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**GENEVA** 

# INTERNATIONAL PATENT COOPERATIONUNION (PCTUNION)

# WORKINGGROUPONREF ORMOFTHEPATENT COOPERATIONTREATY( PCT)

# FifthSession Geneva,No vember17to21,2003

#### FURTHERSTREAMLINING ANDSIMPLIFICATION OFPCTPROCEDURES:

#### RECTIFICATIONOFCLEARMISTAKES(OBVIOUSERRORS)

Document prepared by the International Bureau

- This document is being made available provisionally, onWIPO'sInternetsite,in advance of the formal convening of the fifths ession of the Working Group. It is provisional and the first of the formal convening of the fifths ession of the Working Group. It is provisional and the first of thinthesensethattheformalconveningofthefifthsessionoftheWorkingGroup, as recommendedbytheWorkingGroupatitsfourths essionheldinMay2003,issubjectto approvalbytheAssemblyofthePCTUnion.TheAssemblyisinvited,atits32nd (14th ordinary)sessionfromSeptember22toOctober1,2003,heldinconjunctionwiththe 39thseriesofmeetingsoftheAssembliesof theMemberStatesofWIPO,toapprovethe proposalconcerningfutureworkcontainedindocumentPCT/A/32/2,paragraph 26(i),"that twosessions of the Working Group should be convened between the September2003and September 2004 sessions of the Assembly t oconsiderproposalsforreformofthePCT including,inparticular,themattersforfurtherconsiderationidentifiedabove[indocument PCT/A/32/2], on the understanding that the Committee could also be convened during that periodiftheWorkingGroupfel tittobenecessary."
- 2. Subject to the Assembly's approval, the fifths ession of the Working Group will be formally convened and this document will then cease to be provisional in nature.

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#### **BACKGROUND**

- 3. The present document reproduces the contents of document PCT/R/WG/4/4 Add.2, which was submitted to the four thsession of the Working Group, held in Geneva from May 19 to 23, 2003. Having regard to the time available, discussions on that document were deferred until this session (see the summary of the four thsession of the Working Group by the Chair, document PCT/R/WG/4/14, paragraph 104).
- 4. Atitsfirstsession,heldonNovember12to16,2001,theWorkingGroupdiscusseda proposalbytheUnitedStatesofAmericathatRule 91¹beamendedtolimittherectification ofobviouserrorstoerrorsoccurringintherequestandtoeliminatetherectificationof obviouserrorsinthedescription,claims,drawings,andabstractofinterna tionalapplications (seedocument PCT/R/WG/1/4,paragraphs 8to 12).Thosediscussionsaresummarizedin document PCT/R/WG/1/9,asfollows:

"ProposaltoamendRule91(seedocumentPCT/R/WG/1/4)

- "34. The comments and concerns expressed by various delegations included the following:
- (i) whilesomedelegationsexpressedsupportfortheapproachtakeninthe proposal, others felt that the correction of obvious errors should not be limited to errors occurring in the request but should continue to be possib leal so with regard to such errors in the description, claims and drawings; any such requests for corrections hould be dealt with a searly aspossible during the international phase rather than by individual [designated Offices] in the national phase;
- (ii) notingtheworkloadofOfficesindealingwithrequestsunderpresent Rule 91,itwasrecognizedthatabalancedsolutionwouldhavetobefoundwhich wouldcontinuetogiveapplicantstheflexibilityneededtocorrectobviouserrors withoutputtingto oheavyaburdenonOfficesdealingwithrequestsforrectifications;
- (iii) notingongoingdiscussionsinthecontextofthedraft[SubstantivePatent LawTreaty], somedelegations expressed their desire for a review of the present definition of "obvious error" under Rule 91.1(b).
- "35. ItwasagreedthattheproposaltoamendRule91shouldnotbeincludedin reviseddraftstobepreparedbytheInternationalBureau,althoughdelegationsmay wishtofurtherconsiderthematterinthelightofthediscuss ion."
- 5. ForthesecondsessionoftheWorkingGroup,theInternationalBureaupreparedapaper (document PCT/WG/2/6)outliningpossiblefurtherPLT -relatedchangestothePCT.In

References in this document to "Articles" and "Rules" are to those of the Patent Cooperation Treaty (PCT) and the Regulation sunder the PCT ("the Regulations"), or to such provisions as proposed to be a mended or added, as the case may be. References to "national laws," "national applications," "the national phase," etc., include reference to regional laws, regional applications, the regional phase, etc. References to "PLT Articles" and "PLT Rules" are to those of the Patent Law Treaty (PLT) and the Regulation sunder the PLT.

