PATENTSCOPE® Search Service: Tool for Access to and Dissemination of International and National Patent Documents

William Meredith
Head, Global IP Information Service
WIPO

PCT Gazette – Paper Version



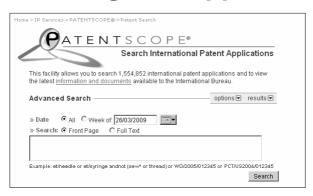
Accessing PCT applications



Accessing PCT applications



Searching PCT applications



Searching PCT applications

- ► Application and publication number
- ► Application and publication date
- ► Title (EN/FR/JP)
- ► Abstract (EN/FR)
- ▶ Description
- ▶ Claims
- ► International classification (IPC)

Searching PCT applications

- Applicant data (name, residence, nationality, address)
- ► Inventor data (name, address)
- National phase data
- ► Priority data (country, date, number)
- ► Language of filing and publication

Boolean operators

► AND : both terms

► OR : either term

► ANDNOT : not term after operator

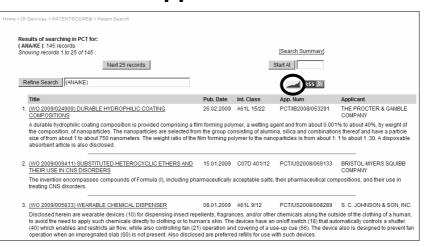
➤ XOR : either term but not both

► NEAR : terms in proximity (default : 5 characters)

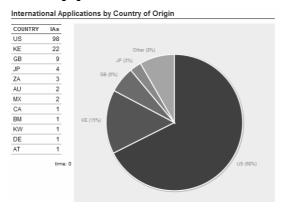
Example : Finding PCT applications with Kenyan applicants



PCT applications with Kenyan applicants

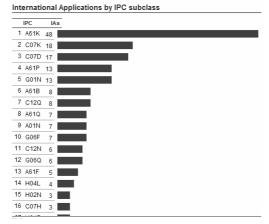


For whom do Kenyan PCT applicants work?



Country of origin is the country of residence of the first named applicant or assignee of the PCT international application

In which fields are Kenyan PCT applicants active?



A61K: Preparations for medical, dental, or toilet purposes

Bibliographic data



National phase entry data

- Over 2.6 million notifications from 35+ offices
- ► Legal status (where available)

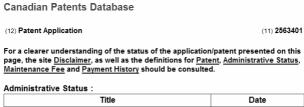


National phase entry data



National phase reference numbers

▶ Obtain additional legal information

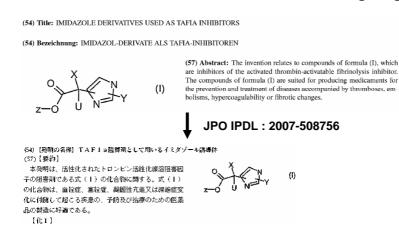


Title	Date
(86) PCT Filing Date	2005-04-07
(87) PCT Publication Date	2005-11-10
(85) National Entry	2006-10-12

Maintenance Fee :			
Description	Date	Amount	
Last Payment	2008-03-28	\$100.00	
Next Payment if small entity fee	2009-04-07	\$50.00	
Next Payment if standard fee	2009-04-07	\$100.00	

National phase reference numbers

Obtain translations into other languages



Related Documents



Related documents





Request Form

Written Opinion

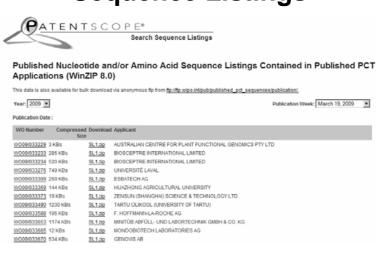
Related documents

- ► International search reports
- ► International preliminary reports on patentability and written opinions
- ▶ Priority documents
- ► Notifications and declarations
- ► Complete file contents for all PCT applications filed as of January 2009

Sequence Listings



Sequence Listings



PATENTSCOPE® for National Patent Collections

Dissemination of Patent Information

- ▶ Of all the patent documents in the world:
 - Less than ¼ of WIPO member states have electronic patent data
 - Less than 50% of documents have a searchable title
 - Less than 35% of documents have a searchable abstract
 - Only documents from a small number of offices have searchable full-text
 - · Status information is limited

Gaps in Patent Information

- Some notable offices have limited availability of patent data and documents:
 - OAPI, ARIPO and their member states
 - South Africa
 - EAPO
 - Ukraine, Belarus, ...
 - Mexico, Brazil, Argentina, Chile, ...
 - Malaysia, Thailand, Vietnam, Philippines
 - India

Why Disseminate Patent Information?

