

# WIPO



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WORLD INTELLECTUAL PROPERTY ORGANIZATION  
GENEVA

## STANDING COMMITTEE ON INFORMATION TECHNOLOGIES STANDARDS AND DOCUMENTATION WORKING GROUP

**Second Session**  
**Geneva, December 2 to 6, 2002**

TASK LIST OF THE STANDARDS AND DOCUMENTATION WORKING GROUP  
(SDWG)

*Document prepared by the Secretariat*

1. At its seventh session, held in June 2002, the Standing Committee on Information Technologies (SCIT) Plenary considered the tasks contained in the current SCIT Work Program and assigned to the Standards and Documentation Working Group (SDWG). The SCIT Plenary requested the Secretariat to review the time frames of the tasks and to submit the revised Task List for consideration by the SDWG at its second session, to be held in December 2002. The Secretariat was also requested to take into account the suggestions made during the SCIT Plenary discussions when preparing the revised SDWG Task List, in particular, the task descriptions as agreed upon by the corresponding task forces and the proposal made by the Delegation of the United States of America to revise the description of Task No. 7. (See, in particular, paragraphs 10 to 18 of document SCIT/7/17.)

2. The Secretariat has prepared, for consideration by the SDWG, a revised SDWG Task List, which includes, for each task, the task background and status of work, the relevance/scope of the task and, where appropriate, proposed action with its corresponding timeframe. The SDWG Task List is reproduced as the Annex to this document.

*3. The SDWG is invited to consider the Task List given in the Annex to this document and to agree on its final version for incorporation in the SCIT Work Program.*

[Annex follows]

ANNEX

TASK LIST OF  
THE STANDARDS AND DOCUMENTATION WORKING GROUP  
(SDWG)

Task No. 6\* Assist small intellectual property Offices (IPOs) in acquiring optical disks to enhance access to patent information\*\*

I. BACKGROUND AND STATUS OF WORK

- Task was included as a priority task in the SCIT Work Plan by decision of the SCIT Plenary in June 1998. The purpose of this task is to assist offices in obtaining local access to patent information on optical disk until such time that implementation of WIPONET could provide rapid on-line access to patent information.
- Within the scope of this Task GlobalPat<sup>1</sup> was selected as a suitable CD-ROM based collection for use by IPOs.

Action to date:

Under the first stage of the Project, WIPO financed the supply of free copies of GlobalPat to 47 Member States. Following a request from the EPO inviting WIPO to continue its participation in the Project, the SCIT Plenary approved such action in December 1999 (see paragraph 40 of document SCIT/4/8). The form of this cooperation is by way of a firm commitment to fund the provision of the GlobalPat product on behalf of Member States, including update of the backfile and frontfile subscriptions. WIPO will be funding the order of 110 to 120 subscriptions to GlobalPat.

II. RELEVANCE/SCOPE OF TASK

Member States of SCIT and the Patent Documentation Group (PDG) expressed their great interest in the continued availability of GlobalPat until such time that WIPONET constituted a viable alternative for accessing such patent information. The current proposals provide for continued distribution of GlobalPat CD-ROMs until the end of 2003. A review of further stages of the GlobalPat project will be undertaken in 2003.

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<sup>1</sup> The GlobalPat CD-ROM collection is derived from the First Page Database of patents, which contains a single representative member of almost every patent family published by France, Germany, the United Kingdom, Switzerland, the United States Patent and Trademark Office (USPTO), WIPO (PCT) and the European Patent Office (EPO). This collection contains, in the English language, bibliographic data and abstract, and, where appropriate, the image of a representative drawing.

### III. PROPOSED ACTION WITH TIME FRAME

The following timetable applies for the delivery of GlobalPat Disks:

- |   |         |
|---|---------|
| 1. Issue of the backfile update (on CD-ROM) containing data of patent documents published during 1997 to 2000 | Q3/2001 |
| 2. Commencement of front file production  | Q3/2001 |
| 3. Reprocessing and production of the full backfile collection on DVD.  | Q4/2002 |

### IV. TASK LEADER

The Secretariat performs the role of Task Leader.

Task No. 7 Monitor and report on the changeover to electronic data carriers and update the Statement of Principles and technical guidelines, as necessary

## I. BACKGROUND AND STATUS OF WORK

- Task was created by the Executive Coordination Committee of the Permanent Committee on Industrial Property (PCIPI), the precursor body of the SCIT Plenary, within the framework of project PCIPI/P 994/94 and continued as project SCIT/P 6/99.
- On the basis of the initial task, the Statement of Principles Concerning the Changeover to Electronic Data Carriers for the Exchange of Patent Documents was adopted by PCIPI/EXEC/XVI, in May 1995.
- The Secretariat has arranged reporting by Member States on specifically related subject matter at various meetings of the PCIPI and SCIT, the last one was during SCIT/WG/2 and SCIT/4, in December 1999. (See paragraphs 40 to 43 of document SCIT/WG/2/12; and paragraphs 38 and 39 of document SCIT/4/8.)
- The Technical Guidelines – Optical Disk, which form part of the above-mentioned Statement of Principles, were revised by the SDWG in December 1999 and adopted by the SCIT Plenary at its fourth session. (See paragraph 38 of document SCIT/4/8.)

