



C.PCT 926
- 41

June 26, 2003

Madam,
Sir,

Proposed modifications of certain receiving Office Forms

This Circular is addressed to your Office in its capacity as receiving Office under the Patent Cooperation Treaty (PCT) for the purposes of consultation under Rule 89.2(b). It concerns proposed modifications to specific forms relating to receiving Offices in order to take into account amendments of the Regulations under the PCT as adopted by the PCT Assembly at its thirty-first (18th extraordinary) session held from September 23 to October 1, 2002 (please see document PCT/A/31/10), which will enter into force on January 1, 2004.

A number of modifications to the receiving Office Forms are proposed with this Circular based on the amendments, as adopted by the PCT Assembly, which relate to the new concept and operation of the designation system, including certain time limits for filing of the demand, and finally, the changes in the fee structure.

- ./. Detailed explanations of the proposed modifications are set out in Annex I of this Circular. The proposed modified Forms are set out in Annex II of this Circular (the modifications are identified by vertical lines in the right margin of the sheets concerned).

Comments on the proposed modifications of the Forms

Noting that the Forms should be promulgated with effect from the date of entry into force of the amendments referred to above, that is, with effect from January 1, 2004, and that a further consultation may be required after

/...

consideration of the comments received in response to this Circular, you are invited to provide comments, if any, to the International Bureau by July 21, 2003, preferably by fax to (+41-22) 910 00 30 or by email to pct.legal@wipo.int.

Yours sincerely,



Francis Gurry
Assistant Director General

Enclosures: Annex I – Detailed explanations of the proposed modifications to certain receiving Office Forms

Annex II – Proposed modified receiving Office Forms

DETAILED EXPLANATIONS OF THE PROPOSED MODIFICATIONS TO
CERTAIN RECEIVING OFFICE FORMS

General comment

The International Bureau proposes a number of minor editorial and layout changes with a view to, as the case may be, harmonize and simplify the Forms. One such example is to adjust the size of the Boxes in the Forms themselves. Such changes are not explained in detail below, however, vertical lines in the right margin of the sheets concerned identify them.

Proposed modifications of the Forms relating to the concept and operation of the designation system

(a) *PCT/RO/103* (“*Invitation to Correct the Purported International Application*”). Item 5 has been deleted since it is no longer applicable under the new designation system. Consequently, the remaining items have been renumbered.

(b) *PCT/RO/106* (“*Invitation to Correct Defects in the International Application*”). In accordance with Rule 26.2bis, item 1 in Annex A has been modified to indicate that a possible defect in the international application is the lack of signature by at least one of the applicants as opposed to all of the applicants. Similarly, modifications are made to item 2, so that all indications made concern at least one of the applicants entitled to file under Rule 19.1. Please note that only the cover sheet and Annex A are included, since no changes are proposed to Annexes B1, C1, B2 and C2.

(c) *PCT/RO/115* (“*Notification of Intention to make Declaration that International Application Considered Withdrawn*”). Item 5 has been deleted since it is no longer applicable under the new designation system. Consequently, the remaining items have been renumbered.

(d) *PCT/RO/116* (“*Notification of Designations Considered to Be Withdrawn*”). This Form has been deleted since it is no longer applicable under the new designation system.

(e) *PCT/RO/139* (“*Notification Regarding Confirmation of Precautionary Designations*”). This Form has been deleted as the option of making precautionary designations no longer exists under the new designation system.

(f) *PCT/RO/144* (“*Notice of Confirmation of Precautionary Designations*”). This Form has been deleted as the option of making precautionary designations no longer exists under the new designation system.

Proposed modifications of the Forms relating to certain changes in fee structure

(a) *PCT/RO/102* (“*Notification Concerning Payment of Prescribed Fees*”). It is proposed that the wording be modified pursuant to amended Rule 15, namely that the “basic fee” and “designation fee” be replaced by “international filing fee”. Accordingly, in the Annex, the “International Fee” is replaced by “International Filing Fee” and Boxes B and D are deleted. In addition, reference to the payment of the designation fee is deleted.

(b) *PCT/RO/117* (“*Notification that International Application Considered to Be Withdrawn*”). The text of item 3 has been modified so as to replace “basic fee” and “designation fee” with “international filing fee”.

