, rev_seq: ccwvytardcctarraartgttg</INSDQualifier_value>
	Comment	fwd_seq and rev_seq are both mandatory; fwd_name and rev_name are both optional. Both sequences <b>should must</b> be presented in 5'>3' order. The sequences <b>should must</b> be given in the symbols from Section 1 of this Annex, except for the modified bases; <b>those, which</b> must be enclosed within angle brackets < >. In XML, the angle brackets < and > must be substituted with &lt; and &gt; since they are reserved characters in XML.
6.46.	Qualifier	phenotype
	Definition	phenotype conferred by the feature, where phenotype is defined as a physical, biochemical or behavioural characteristic or set of characteristics
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>erythromycin resistance</INSDQualifier_value>
6.47.	Qualifier	plasmid
	Definition	name of naturally occurring plasmid from which the sequence was obtained, where plasmid is defined as an independently replicating genetic unit that cannot be described by chromosome or segment qualifiers
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>pc589</INSDQualifier_value>
6.48.	Qualifier	pop_variant
	Definition	name of subpopulation or phenotype of the sample from which the sequence was derived
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>pop1</INSDQualifier_value> <INSDQualifier_value>Bear Paw</INSDQualifier_value>

6.49.	Qualifier	product
	Definition	name of the product associated with the feature, e.g. the mRNA of an mRNA feature, the polypeptide of a CDS, the mature peptide of a mat_peptide, etc.
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>trypsinogen</INSDQualifier_value> (when qualifier appears in CDS feature) <INSDQualifier_value>trypsin</INSDQualifier_value> (when qualifier appears in mat_peptide feature) <INSDQualifier_value>XYZ neural-specific transcript</INSDQualifier_value> (when qualifier appears in mRNA feature)
6.50.	Qualifier	protein_id
	Definition	protein sequence identification number, an integer used in a sequence listing to designate the protein sequence encoded by the coding sequence identified in the corresponding CDS feature key <b>and translation qualifier</b>
	Value format	an integer greater than zero
	Example	<INSDQualifier_value>89</INSDQualifier_value>
6.51.	Qualifier	proviral
	Definition	this qualifier is used to flag sequence obtained from a virus or phage that is integrated into the genome of another organism
	Value format	none
6.52.	Qualifier	pseudo
	Definition	indicates that this feature is a non-functional version of the element named by the feature key
	Value format	none
	Comment	The qualifier pseudo should be used to describe non-functional genes that are not formally described as pseudogenes, e.g. CDS has no translation due to other reasons than <b>pseudogenisation/pseudogenization</b> events. Other reasons may include sequencing or assembly errors. In order to annotate pseudogenes the qualifier pseudogene must be used, indicating the TYPE of pseudogene.

6.53.	Qualifier	pseudogene
	Definition	indicates that this feature is a pseudogene of the element named by the feature key
	Value format	TYPE where TYPE is one of the following controlled vocabulary terms or phrases: processed unprocessed unitary allelic unknown
	Example	<INSDQualifier_value>processed</INSDQualifier_value> <INSDQualifier_value>unprocessed</INSDQualifier_value> <INSDQualifier_value>unitary</INSDQualifier_value> <INSDQualifier_value>allelic</INSDQualifier_value> <INSDQualifier_value>unknown</INSDQualifier_value>
	Comment	Definitions of TYPE values: processed - the pseudogene has arisen by reverse transcription of a mRNA into cDNA, followed by reintegration into the genome. Therefore, it has lost any intron/exon structure, and it might have a pseudo-polyA-tail. unprocessed - the pseudogene has arisen from a copy of the parent gene by duplication followed by accumulation of random <b>mutationmutations</b> . The changes, compared to their functional homolog, include insertions, deletions, premature stop codons, frameshifts and a higher proportion of non-synonymous versus synonymous substitutions. unitary - the pseudogene has no parent. It is the original gene, which is functional in some species but disrupted in some way (indels, mutation, recombination) in another species or strain. allelic - a (unitary) pseudogene that is stable in the population but importantly it has a functional alternative allele also in the population. i.e., one strain may have the gene, another strain may have the pseudogene. MHC haplotypes have allelic pseudogenes. unknown - the submitter does not know the method of <b>pseudogenisationpseudogenization</b> .
6.54.	Qualifier	rearranged
	Definition	the sequence presented in the entry has undergone somatic rearrangement as part of an adaptive immune response; it is not the unrearranged sequence that was inherited from the parental germline
	Value format	none
	Comment	The rearranged qualifier <b>shouldmust</b> not be used to annotate chromosome rearrangements that are not involved in an adaptive immune response; germline and rearranged qualifiers <b>cannotmust not</b> be used in the same source feature; germline and rearranged qualifiers <b>shouldmust</b> only be used for molecules that can undergo somatic rearrangements as part of an adaptive immune response; these are the T-cell receptor (TCR) and immunoglobulin loci in the jawed vertebrates, and the unrelated variable lymphocyte receptor (VLR) locus in the jawless fish (lampreys and hagfish); germline and rearranged qualifiers should not be used outside of the Craniata (taxid=89593)

6.55. Qualifier `recombination_class`

**Definition** a structured description of the classification of recombination hotspot region within a sequence

**Value format** TYPE

where TYPE is one of the following controlled vocabulary terms or phrases:  
`mitotic_recombination`  
`non_allelic_homologous_recombination_region`  
`chromosome_breakpoint`

**Example** `<INSDQualifier_value>meiotic_recombination</INSDQualifier_value>`  
`<INSDQualifier_value>chromosome_breakpoint</INSDQualifier_value>`

**Comment** specific recombination classes not yet in the recombination\_class controlled vocabulary must be annotated by entering "other" as the recombination\_class qualifier value and providing a brief explanation of the novel recombination\_class in a note qualifier

6.56. Qualifier `regulatory_class`

**Definition** a structured description of the classification of transcriptional, translational, replicational and chromatin structure related regulatory elements in a sequence

**Value format** TYPE

where TYPE is one of the following controlled vocabulary terms or phrases:  
`DNase_I_hypersensitive_site`  
`enhancer_blocking_element`  
`imprinting_control_region`  
`insulator`  
`locus_control_region`  
`matrix_attachment_region`  
`minus_35_signal`  
`minus_10_signal`  
`recoding_stimulatory_region`  
`replication_regulatory_region`  
`response_element`  
`polyA_signal_sequence`  
`ribosome_binding_site`  
`riboswitch`  
`silencer`  
`TATA_box`  
~~6.55. transcriptional\_cis\_regulatory\_region~~  
`other`

**Example** `<INSDQualifier_value>promoter</INSDQualifier_value>`  
`<INSDQualifier_value>enhancer</INSDQualifier_value>`  
`<INSDQualifier_value>ribosome_binding_site</INSDQualifier_value>`

**Comment** specific regulatory classes not yet in the regulatory\_class controlled vocabulary must be annotated by entering "other" as the regulatory\_class qualifier value and providing a brief explanation of the novel regulatory\_class in a note qualifier

6.57.	Qualifier	replace
	Definition	indicates that the sequence identified in a feature's location is replaced by the sequence shown in the qualifier's value; if no sequence (i.e., no value) is contained within the qualifier, this indicates a deletion
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>a</INSDQualifier_value> <INSDQualifier_value></INSDQualifier_value> - for a deletion
6.58.	Qualifier	ribosomal_slippage
	Definition	during protein translation, certain sequences can program ribosomes to change to an alternative reading frame by a mechanism known as ribosomal slippage
	Value format	none
	Comment	a join operator, e.g.: [join(486..1784,1787..4810)] <del>should</del> must be used in the CDS <del>spans</del> feature location to indicate the location of ribosomal_slippage
6.59.	Qualifier	rpt_family
	Definition	type of repeated sequence; "Alu" or "kpn", for example
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>Alu</INSDQualifier_value>
6.60.	Qualifier	rpt_type
	Definition	<del>organization</del> structure and distribution of repeated sequence
	Value format	One of the following controlled vocabulary terms or phrases: tandem direct inverted flanking <del>terminal</del> nested dispersed  long_terminal_repeat non_ltr_retrotransposon_polymeric_tract centromeric_repeat telomeric_repeat x_element_combinatorial_repeat y_prime_element other
	Example	<INSDQualifier_value> <del>INVERTED</del> inverted</INSDQualifier_value> <INSDQualifier_value>long_terminal_repeat</INSDQualifier_value>
	Comment	the values are case-insensitive, i.e. both "INVERTED" and "inverted" are valid; Comment Definitions of the values: tandem - a repeat that exists adjacent to another in the same orientation; direct - a repeat that exists not always adjacent but is in the same orientation; inverted <del>a repeat which occurs as part of a set (normally, a part)</del> organized repeat pair occurring in the reverse orientation to one another on the same molecule;

flanking - a repeat lying outside the sequence for which it has functional significance (eg. transposon insertion target sites);  
**nested - a repeat that is disrupted by the insertion of another element;**  
**dispersed - a repeat that is found dispersed throughout the genome;**  
 terminal - a repeat at the ends of and within the sequence for which it has functional significance (eg. transposon LTRs);  
**long terminal repeat - a sequence directly repeated at both ends of a defined sequence, of the sort typically found in retroviruses;**  
**non\_ltr\_retrotransposon\_polymeric\_tract - a polymeric tract, such as poly(DA), within a non LTR retrotransposon;**  
**centromeric repeat - a repeat region found within the modular centromere;**  
**telomeric repeat - a repeat region found within the telomere;**  
**x\_element\_combinatorial\_repeat - a repeat region located between the X element and the telomere or adjacent Y' element;**  
**y\_prime\_element - a repeat region located adjacent to telomeric repeats or X element combinatorial repeats, either as a single copy or tandem repeat of two to four copies;**  
 other - a repeat exhibiting important attributes that cannot be described by other values.

6.61.	Qualifier	rpt_unit_range
	Definition	location <b>&lt;range&gt;</b> of a repeating unit <b>expressed as a range</b>
	Value format	<base_range> - where <base_range> is the first and last base (separated by two dots) of a repeating unit
	Example	<INSDQualifier_value>202..245</INSDQualifier_value>
	Comment	used to indicate the base range of the sequence that constitutes a repeating unit within the region specified by the feature keys oriT and repeat_region.
6.62.	Qualifier	rpt_unit_seq
	Definition	identity of a repeat sequence
	Value format	free text <b>(NOTE: this value may require translation for National/Regional procedures)</b>
	Example	<INSDQualifier_value>aagggc</INSDQualifier_value> <INSDQualifier_value>ag(5)tg(8)</INSDQualifier_value> <INSDQualifier_value>(AAAGA)6(AAAA)1(AAAGA)12</INSDQualifier_value>
	Comment	used to indicate the literal sequence that constitutes a repeating unit within the region specified by the feature keys oriT and repeat_region

6.63.	Qualifier	satellite
	Definition	identifier for a satellite DNA marker, compose of many tandem repeats (identical or related) of a short basic repeated unit
	Value format	<satellite_type>[:<class>][ <identifier>] - where <satellite_type> is one of the following: satellite; microsatellite; minisatellite
	Example	<INSDQualifier_value>satellite: S1a</INSDQualifier_value> <INSDQualifier_value>satellite: alpha</INSDQualifier_value> <INSDQualifier_value>satellite: gamma III</INSDQualifier_value> <INSDQualifier_value>microsatellite: DC130</INSDQualifier_value>
	Comment	many satellites have base composition or other properties that differ from those of the rest of the genome that allows them to be identified.
6.64.	Qualifier	segment
	Definition	name of viral or phage segment sequenced
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>6</INSDQualifier_value>
6.65.	Qualifier	serotype
	Definition	serological variety of a species characterized by its antigenic properties
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>B1</INSDQualifier_value>
	Comment	used only with the source feature key; the Bacteriological Code recommends the use of the term 'serovar' instead of 'serotype' for the prokaryotes; see the International Code of Nomenclature of Bacteria (1990 Revision) Appendix 10.B "Infraspecific Terms".
6.66.	Qualifier	serovar
	Definition	serological variety of a species (usually a prokaryote) characterized by its antigenic properties
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>0157:H7</INSDQualifier_value>
	Comment	used only with the source feature key; the Bacteriological Code recommends the use of the term 'serovar' instead of 'serotype' for prokaryotes; see the International Code of Nomenclature of Bacteria (1990 Revision) Appendix 10.B "Infraspecific Terms".

6.67.	Qualifier	sex
	Definition	sex of the organism from which the sequence was obtained; sex is used for eukaryotic organisms that undergo meiosis and have sexually dimorphic gametes
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Examples	<INSDQualifier_value>female</INSDQualifier_value> <INSDQualifier_value>male</INSDQualifier_value> <INSDQualifier_value>hermaphrodite</INSDQualifier_value> <INSDQualifier_value>unisexual</INSDQualifier_value> <INSDQualifier_value>bisexual</INSDQualifier_value> <INSDQualifier_value>asexual</INSDQualifier_value> <INSDQualifier_value>monoecious</INSDQualifier_value> [or monocious] <INSDQualifier_value>dioecious</INSDQualifier_value> [or diecious]
	Comment	The sex qualifier should be used (instead of mating_type qualifier) in the Metazoa, Embryophyta, Rhodophyta & Phaeophyceae; mating_type qualifier should be used (instead of sex qualifier) in the Bacteria, Archaea & Fungi; neither sex nor mating_type qualifiers should be used in the viruses; outside of the taxa listed above, mating_type qualifier should be used unless the value of the qualifier is taken from the vocabulary given in the examples above
6.68.	Qualifier	standard_name
	Definition	accepted standard name for this feature
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>dotted</INSDQualifier_value>
	Comment	use standard_name qualifier to give full gene name, but use gene qualifier to give gene symbol (in the above example gene qualifier value is Dt).
6.69.	Qualifier	strain
	Definition	strain from which sequence was obtained
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>BALB/c</INSDQualifier_value>
	Comment	feature entries including a strain qualifier must not include the environmental_sample qualifier
6.70.	Qualifier	sub_clone
	Definition	sub-clone from which sequence was obtained
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>lambda-hIL7.20g</INSDQualifier_value>
	Comment	a source feature must not contain more than one sub_clone should be specified for a given source feature qualifier; to indicate that the sequence was obtained from multiple sub_clones, multiple source features should be given sources may be further described using the feature key "misc_feature" and the qualifier "note"



6.71.	Qualifier	sub_species
	Definition	name of sub-species of organism from which sequence was obtained
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>lactis</INSDQualifier_value>
6.72.	Qualifier	sub_strain
	Definition	name or identifier of a genetically or otherwise modified strain from which sequence was obtained, derived from a parental strain (which should be annotated in the strain qualifier). sub_strain from which sequence was obtained
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>abis</INSDQualifier_value>
	Comment	It must be accompanied by a strain qualifier in a source feature; if the parental strain is not given, this the modified strain should be annotated in the strain qualifier instead of sub_strain. For example, either a strain qualifier with the value K-12 and a substrain qualifier with the value MG1655 or a strain qualifier with the value MG1655
6.73.	Qualifier	tag_peptide
	Definition	base location encoding the polypeptide for proteolysis tag of tmRNA and its termination codon
	Value format	<base_range> - where <base_range> provides the first and last base (separated by two dots) of the location for the proteolysis tag
	Example	<INSDQualifier_value>90..122</INSDQualifier_value>
	Comment	it is recommended that the amino acid sequence corresponding to the tag_peptide be annotated by describing a 5' partial CDS feature; e.g. CDS with a location of <90..122
6.74.	Qualifier	tissue_lib
	Definition	tissue library from which sequence was obtained
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>tissue library 772</INSDQualifier_value>
6.75.	Qualifier	tissue_type
	Definition	tissue type from which the sequence was obtained
	Value format	free text (NOTE: this value may require translation for National/Regional procedures)
	Example	<INSDQualifier_value>liver</INSDQualifier_value>

6.76.	Qualifier	transl_except
	Definition	translational exception: single codon the translation of which does not conform to genetic code defined by organism or transl_table.
	Value format	(pos:location,aa:<amino_acid>) where <amino_acid> is the <b>three letter abbreviation for the</b> amino acid coded by the codon at the base_range position
	Example	<INSDQualifier_value>(pos:213..215,aa:Trp) </INSDQualifier_value> <INSDQualifier_value>(pos:462..464,aa:OTHER) </INSDQualifier_value> <INSDQualifier_value>(pos:1017,aa:TERM) </INSDQualifier_value> <INSDQualifier_value>(pos:2000..2001,aa:TERM) </INSDQualifier_value> <del>&lt;INSDQualifier_value&gt;(pos:X22222:15..17,aa:A1a) &lt;/INSDQualifier_value&gt;</del>
	Comment	if the amino acid is not one of the specific amino acids listed in Section 3 of this Annex, use OTHER as <amino_acid> and provide the name of the unusual amino acid in a note qualifier; for modified amino-acid selenocysteine use three letter code 'Sec' (one letter code 'U' abbreviation 'Sec' (one letter symbol 'U' in amino-acid sequence) for <amino_acid>; for modified amino-acid pyrrolysine use three letter abbreviation 'Pyl' (one letter symbol 'O' in amino-acid sequence) for <amino_acid>; for partial termination codons where TAA stop codon is completed by the addition of 3' A residues to the mRNA either a single base_position or a base_range is used for the location, see the third and fourth examples above, in conjunction with a note qualifier indicating 'stop codon completed by the addition of 3' A residues to the mRNA'.
6.77.	Qualifier	transl_table
	Definition	definition of genetic code table used if other than universal or standard genetic code table. Tables used are described in this Annex
	Value format	<integer> where <integer> is the number assigned to the genetic code table
	Example	<INSDQualifier_value>3</INSDQualifier_value> - example where the yeast mitochondrial code is to be used
	Comment	if the transl_table qualifier is not used to further annotate a CDS feature key, then the CDS is translated using the Standard Code (i.e. Universal Genetic Code). Genetic code exceptions outside the range of specified tables are reported in transl_except qualifiers.
6.78.	Qualifier	trans_splicing
	Definition	indicates that exons from two RNA molecules are ligated in intermolecular reaction to form mature RNA
	Value format	none
	Comment	should be used on features such as CDS, mRNA and other features that are produced as a result of a trans-splicing event. This qualifier <del>should</del> <b>must</b> be used only when the splice event is indicated in the "join" operator, e.g. join(complement(69611..69724),139856..140087) <b>in the feature location</b>

6.79.	Qualifier	translation
	Definition	one-letter abbreviated amino acid sequence derived from either the standard (or universal) genetic code or the table as specified in a transl_table qualifier and as determined by an exception in the transl_except qualifier
	Value format	contiguous string of one-letter amino acid abbreviations from Section 3 of this Annex, "X" is to be used for AA exceptions.
	Example	<INSDQualifier_value>MASTFPPWYRGCASTPSLKGLIMCTW</INSDQualifier_value>
	Comment	to be used with CDS feature only; must be accompanied by protein_id qualifier when the translation product contains four or more <b>specifically defined</b> amino acids; see transl_table for definition and location of genetic code Tables; only one of the qualifiers translation, pseudo and pseudogene are permitted to further annotate a CDS feature.
6.80.	Qualifier	variety
	Definition	variety (= varietas, a formal Linnaean rank) of organism from which sequence was derived.
	Value format	free text <b>(NOTE: this value may require translation for National/Regional procedures)</b>
	Example	<INSDQualifier_value>insularis</INSDQualifier_value>
	Comment	use the cultivar qualifier for cultivated plant varieties, i.e., products of artificial selection; varieties other than plant and fungal variatas should be annotated via a note qualifier, e.g. with the value <INSDQualifier_value>breed:Cukorova</INSDQualifier_value>

## SECTION 7: FEATURE KEYS FOR AMINO ACID SEQUENCES

This section contains the list of allowed feature keys to be used for amino acid sequences. The feature keys are listed in alphabetic order.