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relationtothecorrectionofmistakesunderPLTR ule 18, paragraph 14ofthatdocument explained:

#### "Correctionofmistakes

- "14. ThePLTsetsouttherequirementsthataContractingPartyispermittedtoapply asregardsrequestsforcorrectionbytheOfficeofmistakesinrespectofanapplication (see PLTRule 18).Inparticular,itsetsoutthecontentsoftherequestthatanOffice mayrequire;italsoobligestheOfficetonotifytheapplicantofanynon -compliance withoneormoreapplicablerequirementsandtoprovidetheapplicantwithan opportunitytosubsequentlycomplywiththoserequirements. However, itdoesnot regulatewhatmistakesmaybecorrected. PCTRule91.1 provides for rectification of obvious errors in the international application or other papers. However, it does not requirements as to the contents of the request for rectification. It also does not require the receiving Office, International Searching Authority or International Preliminary Examining Authority or International Bureau, as the case may be, to notify the applicant of any non -compliance with one or more applicable requirements and to provide the applicant with an opportunity to subsequently comply with those requirements."
- 6. However,itwassuggested"thatanyproposalstoali gnthePCTwithPLTRule 18in theaboverespectsnotbepresentedtotheWorkingGroupuntilafuturesession,asthisdoes notappeartobeamatterofhighpriority"(seedocument PCT/WG/2/6,paragraph 15;the WorkingGroupatitssecondsessionwasun ableinthetimeavailabletoconsider document PCT/WG/2/6(seedocument PCT/WG/2/12,paragraph 59)).
- 7. Duringitsthirdsession,theWorkingGroupreviewedaproposalbytheRepresentative oftheEuropeanPatentOffice(EPO)that Rule 91.1(b)beamendedsoastorefertoa"person skilledintheart"ratherthan"anyone"whendeterminingwhetherarectificationofferedby theapplicantwas"obvious"underRule 91.1(b).Severaldelegationssupportedtheproposal andalsoexpressed theviewthat,ingeneral,Rule91wasunnecessarilystrict.Itwasagreed thattheEPOandtheInternationalBureaushouldworktogethertoreviewRule91andto submitawrittenproposalforconsiderationbytheWorkingGroup(seethesummaryofthe ChairofthethirdsessionoftheWorkingGroup,document PCT/R/WG/3/5,paragraph 64).
- $8. \quad The Annextoth is document contains proposal stoamend Rule \\ 91 accordingly, and \\ proposal s for consequential amendments of Rules 12,48,66 and \\ 70. For information and \\ clarity, the proposal s for amendment of Rule 91 are presented both in the form of a "clean" \\ text of the Rule 91 as it would stand after amendment and in the form of a marked \\ Rule 91 as proposed to be a mended.$ 
  - 9. The Working Group is invited to consider the proposal scontained in the Annex.

[Annexfollows]

#### PCT/R/WG/5/2

#### **ANNEX**

## PROPOSEDAMENDMENTSOFTHEPCTREGULATIONS:

### RECTIFICATIONOFCLE ARMISTAKES(OBVIOUS ERRORS)

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<sup>&</sup>lt;sup>2</sup> Proposedadditionsanddeletionsareindicated,respectively,byunderliningandstrikingthrough thetextconcerne d.Certainprovisionsthatarenotproposedtobeamendedmaybeincludedfor easeofreference.