(c) *PCT/RO/133* (“*Invitation to Pay Prescribed Fees Together with Late Payment Fee*”). The words “basic fee” and “designation fee” in item No.1 are replaced by “international filing fee”. Item 1 is also modified to indicate the change to a one-month time limit for payment of prescribed fees. Accordingly, in the Annex, the “International Fee” is replaced by “International Filing Fee” and Boxes B and D are deleted. In addition, the late payment fee box has been amended according to Rule 16*bis*.2.

(d) *PCT/RO/145* (“*Invitation to Pay Prescribed Fees for Confirmation of Precautionary Designations*”). This Form has been deleted as the option of making precautionary designations no longer exists under the new designation system as a result of the deletion of Rules 4.9(c) and 15.5.

(e) *PCT/RO/151* (“*Notification of Transmittal of Purported International Application to the International Bureau as Receiving Office and Invitation to Pay Fee*”). The terms “basic fee” and “designation fee” are replaced by “international filing fee” in item 5 of this Form.

(f) *PCT/RO/152* (“*Invitation to Authorize Transmittal of Purported International Application to the International Bureau as Receiving Office and to Pay Fee*”). The terms “basic fee” and “designation fee” are replaced by “international filing fee” in item 5 of this Form.

(g) It should be noted that no modification of Forms PCT/RO/102, PCT/RO/133, PCT/RO/151 and PCT/RO/152 are proposed at this time concerning the applicant’s ability to request, where applicable, that the priority document be obtained from a digital library, instead of submitting the priority document in paper form to the International Bureau or the receiving Office. Such modifications of these Forms will be proposed once the necessary Administrative Instructions have been promulgated and once at least one digital library is established and available to PCT applicants.

Proposed modifications of the Forms relating to the time limit for filing of the demand

(a) *PCT/RO/153 (“Notification of Transmittal of Demand to the International Bureau or to the Competent International Preliminary Examining Authority”)*. The text of item 3 on this form has been amended to note that the receiving Office is not in a position to determine whether the date of receipt of the demand is after the expiration of the time limit prescribed under Rule 54*bis*.1(a). However, should the applicable time limit have already expired, the applicant will be notified by the competent International Preliminary Examining Authority at a later date.

(b) *PCT/RO/154 (“Invitation to Indicate Competent International Preliminary Examining Authority”)*. The same modification is made in this Form to item 3 concerning the date of receipt of a demand as stated above in PCT/RO/153. Additionally, modification is made to the “Reply Due” box on this Form. The text is amended to specify that the reply is due before the expiration of a time limit prescribed under Rule 54*bis*.1(a).

[Annex II follows]

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCTNOTIFICATION CONCERNING PAYMENT
OF PRESCRIBED FEES(PCT Rules 14, 15 and 16 and Administrative
Instructions, Sections 102bis(c), 304(a),
323(b), 707(b) and 803)

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference

PAYMENT DUE

see item 3 for time limits

International application No.

International filing date/Date of receipt
(day/month/year)

Priority date (day/month/year)

Applicant

1. The applicant is hereby notified that this receiving Office has received:

 the payment of all the prescribed fees, and **an overpayment**, which will be refunded in due course. **no or insufficient payment** of the prescribed fees and the applicant is hereby **invited to pay the balance due**, as summarized under item 2, within the time limit(s) indicated under item 3.2. **Fees and payment calculation:**

_____	-	_____	=	_____
Total fees payable		Amount paid		Balance

 The details of the calculation are given in the Annex.3. **Time limit(s) for payment and amount(s) payable (Rules 14.1, 15.4 and 16.1(f)):** within ONE MONTH from the date of receipt of the international application (**for the transmittal fee** (if any), **the search fee** and **the international filing fee**). The amount payable for each fee is the amount applicable on the date of receipt of the international application. within 16 MONTHS from the priority date (only for the fee for priority document). The applicant's attention is drawn to the fact that the request made by the applicant under Rule 17.1(b) will be considered not to have been made unless the fee is paid within that time limit.4. Additional observations (*if necessary*): The search copy will not be transmitted to the International Searching Authority until the search fee is paid (therefore the start of the international search will be delayed) (Rule 23.1(a) and (b)).

