



WIPO/GRTKF/IC/17/8
ORIGINAL: ENGLISH
DATE: SEPTEMBER 15, 2010

Intergovernmental Committee on Intellectual Property and Genetic Resources, Traditional Knowledge and Folklore

Seventeenth Session
Geneva, December 6 to 10, 2010

SUMMARY REPORT OF THE FIRST INTERSESSIONAL WORKING GROUP

Document prepared by the Secretariat

INTRODUCTION

1. The First Intersessional Working Group (IWG 1) met from July 19 to 23, 2010. At the conclusion of its session, it adopted a “Summary Report of the First Intersessional Working Group” (WIPO/GRTKF/IC/IWG/1/2) which describes the work undertaken by IWG 1.
2. The Summary Report includes a formal recommendation to the Intergovernmental Committee on Intellectual Property and Genetic Resources, Traditional Knowledge and Folklore (the IGC), namely that the IGC “request the Secretariat to prepare a glossary on the meanings of key terms in WIPO/GRTKF/IC/17/4 Prov. for consideration by the IGC”, and makes certain other suggestions in this regard, (see paragraph 7 of the Summary Report). In paragraph 8 of the Summary Report, IWG 1 requested the Secretariat to prepare two documents for this session of the IGC. These are made available as WIPO/GRTKF/IC/17/9 (“Draft Articles on the Protection of Traditional Cultural Expressions/Expressions of Folklore Prepared at IWG 1”) and WIPO/GRTKF/IC/17/INF/7 (“Record of Deliberations at IWG 1”).
3. IWG 1 also requested that the Summary Report, including the List of Participants of the said session, be submitted to the Committee at its seventeenth session (see paragraph 9 of the Summary Report). This present document fulfils that request.

4. The following documents also made available at this session of the IGC are directly related to the present document:

- (a) "Draft Articles on the Protection of Traditional Cultural Expressions/Expressions of Folklore Prepared at IWG 1" (WIPO/GRTKF/IC/17/9); and,
- (b) "Record of Deliberations of IWG 1" (WIPO/GRTKF/IC/17/INF/7).

5. *The Committee is invited to take note of the Summary Report of IWG 1 in the Annex, and, in particular, the recommendation made in paragraph 7 thereof.*

[Annex follows]

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WIPO/GRTKF/IWG/1/2
ORIGINAL: ENGLISH
DATE : JULY 23, 2010

**Intergovernmental Committee on Intellectual Property and
Genetic Resources, Traditional Knowledge and Folklore**

**First Intersessional Working Group
Geneva, July 19 to 23, 2010**

SUMMARY REPORT OF THE FIRST INTERSESSIONAL WORKING GROUP

prepared by the Secretariat

Summary Report of the First Intersessional Working Group

Agenda Item 1: Opening of the session

1. The session was opened by Mr. Johannes Christian Wichard, Deputy Director General, on behalf of the Director General, Mr. Francis Gurry.
2. Mr. Wend Wendland (WIPO) acted as Secretary to the First Intersessional Working Group (IWG1).

Agenda Item 2: Election of officers

3. Mrs. Savitri Suwansathit (Thailand) was elected Chair and Mrs. Ndeye Abibatou Youm Diabe Siby (Senegal), Mr. Norman Bowman (Australia), Ms. Vladia Borissova (Bulgaria) and Mr. Eduardo Tempone (Argentina) were elected Vice-chairs of IWG 1.

