



# WIPO CASE

## **WIPO Workshop on Substantive Examination in the PCT National Phase**

October 26, 2021

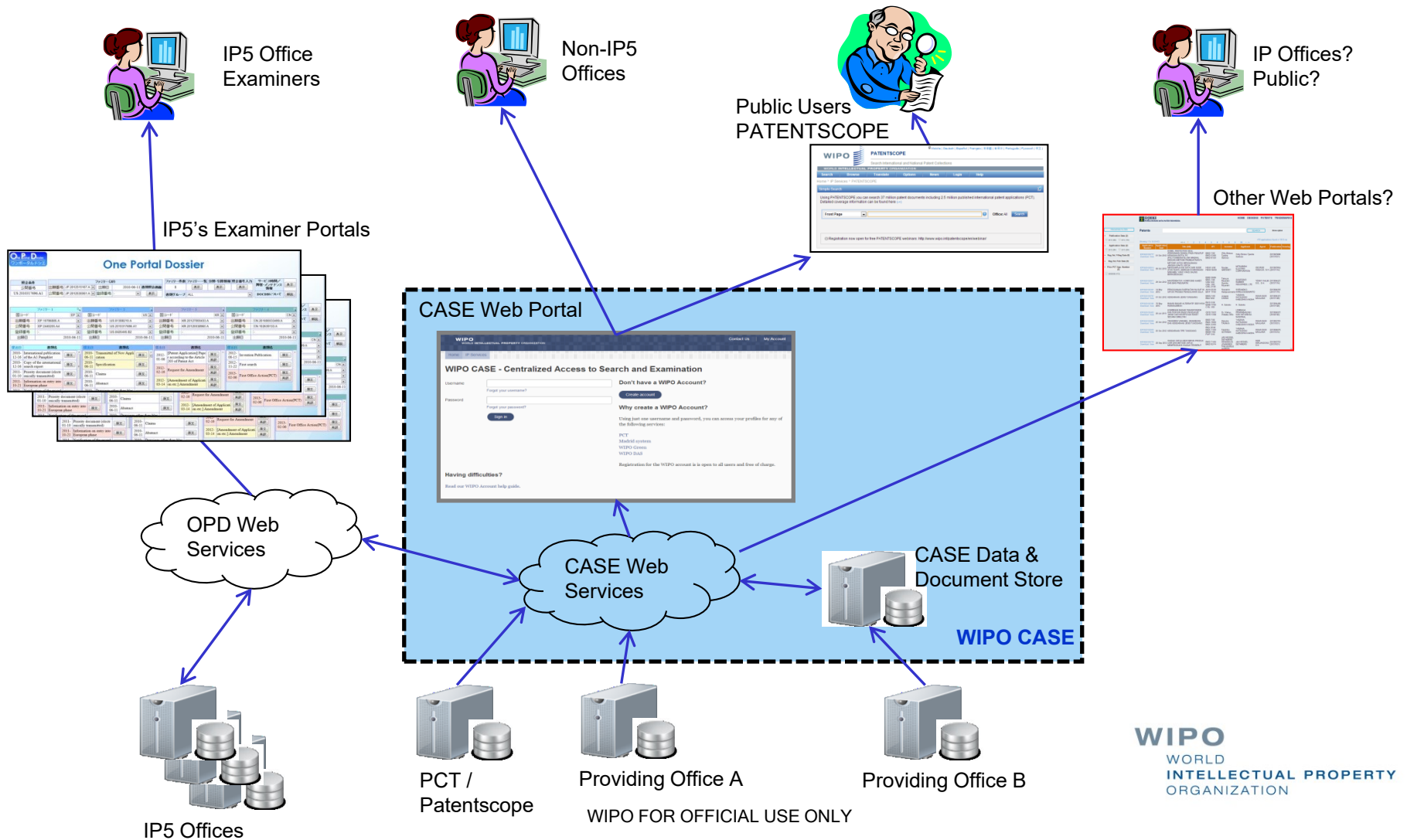
WIPO Industrial Property Business Solutions Division (IPOBSD)

# Agenda

- WIPO CASE system
- Participation to WIPO CASE
- Published patent dossier information
- Demo (<https://www3.wipo.int/caseportal>)
- Annex: Examples of examination-related documents shared by CASE Depositing Offices

# WIPO CASE System

- Centralized access to patent dossier information by patent family search (in particular search and examination reports)



# Participating Offices

## ■ Participation as of October 2021

- 38 national and regional patent Offices
- 14 operational / 15 Depositing patent Offices

## ■ Prerequisites for participation

- Sharing of patent family data
- Sharing of patent dossier information as Depositing Office (bibliographic data and published documents)
- Notification letter to WIPO

## ■ Participation

- As Accessing Office only, as Depositing Office only or both capacities

# Published Patent Dossier Information

## ■ Bibliographic data

- Title, abstract, IPC, applicant name

## ■ Patent documents

- Patent specification, amended, search reports, examination reports, etc.

## ■ Citations

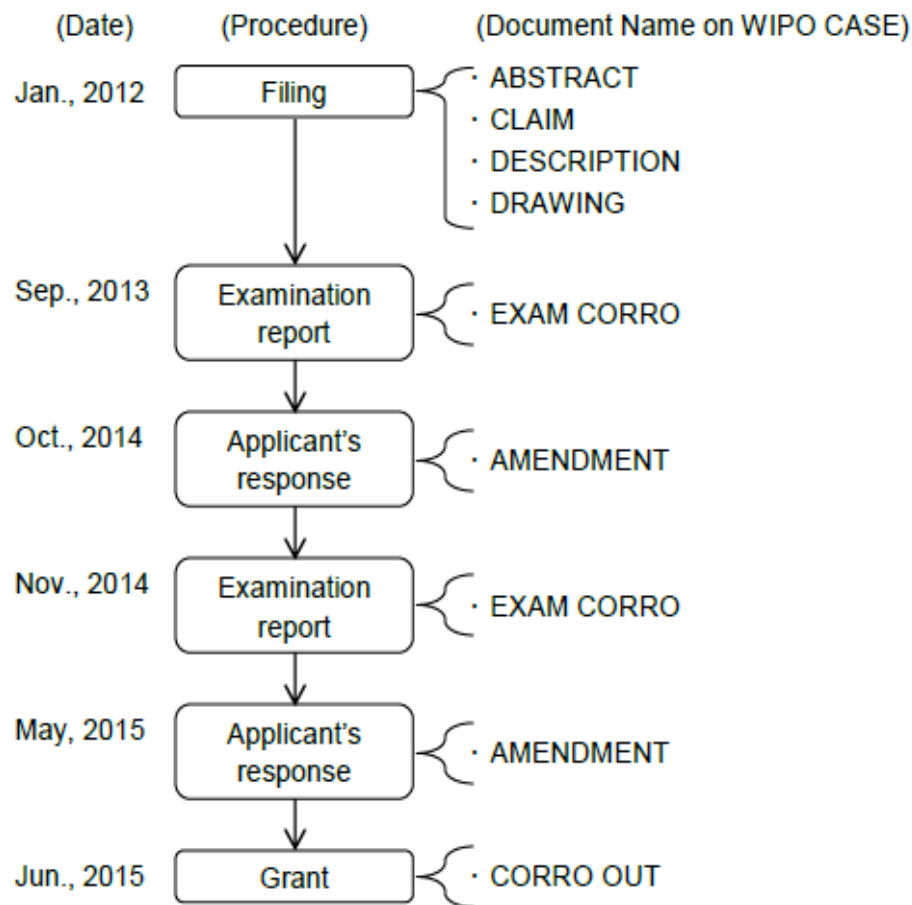
- Patent citations and non-patent literature (NPL)