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.

ANNEX TO FORM PCT/RO/102
CALCULATION OF THE PRESCRIBED FEES

International application No. _____

T Transmittal Fee

Prescribed amount: _____ **T**

Amount paid: - _____

Balance: = _____

- correct amount
- overpayment
- balance due

S Search Fee

Prescribed amount: _____ **S**

Amount paid: - _____

Balance: = _____

- correct amount
- overpayment
- balance due

I International Filing Fee

Fixed amount for first 30 sheets: **i1**

_____ x _____ = _____ **i2**

Number of sheets Fee per sheet
in excess of 30

Additional component: 400 x _____ = _____ **i3**
Fee per sheet

Reduction where PCT-EASY software is used or where the international application is filed in electronic form (*See the PCT Applicant's Guide, Volume I, General Part, for details on the availability of this reduction*):. - _____ **r**

Sub-total (i1+i2+i3-r): = _____ **i1+i2+i3-r**

Prescribed total amount (*The amount to be entered at I is the sub-total entered at (i1+i2+i3-r), except where the applicant is (or all applicants are) entitled to a reduction of 75%, in which case the amount to be entered at I is 25% of the sub-total (i1+i2+i3-r); certain applicants from certain States are entitled to a reduction of 75% of the international filing fee; see Notes to the Fee Calculation Sheet as annexed to the Request Form, PCT/RO/101, for details*):. = _____ **I**

Amount paid: - _____

Balance: = _____

- correct amount
- overpayment
- balance due

P Fee for Priority Document

Prescribed amount: _____ **P**

Amount paid: - _____

Balance: = _____

- correct amount
- overpayment
- balance due

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO CORRECT THE PURPORTED INTERNATIONAL APPLICATION

(PCT Article 11(2)(a) and Rule 20.6)

To:	
Applicant's or agent's file reference	Date of mailing (day/month/year)
International application No.	REPLY DUE within _____ months/days from the above date of mailing See also last two paragraphs below.
Applicant	Date of receipt (day/month/year)
Title of the invention	

The applicant is hereby invited, within the time limit indicated above, to correct the purported international application since it does not fulfill the requirements under Article 11(1) for the according of an international filing date, for the reason(s) indicated below.

1. The applicant obviously lacks for reasons of residence and nationality the right to file an international application with this receiving Office (Article 11(1)(i) and Rules 18 and 19).
2. The description is not in (one of) the prescribed language(s), which is (are): _____
(Article 11(1)(ii) and Rules 12.1(a) and 20.4(c)).
3. The claims are not in (one of) the prescribed language(s), which is (are): _____
(Article 11(1)(ii) and Rules 12.1(a) and 20.4(c)).
4. The application does not contain an indication that it is intended as an international application
(Article 11(1)(iii)(a) and Rule 4.2).
5. The application does not contain the name of the applicant, as prescribed
(Article 11(1)(iii)(c) and Rule 20.4(b)).
6. The application does not contain a part which on the face of it appears to be a description
(Article 11(1)(iii)(d) and Rule 5).
7. The application does not contain a part which on the face of it appears to be a claim or claims
(Article 11(1)(iii)(e) and Rule 6).

ATTENTION

- The international filing date will be the date on which the corrections are received, if that date falls within the time limit indicated above. If the corrections are not received within that time limit, the application will not be treated as an international application.
- The time limit for responding to this invitation expires later than one year from the filing date of the earliest application whose priority is claimed. Thus, any correction received by this receiving Office after the priority year will require the receiving Office to declare *ex officio* that the priority claim is considered, for the purposes of the procedure under the PCT, not to have been made.

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCTINVITATION TO CORRECT DEFECTS IN
THE INTERNATIONAL APPLICATION

(PCT Articles 3(4)(i) and 14(1) and Rule 26)

To:

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference

REPLY DUE within months/days from
the above date of mailing

International application No.