Agenda Item 3: Adoption of the Agenda

4. IWG 1 adopted the draft agenda (WIPO/GRTKF/IWG/1/1 Prov.) as its agenda without modifications.

Agenda Item 4: Traditional cultural expressions/expressions of folklore

5. With reference to document WIPO/GRTKF/IC/17/4 Prov., IWG 1 discussed the issues and articles as follows: objectives and general guiding principles; subject matter of protection (Article 1); beneficiaries/management of rights (Articles 2 and 4); acts of misappropriation/exceptions and limitations/formalities (Articles 3, 5 and 7); term of protection/transitional measures (Articles 6 and 9); sanctions/remedies and exercise of rights (Article 8); relationship with IP protection and other forms of protection, preservation and promotion (Article 10); and, international and regional protection (Article 11). The work program for the session is attached as Annex A.
6. After extensive and detailed deliberations in the plenary of IWG 1, six informal, open-ended drafting groups were established to further discuss, exchange and consolidate the various views expressed in the plenary, and to propose streamlined text, including options, on each cluster of issues. The work of the informal drafting groups was consolidated and presented to all the experts in the IWG plenary as the advice that the IWG was requested to provide to the IGC. On July 23, 2010, the consolidated drafts as prepared by the informal working groups were commented on by the experts in the IWG 1 plenary and some experts added new options. The drafting proposals, comments and additional options were noted and not adopted as such.
7. IWG 1 identified the need to include definitions/a glossary of key terms in the text and recommends formally that, at its next session, the IGC request the Secretariat to prepare a glossary on the meanings of key terms in WIPO/GRTKF/IC/17/4 Prov. for consideration by the IGC. The IGC is invited to further consider whether or not this glossary could serve as a basis for an additional article including definitions of terms to be inserted within the text. This glossary should draw, as far as possible, from existing United Nations and

other international instruments. The IGC is invited to consider whether such a glossary should also be prepared in respect of "traditional knowledge" (with reference to WIPO/GRTKF/IC/17/5 being prepared for the IGC's 17th session), noting that at its 16th session, the IGC has already commissioned a glossary on intellectual property and genetic resources.

8. IWG 1 requested the Secretariat to prepare, for the next session of the IGC:
 - (a) a document (WIPO/GRTKF/IWG/1/3) incorporating the draft articles prepared by the informal drafting groups, as well as the additional comments and options made by the IWG 1 plenary on July 23, 2010 as referred to in 6 above;
 - (b) a record of the deliberations of IWG 1 (WIPO/GRTKF/IWG/1/4), reflecting all comments and proposals made during IWG 1 on the objectives, general guiding principles and substantive articles. Comments and proposals made during IWG 1 would, as far as possible, be attributed to the experts, identified in their personal capacities, who made them. Drafting proposals made by experts from observers would be included as part of the commentary for consideration by Member States.
9. IWG 1 requested that this present document (WIPO/GRTKF/IWG/1/2), to which should be attached the List of Participants of IWG 1, as well as documents WIPO/GRTKF/IWG/3 and WIPO/GRTKF/IWG/4, be submitted for consideration by the IGC at its next session.

Agenda Item 5: Any Other Business

10. There was nothing to report under this item.

Agenda item 6: Adoption of report

11. IWG 1 adopted this report on July 23, 2010.

Agenda item 7: Closing of the session

12. The Chair thanked all the experts for their active participation and dedication and closed the session.

[Annex follows]

Work Program

IWG 1

July 19 to 23, 2010

- a) Monday: Objectives and General Guiding Principles/Subject matter of protection (Article 1)
- b) Tuesday morning: Beneficiaries/Management of Rights (Articles 2 and 4)
- c) Tuesday afternoon and Wednesday morning: Acts of Misappropriation/Exceptions and Limitations/Formalities (Articles 3, 5 and 7)
- d) Wednesday afternoon: Term of Protection/Transitional Measures (Articles 6 and 9)
- e) Thursday morning: Sanctions/Remedies and Exercise of Rights (Article 8) and Relationship with IP Protection and other forms of Protection, Preservation and Promotion (Article 10)
- f) Thursday afternoon: International and Regional Protection (Article 11)
- g) Friday morning: Any Other Business, and preparation and translation of draft report
- h) Friday afternoon: Consideration and adoption of the report

[Annex II follows]