## II. RELEVANCE/SCOPE OF TASK

The Task provides monitoring of the steps taken by IPOs in order to achieve the goals outlined in the Statement of Principles in respect of both their use of electronic carriers as the medium for exchange of their IP information and also their readiness to receive documents in electronic format.

## III. PROPOSED ACTION WITH TIME FRAME

1. The SDWG decided that monitoring the changeover activities taken by IPOs with respect to the usage of electronic data carriers and tools for the exchange of IP information was included as a continuous activity of the SDWG. The inventories provided in Task No. 32, the Annual Technical Reports of each IPO and other available sources of information should be monitored by the International Bureau (and any Task Force created to support this Task). Trends that are observed and potential needs that are identified for changing or creating WIPO standards should be reported to the SDWG, when it is necessary, and should be done at least on an annual basis.

2. As decided by the SCIT Plenary, in December 1999, the SDWG was entrusted with the task to update the Statement of Principles (see paragraph 39 of document SCIT/4/8). It was therefore agreed to start a review of the document, with the inclusion of other IP rights. A revision of the Statement of Principles should be undertaken in 2003.

## IV. TASK LEADER

The Secretariat performs the role of Task Leader.

Task No. 8      Elaborate an International Standard Application Format (ISAF) for patents

## I. BACKGROUND AND STATUS OF WORK

- Task was proposed by the US Delegation and approved in February 1999 by the SCIT Plenary (see paragraphs 35 and 36 of document SCIT/2/8).
- A Task Force established to prepare a PCT e-filing standard was requested to present a final draft standard to the SCIT Plenary for approval.
- No action has been taken by that Task Force so far and no action is expected on this Task until appropriate experience is gained after the completion of the PCT e-filing standard.

## II. RELEVANCE/SCOPE OF TASK

According to the initial proposal, the ISAF should be applicable to all paper-based application materials that form international applications submitted under the PCT, and should replace all pre-printed forms with a published format for submission of all documents. Documents would be suitable for SGML/XML capture (for example, conforming to DTD, use of SGML/XML tags, etc.). ISAF would be a paper-based, but pageless, standard format for usage by applicants and IPOs. Basic ISAF benefits envisaged are: Templates have standardized SGML data identifiers with home language data descriptors for easy understanding, ISAF storage is language neutral, display can show data with descriptors in any language, it is a prerequisite for automatic translation.

## III. PROPOSED ACTION WITH TIME FRAME

Task depends on the completion of the “E-PCT” Standard (see Task No. 13). Task No. 13 will not include the establishment of ISAF standards per se.

## IV. TASK LEADER

The United States Patent and Trademark Office (USPTO) performs the role of Task Leader.

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Task No. 10 Consider the need for communication standards related to the network-based publication, retrieval and exchange of information resources

## I. BACKGROUND AND STATUS OF WORK

- Task was created by the PCIPI Executive Coordination Committee, in May 1998.
- Continuing work by Member States offices and the International Bureau has demonstrated that a comprehensive approach to interoperability within an information interchange environment is required.
- The Secretariat presented a report to the SCIT Working Groups, in November 1998, which outlined possible approaches to provide user-friendly end-user search interfaces with a view to the planned Intellectual Property Digital Library (IPDL) pilot project activity. Further details are given in document SCIT/WG/1/8 and paragraphs 31 to 33 of document SCIT/WG/1/12.
- The Secretariat convened a series of Workshops in 2001 and 2002 which resulted in a series of recommendations to the SCIT IPDL Electronic Task Force.
- The Secretariat established the SCIT IPDL Electronic Task Force in July 2002.
- The Secretariat performed basic prototyping and research activities in support of the evaluation of these recommendations by the SCIT IPDL Electronic Task Force.

## II. RELEVANCE/SCOPE OF TASK

One of the original components of the WIPO IPDL project was the identification and/or development of data exchange standards to be used between digital libraries implemented by IPOs in WIPO Member States, and the IPDL system implemented by the International Bureau. A goal of current IPDL efforts within the International Bureau is to support a maximum level of integrated access to IP data collections with minimum duplication of data and resources.

## III. PROPOSED ACTION WITH TIME FRAME

1. Options outlined in document SCIT/WG/1/8 to provide user-friendly end-user search interfaces and support for cross-site searching among databases should be examined within the scope of the IPDL pilot project activity. The Secretariat shall take steps to identify or develop, document and enter into the WIPO standards process, communications standards suitable for achieving the integrated information dissemination goals of the IPDL project.