7.1.	Feature Key	ACT_SITE
	Definition	Amino acid(s) involved in the activity of an enzyme
	Optional qualifiers	NOTE
	Comment	Each amino acid <del>residue</del> <b>residue</b> of the active site <del>should</del> <b>must</b> be annotated separately with the ACT_SITE feature key. The corresponding amino acid residue number <del>should</del> <b>must</b> be provided as the location descriptor in the feature location element.
7.2.	Feature Key	BINDING
	Definition	Binding site for any chemical group (co-enzyme, prosthetic group, etc.). The chemical nature of the group is indicated in the NOTE qualifier
	Mandatory qualifiers	NOTE
	Comment	Examples of values for the "NOTE" qualifier: "Heme (covalent)" and "chloride." Where appropriate, the features keys CA_BIND, DNA_BIND, METAL, and NP_BIND should be used rather than BINDING.
7.3.	Feature Key	CA_BIND
	Definition	Extent of a calcium-binding region
	Optional qualifiers	NOTE
7.4.	Feature Key	CARBOHYD
	Definition	Glycosylation site
	Mandatory qualifiers	NOTE
	Comment	This key describes the occurrence of the attachment of a glycan (mono- or polysaccharide) to a residue of the protein. <b>The type of linkage (C-, N- or O-linked) to the protein is indicated in the "NOTE" qualifier.</b> If the nature of the reducing terminal sugar is known, its abbreviation is shown between parentheses. If three dots '...' follow the abbreviation this indicates an extension of the carbohydrate chain. Conversely no dots means that a monosaccharide is linked. Examples of values used in the "NOTE" qualifier: <b>N-linked (GlcNAc...)</b> ; O-linked (GlcNAc); <b>O-linked (Glc...)</b> ; C-linked (Man); <del>N-linked (GlcNAc...)</del> ; and <b>partial</b> ; O-linked ( <b>GlcAra</b> ...).
7.5.	Feature Key	CHAIN
	Definition	Extent of a polypeptide chain in the mature protein
	Optional qualifiers	NOTE

7.6.	Feature Key	COILED
	Definition	Extent of a coiled-coil region
	Optional qualifiers	NOTE
7.7.	Feature Key	COMPBIAS
	Definition	Extent of a compositionally biased region
	Optional qualifiers	NOTE
7.8.	Feature Key	CONFLICT
	Definition	Different sources report differing sequences
	Optional qualifiers	NOTE
	Comment	Examples of values for the "NOTE" qualifier: Missing; K -> Q; GSDSE -> RIRLR; V -> A.
7.9.	Feature Key	CROSSLNK
	Definition	Post translationally formed amino acid bonds
	Mandatory qualifiers	NOTE
	Comment	Covalent linkages of various types formed between two proteins (interchain cross-links) or between two parts of the same protein (intrachain cross-links); except for cross-links formed by disulfide bonds, for which the "DISULFID" feature key is to be used. For an interchain cross-link, the location descriptor in the feature location element is the residue number of the amino acid cross-linked to the other protein. For an intrachain cross-link, the location descriptors in the feature location element are the residue numbers of the cross-linked amino acids in conjunction with the "join" location operator, e.g. "join(42,50)." The NOTE qualifier indicates the nature of the cross-link; at least specifying the name of the conjugate and the identity of the two amino acids involved. Examples of values for the "NOTE" qualifier: "Isoglutamyl cysteine thioester (Cys-Gln);" "Beta-methylanthionine (Cys-Thr);" and "Glycyl lysine isopeptide (Lys-Gly) (interchain with G-Cter in ubiquitin)"
7.10.	Feature Key	DISULFID
	Definition	Disulfide bond
	Optional qualifiers	NOTE
	Comment	For an interchain disulfide bond, the location descriptor in the feature location element is the residue number of the cysteine linked to the other protein. For an intrachain cross-link, the location descriptors in the feature location element are the residue numbers of the linked cysteines in conjunction with the "join" location operator, e.g. "join(42,50)". For interchain disulfide bonds, the NOTE qualifier indicates the nature of the cross-link, by identifying the other protein, for example, "Interchain (between A and B chains)"

7.11.	Feature Key	DNA_BIND
	Definition	Extent of a DNA-binding region
	Mandatory qualifiers	NOTE
	Comment	The nature of the DNA-binding region is given in the NOTE qualifier. Examples of values for the "NOTE" qualifier: "Homeobox" and "Myb 2"
7.12.	Feature Key	DOMAIN
	Definition	Extent of a domain, which is defined as a specific combination of secondary structures organized into a characteristic three-dimensional structure or fold
	Mandatory qualifiers	NOTE
	Comment	The domain type is given in the NOTE qualifier. Where several copies of a domain are present, the domains are numbered. Examples of values for the "NOTE" qualifier: "Ras-GAP" and "Cadherin 1"
7.13.	Feature Key	HELIX
	Definition	Secondary structure: Helices, for example, Alpha-helix; 3(10) helix; or Pi-helix
	Optional qualifiers	NOTE
	Comment	This feature is used only for proteins whose tertiary structure is known. Only three types of secondary structure are specified: helices (key HELIX), beta-strands (key STRAND) and turns (key TURN). Residues not specified in one of these classes are in a 'loop' or 'random-coil' structure.
7.14.	Feature Key	INIT_MET
	Definition	Initiator methionine
	Optional qualifiers	NOTE
	Comment	The location descriptor in the feature location element is "1". This feature key indicates the N-terminal methionine is cleaved off. This feature is not used when the initiator methionine is not cleaved off.
7.15.	Feature Key	INTRAMEM
	Definition	Extent of a region located in a membrane without crossing it
	Optional qualifiers	NOTE
7.16.	Feature Key	LIPID
	Definition	Covalent binding of a lipid moiety
	Mandatory qualifiers	NOTE
	Comment	The chemical nature of the bound lipid moiety is given in the NOTE qualifier, indicating at least the name of the lipidated amino acid. Examples of values for the "NOTE" qualifier: "N-myristoyl glycine"; "GPI-anchor amidated serine" and "S-diacylglycerol cysteine."

7.17.	Feature Key	METAL
	Definition	Binding site for a metal ion.
	Mandatory qualifiers	NOTE
	Comment	The NOTE qualifier indicates the nature of the metal. Examples of values for the "NOTE" qualifier: "Iron (heme axial ligand)" and "Copper".
7.18.	Feature Key	MOD_RES
	Definition	Posttranslational modification of a residue
	Mandatory qualifiers	NOTE
	Comment	The chemical nature of the modified residue is given in the NOTE qualifier, indicating at least the name of the post-translationally modified amino acid. If the modified amino acid is listed in <a href="#">Table Section 4</a> of this Annex, the abbreviation may be used in place of the full name. Examples of values for the "NOTE" qualifier: "N-acetylalanine"; "3-Hyp"; and "MeLys" or "N-6-methyllysine"
7.19.	Feature Key	MOTIF
	Definition	Short (up to 20 amino acids) sequence motif of biological interest
	Optional qualifiers	NOTE
7.20.	Feature Key	MUTAGEN
	Definition	Site which has been experimentally altered by mutagenesis
	Optional qualifiers	NOTE
7.21.	Feature Key	NON_STD
	Definition	Non-standard amino acid
	Optional qualifiers	NOTE
	Comment	This key describes the occurrence of non-standard amino acids selenocysteine (U) and pyrrolysine (O) in the amino acid sequence.
7.22.	Feature Key	NON_TER
	Definition	The residue at an extremity of the sequence is not the terminal residue
	Optional qualifiers	NOTE
	Comment	If applied to position 1, this means that the first position is not the N-terminus of the complete molecule. If applied to the last position, it means that this position is not the C-terminus of the complete molecule.

7.23.	Feature Key	NP_BIND
	Definition	Extent of a nucleotide phosphate-binding region
	Mandatory qualifiers	NOTE
	Comment	The nature of the nucleotide phosphate is indicated in the NOTE qualifier. Examples of values for the "NOTE" qualifier: "ATP" and "FAD".
7.24.	Feature Key	PEPTIDE
	Definition	Extent of a released active peptide
	Optional qualifiers	NOTE
7.25.	Feature Key	PROPEP
	Definition	Extent of a propeptide
	Optional qualifiers	NOTE
7.26.	Feature Key	REGION
	Definition	Extent of a region of interest in the sequence
	Optional qualifiers	NOTE
7.27.	Feature Key	REPEAT
	Definition	Extent of an internal sequence repetition
	Optional qualifiers	NOTE
7.28.	Feature Key	SIGNAL
	Definition	Extent of a signal sequence (prepeptide)
	Optional qualifiers	NOTE
7.29.	Feature Key	SITE
	Definition	Any interesting single amino-acid site on the sequence that is not defined by another feature key. It can also apply to an amino acid bond which is represented by the positions of the two flanking amino acids
	Mandatory qualifier	NOTE
	Comment	When SITE is used to annotate a modified amino acid the value for the qualifier "NOTE" must either be an abbreviation set forth in Section 4 of this Annex, <a href="#">Table 4.1</a> or the complete, unabbreviated name of the modified amino acid.



7.30.	Feature Key	SOURCE
	Definition	Identifies the source of the sequence; this key is mandatory; every sequence will have a single SOURCE feature spanning the entire sequence
	Mandatory qualifiers	MOL_TYPE ORGANISM
	Optional qualifiers	NOTE
7.31.	Feature Key	STRAND
	Definition	Secondary structure: Beta-strand; for example Hydrogen bonded beta-strand or residue in an isolated beta-bridge
	Optional qualifiers	NOTE
	Comment	This feature is used only for proteins whose tertiary structure is known. Only three types of secondary structure are specified: helices (key HELIX), beta-strands (key STRAND) and turns (key TURN). Residues not specified in one of these classes are in a 'loop' or 'random-coil' structure.
7.32.	Feature Key	TOPO_DOM
	Definition	Topological domain
	Optional qualifiers	NOTE
7.33.	Feature Key	TRANSMEM
	Definition	Extent of a transmembrane region
	Optional qualifiers	NOTE
7.34.	Feature Key	TRANSIT
	Definition	Extent of a transit peptide (mitochondrion, chloroplast, thylakoid, cyanelle, peroxisome etc.)
	Optional qualifiers	NOTE
7.35.	Feature Key	TURN
	Definition	Secondary structure Turns, for example, H-bonded turn (3-turn, 4-turn or 5-turn)
	Optional qualifiers	NOTE
	Comment	This feature is used only for proteins whose tertiary structure is known. Only three types of secondary structure are specified: helices (key HELIX), beta-strands (key STRAND) and turns (key TURN). Residues not specified in one of these classes are in a 'loop' or 'random-coil' structure.

7.36.	Feature Key	UNSURE
	Definition	Uncertainties in the amino acid sequence
	Optional qualifiers	NOTE
	Comment	Used to describe region(s) of an amino acid sequence for which the authors are unsure about the sequence presentation.
7.37.	Feature Key	VARIANT
	Definition	Authors report that sequence variants exist
	Optional qualifiers	NOTE
7.38.	Feature Key	VAR_SEQ
	Definition	Description of sequence variants produced by alternative splicing, alternative promoter usage, alternative initiation and ribosomal frameshifting
	Optional qualifiers	NOTE
7.39.	Feature Key	ZN_FING
	Definition	Extent of a zinc finger region
	Mandatory qualifiers	NOTE
	Comment	The type of zinc finger is indicated in the NOTE qualifier. For example: "GATA-type" and "NR C4-type"

## SECTION 8: QUALIFIERS FOR AMINO ACID SEQUENCES

This section contains the list of allowed qualifiers to be used for amino acid sequences.

**PLEASE NOTE: Any qualifier value provided for a qualifier with a "free text" value format may require translation for National/Regional procedures.**

8.1.	Qualifier	MOL_TYPE
	Definition	In vivo molecule type of sequence
	Value format	protein
	Example	<INSDQualifier_value>protein</INSDQualifier_value>
	Comment	The "MOL_TYPE" qualifier is mandatory on the SOURCE feature key.
8.2.	Qualifier	NOTE
	Definition	Any comment or additional information
	Value format	free text <b>(NOTE: this value may require translation for National/Regional procedures)</b>
	Example	<INSDQualifier_value>Heme (covalent)</INSDQualifier_value>
	Comment	The "NOTE" qualifier is mandatory for the feature keys: BINDING; CARBOHYD; CROSSLNK; DISULFID; DNA_BIND; DOMAIN; LIPID; METAL; MOD_RES; NP_BIND and ZN_FING
8.3.	Qualifier	ORGANISM
	Definition	Scientific name of the organism that provided the peptide
	Value format	free text <b>(NOTE: this value may require translation for National/Regional procedures)</b>
	Example	<INSDQualifier_value>Homo sapiens</INSDQualifier_value>
	Comment	The "ORGANISM" qualifier is mandatory for the SOURCE feature key.



<b>10 - Euplotid Nuclear Code</b>	
AAs =	FLLSSSSYY*CCWLLLLPPPHHQRRRRIIMTTTTNNKSSRRVVVAAAAADDEEGGGG
Starts =	-----M-----
Base1 =	ttttttttttttttcccccccccccccccaaaaaaaaaaaaaaaaaaggggggggggggggg
Base2 =	ttttcccaaaaggggttttcccaaaaggggttttcccaaaaggggttttcccaaaagggg
Base3 =	tcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcag
<b>11 - Bacterial and Plant Plastid Code</b>	
AAs =	FLLSSSSYY*CC*WLLLLPPPHHQRRRRIIMTTTTNNKSSRRVVVAAAAADDEEGGGG
Starts =	---M-----M-----MMM-----M-----
Base1 =	ttttttttttttttcccccccccccccccaaaaaaaaaaaaaaaaaaggggggggggggggg
Base2 =	ttttcccaaaaggggttttcccaaaaggggttttcccaaaaggggttttcccaaaagggg
Base3 =	tcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcag
<b>12 - Alternative Yeast Nuclear Code</b>	
AAs =	FLLSSSSYY*CC*WLLSPPPHHQRRRRIIMTTTTNNKSSRRVVVAAAAADDEEGGGG
Starts =	-----M-----M-----
Base1 =	ttttttttttttttcccccccccccccccaaaaaaaaaaaaaaaaaaggggggggggggggg
Base2 =	ttttcccaaaaggggttttcccaaaaggggttttcccaaaaggggttttcccaaaagggg
Base3 =	tcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcag
<b>13- Ascidian Mitochondrial Code</b>	
AAs =	FLLSSSSYY*CCWLLLLPPPHHQRRRRIIMTTTTNNKSSGGVVVAAAAADDEEGGGG
Starts =	---M-----MM-----M-----
Base1 =	ttttttttttttttcccccccccccccccaaaaaaaaaaaaaaaaaaggggggggggggggg
Base2 =	ttttcccaaaaggggttttcccaaaaggggttttcccaaaaggggttttcccaaaagggg
Base3 =	tcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcag
<b>14 - Alternative Flatworm Mitochondrial Code</b>	
AAs =	FLLSSSSYYY*CCWLLLLPPPHHQRRRRIIMTTTTNNKSSSSVVVAAAAADDEEGGGG
Starts =	-----M-----
Base1 =	ttttttttttttttcccccccccccccccaaaaaaaaaaaaaaaaaaggggggggggggggg
Base2 =	ttttcccaaaaggggttttcccaaaaggggttttcccaaaaggggttttcccaaaagggg
Base3 =	tcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcag
Starts =	-----M-----
Base1 =	ttttttttttttttcccccccccccccccaaaaaaaaaaaaaaaaaaggggggggggggggg
Base2 =	ttttcccaaaaggggttttcccaaaaggggttttcccaaaaggggttttcccaaaagggg
Base3 =	tcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcag
<b>15 - Blepharisma Nuclear Code</b>	
AAs =	FLLSSSSYY*QCC*WLLLLPPPHHQRRRRIIMTTTTNNKSSRRVVVAAAAADDEEGGGG
Starts =	-----M-----
Base1 =	ttttttttttttttcccccccccccccccaaaaaaaaaaaaaaaaaaggggggggggggggg
Base2 =	ttttcccaaaaggggttttcccaaaaggggttttcccaaaaggggttttcccaaaagggg
Base3 =	tcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcag
<b>16 - Chlorophycean Mitochondrial Code</b>	
AAs =	FLLSSSSYY*LCC*WLLLLPPPHHQRRRRIIMTTTTNNKSSRRVVVAAAAADDEEGGGG
Starts =	-----M-----
Base1 =	ttttttttttttttcccccccccccccccaaaaaaaaaaaaaaaaaaggggggggggggggg
Base2 =	ttttcccaaaaggggttttcccaaaaggggttttcccaaaaggggttttcccaaaagggg
Base3 =	tcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcag
<b>21 - Trematode Mitochondrial Code</b>	
AAs =	FLLSSSSYY*CCWLLLLPPPHHQRRRRIIMTTTTNNKSSSSVVVAAAAADDEEGGGG
Starts =	-----M-----M-----
Base1 =	ttttttttttttttcccccccccccccccaaaaaaaaaaaaaaaaaaggggggggggggggg
Base2 =	ttttcccaaaaggggttttcccaaaaggggttttcccaaaaggggttttcccaaaagggg
Base3 =	tcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcag

<b>22 - Scenedesmus obliquus Mitochondrial Code</b>	
AAs =	FLLSS*SY*Y*CC*WLLLLPPPHHQRRRRIIMTTTTNNKSSRRVVVAAAAADDEEGGG
Starts =	-----M-----
Base1 =	ttttttttttttttcccccccccccccaaaaaaaaaaaaaaaaaaggggggggggggggg
Base2 =	tttcccaaaaaggggtttcccaaaaaggggtttcccaaaaaggggtttcccaaaaaggg
Base3 =	tcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcag
<b>23 - Thraustochytrium Mitochondrial Code</b>	
AAs =	FF*LSSSSYY*CC*WLLLLPPPHHQRRRRIIMTTTTNNKSSRRVVVAAAAADDEEGGG
Starts =	-----M--M-----
Base1 =	ttttttttttttttcccccccccccccaaaaaaaaaaaaaaaaaaggggggggggggggg
Base2 =	tttcccaaaaaggggtttcccaaaaaggggtttcccaaaaaggggtttcccaaaaaggg
Base3 =	tcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcag
<b>24 - Pterobranchia Mitochondrial Code</b>	
AAs =	FLLSSSSYY*CCWLLLLPPPHHQRRRRIIMTTTTNNKSSKVVVAAAAADDEEGGG
Starts =	---M-----M-----M-----M-----
Base1 =	ttttttttttttttcccccccccccccaaaaaaaaaaaaaaaaaaggggggggggggggg
Base2 =	tttcccaaaaaggggtttcccaaaaaggggtttcccaaaaaggggtttcccaaaaaggg
Base3 =	tcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcag
<b>25 - Candidate Division SR1 and Gracilibacteria Code</b>	
AAs =	FLLSSSSYY*CCGWLLLLPPPHHQRRRRIIMTTTTNNKSSRRVVVAAAAADDEEGGG
Starts =	---M-----M-----M-----
Base1 =	ttttttttttttttcccccccccccccaaaaaaaaaaaaaaaaaaggggggggggggggg
Base2 =	tttcccaaaaaggggtttcccaaaaaggggtttcccaaaaaggggtttcccaaaaaggg
Base3 =	tcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcag
<b>26 - Pachysolen tannophilus Nuclear Code</b>	
AAs =	FLLSSSSYY*CC*WLLAPPPPHHQRRRRIIMTTTTNNKSSRRVVVAAAAADDEEGGG
Starts =	-----M-----M-----
Base1 =	ttttttttttttttcccccccccccccaaaaaaaaaaaaaaaaaaggggggggggggggg
Base2 =	tttcccaaaaaggggtttcccaaaaaggggtttcccaaaaaggggtttcccaaaaaggg
Base3 =	tcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcag
<b>27 - Karyorelict Nuclear</b>	
AAs =	FLLSSSSYYQCCWLLLLAPPPPHHQRRRRIIMTTTTNNKSSRRVVVAAAAADDEEGGG
Starts =	-----*-----M-----
Base1 =	ttttttttttttttcccccccccccccaaaaaaaaaaaaaaaaaaggggggggggggggg
Base2 =	tttcccaaaaaggggtttcccaaaaaggggtttcccaaaaaggggtttcccaaaaaggg
Base3 =	tcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcag
<b>28 - Condyllostoma Nuclear</b>	
AAs =	FLLSSSSYYQCCWLLLLAPPPPHHQRRRRIIMTTTTNNKSSRRVVVAAAAADDEEGGG
Starts =	-----**--*-----M-----
Base1 =	ttttttttttttttcccccccccccccaaaaaaaaaaaaaaaaaaggggggggggggggg
Base2 =	tttcccaaaaaggggtttcccaaaaaggggtttcccaaaaaggggtttcccaaaaaggg
Base3 =	tcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcag
<b>29 - Mesodinium Nuclear</b>	
AAs =	FLLSSSSYYYYCC*WLLAPPPPHHQRRRRIIMTTTTNNKSSRRVVVAAAAADDEEGGG
Starts =	-----M-----
Base1 =	ttttttttttttttcccccccccccccaaaaaaaaaaaaaaaaaaggggggggggggggg
Base2 =	tttcccaaaaaggggtttcccaaaaaggggtttcccaaaaaggggtttcccaaaaaggg
Base3 =	tcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcagtcag



## ANNEX II

### DOCUMENT TYPE DEFINITION (DTD) FOR SEQUENCE LISTING

Version 1.01.1

~~Adopted~~ **Approved** by the Committee on WIPO Standards (CWS)  
at its ~~reconvened fourth session on March 24, 2016~~ **fifth session on June 2, 2017**

```
<?xml version="1.0" encoding="UTF-8"?>
```

```
<!--Annex II of WIPO Standard ST.26, Document Type Definition (DTD) for Sequence Listing
```

```
This entity may be identified by the PUBLIC identifier:
```

```
*****
```

```
*
```

```
PUBLIC "-//WIPO//DTD SEQUENCE LISTING 1.01//EN" "ST26SequenceListing_V1_01.dtd"
```

```
*****
```

```
*
```

```
* PUBLIC DTD URL
```

```
* http://www.wipo.int/standards/DTD/ST26SequenceListing\_V1\_01.dtd
```

```
*****
```

~~WIPO Standard ST.26, version 1.0, Recommended Standard for the presentation of nucleotide and amino acid sequence listings using XML (eXtensible Markup Language), adopted by the Committee on WIPO Standards (CWS) at its reconvened fourth session on March 24, 2016~~  
**Revision of Annex II to WIPO Standard ST.26 was approved by the Committee on WIPO Standards (CWS) at its fifth session.**

```
*****
```

```
* CONTACTS
```

```
*****
```

```
xml.standards@wipo.int
```

```
*****
```

```
* NOTES
```

```
*****
```

```
The sequence data part is a subset of the complete INSDC DTD v.1.5 that only covers the requirements of WIPO Standard ST.26.
```

```
*****
```

```
* REVISION HISTORY
```

```
*****
```

**2017-06-02: Version 1.1 approved at the CWS/5**

**Changes:**

**Comments added to <INSDSeq length>, <INSDSeq division> and <INSDSeq sequence> to clarify the reason of the differences between the INSDC DTD v.1.5 and ST26 Sequence Listing DTD V1 1.**

```
*****
```

**2016-03-24: Version 1.0 adopted at the CWS/4Bis**

**2014-03-11: Final draft for adoption.**

```
*****
```



```
ST26SequenceListing
*****
* ROOT ELEMENT
*****
-->
<!ELEMENT ST26SequenceListing ((ApplicantFileReference | (
    ApplicationIdentification, ApplicantFileReference?)),
    EarliestPriorityApplicationIdentification?, (ApplicantName,
    ApplicantNameLatin?)?, (InventorName, InventorNameLatin?)?, InventionTitle+,
    SequenceTotalQuantity, SequenceData+)>

<!--The elements ApplicantName and InventorName are optional in this DTD to facilitate
the conversion between various encoding schemes-->
<!ATTLIST ST26SequenceListing
    dtdVersion CDATA #REQUIRED
    fileName CDATA #IMPLIED
    softwareName CDATA #IMPLIED
    softwareVersion CDATA #IMPLIED
    productionDate CDATA #IMPLIED>

<!--ApplicantFileReference
Applicant's or agent's file reference, mandatory if application identification not
provided.
-->
<!ELEMENT ApplicantFileReference (#PCDATA)>

<!--ApplicationIdentification
Application identification for which the sequence listing is submitted, when available.
-->
<!ELEMENT ApplicationIdentification (IPOfficeCode, ApplicationNumberText,
    FilingDate?)>

<!--EarliestPriorityApplicationIdentification
Application identification of the earliest claimed priority, which contains IPOfficeCode,
ApplicationNumberText and FilingDate elements.
For details, please see ApplicationIdentification.
-->
<!ELEMENT EarliestPriorityApplicationIdentification (IPOfficeCode, ApplicationNumberText,
    FilingDate?)>

<!--ApplicantName
The name of the first mentioned applicant in characters set forth in paragraph 40 (a) of
the ST.26 main body document.
-->
<!--languageCode: Appropriate language code from ISO 639-1 - Codes for the representation
of names of languages - Part 1: Alpha-2
-->
<!ELEMENT ApplicantName (#PCDATA)>
<!ATTLIST ApplicantName
    languageCode CDATA #REQUIRED >

<!--ApplicantNameLatin
Where ApplicantName is typed in characters other than those as set forth in paragraph 40
(b), a translation or transliteration of the name of the first mentioned applicant must
also be typed in characters as set forth in paragraph 40 (b) of the ST.26 main body
document.
-->
<!ELEMENT ApplicantNameLatin (#PCDATA)>

<!--InventorName
Name of the first mentioned inventor typed in the characters as set forth in paragraph 40
(a).-->
<!--languageCode: Appropriate language code from ISO 639-1 - Codes for the representation
of names of languages - Part 1: Alpha-2
-->
<!ELEMENT InventorName (#PCDATA)>
```

```
<!ATTLIST InventorName
    languageCode CDATA #REQUIRED >

<!--InventorNameLatin
Where InventorName is typed in characters other than those as set forth in paragraph 40
(b), a translation or transliteration of the first mentioned inventor may also be typed in
characters as set forth in paragraph 40 (b).
-->
<!ELEMENT InventorNameLatin (#PCDATA)>

<!--InventionTitle
Title of the invention typed in the characters as set forth in paragraph 40 (a) in the
language of filing. A translation of the title of the invention into additional languages
may be typed in the characters as set forth in paragraph 40 (a) using additional
InventionTitle elements. Preferably two to seven words.
-->
<!--languageCode: Appropriate language code from ISO 639-1 - Codes
for the representation of names of languages - Part 1: Alpha-2
-->
<!ELEMENT InventionTitle (#PCDATA)>
<!ATTLIST InventionTitle
    languageCode CDATA #REQUIRED >

<!--SequenceTotalQuantity
Indicates the total number of sequences in the document.
Its purpose is to be quickly accessible for automatic processing.
-->
<!ELEMENT SequenceTotalQuantity (#PCDATA)>

<!--SequenceData
Data for individual Sequence.
For intentionally skipped sequences see the ST.26 main body document.
-->
<!ELEMENT SequenceData (INSDSeq)>
<!ATTLIST SequenceData
    sequenceIDNumber CDATA #REQUIRED >

<!--IPOfficeCode
ST.3 code. For example, if the application identification is PCT/IB2013/099999, then
IPOfficeCode value will be "IB" for the International Bureau of WIPO.
-->
<!ELEMENT IPOfficeCode (#PCDATA)>

<!--ApplicationNumberText
The application identification as provided by the office of filing (e.g. PCT/IB2013/099999)
-->
<!ELEMENT ApplicationNumberText (#PCDATA)>

<!--FilingDate
The date of filing of the patent application for which the sequence listing is submitted in
ST.2 format "CCYY-MM-DD", using a 4-digit calendar year, a 2-digit calendar month and a 2-
digit day within the calendar month, e.g., 2015-01-31. For details, please see paragraphs 7
(a) and 11 of WIPO Standard ST.2.
-->
<!ELEMENT FilingDate (#PCDATA)>

<!--*****
* INSD Part
*****

The purpose of the INSD part of this DTD is to define a customized DTD for sequence
listings to support the work of IP offices while facilitating the data exchange with the
public repositories.

The INSD part is subset of the INSD DTD v1.45 and as such can only be used to generate an
XML instance as it will not support the complete INSD structure.
```

This part is based on:

The International Nucleotide Sequence Database (INSD) collaboration.

INSDSeq provides the elements of a sequence as presented in the GenBank/EMBL/DDBJ-style flatfile formats. Not all elements are used here.

-->

<!--INSDSeq  
Sequence data. Changed INSD V1.5 DTD elements, INSDSeq division and INSDSeq sequence from optional to mandatory per business requirements.

-->

<!ELEMENT INSDSeq (INSDSeq\_length,INSDSeq\_moltype,INSDSeq\_division,  
INSDSeq\_other-seqids?,INSDSeq\_feature-table?,INSDSeq\_sequence) >

<!--INSDSeq\_length

The length of the sequence. INSDSeq\_length allows only integer.

-->

<!ELEMENT INSDSeq\_length (#PCDATA)>

<!--INSDSeq\_moltype

Admissible values: DNA, RNA, AA

-->

<!ELEMENT INSDSeq\_moltype (#PCDATA)>

<!--INSDSeq\_division

Indication that a sequence is related to a patent application. Must be populated with the value PAT.

-->

<!ELEMENT INSDSeq\_division (#PCDATA)>

<!--INSDSeq\_other-seqids

In the context of data exchange with database providers, the Patent Offices should populate for each sequence the element INSDSeq\_other-seqids with one INSDSeqid containing a reference to the corresponding published patent and the sequence identification.

-->

<!ELEMENT INSDSeq\_other-seqids (INSDSeqid?)>

<!--INSDSeq\_feature-table

Information on the location and roles of various regions within a particular sequence. Whenever the element INSDSeq\_feature-table is used, it must contain at least one feature.

-->

<!ELEMENT INSDSeq\_feature-table (INSDFeature+)>

<!--INSDSeq\_sequence

The residues of the sequence. The sequence must not contain numbers, punctuation or whitespace characters.

-->

<!ELEMENT INSDSeq\_sequence (#PCDATA)>

<!--INSDSeqid

Intended for the use of Patent Offices in data exchange only.

Format:

pat|{office code}|{publication number}|{document kind code}|{Sequence identification number}

where office code is the code of the IP office publishing the patent document, publication number is the publication number of the application or patent, document kind code is the letter codes to distinguish patent documents as defined in ST.16 and Sequence identification number is the number of the sequence in that application or patent

Example:

pat|WO|2013999999|A1|123456

This represents the 123456th sequence from WO patent publication No. 2013999999 (A1)  
-->

<!ELEMENT INSDSeqid (#PCDATA)>

<!--INSDFeature

Description of one feature.

-->

<!ELEMENT INSDFeature (INSDFeature\_key, INSDFeature\_location, INSDFeature\_qual?)>

<!--INSDFeature\_key

A word or abbreviation indicating a feature.

-->

<!ELEMENT INSDFeature\_key (#PCDATA)>

<!--INSDFeature\_location

Region of the presented sequence which corresponds to the feature.

-->

<!ELEMENT INSDFeature\_location (#PCDATA)>

<!--INSDFeature\_qual

List of qualifiers containing auxiliary information about a feature.

-->

<!ELEMENT INSDFeature\_qual (INSDQualifier\*)>

<!--INSDQualifier

Additional information about a feature.

For coding sequences and variants see the ST.26 main body document.

-->

<!ELEMENT INSDQualifier (INSDQualifier\_name, INSDQualifier\_value?)>

<!--INSDQualifier\_name

Name of the qualifier.

-->

<!ELEMENT INSDQualifier\_name (#PCDATA)>

<!--INSDQualifier\_value

Value of the qualifier.

-->

<!ELEMENT INSDQualifier\_value (#PCDATA)>

[Annex III follows]

## ANNEX III

### SEQUENCE LISTING SPECIMEN (XML file)

Version 1.01.1

~~Adopted~~ **Approved** by the Committee on WIPO Standards (CWS)  
at its ~~reconvened fourth session on March 24, 2016~~ **fifth session on June 2, 2017**

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE ST26SequenceListing PUBLIC "-//WIPO//DTD SEQUENCE LISTING 1.0//EN"
ST26SequenceListing_V1_0.dtd">
<ST26SequenceListing dtdVersion="V1_01" fileName="AnnexIII_Sequence_Listing_Specimen.xml"
softwareName="SEQL-software-name" softwareVersion="1.01" productionDate="2013-12-172017-06-02">
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    <IPOfficeCode>IB</IPOfficeCode>
    <ApplicationNumberText>PCT/IB2015/099999</ApplicationNumberText>
    <FilingDate>2015-01-31</FilingDate>
  </ApplicationIdentification>
  <ApplicantFileReference>AB123</ApplicantFileReference>
  <EarliestPriorityApplicationIdentification>
    <IPOfficeCode>IB</IPOfficeCode>
    <ApplicationNumberText>PCT/IB2014/111111</ApplicationNumberText>
    <FilingDate>2014-01-30</FilingDate>
  </EarliestPriorityApplicationIdentification>
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  <ApplicantNameLatin>Shutsugan Pharmaceuticals Kabushiki Kaisha</ApplicantNameLatin>
  <InventorName languageCode="ja">特許 太郎</InventorName>
  <InventorNameLatin>Taro Tokkyo</InventorNameLatin>
  <InventionTitle languageCode="ja">efgタンパク質をコードするマウスabcd-1遺伝子</InventionTitle>
  <InventionTitle languageCode="en">Mus musculus abcd-1 gene for efg protein</InventionTitle>
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</ST26SequenceListing>
```

```

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</INSDFeature>
</INSDSeq_feature-table>
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                    </INSDQualifier>
                    <INSDQualifier>
                        <INSDQualifier_name>MOL_TYPE</INSDQualifier_name>
                        <INSDQualifier_value>protein</INSDQualifier_value>
                    </INSDQualifier>
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            </INSDFeature>
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[Annex IV follows]

**ANNEX IV**

CHARACTER SUBSET FROM THE UNICODE BASIC LATIN CODE TABLE

Version **1.01.1**

**Adopted/Approved** by the Committee on WIPO Standards (CWS)  
at its **reconvened fourth session on March 24, 2016** **fifth session on June 2, 2017**

The ampersand character (0026) is only permitted as part of a predefined entity or as part of a numeric character reference (&#nnnn;). The quotation mark (0022), the apostrophe (0027), the less-than sign (003C), and the greater-than sign (003E) are not permitted and must be represented by their predefined entities.

Unicode code point	Character	Name
0020		SPACE
0021	!	EXCLAMATION MARK
0023	#	NUMBER SIGN
0024	\$	DOLLAR SIGN
0025	%	PERCENT SIGN
0026	&	AMPERSAND
0028	(	LEFT PARENTHESIS
0029	)	RIGHT PARENTHESIS
002A	*	ASTERISK
002B	+	PLUS SIGN
002C	,	COMMA
002D	-	HYPHEN-MINUS
002E	.	FULL STOP
002F	/	SOLIDUS
0030	0	DIGIT ZERO
0031	1	DIGIT ONE
0032	2	DIGIT TWO
0033	3	DIGIT THREE
0034	4	DIGIT FOUR
0035	5	DIGIT FIVE
0036	6	DIGIT SIX
0037	7	DIGIT SEVEN
0038	8	DIGIT EIGHT
0039	9	DIGIT NINE
003A	:	COLON
003B	;	SEMICOLON
003D	=	EQUALS SIGN
003F	?	QUESTION MARK
0040	@	COMMERCIAL AT
0041	A	LATIN CAPITAL LETTER A
0042	B	LATIN CAPITAL LETTER B
0043	C	LATIN CAPITAL LETTER C
0044	D	LATIN CAPITAL LETTER D
0045	E	LATIN CAPITAL LETTER E
0046	F	LATIN CAPITAL LETTER F
0047	G	LATIN CAPITAL LETTER G
0048	H	LATIN CAPITAL LETTER H
0049	I	LATIN CAPITAL LETTER I
004A	J	LATIN CAPITAL LETTER J
004B	K	LATIN CAPITAL LETTER K
004C	L	LATIN CAPITAL LETTER L
004D	M	LATIN CAPITAL LETTER M
004E	N	LATIN CAPITAL LETTER N
004F	O	LATIN CAPITAL LETTER O

Unicode code point	Character	Name
0050	P	LATIN CAPITAL LETTER P
0051	Q	LATIN CAPITAL LETTER Q
0052	R	LATIN CAPITAL LETTER R
0053	S	LATIN CAPITAL LETTER S
0054	T	LATIN CAPITAL LETTER T
0055	U	LATIN CAPITAL LETTER U
0056	V	LATIN CAPITAL LETTER V
0057	W	LATIN CAPITAL LETTER W
0058	X	LATIN CAPITAL LETTER X
0059	Y	LATIN CAPITAL LETTER Y
005A	Z	LATIN CAPITAL LETTER Z
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005C	\	REVERSE SOLIDUS
005D	]	RIGHT SQUARE BRACKET
005E	^	CIRCUMFLEX ACCENT
005F	_	LOW LINE
0060	`	GRAVE ACCENT
0061	a	LATIN SMALL LETTER A
0062	b	LATIN SMALL LETTER B
0063	c	LATIN SMALL LETTER C
0064	d	LATIN SMALL LETTER D
0065	e	LATIN SMALL LETTER E
0066	f	LATIN SMALL LETTER F
0067	g	LATIN SMALL LETTER G
0068	h	LATIN SMALL LETTER H
0069	i	LATIN SMALL LETTER I
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006B	k	LATIN SMALL LETTER K
006C	l	LATIN SMALL LETTER L
006D	m	LATIN SMALL LETTER M
006E	n	LATIN SMALL LETTER N
006F	o	LATIN SMALL LETTER O
0070	p	LATIN SMALL LETTER P
0071	q	LATIN SMALL LETTER Q
0072	r	LATIN SMALL LETTER R
0073	s	LATIN SMALL LETTER S
0074	t	LATIN SMALL LETTER T
0075	u	LATIN SMALL LETTER U
0076	v	LATIN SMALL LETTER V
0077	w	LATIN SMALL LETTER W
0078	x	LATIN SMALL LETTER X
0079	y	LATIN SMALL LETTER Y
007A	z	LATIN SMALL LETTER Z
007B	{	LEFT CURLY BRACKET
007C		VERTICAL LINE
007D	}	RIGHT CURLY BRACKET
007E	~	TILDE

[Annex V follows]

## ANNEX V

### ADDITIONAL DATA EXCHANGE REQUIREMENTS (FOR PATENT OFFICES ONLY)

Version ~~1.0~~1.1

~~Adopted~~ **Approved** by the Committee on WIPO Standards (CWS)  
at its ~~reconvened fourth session on March 24, 2016~~ **fifth session on June 2, 2017**

In the context of data exchange with database providers (INSD members), the Patent Offices should populate for each sequence the element `INSDSeq_other-seqids` with one `INSDSeqid` containing a reference to the corresponding published patent and the sequence identification number in the following format:

`pat|{office code}|{publication number}|{document kind code}|{sequence identification number}`

where office code is the code of the IP office publishing the patent document as set forth in ST.3; document kind code is the code for the identification of different kinds of patent documents as set forth in ST.16; publication number is the publication number of the application or patent; and Sequence identification number is the number of the sequence in that application or patent.

Example:

`pat|WO|2013999999|A1|123456`

Which would be translated into a valid XML instance as:

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Where "123456" is the 123456th sequence from the WO publication no. 2013999999 (A1).

[Annex VI follows]

## **ANNEX VI**

### **GUIDANCE DOCUMENT**

#### **Version 1.1**

***Adopted by the Committee on WIPO Standards (CWS)  
at its fifth session on June 2, 2017***

### **INTRODUCTION**

This Standard indicates as one of its purposes, to “allow applicants to draw up a single sequence listing in a patent application acceptable for the purposes of both international and national or regional procedures.” The purpose of this Guidance Document is to ensure that all applicants and Intellectual Property Offices (IPOs) understand and agree on the requirements for inclusion and representation of sequence disclosures, such that this purpose is realized.

This guidance document consists of this introduction, an example index, examples of sequence disclosures, and an appendix containing a sequence listing in XML with sequences from the examples. This introduction explains certain concepts and terminology used in the remainder of this document. The examples illustrate the requirements of specific paragraphs of the standard and each example has been designated with the most relevant paragraph number. Some examples further illustrate other paragraphs and appropriate cross-references are indicated at the end of each example. The index provides page numbers for the examples and any indicated cross-references. Each sequence in an example that either must or may be included in a sequence listing has been assigned a sequence identification number (SEQ ID NO) and appears in XML format in the [Appendix](#) to this document.

For each example, any explanatory information presented with a sequence is intended to be considered as the entirety of the disclosure concerning that sequence. The given answers take into account only the information explicitly presented in the example.

The guidance provided in this document is directed to the preparation of a sequence listing for provision **on the filing date** of a patent application. Preparation of a sequence listing for provision **subsequent to the filing date** of a patent application must take into account whether the information provided could be considered by an IPO to add subject matter to the original disclosure. Therefore, it is possible that the guidance provided in this document may not be applicable to a sequence listing provided subsequent to the filing date of a patent application.