International filing date
(day/month/year)

Applicant

1. The applicant is hereby **invited**, within the time limit indicated above, to correct, **in the international application as filed**, the defects specified on the attached:
- Annex A
- Annex B1 (*text matter of the international application as filed*)
- Annex C1 (*drawings of the international application as filed*)
2. The applicant is hereby **invited**, within the time limit indicated above, to correct, **in the translation of the international application** furnished under Rule 12.3 or 12.4, the defects specified on the attached:
- Annex A
- Annex B2 (*text matter of the translation of the international application*)
- Annex C2 (*drawings of the translation of the international application*)

Additional observations (if necessary):**HOW TO CORRECT THE DEFECTS?**

Correction must be submitted by filing a replacement sheet embodying the correction and a letter accompanying the replacement sheet, which shall draw attention to the difference between the replaced sheet and the replacement sheet. A correction may be stated in a letter only if it is of such a nature that it can be transferred from the letter to the record copy without adversely affecting the clarity and direct reproducibility of the sheet onto which the correction is to be transferred (Rule 26.4).

ATTENTION

Failure to correct the defects will result in the international application being considered withdrawn by this receiving Office (see Rule 26.5 for further details).

A copy of this invitation and any attachments has been sent to the International Bureau

 and the International Searching Authority

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.

International application No.

ANNEX A TO FORM PCT/RO/106

The receiving Office has found the following defects in the international application as filed:

1. As to **signature** of the international application (Rules 4.15, 26.2bis(a) and 90.4), the request:
- a. is not signed* by the applicant or, if there is more than one applicant, by at least one of them
 - b. is not accompanied by the statement referred to in the check list in Box No. IX of the request explaining the lack of the signature of an applicant for the designation of the United States of America
 - c. is signed by what appears to be an agent/common representative but:
 - the international application is not accompanied by a power of attorney appointing him
 - the power of attorney accompanying the international application is not signed by all the applicants
 - d. other (*specify*):

* Although Rule 4.15 requires that all applicants must sign the request (e.g. including all inventors/applicants for the designation of the United States of America), for the purposes of Article 14(1)(a)(i), if there is more than one applicant, it shall be sufficient that the request be signed by one of them (Rule 26.2bis(a)).

However, the applicant's attention is drawn to the fact that the national law applied by each designated Office may require, in connection with the processing of the international application in the national phase, that the applicant furnish the confirmation of the international application by the signature of any applicant for the designated State who has not signed the request (Rule 51bis.1(a)(vi)).

2. As to indications concerning the **applicant*** who is entitled, according to Rule 19.1, to file the international application with the receiving Office, the request (Rules 4.4, 4.5 and 26.2bis(b)):
- a. does not properly indicate the applicant's name (*specify*):

 - b. does not indicate the applicant's address
 - c. does not properly indicate the applicant's address (*specify*):

 - d. does not indicate the applicant's nationality
 - e. does not indicate the applicant's residence

Further observations about indications concerning other applicants (if applicable):

* Although Rules 4.4 and 4.5 require indications concerning the applicant, or if there are several applicants, of each of them, for the purposes of Article 14(1)(a)(ii), if there is more than one applicant, it shall be sufficient that the indications required under Rule 4.5(a)(ii) and (iii) be provided in respect of one of them who is entitled according to Rule 19.1 to file the international application with the receiving Office (Rule 26.2bis(b)).

However, the applicant's attention is drawn to the fact that the national law applied by each designated Office may require, in connection with the processing of the international application in the national phase, that the applicant furnish any missing indication required under Rule 4.5(a)(ii) and (iii) in respect of any applicant for the designated State (Rule 51bis.1(a)(vii)).

3. As to the **language** of certain elements of the international application, other than the description and claims (Rules 12.1(c) and 26.3ter(a) and (c)):
- a. the **request** is not in a language of publication accepted by this receiving Office; (the) language(s) accepted by this receiving Office is/are:

 - b. the **text matter of the drawings** is not in the language in which the international application is to be published, which is:

 - c. the **abstract** is not in the language in which the international application is to be published, which is:

4. The **title** of the invention:
- a. is not indicated in Box No. I of the request (Rule 4.1(a))
 - b. is not indicated at the top of the first sheet of the description (Rule 5.1(a))
 - c. as appearing in Box No. I of the request is not identical with the title heading the description (Rule 5.1(a))