## ■ Patent Family

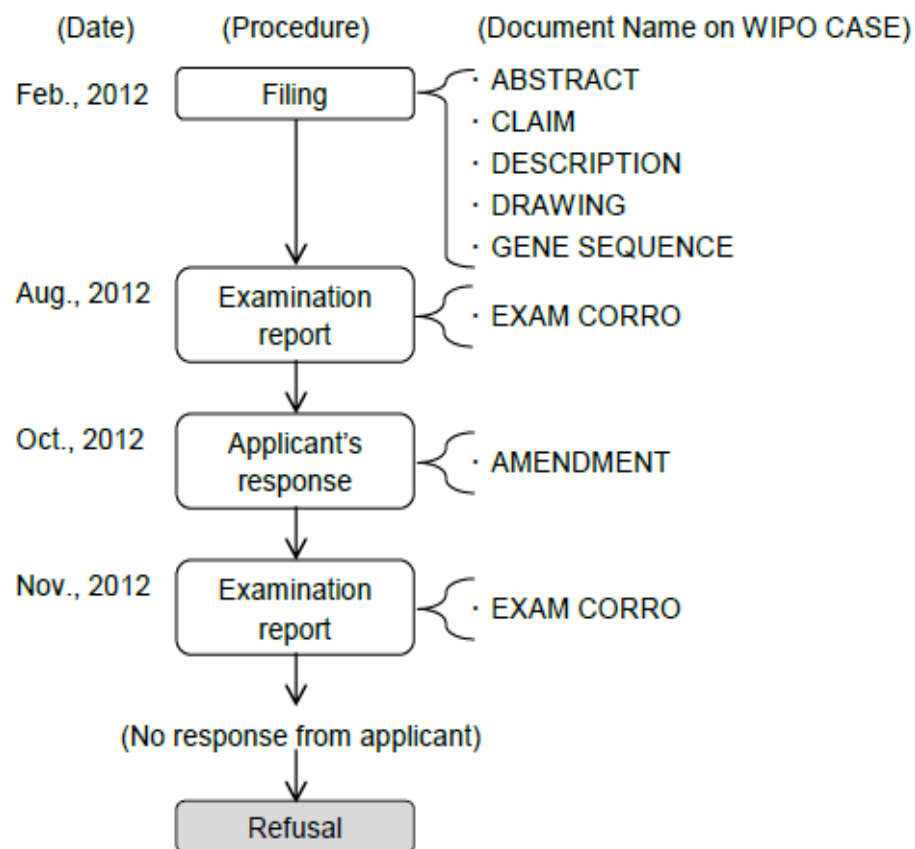
- Priority and National Phase entries of PCT