#### ***Preparation of a sequence listing***

Sequence listing preparation for a patent application requires consideration of the following questions:

1. Does ST.26 paragraph 7 require inclusion of a particular disclosed sequence?

2. If inclusion of a particular disclosed sequence is not required, is inclusion of that sequence permitted by ST.26?

3. If inclusion of a particular disclosed sequence is required or permitted by ST.26, how should that sequence be represented in the sequence listing?

Regarding the first question, ST.26 paragraph 7 (with certain restrictions) requires inclusion of a sequence disclosed in a patent application by **enumeration of its residues**, where the sequence contains ten or more **specifically defined** nucleotides or four or more **specifically defined** amino acids.

Regarding the second question, ST.26 paragraph 8 prohibits inclusion of any sequences having fewer than ten **specifically defined** nucleotides or four **specifically defined** amino acids.

A clear understanding of “enumeration of its residues” and “specifically defined” is necessary to answer these two questions.

Regarding the third question, this document provides sequence disclosures which exemplify a variety of scenarios together with a complete discussion of the preferred means of representation of each sequence, or where a sequence contains multiple variations - the “**most encompassing sequence**”, in accordance with this Standard. Since it is impossible to address every possible unusual sequence scenario, this guidance document attempts to set forth the reasoning behind the approach to each example and the manner in which ST.26 provisions are applied, such that the same reasoning can be applied to other sequence scenarios not exemplified.



### Enumeration of its residues

ST.26 paragraph 3(c) defines “**enumeration of its residues**” as disclosure of a sequence in a patent application by listing, in order, each residue of the sequence, wherein (i) the residue is represented by a name, abbreviation, symbol, or structure; or (ii) multiple residues are represented by a shorthand formula. A sequence should be disclosed in a patent application by “enumeration of its residues” using **conventional symbols**, which are the nucleotide symbols set forth in Section 1, Table 1 of ST.26 Annex 1 (i.e. the lower case symbols or their upper case equivalents<sup>1</sup>) and the amino acid symbols set forth in Section 3, Table 3 of ST.26 Annex 1 (i.e. the upper case symbols or their lower case equivalents<sup>1</sup>). Symbols other than those set forth in these tables are “**nonconventional**”.

A sequence is sometimes disclosed in a non-preferred manner by “enumeration of its residues” using **conventional abbreviations** or **full names** (as opposed to conventional symbols) as set forth in Tables A and B below, conventional symbols or abbreviations used in a nonconventional manner, nonconventional symbols or abbreviations, chemical formulas/structures, or shorthand formulas. Care should be taken to disclose sequences in the preferred manner; however, where sequences are disclosed in a non-preferred manner, consultation of the explanation of the sequence in the disclosure may be necessary to determine the meaning of the non-preferred symbol or abbreviation.

Where a conventional symbol or abbreviation is used, the explanation of the sequence in the disclosure must still be consulted to confirm that the symbol is used in a conventional manner. Otherwise, if the symbol is used in a nonconventional manner, the explanation is necessary to determine whether ST.26 paragraph 7 requires inclusion in the sequence listing or whether paragraph 8 prohibits inclusion.

Where a nonconventional symbol or abbreviation is disclosed as equivalent to a conventional symbol or abbreviation (e.g., “Z<sub>1</sub>” means “A”), or to a specific sequence of conventional symbols (e.g., “Z<sub>1</sub>” means “agqa”), then the sequence is interpreted as though it were disclosed using the equivalent conventional symbol(s) or abbreviation(s), to determine whether ST.26 paragraph 7 requires inclusion in the sequence listing or whether paragraph 8 prohibits inclusion. Where a nonconventional nucleotide symbol is used as an ambiguity symbol (e.g., X<sub>1</sub> = inosine or pseudouridine), but is not equivalent to one of the conventional ambiguity symbols in Section 1, Table 1 (i.e., “m”, “r”, “w”, “s”, “y”, “k”, “v”, “h”, “d”, “b”, or “n”), then the residue is interpreted as an “n” residue to determine whether ST.26 Paragraph 7 requires inclusion of the sequence in the sequence listing or whether ST.26 Paragraph 8 prohibits inclusion. Similarly, where a nonconventional amino acid symbol is used as an ambiguity symbol (e.g., “Z<sub>1</sub>” means “A”, “G”, “S” or “T”), but is not equivalent to one of the conventional ambiguity symbols in Section 3, Table 3 (i.e., B, Z, J, or X), then the residue is interpreted as an “X” residue to determine whether ST.26 paragraph 7 requires inclusion of the sequence in the sequence listing or whether ST.26 paragraph 8 prohibits inclusion.

### Specifically defined

ST.26 paragraph 3(k) defines “**specifically defined**” as any nucleotide other than those represented by the symbol “n” and any amino acid other than those represented by the symbol “X”, listed in Annex I, wherein “n” and “X” are used in a conventional manner as described in Section 1, Table 1 (i.e., “a or c or g or t/u; ‘unknown’ or ‘other’”) and Section 3, Table 3 (i.e., A or R or N or D or C or Q or E or G or H or I or L or K or M or F or P or O or S or U or T or W or Y or V, ‘unknown’ or ‘other’”), respectively. The discussion above concerning conventional symbols or nonconventional symbols or abbreviations and their use in a conventional or nonconventional manner will be taken into account to determine whether a nucleotide or an amino acid is “specifically defined”.

### Most encompassing sequence

Where a sequence that meets the requirements of paragraph 7 is disclosed by enumeration of its residues only once in an application, but is described differently in multiple embodiments, e.g. in one embodiment “X” in one or more locations could be any amino acid, but in further embodiments, “X” could be only a limited number of amino acids, ST.26 requires inclusion in a sequence listing of only the single sequence that has been enumerated by its residues. As per paragraphs 15 and 27, where such a sequence contains multiple “n” or “X” ambiguity symbols, “n” or “X” is construed to represent any nucleotide or amino acid, respectively, in the absence of further annotation. Consequently, the single sequence required to be included is the most encompassing sequence disclosed. The **most encompassing sequence** is the single sequence having variant residues which are represented by the most restrictive ambiguity symbols that include the most disclosed embodiments. However, inclusion of additional specific sequences is *strongly* encouraged where practical, e.g. which represent additional embodiments that are a key part of the invention. Inclusion of the additional sequences allows for a more thorough search and provides public notice of the subject matter for which a patent is sought.

<sup>1</sup> NOTE: While an application disclosure may represent nucleotides or amino acids with either lower case or upper case symbols, for a sequence included in a sequence listing, only lower case letters must be used for representation of a nucleotide sequence (see ST.26 paragraph 13) and only upper case letters must be used for representation of an amino acid sequence (see ST.26 paragraph 26).

### Usage of Ambiguity Symbol

#### Proper Usage of the Ambiguity Symbol "n" in a Sequence Listing

##### The symbol "n"

- a. must not be used to represent anything other than a single nucleotide;
- b. will be construed as any one of "a", "c", "g", or "t/u" except where it is used with a further description;
- c. should be used to represent any of the following nucleotides together with a further description:
  - i. modified nucleotide, e.g., natural, synthetic, or non-naturally occurring, that cannot otherwise be represented by any other symbol in Annex I (see Section 1, Table 1);
  - ii. "unknown" nucleotide, i.e., not determined, not disclosed, or unsure;
  - iii. an abasic site; or
- d. may be used to represent a sequence variant, i.e., alternatives, deletions, insertions, or substitutions, where "n" is the most restrictive ambiguity symbol.

#### Proper Usage of the Ambiguity Symbol "X" in a Sequence Listing

##### The symbol "X"

- a. must not be used to represent anything other than a single amino acid;
- b. will be construed as any one of "A", "R", "N", "D", "C", "Q", "E", "G", "H", "I", "L", "K", "M", "F", "P", "O", "S", "U", "T", "W", "Y", or "V", except where it is used with a further description;
- c. should be used to represent any of the following amino acids together with a further description:
  - i. modified amino acid, e.g., natural, synthetic, or non-naturally occurring, that cannot otherwise be represented by any other symbol in Annex I (see Section 3, Table 3);
  - ii. "unknown" amino acid, i.e., not determined, not disclosed, or unsure; or
- d. may be used to represent a sequence variant, i.e., alternatives, deletions, insertions, or substitutions, where "X" is the most restrictive ambiguity symbol.

**Table A – Conventional Nucleotide Symbols, Abbreviations, and Names**

<b>Symbol</b>	<b>Abbreviation</b>	<b>Nucleotide Name</b>
<b>a</b>		<b>Adenine</b>
<b>c</b>		<b>Cytosine</b>
<b>g</b>		<b>Guanine</b>
<b>t</b>		<b>Thymine in DNA</b> <b>Uracil in RNA (t/u)</b>
<b>m</b>	<b>a or c</b>	
<b>r</b>	<b>a or g</b>	
<b>w</b>	<b>a or t/u</b>	
<b>s</b>	<b>c or g</b>	
<b>y</b>	<b>c or t/u</b>	
<b>k</b>	<b>g or t/u</b>	
<b>v</b>	<b>a or c or g; not t/u</b>	
<b>h</b>	<b>a or c or t/u; not g</b>	
<b>d</b>	<b>a or g or t/u; not c</b>	
<b>b</b>	<b>c or g or t/u; not a</b>	
<b>n</b>	<b>a or c or g or t/u;</b> <b>“unknown” or “other”</b>	

**Table B – Conventional Amino Acid Symbols, Abbreviations, and Names**

<b>Symbol</b>	<b>3-Letter Abbreviation</b>	<b>Amino Acid Name</b>
<u>A</u>	<u>Ala</u>	<u>Alanine</u>
<u>R</u>	<u>Arg</u>	<u>Arginine</u>
<u>N</u>	<u>Asn</u>	<u>Asparagine</u>
<u>D</u>	<u>Asp</u>	<u>Aspartic Acid (Aspartate)</u>
<u>C</u>	<u>Cys</u>	<u>Cysteine</u>
<u>E</u>	<u>Glu</u>	<u>Glutamic Acid (Glutamate)</u>
<u>Q</u>	<u>Gln</u>	<u>Glutamine</u>
<u>G</u>	<u>Gly</u>	<u>Glycine</u>
<u>H</u>	<u>His</u>	<u>Histidine</u>
<u>I</u>	<u>Ile</u>	<u>Isoleucine</u>
<u>L</u>	<u>Leu</u>	<u>Leucine</u>
<u>K</u>	<u>Lys</u>	<u>Lysine</u>
<u>M</u>	<u>Met</u>	<u>Methionine</u>
<u>F</u>	<u>Phe</u>	<u>Phenylalanine</u>
<u>P</u>	<u>Pro</u>	<u>Proline</u>
<u>O</u>	<u>Pyl</u>	<u>Pyrrolysine</u>
<u>S</u>	<u>Ser</u>	<u>Serine</u>
<u>U</u>	<u>Sec</u>	<u>Selenocysteine</u>
<u>T</u>	<u>Thr</u>	<u>Threonine</u>
<u>W</u>	<u>Trp</u>	<u>Tryptophan</u>
<u>Y</u>	<u>Tyr</u>	<u>Tyrosine</u>
<u>V</u>	<u>Val</u>	<u>Valine</u>
<u>B</u>	<u>Asx</u>	<u>Aspartic acid or Asparagine</u>
<u>Z</u>	<u>Glx</u>	<u>Glutamine or Glutamic Acid</u>
<u>J</u>	<u>Xle</u>	<u>Leucine or Isoleucine</u>
<u>X</u>	<u>Xaa</u>	<u>A or R or N or D or C or Q or E or G or H or I or L or K or M or F or P or O or S or U or T or W or Y or V, "unknown" or "other"</u>

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## EXAMPLES

### *Paragraph 3(a) Definition of "amino acid"*

#### **Example 3(a)-1: D amino acids**

A patent application describes the following sequence:

Cyclo (D-Ala-D-Glu-Lys-Nle-Gly-D-Met-D-Nle)

#### **Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

Paragraph 3(a) of the Standard defines "amino acid" as including "D-amino acids" and amino acids containing modified or synthetic side chains. Based on this definition, the enumerated peptide contains five amino acids that are specifically defined (D-Ala, D-Glu, Lys, Gly, and D-Met). Therefore, the sequence must be included in a sequence listing as required by ST.26 paragraph 7(b).

#### **Question 3: How should the sequence(s) be represented in the sequence listing?**

Paragraph 29 requires that D-amino acids should be represented in the sequence as the corresponding unmodified L-amino acid. Further, any modified amino acid that cannot be represented by any other symbol in Annex I, Section 3, Table 3, must be represented by the symbol "X".

In this example, the sequence contains three D-amino acids that can be represented by an unmodified L-amino acid in Annex I, Section 3, Table 3, one L-amino acid (Nle), and one D-amino acid (D-Nle) that must be represented by the symbol "X".

Paragraph 25 indicates that when amino acid sequences are circular in configuration, applicant must choose the amino acid in residue position number 1. Accordingly, the sequence may be represented as:

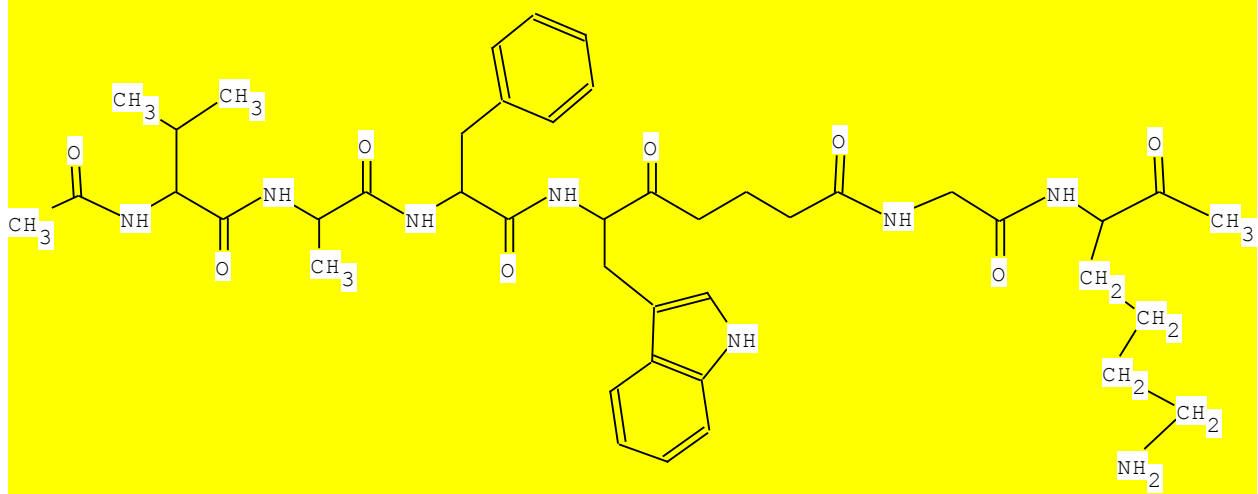
AEKXGMX (SEQ ID NO: 1)

or otherwise, with any other amino acid in the sequence in residue position number 1. A feature key "SITE" and a qualifier "NOTE" must be provided for each D-amino acid with the complete, unabbreviated name of the D-amino acid as the qualifier value, e.g., D-Alanine and D-Norleucine. Further, a feature key "SITE" and a qualifier "NOTE" must be provided with the abbreviation for L-norleucine as the qualifier value, i.e. "Nle", as set forth in Annex I, Section 4, Table 4. Finally, a feature key "REGION" and a qualifier "NOTE" should be provided to indicate that the peptide is circular.

**Relevant ST.26 paragraphs:** Paragraphs 3(a), 7(b), 25, 26, 29, 30, and 31

**Paragraph 3(c) – Definition of “enumeration of its residues”**

**Example 3(c)-1: Enumeration of amino acids by chemical structure**



**Question 1: Does ST.26 require inclusion of the sequence(s)?**

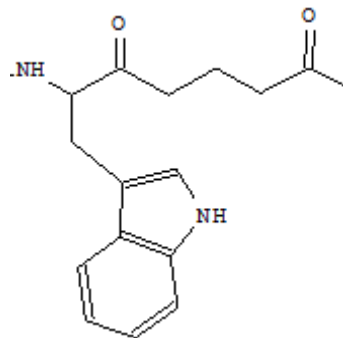
**YES**

The enumerated peptide, illustrated as a structure, contains at least four specifically defined amino acids. Therefore, the sequence must be included in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The sequence may be represented as:

**VAFXGK (SEQ ID NO: 2)**



wherein “X” represents an “other” modified amino acid: , which requires a feature key “SITE” together with the qualifier “NOTE”. The qualifier “NOTE” provides the complete, unabbreviated name of the modified tryptophan in position 4 of the enumerated peptide, e.g., “6-amino-7-(1H-indol-3-yl)-5-oxoheptanoic acid”. Further, additional feature keys “SITE” and qualifier “NOTE” are required to indicate the acetylation of the N-terminus and the methylation of the C-terminus.

Alternatively, the sequence may be represented as:

**VAFW (SEQ ID NO: 3)**

A feature key “SITE” and qualifier “NOTE” are required to indicate modification of tryptophan in position 4 of the enumerated peptide with the value: “C-terminus linked via a glutaraldehyde bridge to dipeptide GK”. Further, an additional feature key “SITE” at location 1 and qualifier “NOTE” is required to indicate the acetylation of the N-terminus.

**Relevant ST.26 paragraph(s): Paragraphs 3(c), 7(b), 29, 30, and 31**

**Example 3(c)-2: Shorthand formula for an amino acid sequence**

$(G_z)_n$

Where G= Glycine, z = any amino acid and variable n can be any whole integer.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**Yes**

The disclosure indicates that “n” can be “any whole integer”; therefore, the most encompassing embodiment of “n” is indeterminate. Since “n” is indeterminate, the peptide of the formula cannot be expanded to a definite length, and therefore, the unexpanded formula must be considered.

The enumerated peptide in the unexpanded formula (“n” = 1) provides four specifically defined amino acids, each of which is Gly, and the symbol “z”. Conventionally “Z” is the symbol for “glutamine or glutamic acid”; however, the example defines “z” as “any amino acid”. Under ST.26, an amino acid that is not specifically defined is represented by “X”. Based on this analysis, the enumerated peptide, i.e. GGGGX, contains four glycine residues that are enumerated and specifically defined. Thus, ST.26 paragraph 7(b) requires inclusion of the sequence in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The sequence uses a nonconventional symbol “z”, the definition of which must be determined from the disclosure (see Introduction to this document). Since “z” is defined as any amino acid, the conventional symbol used to represent this amino acid is “X.” Therefore, the sequence must be represented as a single sequence:

GGGGX (SEQ ID NO: 4)

preferably annotated with the feature key REGION, feature location “>5” (corresponds to >5), with a NOTE qualifier with the value “The entire sequence of amino acids 1-5 can be repeated one or more times.”

**CAUTION:** The preferred representation of the sequence indicated above is directed to the provision of a sequence listing on the filing date of a patent application. The same representation may not be applicable to a sequence listing provided subsequent to the filing date of a patent application, since consideration must be given to whether the information provided could be considered by an IPO to add subject matter to the original disclosure.

**Relevant ST.26 paragraph(s):** Paragraph 3(c) and 7(b)

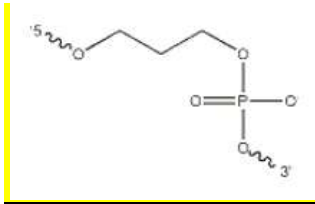
**Paragraph 3(g) Definition of "nucleotide"**

**Example 3(g)-1: Nucleotide sequence interrupted by a C3 spacer**

A patent application describes the following sequence:

atgcatgcatgcncgcatgcatgc

where n = a C3 spacer with the following structure:



**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The enumerated sequence contains two segments of specifically defined nucleotides separated by a C3 spacer.

The C3 spacer is not a nucleotide according to paragraph 3(g); the conventional symbol "n" is being used in a nonconventional manner (see Introduction to this document). Consequently, each segment is a separate nucleotide sequence. Since each segment contains more than 10 specifically defined nucleotides, both must be included in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

Each segment must be included in a sequence listing as a separate sequence, each with their own sequence identification number:

atgcatgcatgc (SEQ ID NO: 5)

cggcatgcatgc (SEQ ID NO: 6)

The cytosine in each segment that is attached to the C3 spacer should be further described in a feature table using the feature key "misc feature" and the qualifier "note". The "note" qualifier value, which is "free text", should indicate the presence of the spacer, which is joined to another nucleic acid.

