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PCT/TCO/SS/IV/9
ENGLISH ONLY
DATE: April 13, 1973

WORLD INTELLECTUAL PROPERTY ORGANIZATION
UNITED INTERNATIONAL BUREAUX FOR THE PROTECTION OF INTELLECTUAL PROPERTY
GENEVA

PATENT COOPERATION TREATY

**INTERIM COMMITTEE FOR TECHNICAL COOPERATION
STANDING SUBCOMMITTEE**

(Fourth Session, Geneva, April 25 to 30, 1973)

PRINTED DRAFTS OF
THE REQUEST FORM AND THE
INTERNATIONAL SEARCH REPORT FORM

prepared by the International Bureau

SUMMARY

This document contains printed drafts of the request form and the international search report form. To facilitate the examination of the spacing requirements of the forms this document also contains a set of the forms completed with example entries.

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INTRODUCTION

General Approach to the Layout of the Forms

1. Both the request form and the international search report form (see the annexes to this document) were drafted in the light of the prescriptions of PCT Rule 11 which prescribes detailed physical requirements for the international application. The presentation of the forms was effected with ease of and fitness for reproductibility in mind in that:

- (a) only one side of each sheet was used;
- (b) each sheet was in an upright position;
- (c) the sheet size is A4;
- (d) the margins are 2.5 cm left column and 2 cm top, right column and bottom.

2. The forms were drafted in such a way so as to try to provide adequate space for filling in of any given information in the prescribed manner.

THE REQUEST FORM

The Layout of the Request Form

3. Two pages of the request form were laid out on the right half portion both front and reverse side, of an A3-size piece of paper. These two pages represent the beginning or first page of the request and the last page of the request. When the A3-size sheet is folded into book form of A4-size, it is possible to have the first page on the cover and the last page on the right hand side of the opened page. This procedure then permits the applicant to interleave as many additional pages as needed to include information additional to that for which space is provided on the front page of the form.

4. As an extreme example, should an application be submitted by ten applicants having eight inventors, nine of the applicants and seven of the inventors would be placed on as many blank pages of the request form as needed. These pages would be interleaved between the first and last pages of the request and all pages would then be sequentially numbered.

5. The above arrangement has the advantage of not having to have a form with many pages to provide for all possible contingencies, yet is capable of providing adequate space for any particular situation. The arrangement also provides for the signatures to be affixed at the end of the form.

The Details of the Request Form

6. The request form as drafted contains after the words "Patent Cooperation Treaty" the word "Request" to identify the form. Further identification of the form is found at the foot of each page where the identifying words "Form PCT/RO/101(a)" for the front page, "Form PCT/RO/101(b)" for the interleave page and "Form PCT/RO/101(c)" for the last page appear.

7. The first page of the form contains at the upper right-hand corner a box which is to be filled in by the Receiving Office. The box contains space for introducing the International Filing Date, the words "PCT International Application", and the identity of the Receiving Office. Such information could be supplemented in that the international application number could also be introduced into the top part of the box. The information could be introduced into the box through the use of several rubber stamps or through use of a single stamp including all elements of information.

8. The petition has been placed immediately below the title of the form and immediately above the box for the introduction of the title.

9. The information identifying the applicant is introduced into the next box. While applicants family name should precede his given name, separate areas for each have not been made on the form as many applicants will be legal entities. Instructions for filling out the forms will call applicants attention to the order in which a person's name is to be introduced. Such instructions will also indicate that telegraphic and/or teletype addresses should be introduced into the area identified by the word "Cable" and that the name of a country should be introduced in areas marked "Nationality" and "Residence".

10. In each of the boxes "Applicant", "Inventor" and "Agent" provision is made for checking a small square and thereby indicating that additional "Applicants", "Inventors" or "Agents" are found on the following page of the request.

11. An additional square can be checked in the "Inventor" box whereby it is indicated that the applicants are also the inventors which avoids having to re-introduce into the form under inventors the names appearing as applicants.

12. In the "Agent" box provision is made for either appointing the agent through the request document by checking the first square or indicating appointment in a separate power of attorney by checking the second square.

