

## Recent and Future Developments in the PCT System

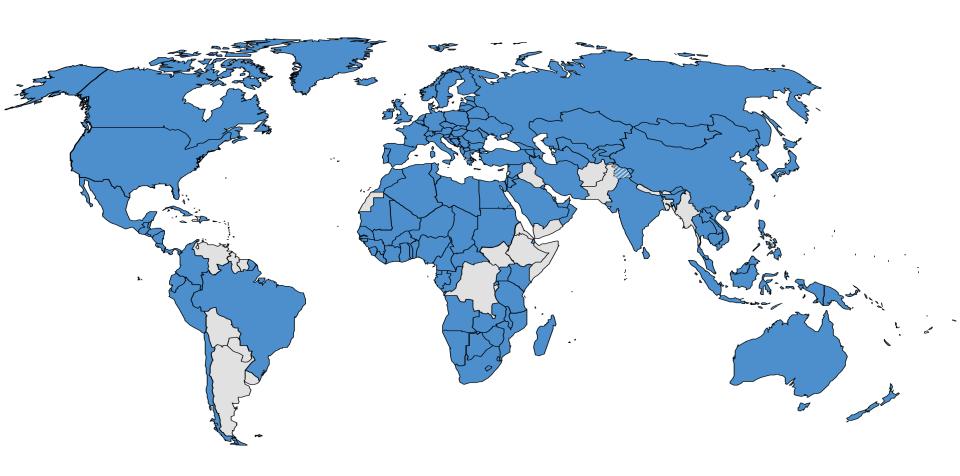
**December 6, 2021** 

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### **PCT Coverage Today**





#### PCT Contracting States (154)

States designated for regional protection and also, unless otherwise indicated, national protection

<u>EA</u>	Eurasian Patent		<u>EP</u>	European Patent			
AM	Armenia		AL	Albania <sup>1</sup>		IT	Italy <sup>2</sup>
ΑZ	Azerbaijan		AT	Austria		LI	Liechtenstein
BY	Belarus	*	BE	Belgium	*	LT	Lithuania
KG	Kyrgyzstan		BG	Bulgaria		LU	Luxembourg
ΚZ	Kazakhstan		CH	Switzerland	*	LV	Latvia
RU	Russian Federation	*	CY	Cyprus	*	MC	Monaco
TJ	Tajikistan		CZ	Czech Republic		MK	North Macedonia <sup>1</sup>
TM	Turkmenistan		DE	Germany	*	MT	Malta
			DK	Denmark	*	NL	Netherlands
			EE	Estonia		NO	Norway
			ES	Spain		PL	Poland
			FI	Finland		PT	Portugal
		*	FR	France		RO	Romania
			GB	United Kingdom		RS	Serbia <sup>1</sup>
		*	GR	Greece		SE	Sweden
			HR	Croatia <sup>1</sup>	*	SI	Slovenia
			HU	Hungary		SK	Slovakia
		*	ΙE	Ireland	*	SM	San Marino
			IS	Iceland		TR	Turkey

Regional patent only



Extension agreement continues to apply to applications filed before 1 January 2008 (for HR), 1 January 2009 (for MK), 1 May 2010 (for AL) or 1 October 2010 (for RS)

### PCT Contracting States (154) (continued)

States designated for regional protection and also, unless otherwise indicated, national protection

#### **AP ARIPO Patent**

BW	Botswana

GH Ghana

GM Gambia

KE Kenya

Liberia LR

LS Lesotho

MW Malawi

ΜZ Mozambique

NA Namibia

RW Rwanda

SC Seychelles (as of 01.01.2022)