### Rule12

# $Language of the International Application and Translation \\ for the Purposes of International Search and International Publication$

12.1	[Nochange]
12.2	Language of Changes in the International Application
	(a) [Nochange]
	(b) AnyrectificationunderRul@1 91.1of amistake anobviouserror_inthe
interr	national applications hall be in the language in which the application is filed, provided
that:	
[CON	MMENT:ConsequentialontheproposedamendmentofRule91(seebelow).]
	(i) and(ii) [Nochange]
	(c) [Nochange]
12.3	and12.4 [Nochange]

### Rule48

# International Publication

48.1 [Nochange]
48.2 Contents
(a) Thepamphletshallcontain:
(i) to(vi) [Nochange]
(vii) anyrequestforrectificationofamistake,anyreasonsandanycomments
referredtoinRule 91.3(d)wheretherequestforpublicationunderRule91.3(d)wa sreceived
<u>bytheInternationalBureaubeforethecompletionofthetechnicalpreparationsfor</u>
international publication referred to in the third sentence of Rule 91.1(f),
(viii) to(x) [Nochange]
(b) to (h) [Nochange]
(h-bis) Iftheauthorization forrectificationofamistakeintheinternational application
referredtoinRule91.1(b)(i)and(ii)isreceivedbytheInternationalBureauaftercompletion
ofthetechnicalpreparationsforinternationalpublication,eitherthepamphlet(containingth
internationalapplicationasrectified)willberepublishedorastatementreflectingallthe
rectificationswillbepublished.Inthelattercase,atleastthefrontpageshallberepublished

andthesheetscontainingtherectifications,orthereplace mentpagesandtheletterfurnished underRule 91.2(c),asthecasemaybe,shallbepublished.

- (i) The Administrative Instructions shall determine the cases in which the various alternatives referred to in paragraphs (g)  $\underline{,}$  and (h)  $\underline{and(h-bis)}$  shall apply . Such determination shall depend on the volume and complexity of the amendments  $\underline{orrectifications}$  and  $\underline{/}$  or the volume of the international application and the cost factors.
- (j) IftherequestforpublicationunderRule91.3(d)wasreceivedbytheInterna tional

  Bureauafterthecompletionofthetechnicalpreparationsforinternationalpublication,the

  requestforrectification,anyreasonsandanycommentsreferredtoinRule 91.3(d)shallbe

  promptlypublishedafterthereceiptoftherequestforpublica tion,andthefrontpageshallbe

  republished.

[COMMENT:TheproposedamendmentsofRule48.2areconsequentialontheproposed changeofapproachwithregardtothetimelimitwithinwhicharequestforrectificationofa mistakemaybemade;seepropos ednewRule 91.2(a),below.]

48.3 to 49.6 [Nochange]

#### Rule66

#### Procedure Before the International Preliminary Examining Authority

66.1 to 66.5 [Nochange]

66.5 Amendment

Anychange,otherthantherectification of amistake obviouserrors, in the laims, the description, or the drawings, including cancellation of claims, omission of passages in the description, or omission of certain drawings, shall be considered an amendment.

[COMMENT: Consequential on the proposed amendment of Rule 91 (see below) .]

66.6 to 66.9 [Nochange]

#### Rule70

 $International Preliminary Report on Patenta bility by \\ the International Preliminary Examining Authority \\ (International Preliminary Examination Report)$ 

70.1to70.15 [Nochange]

70.16 AnnexestotheReport

Eachre placementsheetunderRule66.8(a)or(b),eachreplacementsheetcontaining amendmentsunderArticle19andeachreplacementsheetcontainingrectificationsof <u>a</u> mistake obviouserrors authorizedunderRule <u>91.1(b)(iii)</u> 91.1(e)(iii) shall,unlesssupersed ed bylaterreplacementsheetsoramendmentsresultinginthecancellationofentiresheetsunder Rule66.8(b),beannexedtothereport.AmendmentsunderArticle19whichhavebeen consideredasreversedbyanamendmentunderArticle34andlettersunder Rule66.8shall notbeannexed.