**Relevant ST.26 paragraphs:** Paragraphs 3(g), 7(a), and 15

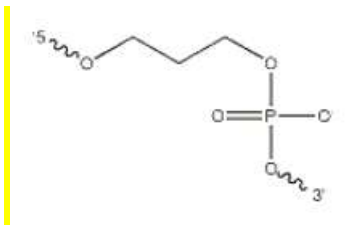


**Example 3(g)-2: Nucleotide sequence with residue alternatives, including a C3 spacer**

A patent application describes the following sequence:

atgcatgcatgcncggcatgcatgc

where n = c, a, g, or a C3 spacer with the following structure:



**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

There are 24 specifically defined residues in the enumerated sequence interrupted by the variable "n." The explanation of the sequence in the disclosure must be consulted to determine if the "n" is used in a conventional or nonconventional manner (see Introduction to this document).

The disclosure indicates that n = c, a, g, or a C3 spacer. The "n" is a conventional symbol used in a nonconventional manner, since it is described as including a C3 spacer, which does not meet the definition of a nucleotide. The symbol "n" is also described as including "c", "a", or "g"; therefore, ST.26 requires inclusion of the 25 nucleotide sequence in a sequence listing. Since two segments separated by the C3 spacer are distinct sequences from the 25 nucleotide sequence, the two 12 nucleotide sequences may also be included.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The example indicates that "n = c, a, g, or a C3 spacer". As discussed above, a C3 spacer is not a nucleotide. According to paragraph 15, the symbol "n" must not be used to represent anything other than a nucleotide; therefore, the symbol "n" cannot represent a C3 spacer in a sequence listing.

Paragraph 15 also states that where an ambiguity symbol is appropriate, the most restrictive symbol should be used. The symbol "v" represents "a or c or g" according to Annex I, Section 1, Table 1, which is more restrictive than "n".

Where variable "n" in the example is c, a, or g, the single sequence enumerated by its residues that includes the most disclosed embodiments, and is therefore, the most encompassing sequence (see Introduction to this document) that must be included in a sequence listing is:

atgcatgcatgcvcggcatgcatgc (SEQ ID NO: 7)

Inclusion of any additional sequences essential to the disclosure or claims of the invention is strongly encouraged, as discussed in the introduction to this document.

Where variable "n" in the example is a C3 spacer, the sequence can be considered two separate segments of specifically defined nucleotides on either side of the variable "n", i.e. atgcatgcatgc (SEQ ID NO: 8); and cggcatgcatgc (SEQ ID NO: 9). If essential to the disclosure or claims, these two sequences should also be included in the sequence listing, each with their own sequence identification number.

The cytosine in each segment that is attached to the C3 spacer should be further described in a feature table using the feature key "misc feature" and the qualifier "note". The "note" qualifier value, which is "free text", should indicate the presence of the spacer, which is joined to another nucleic acid and identify the spacer by either its complete unabbreviated chemical name, or by its common name, e.g. C3 spacer.

**CAUTION:** The preferred representation of the sequence indicated above is directed to the provision of a sequence listing on the filing date of a patent application. The same representation may not be applicable to a sequence listing provided subsequent to the filing date of a patent application, since consideration must be given to whether the information provided could be considered by an IPO to add subject matter to the original disclosure.

**Relevant ST.26 paragraphs:** Paragraphs 3(g), 7(a), and 15

**Example 3(g)-3: Abasic site**

A patent application describes the following sequence:

gagcattgac-AP-taaggct

Wherein AP is an abasic site

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The specifically defined residues of the enumerated sequence are interrupted by an abasic site. The 5' side of the abasic site contains 10 nucleotides and the 3' side of the abasic site contains 7 nucleotides. Paragraph 3(g)(ii)(2) defines an abasic site as a "nucleotide" when it is part of a nucleotide sequence. Consequently, the abasic site in this example is considered a "nucleotide" for the purposes of determining if and how the sequence is required to be included in a sequence listing. Accordingly, the residues on each side of the abasic site are part of a single enumerated sequence containing 18 nucleotides total, 17 of which are specifically defined. Therefore, the sequence must be included as a single sequence in a sequence listing as required by ST.26 paragraph (7)(b).

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The sequence must be included in a sequence listing as:

gagcattgacntaaggct (SEQ ID NO: 10)

The abasic site must be represented by an "n" and must be further described in a feature table. The preferred means of annotation is the feature key "modified\_base" and the mandatory qualifier "mod\_base" with the value "OTHER". A "note" qualifier must be included that describes the modified base as an abasic site.

**Relevant ST.26 paragraphs:** Paragraphs 3(g), 7(a), and 17

**Example 3(g)-4: Nucleic Acid Analogues**

A patent application discloses the following glycol nucleic acid (GNA) sequence:

$\text{PO}_4\text{-tagttcattgactaaggctccccattgact-OH}$

Wherein the left end of the sequence mimics the 5' end of a DNA sequence.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES** – The individual residues that comprise a GNA sequence are considered nucleotides according to ST.26 paragraph 3(g)(i)(2). Accordingly, the sequence has more than ten enumerated and “specifically defined” nucleotides and is required to be included in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

GNA sequences do not have a 5'-end and a 3'-end, but rather, a 3'-end and a 2'-end. The 3'-end, which is routinely depicted as having a terminal phosphate group, corresponds to the 5'-end of DNA or RNA. (Note that other nucleic acid analogues may correspond differently to the 5'-end and 3'-end of DNA and RNA.) According to paragraph 10, it must be included in a sequence listing “in the direction from left to right that mimics the 5'-end to 3'-end direction.” Therefore, it must be included in a sequence listing as:

$\text{tagttcattgactaaggctccccattgact}$  (SEQ ID NO: 11)

The sequence must be described in a feature table using the feature key “modified\_base” and the mandatory qualifier “mod\_base” with the abbreviation “OTHER”. A “note” qualifier must be included with the complete unabbreviated name of the modified nucleotides, such as “glycol nucleic acids” or “2,3-dihydroxypropyl nucleosides”. A single INSDFeature element can be used to describe the entire sequence as a GNA where the INSDFeature location has the range “1..30”.

**Relevant ST.26 paragraphs:** Paragraphs 3(d), 3(g), 7(a), 11, 16, 18, 65, and 66

**Paragraph 3(k) Definition of "specifically defined"**

**Example 3(k)-1: Nucleotide ambiguity symbols**

**5' NNG KNG KNG K 3'**

**N and K are IUPAC-IUB ambiguity codes**

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**NO**

**IUPAC-IUB ambiguity codes correspond to the list of nucleotide symbols defined in Annex I, Section 1, Table 1. According to paragraph 3(k), a specifically defined nucleotide is any nucleotide other than those represented by the symbol "n" listed in Annex I. Therefore, "K" and "G" are specifically defined nucleotides and "N" is not a specifically defined nucleotide.**

**The enumerated sequence does not have ten or more specifically defined nucleotides and therefore is not required by ST.26 paragraph 7(a) to be included in a sequence listing.**

**Question 2: Does ST.26 permit inclusion of the sequence(s)?**

**NO**

**According to paragraph 8, "A sequence listing must not include any sequences having fewer than ten specifically defined nucleotides...." The enumerated sequence does not have ten or more specifically defined nucleotides; therefore, it must not be included in a sequence listing.**

**Relevant ST.26 paragraphs: Paragraphs 3(k), 7(a), 8, and 13**

**Example 3(k)-2: Ambiguity symbol “n” used in both a conventional and nonconventional manner**

An application discloses the artificial sequence: 5'-AATGCCGGAN-3'. The disclosure further states:

(i) in one embodiment, N is any nucleotide;

(ii) in one embodiment, N is optional but is preferably G;

(iii) in one embodiment, N is K;

(iv) in one embodiment, N is C.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**NO**

The enumerated sequence contains 9 specifically defined nucleotides and an “N.” The explanation of the sequence in the disclosure must be consulted to determine if the symbol “N” is used in a conventional manner (see Introduction to this document).

Consideration of disclosed embodiments (i) through (iv) of the enumerated sequence reveals that the most encompassing embodiment of “N” is “any nucleotide”. In the most encompassing embodiment, “N” in the enumerated sequence is used in a conventional manner.

In certain embodiments “N” is described as specifically defined residues (i.e., “N is C” in part (iv)). However, only the most encompassing embodiment (i.e., “N is any nucleotide”) is considered when determining if a sequence must be included in a sequence listing. Thus, the enumerated sequence that must be evaluated is 5'-AATGCCGGAN-3'.

Based on this analysis, the enumerated sequence, i.e. AATGCCGGAN, does not contain ten specifically defined nucleotides. Therefore, ST.26 paragraph 7(a) does not require inclusion of the sequence in a sequence listing, despite the fact that “n” is also defined as specific nucleotides in some embodiments.

**Question 2: Does ST.26 permit inclusion of the sequence(s)?**

**NO**

The sequence “AATGCCGGAN” must not be included in a sequence listing.

However, a described alternative sequence may be included in a sequence listing if the “N” is replaced with a specifically defined nucleotide.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

Inclusion of sequences which represent embodiments that are a key part of the invention is **strongly** encouraged. Inclusion of these sequences allows for a more thorough search and provides public notice of the subject matter for which a patent is sought.

For the above example, it is highly recommended that the following three additional sequences are included in the sequence listing, each with their own sequence identification number:

aatgccggag (SEQ ID NO: 12)

aatgccggak (SEQ ID NO: 13)

aatgccggac (SEQ ID NO: 14)

If less than all three of the above sequences are included, the nucleotide that replaces the “n” should be annotated to describe the alternatives. For example, if only SEQ ID NO: 12 above is included in the sequence listing, the feature key “misc difference” with feature location “10” should be used together with two “replace” qualifiers where the value for one would be “g” and the second would be “c”.

**CAUTION:** The preferred representation of the sequence indicated above is directed to the provision of a sequence listing on the filing date of a patent application. The same representation may not be applicable to a sequence listing provided subsequent to the filing date of a patent application, since consideration must be given to whether the information provided could be considered by an IPO to add subject matter to the original disclosure.

**Relevant ST.26 paragraphs:** Paragraphs 3(k), 7(a), 8, and 13

**Example 3(k)-3: Ambiguity symbol “n” used in a nonconventional manner**

An application discloses the sequence: 5'-aatg<sup>n</sup>ttgga-3'

Wherein n is c

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

According to paragraph 3(k), a “specifically defined” nucleotide is any nucleotide other than those represented by the symbol “n” listed in Annex I, Section 1, Table 1.

In this example “n” is used in a nonconventional manner to represent only “c”. The disclosure does not indicate that “n” is used in the conventional manner to represent “any nucleotide”. Therefore, the sequence must be interpreted as if the equivalent conventional symbol, i.e. “c”, had been used in the sequence (see Introduction to this document). Accordingly, the enumerated sequence that must be considered is:

5'-aatg<sup>c</sup>ttgga-3'

This sequence has ten specifically defined nucleotides and is required by ST.26 paragraph 7(a) to be included in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The sequence must be included in a sequence listing as: aatg<sup>c</sup>ttgga (SEQ ID NO: 15)

**Relevant ST.26 paragraphs:** Paragraphs 3(k) and 7(a)

**Example 3(k)-4: Ambiguity symbols other than “n” are “specifically defined”**

A patent application describes the following sequence:

5' NNG KNG KNG KAG VCR 3'

wherein N, K, V, and R are IUPAC-IUB ambiguity codes

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

IUPAC-IUB ambiguity codes correspond to the list of nucleotide symbols defined in Annex I, Section 1, Table 1. According to paragraph 3(k), a “specifically defined” nucleotide is any nucleotide other than those represented by the symbol “n” listed in Annex I, Section 1, Table 1. Therefore, “K”, “V”, and “R” are “specifically defined” nucleotides.

The sequence has eleven enumerated and “specifically defined” nucleotides and is required by ST.26 paragraph 7(a) to be included in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The sequence must be included in a sequence listing as:

nngkngkngkagvcr (SEQ ID NO: 16)

**Relevant ST.26 paragraphs:** Paragraphs 3(k), 7(a) and 15

**Example 3(k)-5: Ambiguity abbreviation "Xaa" used in a nonconventional manner**

A patent application describes the following sequence:

Xaa-Tyr-Glu-Xaa-Xaa-Xaa-Leu

Wherein Xaa in position 1 is any amino acid, Xaa in position 4 is Lys, Xaa in position 5 is Gly and Xaa in position 6 is Leucine or Isoleucine.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The enumerated peptide in the formula provides three specifically defined amino acids in positions 2, 3 and 7. The first amino acid is represented by a conventional abbreviation, i.e., Xaa, representing any amino acid. However, the 4<sup>th</sup>, 5<sup>th</sup> and 6<sup>th</sup> amino acids are represented by a conventional abbreviation used in a nonconventional manner (see Introduction to this document). Therefore, the explanation of the sequence in the disclosure is consulted to determine the definition of "Xaa" in these positions. Since "Xaa" in positions 4-6 are indicated as a specific amino acid, the sequence must be interpreted as if the equivalent conventional abbreviations had been used in the sequence, i.e. Lys, Gly, and (Leu or Ile). Consequently, the sequence contains four or more specifically defined amino acids and must be included in a sequence listing as required by ST.26 paragraph 7(b).

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The sequence uses a conventional abbreviation "Xaa" in a nonconventional manner. Therefore, the explanation of the sequence in the disclosure must be consulted to determine the definition of "Xaa" in positions 4, 5 and 6. The explanation defines "Xaa" as a lysine in position 4, a glycine in position 5 and a leucine or isoleucine in position 6. The conventional symbols for these amino acids are K, G, and J respectively. Therefore, the sequence should be represented as in the sequence listing as:

XYEKGJL (SEQ ID NO: 17)

**Relevant ST.26 paragraphs:** Paragraphs 3(k), 7(b), 26, and 27





In horizontal segment A, the linear portions of the nucleotide sequence are linked by the non-nucleotide moiety "pnp" and each of these linked linear portions contains fewer than ten specifically defined nucleotides. Therefore, since no portion of segment A contains ten or more specifically defined nucleotides wherein adjacent nucleotides are joined 3' to 5', they are not required ST.26 paragraph 7(a) to be included in a sequence listing.

**Question 2: Does ST.26 permit inclusion of the sequence(s)?**

According to paragraph 8, "A sequence listing must not include any sequences having fewer than ten specifically defined nucleotides...."

No portion of Segment A contains ten or more specifically defined nucleotides wherein adjacent nucleotides are joined 3' to 5'; therefore, it must not be included in a sequence listing as a separate sequence with its own sequence identification number.

However, segments B, C, D, and E may be annotated to indicate that they are linked to segment A.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

Segments B, C, and D are identical and must be included in a sequence listing as a single sequence:

`cacacaaaaaaaaaaaaaaaaaaaaaaaaa. (SEQ ID NO: 18)`

The first "c" in the sequence should be further described as a modified nucleotide using the feature key "misc feature" and the qualifier "note" with the value e.g., "This sequence is one of four branches of a branched polynucleotide."

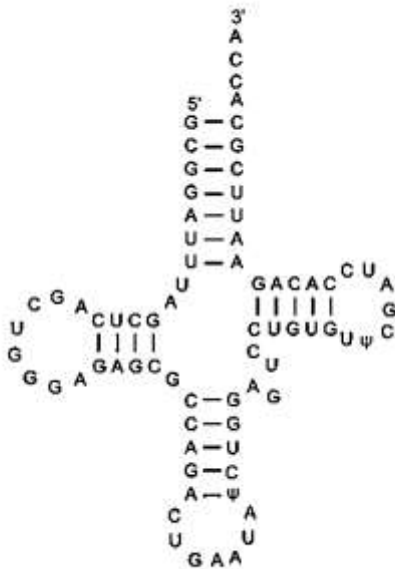
Segment E must be included in a sequence listing as a single sequence:

`cacatagggcatctcctagtcaggaaga. (SEQ ID NO: 19)`

The first "c" in the sequence should be further described as a modified nucleotide using the feature key "misc feature" and the qualifier "note" with the value e.g., "This sequence is one of four branches of a branched polynucleotide."

**Relevant ST.26 paragraph(s):** Paragraphs 7(a), 8, 11, 13, and 17

**Example 7(a)-2: Linear nucleotide sequence having a secondary structure**



Wherein Ψ is pseudouridine.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The nucleotide sequence contains seventy-three enumerated and specifically defined nucleotides. Thus, the example has ten or more "specifically defined" nucleotides, and as required by ST.26 paragraph (7)(a), must be included in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

Consultation of the disclosure indicates that "Ψ" is equivalent to pseudouridine. The only conventional symbol that can be used to represent pseudouridine is "n"; therefore, the "Ψ" is a nonconventional symbol used to represent the conventional symbol "n" (see Introduction to this document). Accordingly, the sequence must be interpreted to have two "n" symbols in place of the two "Ψ" symbols.

The symbol "u" must not be used to represent uracil in an RNA molecule in the sequence listing. According to paragraph 14, the symbol "t" will be construed as uracil in RNA. The sequence must be included as:

gCGgatttagctcagctgggagagcGCCagactgaatanctggagtcctgtgtnCGatccacagaattcgacca (SEQ ID NO: 20)

The value of the mandatory "mol\_type" qualifier of the mandatory "source" feature key is "tRNA". Additional information may be provided with feature key "tRNA" and any appropriate qualifier(s).

The "n" residues must be further described in a feature table using the feature key "modified\_base" and the mandatory qualifier "mod\_base" with the abbreviation "p" for pseudouridine as the qualifier value (see Annex 1, Table 2).

**Relevant ST.26 paragraph(s):** Paragraphs 7(a), 11, 13, 14, 62, 84 and Annex I, sections 2 and 5, feature key 5.43

**Example 7(a)-3: Nucleotide ambiguity symbols used in a nonconventional manner**

A patent application describes the following sequence:

5' GATC-MDR-MDR-MDR-MDR-GTAC 3'

The explanation of the sequence in the disclosure further indicates: "A "DR Element" consists of the sequence 5' ATCAGCCAT 3'. A mutant DR Element, or MDR, is a DR element wherein the middle 5 nucleotides, CAGCC, are mutated to TTTTT."

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The enumerated sequence uses the symbol "MDR". Where it is unclear if a symbol used in a sequence is intended to be a conventional symbol, i.e., a symbol set forth in Annex 1, Section 3, Table 3, or a nonconventional symbol, the explanation of the sequence in the disclosure must be consulted to make a determination (see Introduction to this document). According to Table 3, "MDR" could be interpreted as three conventional symbols (m = a or c, d = a or g or t/u, r = g or a) or as an abbreviation that is short-hand notation for some other structure.

Consultation of the disclosure indicates that an MDR element is equivalent to 5' ATTTTTTAT 3'. The letters "MDR" are considered conventional symbols used in a nonconventional manner; therefore, the sequence must be interpreted as though it were disclosed using the equivalent conventional symbols. Accordingly, the enumerated sequence that is considered for inclusion in a sequence listing is:

5' GATC ATTTTTTAT ATTTTTTAT ATTTTTTAT ATTTTTTAT GTAC 3'

The enumerated sequence has 44 specifically defined nucleotides and is required by ST.26 paragraph 7(a) to be included in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The sequence must be included in a sequence listing as:

gatcattttttatattttttatattttttatattttttatgtac (SEQ ID NO: 21)

**Relevant ST.26 paragraphs: Paragraph 7(a) and 13**

**Example 7(a)-4: Nucleotide ambiguity symbols used in a nonconventional manner**

A patent application describes the following sequence:

5' ATTC-N-N-N-N-GTAC 3'

The explanation of the sequence in the disclosure further indicates that "N" consists of the sequence 5' ATACGCACT 3'.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The enumerated sequence uses the symbol "N". The explanation of the sequence in the disclosure must be consulted to determine if the "N" is used in a conventional or nonconventional manner (see Introduction to this document).

