



## WORLD INTELLECTUAL PROPERTY ORGANIZATION GENEVA

### PATENT COOPERATION TREATY

### INTERIM COMMITTEE FOR TECHNICAL ASSISTANCE

**Fourth Session  
Geneva, November 13 to 19, 1974**

### USEFULNESS OF INPADOC SERVICES FOR DEVELOPING COUNTRIES

*Report prepared by the International Bureau*

#### INTRODUCTION

1. One of the greatest difficulties for developing countries in striving for appropriate access to technology is to identify the technical information needed to decide adequately on the solution of a specific technical problem.
2. Patent documents<sup>\*</sup> may offer in many cases the quickest and easiest way to arrive at the technical information needed by developing countries in order to take basic decisions regarding industrial development. Patent documents are also instruments for keeping abreast of new technological developments. Knowledge of technology in the field obtained through patent documents is, last but not least, also one of the important conditions for improving the negotiating position of licensees in developing countries.
3. Until recently, there was no central depository of patent information on a worldwide basis. Requests for technical information relating to patents had to be directed to a great number of different agencies (governmental and private) in different countries. This was complicated as well as costly and inefficient. In the last two years WIPO's efforts, which were begun in 1964 and have been directed towards the establishment of a World Patent Index, have resulted in the creation of INPADOC, the central worldwide data bank for bibliographic data on patent documents.

#### INPADOC and the INPADOC Data Base

4. The International Patent Documentation Center (hereinafter referred to as "INPADOC") was established by the Republic of Austria following an Agreement with WIPO concluded on May 2, 1972, in order to promote cooperation in the field of patent documentation and to facilitate access to technological knowledge. (See also in this respect documents PCT/TCO/II/3 and PCT/TCO/II/7). The headquarters of INPADOC are in Vienna.

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\* Throughout this document "patent documents" means published patents, inventors' certificates, and utility certificates, as well as published applications for the same.

5. The objective of INPADOC is to establish a worldwide patent documentation service. For this purpose INPADOC has signed agreements of cooperation with the Patent Offices of Australia, Denmark, Finland, France, Germany (Federal Republic of), Japan, Norway, Soviet Union, Sweden and with the International Patent Institute (IIB) for obtaining in machine-readable form bibliographic data pertaining to patent documents. These bibliographic data, together with the ones presently keyboarded by INPADOC itself, are stored in INPADOC's central data base (IDB).

6. At present, patent documents of the following countries are covered in INPADOC's data base: Australia, Austria, Belgium, Bulgaria, Canada, Cuba, Czechoslovakia, Denmark, Finland, France, German Democratic Republic, Germany (Federal Republic of), Hungary, Japan, Luxembourg, Mongolia, Netherlands, Norway, Poland, Romania, Soviet Union, Sweden, Switzerland, United Kingdom and the United States of America (25).

7. The bibliographic data which are received or keyboarded are processed, standardized and corrected as necessary. The following bibliographic data are being inputted in the IDB:

8. Details of the present contents of the INPADOC Data Base (IDB) are given below\*:

Country of publication of document		Earliest date of document. data stored			Number of documents stored on Sept. 30, 1974
Code	Name	Day	Month	Year	
AU	Austria	08	01	1973	20,571
BE	Belgium	02	01	1973	24,116
BG	Bulgaria	10	11	1973	870
CA	Canada	02	04	1974	5,642
CH	Switzerland	15	01	1973	21,664
CS	Czechoslovakia	23	08	1973	6,359
DK	Denmark	12	11	1973	1,403
DL	German Democratic Republic	12	07	1973	8,158
DT	Germany, Federal Republic of	04	01	1973	152,110
FR	France	05	01	1973	99,254
GB	United Kingdom	04	01	1973	62,299
HU	Hungary	28	07	1973	2,041
JA	Japan	02	04	1973	163,105
LU	Luxembourg	05	01	1973	3,751
NL	Netherlands	02	01	1973	27,911
NO	Norway	02	01	1973	3,455
OE	Austria	10	01	1973	13,524
PO	Poland	31	08	1973	577
RU	Romania	20	07	1973	1,681
SF	Finland	28	02	1973	2,026
SU	Soviet Union	07	12	1972	71,611
SW	Sweden	17	09	1973	8,525
US	United States of America	01	05	1973	93,166
Total number of documents covered					793,719