2002/2003

2. The Secretariat is to provide a report to the SDWG concerning standards and recommendations for standards development made by the SCIT IPDL Electronic Task Force.

Q4/2002

#### IV. TASK LEADER

The Secretariat performs the role of Task Leader.



Task No. 11      Develop reference resources useful for IPOs to be made available on the network

## I. BACKGROUND AND STATUS OF WORK

- Task was included in the SCIT Work Program by decision of the SCIT Plenary, in June 1998, and it was assigned to the Secretariat. (See Annex IV, page 5 of document SCIT/1/7.)
- According to the SCIT Work Program for the 2000-2001 biennium, Task No. 11 was classified as an issue to be dealt with within the scope of the IPDL Project.
- The fifth session of the SCIT Plenary, in July 2000, approved the establishment of a SCIT Task Force to support further development of the IPDL Project, in particular the processes for project planning, implementation, testing and data collections development.
- The SCIT IPDL Electronic Task Force was established in July 2002, and this Task has been placed before it for evaluation.

## II. RELEVANCE/SCOPE OF TASK

The importance of the Task should be evaluated by SCIT Member States.

## III. PROPOSED ACTION WITH TIME FRAME

The SCIT IPDL Electronic Task Force was requested to determine the relevance and scope of the Task, to reflect the conclusions reached in the Task description and to report back to the SCIT Plenary at its next meeting, in June/July 2003 (see paragraph 16 of document SCIT/7/17).

## IV. TASK LEADER

The Secretariat performs the role of Task Leader.

Task No. 13\* Consider the “E-PCT” Standard for adoption as a WIPO Standard for e-filing, processing and storage of patent applications

#### I. BACKGROUND AND STATUS OF WORK

- Task was proposed by the Secretariat and approved in February 1999 by the SCIT Plenary (see paragraphs 33 and 34 of document SCIT/2/8).
- A Task Force was established and was instructed to prepare, on the basis of a draft by the Trilateral Offices, a final draft standard for approval by the SCIT Plenary.
- Following a decision of the PCT Assembly in September 2000, consultations were carried out to establish a legal framework and technical standards, as part of the PCT Administrative Instructions, for international applications under the PCT.

#### II. RELEVANCE/SCOPE OF TASK

Task is of priority importance to the SCIT Plenary and has very high relevance for automation efforts in some IPOs.

#### III. PROPOSED ACTION WITH TIME FRAME

Next action by SCIT depends upon the receipt by the International Bureau of the proposed “E-PCT” Standard, which when received shall be presented to the SCIT Electronic Data Processing and Exchange Standards Task Force for consideration. No work on this Task is anticipated before appropriate experience is gained with the draft E-PCT standard.

#### IV. TASK LEADER

The Secretariat performs the role of Task Leader.

Task No. 15 Study the consequences of e-filing on the production of certified office copies with particular reference to those used for priority purposes

## I. BACKGROUND AND STATUS OF WORK

- Task was initiated by the Patent Office of the United Kingdom in 1993. Taking into account the interest by IPOs in the resolution of legal and technical issues related to the filing of applications in electronic form, the PCIPI Executive Coordination Committee decided, in June 1993, to create a task and assign it to the Working Group on the Management of IP Information. (See document PCIPI/EXEC/XII/9 and paragraphs 17 and 18 of document PCIPI/EXEC/XII/10.)
- At the PCIPI/MI/XII meeting, in December 1993, the Working Group discussed the issue on the basis of a presentation made by the Delegation of the United States. The presentation focused on the trilateral project to establish procedures for the exchange of certified copies of priority applications (see Project file PCIPI/P 985/93 and paragraphs 22 to 26 of document PCIPI/MI/XII/3). No further consideration of the subject matter took place at any PCIPI or SCIT meetings.

## II. RELEVANCE/SCOPE OF TASK

Task No. 15 depends on the standards and associated legal framework of the technical standards for e-filing, processing and storage of patent applications to be developed under Task No. 13.

## III. PROPOSED ACTION WITH TIME FRAME

Task No. 15 is held in abeyance.

Task No. 17\*     Revise of WIPO Standards ST.30, ST.31, ST.32, ST.33, ST.35 and ST.40

## I. BACKGROUND AND STATUS OF WORK

- Revision of the above-mentioned Standards was initiated by PCIPI/EXEC, in May and November 1997, and work is undertaken by a Task Force. (See project files PCIPI/P 35/97, PCIPI/P 39/97 and SCIT/P 2/98.)  
Revisions of WIPO Standards ST.32, 33, 35 and 40 addressed changes required for Y2K purposes and were completed. Further work remains to be done by the Task Force to reflect other changes in IP and IT practice.
- The seventh session of the SCIT Plenary, in June 2002, broadened the mandate of the Task Force for Tasks Nos. 17 and 19 to deal with all matters related to all current and future WIPO electronic data processing and exchange standards, in particular Tasks Nos. 13, 17, 18 and 19. This Task Force is referred to as the SCIT Electronic Data Processing and Exchange Standards Task Force (EDPES Task Force). (See document SCIT/7/4 and paragraphs 22 to 24 of document SCIT/7/17.)