- Patent information is a valuable source of information for local industry and government:
 - Promote the use of the IP system for local innovation
 - Increase certainty of patent rights by effectively disseminating information about filed/granted patents
 - Improve information about foreign patent rights
 - Promote the use of patent information in technology transfer, licensing, etc
 - Improve information about patenting in areas of public-policy interest, including health, environment, food security, etc

WIPO Cooperation Projects in Patent Information

- ► Focus on developing countries where patent information coverage is currently limited (e.g. ZA, IL, MX, AP)
- Demand-driven
- Assistance with digitisation and dissemination
- Assistance with office automation
- ► Dissemination of national office patent data via PATENTSCOPE® in 2009

Digitisation Projects

- ▶ Technical assistance: project documentation, specifications, standards, formatting of data, etc
- ► WIPO Scan: indexation, quality checking and packaging of scanned documents
- ► Electronic Dossier (planned)
- OCR of full-text documents
- ► Dissemination of patent data via PATENTSCOPE®

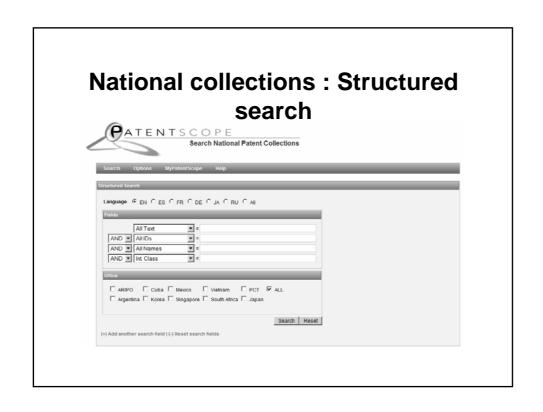
Cooperation Agreements – Dissemination Policy

- ► WIPO has access to offices' patent data for internal purposes and for dissemination via PATENTSCOPE®
- ► The office retains ownership and control of their patent data and its dissemination
- ► Third parties must obtain data from the office, or with the office's permission, from WIPO
- Offices are free to decide their own pricing and exchange policies

Related Technical Cooperation Projects

- ► IP Office Automation (IPAS system)
- Advice and assistance with office IT infrastructure
- WIPO standards and classification systems





National collections: Content

- ▶ ARIPO
- ► South Africa
- ▶ Cuba
- Mexico

- ▶ Korea
- ▶ Singapore
- ▶ Vietnam
- ► Others to be added soon...

National collections: Searching

- ► Application and publication number
- ▶ Filing date
- ► Title
- ► Abstract
- ► Full-text (for certain collections)
- ► International classification
- ► Applicant name
- ▶ Inventor name
- ► Language of filing

National collections: New features

- ► New search engine (open source)
- ▶ More flexible search syntax
- ► Automatic word stemming (electric, electrical, electricity, ...)
- ▶ Better relevance ranking
- Better analysis tools
- ► Full-text search (OCR text)
- ➤ Supports multiple languages
- Easily extensible to new features

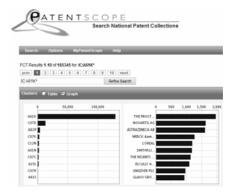
National collections: Results



 (Almost) instant analysis of thousands of search results by office, IPC, applicant, inventor, and date.