# Examination-related Documents (AU)

(Example 1-1) AU2012200024

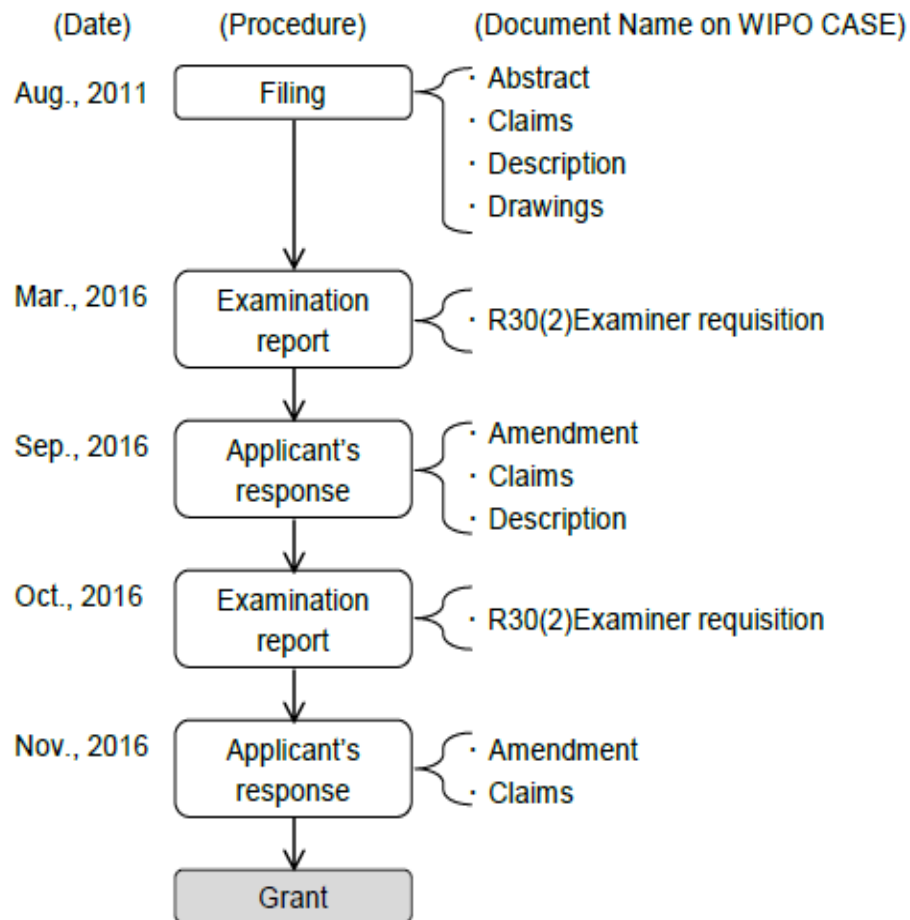


(Example 1-2) AU2012200976

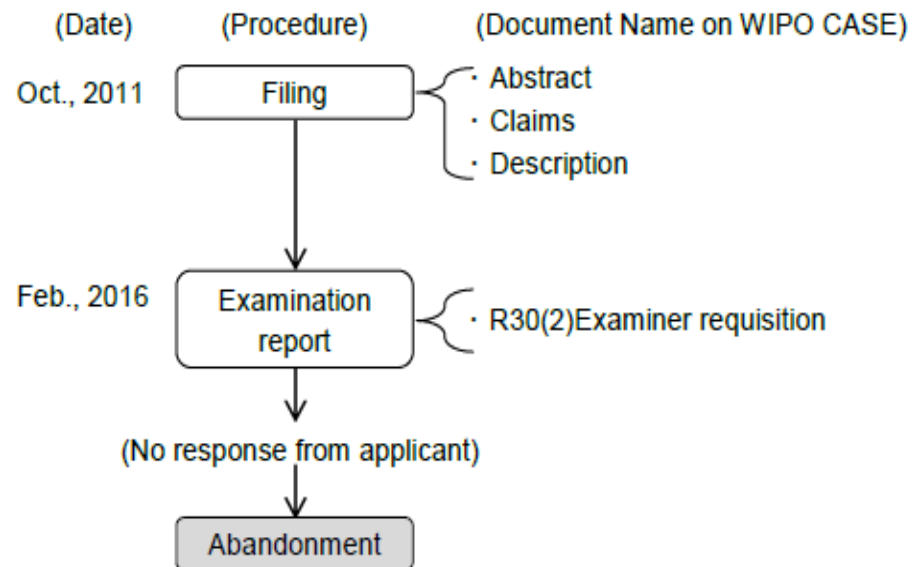


# Examination-related Documents (CA)

(Example 2-1) CA2754005