Consultation of the disclosure indicates that "N" is equivalent to 5' ATACGCACT 3'. Thus, the "N" is a conventional symbol used in a nonconventional manner. Accordingly, the sequence must be interpreted as though it were disclosed using the equivalent conventional symbols:

5' ATTC-ATACGCACT-ATACGCACT-ATACGCACT-ATACGCACT-GTAC 3'

The enumerated sequence has 44 specifically defined nucleotides and is required by ST.26 paragraph 7(a) to be included in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The sequence must be included in a sequence listing as:

attcatacgcactatacgcactatacgcactatacgcactgtac (SEQ ID NO: 22)

**Relevant ST.26 paragraphs: Paragraph 7(a) and 13**

**Example 7(a)-5: Nonconventional nucleotide symbols**

A patent application describes the following sequence:

5' GATC-β-β-β-β-GTAC 3'

The explanation of the sequence in the disclosure further indicates that "β" consists of the sequence 5' ATACGCACT 3'.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The enumerated sequence uses the nonconventional symbol "β". The explanation of the sequence in the disclosure must be consulted to determine the meaning of "β" (see Introduction to this document).

Consultation of the disclosure indicates that "β" is equivalent to 5' ATACGCACT 3'. Thus, the "β" is a nonconventional symbol used to represent a sequence of nine specifically defined, conventional symbols. Accordingly, the sequence must be interpreted as though it were disclosed using the equivalent conventional symbols:

5' GATC-ATACGCACT-ATACGCACT-ATACGCACT-ATACGCACT-GTAC 3'

The enumerated sequence has 44 specifically defined nucleotides and is required by ST.26 paragraph 7(a) to be included in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The sequence must be included in a sequence listing as:

gatcatacgcactatacgcactatacgcactatacgcactgtac (SEQ ID NO: 23)

**Relevant ST.26 paragraphs: Paragraph 7(a) and 13**

**Example 7(a)-6: Nonconventional nucleotide symbols**

A patent application describes the following sequence:

5' GATC-β-β-β-β-GTAC 3'

The explanation of the sequence in the disclosure further indicates that "β" is equal to adenine, inosine, or pseudouridine.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**NO**

The enumerated sequence uses the nonconventional symbol "β". The explanation of the sequence in the disclosure must be consulted to determine the meaning of "β" (see Introduction to this document).

Consultation of the disclosure indicates that "β" is equivalent to adenine, inosine, or pseudouridine. The only conventional symbol that can be used to represent "adenine, inosine, or pseudouridine" is "n"; therefore, the "β" is a nonconventional symbol used to represent the conventional symbol "n". Accordingly, the sequence must be interpreted to have four "n" symbols in place of the four "β" symbols:

5' GATC-N-N-N-N-GTAC 3'

The enumerated sequence has only eight specifically defined nucleotides and is not required by ST.26 paragraph 7(a) to be included in a sequence listing.

**Question 2: Does ST.26 permit inclusion of the sequence(s)?**

**NO**

The enumerated sequence, 5' GATC-N-N-N-N-GTAC 3' must not be included in a sequence listing.

However, a disclosed alternative sequence may be included in a sequence listing if at least 2 of the "n" symbols are replaced by adenine, resulting in a sequence with at least 10 or more specifically defined nucleotides.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

One possible permitted representation is:

gatcaaaagtac (SEQ ID NO: 24)

In the above example, the four adenine nucleotides that replace the β symbols should be annotated to note that these positions could be substituted with inosine or pseudouridine.

The feature key "misc\_difference" should be used with a feature location 5-8 and a qualifier "note" with the value, e.g., "A nucleotide in any of positions 5-8 may be replaced with inosine or pseudouridine". Since these alternatives are modified nucleotides, then the feature key "modified\_base" together with the qualifier "mod\_base" would be required. The value for the "mod\_base" qualifier can be "OTHER" with a "note" qualifier and the value of "i or p".

Other permutations are possible.

**CAUTION:** The preferred representation of the sequence indicated above is directed to the provision of a sequence listing on the filing date of a patent application. The same representation may not be applicable to a sequence listing provided subsequent to the filing date of a patent application, since consideration must be given to whether the information provided could be considered by an IPO to add subject matter to the original disclosure.

**Relevant ST.26 paragraphs:** Paragraph 7(a), 8, 13, and 17

**Paragraph 7(b) – Amino Acid sequences required in a sequence listing**

**Example 7(b)-1: Four or more specifically defined amino acids**

**XXXXXXXXDXXXXXXXXXXXXFXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXAXXXXXXXXXXXXXXXXXXXXXXXXXGXXXXX**

**Where X = any amino acid**

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

**The enumerated peptide contains four specifically defined amino acids. The symbol “X” is used conventionally to represent the remaining amino acids as any amino acid (see Introduction to this document).**

**Because there are four specifically defined amino acids, i.e., Asp, Phe, Ala and Gly, ST.26 paragraph 7(b) requires that the sequence be included in a sequence listing.**

**Question 3: How should the sequence(s) be represented in the sequence listing?**

**The sequence must be represented as:**

**XXXXXXXXDXXXXXXXXXXXXFXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXAXXXXXXXXXXXXXXXXXXXXXXXXXGXXXXX  
(SEQ ID NO: 25)**

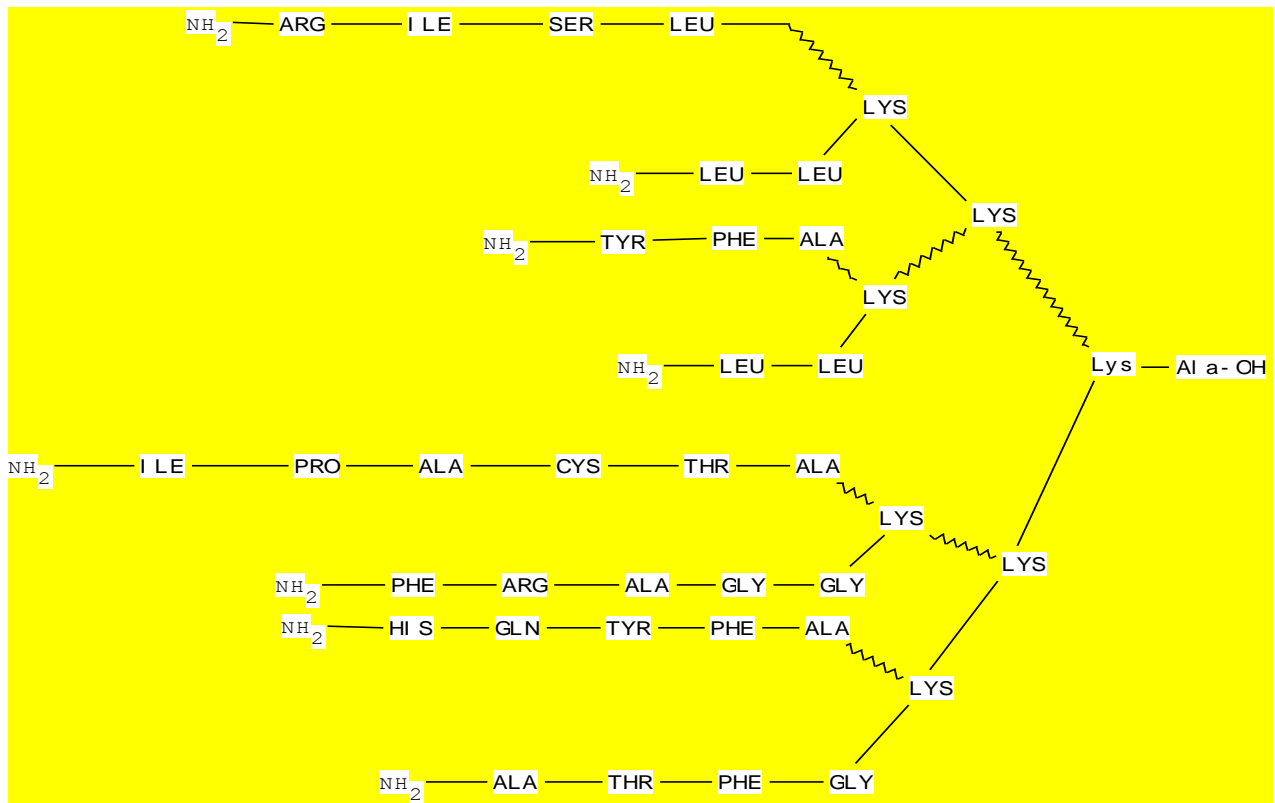
**Since “X” can be any amino acid, annotation of the “X” residues is not required under paragraph 27.**

**Relevant ST.26 paragraph(s): Paragraphs 7(b), 8 and 27**



**Example 7(b)-2: Branched amino acid sequence**

The application describes a branched sequence where the Lysine residues are used as a scaffolding core to form eight branches to which multiple linear peptide chains are attached. Lysine is a dibasic amino acid, providing it with two sites for peptide-bonding. The peptide is illustrated as follows:

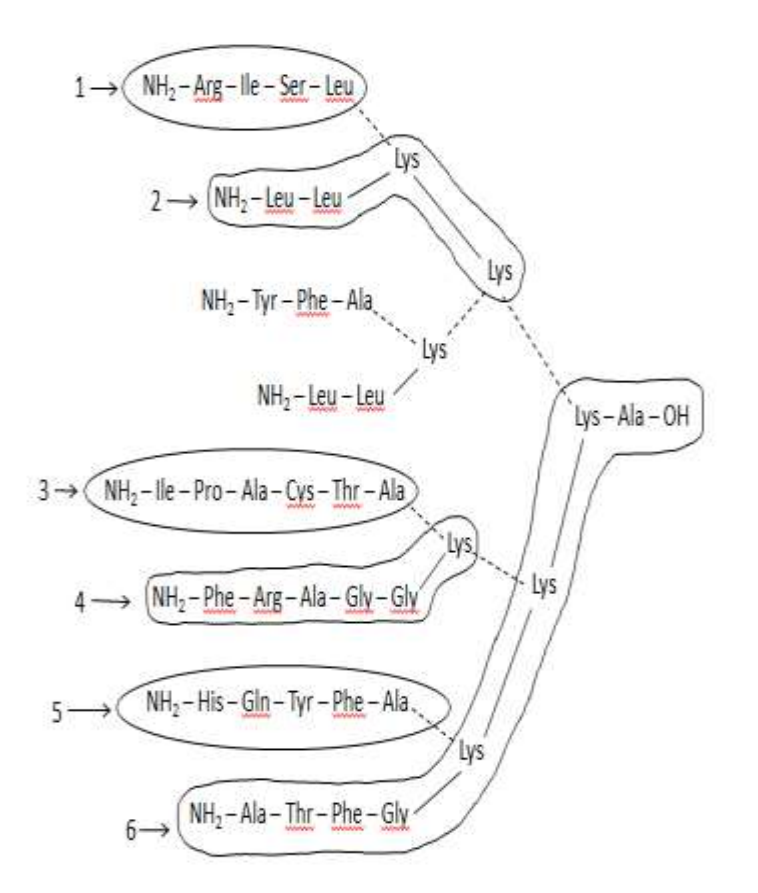


In the above branched peptide, the bonds depicted by — represent an amide linkage between the terminal amine of the Lysine and the carboxyl end of the bonded amino acid. The bonds depicted by ~ represent an amide linkage between the side chain amine of the Lysine and the carboxyl end of the bonded amino acid.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The example discloses a branched sequence where the lysine residues are used as a scaffolding. Paragraph 7(b) requires that the unbranched or linear portion of the sequence, containing four or more specifically defined amino acids, be included in a sequence listing. In the above example, the linear portions of the branched peptide that have four or more specifically defined amino acids are encircled:



ST.26 paragraph 7(b) requires inclusion of peptides 1-6 above in a sequence listing.

Peptides which are not required, and in fact are prohibited, from inclusion in the sequence listing are:

YFA

LLK

**Question 3: How should the sequence(s) be represented in the sequence listing?**

Peptides 1-6 must be represented with separate sequence identifiers:

RISL (SEQ ID NO: 26)

LLKK (SEQ ID NO: 27)

IPACTA (SEQ ID NO: 28)

FRAGGK (SEQ ID NO: 29)

HQYFA (SEQ ID NO: 30)

ATFGKKKA (SEQ ID NO: 31)

The cross linkage is preferably noted using the feature key "SITE" and the mandatory qualifier "NOTE" with the value e.g., "This sequence is one part of a branched peptide".

**Relevant ST.26 paragraph(s):** Paragraphs 7(b), 26, 30, and 31



***Paragraph 11(a) – Double-stranded nucleotide sequence – fully complementary***

**Example 11(a)-1: Double-stranded nucleotide sequence – same lengths**

A patent application describes the following double-stranded DNA sequence:

3' –CCGGTTAACGCTA–5'

5' –GGCCAATTGCGAT–3'

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

Each enumerated nucleotide sequence has more than 10 specifically defined nucleotides. At least one strand must be included in the sequence listing, because the two strands of this double-stranded nucleotide sequence are fully complementary to each other.

**Question 2: Does ST.26 permit inclusion of the sequence(s)?**

While the sequence of only one strand must be included in the sequence listing, the sequences of both strands may be included, each with its own sequence identification number.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The double-stranded DNA sequence must be represented either as a single sequence or as two separate sequences. Each sequence included in the sequence listing must be represented in the 5' to 3' direction and assigned its own sequence identification number.

atcgcaattggcc (top strand) (SEQ ID NO: 34)

and/or

ggccaattgcat (bottom strand) (SEQ ID NO: 35)

**Relevant ST.26 paragraphs:** Paragraphs 7(a), 11(a), and 13

**Paragraph 11(b) – Double-stranded nucleotide sequence - not fully complementary**

**Example 11(b)-1: Double-stranded nucleotide sequence – different lengths**

A patent application contains the following drawing and caption:

```
5'-tagttcattgactaaggctccccattgactaaggcgactagcattgactaaggcaagc-3'  
          |||||  
          ggtaactgantccgc
```

The human gene ABC1 promoter region (top strand) bound by a PNA probe (bottom strand). Where “n” in the PNA probe is a universal PNA base selected from the group consisting of 5-nitroindole and 3-nitroindole.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES** – the ABC1 promoter region (top strand)

The top strand has more than ten enumerated and “specifically defined” nucleotides and is required to be included in a sequence listing.

**YES** – the PNA probe (bottom strand)

The bottom strand must also be included in the sequence listing, with its own sequence identification number, because the two strands are not fully complementary to each other. The individual residues that comprise a PNA or “peptide nucleic acid” are considered nucleotides according to ST.26 paragraph 3(g). Therefore, the bottom strand has more than 10 enumerated and “specifically defined” nucleotides and is required to be included in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The top strand must be included in a sequence listing as:

tagttcattgactaaggctccccattgactaaggcgactagcattgactaaggcaagc (SEQ ID NO: 36)

The bottom strand is a peptide nucleic acid and therefore does not have a 3' and 5' end. According to paragraph 11, it must be included in a sequence listing “in the direction from left to right that mimics the 5'–end to 3'-end direction.” Therefore, it must be included in a sequence listing as:

cgcctnagtcaatggg (SEQ ID NO: 37)

The “organism” qualifier of the feature key “source” must have the value “synthetic construct” and the mandatory qualifier “mol\_type” with the value “other DNA”. The bottom strand must be described in a feature table using the feature key “modified\_base” and the mandatory qualifier “mod\_base” with the abbreviation “OTHER”. A “note” qualifier must be included with the complete unabbreviated name of the modified nucleotides, such as “N-(2-aminoethyl) glycine nucleosides”.

The “n” residue must be further described in a feature table using the feature key “modified\_base” and the mandatory qualifier “mod\_base” with the abbreviation “OTHER”. A “note” qualifier must be included with the complete unabbreviated name of the modified nucleotide: “N-(2-aminoethyl) glycine 5-nitroindole or N-(2-aminoethyl) glycine 3-nitroindole”.

**Relevant ST.26 paragraphs:** Paragraphs 3(g), 7(a), 11(b), 17, and 18

**Example 11(b)-2: Double-stranded nucleotide sequence – no base-pairing segment**

A patent application describes the following double-stranded DNA sequence:

3'-CCGGTTAGCTTATACGCTAGGGCTA-5'



5'-GGCCAATATGGCTTGCGATCCCGAT-3'

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

Each strand of the enumerated, double-stranded nucleotide sequence has more than 10 specifically defined nucleotides. Both strands must be included in the sequence listing, each with its own sequence identification number, because the two strands are not fully complementary to each other.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The sequence of each strand must be represented in the 5' to 3' direction and assigned its own sequence identification number:

atcgggatcgcatattcgattggcc (top strand) (SEQ ID NO: 38)

and

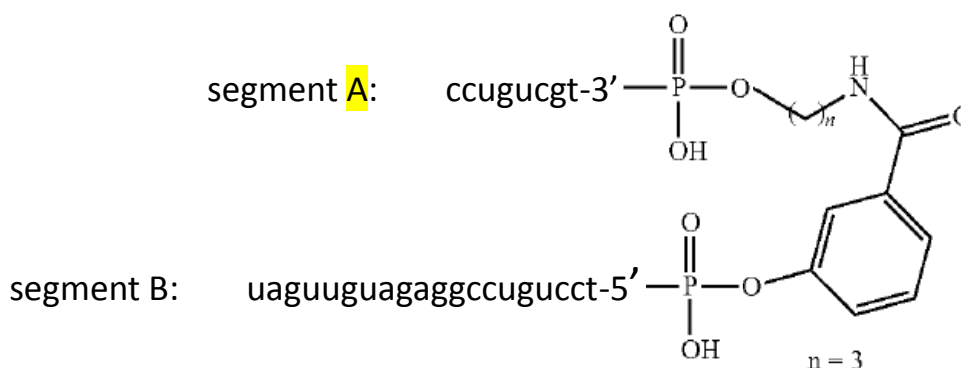
ggccaatatggcttgatcccgat (bottom strand) (SEQ ID NO: 39)

**Relevant ST.26 paragraphs:** Paragraphs 7(a), 11(b), and 13

**Paragraph 14 – Symbol “t” construed as uracil in RNA**

**Example 14-1: The symbol “t” represents uracil in RNA**

A patent application describes the following compound:



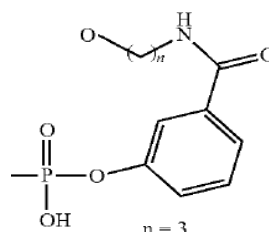
Wherein segment A and segment B are RNA sequences.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES – segment B**

**NO – segment A**

The enumerated sequence contains two segments of specifically defined nucleotides separated by the following “linker” structure:



The linker structure is not a nucleotide according to paragraph 3(g); therefore, each segment must be considered a separate sequence. Segment B contains more than 10 specifically defined nucleotides and ST.26 paragraph 7(a) requires inclusion in a sequence listing. Segment A contains only 8 specifically defined nucleotides and therefore is not required to be included in a sequence listing.

**Question 2: Does ST.26 permit inclusion of the sequence(s)?**

Segment A contains fewer than 10 specifically defined nucleotides, and therefore it must not be included in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

Segment B is an RNA molecule; therefore, the element "INSDSeq\_moltype" must be "RNA." The symbol "u" must not be used to represent uracil in an RNA molecule in a sequence listing. According to paragraph 14, the symbol "t" will be construed as uracil in RNA. Accordingly, segment B must be included in the sequence listing as:

tctgtccggagatgttgat (SEQ ID NO: 40)

Thymine in RNA is considered a modified nucleotide, i.e. modified uracil, and must be represented in the sequence as "t" and be further described in a feature table. Accordingly, the thymine in position 1 must be further described using the feature key "modified\_base", the qualifier "mod\_base" with "OTHER" as the qualifier value, and a qualifier "note" with "thymine" as the qualifier value.

The thymine, i.e. modified uracil, in position 1 should also be further described in a feature table using the feature key "misc\_feature" and a qualifier "note" with the value e.g., "ccugucgt (Segment A) is attached at its 3'-end to a linker which is attached to the 5' oxygen of the thymidine. The linker is (4-(3-hydroxybenzamido)butyl) phosphinic acid."

**Relevant ST.26 paragraphs:** Paragraphs 3(g), 7(a), 8, 13, 14, 19, and 54



*Paragraph 27 – The most restrictive ambiguity symbol should be used*

**Example 27-1: Shorthand formula for a nucleotide sequence**

$(GGGz)_2$

Where z is any amino acid.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The sequence is disclosed as a formula.  $(GGGz)_2$  is simply a shorthand way of representing the sequence GGGzGGGz. Conventionally, a sequence is expanded first, and the definition of any variable, i.e. “z”, is determined thereafter.

The sequence uses the nonconventional symbol “z”. The definition of “z” must be determined from the explanation of the sequence in the disclosure, which defines this symbol as any amino acid (see Introduction to this document). The example does not provide any constraint on “z”, e.g., that it is the same in each occurrence.