National collections : Graphical analysis

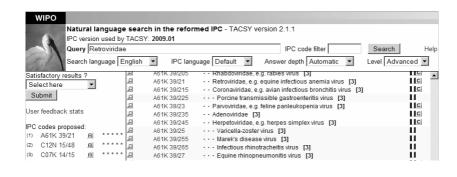
Integrated graphical analysis



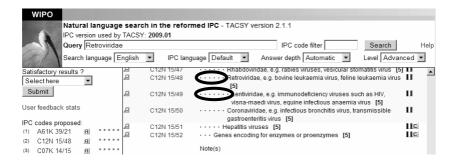
Example: HIV vaccines

- ► An emerging field of technology
- ► Possible opportunities for cooperation between research institutions

HIV vaccines: IPC search



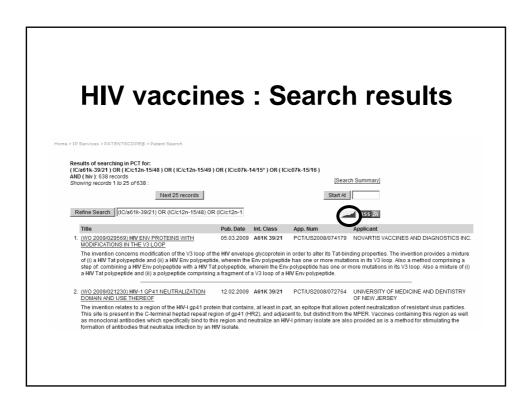
HIV vaccines: IPC search

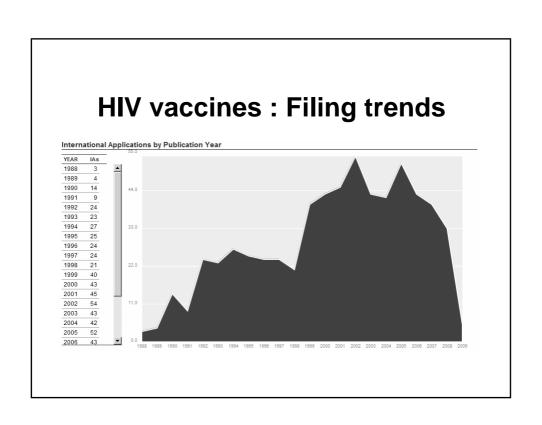


Dot-levels indicate hierarchical position of that subgroup, i.e., indicating that each subgroup forms a subdivision of the nearest group above it having one dot less.

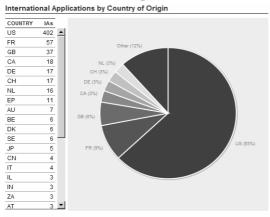
HIV vaccines : IPC search Natural language search in the reformed IPC - TACSY version 2.1.1 IPC version used by TACSY: 2009.01 Query Retoviridae Search language English IPC language Default Answer depth Automatic Level Advanced Search language English IPC language Default Answer depth Automatic Level Advanced Search language English IPC language Default Answer depth Automatic Level Advanced Search language English IPC language Default Answer depth Automatic Level Advanced Search language English IPC language Default Answer depth Automatic Level Advanced Search language English IPC language Default Answer depth Automatic Level Advanced Search language IPC cork 14/15 Select here Submit COTK 14/15 User feedback stats COTK 14/15 IPC codes proposed: COTK 14/16 COTK 14/17 COTK 14/18 COTK 14/18

HIV vaccines: Patent search (PATENTSCOPE® Search International Patent Applications options ▼ results ▼ Structured Search — » Keywords Front Page 💌 AND Int. Class = a61k-39/21 OR Int Class c12n-15/48 OR V Int Class OR V Int Class OR V Int Class = c07k-14/15* Int. Class = c07k-15/16 AND Any Field ▼ = hiv AND Int Class AND Inventor Name AND National Phase Country **v** = **V** = AND Description AND Claims Search









12 AVENTIS PASTEUR

HIV vaccines: Type of applicants

- Universities
 - Duke University
 - · Regents of the University of California
 - President and Fellows of Harvard College
 - University of Maryland Biotechnology Institute
 - Trustees of the University of Pennsylvania
- ► Non-profit institutions
 - Institut Pasteur
- ▶ Government agencies
 - Government of the United States, as represented by the Secretary, Department of Health and Human Services

HIV vaccines : Distribution of technologies