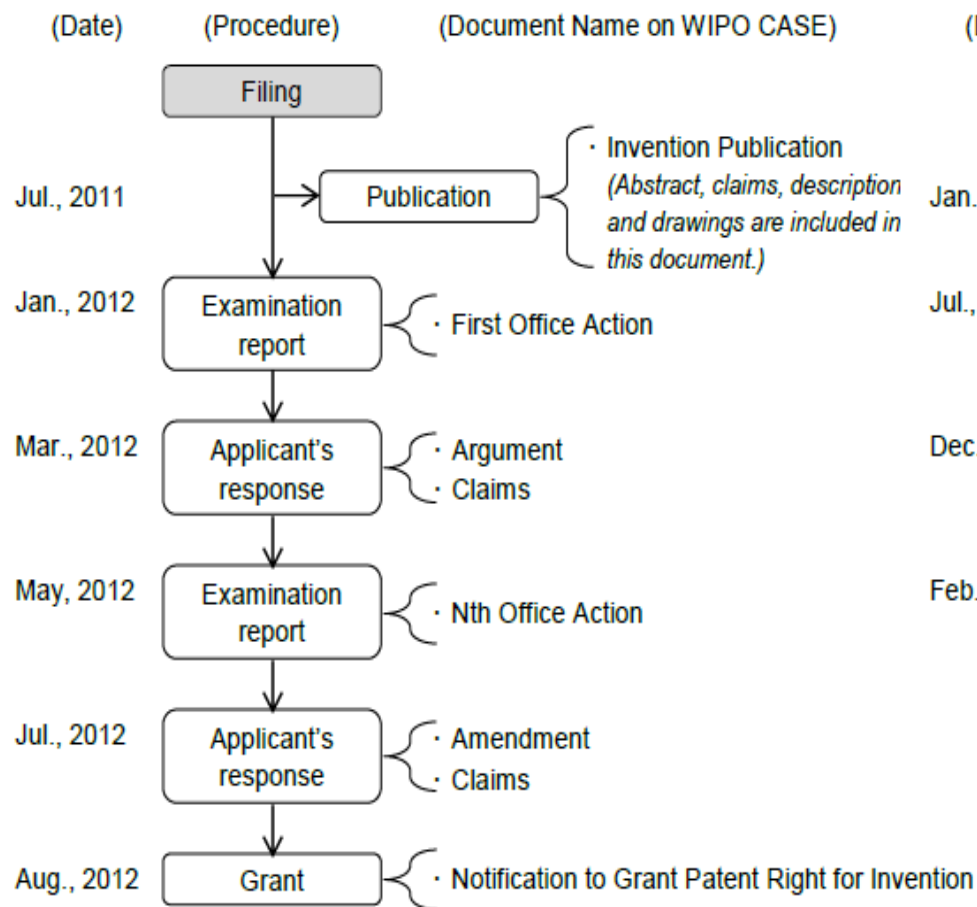


(Example 2-2) CA2760000

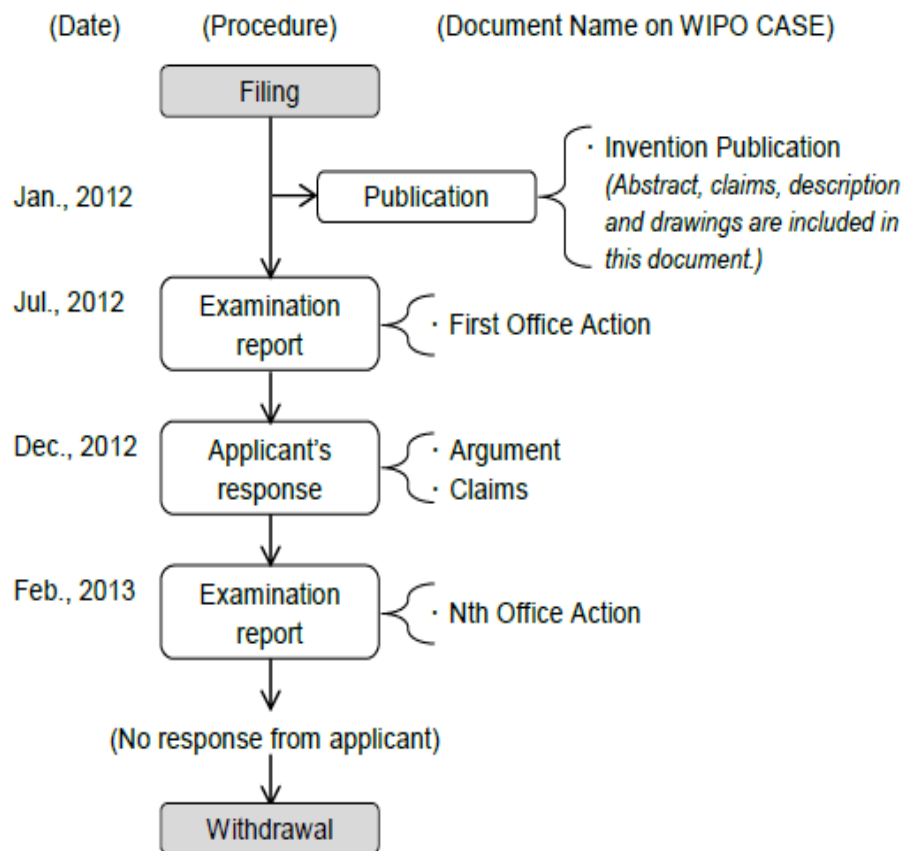


# Examination-related Documents (CN)

(Example 3-1) CN201110008300.0



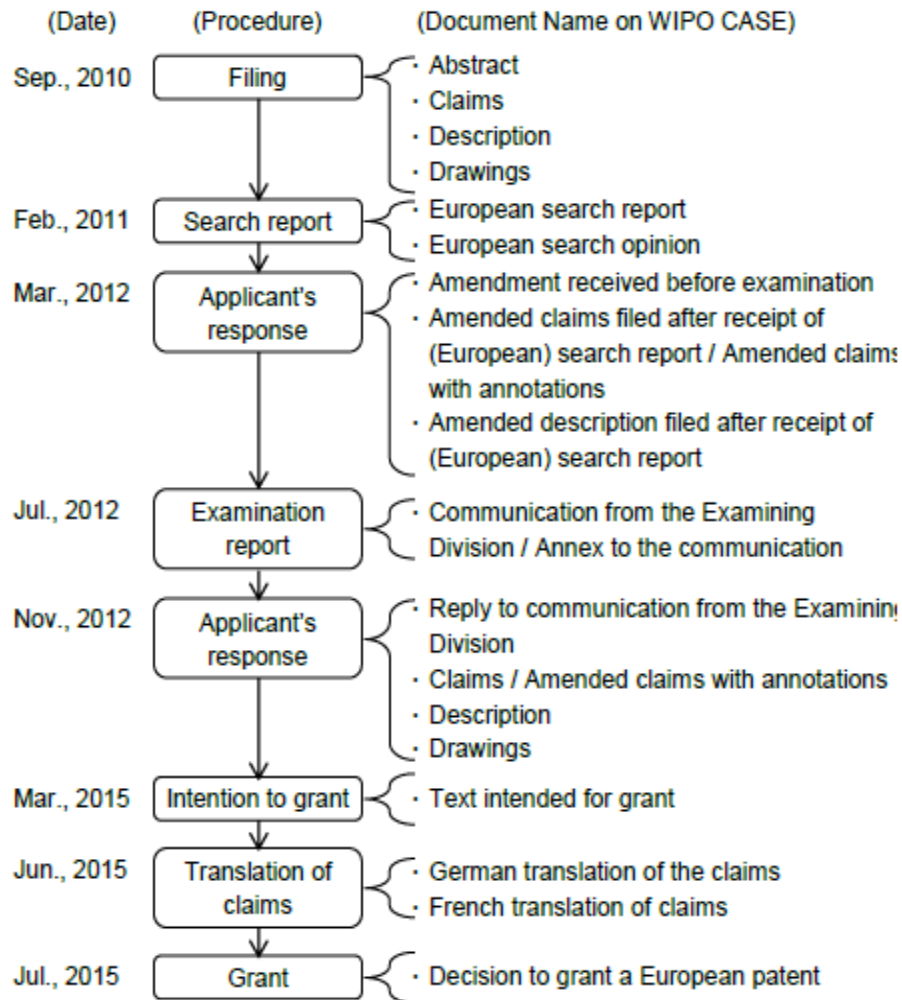