5. As to the **abstract** (Rule 8):
- the international application does not contain an abstract

Annex II to Circular C.PCT 926
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PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION OF INTENTION TO MAKE
DECLARATION THAT INTERNATIONAL
APPLICATION CONSIDERED WITHDRAWN

(PCT Article 14(4) and Rule 29.4)

To:	
	Date of mailing <i>(day/month/year)</i>
Applicant's or agent's file reference	REPLY DUE within ONE MONTH from the above date of mailing
International application No.	International filing date <i>(day/month/year)</i>
Applicant	

The applicant is hereby notified that, for the reason(s) indicated below, this receiving Office **intends to declare that the international application will be considered withdrawn** based upon the tentative finding that the requirements under Article 11(1) were not complied with at the time the international filing date was accorded.

1. The applicant obviously lacks the right to file an international application with this receiving Office for reasons of residence and nationality (Article 11(1)(i) and Rules 18 and 19).
2. The description is not in (one of) the prescribed language(s), which is (are): _____
(Article 11(1)(ii) and Rules 12.1(a) and 20.4(c)).
3. The claims are not in (one of) the prescribed language(s), which is (are): _____
(Article 11(1)(ii) and Rules 12.1(a) and 20.4(c)).
4. The application does not contain an indication that it is intended as an international application
(Article 11(1)(iii)(a) and Rule 4.2).
5. The application does not contain the name of the applicant, as prescribed
(Article 11(1)(iii)(c) and Rule 20.4(b)).
6. The application does not contain a part which on the face of it appears to be a description
(Article 11(1)(iii)(d) and Rule 5).
7. The application does not contain a part which on the face of it appears to be a claim or claims
(Article 11(1)(iii)(e) and Rule 6).

If the applicant disagrees with this tentative finding, the applicant may, within the time limit indicated above, submit to this receiving Office arguments to that effect.

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

To:

PCT

NOTIFICATION THAT INTERNATIONAL
APPLICATION CONSIDERED
TO BE WITHDRAWN

(PCT Article 14(1) or (3) and Rules 12.3(d) or 12.4(d),
29.1 or 92.4(g)(i))

Date of mailing (day/month/year)

Applicant's or agent's file reference

IMPORTANT NOTIFICATION

International application No.

International filing date
(day/month/year)

Applicant

The applicant is hereby notified that **the international application is declared by this receiving Office to be considered withdrawn** for the reason indicated below:

1. **Failure to correct certain defects:** An invitation (Form PCT/RO/106) to correct defects in the international application was mailed by this receiving Office on

However: no corrections, in response to that invitation, have been received within the prescribed time limit
 applicant's corrections as submitted do not properly correct the defects noted in that invitation

2. **Failure to furnish the required translation of the international application and/or to pay the late furnishing fee:** An invitation (Form PCT/RO/150 or Form PCT/RO/157) to furnish the required translation of the international application and to pay, where applicable, the late furnishing fee was mailed by this receiving Office on

However, within the time limit referred to in that invitation:

the required translation was not furnished the required late furnishing fee was not paid

3. **Failure to pay prescribed fees:** An invitation (Form PCT/RO/133) to pay the prescribed fees was mailed by this receiving Office on

However, within the time limit referred to in that invitation:

no fees have been paid
 the amounts paid are not sufficient to cover the transmittal fee, the international filing fee, the search fee and the late payment fee

4. **Failure to furnish the original of the international application:** An invitation (Form PCT/RO/141) to furnish the original of the international application (transmitted earlier by facsimile machine/teleprinter/etc.) was mailed by this receiving Office on

However, the original was not furnished within the time limit fixed in that invitation.

5. A copy of this notification has been sent to the International Bureau and to the International Searching Authority.

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO PAY PRESCRIBED FEES
TOGETHER WITH LATE PAYMENT FEE

(PCT Rule 16bis)

To:	
	Date of mailing (day/month/year)
Applicant's or agent's file reference	PAYMENT DUE within ONE MONTH from the above date of mailing
International application No.	International filing date/Date of receipt (day/month/year)
Applicant	

1. This receiving Office has found that the prescribed fees (i.e., transmittal fee, search fee and international filing fee) have not been paid (in full), within one month from the date of receipt of the international application (Rules 14, 15 and 16). See below for details of the calculation.