## II. RELEVANCE/SCOPE OF TASK

The SCIT Plenary has given high priority to the Task.

## III. PROPOSED ACTION WITH TIME FRAME

The SCIT Electronic Data Processing and Exchange Standards Task Force is to review the work to be undertaken and provide a list of proposed activities in respect of each of the standards and time scale for action.

## IV. TASK LEADER

The Secretariat performs the role of Task Leader.

Task No. 18\* Identify areas for standardization relevant to the exchange of machine-readable data on the basis of projects envisaged by such bodies as the Trilateral Offices, ISO, IEC and other well-known IT standard-setting bodies

## I. BACKGROUND AND STATUS OF WORK

- Task was created by the PCIPI Executive Coordination Committee in December 1992 (see paragraphs 13 to 21 of document PCIPI/EXEC/XI/13) as a result of discussions relating to standardization efforts of the Trilateral Offices in view of machine-readable data.
- Status reports were regularly given at meetings of the PCIPI Working Group on the Management of IP Information from 1993 to 1997 and at the SCIT Plenary in February 1999 (see Project file PCIPI/P 983/93, paragraph 24 of document SCIT/2/8 and [http://www.wipo.int/scit/en/meeting/2/presentations/us\\_11.pdf](http://www.wipo.int/scit/en/meeting/2/presentations/us_11.pdf)). The reports focused on development and usage of the MIMOSA software, Trilateral Data Exchange Standards, etc.
- The seventh session of the SCIT Plenary, in June 2002, decided to allocate consideration of this Task to the SCIT Electronic Data Processing and Exchange Standards Task Force. (See Task No. 17.)

## II. RELEVANCE/SCOPE OF TASK

Task should serve as a source for the timely identification of data exchange issues which require harmonization among IPOs.

## III. PROPOSED ACTION WITH TIME FRAME

The SCIT Electronic Data Processing and Exchange Standards Task Force will identify new developments and propose possible projects to be undertaken within the SCIT.

## IV. TASK LEADER

The Secretariat performs the role of Task Leader and will report to the SDWG as necessary on the findings of the Task Force.

Task No. 19      Elaborate a WIPO standard concerning making patent documents available on mixed-mode optical disks

## I. BACKGROUND AND STATUS OF WORK

- Standardization of mixed-mode CD-ROMs was initially included in the PCIPI Work Program in 1990 (see paragraph 57 of document PCIPI/EXEC/VII/7).
- The Task was assigned to the Working Group on Optical Storage, followed up by the group and finally, due to the mixed-mode CD-ROM developments underway in the Trilateral Offices, held in abeyance (for details please refer to Project PCPI/P 936/90 and paragraphs 17 and 18 of document PCIPI/OS/V/2).
- No draft standard has so far been prepared and presented within PCIPI or SCIT. However, MIMOSA is accepted as a *de facto* standard within the IP community.

## II. RELEVANCE/SCOPE OF TASK

When drafted in the early 1990s, WIPO Standard ST.40 “Recommendation Concerning Making Facsimile Images of Patent Documents Available on CD-ROM” reflected the current practice of the major offices in respect of dissemination of patent information on CD-ROM. Facsimile images were common place. The use of mixed-mode, although proposed, was in its infancy. Since then the position has changed and the production of mixed-mode CD-ROMs, especially under the MIMOSA platform, is becoming common place. This current practice should therefore be reflected either in a new standard or in an update of WIPO Standard ST.40.

## III. PROPOSED ACTION WITH TIME FRAME

The SDWG has allocated this Task to the Task Force responsible for Task No. 17, i.e., the SCIT Electronic Data Processing and Exchange Standards Task Force.

## IV. TASK LEADER

The Secretariat performs the role of Task Leader.

Task No. 20 Prepare a recommendation on how figurative elements of marks should be captured in electronic form and how they should be displayed on visual display units (VDUs)

## I. BACKGROUND AND STATUS OF WORK

- Elaboration of a WIPO recommendation on this topic was suggested by the PCIPI Working Group on the Management of IP information and approved by the PCIPI Executive Coordination Committee, in December 1991. (See documents PCIPI/MI/VIII/2 and VIII/3 and paragraphs 29 to 31 of document PCIPI/EXEC/IX/9.)
- A questionnaire was developed and circulated to IP offices for completion along with circular SCIT 2141.