SD Sudan

SL Sierra Leone

ST Sao Tome and Principe

SZ Eswatini

> TΖ United Republic of Tanzania

UG Uganda

ZM Zambia

7 imbabwe 7W

#### OA OAPI Patent

BF Burkina Faso

BJ Benin

Central African Republic

CG Congo

Côte d'Ivoire CL

CM Cameroon

GA Gabon

GN Guinea

GQ **Equatorial Guinea** 

GW Guinea-Bissau

KM Comoros

ML Mali

MR Mauritania

NE Niger

Senegal SN

TD Chad

TG Togo

> WIPO PCT The International Patent System

Regional patent only

### PCT Contracting States (154) (continued)

States designated for national protection only except where otherwise indicated

		1011011	protection only office			
ΑE	United Arab Emirates	ID	Indonesia		NI	Nicaragua
AG	Antigua and Barbuda	IL	Israel		NZ	New Zealand
AO	Angola	IN	India		OM	Oman
ΑU	Australia	IR	Islamic Republic of Iran		PA	Panama
BA	Bosnia and Herzegovina	JM	Jamaica (as of 10.02.2022)		PΕ	Peru
BB	Barbados	JO	Jordan		PG	Papua New Guinea
BH	Bahrain	JP	Japan		PH	Philippines
BN	Brunei Darussalam	** KH	Cambodia		QA	Qatar
BR	Brazil	KM	Union of the Comoros		SA	Saudi Arabia
ΒZ	Belize	KN	Saint Kitts and Nevis		SC	Seychelles
CA	Canada	KP	Democratic People's		SG	Singapore
CL	Chile		Republic of Korea		ST	Sao Tome and Principe
CN	China	KR	Republic of Korea		SV	El Salvador
CO	Colombia	KW	Kuwait		SY	Syrian Arab Republic
CR	Costa Rica	LA	Lao People's Democratic		TH	Thailand
CU	Cuba		Republic	**	TN	Tunisia
DJ	Djibouti	LC	Saint Lucia		TT	Trinidad and Tobago
DM	Dominica	LK	Sri Lanka		UA	Ukraine
DO	Dominican Republic	LY	Libya		US	United States of America
DΖ	Algeria	** MA	Morocco		UZ	Uzbekistan
EC	Ecuador	** MD	Republic of Moldova		VC	Saint Vincent and
EG	Egypt	* ME	Montenegro			the Grenadines
GD	Grenada	MG	Madagascar			VN Viet Nam
GE	Georgia	MN	Mongolia		WS	Samoa
GT	Guatemala	MX	Mexico		ZA	South Africa
HN	Honduras	MY	Malaysia			
		NG	Nigeria			WIPO PCT
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<sup>\*</sup> Extension of European patent possible