2. The applicant is hereby **invited**, within the time limit indicated above, **to pay the following total amount** (see the Annex for details):

_____ + _____ = _____
 Total unpaid fees Late payment fee Total amount due

3. **Failure to pay** the total amount due within the time limit indicated above may result in the international application being considered withdrawn by this receiving Office.

The applicant's attention is drawn to Rules 16bis.1(c) and 29.

4. Additional observations (if any):

the amount of _____ was received by this receiving Office after the expiration of the applicable time limit; the total amount due indicated above is correspondingly reduced

other observations:

5. A copy of this invitation is being sent to the International Bureau.

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

ANNEX TO FORM PCT/RO/133
CALCULATION OF THE PRESCRIBED FEES

International application No. _____

T **Transmittal Fee**

Prescribed amount: _____ **T**

Amount paid: - _____

Balance: = _____

- correct amount
- overpayment
- balance due

S **Search Fee**

Prescribed amount: _____

Amount paid: - _____ **S**

Balance: = _____

- correct amount
- overpayment
- balance due

I **International Filing Fee**

Fixed amount for first 30 sheets: _____ **i1**

_____ x _____ = _____ **i2**

Number of sheets Fee per sheet
in excess of 30

Additional component: . . . 400 x _____ = _____ **i3**

Fee per sheet

Reduction where PCT-EASY software is used
or where the international application is filed in
electronic form (*See the PCT Applicant's Guide,
Volume I, General Part, for details on the
availability of this reduction*): - _____ **r**

Sub-total (i1+i2+i3-r): = _____ **i1+i2+i3-r**

Prescribed total amount (*The amount to be entered at I is the sub-total
entered at (i1+i2+i3-r), except where the applicant is (or all applicants
are) entitled to a reduction of 75%, in which case the amount to be
entered at I is 25% of the sub-total (i1+i2+i3-r); certain applicants from
certain States are entitled to a reduction of 75% of the international
filing fee; see Notes to the Fee Calculation Sheet as annexed to the
Request Form, PCT/RO/101, for details*): = _____ **I**

Amount paid: - _____

Balance: = _____

- correct amount
- overpayment
- balance due

Late Payment Fee

The amount of the late payment fee corresponds to:

- 50% of total unpaid fees
- the transmittal fee (minimum amount of the late payment fee)
- 25% of the international filing fee, not taking into account any fee for each sheet of the international application in excess of 30 sheets (maximum amount of the late payment fee)

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

To:	<h1 style="margin: 0;">PCT</h1> <p style="margin: 0;">NOTIFICATION OF TRANSMITTAL OF PURPORTED INTERNATIONAL APPLICATION TO THE INTERNATIONAL BUREAU AS RECEIVING OFFICE AND INVITATION TO PAY FEE</p> <p style="margin: 0;">(PCT Rule 19.4(a)(i) and (ii) and Administrative Instructions, Section 333)</p>
	Date of mailing (day/month/year)
Applicant's or agent's file reference	REPLY DUE 15 days from the above date of mailing (only if item 3 applies)
Receiving Office reference/International application No.	Date of receipt of the purported international application (day/month/year)
Applicant	

<p>1. The applicant is hereby notified that:</p> <p><input type="checkbox"/> this receiving Office is not competent, on account of the nationality and residence of the applicant, to receive the international application (Rule 19.1 or 19.2).</p> <p><input type="checkbox"/> the international application is not in a language accepted by this receiving Office under Rule 12.1(a) but is in a language accepted by the International Bureau as receiving Office.</p> <p>2. Consequently, the international application is considered to have been received by this Office on behalf of the International Bureau as receiving Office on the date of receipt indicated above, and is or will be promptly transmitted to it.</p> <p>3. <input type="checkbox"/> The transmittal to the International Bureau as receiving Office is subject to the payment of a fee in the amount of:</p> <p><input type="checkbox"/> The fee will be deducted from any fee already paid to this Office.</p> <p><input type="checkbox"/> The applicant is hereby invited to pay that fee within the time limit indicated above.</p> <p>Failure to pay that fee may result in the international application not being transmitted to the International Bureau as receiving Office.</p> <p>4. Any fees paid by the applicant will be refunded in due course, except, where applicable, the above-mentioned fee payable to this Office under Rule 19.4(b) (see item 2).</p> <p>5. The transmittal fee, the international filing fee and the search fee are payable to the International Bureau as receiving Office, in a currency prescribed by it within a time limit of one month from the actual date of receipt of the international application by the International Bureau as receiving Office (rather than one month from the date of receipt of the international application indicated above).</p> <p>6. ATTENTION: If the applicant has requested the receiving Office to prepare and transmit to the International Bureau a certified copy of any earlier application the priority of which is claimed by marking the check-box provided for in Box No. VI of the request (see Rule 17.1(b)), it remains the applicant's responsibility to submit such certified copy to the International Bureau, or to the International Bureau as receiving Office, within the time limit under Rule 17.1(a).</p> <p>7. A copy of this notification is being sent to the International Bureau as receiving Office together with the above-mentioned international application.</p>