## II. RELEVANCE/SCOPE OF TASK

The proposal to develop a WIPO recommendation concerning the capturing of figurative elements of marks was made in view of the fact that, with the entry into force of the Madrid Protocol and the ensuring internationalization of trademark data exchange, standards are needed to guarantee the exchange of highly standardized data of impeccable quality (see paragraph 43 of document PCIPI/SEM/TM/91/12).

The Secretariat is currently using a *de-facto* standard for publication quality images, including screen presentation, within WIPO internal IT systems, and in certain cooperative software implementation projects that WIPO has developed for some developing countries.

## III. PROPOSED ACTION WITH TIME FRAME

The SDWG decided to have the following work carried out before a decision could be made as to the continuation of Task No. 20:

- |   |                  |
|---|------------------|
| (a) Collecting data from IPOs on formats currently in use for figurative elements of marks through sending out a questionnaire to IPOs, in cooperation with the United States Patent and Trademark Office (USPTO) and the European Community Office for Harmonization in the Internal Market (OHIM) | July 30, 2001    |
| (b) Receiving of input data from IPOs   | October 30, 2001 |
| (c) Reporting to the SDWG with suggestions for further action by the Secretariat  | December 2002    |

## IV. TASK LEADER

The SDWG will determine a Task Leader subsequent to the completion of work scheduled under Chapter III.

Task No. 21 Monitor work of, and participate in relevant Internet and IT standard-setting bodies

I. BACKGROUND AND STATUS OF WORK

- Task was created by the SCIT Plenary, in June 1998, and assigned to the Secretariat (see Annex IV, page 5 of document SCIT/1/7).
- The sixth session of the SCIT Plenary, in January 2001, agreed to have this Task subsumed by Task No. 18 (see Annex IV, paragraph 3 of document SCIT/6/7).

As result, this will be the last occurrence of Task No. 21 on the SDWG Task List.



Task No. 22\* Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified

## I. BACKGROUND AND STATUS OF WORK

- Task was created as a result of the creation of supplementary correction codes for patent documents and a proposal by the USPTO (see paragraph 28 of document SCIT/WG/1/12).
- Discussions on the subject matter took place at the second session of the SCIT Working Groups (SCIT/WG/2), in December 1999, and subsequent work was carried out through a task force during the year 2000.
- The results reached by the Task Force were presented to IPOs for consideration and comments in December 2000 (see Circular SCIT 2524 dated December 22, 2000).

## II. RELEVANCE/SCOPE OF TASK

The SCIT Plenary, at its sixth session, in January 2001, gave this Task priority status.

Task considered completed at the first session of SDWG, in May 2001.

Task No. 23      Monitor the inclusion of information about entry into the national (regional) phase of published PCT international applications in the EPIDOS/PRS database

## I. BACKGROUND AND STATUS OF WORK

- Task is based on a project undertaken by the former PCIPI to make information on the status of PCT international applications searchable for the IP user community. In November 1995, the PCIPI Executive Coordination Committee agreed to the collection of data in order to supplement the existing EPIDOS (European Patent Information and Documentation Systems) Patent Register Service (PRS) with information about the entry, and, where available, the non-entry into the national (regional) phase of PCT international applications, and to have these tasks carried out by the EPO (EPIDOS). (See paragraphs 50 to 54 of document PCIPI/EXEC/XVII/7.)
- The Task is still progressing since November 1996. (See paragraphs 83 to 87 of document PCIPI/EXEC/XIX/7.)
- Background information is provided in project files PCIPI/P 993/94, PCIPI/P993/94 Rev.1 and PCIPI/P 25; and in documents PCIPI/EXEC/XIX/5, PCIPI/EXEC/XX/8, SCIT/WG/2/8 and SCIT/6/5.

## II. RELEVANCE/SCOPE OF TASK

Task is of an informational nature.

## III. PROPOSED ACTION WITH TIME FRAME

1. The SDWG decided to continue monitoring further developments in the searchability of data on PCT applications.
2. The Secretariat is requested to report to meetings of the SDWG on the progress of the Task on a regular basis (once a year).

## IV. TASK LEADER

The Secretariat performs the role of Task Leader.

Task No. 24      Collect and publish Annual Technical Reports (ATRs) on Patent, Trademark and Industrial Design Information Activities of the SCIT Members (ATR/PI, ATR/TM, ATR/ID)\*\*

## I. BACKGROUND AND STATUS OF WORK

- On the basis of decisions by the former Permanent Committee on Patent Information (PCPI) and PCIPI/EXEC in 1978, 1990 and 1996, the Secretariat collected information of IPOs on their information activities in the patent, trademark and industrial design areas, on an annual basis, and prepared the publication of the ATRs on WIPO's website. (See paragraphs 45, 18 and 77 of documents PCPI/II/3, PCIPI/EXEC/VII/7 and PCIPI/EXEC/XIX/7, respectively.)
- The ATRs for the 1998 and 1999 activities are available at the URL <http://www.wipo.int/scit/en/atrs>. In addition, paper collections of ATRs have been published by the Secretariat for some 20 years for patents, 10 years for trademarks and five years for industrial designs.
- Since 2001, the Secretariat has collected this information through an electronic report form in order to reduce the workload for IPOs and WIPO in the establishing and processing of the ATRs.