70.17 [Nochange]

## Rule91["clean"copy] 3

#### RectificationofMistakesin

#### the International Application and Other Documents

#### 91.1 Rectification of Mistakes

- (a) Amistakeintheinternationalapplicationorotherdocume ntsubmittedbythe applicantmay, subject toparagraphs (b)to(e)andRules91.2and91.3, berectified on the request of the applicant.
- (b) Arectificationshallbemadeonlyifitisauthorizedby"therelevantauthority,"that istosay:
  - (i) bythe receivingOfficeifthemistakeisintherequest;
- $(ii)\ by the International Searching Authority if the mistake is in any part of the international application other than the request, or in any amendment or correction of that application, or in any documents ubmitted to that Authority;$
- (iii) bytheInternationalPreliminaryExaminingAuthorityifthemistakeisinany partoftheinternationalapplicationotherthantherequest,orinanyamendmentorcorrection ofthatapplication,orinanydocumentsu bmittedtothatAuthority;

<sup>&</sup>lt;sup>3</sup> Commentsonparticular provisions appear only in the "marked" -up "copy following.

#### [Rule91.1(b),continued]

- (iv) bytheInternationalBureauifthemistakeisinanydocument,otherthanthe internationalapplicationoramendmentsorcorrectionstothatapplication,submittedtothe InternationalBureau.
- (c) Therelevantauthorityshallauthorizearectificationifitfindsthat,asatthe applicabledateunderparagraph(d),theallegedmistakewasclearlyamistakeandthatthe meaningwhichwouldresultfromtheproposedrectificationwasclearlythesame asthe meaningintendedintheinternationalapplicationorotherdocument;otherwise,therelevant authorityshallrefusetoauthorizetherectification.Inthecaseofamistakeinthedescription, theclaimsorthedrawings,orinanamendmentthereo foracorrectionthereofunderRule26, thatfindingshallbemadeonthebasisofwhatapersonskilledintheartwouldhave understood,asattheapplicabledateunderparagraph(d),fromreadingtheinternational applicationortheamendmentorcorrec tion.
  - (d) Forthepurposesofparagraph(c),theapplicabledateshallbe:
- (i) inthecaseofamistakeintheinternationalapplication, theinternational filing date;
- (ii) inthecaseofamistakeinanyotherdocument,includinganamendmentora correctionoftheinternationalapplication,thedateonwhichthatdocumentwassubmitted.

#### [Rule91.1,continued]

- (e) Theomissionofanentireelementorsheetoftheinternationalapplicationshallnot berectifiableunderthisRule[,butnothinginthi sRuleshallpreventtheinclusionunder Rule 20.5ofamissingpartcontaininganentireelementorsheet].
- (f) WherethereceivingOffice,theInternationalBureau,theInternationalSearching AuthorityortheInternationalPreliminaryExaminingAuthor itydiscoverswhatappearstobe arectifiablemistakeintheinternationalapplicationorotherdocument,itmayinvitethe applicanttorequestrectificationinaccordancewiththisRule.

#### 91.2 RequestsforRectification

- (a) Therequestforrectificat ionshallbesubmittedtotherelevantauthoritywithinthe following timelimit, as applicable:
- (i) wheretherelevantauthorityisthereceivingOffice,theInternationalBureauor theInternationalSearchingAuthority,[26][27][28]monthsfromthepr ioritydate;
- $(ii)\ \ where the relevant authority is the International Preliminary Examining \\ Authority, the time when that Authority begins to draw up the international preliminary examination report.$

# [Rule91.2,continued]

(b) Therequestforrectificatio nshallcontainthefollowing indications:
(i) anindicationtotheeffectthatrectificationofamistakeisrequested;
(ii) themistaketoberectified;and
(iii) theproposedrectification;
andmay,attheoptionoftheapplicant,contain:
(iv) ab riefexplanationofthemistakeandtheproposedrectification.
(c) Rule26.4shallapply <i>mutatismutandis</i> astothemannerinwhicharectification shallberequested.
91.3 AuthorizationofRectifications
(a) Therelevantauthorityshallpromptlyde cidewhether,inaccordancewith
Rule 91.1(c),toauthorizeorrefusetoauthorizetherectificationandshallpromptlynotifythe
applicant and the International Bureau of the authorization or refusal and, in the case of the authorization of the content
refusal,ofthereasonstherefor.