F - E



WIPO/GRTKF/IWG/1/INF/1 PROV. 2
 ORIGINAL: FRANÇAIS/ENGLISH
 DATE: 21 JUILLET 2010/JULY 21, 2010

**Comité intergouvernemental de la propriété intellectuelle
 relative aux ressources génétiques, aux savoirs traditionnels et
 au folklore**

Premier groupe de travail intersessions

Genève, 19 – 23 juillet 2010

**Intergovernmental Committee on Intellectual Property and
 Genetic Resources, Traditional Knowledge and Folklore**

First Intersessional Working Group

Geneva, July 19 to 23, 2010

**DEUXIÈME LISTE PROVISOIRE* DES PARTICIPANTS/
 SECOND PROVISIONAL* LIST OF PARTICIPANTS**

*établie par le Secrétariat/
 prepared by the Secretariat*

* Les participants sont priés d'informer le Secrétariat des modifications qui devront être prises en considération lors de l'établissement de la liste finale des participants, en indiquant les corrections sur la présente liste provisoire.

* Participants are requested to inform the Secretariat of any changes which should be taken into account in preparing the final list of participants. Changes should be requested by making corrections on the present provisional list.

I. ÉTATS/STATES

(dans l'ordre alphabétique des noms français des États)
(in the alphabetical order of the names in French of the States)

AFGHANISTAN

Jalal NOORANI, Advisor, Ministry of Information and Culture, Kabul
Mostainbillah BALAGH, Third Secretary, Permanent Mission, Geneva
Akhshid JAVID, Third Secretary, Permanent Mission, Geneva

AFRIQUE DU SUD/SOUTH AFRICA

Susanna CHUNG (Ms.), First Secretary, Foreign Affairs Department, Permanent Mission, Geneva

ALGÉRIE/ALGERIA

Nadia MOKRANI (Mme), directrice des Affaires juridiques et présidente du Conseil d'administration, Office national des droits d'auteur et des droits voisins (ONDA), Alger

ALLEMAGNE/GERMANY

Jens STÜHMER, Legal Advisor, Division for Copyright and Publishing Law, Federal Ministry of Justice, Berlin

Heinjoerg HERRMANN, Counsellor, Permanent Mission, Geneva
Ilyana POPOVA (Ms.), Permanent Mission, Geneva

ANGOLA

Damiaõ João Antonio PINTO BAPTISTA, National Director of Copyright, National Directorate of Entertainment and Copyright, Ministry of Culture, Luanda

Makiese KINKELA AUGUSTO, Third Secretary, Permanent Mission, Geneva

ARGENTINE/ARGENTINA

Eduardo TEMPONE, Director, Dirección de Negociaciones Económicas Internacionales, Ministerio de Relaciones Exteriores, Comercio Internacional y Culto, Buenos Aires

AUSTRALIE/AUSTRALIA

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Stephen ARNOTT, Assistant Secretary, Film and Creative Industries, Canberra

AZERBAÏDJAN/AZERBAIJAN

Natig ISAYEV, Head, International Relations and Information Supply Department, Copyright Agency of the Republic of Azerbaijan, Baku

BANGLADESH

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BARBADE/BARBADOS

Marion Vernese WILLIAMS (Mrs.), Ambassador, Permanent Representative, Permanent Mission, Geneva

Corlita BABB-SCHAEFER (Mrs.), Counsellor, Permanent Mission, Geneva

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BOLIVIE (ÉTAT PLURINATIONAL DE)/BOLIVIA (PLURINATIONAL STATE OF)

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PÉROU/PERU

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RÉPUBLIQUE TCHÈQUE/CZECH REPUBLIC