## II. RELEVANCE/SCOPE OF TASK

Information contained in the ATRs is available to all IPOs and the public. It is used for various information purposes, including awareness building, training and teaching.

## III. PROPOSED ACTION WITH TIME FRAME

No actions are proposed. Task is of a continuous nature.

## IV. TASK LEADER

The Secretariat performs the role of Task Leader.

Task No. 25      Monitor the development of, and report to the appropriate body on, WIPO publications on CD-ROM\*\*

#### I. BACKGROUND AND STATUS OF WORK

- Task is considered as a continuous activity of the Secretariat. It was carried forward from the PCIPI and was remained in SCIT work plans for many years (see Annex VIII of document PCIPI/EXEC/22/6).
- Monitoring and reporting on WIPO publications on CD-ROM relating to IP information and documentation was done through written reports to the PCIPI Executive Coordination Committee, the last one being presented in May 1998, and oral reports were made at the second session of the SCIT Plenary (see paragraph 41 of document SCIT/2/8).

#### II. RELEVANCE/SCOPE OF TASK

Through this activity, information is being disseminated on the development or publication of WIPO CD-ROM products containing IP information, such as the WIPO Handbook, IPC:CLASS, IP Statistics and IPLEX CD-ROMs.

Task was included in Task No. 26. It will be removed from the SDWG Task List.

- Task No. 26 Report on activities of WIPO in respect of
- (a) updating the *Handbook on Industrial Property Information Documentation*
  - (b) the collection and publication of Industrial Property Statistics
  - (c) the Journal of Patent Associated Literature (JOPAL)
  - (d) the List of Periodicals Established under PCT Rule 34.1(b)(iii)
  - (e) WIPO publications on electronic media containing IP information\*\*

## I. BACKGROUND AND STATUS OF WORK

The Secretariat regularly presented activity reports to the PCIPI Executive Coordination Committee and the SCIT Plenary on tasks assigned to the International Bureau, the last report was done at the second session of the SCIT Plenary in February 1999. Monitoring and reporting on WIPO publications on electronic media relating to IP information and documentation was done through written reports to the PCIPI Executive Coordination Committee, the last one being presented in May 1998, and oral reports to the SCIT Plenary. (See paragraph 41 of document SCIT/2/8.)

## II. RELEVANCE/SCOPE OF TASK

Activity reporting covers works undertaken by the Secretariat and relating to all of the above-mentioned areas or projects. An example of an activity report is reproduced in document PCIPI/EXEC/22/3. Through activity 26(e), information is being disseminated on the development or publication of WIPO CD-ROM products containing IP information, such as the WIPO Handbook, IPC:CLASS, IP Statistics and IPLEX CD-ROMs.

## III. PROPOSED ACTION WITH TIME FRAME

The Secretariat will report on activities listed under (a) to (e), above, to the SDWG once a year.

## IV. TASK LEADER

The Secretariat performs the role of Task Leader.

Task No. 28      Update the Survey of the Grant and Publication of Supplementary Protection Certificates (SPCs) and make it available through WIPO's website\*\*

## I. BACKGROUND AND STATUS OF WORK

- The Survey of the Grant and Publication of SPCs for medicinal products was published in the *WIPO Handbook on Industrial Property Information and Documentation* on the basis of a decision taken by the PCIPI Executive Coordination Committee, in May 1994.
- In December 1999, the SCIT Plenary agreed to have the Survey updated by reflecting information on the protection of phyto-pharmaceutical products.
- Subsequent to that decision, the Secretariat collected information from IPOs and established a revised version of the Survey.
- The Survey was published in English on WIPO's website in February 2002 and in the 2002 *WIPO Handbook on Industrial Property Information and Documentation* CD-ROM.

## II. RELEVANCE/SCOPE OF TASK

Elaboration and update of the Survey was initiated by the Patent Documentation Group and supported by the PCIPI Executive Coordination Committee and SCIT Plenary.

## III. PROPOSED ACTION WITH TIME FRAME

Timetable for termination of work:

- |   |           |
|---|-----------|
| 1. Completion of translation into French and Spanish      | – Q2/2003 |
| 2. Publication of Survey translations on the WIPO website | – Q2/2003 |

## IV. TASK LEADER

The Secretariat performs the role of Task Leader.

- Task No. 29
1. Revise WIPO Standard ST.6, considering in particular:
    - (a) the total maximum number of digits that should be allowed for the publication number of patent documents;
    - (b) the implications of having a code for each kind of industrial property right mentioned in this Standard included in the format of the publication numbers; and
    - (c) better guidance for defining publication numbers in view of electronic data processing and use by the public.
  2. To review the impact of the revision of WIPO Standard ST.6 on other WIPO Standards, as well as an appropriate delay for the implementation of the revision agreed upon.