13. The box for including the information regarding a "Priority Claim" also provides a small square to be checked if additional priorities are indicated on the following page.

14. The second page of the form is basically a frame into which the applicant can introduce additional information. The information could be identified by using the same identifying numbers or titles as on the first page, i.e., II. Applicant; III. Inventor; IV. Agent and VI. Priority Claim. Should it be necessary, additional designations of States could also be made on this page and the appropriate statement and square to check be introduced on the first page after the title "Designation of States".

15. The last page of the request form has been arranged so that for the most part only the lower part of the page is of interest to the Receiving Office. The lower part of the page contains a box for the signatures, a check list and spaces reserved for use by the Receiving Office and the International Searching Authority.

16. The upper half of the page contains space for information which is primarily of interest to the designated Offices other than for the box which contains information on earlier international or international-type searches which is primarily of interest to the International Searching Authority.

17. While the spaces provided should be adequate for all but a most unique application, if a need should exist for including information in areas in the upper part of the page for which insufficient space is provided, such information could be included on a blank interleaved page.

18. The space for signatures is placed after all the spaces provided for information of substance to be furnished by the applicant. The check list following the signature is placed on the last page of the request as one element of information therein can be filled in by the applicant only after he has completed and determined the number of pages in the request.

19. In the block containing information filled in by the Receiving Office, the space for the date of actual receipt of the purported international application may possibly duplicate information included elsewhere on the form. The draft Administrative Instructions presently provide for introducing this date on the first page of the request form. All three of the dates referred to are, in any given situation, individually determinative of the international filing date which is finally accorded and entered into the box in the upper right hand corner of the first page of the request form.

THE INTERNATIONAL SEARCH REPORT FORM

The Layout of the International Search Report Form

20. The international search report form consists of two pages with the first page containing bibliographic data which includes the classification of the international application, the fields searched, matters concerning the title and abstract, pre-search considerations regarding unity of invention and non-searchable subject matter and the identity of the International Searching Authority, date of completion of the search report and a signature of an authorized officer of the International Searching Authority.

21. Reference is also made on the first page to the citations and application of citations which comprise the sole contents of the second page of the search report form. If necessary, additional copies of the second page could be used if all the citations could not be entered into the second page.

The Details of International Search Report Form

22. The first page of the search report form provides for the identification of the international application at the top of the page immediately below the title with the first row of blanks providing the major elements of identity e.g. the international application number and the international filing date. The space for the name of the applicant is large enough only for the name of one applicant. Where there are multiple applicants, this applicant would be the applicant named on the first page of the request form.

23. The box for the classification of the subject matter provides space for the required International Patent Classification (IPC) as well as for the naming of other classification systems and the classification designation.

24. The space for introducing the fields searched provides what may be for some international searching authorities inadequate space to list Rule 43.6(b) documentation searched if the given international searching authority wished to provide such information. If the Rule 43.6(b) documentation to be cited is the same regardless of invention searched the international searching authorities may wish to use rubber stamps, a printed label (to be pasted into the area) or actually print documentation information into the area when the form is printed. If, for some international searching authorities, the information to be introduced is dependent on the given fields searched, and such authorities wish to list such information, additional space may have to be provided in the form.

25. The boxes relating to the title and abstract, unity of invention and non-establishment of international search report on certain claims have been laid out in such a manner so as to minimize the amount of written material which has to be introduced into the form by the international searching authorities. The latter two boxes, which will probably be utilized in only a small percentage of the search reports established, contain small squares to be checked after the titles to indicate that the given area is to be considered.

26. The second page which contains the areas in which documents are to be cited, relevant passages cited and claims indicated to which any given citation is relevant, also contains as a footnote an indication of the manner in which documents of particular relevance and Rule 33.1(b) and Rule 33.1(c) documents will be indicated.

27. Quite obviously other approaches to the preparation and layout of the forms may be taken. One such approach, which might be considered, would be to utilize an alphanumeric code instead of written titles to indicate the information to be introduced into any given space. The reverse side of the form or a separate document could be used to indicate, in any language, the particulars of the information to be introduced into any given space. Consideration might also be given to an approach which combines written titles with alphanumeric coding of elements.