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RÉPUBLIQUE-UNIE DE TANZANIE/UNITED REPUBLIC OF TANZANIA

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ROYAUME-UNI/UNITED KINGDOM

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SAINT-SIÈGE/HOLY SEE

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SINGAPOUR/SINGAPORE

Li Lin LIEW (Ms.), First Secretary, Permanent Mission, Geneva

SLOVÉNIE/SLOVENIA

Grega KUMER, Third Secretary, Permanent Mission, Geneva

SRI LANKA

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TRINITÉ-ET-TOBAGO/TRINIDAD AND TOBAGO

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URUGUAY

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VIET NAM

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ZIMBABWE

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Garikai KASHITIKU, First Secretary, Permanent Mission, Geneva

II. DÉLÉGATION SPÉCIALE/SPECIAL DELEGATION

UNION EUROPÉENNE/EUROPEAN UNION

Georgios KRITIKOS, First Secretary, Permanent Delegation, Geneva

Sergio BALIBREA, First Counsellor, Delegation of the European Union to the International Organisations in Geneva, Geneva

**III. ORGANISATIONS INTERNATIONALES INTERGOUVERNEMENTALES/
INTERNATIONAL INTERGOVERNMENTAL ORGANIZATIONS**

**CONFÉRENCE DES NATIONS UNIES SUR LE COMMERCE ET LE DÉVELOPPEMENT
(CNUCED)/UNITED NATIONS CONFERENCE ON TRADE AND DEVELOPMENT (UNCTAD)**

Ermias BIADGLENG, Legal Expert, Division on Investment and Enterprise, Geneva

**HAUT-COMMISSARIAT DES NATIONS UNIES AUX DROITS DE L'HOMME (HCDH)/OFFICE
OF THE HIGH COMMISSIONER FOR HUMAN RIGHTS (OHCHR)**

Anne BESSON (Mlle), stagiaire, Département des peuples autochtones, Genève

**ORGANISATION BENELUX DE LA PROPRIÉTÉ INTELLECTUELLE (OBPI)/
BENELUX ORGANISATION FOR INTELLECTUAL PROPERTY (BOIP)**

Edmond L. SIMON, directeur général, La Haye

**ORGANISATION DE LA CONFÉRENCE ISLAMIQUE (OCI)/ORGANIZATION OF THE ISLAMIC
CONFERENCE (OIC)**

Naezih MAAROUF, Director, Crafts Development Program, Research Center for Islamic History, Art and Culture, Istanbul

**ORGANISATION DES NATIONS UNIES POUR L'ALIMENTATION ET L'AGRICULTURE
(FAO)/FOOD AND AGRICULTURE ORGANIZATION OF THE UNITED NATIONS (FAO)**

Shakeel BHATTI, Secretary, Agriculture and Consumer Protection Department, International Treaty on Plant Genetic Resources for Food and Agriculture (PGRFA), Rome

**ORGANISATION RÉGIONALE AFRICAINE DE LA PROPRIÉTÉ INTELLECTUELLE
(ARIPO)/AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)**

Emmanuel SACKEY, Head, Technical Department, Harare

**PROGRAMME DES NATIONS UNIES POUR L'ENVIRONNEMENT (PNUE)/UNITED NATIONS
ENVIRONMENT PROGRAMME (UNEP)**

Barbara RUIS (Ms.), Legal Officer, Division of Environmental Law and Conventions, Geneva

SOUTH CENTRE

Viviana Carolina MUÑOZ TÉLLEZ (Ms.), Programme Officer, Geneva
Nirmalya SYAM, Programme Officer, Innovation and Access to Knowledge Programme, Geneva

UNION AFRICAINE (UA)/AFRICAN UNION (AU)
Georges Remi NAMEKONG, Expert, Addis Ababa

IV. ORGANISATIONS INTERNATIONALES NON GOUVERNEMENTALES/
INTERNATIONAL NON-GOVERNMENTAL ORGANIZATIONS

American Folklore Society
Steven HATCHER (Observer, Crans-Pré-Céligny)

Association Tin Hinan
Saoudata WALET ABOUBACRINE (Ms.) (President, Ouagadougou)