#### [Rule91.3,continued]

- $(b) \ Where the rectification is authorized by the relevant authority, it shall be made in the international application or other document concerned as provided in the Administrative Instructions. \\$ 
  - (c) Wherearectificationisautho rizedbytherelevantauthority, its hall be effective:
- (i) inthecaseofamistakeintheinternationalapplication, from the international filing date;
- (ii) inthecaseofamistakeinanotherdocument,includinganamendmentora correctionofthein ternationalapplication,fromthedateonwhichthatdocumentwas submitted.
- (d) Whereauthorizationoftherectificationisrefused,theInternationalBureaushall, uponrequestsubmittedtoitbytheapplicantwithin[onemonth][twomonths]fromthed ate ofthedecisionbytherelevantauthority,andsubjecttothepaymentofaspecialfeewhose amountshallbefixedintheAdministrativeInstructions,publishtherequestforrectification, thereasonsforrefusalbytherelevantauthorityandanyfurt herbriefcommentsthatmaybe submittedbytheapplicant,ifpossibletogetherwiththeinternationalapplication.Acopyof thatrequest,ofthosereasonsandofthosecomments(ifany)shallifpossiblebeincludedin thecommunicationunderArticle20 whereacopyofthepamphletisnotusedforthat communicationorwheretheinternationalapplicationisnotpublishedbyvirtueof Article 64(3).

#### Rule91["marked -up"copy]

#### **Rectification of Mistakes in the International Application**

#### andOther Obvious Errorsin Documents

#### 91.1 Rectification of Mistakes

(a) <u>Amistake Subjecttoparagraphs(b)to(g -quater)</u>, <u>obviouserrors</u> in the international application or other <u>document papers</u> submitted by the applicant may <u>subjectto paragraphs (b)to(e) and Rule s91.2 and 91.3</u>, be rectified <u>on the request of the applicant</u>.

[COMMENT: Although the draft SPLT uses the term "correction" instead of "rectification" (seedraft SPLT Article 7(3) and draft SPLT Rule 7(2)), it is proposed, in the context of PCT Rule 91, to continue to use the term "rectification" so as to maintain the distinction between "amendments" of the description, claims or drawings (under Articles 19 and 34) and "corrections" of formal defects (under Article 14 and Rule 26).]

#### [Rule91.1,continued]

- (b) (e) A Norectificationshallbemade onlyifitisauthorizedby"therelevant authority,"thatistosay exceptwiththeexpressauthorization—:
  - (i) by of the receiving Office if the mistake error is in the request ;
- (ii) <u>by</u> <u>of</u>theInternational SearchingAuthorityifthe <u>mistake</u> <u>error</u>isinanypartof theinternationalapplicationotherthantherequest <u>,orinanyamendmentorcorrectionofthat</u> <u>application,</u>orinany <u>document</u> <u>paper</u>submittedtothatAuthority <u>;</u> ;
- (iii) by oftheInternationalP reliminaryExaminingAuthorityifthe mistake erroris
  inanypartoftheinternationalapplicationotherthantherequest orinanyamendmentor
  correctionofthatapplication, orinany document papersubmittedtothatAuthority;
- (iv) by of the International Bureau if the mistake error is in any document paper, other than the international application or amendments or corrections to that application, submitted to the International Bureau.

[COMMENT: The purpose of the proposed amendment is to clarify that "the relevant authority" referred to in paragraphs (c), (f) and (g) of Rule 91.1 and paragraphs (b), (c), (d) and (e) of Rule 91.2 is the receiving Office, the International Searching Authority, the International Preliminary Examining Authority or the International Bureau, as the case may be.]

#### [Rule91.1,continued]

(c) (b) Errorswhichareduetothefactthatsomethingotherthanwhatwasobviously
intended was written in the international application or other papers hall be regarded as
obviouse rrors. Therectificationits elfshall be obvious in the sense that any one would
immediately realize that nothing else could have been intended than what is offered as
rectification. Therelevantauthorityshallauthorizearectificationifitfindsthatasatthe
$\underline{applicable date under paragraph (d), the alleged mistake was clearly a mistake and that the}$
$\underline{meaning which would result from the proposed rectification was clearly the same as the}$
meaningintendedintheinternationalapplicationorotherdocumen t;otherwise,therelevant
authorityshallrefusetoauthorizetherectification.Inthecaseofamistakeinthedescription,
theclaimsorthedrawings, or in an amendment thereofor a correction thereof under Rule 26,
thatfindingshallbemadeonthe basisofwhatapersonskilledintheartwouldhave
$\underline{understood, as at the applicable date under paragraph (d), from reading the international}$
applicationortheamendmentorcorrection.