(Example 3-2) CN201010212500.3



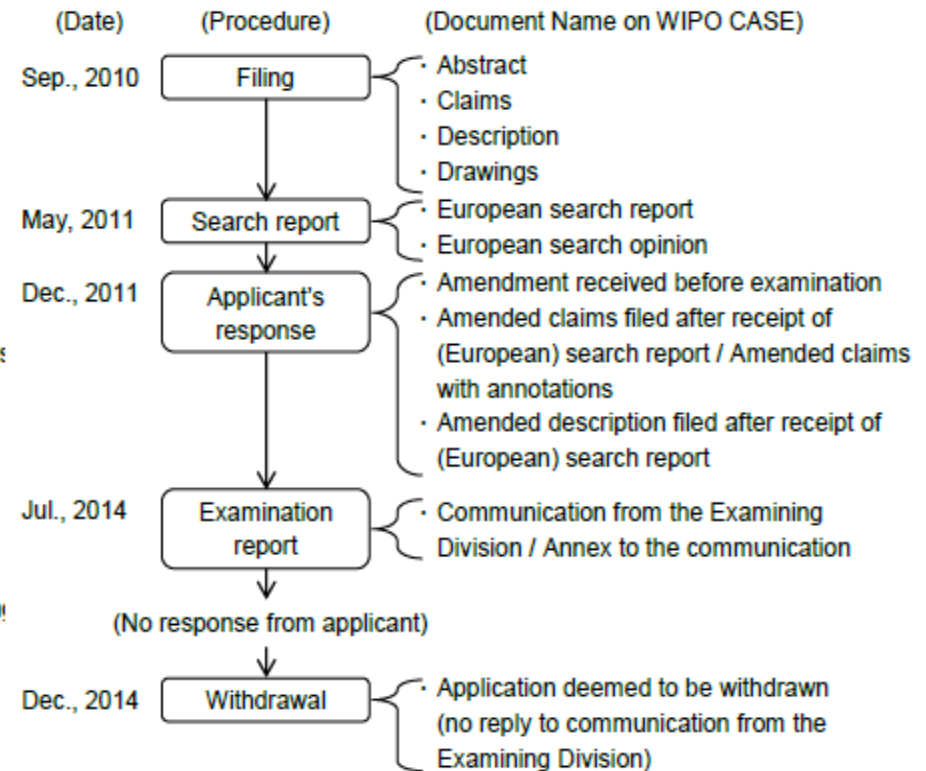


# Examination-related Documents (EP)

(Example 4-1) EP2010011300



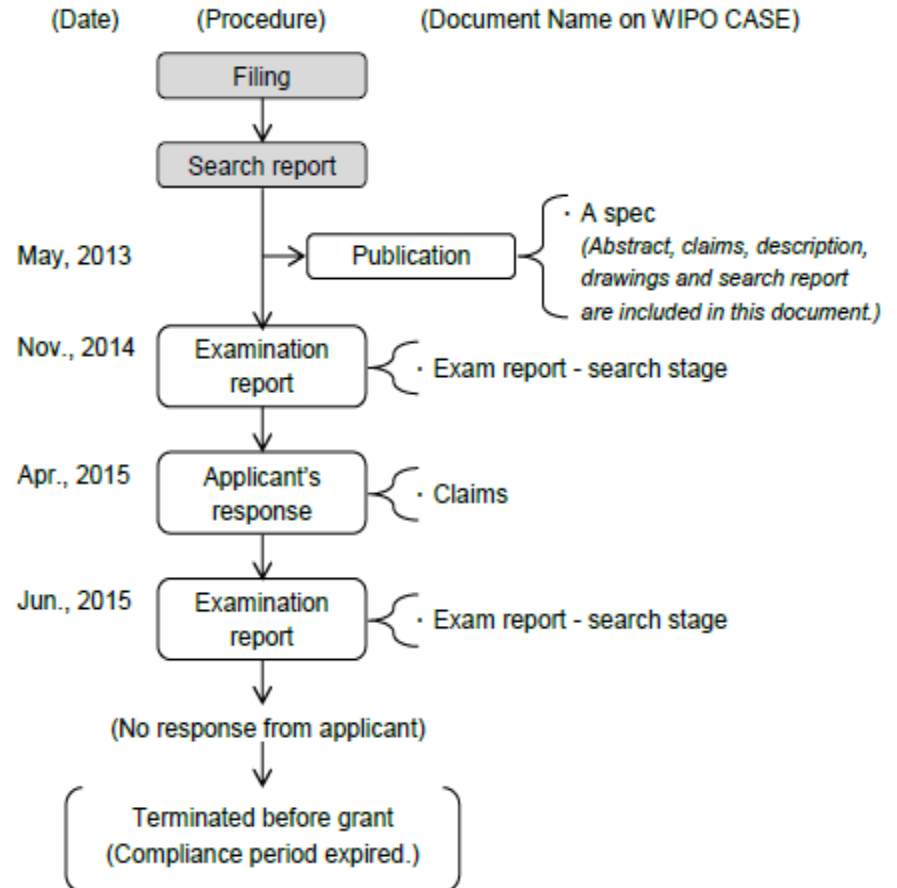
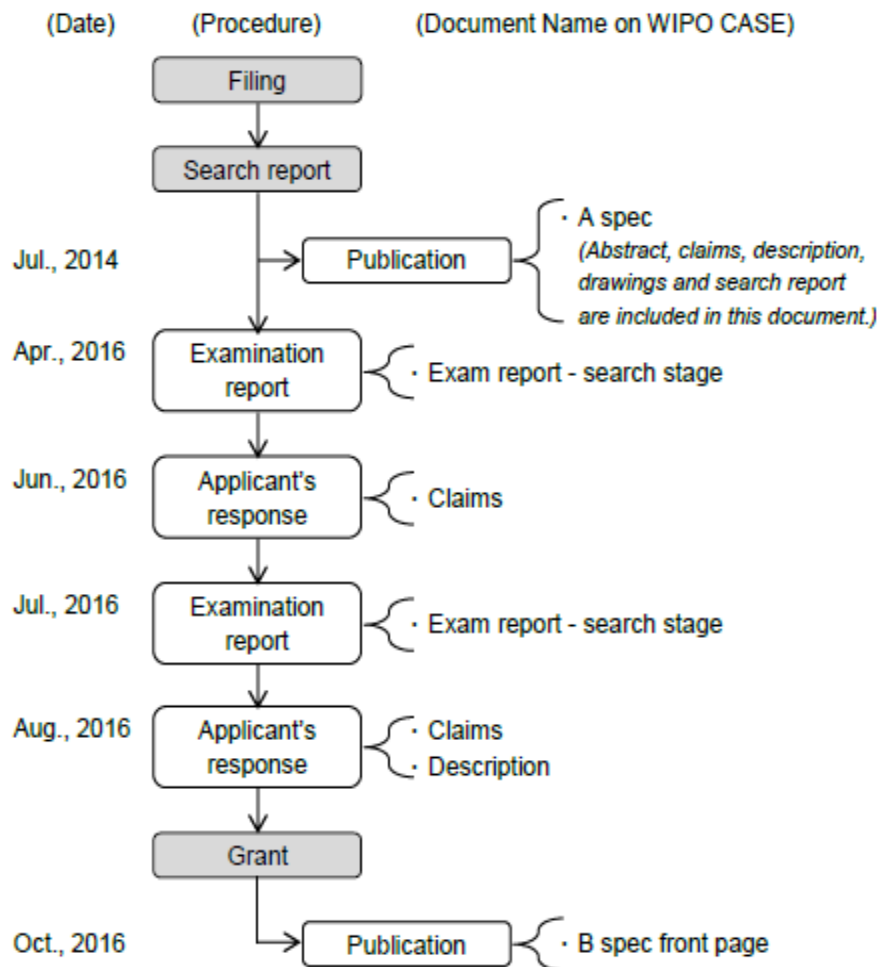
(Example 4-2) EP2010012400