Association littéraire et artistique internationale (ALAI)/International Literary and Artistic Association (ALAI)
Victor NABHAN (President, Geneva)

Centre d'études internationales de la propriété intellectuelle (CEIPI)/Centre for International Intellectual Property Studies (CEIPI)
Roberto D'ERME (assistant chercheur, Université de Strasbourg, Strasbourg)

Centre international pour le commerce et le développement durable (ICTSD)/International Center for Trade and Sustainable Development (ICTSD)
Ahmed ABDEL LATIF (Program Manager, Intellectual Property Rights, Geneva);
Luca BURMEISTER (Research Assistant, Intellectual Property Rights, Geneva);
Ilaria CAMELI (Ms.) (Intern, Intellectual Property Rights, Geneva)

Chambre de commerce internationale (CCI)/International Chamber of Commerce (ICC)
Tim ROBERTS (Consultant, London)

Civil Society Coalition (CSC)
Marc PERLMAN (Fellow, Washington, D.C.)

Comisión Jurídica para el Autodesarrollo de los Pueblos Originarios Andinos (CAPAJ)
Tomas ALARCON EYZAGUIRRE (Presidente, Tacna)

Comité consultatif mondial des amis (CCMA)/Friends World Committee for Consultations (FWCC)
Graham Martin DUTFIELD (Associate, London)

Consejo Indio de Sud América (CISA)/Indian Council of South America (CISA)
Ronald BARNES (Representative, La Paz)

Coordination des ONG africaines des droits de l'homme (CONGAF)/Coordination of African Human Rights NGOs (CONGAF)
Wa Mavula MALUZA (vice-président, Genève); Maurice KATALA; Ana LEURINDA;
Mutimanwa KAPUPU DIWA (membre, République Démocratique du Congo)

Creators Rights Alliance (CRA)
Greg YOUNGING (Professor, University of British Columbia, Vancouver)

Ethio-Africa Diaspora Union Millennium Council
Marcus GOFFE (Legal Advisor, Kingston)

Fédération ibéro-latino-américaine des artistes interprètes ou exécutants (FILAIE)/
Ibero-Latin-American Federation of Performers (FILAIE)
Miguel PÉREZ SOLÍS (Asesor Jurídico, Madrid)

Fédération internationale de l'industrie du médicament (FIIM)/International Federation of
Pharmaceutical Manufacturers Associations (IFPMA)
Guilherme CINTRA (Policy Analyst, Intellectual Property and Trade, Geneva)

Fédération Internationale de l'Industrie Phonographique (IFPI)/International Federation of the
Phonographic Industry (IFPI)
Gadi ORON (Senior Legal Advisor, London)

Fondation pour le droit de l'art/Art Law Center
Raphaël CONTEL (observateur, Genève)

Foundation for Aboriginal and Islander Research Action (FAIRA)
Robert Leslie MALEZER (Chairperson, Woolloongabba)

Foundation for Research and Support of Indigenous Peoples of Crimea
Nadir BEKIROV (President, Simferopol); Primo BURSIK (Member, Simferopol)

Indian Movement “Tupaj Amaru”
Lázaro PARY ANAGUA (General Coordinator, Geneva)

Indigenous Peoples Council on Biocolonialism (IPCB)
Debra HARRY (Ms.) (Executive Director, Nixon)

Institute for African Development (INADEV)
Paul KURUK (Executive Director, Accra)

Institut Max Planck pour la propriété intellectuelle, le droit de compétition et de fiscalité (MPI)/Max
Planck Institute for Intellectual Property, Competition and Tax Law (MPI)
Silke VON LEWINSKI (Ms.) (Head, International Law Department, Munich)

International Council of Museums (ICOM)
Samia SLIMANI (Ms.) (Legal Advisor, Paris)

International Federation of Reproduction Rights Organisations (IFRRO)
Ingrid DE RIBAUCOURT (Ms.) (Senior Legal Advisor, Brussels)