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO AUTHORIZE TRANSMITTAL OF
PURPORTED INTERNATIONAL APPLICATION TO
THE INTERNATIONAL BUREAU AS RECEIVING
OFFICE AND TO PAY FEE

(PCT Rule 19.4(a)(iii) and
Administrative Instructions, Section 333)

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference

REPLY DUE
15 days from the above date of mailing

Receiving Office reference/International application No.

Date of receipt of purported
international application
(day/month/year)

Applicant

1. The applicant is hereby **informed** that this receiving Office and the International Bureau have, subject to the authorization of the applicant and, where required, payment of a fee (see items 2 and 3), agreed to transmit the international application to the International Bureau as receiving Office (*the reason may be specified*).
2. The applicant is hereby **invited**, within the time limit indicated above, **to authorize the transmittal of the international application** to the International Bureau as receiving Office by filing a written notice to this effect.
3. The receiving Office acknowledges receipt of the authorization of the applicant to transmit the international application to the International Bureau as receiving Office.
4. The transmittal of the international application is also subject to the payment of a fee in the amount of:
 - The fee will be deducted from any fee already paid to this Office.
 - The applicant is hereby **invited to pay** that fee within the time limit indicated above.
5. **If the transmittal is authorized by the applicant and any required fee is paid** (see item 4), the international application will be considered to have been received by this Office on behalf of the International Bureau as receiving Office on the date of receipt indicated above, and will be transmitted to the International Bureau as receiving Office (Rule 19.4(a) and (b)) and the following will apply:
 - Any fees paid by the applicant will be refunded in due course, except, where applicable, the above-mentioned fee payable to this Office under Rule 19.4(b) (see item 2).
 - The transmittal fee, the international filing fee and the search fee are payable to the International Bureau as receiving Office, in a currency prescribed by it within a time limit of one month from the actual date of receipt of the international application by the International Bureau as receiving Office (rather than one month from the date of receipt of the international application indicated above).
 - If the applicant has requested the receiving Office to prepare and transmit to the International Bureau a certified copy of any earlier application the priority of which is claimed by marking the check-box provided for in Box No. VI of the request (see Rule 17.1(b)), it remains the applicant's responsibility to submit a certified copy of any such earlier application to the International Bureau, or to the International Bureau as receiving Office within the time limit under Rule 17.1(a).
6. **If the applicant does not authorize the transmittal** of the international application to the International Bureau as receiving Office, this receiving Office will process the application.
7. A copy of this invitation is being sent to the International Bureau as receiving Office.

Name and mailing address of the receiving Office

Facsimile No.

Authorized officer

Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

To:

PCT

NOTIFICATION OF TRANSMITTAL OF DEMAND
TO THE INTERNATIONAL BUREAU OR TO THE
COMPETENT INTERNATIONAL PRELIMINARY
EXAMINING AUTHORITY

(PCT Rule 59.3(a) and (f) and
Administrative Instructions, Section 334)

Date of mailing (day/month/year)

Applicant's or agent's file reference	IMPORTANT NOTIFICATION
International application No.	International filing date (day/month/year)
	Priority date (day/month/year)
Applicant	