[COMMENT:NotingthediscussionbytheWorkingGroupatitsthirds ession,itisproposed tomaketherequirementsunderthisparagraphmorerealisticand,inthecaseofamistakein thedescription,claimsordrawings,torefertoa"personskilledintheart"ratherthan "anyone"whendeterminingwhethersuchamistake isrectifiable.]

[Rule91.1,continued]

<u>(d)</u>	) Forthepurposesofparagraph(c),theapplicabled	ateshallbe:
	(i) inthecaseofamistakeintheinternationalapp	olication,theinternationalfiling
date;		
	(ii) inthecaseofamistakeinanyotherdocum	ent,includinganamendmentora
	(II) IIItilecaseoramistakemanyotilerdocum	ent, including anamendmentora

correction of the international application, the date on which that document was submitted.

[COMMENT:Afindingunderparagraph(c)wouldthusbemade:(i)wherethemistakewas inthedescription,claimsordrawings:o nthebasisofwhatapersonskilledintheartwould haveunderstood,asattheinternationalfilingdate,fromreadingtheinternationalapplication; (ii)wherethemistakewasintherequest:onthebasisofwhatthepersoninthereceiving Officein chargeofauthorizingtherequestforrectificationwouldhaveunderstood,asatthe internationalfilingdate,fromreadingtheinternationalapplication;(iii)wherethemistakeis inanamendmentoracorrectionoftheinternationalapplication,ont hebasisofwhataperson skilledintheartwouldhaveunderstood,atthetimeonwhichtheamendmentorcorrectionin questionwassubmitted,fromreadingtheamendmentorcorrection;(iv)wherethemistakeis inanyotherdocument:onthebasisofwh atthepersonintherelevantauthorityinchargeof authorizingtherequestforrectificationwouldhaveunderstood,atthetimeonwhichthe documentinquestionwassubmitted,fromreadingthatdocument.]

[Rule91.1,continued]

(e) (c) Theomission Omissions of anentire element sorsheet softhein ternational application, evenifclearly resulting from in attention, at the stage, for example, of copying or assemblingsheets, shallnotberectifiable underthisRule[,butnothinginthisRuleshall preventtheinclusionunderRule20.5ofamissingpartcontaininganentireelementorsheet]

[COMMENT: Thewords in square brackets would be included only if the proposed amendmentsofRule20indocumentPCT/R/WG/4/2relatingtoinclusionof"missingpart proceedatthesametimeasthepresentamendments; otherwise, thosewords would need to beaddedatalaterdatewhenRule20isamended.]

s''

(f) (d) WherethereceivingOffice,theInternationalBureau,theInternational Searching Authority or the International Preliminary Examining Authority discovers Rectificationmaybemadeontherequestoftheapplicant. Theauthority having discovered whatappearstobe arectifiablemistakeintheinternationalapplicationorotherdocument, it anobyiouserr or mayinvite the applicant to presenta request for rectification asprovided in paragraphs (e)to (g-quater) inaccordancewiththisRule .Rule 26.4shallapply mutatis mutandistothemannerinwhichrectificationsshallberequested.

[COMMENT:Clarif icationonly.Itisproposedtomovethelastsentenceofpresent paragraph(d)toproposednewRule 91.2(b)(seebelow).]

#### 91.2 RequestsforRectification

(a) Therequestforrectificationshallbesubmittedtotherelevantauthoritywithinthe

followingtimelimit,asapplicable Theauthorizationforrectificationreferredtoinparagraph

(e)shall,subjecttoparagraphs(g -bis),(g -ter)and(g -quater),beeffective :

[COMMENT:Itisproposedtofixacleartimelimitforthesubmissionoftherequest for rectificationbytheapplicantratherthan,asunderpresentRule91.1(g),makingthe effectivenessoftheauthorizationforrectificationdependentonthetimelyreceiptbythe InternationalBureauoftheauthorization(andhenceofthetimelyproces singbytherelevant authorityoftherequestforrectification).]