Therefore, “z” is equivalent to the conventional symbol “X”, and the peptide in the example has eight enumerated amino acids, six of which are specifically defined glycine residues. ST.26 paragraph 7(b) requires inclusion of the sequence in a sequence listing as a single sequence with a single sequence identification number.

Note that the sequence is still encompassed by Paragraph 7(b) despite the fact that the enumerated and specifically defined residues are not contiguous.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The sequence uses the nonconventional symbol “z”, which according to the disclosure is any amino acid. The conventional symbol used to represent “any amino acid” is “X”. Therefore, the sequence must be represented as the single expanded sequence:

GGGXGGGX (SEQ ID NO: 41)

Further, the example does not disclose that “z” is the same amino acid in both positions in the expanded sequence. However, if “z” is disclosed as the same amino acid in both positions, then a feature key “VARIANT” and a qualifier “NOTE” should be provided stating that “X” in position 4 and 8 can be any amino acid, as long as they are the same in both positions.

**Relevant ST.26 paragraph(s):** Paragraphs 3(c), 7(b) and 27

**Example 27-2: Shorthand formula - less than four specifically defined amino acids**

A peptide of the formula (Gly-Gly-Gly-z)<sub>n</sub>

The disclosure further states, that z is any amino acid and

(i) variable n is any length; or

(ii) variable n is 2-100, preferably 3

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**NO**

Consideration of both disclosed embodiments (i) and (ii) of the enumerated peptide of the formula reveals that “n” can be “any length”; therefore, the most encompassing embodiment of “n” is indeterminate. Since “n” is indeterminate, the peptide of the formula cannot be expanded to a definite length, and therefore, the unexpanded formula must be considered.

The enumerated peptide in the unexpanded formula (“n” = 1) provides three specifically defined amino acids, each of which is Gly, and the symbol “z”. Conventionally “Z” is the symbol for “glutamine or glutamic acid”; however, the example defines “z” as “any amino acid” (see Introduction to this document). Under ST.26, an amino acid that is not specifically defined is represented by “X”. Based on this analysis, the enumerated peptide, i.e. GGGX, does not contain four specifically defined amino acids. Therefore, ST.26 paragraph 7(b) does not require inclusion, despite the fact that “n” is also defined as specific numerical values in some embodiments.

**Question 2: Does ST.26 permit inclusion of the sequence(s)?**

**YES**

The example provides a specific numerical value for variable “n,” i.e., a lower limit of 2, an upper limit of 100, and an exact value 3. Any sequence containing at least four specifically defined amino acids may be included in the sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

A sequence containing 100 copies of GGGX is preferred (SEQ ID NO: 42). A further annotation should indicate that up to 98 copies of GGGX could be deleted. Inclusion of further specific embodiments that are a key part of the invention is strongly encouraged.

**CAUTION:** The preferred representation of the sequence indicated above is directed to the provision of a sequence listing on the filing date of a patent application. The same representation may not be applicable to a sequence listing provided subsequent to the filing date of a patent application, since consideration must be given to whether the information provided could be considered by an IPO to add subject matter to the original disclosure.

**Relevant ST.26 paragraph(s):** Paragraphs 3(c), 7(b), 26, and 27

**Example 27-3: Shorthand formula - four or more specifically defined amino acids**

A peptide of the formula (Gly-Gly-Gly-z)<sub>n</sub>

Where z is any amino acid and variable n is 2-100, preferably 3.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The enumerated peptide of the formula provides three specifically defined amino acids, each of which is Gly, and the symbol "z". Conventionally, "Z" is the symbol for "glutamine or glutamic acid"; however, the description in this example defines "z" as "any amino acid" (see Introduction to this document). Under ST.26, an amino acid that is not specifically defined is represented by "X". Based on this analysis, the enumerated repeat peptide does not contain four specifically defined amino acids. However, the description provides a specific numerical value for variable "n," i.e., a lower limit of 2 and an upper limit of 100. Therefore, the example discloses a peptide having at least six specifically defined amino acids in the sequence GGGzGGGz, which is required by ST.26 to be included in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

Since "z" represents any amino acid, the conventional symbol used to represent the fourth and eighth amino acids is "X."

ST.26 requires inclusion in a sequence listing of only the single sequence that has been enumerated by its residues. Therefore, at least one sequence containing any of 2, 3, or 100 copies of GGGX must be included in the sequence listing; however, the most encompassing sequence containing 100 copies of GGGX is preferred (SEQ ID NO: 42) (see Introduction to this document). In the latter case, a further annotation could indicate that up to 98 copies of GGGX could be deleted. Inclusion of two additional sequences containing 2 and 3 copies of GGGX, respectively (SEQ ID NO: 44-45), is strongly encouraged.

Further, the example does not disclose that the "z" variable is the same in each of the two occurrences in the expanded sequence. However, if "z" is disclosed as the same amino acid in all locations, then a feature Key VARIANT and a Qualifier NOTE should indicate that "X" in all positions can be any amino acid, as long as they are the same in all locations.

**CAUTION:** The preferred representation of the sequence indicated above is directed to the provision of a sequence listing on the filing date of a patent application. The same representation may not be applicable to a sequence listing provided subsequent to the filing date of a patent application, since consideration must be given to whether the information provided could be considered by an IPO to add subject matter to the original disclosure.

**Relevant ST.26 paragraph(s):** Paragraphs 3(c), 7(b), 26, and 27

**Paragraph 28 – Amino acid sequences separated by internal terminator symbols**

**Example 28-1: Encoding nucleotide sequence and encoded amino acid sequence**

A patent application describes the following sequences:

caattcaggg tggatgaat atg gcg ccc aat acg caa acc gcc tct ccc cgc  
Met Ala Pro Asn Thr Gln Thr Ala Ser Pro Arg

gcg ttg gca gat tca tta atg cag ctg gca cga cag gtt tcc cga ctg  
Ala Leu Ala Asp Ser Leu Met Gln Leu Ala Arg Gln Val Ser Arg Leu

**Protein A**

gaa agc ggg cag tga atg acc atg att acg gat tca ctg gcc gtc gtt  
Glu Ser Gly Gln Met Thr Met Ile Thr Asp Ser Leu Ala Val Val

tta caa cgt cgt gac tgg gaa aac cct ggc gtt acc caa ctt aat cgc  
Leu Gln Arg Arg Asp Trp Glu Asn Pro Gly Val Thr Gln Leu Asn Arg

**Protein B**

ctt gca gca cat tgg tgt caa aaa taa taataaccgg atgtactatt  
Leu Ala Ala His Trp Cys Gln Lys

tatccctg atg ctg cgt cgt cag gtg aat gaa gtc gct taa gcaatcaatg  
Met Leu Arg Arg Gln Val Asn Glu Val Ala

**Protein C**

tcggatgcgg cgcgacgctt atccgaccaa catatcataa

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The application describes a nucleotide sequence, containing termination codons, which encodes three distinct amino acids sequences.

The enumerated nucleotide sequence contains more than 10 specifically defined nucleotides and must be included in a sequence listing as a single sequence.

Regarding the encoded amino acid sequences, paragraph 28 requires that amino acid sequences separated by an internal terminator symbol such as a blank space, must be included as separate sequences. Since each of "Protein A", "Protein B", and "Protein C" contain four or more specifically defined amino acids, ST.26 paragraph 7(b) requires that each must be included in a sequence listing and must be assigned its own sequence identification number.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The nucleotide sequence must be included in a sequence listing as:

caattcagggtggtgaatatggcgcccaatacgcgaaccgcctctccccgcgcttgcccgattcattaatggaaagcgggcagtgaatgaccatgattacgga  
ttcactgcccgtcgtttacaacgctgactgggaaaaccctggcgttacccaactaatcgcttgcagcacattggtgtcaaaaataataaaccggatgtact  
atttatccctgatgctgcgctcaggtgaatgaagtcgcttaagcaatcaatgctggatcggcgccgacgcttatccgaccaacatatataa. (SEQ ID NO:  
46)

The nucleotide sequence should further be described using a "CDS" feature key for each of the three proteins and the element INSDFeature location should identify the location of each coding sequence, including the stop codon. In addition, for each "CDS" feature key, the "translation" qualifier should be included with the amino acid sequence of the protein as the qualifier value. The application does not disclose the genetic code table that applies to the translation (see Annex 1, Section 9, Table 5). If the Standard Code table applies, then the qualifier "transl table" is not necessary; however, if a different genetic code table applies, then the appropriate qualifier value from Table 5 must be indicated for the qualifier "transl table". Finally, the qualifier "protein id" must be included with the qualifier value indicating the sequence identification number of each of the translated amino acid sequences.

The amino acid sequences must be included as separate sequences, each assigned its own sequence identification number:

MAPNTQTASPRALADSLMQLARQVSRLESGQ (SEQ ID NO: 47)

MTMITDSLAVVLQRRDWENPGVTQLNRLAAHWCQK (SEQ ID NO: 48)

MLRRQVNEVA (SEQ ID NO: 49)

NOTE: See "Example 90-1 Amino acid sequence encoded by a coding sequence with introns" for an illustration of a translated amino acid sequence represented as a single sequence.

**Relevant ST.26 paragraphs:** Paragraphs 7, 26, 28, 57, 87-90

**Paragraph 29 – Representation of an “other” amino acid**

**Example 29-1: Most restrictive ambiguity symbol for an “other” amino acid**

A patent application describes the following sequence:

Ala-Hse-X<sub>1</sub>-X<sub>2</sub>-X<sub>3</sub>-X<sub>4</sub>-Tyr-Leu-Gly-Ser

Wherein, X<sub>1</sub>= Ala or Gly.

X<sub>2</sub>= Ala or Gly.

X<sub>3</sub>= Ala or Gly.

X<sub>4</sub>= Ala or Gly, and

Hse = Homoserine

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The enumerated peptide contains five specifically defined amino acids. The symbol “X” is used conventionally to represent two amino acids in the alternative (see Introduction to this document).

Because there are five specifically defined amino acids, i.e., Ala, Tyr, Leu, Gly and Ser, ST.26 paragraph 7(b) requires that the sequence must be included in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

Paragraph 29 requires any “other” amino acid must be represented by the symbol “X”. In the example, the sequence contains the amino acid Hse in position 2 which is not found in Annex I, Section 3, Table 3. Accordingly, Hse is an “other” amino acid and must be represented by the symbol “X”.

X<sub>1</sub>-X<sub>4</sub> are variant positions, each of which can be A or G. The most restrictive ambiguity symbol for alternatives A or G is “X”. Therefore, the sequence may be represented as:

**AXXXXYLGS (SEQ ID NO: 50)**

Inclusion of any specific sequences essential to the disclosure or claims of the invention is strongly encouraged, as discussed in the introduction to this document.

Since amino acid Hse is not found in Annex I, Section 4, Table 4, a feature key “SITE” and a qualifier “NOTE” must be provided with the complete, unabbreviated name of Homoserine.

According to paragraph 27, because X<sub>1</sub>-X<sub>4</sub> represent an alternative of only 2 amino acids, then further description is required. Paragraph 94 indicates that the feature key “VARIANT” should be used with the qualifier “NOTE” and qualifier value “A or G”. According to ST.26 paragraph 34, since these positions are adjacent and have the same description, they may be jointly described using the syntax “3..6” as the location descriptor in the element INSDFeature\_location.

**Relevant ST.26 paragraphs:** Paragraphs 3(a), 7(b), 25-27, 29, 34, 66, 70, 71, and 94-95

**Paragraph 30 – Annotation of a modified amino acid**

**Example 30-1 – Feature key “CARBOHYD”**

A patent application describes a polypeptide with a specifically modified amino acid, containing a glycosylated side chain, characterized in that Cys corresponding to positions 4 and 15 of the polypeptide forms a disulfide bond, according to the following sequence:

Leu-Glu-Tyr-Cys-Leu-Lys-Arg-Trp-Asn(asiyaloligosaccharide)-Glu-Thr-Ile-Ser-His-Cys-Ala-Trp

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The enumerated peptide provides 17 specifically defined amino acids. There are 16 natural amino acids, wherein the ninth (asparagine) is glycosylated. Therefore, the sequence must be included in a sequence listing as required by ST.26 paragraph (7)(b).

**Question 3: How should the sequence(s) be represented in the sequence listing?**

According to ST.26 paragraph 29, a modified amino acid should be represented in the sequence as the corresponding unmodified amino acid whenever possible.

Therefore the sequence must be included in a sequence listing as:

LEYCLKRWNETISHCAW (SEQ ID NO: 51)

A further description of the modified amino acid is required. The feature key “CARBOHYD” together with the (mandatory) qualifier “NOTE” should be used to indicate the occurrence of the attachment of a sugar chain (asiyaloligosaccharide) to asparagine in position 9. The qualifier “NOTE” describes the type of linkage, e.g. N-linked. The location descriptor in the feature location element is the residue position number of the modified asparagine.

In addition, there is a disulfide bond between the two Cys residues. Therefore the feature key “DISULFID” is used to describe an intrachain crosslink. The location descriptors in the feature location element are the residue position numbers of the linked Cys residues in conjunction with the “join” location operator, “join(4,15)”. The qualifier NOTE is not mandatory.

**Relevant ST.26 paragraph(s):** Paragraphs 3(a), 7(b), 26, 29, 30, and Annex I, section 7, feature key 7.4

**Paragraph 36 – Sequences containing regions of an exact number of contiguous “n” or “X” residues**

**Example 36-1: Sequence with a region of a known number of “X” residues represented as a single sequence**

**LL-100-KYMR**

Where the “-100-” between amino acids Leucine and Lysine reflects a 100 amino acid region in the sequence.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

ST.26 paragraph 36 requires inclusion of a sequence that contains at least four specifically defined amino acids separated by one or more regions of a defined number of “X” residues.

The disclosed sequence uses a nonconventional symbol, i.e. “-100-.” The definition of “-100-” must be determined from the explanation of the sequence in the disclosure, which defines this symbol as 100 amino acids between leucine and lysine (see Introduction to this document). Therefore, “-100-” is a defined region of “X” residues. Since six of the 106 amino acids in the sequence are specifically defined, ST.26 paragraph 7(b) requires that the sequence must be included in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The nonconventional symbol “-100-” is represented as 100 “X” residues (since any symbol used to represent an amino acid is equivalent to only one residue). Therefore, a single sequence of 106 amino acids in length, containing 100 “X” residues between LL and KYMR, must be included in a sequence listing (SEQ ID NO: 52).

**Relevant ST.26 paragraph(s):** Paragraphs 7(b), 26, 27, and 36



**Example 36-2: Sequence with multiple regions of a known number or range of "X" residues represented as a single sequence**

Lys-Z<sub>2</sub>-Lys-Z<sub>m</sub>-Lys-Z<sub>3</sub>-Lys-Z<sub>n</sub>-Lys-Z<sub>2</sub>-Lys

Where z is any amino acid, m=20, n=19-20, z<sub>2</sub> means that the pairs of Lysines are separated by any two amino acids, and z<sub>3</sub> means the pairs of Lysines are separated by any three amino acids.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The disclosed sequence uses a nonconventional symbol, i.e. "z." Therefore, the disclosure must be consulted to determine the definition; "z" is defined as any amino acid (see Introduction to this document). The conventional symbol used to represent any amino acid is "X". Considering the presence of "X" variables, the peptide contains six lysine residues that are enumerated and specifically defined, which is required to be included in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The sequence uses a nonconventional symbol "z", the definition of which must be determined from the disclosure. Since "z" is defined as any amino acid, the conventional symbol is "X."

The preferred and most encompassing means of representation is (see Introduction to this document):

KXXKXXXXXXXXXXXXXXXXXXXXXXXXKXXKXXXXXXXXXXXXXXXXXXXXXXXXKXXK (SEQ ID NO: 53)

Wherein z<sub>n</sub> is equal to 20 "X's", with a further description that the "X" variable corresponding to position 30 can be deleted.

Alternatively, or in addition to the above, the sequence may be represented as:

KXXKXXXXXXXXXXXXXXXXXXXXXXXXKXXKXXXXXXXXXXXXXXXXXXXXXXXXKXXK (SEQ ID NO: 54)

Wherein z<sub>n</sub> is equal to 19 "X's", with a further description that an "X" variable between position numbers 29 and 30 can be inserted.

**Relevant ST.26 paragraph(s):** Paragraphs 26, 27, and 36

**Example 36-3: Sequence with multiple regions of a known number or range of "X" residues represented as a single sequence**

$K-Z_2-K-Z_m-K-Z_3-K-Z_n-K-Z_2-K$

Where z is any amino acid, where  $m=15-25$ , preferably 20-22,  $n=15-25$ , preferably 19-20,  $z_2$  means that the pairs of Lysines are separated by any two amino acids, and  $z_3$  means the pairs of Lysines are separated by any three amino acids.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The sequence in the example uses a nonconventional symbol, i.e. "z." Therefore, the surrounding disclosure is consulted to determine the definition of "z" (see Introduction to this document). The disclosure defines this symbol as any amino acid. The conventional symbol used to represent this amino acid is "X." After considering the presence of "X" variables, the peptide contains 6 lysine residues that are enumerated and specifically defined, which is required in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The sequence uses a nonconventional symbol "z", the definition of which must be determined from the disclosure. Since "z" is defined as any amino acid, the conventional symbol is "X". The preferred and most encompassing means of representation is:

$KXXKXXKXXK$  (SEQ ID NO: 55)

(where  $m=25$  and  $n=25$ ), with a further description that up to 10 "X" residues in each of the " $z_m$ " or " $z_n$ " regions may be deleted.

Inclusion of any specific sequences essential to the disclosure or claims of the invention is strongly encouraged, as discussed in the introduction to this document.

Alternatively, the sequence may be represented as:

$KXXKXXKXXK$  (SEQ ID NO: 56)

(where  $m=15$  and  $n=15$ ), with a further description that up to 10 "X" residues in each of the " $z_m$ " or " $z_n$ " regions may be inserted.

As further alternatives, any or all possible variations may be included.

**CAUTION:** The preferred representation of the sequence indicated above is directed to the provision of a sequence listing on the filing date of a patent application. The same representation may not be applicable to a sequence listing provided subsequent to the filing date of a patent application, since consideration must be given to whether the information provided could be considered by an IPO to add subject matter to the original disclosure.

**Relevant ST.26 paragraph(s): Paragraphs 27 and 36**

**Paragraph 37 – Sequences containing regions of an unknown number of “n” or “X” residues**

**Example 37-1: Sequence with regions of an unknown number of “X” residues must not be represented as a single sequence**

Gly-Gly----Gly-Gly-Xaa-Xaa

where the symbol ---- is an undefined gap within the sequence, where Xaa is any amino acid, and the Glycine and Xaa residues are connected to one another through peptide bonds.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**NO**

ST.26 paragraph 37 prohibits the inclusion of any sequence that contains an undefined gap; therefore, inclusion of the entire sequence is not required.

ST.26 paragraph 37 does require inclusion of any portion of a sequence adjacent to an undefined gap that contains four or more specifically defined amino acids. In the example above, inclusion of either portion adjacent to the undefined gap is not required, since each portion contains only two specifically defined amino acids.

**Question 2: Does ST.26 permit inclusion of the sequence(s)?**

**NO – not the entire sequence**

**NO – not any portion of the sequence**

ST.26 paragraph 37 does not permit inclusion of the entire sequence.

ST.26 paragraph 8 does not permit inclusion of either portion adjacent to the undefined gap, since each portion contains only two specifically defined amino acids.

**Relevant ST.26 paragraphs: Paragraphs 7(b), 8, 26, and 37**

**Example 37-2: Sequence with regions of an unknown number of “X” residues must not be represented as a single sequence**

Gly-Gly----Gly-Gly-Ala-Gly-Xaa-Xaa

wherein the symbol ---- is an undefined gap within the sequence, where Xaa is any amino acid, and the Glycine and Xaa residues are connected to one another through peptide bonds.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**NO – not the entire sequence**

**YES – a portion of the sequence**

ST.26 paragraph 37 prohibits the inclusion of any sequence that contains an undefined gap, but requires inclusion of any portion of a sequence adjacent to an undefined gap that contains four or more specifically defined amino acids.

In the example above, ST.26 does not require (and prohibits) inclusion of both the entire sequence, which contains an undefined gap, and the Gly-Gly portion adjacent to the undefined gap, which contains only two specifically defined amino acids. However, ST.26 requires inclusion of the Gly-Gly-Ala-Gly- Xaa-Xaa portion adjacent to the undefined gap, since it contains at least four specifically defined amino acids.