International Video Federation (IVF)
Benoît MÜLLER (Legal Advisor, Brussels)

IQ Sensato
Daphne ZOGRAFOS (Ms.) (Director, Geneva)

Knowledge Ecology International (KEI)
Thiru BALASUBRAMANIAM (Representative, Geneva)

Rromani Baxt
Leila MAMONI (Ms.) (Representative, Paris)

Third World Network (TWN)
Zhenyan ZHU (Ms.) (Researcher, Geneva)

Traditions pour demain/Traditions for Tomorrow

Christiane JOHANNOT-GRADIS (Mme) (secrétaire générale, Rolle); Marie BOILLAT (Mlle)
(Collaboratrice, Rolle)

Tulalip Tribes

Preston HARDISON (Representative, Washington)

Union internationale des éditeurs (UIE)/International Publishers Association (IPA)

Jens BAMMEL (Secretary General, Geneva); Antje SØRENSEN (Mrs.) (Deputy Secretary
General and Legal Counsel, Geneva)

V. BUREAU INTERNATIONAL DE L'ORGANISATION MONDIALE
DE LA PROPRIÉTÉ INTELLECTUELLE (OMPI)/
INTERNATIONAL BUREAU OF THE
WORLD INTELLECTUAL PROPERTY ORGANIZATION (WIPO)

Francis GURRY, directeur général/Director General

Christian WICHARD, vice-directeur général/Deputy Director General

Naresh PRASAD, directeur exécutif, chef de Cabinet, Cabinet du directeur général/Executive Director and Chief of Staff, Office of the Director General

Wend WENDLAND, directeur, Division des savoirs traditionnels/Director, Traditional Knowledge Division

Begoña VENERO (Mme/Mrs.), chef, Section des ressources génétiques et des savoirs traditionnels, Division des savoirs traditionnels/Head, Genetic Resources and Traditional Knowledge Section, Traditional Knowledge Division

Simon LEGRAND, conseiller, Section de la créativité, des expressions culturelles et du patrimoine culturel traditionnel, Division des savoirs traditionnels/Counsellor, Traditional Creativity, Cultural Expressions and Cultural Heritage Section, Traditional Knowledge Division

Brigitte VEZINA (Mlle/Ms.), juriste, Section de la créativité, des expressions culturelles et du patrimoine culturel traditionnel, Division des savoirs traditionnels/Legal Officer, Traditional Creativity, Cultural Expressions and Cultural Heritage Section, Traditional Knowledge Division

Thomas HENNINGER, administrateur adjoint, Section des ressources génétiques et des savoirs traditionnels, Division des savoirs traditionnels/Associate Officer, Genetic Resources and Traditional Knowledge Section, Traditional Knowledge Division

Fei JIAO (Mlle/Ms.), consultante, Section des ressources génétiques et des savoirs traditionnels, Division des savoirs traditionnels/Consultant, Genetic Resources and Traditional Knowledge Section, Traditional Knowledge Division

Mary MUTORO (Mlle/Ms.), consultante, Division des savoirs traditionnels/Consultant, Traditional Knowledge Division

Konji SEBATI (Mlle/Ms.), consultante, Département des savoirs traditionnels et des défis mondiaux /Consultant, Department for Traditional Knowledge and Global Challenges

Jessyca VAN WEELDE (Mlle/Ms.), consultante, Section de la créativité, des expressions culturelles et du patrimoine culturel traditionnel, Division des savoirs traditionnels/Consultant, Traditional Creativity, Cultural Expressions and Cultural Heritage Section, Traditional Knowledge Division

Patricia ADJEI (Mlle/Ms.), boursière en droit de la propriété intellectuelle à l'intention des peuples autochtones, Division des savoirs traditionnels/WIPO Indigenous Intellectual Property Law Fellow, Traditional Knowledge Division

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