(i) where therelevantauthorityis itisgivenby—thereceivingOffice the InternationalBureau or bytheInternationalSearchingAuthority, [26][27][28]months ifits—notification theInternationalBureaureachesthatBureaubeforetheexpirationof17—months from the priority date;

[COMMENT:Existingitems(i)and(iii)weredesignedtoensurethatarectification authorizedduringtheChapterIprocedure(iftheapplicantdidnot requestinternational preliminaryexaminationunderChapterII)wouldbeincludedintheinternationalapplication aspublished18monthsfromtheprioritydate,notingalsothattheapplicationhadtoenterthe nationalphaseofprocessing20monthsfrom theprioritydate. Wheretheapplicantrequested internationalpreliminaryexaminationunderChapterII,presentitem(ii)providedfor rectificationstobemadeafterthepublicationoftheapplicationbutbeforetheapplicant enteredthenationalphase 30monthsfromtheprioritydate. However, the timelimit for entering the national phase under Chapters I and II is now the same, namely, 30months from the prioritydate, so it does not seem necessary to maintain the present distinction between Chapters I and II in this respect. It is therefore proposed to link the time limit for rectification to the time for national phase entry in all cases. Under Chapter I, a time limit towards the end of the 30month period seems appropriate.]

[Rule91.2(a),conti nued]

(ii) where <u>therelevantauthorityis</u> <u>itisgivenby</u> theInternationalPreliminary

ExaminingAuthority, <u>thetimewhenthatAuthoritybeginstodrawup</u> <u>ifitisgivenbeforethe</u>

<u>establishmentof</u> theinternationalpreliminaryexaminationreport ;

[COMMENT:SeetheCommentconcerningitem(i).Wheretheapplicantrequests internationalpreliminaryexaminationunderChapterII,slightlydifferentconsiderationsapply sincetheInternationalPreliminaryExaminingAuthoritywillbeactivelyprocessingthe application.Eachreplacementsheetcontainingarectificationofamistakeauthorizedbythe InternationalPreliminaryExaminingAuthorityisannexedtotheinternationalpreliminary examinationreport(seeRule 70.16asproposedtobeamended,above). Theappropriatetime limitthereforewouldbethetimewhentheAuthoritybeginstodrawuptheinternational preliminaryexaminationreport.]

(iii) whereitisgivenbytheInternationalBureau,ifitisgivenbeforetheexpiration of17monthsfromthe prioritydate.

[COMMENT: Rectification by the International Bureau is dealt within proposed amended item (i).]

[Rule91.2,continued]

(b) Therequestforrectificationshallcontainthefollowingindications:		
(i) anindicationtotheeffectthatrecti ficationofamistakeisrequested;		
(ii) themistaketoberectified;and		
(iii) theproposedrectification;		
[COMMENT:SeePLTRule18(1)(a)(i),(iii)and(iv).TheindicationunderPLT Rule 18.1(a)(ii)(thenumberoftheapplicationorpatentconcerne d)isnotincludedheresince therequestforrectificationmustbeintheformof,oraccompaniedby,aletteridentifyingthe internationalapplicationtowhichitrelates(seePCTRule 92.1(a)).TheindicationunderPLT Rule 18.1(a)(v)(thenameandad dressoftherequestingparty)isnotincludedsince rectificationmaybemadeonlyontherequestoftheapplicant(seeparagraph (d),above).]		
andmay,attheoptionoftheapplicant,contain:		
(iv) abriefexplanationofthemistakeandtheproposedrec tification.		
[COMMENT: Suchan explanation would assist the relevant authority in deciding whether a rectification should be authorized. Note that Article 19(1) provides for a statement explaining amendments of the claims under that Article.]		
(c) Rule2 6.4shallapply mutatismutandis astothemannerinwhicharectification		
shallberequested.		