28. The Standing Subcommittee of the PCT Interim Committee for Technical Cooperation is invited to examine the printed draft forms and offer comments thereon.

PATENT COOPERATION TREATY REQUEST

THE UNDERSIGNED REQUESTS THAT THE PRESENT INTERNATIONAL APPLICATION BE PROCESSED ACCORDING TO THE PATENT COOPERATION TREATY

THE INTERNATIONAL FILING DATE IS HEREBY ACCORDED AS:

(Stamp)
PCT International Application

Receiving Office

(for use of Receiving Office only)

I. TITLE OF INVENTION

II. APPLICANT (Additional applicants are indicated on page 2 <input type="checkbox"/>).	
Name	
Address	Telephone
	Cable
Nationality	Residence

III. INVENTOR (Applicants are also inventors <input type="checkbox"/>). (Additional inventors are indicated on page 2 <input type="checkbox"/>).	
Name	
Address	

IV. AGENT (Additional agents are indicated on page 2 <input type="checkbox"/>).	
A. <input type="checkbox"/> Applicant hereby appoints the following named agent to act on his behalf before the competent authorities. B. <input type="checkbox"/> Applicant has appointed the following named agent in accompanying separate power of attorney.	
Name	
Address	Telephone
	Cable

V. DESIGNATION OF STATES	
List below the designation in order of preference, and where applicable, indicate after the name of any designated State: the words "regional patent" if protection under a regional treaty is sought, and the choice of protection desired if other than or in addition to the grant of a national patent.	
1.	9.
2.	10.
3.	11.
4.	12.
5.	13.
6.	14.
7.	15.
8.	16.

VI. PRIORITY CLAIM (Additional priorities are indicated on page 2 <input type="checkbox"/>).	
The priority of the following earlier application is claimed:	
Country (If earlier application is regional or international application indicate all countries for which said application was filed.)	Filing Date
	Application Number
National Office or Intergovernmental Organization if earlier application was a regional or international application.	

VII. PARENT APPLICATION OR GRANT			Page
Designated State	Treatment Desired	Parent Application or Grant No.	Date of Application or Grant

VIII. EARLIER INTERNATIONAL OR INTERNATIONAL-TYPE SEARCH		
An earlier (international) or (international-type) search has been requested on the following application:		
Country (or Receiving Office)	Application (or International Application) No.	Filing (or International Filing) Date
Date of Request for the Search if the Earlier Search was an International-Type Search.		Number Given Request for Search if the Earlier Search was an International-Type Search.

IX. DIFFERENT APPLICANTS FOR DIFFERENT DESIGNATED STATES	
Applicants	Designated States

X. DIFFERENT INVENTORS FOR DIFFERENT DESIGNATED STATES	
Inventors	Designated States

XI. SIGNATURES

CHECK LIST	
<p>A. The contents of the international application are as follows:</p> <p>1. request, sheets</p> <p>2. description, sheets</p> <p>3. claims, sheets</p> <p>4. drawings, sheets</p> <p>5. abstract, sheets</p> <p>Total sheets</p>	<p>B. The international application as filed is accompanied by the items checked below:</p> <p>1. <input type="checkbox"/> separate signed power of attorney</p> <p>2. <input type="checkbox"/> priority document</p> <p>3. <input type="checkbox"/> receipt (e.g. revenue stamps) for the fees paid</p> <p>4. <input type="checkbox"/> check for the payment of fees</p> <p>5. <input type="checkbox"/> international / <input type="checkbox"/> international-type search report</p> <p>6. <input type="checkbox"/> document in evidence of fact that applicant is successor in title of inventor</p> <p>7. <input type="checkbox"/> other (specify)</p>
<p>C. Figure number of the drawings (if any) is suggested to accompany the abstract for publication.</p>	

(The following is to be filled in by the Receiving Office)
1. Date of actual receipt of the purported international application:
2. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:
3. Date of timely receipt of the required corrections under Article 11:
(The following is to be filled in by the International Bureau)
Date of receipt of the record copy:

PATENT COOPERATION TREATY INTERNATIONAL SEARCH REPORT

IDENTIFICATION OF INTERNATIONAL APPLICATION		
International Application No.	International Filing Date	Priority Date Claimed
Applicant		Receiving Office

I. CLASSIFICATION OF SUBJECT MATTER	
International Patent Classification (IPC)	Other Classification (Specify)

II. FIELDS SEARCHED					
Minimum Documentation Searched			Rule 43.6(b) Documentation Searched		
Classification System	Classes/Subclasses etc.	Kind of Document	State	Period	Language

III. TITLE AND ABSTRACT
<p>1. <input type="checkbox"/> The following indicated items are approved as submitted by the applicant: a. <input type="checkbox"/> Title b. <input type="checkbox"/> Abstract</p> <p>2. <input type="checkbox"/> The texts established by this Authority of the following indicated items are annexed hereto: a. <input type="checkbox"/> Title b. <input type="checkbox"/> Abstract</p> <p>3. <input type="checkbox"/> This report is incomplete as concerns the Abstract as the time limit for comments thereon has not expired.</p> <p>4. <input type="checkbox"/> The figure of the drawings indicated below is to be published with the abstract.</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> The figure suggested by the applicant.</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> Figure no. because: <input type="checkbox"/> applicant failed to suggest a figure; <input type="checkbox"/> this figure better characterizes the invention.</p>

IV. LACK OF UNITY OF INVENTION <input type="checkbox"/>
<p>1. <input type="checkbox"/> Additional fees were paid. Consequently the international search covered:</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> all parts of the international application</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> those parts of the international application covered by claims</p> <p>2. <input type="checkbox"/> Additional fees paid under protest. The text of the protest together with decision thereon are annexed hereto.</p> <p>3. <input type="checkbox"/> No additional fees were paid. Consequently the international search was restricted to the invention first mentioned ("main invention") covered by claims</p>

V. NON-ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT ON CERTAIN CLAIMS <input type="checkbox"/>
<p>This international search report has not been established in respect of claims for the reasons indicated.</p> <p>1. <input type="checkbox"/> Claims relate to the following non-searchable subject matter. (Specify)</p> <p>2. <input type="checkbox"/> Claims fail to comply with prescribed requirements such that a meaningful search could not be carried out. (Specify)</p>

VI. CITATIONS AND APPLICATION OF CITATIONS (see the attached page)

International Searching Authority	Date of Completion of International Search Report	Signature of Authorized Officer
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VI. CITATIONS AND APPLICATION OF CITATIONS

Citation of Document	Relevant Passages	Relevant to Claims

Documents of particular relevance are underlined; * Rule 33.1(b) Document; ** Rule 33.1(c) Document.

**PATENT COOPERATION TREATY
REQUEST**

THE UNDERSIGNED REQUESTS THAT THE PRESENT
INTERNATIONAL APPLICATION BE PROCESSED
ACCORDING TO THE PATENT COOPERATION TREATY

THE INTERNATIONAL FILING DATE IS HEREBY ACCORDED AS:	12 January 1974 (12.01.74) International Application United States of America (for use of Receiving Office only)
(Stamp) PCT International Application Receiving Office	

I. TITLE OF INVENTION Cutter Assembly for Sheet Material

II. APPLICANT (Additional applicants are indicated on page 2 <input checked="" type="checkbox"/>).	
Name Thompson, Joseph H.	
Address 7142 Morris Ave. Pleasantville, Mass. 30214 U.S.A.	Telephone 714-628-4372 Cable _____
Nationality United States of America	Residence United States of America

III. INVENTOR (Applicants are also inventors <input checked="" type="checkbox"/>). (Additional inventors are indicated on page 2 <input type="checkbox"/>).	
Name	
Address	

IV. AGENT (Additional agents are indicated on page 2 <input type="checkbox"/>).	
A. <input checked="" type="checkbox"/> Applicant hereby appoints the following named agent to act on his behalf before the competent authorities. B. <input type="checkbox"/> Applicant has appointed the following named agent in accompanying separate power of attorney.	
Name SAMUELS, John P.	
Address 186 N. 3rd Street, Pleasantville Mass. 30214 U.S.A.	Telephone 714-629-9621 Cable SAMPAT