**Question 2: Does ST.26 permit inclusion of the sequence(s)?**

**NO – not the entire sequence and not the Gly-Gly portion**

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The portion of the sequence adjacent to the undefined gap that contains four specifically defined amino acids must be represented as:

GGAGXX (SEQ ID NO: 57)

Preferably, the sequence should be annotated to indicate that the represented sequence is part of a larger sequence that contains an undefined gap by using the feature key “SITE”, the feature location “1” and the qualifier “NOTE” with the value, e.g., “This residue is linked N-terminally to a peptide having an N-terminal Gly-Gly and a gap of undefined length.”

**Relevant ST.26 paragraph(s):** Paragraphs 7(b), 8, 26, and 37

**Paragraph 87 – “CDS” Feature key**

**Example 87-1: Encoding nucleotide sequence and encoded amino acid sequence**

A patent application describes the following nucleotide sequence and its translation:

atg acc gga aat aaa cct gaa acc gat gtt tac gaa att tta tga

Met Thr Gly Asn Lys Pro Glu Thr Asp Val Tyr Glu Ile Leu STOP

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES – the nucleotide sequence.** The enumerated nucleotide sequence has more than ten specifically defined nucleotides.

**YES – the peptide sequence.** The enumerated peptide sequence has more than four specifically defined amino acids.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The nucleotide sequence must be presented as:

atgaccggaataaacctgaaaccgatgtttaccgaaatttatga (SEQ ID NO: 58)

The nucleotide sequence should further be described using the “CDS” feature key and the element `INSDFeature` location should identify the entire sequence, including the stop codon (i.e., position 1 through 45). In addition, the “translation” qualifier should be included with the qualifier value “MTGNKPETDVYEIL”. The application does not disclose the genetic code table that applies to the translation (see Annex 1, Section 9, Table 5). If the Standard Code table applies, then the qualifier “transl\_table” is not necessary; however, if a different genetic code table applies, then the appropriate qualifier value from Table 5 must be indicated for the qualifier “transl\_table”. Finally, the qualifier “protein\_id” must be included with the qualifier value indicating the sequence identification number of the translated peptide.

The peptide sequence must be separately presented with its own sequence identification number using single letter codes as follows:

MTGNKPETDVYEIL (SEQ ID NO: 59)

The STOP following the enumerated peptide sequence must not be included in the peptide sequence in the sequence listing.

**CAUTION:** The preferred representation of the sequence indicated above is directed to the provision of a sequence listing on the filing date of a patent application. The same representation may not be applicable to a sequence listing provided subsequent to the filing date of a patent application, since consideration must be given to whether the information provided could be considered by an IPO to add subject matter to the original disclosure.

**Relevant ST.26 paragraphs:** Paragraphs 7(a), 7(b), 26, 28, 87, 88, and 90

**Paragraph 90 – Amino acid sequence encoded by a coding sequence**

**Example 90-1: Amino acid sequence encoded by a coding sequence with introns**

A patent application contains the following figure disclosing a coding sequence and its translation:

```

atg aag act ttc gca gcc ttg ctt tcc gct gtc act ctc gcg ctc tcg
Met Lys Thr Phe Ala Ala Leu Leu Ser Ala Val Thr Leu Ala Leu Ser

gtg cgc gcc cag gcg gct gtc tgg agt caa t gtaagtgccg ctgcttttca
Val Arg Ala Gln Ala Ala Val Trp Ser Gln

ttgatacgag actctacgcc gagctgacgt gctaccgtat ag gt ggc ggt aca
Cys Gly Gly Thr

ccg ggt tgg acg gcc gag acc act tgc gtt gct ggt tcg gtt tgt acc
Pro Gly Trp Thr Gly Glu Thr Thr Cys Val Ala Gly Ser Val Cys Thr

tcc ttg agc tca gtgagcgact ttcaatccgt cgtcattgct cctcatgtat
Ser Leu Ser Ser

tgacgattgg ccttcatag tca tac tct caa tgc gtt ccg gcc tcc gca acc
Ser Tyr Ser Gln Cys Val Pro Gly Ser Ala Thr

tcc agc gct ccg gcg gcc ccc tca gcg aca act tca gcc ccc gca cct
Ser Ser Ala Pro Ala Ala Pro Ser Ala Thr Thr Ser Gly Pro Ala Pro

acg gac gga acg tgc tcg gcc agc ggg gca tgg ccg cca ttg acc tga
Thr Asp Gly Thr Cys Ser Ala Ser Gly Ala Trp Pro Pro Leu Thr Ter

```

Figure 1 – nucleotides shown in bold-face are intron regions.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The application discloses a nucleotide sequence and its amino acid translation. The enumerated nucleotide sequence contains more than 10 specifically defined nucleotides and must be included in a sequence listing as a single sequence.

The nucleotide sequence contains coding sequence (exons) separated by noncoding sequence (introns). The figure depicts the translation of the nucleotide sequence as three non-contiguous amino acid sequences. According to the figure caption, the bolded regions of nucleotides are intron sequences that will be spliced out of an RNA transcript before translation into a protein. Accordingly, the three amino acid sequences are actually a single, contiguous, enumerated sequence, which contains more than four specifically defined amino acids and must be included in a sequence listing as a single sequence.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The nucleotide sequence must be included in a sequence listing as:

```

atgaagactttcgcagccttgctttccgctgctcactctcgcgctctcgggtgcgcgccaggcggcgtgctggagtcaatgtaagtgccgctgctttcattgatacgag
actctacgccgagctgacgtgctaccgtataggtggcggttacaccgggtggagcggcgagaccacttgcgttgcgtggttcggtttgacctccttgagctcagtgga
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cagcgacaactcaggccccgcacctacggacggaacgtgctcggcagcggggcattggccgacctgacatga (SEQ ID NO: 74)

```

The nucleotide sequence should further be described using a "CDS" feature key and the element `INSDFeature` location should identify the location of the coding sequence, including the stop codon indicated by "Ter". In addition, the "translation" qualifier should be included, with the amino acid sequence of the protein as the qualifier value. (Note that the terminator symbol "Ter" in the last position of the sequence must not be included in the amino acid sequence.) The application does not disclose the genetic code table that applies to the translation (see Annex 1, Section 9, Table 5). If the "Standard Code" table applies, then the qualifier "transl\_table" is not necessary; however, if a different genetic code table applies, then the appropriate qualifier value from Table 5 must be indicated for the qualifier "transl\_table". Finally, the qualifier "protein\_id" must be included with the qualifier value indicating the sequence identification number of the translated amino acid sequence.

The amino acid sequence must be included as a single sequence:

MKTFAALLSAVTLALSVRAQAAVWSQC GGTPGWTGETTCVAGSVCTSLSSSYSQCVP GSATSSAPAAPSATTSG  
PAPTDGTCSASGAWPPLT (SEQ ID NO: 75)

**Relevant ST.26 paragraphs:** Paragraphs 7, 26, 28, 57, 87-90

**Paragraph 91 – Primary sequence and a variant, each enumerated by its residues**

**Example 91-1: Representation of enumerated variants**

The description includes the following sequence alignment.

```
D. melanogaster ACATTGAATCTCATACCACTTT
D. virilis      ...-..G...C...-..G.....
D. simulans    GT..G.CG..GT..SGT.G...
```

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

It is common in the art to include "dots" in a sequence alignment to indicate "this position is the same as the position above it." Therefore, the "dots" in species 2 and 3 are considered enumerated and specifically defined nucleotides, as they are simply a short-hand way of indicating that a given position is the same nucleotide as in species 1. In addition, sequence alignments frequently display the symbol "-" to indicate the absence of a residue in order to maximize the alignment.

Accordingly, the nucleotide sequences of species 1 and 3 contain twenty-two enumerated and specifically defined nucleotides, whereas the nucleotide sequences of species 2 contains nineteen. Thus, each sequence is required by ST.26 paragraph 7(a) to be included in a sequence listing with separate sequence identification numbers.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

*Drosophila melanogaster* sequence must be included in a sequence listing as:

acattgaatctcataccacttt (SEQ ID NO: 60)

*Drosophila virilis* sequence must be included in a sequence listing as:

acatgatcccacgacttt (SEQ ID NO: 61)

*Drosophila simulans* sequence must be included in a sequence listing as:

gtatggcgtcgtatsgtagttt (SEQ ID NO: 62)

**Relevant ST.26 paragraphs:** Paragraphs 7(a), 13, and 91



**Example 91-2: Representation of enumerated variants**

The description includes the following table of a peptide and functional variants thereof. A blank space in the table below indicates that an amino acid in the variant is the same as the corresponding amino acid in the "Sequence" and a "-" indicates deletion of the corresponding amino acid in the "Sequence".

Position	1	2	3	4	5	6	7	8	9
Sequence	A	V	L	T	Y	L	R	G	E
Variant 1									A
Variant 2			P			P			
Variant 3			A	I	G	Y			
Variant 4							-		

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

As indicated, a blank space in this table indicates that an amino acid in the variant is the same as the corresponding amino acid in the "Sequence". Therefore, the amino acids of the variant sequences are enumerated and specifically defined.

Since the four variant sequences each contain more than four enumerated and specifically defined amino acids, each sequence is required by ST.26 paragraph 7(a) to be included in a sequence listing with separate sequence identification numbers.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

AVLTYLRGE (SEQ ID NO: 76)

AVLTYLRGA (SEQ ID NO: 77)

AVPTYRGE (SEQ ID NO: 78)

AVAIGYRGE (SEQ ID NO: 79)

AVLTYLGE (SEQ ID NO: 80)

**Relevant ST.26 paragraphs:** Paragraphs 7(b), 26, and 91

**Example 91-3: Representation of a consensus sequence**

A patent application includes Figure 1 with the following multiple sequence alignment.

<i>Consensus</i>	LEGnEQFINAakIIRHPkYnrkTlnNDImLIk
<i>Homo sapiens</i>	LEGNEQFINAAKIIRHPQYDRKTLNNDIMLIK
<i>Pongo abelii</i>	LEGNEQFINAAKIIRHPQYDRKTVNNDIMLIK
<i>Papio Anubis</i>	LEGTEQFINAAKIIRHPDYDRKTLNNDILLIK
<i>Rhinopithecus roxellana</i>	LEGTEQFINAAKIIRHPNYNRITLDNDILLIK
<i>Pan paniscus</i>	LEGNEQFINAAKIIRHPKYNRITLNNDIMLIK
<i>Rhinopithecus bieti</i>	LEGNEQFINATKIIRHPKYNGNTLNNDIMLIK
<i>Rhinopithecus roxellana</i>	LEGNEQFINATQIIRHPKYNGNTLNNDIMLIK

The consensus sequence includes upper case letters to represent conserved amino acid residues, while the lower case letters "n", "a", "k", "r", "l" and "m" represent the predominant amino acid residues among the aligned sequences.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The lower case letters in the consensus sequence each represent a single amino acid residue. Consequently, the consensus sequence, as well as each of the remaining seven sequences in Figure 1, includes at least four specifically defined amino acids. ST.26 paragraph 7(b) requires inclusion of all eight sequences in the sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The lower case letters in the consensus sequence are being used as ambiguity symbols to represent the predominant amino acid among the possible variants for a specific position. Therefore, the lower case letters "n", "a", "k", "r", "l" and "m" are conventional symbols used in a nonconventional manner and the consensus sequence must be represented using an ambiguity symbol in place of each of the lower case letters.

The most restrictive ambiguity symbol should be used. For most positions in the consensus sequence, "X" is the most restrictive ambiguity symbol; however, the most restrictive ambiguity symbol for "D" or "N" in positions 20 and 25 is "B". The consensus sequence should be included in the sequence listing as:

LEGXEQFINAXXIIRHPXYBXTXBNDIXLIK (SEQ ID NO: 81)

According to paragraph 27, the symbol "X" will be construed as any one of "A", "R", "N", "D", "C", "Q", "E", "G", "H", "I", "L", "K", "M", "F", "P", "O", "S", "U", "T", "W", "Y", or "V", except where it is used with a further description in the feature table. Therefore, each "X" in the consensus sequence must be further described in a feature table using the feature key "VARIANT" and the qualifier "NOTE" to indicate the possible variants for each position.

The remaining seven sequences must be included in the sequence listing as:

LEGNEQFINAAKIIRHPQYDRKTLNNDIMLIK (SEQ ID NO: 82)

LEGNEQFINAAKIIRHPQYDRKTVNNDIMLIK (SEQ ID NO: 83)

LEGTEQFINAAKIIRHPDYDRKTLNNDILLIK (SEQ ID NO: 84)

LEGTEQFINAAKIIRHPNYNRITLDNDILLIK (SEQ ID NO: 85)

LEGNEQFINAAKIIRHPKYNRITLNNDIMLIK (SEQ ID NO: 86)

LEGNEQFINATKIIRHPKYNGNTLNNDIMLIK (SEQ ID NO: 87)

LEGNEQFINATQIIRHPKYNGNTLNNDIMLIK (SEQ ID NO: 88)

**CAUTION:** The preferred representation of the sequence indicated above is directed to the provision of a sequence listing on the filing date of a patent application. The same representation may not be applicable to a sequence listing provided subsequent to the filing date of a patent application, since consideration must be given to whether the information provided could be considered by an IPO to add subject matter to the original disclosure.

**Relevant ST.26 paragraphs:** Paragraphs 7(b), 26, 27, 91, and 95

**Paragraph 92 – Variant sequence disclosed as a single sequence with enumerated alternative residues**

**Example 92-1: Representation of single sequence with enumerated alternative amino acids**

A patent application claims a peptide of the sequence:

(i) Gly-Gly-Gly-[Leu or Ile]-Ala-Thr-[Ser or Thr]

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The sequence provides four specifically defined amino acids and ST.26 paragraph 7(b) requires inclusion of the sequence in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

Table 3 of Annex I, Section 3 defines the ambiguity symbol “J” as isoleucine or leucine. Therefore, the preferred representation of the sequence is:

GGGJATX (SEQ ID NO: 63)

which requires a further description in a feature table using the feature key “VARIANT” and the qualifier “NOTE” to indicate that the “X” is Serine or Threonine.

Alternatively, the sequence may be represented, for example, as:

GGGLATS (SEQ ID NO: 64)

which requires a further description in a feature table using the feature key “VARIANT” and the qualifier “NOTE” to indicate that L can be replaced by I, and S can be replaced by T.

**CAUTION:** The preferred representation of the sequence indicated above is directed to the provision of a sequence listing on the filing date of a patent application. The same representation may not be applicable to a sequence listing provided subsequent to the filing date of a patent application, since consideration must be given to whether the information provided could be considered by an IPO to add subject matter to the original disclosure.

**Relevant ST.26 paragraph(s):** Paragraphs 7(b), 8, 26, 27, 92, and 95

**Paragraph 93(a) – A variant sequence disclosed only by reference to a primary sequence with multiple independent variations**

**Example 93(a)-1: Representation of a variant sequence by annotation of the primary sequence**

An application contains the following disclosure:

“Peptide fragment 1 is Gly-Leu-Pro-Xaa-Arg-Ile-Cys wherein Xaa can be any amino acid....”

In another embodiment, peptide fragment 1 is Gly-Leu-Pro-Xaa-Arg-Ile-Cys wherein Xaa can be Val, Thr, or Asp....

In another embodiment, peptide fragment 1 is Gly-Leu-Pro-Xaa-Arg-Ile-Cys wherein Xaa can be Val.”

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

“Peptide fragment 1” in each of the three disclosed embodiments provides at least six specifically defined amino acids; therefore, the sequence must be included in a sequence listing as required by ST.26 paragraph 7(b).

**Question 3: How should the sequence(s) be represented in the sequence listing?**

In this example, the enumerated sequence of “Peptide fragment 1” is disclosed three times, as three different embodiments, each with an alternative description of Xaa. In this example, “X” is the most restrictive ambiguity symbol for the Xaa position.

ST.26 requires inclusion of the disclosed enumerated sequence only once. In the most encompassing of the three embodiments, Xaa is any amino acid (see Introduction to this document). Therefore, the sequence that must be included in the sequence listing is:

**GLPXRIC (SEQ ID NO: 65)**

Inclusion of any additional sequences essential to the disclosure or claims of the invention is strongly encouraged, as discussed in the introduction to this document.

For the above example, it is strongly encouraged that the following additional three sequences are included in the sequence listing, each with their own sequence identification number:

**GLPVRI (SEQ ID NO: 66)**

**GLPTRIC (SEQ ID NO: 67)**

**GLPDRIC (SEQ ID NO: 68)**

**CAUTION:** The preferred representation of the sequence indicated above is directed to the provision of a sequence listing on the filing date of a patent application. The same representation may not be applicable to a sequence listing provided subsequent to the filing date of a patent application, since consideration must be given to whether the information provided could be considered by an IPO to add subject matter to the original disclosure.

**Relevant ST.26 paragraph(s):** Paragraphs 7(b), 26, 27, and 93(a)

**Paragraph 93(b) – A variant sequence disclosed only by reference to a primary sequence with multiple interdependent variations**

**Example 93(b)-1: Representation of individual variant sequences with multiple interdependent variations**

A patent application describes the following consensus sequence:

**cgaatg<sub>n1</sub>cccactacgaatg<sub>n2</sub>cacgaatg<sub>n3</sub>cccaca**

wherein **n<sub>1</sub>, n<sub>2</sub>, and n<sub>3</sub>** can be a, t, g, or c.

Several variant sequences are disclosed as follows:

if **n<sub>1</sub>** is a, then **n<sub>2</sub>** and **n<sub>3</sub>** are t, g, or c;

if **n<sub>1</sub>** is t, then **n<sub>2</sub>** and **n<sub>3</sub>** are a, g, or c;

if **n<sub>1</sub>** is g, then **n<sub>2</sub>** and **n<sub>3</sub>** are t, a, or c;

if **n<sub>1</sub>** is c, then **n<sub>2</sub>** and **n<sub>3</sub>** are t, g, or a.

**Question 1: Does ST.26 require inclusion of the sequence(s)?**

**YES**

The sequence has more than ten enumerated and “specifically defined” nucleotides and is required by ST.26 paragraph 7(a) to be included in a sequence listing.

**Question 3: How should the sequence(s) be represented in the sequence listing?**

The enumerated sequence contains more than ten specifically defined nucleotides and three “n” residues. ST.26 requires inclusion of the disclosed enumerated sequence and where an ambiguity symbol is appropriate, the most restrictive symbol should be used. In this example, **n<sub>1</sub>, n<sub>2</sub>, and n<sub>3</sub>** can be a, t, g, or c, so “n” is the most restrictive ambiguity symbol. Therefore, the sequence that must be included in the sequence listing is:

**cgaatgcccactacgaatgncacgaatgnccccaca (SEQ ID NO: 69)**

The enumerated sequence contains variations at three distinct locations and the occurrence of the variations is interdependent. Inclusion of additional sequences which represent additional embodiments that are a key part of the invention is **strongly** encouraged, as discussed in the introduction to this document. Therefore, according to ST.26 paragraph 93(b), the additional embodiments should be included in a sequence listing as four separate sequences, each with its own sequence identification number:

**cgaatgaccactacgaatgbcacgaatgbcccaca (SEQ ID NO: 70)**

**cgaatgtcccactacgaatgvccacgaatgvcccaca (SEQ ID NO: 71)**

**cgaatggcccactacgaatghcacgaatghcccaca (SEQ ID NO: 72)**

**cgaatgccccactacgaatgdcacgaatgdcccaca (SEQ ID NO: 73)**

(Note that **b = t, g, or c; v = a, g, or c; h = t, a, or c; and d = t, g, or a; see Annex I, Section 1, Table 1)**

According to ST.26 paragraph 15, the most restrictive symbol must be used to represent variable positions. Consequently, **n<sub>2</sub>** and **n<sub>3</sub>** must not be represented by “n” in the sequence.

**CAUTION:** The preferred representation of the sequence indicated above is directed to the provision of a sequence listing on the filing date of a patent application. The same representation may not be applicable to a sequence listing provided subsequent to the filing date of a patent application, since consideration must be given to whether the information provided could be considered by an IPO to add subject matter to the original disclosure.

**Relevant ST.26 paragraphs:** Paragraphs 7(a), 15, and 93(b)

[Appendix of Annex VI follows]

## APPENDIX

### GUIDANCE DOCUMENT SEQUENCES IN XML

```
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