## I. BACKGROUND AND STATUS OF WORK

- Task was proposed by the US Delegation for the consideration by the SDWG in May 2001 and approved by the SCIT Plenary in June 2002. (See document SCIT/SDWG/1/3; paragraphs 18 to 22 of document SCIT/SDWG/1/9; and paragraphs 19 to 21 of document SCIT/7/17.)
- The Task Force established by the SDWG for the revision of WIPO Standard ST.6 was also requested to prepare a project brief. The project brief was considered by the SCIT Plenary in June 2002, along with a progress report of the work carried out by the Task Force. (See document SCIT/7/3.)

## II. RELEVANCE/SCOPE OF TASK

A number of delegations at the SDWG meeting in May 2001, were interested in improving WIPO Standard ST.6 with regard to both the number of digits possible and the inclusion of the type of industrial property right. The main objective of the Task would be to create improved publication numbers for better use: (1) in document exchange between industrial property offices, and (2) by examiners and the public. The indication of the kind of industrial property rights should be clarified, in particular when the same numbering series is used for more than one kind of right.

## III. PROPOSED ACTION WITH TIME FRAME

The Task Force Leader reported to the June 2002 SCIT Plenary meeting that the Task Force expected to complete its work by September 15, 2002, and that, the revision of WIPO Standard ST.6 could be eventually approved by the SDWG in December 2002.

## IV. TASK LEADER

The United States Patent and Trademark Office (USPTO) performs the role of Task Leader.

Task No. 30 Revision of WIPO Standard ST.10/C

## I. BACKGROUND AND STATUS OF WORK

- The necessity of revision of WIPO Standard ST.10/C was proposed by the Japan Patent Office (JPO) at the Trilateral Working Group Meeting held in Washington in April 2001. This proposal was supported by both the EPO and the USPTO.
- The proposal was made and supported at the first SDWG meeting in May 2001. (See paragraphs 34 and 35 of document SCIT/SDWG/1/9.)
- The JPO submitted a project brief titled “Project Brief of the Revision of ST. 10/C” to the Secretariat on July 2, 2001. (See Annex to document SCIT/7/5.)
- Task was created by the SCIT Plenary at its seventh session in June 2002. It was also agreed that a Task Force would be set up to discuss this issue. (See paragraphs 25 to 27 of document SCIT/7/17.)
- The Task Force was established on July 12, 2002.

## II. RELEVANCE/SCOPE OF TASK

In order to improve the quality of patent family data and to avoid confusion in the presentation of priority applications numbers, the Task Force considers in particular the need to:

- (1) Revise and update the Appendix to WIPO Standard ST.10/C  
The following revisions and updates of the Appendix to WIPO Standard ST.10/C should be considered:
  - (a) a revision and update to cover all the Member States of the Paris Convention;
  - (b) a revision and update to include the presentation of application numbers of both patents and utility models in the examples;
  - (c) a revision and update to include, in the examples, the presentation of application numbers assigned by receiving regional offices of a particular country in those cases where there is no uniform system established for assigning application numbers among the different receiving regional offices.
- (2) Revise the recommendation set out in the Standard ST.10/C  
The following two recommendations that should be considered for adding to the said Standard:
  - (a) a recommendation to industrial property offices to comply with the Standard when presenting the application numbers of a patent document in the notification of the first filing and in the certificate of priority,



(b) a recommendation to industrial property offices to demand and facilitate the compliance, by applicants, of the standard when providing the priority application number in subsequent filings.

### III. PROPOSED ACTION WITH TIME FRAME

The Task Force makes every effort to send a document containing the agreements reached by it to the International Bureau by September 15, 2002. On the basis of the document, the International Bureau will prepare the corresponding SCIT/SDWG document in English, French and Spanish to be distributed to the SDWG Members for its consideration at the next session held from December 2 to 6, 2002.

### IV. TASK LEADER

The Japan Patent Office (JPO) performs the role of Task leader

Task No. 31      Revision of all WIPO Standards which may require modification in view of the IPC Reform

## I. BACKGROUND AND STATUS OF WORK

- The ad hoc International Patent Classification (IPC) Reform Working Group, at its fifth session, agreed that, in view of the reform of the IPC, WIPO Standard ST.8 and some other relevant standards (“electronic data processing standards”) needed revision. In this respect, the IPC Reform Working Group authorized the International Bureau to submit a request to the SCIT for inclusion of the revision of Standard ST.8 (and as necessary, recommendations concerning other relevant standards) in the SCIT work program. The IPC Reform Working Group, at its seventh session, also considered a review, prepared by the European Patent Office, with regard to existing WIPO Standards, that would require modifications in view of the new presentation of classification symbols on the front page of patent documents, and requested the International Bureau to inform the SCIT accordingly. (See paragraphs 41 to 43 of document IPC/REF/5/3; paragraphs 39 and 40 of document IPC/REF/7/3; and documents SCIT/7/7 and SCIT/7/7 Add.1.)
- Task was approved, in June 2002, by the SCIT Plenary, which also established a Task Force to handle the revision of non-electronic standards and assigned the revision of the electronic standards to the Electronic Data Processing and Exchange Standards Task Force. (See paragraphs 31 and 32 of document SCIT/7/17.)