# Examination-related Documents (GB)

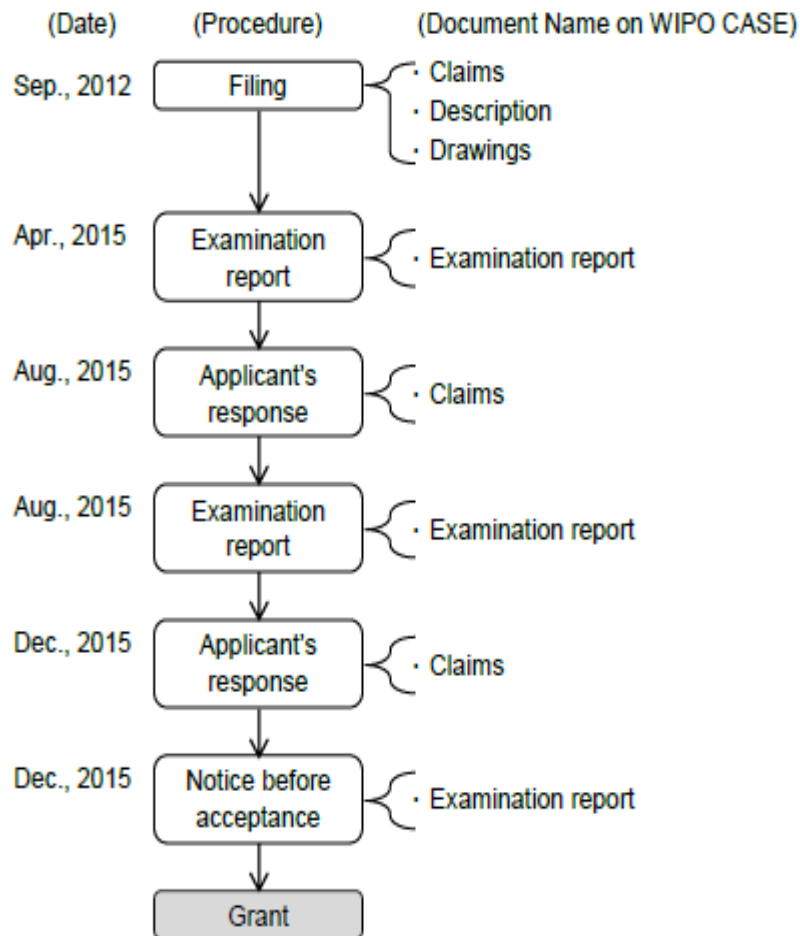
(Example 5-1) GB1319549.0

(Example 5-2) GB1205781.6

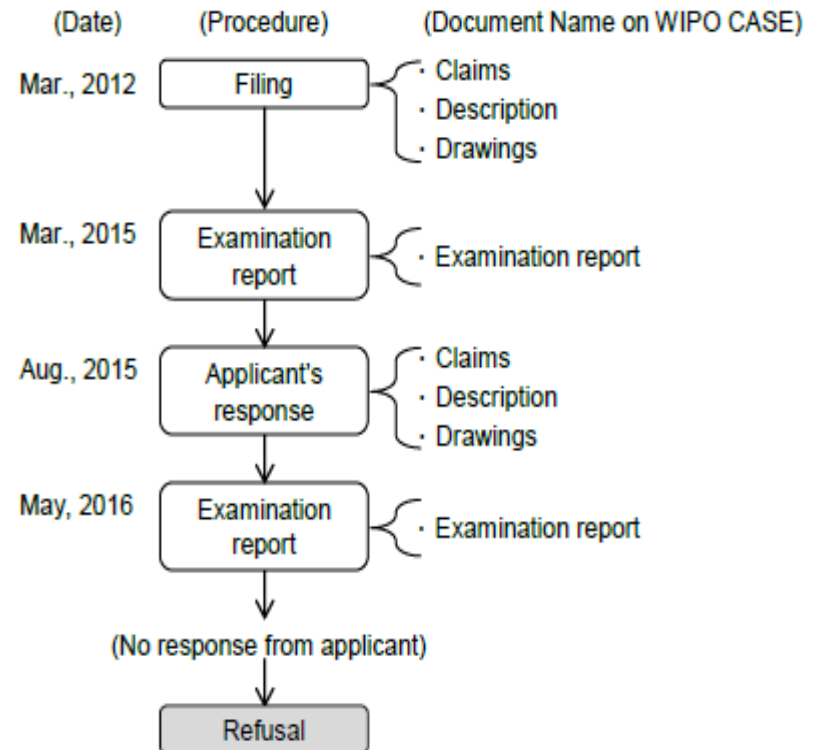


# Examination-related Documents (IL)

(Example 6-1) IL222175

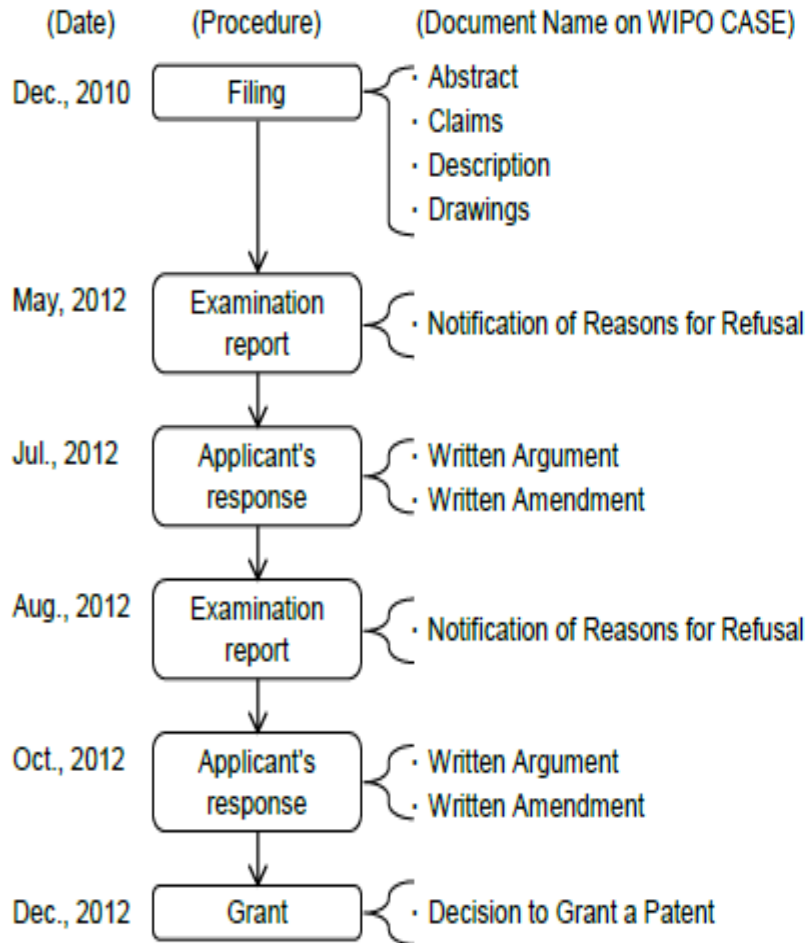


(Example 6-2) IL218900

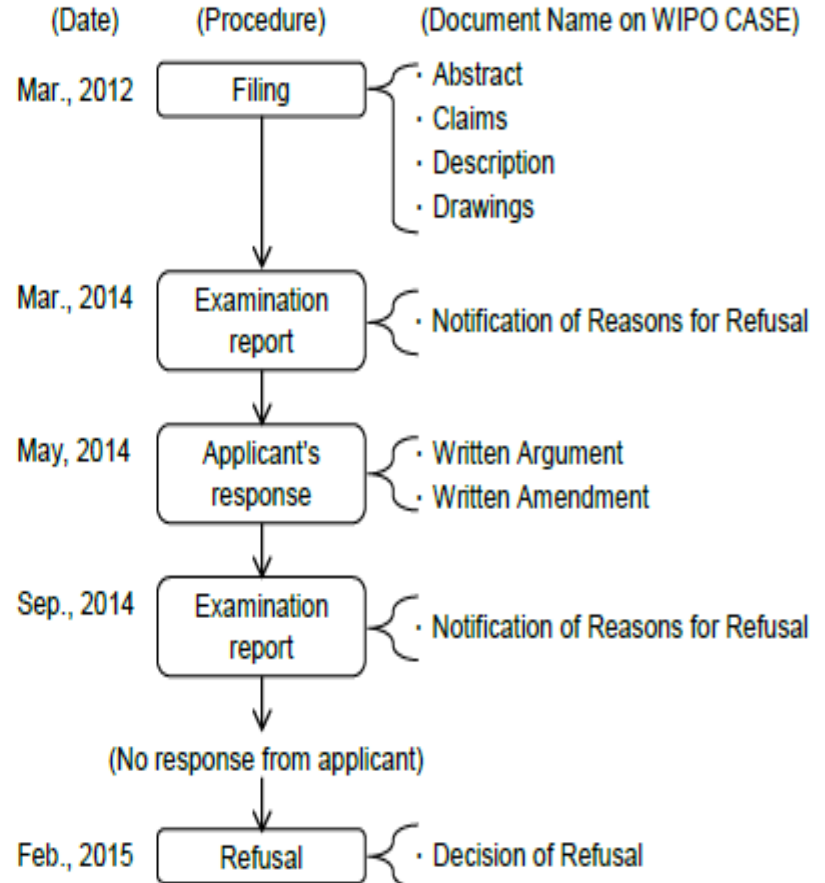


# Examination-related Documents (JP)

(Example 7-1) JP2010276000

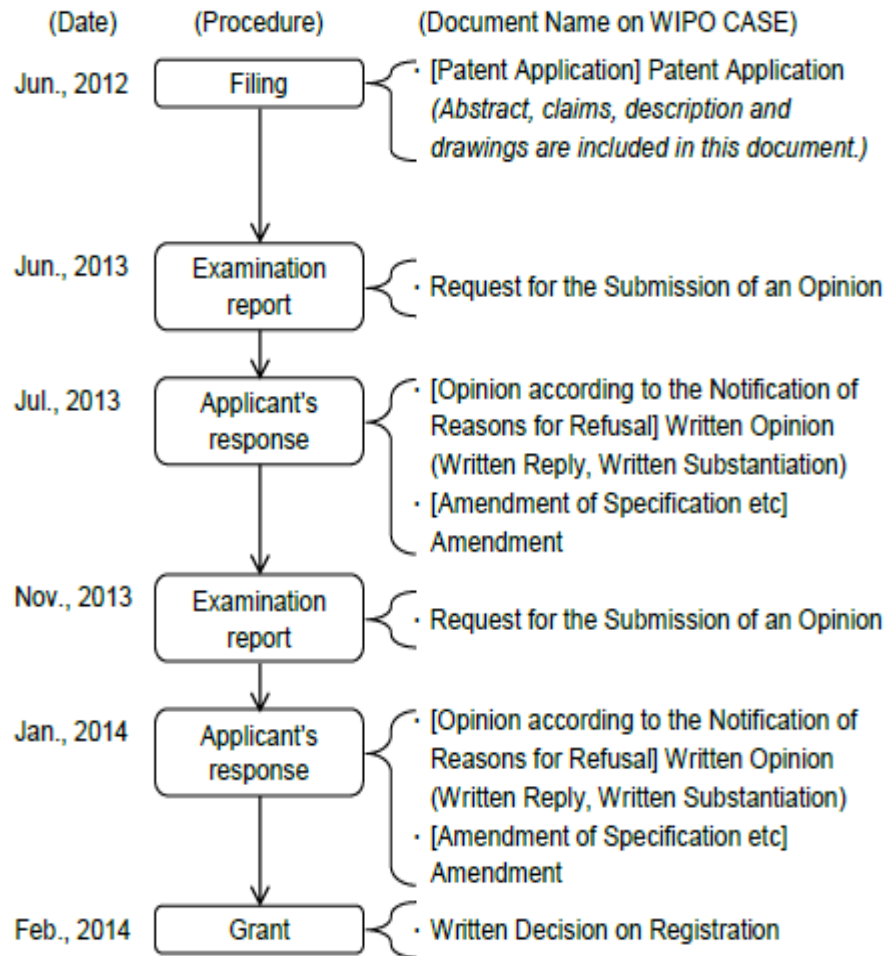


(Example 7-2) JP2012050000

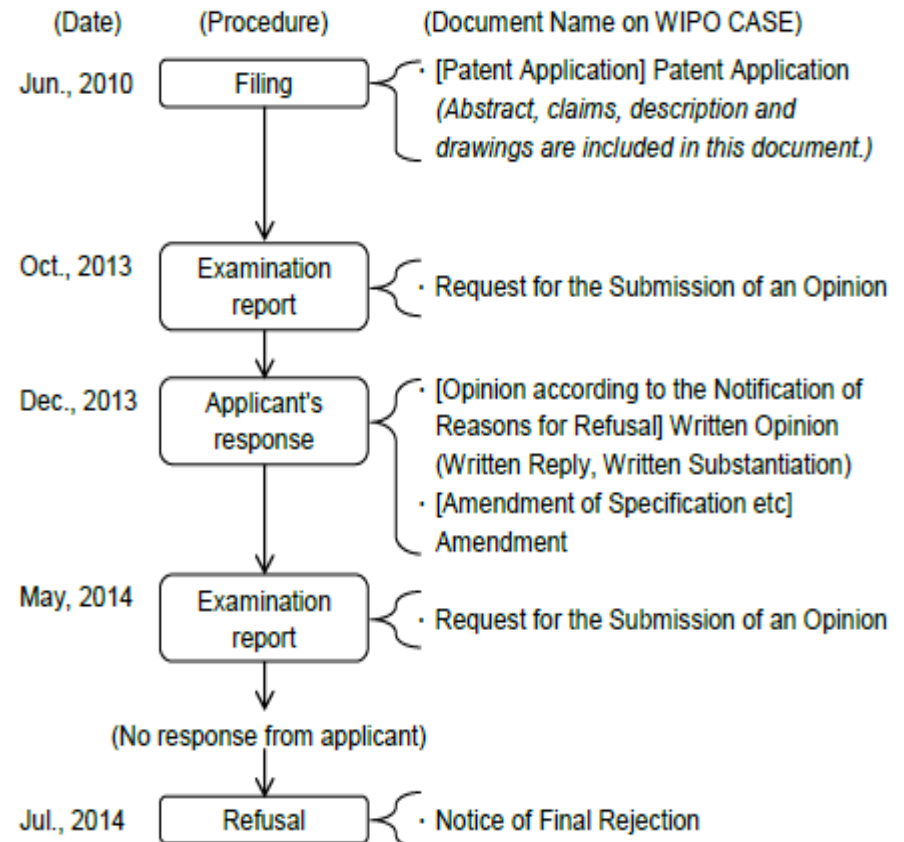


# Examination-related Documents (KR)

(Example 8-1) KR1020120066000

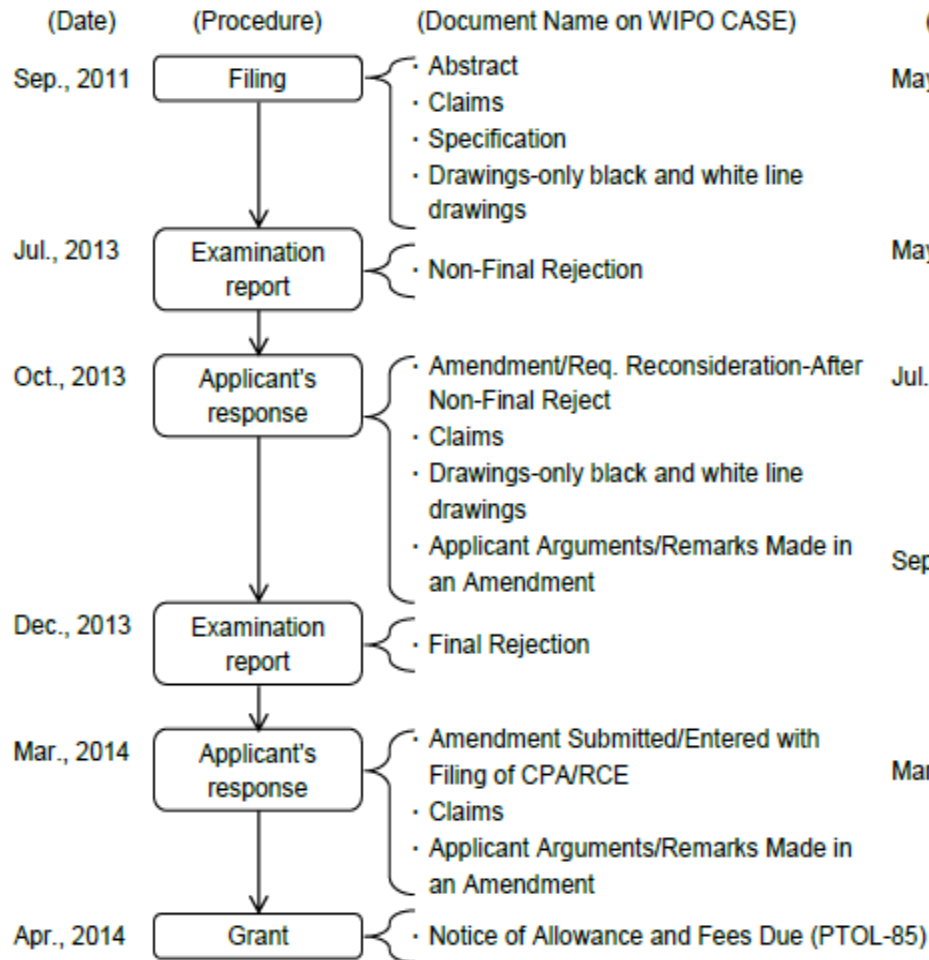


(Example 8-2) KR1020100053000

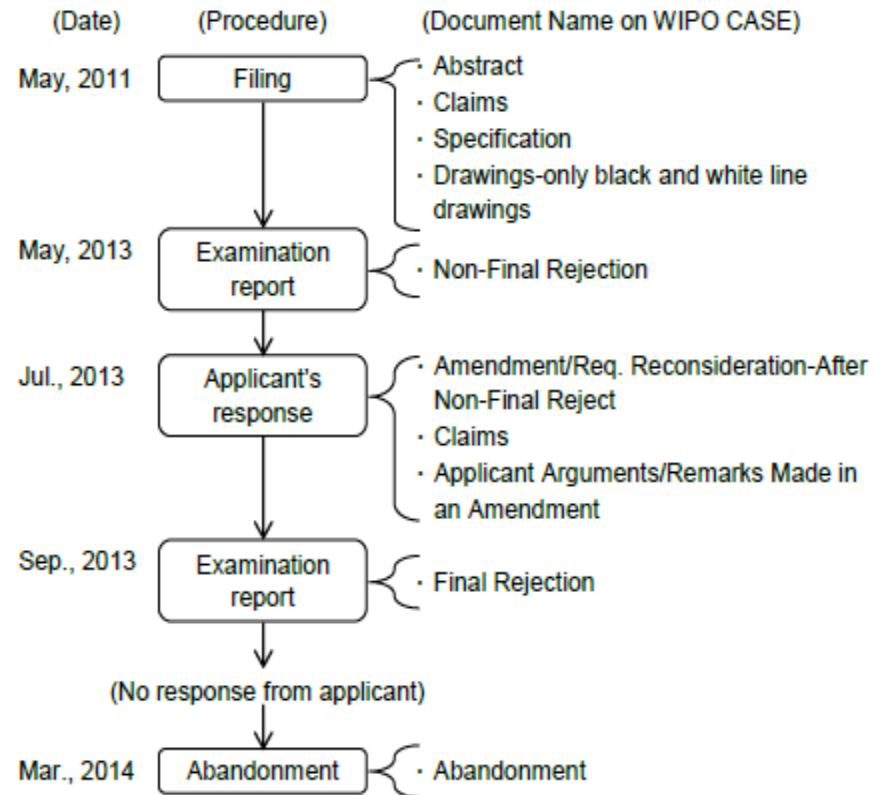


# Examination-related Documents (US)

(Example 9-1) US13/249000



(Example 9-2) US13/110000



# Example of Useful Documents (1/3)

- When referring to dossier information, examiners often check original/amended claims, citations, search/examination reports and applicants' opinions
- Such information is mainly contained in the following documents.