\* Data for patent documents from Cuba and Mongolia not yet available at the time of writing this document

9. INPADOC, in cooperation with WIPO, is constantly trying to enlarge the scope of the IDB, both with regard to country coverage and with regard to the number of bibliographic data which are stored for each patent document, e.g., documents of Spain and Italy may soon be included as a result of successful negotiations with these countries.

10. The IDB is now growing at a rate of approximately 800,000 patent documents per year, and represents the most important computerized patent data base in the world.

#### INPADOC Services

11. On the basis of the bibliographic data contained in the IDB, INPADOC identifies:

- (i) patent documents which are connected/related on the basis of a common priority claim (the "Patent Family Service")
- (ii) patent documents which are connected/related on the basis of a common symbol of the International Patent Classification (IPC) (the "Patent Classification Service")
- (iii) patent documents which are connected/related on the basis of a common applicant or owner (the "Current Applicant Index").

INPADOC also offers a copy service of patent documents from a very complete file of 16mm roll microfilms. (Annex A)

12. The Patent Family Service (PFS), the Patent Classification Service (PCS) and the Current Applicant Index (CAL) are offered as computer printouts either on paper (for a limited number of documents) or on computer output on microfiche (COM). Copies of sample printouts of these services are attached as Annex B.

#### Possibilities of Use of INPADOC Services

13. Information centers in developing countries could profit from INPADOC services in the following way (the details of any system for information transfer could be worked out for each case separately):

- (i) the information center creates a patent information unit responsible for the transfer of patent information within the country;
- (ii) the responsible staff are trained in the use of the International Patent Classification;
- (iii) requests for lists of documents pertaining to a particular field of technology are formulated and translated into the relevant symbols of the IPC (WIPO and INPADOC, in cooperation with the Austrian Patent Office, could help in formulating and translating the "profiles of interest");
- (iv) the requests are transmitted to INPADOC for processing in the framework of the PCS;
- (v) replies are forwarded to the patent information units.

14. This general outline for a possible 'information-transfer procedure' should be annotated as follows:

- ad (i) university libraries, official research centers, licensing control agencies, ministries and government departments could also profit from these services and be serviced as such;
- ad (ii) the training of staff in the developing countries could, by using the IPC, be effected under the WIPO Legal Technical Assistance Program;
- ad (iii) INPADOC also accepts requests for information regarding "patent families", both current and backlog, and searches for "applicants" and "owners"

- requests can be limited to patent documents of certain countries, in certain languages and, to a certain extent, for certain periods of time. Copies of the documents can be included at option
  - requests can be “current” (i.e., monthly updating “bulletins”) or single non-recurrent;
- ad (iv) the patent information unit should centralize all requests from a given country or region before transmitting them to INPADOC;
- ad (v) INPADOC will forward replies “en bloc” to the patent information units for further distribution.

15. The value of these services for developing countries should perhaps be tested in one or more pilot projects for a limited period of time. The details of such pilot projects could be elaborated once one or more patent information centers in developing countries have expressed their interest in taking advantage of the INPADOC services for developing countries.