## II. RELEVANCE/SCOPE OF TASK

The current WIPO Standard ST.8 defines the format of the IPC for computer interpretation and is used in exchange standards. The reformed IPC itself as well as the needs for a smooth and correct retrieval of the information are resulting in fundamental changes of the currently existing indicators as well as the creation of new indicators. With the IPC reform, the quality of IPC symbols allotted by IPOs should increase and the benefit for all offices and the public will be high and proportional to the number of first filings worldwide. The revision of WIPO Standard ST.8 is the necessary condition to materialize the benefits of the improvement in the quality of the classification data, by providing a standardized exchange format.

## III. PROPOSED ACTION WITH TIME FRAME

1. Concerning the content of data handled by WIPO Standard ST.8, the reform of the IPC is ongoing and it is planned to have the new IPC available from July 2004 onwards for internal use by the Industrial Property Offices, with the new symbols being used on the published documents from January 2005 onwards. The main objective of the Task is to translate the new requirements for IPC symbols into a revised WIPO Standard ST.8, providing a standard machine-readable form for the new symbols and their presentation. The Task Force(s) will also determine the impact on other WIPO Standards and revise them accordingly. The impact on electronic data standards (e.g., ST.8, ST.30, ST.32, ST.33, ST.35

and ST.40), in particular, will be dealt with by the Electronic Data Processing and Exchange Standards Task Force. The impact on non-electronic standards (e.g., ST.7, ST.10, ST.11, ST.12 and ST.18) will be dealt with by the Task Force on WIPO Standards and IPC Reform.

2. The two Task Force Leaders involved in this revision, as mentioned above, are requested to provide a report on the work undertaken and on any proposal concerning the revision of WIPO Standards to the SDWG.

#### IV. TASK LEADER

The European Patent Office performs the role of Task Leader.

Task No. 32      Establishment of an inventory of electronic data products produced by intellectual property offices for the purpose of disseminating their intellectual property information

#### I. BACKGROUND AND STATUS OF WORK

- The SDWG, at its first session, in May 2001, agreed, during discussions concerning Task No. 7, to provide a project brief aimed at initiating a new task and to establish the inventory referred to above. On June 29, 2001, the State Office for Inventions and Trademarks of Romania submitted to the Secretariat a project brief for the creation of the new task, which had been prepared in consultation with other IPOs, for the consideration by the SCIT Plenary. (See paragraph 14 of document SCIT/SDWG/1/9, and document SCIT/7/8.)
- Task was approved, in June 2002, by the SCIT Plenary, which also established a task force to handle this Task. (See paragraphs 34 to 36 of document SCIT/7/17.)

#### II. RELEVANCE/SCOPE OF TASK

This Task shall address the need to obtain coherent and up-to-date information concerning the way IPOs disseminate their IP information. Faster and easier access to information concerning IPO policies for dissemination of IP data is a matter of common interest for both IPOs and third parties.

#### III. PROPOSED ACTION WITH TIME FRAME

The Task Leader is to provide a report on the work carried out and on any proposal concerning the inventory.

#### IV. TASK LEADER

The State Office for Inventions and Trademarks of Romania performs the role of Task Leader.

Task No. 33      Ongoing revision of non-electronic WIPO Standards

## I. BACKGROUND AND STATUS OF WORK

- Task was created by the SCIT/7 Plenary, in June 2001. (See paragraphs 69 to 72 of document SCIT/7/17.)

## II. RELEVANCE/SCOPE OF TASK

The SCIT/7 Plenary decided, as a means of expediting the standards revision process, that requests for the revision of standards could be passed directly to the Task Leader, or to the SDWG. The revision of non-electronic standards is considered an ongoing task.

## III. PROPOSED ACTION WITH TIME FRAME

Where a specific request to revise a particular standard is passed directly to the Task Leader, and where necessary, work would start immediately; otherwise, the Task Leader would refer the request to the next session of the SDWG.

## IV. TASK LEADER

The Secretariat performs the role of Task Leader. The SDWG will consider the appointment of a Task Leader for specific requests for the revision of standards.

### Notes

- \* High priority task
- \*\* Task to be carried out/handled by the Secretariat and to be reported on to the SDWG

[End of Annex and of document]