#### 91.3 AuthorizationofRectifications

(a) [91.1](f) Therelevantauthorityshallpromptlydecidewhether,inaccordancewith

Rule91.1(c),toauthorizeorr efusetoauthorizetherectificationand Anyauthoritywhich

authorizesorrefusesanyrectification—shallpromptlynotifytheapplicant andtheInternational

Bureau of the authorizetionorrefusaland,inthecase of refusal, of the reasons therefor.

The authority which authorizes are ctification shall promptly notify the International Bureau

accordingly.

[COMMENT: The proposed amendments would align the wording with that used elsewhere in the amended Rule.]

(b) Wheretherectificationisauthorizedby therelevantauthority, its hall be made in the international application or other document concerned as provided in the Administrative Instructions.

[COMMENT: Sections 325, 413, 511 and 607 of the Administrative Instructions would have to be modified.]

# [Rule91.3,continued]

(c) Wherearectificationisauthorizedbytherelevantauthority,itshallbeeffective:
(i) inthecaseofamistakeintheinternationalapplication, from the international
filingdate;
(ii) inthecaseofamistakeinanother document,includinganamendmentora
correctionoftheinternationalapplication, from the date on which that document was
submitted.
[COMMENT: Proposed new paragraph (c) would clearly spellout the effective date of a rectification once authorized.]

#### [Rle91.3,continued]

InternationalBureaushall,uponrequest submittedtoit made by the applicant within one month [two months] from the date of the decision by the relevant under paragraph (g bis), (g ter) or (g quater) and subject to the payment of aspecial feewhose amounts hall be fixed in the Administrative Instructions, publish the request for rectification, the reasons for refusably the relevant authority and any further brief comments that may be submitted by the applicant, if possible together with the international application.

Acopy of that the request of those reasons and of those comments (if any) for rectification shall if possible be included in the communication under Article 20 where a copy of the pamphletis not used for that communication or where the international application is not published by virtue of Article 64(3).

[COMMENT:Underparagraph(d)asproposed tobeamended, upon request of the applicant, the International Bureau would also publish information with regard to a request for rectificationwhichwasrefusedbytheInternationalPreliminaryExaminingAuthority,evenif therequestforpublicationis received after international publication. This would fill agap whichexistsunderthepresentRegulations:underpresentRule 91.1(f), any request for publicationofinformationwithregardtoarefusedrequestforrectificationhastobereceived byth eInternationalBureaupriortocompletionoftechnicalpreparationsforinternational publication.Inpractice,thismeansthatinformationconcerningarequestforrectification whichhasbeenrefusedbytheInternationalPreliminaryExaminingAuthority internationalpublicationisneitherpublishednormentionedintheinternationalpreliminary examinationreport:onlyauthorizedrectificationsareannexedtothatreport(seepresent Rule 70.16; see also Rule 70.16 as proposed to be amended, abo ve).1

#### [Rule91.3,continued]

[91.1](g-bis)Ifthenotificationmadeunderparagraph(g)(i)reachestheInternational
$\underline{Bureau, or if the rectification made under paragraph(g) (iii) is authorized by the International}$
Bureau, after the expiration of 17 month sfrom the priority date but before the technical
$\frac{preparations for international publication have been completed, the authorization shall be }{}$
effectiveandtherectificationshallbeincorporated in the said publication.

[91.1](g-ter)Wheretheapplicant hasaskedtheInternationalBureautopublishhis
internationalapplicationbeforetheexpirationof18monthsfromtheprioritydate,any
notificationmadeunderparagraph(g)(i)mustreach,andanyrectificationmadeunder
paragraph(g)(iii)mustbeauth orizedby,theInternationalBureau,inorderforthe
authorizationtobeeffective,notlaterthanatthetimeofthecompletionofthetechnical
preparationsforinternationalpublication.

[91.1](g-quater)Wheretheinternationalapplicationisnotpub lishedbyvirtueof

Article64(3),anynotificationmadeunderparagraph(g)(i)mustreach,andanyrectification

madeunderparagraph(g)(iii)mustbeauthorizedby,theInternationalBureau,inorderforthe

authorizationtobeeffective,notlaterthan atthetimeofthecommunicationofthe

internationalapplicationunderArticle20.

[EndofAnnexandofdocument]