16. *The Interim Committee is requested to take note of this report and advise the International Bureau on further action, including any steps it might wish to undertake in order to make INPADOC known to a broader circle of developing countries.*

[Annexes A and B follow]

## ANNEX A

PAPER COPIES

of the patent documents of the following countries can be furnished:

Country	Kind of Document	Document Number from	to	Remark
Australia	Patent Specification Patent Specification	year 1926 No. 100.001	year 1936 3)	1)
Austria	Patentschrift	No. 1	3)	
Belgium	Brevet d'invention	complete	3)	
Bulgaria	Opisanie na isobretenie	No. 1	3)	
Canada	Patent	No. 462.146	3)	
CSSR	Patentowy Spis	No. 1	3)	
Denmark	Patent- / Fremlææøelsesskrift	No. 2.001	3)	
Federal Republic of Germany	Patentschrift Auslegeschrift Offenlegungsschrift	No. 1 No. 1.000.001 No. 1.400.001	1. issue of "Auslegeschriften" 3) 3)	
Finland	Patentti (Patent) Kuulutusjulkaisu (Utläggningsskrift)	No. 26.837	3)	
France	Brevet d'invention Brevet Spécial de Médicament Addition au Brevet d'invention Première Publication	No. 317.502 No. 1 No. 1 No. 2.000.001	3) No. 7.100 No. 95.000 3)	
German Democratic Republic	Patentschrift	No. 1	3)	
Hungary	Szabadalmi Leírás	No. 5.801	3)	2)
Italy	Brevetto per Invenzione Industriale	No. 242.974	3)	2)
Netherlands	Ochtrooi	No. 1	3)	
Norway	Patent Utleigningsskrift	No. 2.841 No. 115.000	2)	
Poland	Opis Patentowy	No. 1	3)	
Roumania	Descriera Inventiei	No. 40.380	3)	
Sweden	Patentskrift Utläggningsskrift	complete No. 300.001	No. 227.775 3)	
Switzerland	Patentschrift Patentschrift Zusatz	No. 1 No. 1	3) No. 699	2)
United Kingdom	Patent Specification Patent Specification Patent Specification Patent Specification	year 1617 No. 100.001 No. 524.679 No. 572.501	year 1915 No. 524.678 No. 572.500 3)	2) 2) 1) 2)
United States of America	Patent	No. 115.264	3)	2)
USSR	Opisanie isobretenija	No. 1	3)	2)
Yugoslavia	Patentni Spis Patentni Spis	from the beginning No. 22.609	year 1941	3)

1) = incomplete

2) = nearly complete

3) = regular supplements

[Annex B follows]

INPADOC		CURRENT APPLICANT INDEX TEST			
APPLICANT	CC PUBDAT	KD	DOC. NO.	CC PR.DAT	
ST REGIS PAPER CY, US IS PAPER CY, US IS PAPER CY, US STAAR S.A., BRUESSEL S.A. STAAT BERND VERTRETEN DUR STABILIMENTI CHIMICI FARM SITACOR CORP, US	FR 740524 FR 740524 DT 740522 DT 730118 FR 740524 US 731009	A1 A1 A1 C3 A7 A	2204577 2204738 2324559 1589967 2204968 3763547	US 721026 US 721101 BE 721117 CH 670315 IT 721030 US 710126	
STADELMAYER, HANS-GUENTHER MAYR, HANS-GUENTHER STAEDTLER KARL HEINZ, 6432 STAHL-SCHANZ GMBH, 6052 M STAHLGRUBER OTTO, GRUBER STAHLHOF BREUNINGER & GRO STAHLKONSTRUKTION SUCKO STAHLWERKE SUEDWESTFALEN STAL REFRIGERATION ABLENO STAMICARBON B.V. GELEEN ARBON BY N.L.	DT 730118 DT 730118 DT 740416 DT 740522 DT 730118 DT 730118 DT 740522 DT 730118 DT 740522 FR 740524	A1 A1 A1 A1 B2 A1 A1 A1 A1 A1	2132610 2132657 1401946 3804540 2256919 1920480 2133213 2133104 2135673 2057750	DT 710630 DT 710632 DT 711120 DT 6904233 DT 7107023 DT 710623 DT 7211147 NL 721108 NL 721031	
ARBOON N.V., HEERLEN ARBON N.V., HEERLEN ARGON N.V. HEERLEN, NL STANDARD ELEKTRIK LORENZ RD MAGNET AG, HUENE	DT 730118 DT 730118	A1 A1 A1 A1 C3 A1 C3 A1 C3 A1 C3 A1 C2 A1 A1	22231958 2234993 3804912 2134927 1521293 1541628 1563047 1614727 2134935 1781335 1903687 2040115 2061467 2133394 2256252 2256904	NL 710702 NL 710702	
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A	71	148994	FR	730112	AI	2140116		720531 A	72	7219477	C08B	3/00	DIAMOND SHAMRO	
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		149006	FR	730119	AS	2141125		720531 A	72	7219546	C08F	3/00	PROCESS EVALUA	
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A	71	149021	FR	730112	AI	2140141		720531 A	72	7219560	C09K	3/00	NL INDUSTRIES	
A	71	149035	FR	730119	AS	2141076		720516 A	72	7217469	C08K	1/00	FMC CORPORATIO	
A	71	149045	DT	730109	AI	22266998		720602 A	72	2226998	002Y	1/22	THE CARBORUNDUM CO., NIAGARA FALLS, N.Y. (V.S.T.	
		FR	730112	AI	2140126		720531 A	72	7219503	B01F	7/00	CARBORUNDUM CY		
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A	71	149048	FR	730119	AS	2141115		720531 A	72	7219486	C08G	37/00	CIBA GEIGY AG	
A	71	149050	FR	730112	AI	2140113		720531 A	72	7219468	C08F	45/00	MOBIL OIL CORP	
A	71	149054	FR	730119	AS	2141106		720531 A	72	7219414	C08G	15/00	EASTMAN KODAK	
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A	71	149075	FR	730112	AI	2139913		720524 A	72	7218907	C07C	39/00	CHEVRON RESE, CO,US	
		AU	731129	AI	4270972		720525 A	72	92709	C07F	9/30	M & I CHEMICALS INC.		