Office	AU	CA	CN	EP
Original claims				Claims
Amended claims	Claims exrs	Claims Amendment	Invention Publication	Amended claims
Applicant's opinion			Claims Argument	Amendments received before examination
				Reply to communication from the Examining Division
Citations				European search report
Search report	Examination report	R30(2)Examiner requisition	First Office Action	European search opinion
Examination report			Nth Office Action	Communication from the Examining Division (with annex)
			Notification to Grant Patent Right for Invention	Text intended for grant
				Decision to refuse the application (with annex)

# Example of Useful Documents (2/3)

Office	GB	IL	JP	KR
<b>Original claims</b> <b>Amended claims</b> <b>Applicant's opinion</b>	A spec Claims Specifications	Claims	Claims Written Amendment Written Argument	Patent Application Paper according to the Article 203 of Patent Act Document under Articles 201 and 203 of Patent Act Amendment Written Opinion
<b>Citations</b> <b>Search report</b> <b>Examination report</b>	Exam report search stage Exam opinion search stage Further search report Search Statement Examination report	Article 15(5) search report Examination report	Notification of Reasons for Refusal Decision to Grant a Patent Decision of Refusal	Request for the Submission of an Opinion Final Office Action Written Decision on Registration Notice of Final Rejection



# Example of Useful Document (3/3)

Office	US	IB
Original claims	Claims	
Amended claims	Applicant Arguments/Remarks Made in an Amendment	Application Body (Translation of IA Body)
Applicant's opinion	Response to Election / Restriction Filed	
Citations	Non-Final Rejection	International Search Report
Search report	Notice of Allowance and Fees Due (PTOL-85)	Written Opinion of the International Search Authority
Examination report	Final Rejection	International Preliminary Report on Patentability Chapter I
	Requirement for Restriction/Election	International Preliminary Report on Patentability Chapter II (IPEA/409) <sup>1</sup>

➤ Please note that these documents are just examples. Sometimes other documents also contain this information.