V. DESIGNATION OF STATES	
List below the designation in order of preference, and where applicable, indicate after the name of any designated State: the words "regional patent" if protection under a regional treaty is sought, and the choice of protection desired if other than or in addition to the grant of a national patent.	
1. JAPAN	9. MEXICO
2. SOVIET UNION (inv.cert./add.)	10. BRAZIL
3. FRANCE (regional patent)	11. SENEGAL
4. YUGOSLAVIA	12.
5. SPAIN	13.
6. SWEDEN	14.
7. NORWAY	15.
8. CANADA	16.

VI. PRIORITY CLAIM (Additional priorities are indicated on page 2 <input type="checkbox"/>).	
The priority of the following earlier application is claimed:	
Country (If earlier application is regional or international application indicate all countries for which said application was filed.) United States of America	Filing Date 13 January 1973 (13.01.73) Application Number 189,612 National Office or Intergovernmental Organization if earlier application was a regional or international application.

II. APPLICANT

Name: Lloyd, Harold P.

Telephone 714-628-9723

Address: 127 W. Highland Ave.
Pleasantville, Mass.
30214, U.S.A.

Cable _____

Nationality: United States
of America

Residence: United States of
America

VII. PARENT APPLICATION OR GRANT			Page <u>3</u>
Designated State	Treatment Desired	Parent Application or Grant No.	Date of Application or Grant
Soviet Union	Inv.Cert.of Add.	Inv. Cert. 314,516	Grant 12 March 1973 (12.03.73)

VIII. EARLIER INTERNATIONAL OR INTERNATIONAL-TYPE SEARCH		
An earlier (international) or (international-type) search has been requested on the following application:		
Country (or Receiving Office)	Application (or International Application) No.	Filing (or International Filing) Date
United States of America	189,612	13 January 1973 (13.01.73)
Date of Request for the Search if the Earlier Search was an International-Type Search. 13 January 1973 (13.01.73)		Number Given Request for Search if the Earlier Search was an International-Type Search. _____

IX. DIFFERENT APPLICANTS FOR DIFFERENT DESIGNATED STATES	
Applicants	Designated States
Thompson, Joseph H. Lloyd, Harold P.	France, Yugoslavia Spain, Mexico

X. DIFFERENT INVENTORS FOR DIFFERENT DESIGNATED STATES	
Inventors	Designated States

XI. SIGNATURES
<i>Joseph H. Thompson</i> <i>Harold P. Lloyd</i>

CHECK LIST	
<p>A. The contents of the international application are as follows:</p> <p>1. request, <u>3</u> sheets</p> <p>2. description, <u>8</u> sheets</p> <p>3. claims, <u>2</u> sheets</p> <p>4. drawings, <u>2</u> sheets</p> <p>5. abstract, <u>1</u> sheets</p> <p>Total <u>16</u> sheets</p>	<p>B. The international application as filed is accompanied by the items checked below:</p> <p>1. <input type="checkbox"/> separate signed power of attorney</p> <p>2. <input checked="" type="checkbox"/> priority document</p> <p>3. <input type="checkbox"/> receipt (e.g. revenue stamps) for the fees paid</p> <p>4. <input checked="" type="checkbox"/> check for the payment of fees</p> <p>5. <input type="checkbox"/> international / <input checked="" type="checkbox"/> international-type search report</p> <p>6. <input checked="" type="checkbox"/> document in evidence of fact that applicant is successor in title of inventor</p> <p>7. <input type="checkbox"/> other (specify)</p>
<p>C. Figure number <u>2</u> of the drawings (if any) is suggested to accompany the abstract for publication.</p>	

(The following is to be filled in by the Receiving Office)
1. Date of actual receipt of the purported international application: <u>12 January 1974 (12.01.74)</u>
2. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:
3. Date of timely receipt of the required corrections under Article 11:
(The following is to be filled in by the International Bureau)
Date of receipt of the record copy:

**PATENT COOPERATION TREATY
INTERNATIONAL SEARCH REPORT**

IDENTIFICATION OF INTERNATIONAL APPLICATION		
International Application No. PCT/US/72/1764	International Filing Date 12 January 1974	Priority Date Claimed 13 January 1973
Applicant Thompson, et al		Receiving Office United States of America

I. CLASSIFICATION OF SUBJECT MATTER	
International Patent Classification (IPC) B26d 7/00	Other Classification (Specify) U.S. 342/77

II. FIELDS SEARCHED					
Minimum Documentation Searched			Rule 43.6(b) Documentation Searched		
Classification System	Classes/Subclasses etc.	Kind of Document	State	Period	Language
U.S.	342/77, 309/180.1T, 320/179, 364/76	SEE ATTACHED LISTING			

III. TITLE AND ABSTRACT
<p>1. <input type="checkbox"/> The following indicated items are approved as submitted by the applicant: a. <input checked="" type="checkbox"/> Title b. <input checked="" type="checkbox"/> Abstract</p> <p>2. <input type="checkbox"/> The texts established by this Authority of the following indicated items are annexed hereto: a. <input type="checkbox"/> Title b. <input type="checkbox"/> Abstract</p> <p>3. <input type="checkbox"/> This report is incomplete as concerns the Abstract as the time limit for comments thereon has not expired.</p> <p>4. <input checked="" type="checkbox"/> The figure of the drawings indicated below is to be published with the abstract.</p> <p>a. <input checked="" type="checkbox"/> The figure suggested by the applicant.</p> <p>b. <input type="checkbox"/> Figure no. because: <input type="checkbox"/> applicant failed to suggest a figure; <input type="checkbox"/> this figure better characterizes the invention.</p>

IV. LACK OF UNITY OF INVENTION <input checked="" type="checkbox"/>
<p>1. <input checked="" type="checkbox"/> Additional fees were paid. Consequently the international search covered:</p> <p>a. <input type="checkbox"/> all parts of the international application</p> <p>b. <input checked="" type="checkbox"/> those parts of the international application covered by claims <u>1 to 6, 8, 10</u></p> <p>2. <input checked="" type="checkbox"/> Additional fees paid under protest. The text of the protest together with decision thereon are annexed hereto.</p> <p>3. <input type="checkbox"/> No additional fees were paid. Consequently the international search was restricted to the invention first mentioned ("main invention") covered by claims</p>

V. NON-ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT ON CERTAIN CLAIMS <input checked="" type="checkbox"/>
<p>This international search report has not been established in respect of claims <u>7, 9</u> for the reasons indicated.</p> <p>1. <input checked="" type="checkbox"/> Claims <u>7, 9</u> relate to the following non-searchable subject matter. (Specify) <u>mathematical theories</u></p> <p>2. <input type="checkbox"/> Claims fail to comply with prescribed requirements such that a meaningful search could not be carried out. (Specify)</p>

VI. CITATIONS AND APPLICATION OF CITATIONS (see the attached page)

International Searching Authority United States of America	Date of Completion of International Search Report 12 February 1974 (12.02.74)	Signature of Authorized Officer <i>Jane Seacher</i>
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VI. CITATIONS AND APPLICATION OF CITATIONS		Page 2
Citation of Document	Relevant Passages	Relevant to Claims
<u>U.S. 3,204,579</u>	page 4, column 1 and Fig. 1	All
JA-PA33-30450	page 2, column 2	1,3,5
SU 317,042	page 1, column 2	2,3
*DT-PS 1,950,367	page 4, column 2	4,6,8
UK 1,349,726	page 2, column 1	2,3,4,6,8
CH 512,615	page 2, column 2	5
"Technique Moderne"; Vol. 7, No.6, June 1972; Dunod; Paris, France	page 27-29	-
"Machine World"; Vol. 3, No.5, May 1971; Farr Publications; London, England	pages 69-70	-

Documents of particular relevance are underlined; * Rule 33.1(b) Document; ** Rule 33.1(c) Document.