Validation of European patent possible

# Countries not yet PCT Contracting States (39)

Afghanistan

Andorra

Argentina

Bahamas

Bangladesh

Bhutan

Bolivia

Burundi

Cabo Verde

Democratic Republic of Congo

Eritrea

Ethiopia

Fiji

Guyana

Haiti

Iraq

Kiribati

Lebanon

Maldives

Marshall Islands

Mauritius

Micronesia

Myanmar

Nauru

Nepal

**Pakistan** 

Palau

Paraguay

Solomon Islands

Somalia

South Sudan

Suriname

Timor-Leste

Tonga

Tuvalu

Uruguay

Vanuatu

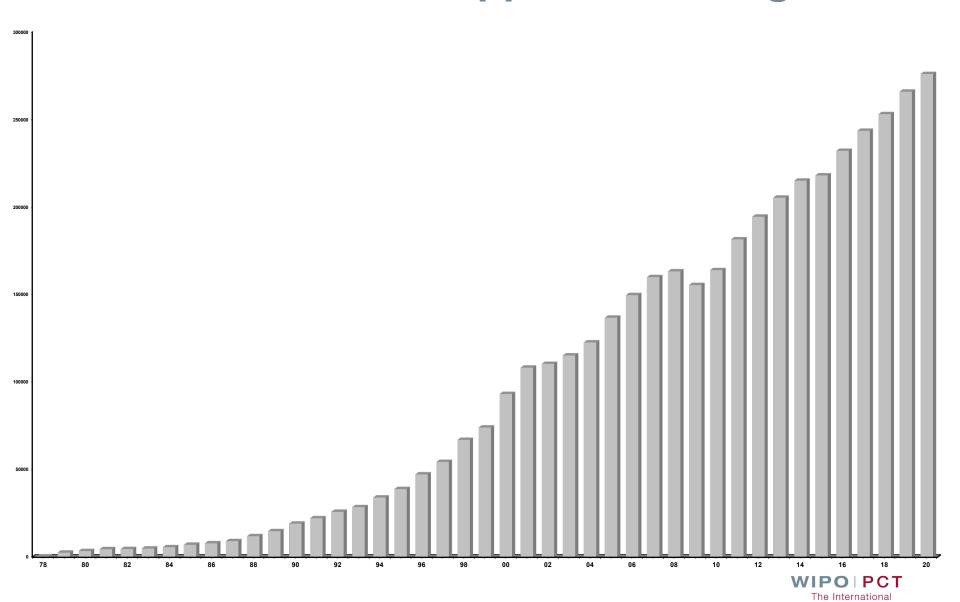
Venezuela

Yemen





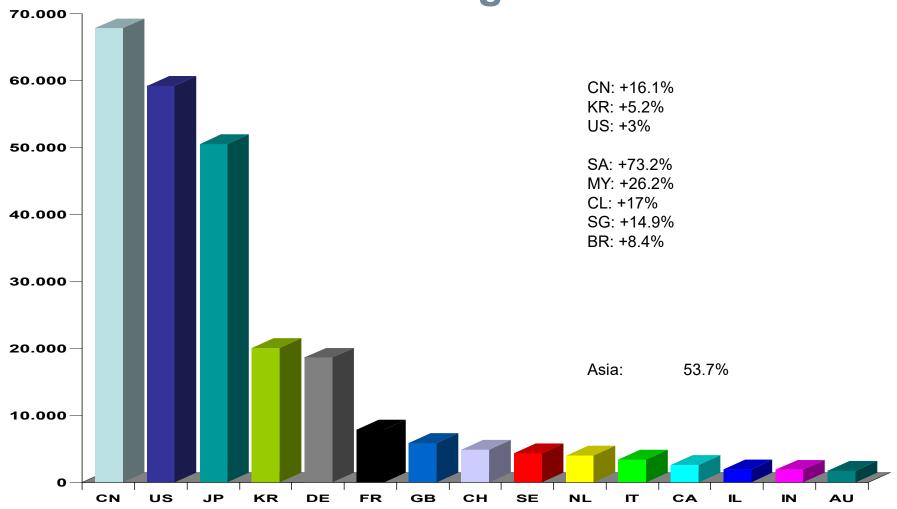
#### **Growth in PCT application filings**



Patent System

2020: 275,900 filed (+4%)

International applications in 2020 by country of origin



- 25% originating in China, 21% in US, 18% in Japan
- 64% from the top 3 countries, 79% from top 5 countries, 93% of filings from top 15 countries



#### **Top PCT applicants in 2020**

- 1. Huawei Technologies—CN (5,464)
- () of published PCT applications

- 2. Samsung—KR (3,093)
- 3. Mitsubishi Electric—JP (2,810)
- 4. LG Electronics—KR (2,759) (+67.6%)
- 5. Qualcomm—US (2,173)
- 6. Ericsson—SE (1,989)
- 7. BOE Technology Group—CN (1,892)
- 8. Guang Dong Oppo Mobile Telecom—CN (1,801)
- 9. Sony—JP (1,793)
- 10. Panasonic—JP (1,611)
- 11. Hewlett-Packard—US (1,595)
- 12. Microsoft—US (1,529)
- 13. Bosch—DE (1,375)
- 14. LG Chem, Ltd.—KR (1,374)
- 15. Nippon Telegraph & Telephone—JP (1,372)