PATIENT FAMILY SERVICE NUMBER 1978

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FR 730309 A5	2147529	77/00	7120926
FR 731130 A1	2100937	13/00	GB 720420 A 72 10353
FR 740329 A1	2190120	6910	US 720905 A 72 206276
GB 730709 A	1321939	6961	US 710225 A 71 110017
GB 731128 A	1339203	6971C	US 691113 A 69 078345
GB 740116 A	1343646	6961B	DT 700508 A 70 2022539
GD 740123 A	1344906	6961B	CO 710520 A 71 26940
SU 730320 T	379694	6961	SU 700222 A 70 1477371
SU 730405 D	376975	6961	PO 700316 A 70 139438
SU 730410 T	370202	6961	SU 710200 A 71 1625364
SU 730614 T	305055	6956	SU 700320 A 70 1417914
US 730605 A	3737666	6961	US 710615 A 71 134302 COUNTER FOR A STREAM OF OVERLAPPED ARTICLES
US 730605 A	3737660	6956	US 700730 A 70 36971 KEYBOARD
US 730911 A	3750703	6910	US 710011 A 71 170011 APPARATUS AND METHOD FOR CONTROLLING AN ELECTRICAL POWER
US 731127 A	37755610	6910	CH 710322 A 71 4241 READER RECHANISH FOR OPTICALLY DISCRETE CHARACTERS
US 740101 A	3703273	6910	US 720009 A 72 279002 METHOD AND APPARATUS FOR CHECKING AND/OR CORRECTING RAIL
US 740115 A	3706261	6910	CH 710708 A 71 10079 METHOD AND APPARATUS FOR CONTROLLING A LIGHT SOURCE OF A
US 740115 A	3705265	6961	US 711012 A 71 100123 OPTICAL SCANNING DEVICE
US 740205 A	3792034	6961	US 620519 A 69 25529 METHODS AND APPARATUS FOR DISTINGUISHING BETWEEN GOODIES
US 740205 A	5338324	6961	CH 710311 A 71 207411 APPARATUS FOR SEPARATING ARTICLES INTO NUMERICALLY UNIFO
DL 730712 T	99033	6961	DL 720920 A 72 165913 VERFAHREN UND VORRICHTUNG ZUM ZAELLEN KLEINER TEILE
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