1. This receiving Office has **received** on _____ (date of receipt) a demand for international preliminary examination of the international application.
2. The applicant is hereby **notified** that:
 - this receiving Office **has transmitted the demand to the International Bureau** which will transmit it, as the case may be, directly to the competent International Preliminary Examining Authority and inform the applicant accordingly, or invite the applicant to indicate the competent International Preliminary Examining Authority to which the demand should be transmitted.
 - this receiving Office **has transmitted the demand directly to the competent International Preliminary Examining Authority** which is:
3. The date of receipt indicated above has been marked on the demand; **the demand will, in accordance with Rule 59.3(e), be considered to have been received by the competent International Preliminary Examining Authority on that date of receipt.**
 - ATTENTION:** That date of receipt is **after** the expiration of 19 months from the priority date. Consequently, in respect of some Offices, the demand does not have the effect of postponing the entry into the national phase until 30 months from the priority date (or later in some Offices) (Article 39(1)) and the acts for entry into the national phase must therefore be performed within 20 months from the priority date (or later in some Offices). **However**, in respect of some other Offices, the time limit of 30 months (or later) may nevertheless apply. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the *PCT Applicant's Guide*, Volume II, National Chapters and the WIPO Internet site.
 - ATTENTION:** This receiving Office is not in a position to determine whether that date of receipt is **after** the expiration of the applicable time limit under Rule 54bis.1(a), that is, three months from the date of transmittal to the applicant of the international search report and the written opinion established under Rule 43bis.1 (or of the declaration referred to in Article 17(2)(a)) or 22 months from the priority date, whichever expires later.

However, the applicant's attention is drawn to the fact that, should the applicable time limit have already expired, the competent International Preliminary Examining Authority will notify the applicant accordingly at a later date.
 - (If applicable) The applicant has already been informed accordingly by telephone, facsimile transmission or in person, on: _____
4. A copy of this notification is being sent to the International Bureau or to the competent International Preliminary Examining Authority indicated above, as the case may be.

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO INDICATE
COMPETENT INTERNATIONAL PRELIMINARY
EXAMINING AUTHORITY

(PCT Rule 59.3(f) and
Administrative Instructions, Section 334)

To:		Date of mailing (day/month/year)	
Applicant's or agent's file reference		REPLY DUE 15 days from the above date of mailing or 19 months from the priority date, whichever expires later, or before the expiration of the time limit under Rule 54bis.1(a)	
International application No.	International filing date (day/month/year)	Priority date (day/month/year)	
Applicant			

1. This receiving Office has **received** on _____ (date of receipt) a demand for international preliminary examination of the international application.

2. The applicant is hereby **invited**, within the time limit indicated above, **to indicate the competent International Preliminary Examining Authority** to which the demand should be transmitted (Rule 59.3(c)(ii)).

Failure to respond to this Invitation, within the time limit indicated above, will result in this receiving Office declaring that the demand will be considered not to have been submitted (Rule 59.3(d)).

3. The date of receipt indicated above has been marked on the demand; **provided the applicant responds to this Invitation within the time limit indicated above, the demand will, in accordance with Rule 59.3(e), be considered to have been received by the competent International Preliminary Examining Authority on that date of receipt.**

ATTENTION: That date of receipt is **after** the expiration of 19 months from the priority date. Consequently, in respect of some Offices, the demand does not have the effect of postponing the entry into the national phase until 30 months from the priority date (or later in some Offices) (Article 39(1)) and the acts for entry into the national phase must therefore be performed within 20 months from the priority date (or later in some Offices). **However**, in respect of some other Offices, the time limit of 30 months (or later) may nevertheless apply. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the *PCT Applicant's Guide*, Volume II, National Chapters and the WIPO Internet site.

ATTENTION: This receiving Office is not in a position to determine whether that date of receipt is **after** the expiration of the applicable time limit under Rule 54bis.1(a), that is, three months from the date of transmittal to the applicant of the international search report and the written opinion established under Rule 43bis.1 (or of the declaration referred to in Article 17(2)(a)) or 22 months from the priority date, whichever expires later.

However, the applicant's attention is drawn to the fact that, should the applicable time limit have already expired, the competent International Preliminary Examining Authority will notify the applicant accordingly at a later date.

(If applicable) The applicant has already been informed accordingly by telephone, facsimile transmission or in person, on: _____

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.