# Rule changes adopted by the PCT Assembly in October 2021 (1)

- Amendment of PCT Rules 5, 12, 13 ter, 19.4 and 49
  - ☐ ST.26 will replace ST.25 as the standard on sequence listings
  - ☐ Complete revision of Annex C and further changes to the Administrative Instructions to be implemented
- WIPO desktop tools:
  - □ WIPO Sequence software tool (for applicants) (<u>https://www.wipo.int/standards/en/sequence/index.html</u>) to help author standard-compliant SLs, and
  - ☐ WIPO Sequence Validator tool (for offices) to verify compliance
- ST. 26 Webinars: Introduction and Advanced
  - □ (<a href="https://www.wipo.int/meetings/en/details.jsp?meeting\_id=62848">https://www.wipo.int/meetings/en/details.jsp?meeting\_id=62848</a>)
  - □ (https://www.wipo.int/meetings/en/details.jsp?meeting\_id=62851)
- Planned entry into force: July 1, 2022



# Rule changes adopted by the PCT Assembly in October 2021 (2)

- Amendment of PCT Rule 82 quater
  - ☐ "Epidemic" added as one of the emergencies listed
  - ☐ Express authorization for Offices to waive the requirement to provide evidence
  - ☐ Authorization for Offices and Authorities to extend time limits covered by Rule 82quater, in addition to excusing delays in meeting such time limits
- Planned entry into force: July 1, 2022



#### Electronic communications

■ New versions (as of 1 January 2022) of the Request/Demand/SIS Form sets sending of notifications by email as the default, if Office provides this service

* E-mail authorization: Indicating an e-mail address above authorizes the receiving Office, the International Searching Authority and the						
International Bureau, if they provide such a service, to send notifications exclusively by e-mail to that address, unless the following box is						
marked:						
notifications are requested to be sent exclusively by postal mail.						
State (that is country) of nationality:	State (that is country) of residence:					

- Section 102(h) Administrative Instructions: flexibility for Offices to design online filing interfaces: e.g., optional online retrieval of documents instead of sending of notifications; ePCT is being developed to provide for this option
- Section 705*ter* Administrative Instructions: legal basis for permitting international applications to be converted from one electronic format (e.g., PDF) to another electronic format (XML) for further processing

Patent System

#### PATENTSCOPE/IP Portal/Office Profiles

#### PATENTSCOPE

- ☐ 73 national and regional patent collections; 100 million documents in total
- National phase entry information for 84 Offices
- ☐ Chemical compound search extended and Markush claims search
- □ Patent families and search of non-patent literature included
- IP Portal
  - WIPO Pay extended to PCT payments: <a href="https://www3.wipo.int/epayweb/public/index.xhtml">https://www3.wipo.int/epayweb/public/index.xhtml</a>
- Office Profiles in ePCT external
  - □ Advanced information on processing settings of Offices (beyond Offices offering ePCT filing):

https://pct.wipo.int/ePCTExternal/pages/OfficeProfile.xhtml

#### Other developments

- Collaborative international search and examination pilot update
- Decommissioning of the PCT-SAFE software by July 2022: users are encouraged to switch to ePCT RO/IB, RO/CA stopped accepting applications filed using PCT-SAFE
- IB would like to move to all electronic communications with applicants
- Webpage for notification under PCT Rule 82 quater.2
- PCT User Survey 2021



#### PCT training options

Exploring the PCT Webinar Series (new in 2021)

(www.wipo.int/pct/en/seminar/webinars/index.html)

- ☐ Free webinars on PCT topics for companies/law firms are also available on request
- "Learn the PCT" Video Series

(www.wipo.int/pct/en/training/index.html)

- □ A series of 29 short videos designed to provide a basic introduction to important aspects and issues in the PCT system (in English, with several episodes also with Chinese, Japanese, Korean and Russian subtitles)
- PCT Distance Learning Course available in the 10 publication languages

(www.wipo.int/pct/en/distance\_learning/index.html)

More information on the PCT resources website: www.wipo.int/pct

•The International Patent System

#### PCT Resources/Information

For general questions about the PCT, contact the PCT Information Service at:

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### Questions?



